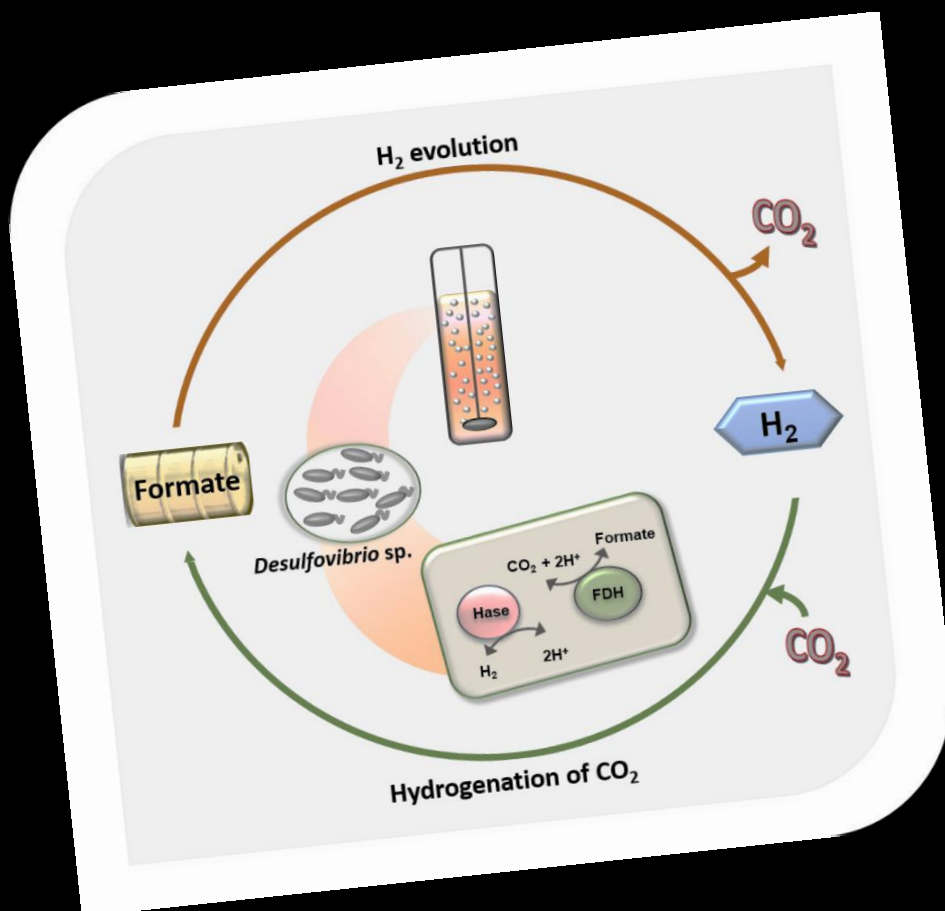


# Biologic Interconversion of Hydrogen and Formate

Cláudia Alinho Mourato



Dissertation presented to obtain the Ph.D degree in Biochemistry  
Instituto de Tecnologia Química e Biológica António Xavier | Universidade Nova de Lisboa

Oeiras,  
March, 2017



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Universidade Nova de Lisboa

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Oeiras, March, 2017







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---

## THESIS PUBLICATIONS

Martins, M., Mourato C., and Pereira I.A.C. 2015. *Desulfovibrio vulgaris* growth coupled to formate-driven H<sub>2</sub> production. Environ Sci Technol. 49(24): 14655-14662.

Martins M.\*, Mourato C.\*, Morais-Silva F.O., Rodrigues-Pousada C., Voordouw G., Wall, J.D., Pereira I.A.C. 2016. Electron transfer pathways of formate-driven H<sub>2</sub> production in *Desulfovibrio*. Appl. Microbiol. Biotechnol. 100(18): 8135-8146. (\*co-first authors)

Cláudia Mourato, Mónica Martins, Sofia M. da Silva, Inês A. C. Pereira. A continuous system for biocatalytic hydrogenation of CO<sub>2</sub> to formate. Bioresource Technology. Accepted. (doi.org/10.1016/j.biortech.2017.03.091)

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## THESIS ABSTRACT

Hydrogen ( $H_2$ ) is one of the most promising energy vehicles in alternative to the use of fossil fuels. However, in order to use  $H_2$  as energy carrier it is necessary to find a safe, economically viable, and reasonably sized solution to store and transport it. Formate has emerged as an ideal storage compound for  $H_2$  as it is a safe compound, liquid at room temperature, which can be easily stored and transported, and furthermore it allows the sequestration of  $CO_2$  in a valuable commodity chemical. Nevertheless, for the implementation of a  $H_2$  and formate economy it is crucial to find efficient processes that can be used either for the use of formate as storage material for  $H_2$  production or for the conversion of  $H_2$  and  $CO_2$  to formate.

Biotechnological processes using microorganisms as biological catalysts are an inexpensive and “greener” alternative for the conversion of  $CO_2$  to formate and for biologic hydrogen production from one carbon compounds such as formate.

The main aim of the work presented in this thesis was to investigate the potential of sulfate reducing bacteria (SRB) as biocatalysts for formate-driven  $H_2$  production, as well as, for the conversion of  $H_2$  and  $CO_2$  to formate. SRB are notorious for expressing a high level of formate-dehydrogenases (FDHs) and hydrogenases (Hases), the enzymes responsible for the reversible reactions of  $H_2$  and formate production, making them good candidates for production of  $H_2$  and formate.

In the first part of the work, a new lab-scale H<sub>2</sub> production process was designed to investigate the potential of the model organism *Desulfovibrio vulgaris* as a biocatalyst for H<sub>2</sub> production from formate. In the optimal conditions high volumetric and specific H<sub>2</sub> production rates (125 mL L<sup>-1</sup> h<sup>-1</sup> and 2500 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>) were achieved demonstrating that the non-conventional H<sub>2</sub>-producing organism *D. vulgaris* is a good biocatalyst for converting formate to H<sub>2</sub>. Moreover, the capacity of *D. vulgaris* to be used for continuous H<sub>2</sub> production from formate was also demonstrated. Furthermore, a bioreactor with gas sparging was used to demonstrate for the first time that H<sub>2</sub> production from formate can be coupled with growth of *D. vulgaris* in the absence of sulfate or a syntrophic partner. This is the first report of a single mesophilic organism that can grow while catalyzing the oxidation of formate to H<sub>2</sub> and bicarbonate.

Besides *D. vulgaris*, the potential for H<sub>2</sub> production of other *Desulfovibrio* strains was also evaluated. Among the strains tested (*D. vulgaris*, *D. desulfuricans*, *D. alaskensis* G20, *D. fructosivorans* and *D. gigas*) *D. vulgaris* showed the highest H<sub>2</sub> productivity and *D. gigas* the lowest one. Moreover, the electron transfer pathways involved in formate-driven H<sub>2</sub> production were also investigated in these two microorganisms through the study of deletion mutants of Hases and FDHs. This work demonstrated that the electron transfer pathways are species-specific. In *D. vulgaris*, the periplasmic FdhAB was shown to be the key enzyme for formate oxidation and that two pathways are involved in the production of H<sub>2</sub> from formate: a direct one only involving periplasmic enzymes, in which the Hys [NiFeSe] Hase is the main enzyme responsible for H<sub>2</sub> production; and a second one that involves transmembrane

electron transfer and may allow energy conservation. In contrast, H<sub>2</sub> production in *D. gigas* occurs exclusively in the periplasm not involving the cytoplasmic Ech Hase.

In the second part of the work SRB were investigated as novel biocatalysts for formate production through the hydrogenation of CO<sub>2</sub>. Among the three *Desulfovibrio* strains tested (*D. vulgaris*, *D. alaskensis* G20 and *D. desulfuricans*), *D. desulfuricans* showed the highest capacity to reduce CO<sub>2</sub> to formate. This strain was used as whole cell biocatalyst in a new bioprocess developed for continuous production of formate. This is the first report of a process for continuous biocatalytic production of formate, in which more than 45 mM of formate were produced with a maximum specific formate production rate of 14 mM g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>. Gene expression analysis indicated that the cytoplasmic FdhAB and the periplasmic HydAB [FeFe] are the main enzymes expressed in *D. desulfuricans* during formate production.

This work showed that SRB are suitable microorganisms to be used as whole cells biocatalysts in the biologic interconversion of H<sub>2</sub> and formate.



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## RESUMO DA TESE

O hidrogénio ( $H_2$ ) é um dos veículos energéticos mais promissores em alternativa ao uso de combustíveis fósseis. No entanto, para a utilização do  $H_2$  como transportador de energia é necessário encontrar uma forma segura e economicamente viável de o armazenar e transportar. Neste sentido, o formato tem surgido como um composto ideal para o armazenamento de  $H_2$ , dado que é líquido à temperatura ambiente, seguro, pode ser facilmente armazenado e transportado, e que permite a sequestração de  $CO_2$  num produto químico de valor acrescentado. Contudo, para a implementação de uma economia à base de  $H_2$  e formato é crucial encontrar processos eficientes que utilizem formato como material de partida para a produção de  $H_2$  ou para a conversão de  $H_2$  e  $CO_2$  em formato.

A utilização de microrganismos como catalisadores biológicos em processos biotecnológicos é uma alternativa mais verde e económica para a conversão de  $CO_2$  em formato e para produção de hidrogénio biológico a partir de compostos com apenas um carbono, como o formato.

O principal objetivo do trabalho apresentado nesta tese foi o de investigar o potencial de bactérias redutoras de sulfato (BRS) como biocatalisadores para a produção de  $H_2$  a partir de formato, assim como, para a conversão de  $H_2$  e  $CO_2$  em formato. As BRS expressam um elevado número de formato-desidrogenases (FDHs) e hidrogenases (Hases), enzimas responsáveis pelas reações reversíveis de produção de  $H_2$  e formato, o que as torna excelentes candidatos a ser utilizados para a produção de  $H_2$  e formato.



Na primeira parte do trabalho, foi desenvolvido um novo processo à escala laboratorial para a produção de H<sub>2</sub> a partir de formato usando o organismo modelo *Desulfovibrio vulgaris* como biocatalisador. Nas condições de trabalho otimizadas, foram obtidas elevadas taxas volumétricas e específicas de produção de H<sub>2</sub> (125 mL L<sup>-1</sup> h<sup>-1</sup> e 2500 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>), demonstrando que um organismo não convencionalmente usado, *D. vulgaris*, é um promissor biocatalisador para a conversão de formato a H<sub>2</sub>. Em paralelo foi também demonstrada a capacidade de *D. vulgaris* para ser usado na produção contínua de H<sub>2</sub> a partir de formato. Para além disso, usando um bioreator com dispersão de gás, demonstrou-se pela primeira vez que a produção de H<sub>2</sub> a partir de formato pode ser acoplada ao crescimento de *D. vulgaris*, na ausência de sulfato e de um organismo sintrófico. Com este trabalho, foi observado pela primeira vez que um organismo mesófilo pode crescer, individualmente, pela conversão de formato a H<sub>2</sub> e bicarbonato.

Posteriormente, a produção de H<sub>2</sub> por outras espécies de *Desulfovibrio* foi também investigada. Entre as espécies testadas (*D. vulgaris*, *D. desulfuricans*, *D. alaskensis* G20, *D. fructosivorans* e *D. gigas*), *D. vulgaris* foi a estirpe com maior produtividade enquanto que *D. gigas* foi a estirpe com menor produção de H<sub>2</sub>. No seguimento deste estudo, foram investigadas as vias metabólicas envolvidas na produção de H<sub>2</sub> a partir de formato nestes dois organismos usando mutantes de deleção de Hases e FDHs. Este trabalho demonstrou que as vias de transferência de eletrões são específicas de cada espécie. Em *D. vulgaris*, foi observado que a FdhAB periplasmática é a principal enzima responsável pela oxidação de formato e que duas vias estão envolvidas na produção de H<sub>2</sub> a partir de formato: uma via direta em que apenas as enzimas

periplasmáticas estão envolvidas e na qual a Hys [NiFeSe] Hase é a principal enzima responsável pela produção de H<sub>2</sub>; e uma segunda via que envolve a transferência de elétrons através da membrana e que pode permitir conservação de energia. Por outro lado, a produção de H<sub>2</sub> em *D. gigas* ocorre exclusivamente no periplasma não envolvendo a Hase citoplasmática Ech.

Numa segunda parte do trabalho, as BRS foram investigadas como novos biocatalisadores para a produção de formato através da hidrogenação de CO<sub>2</sub>. Entre as três espécies de *Desulfovibrio* testadas (*D. vulgaris*, *D. alaskensis* G20 e *D. desulfuricans*), *D. desulfuricans* apresentou a maior capacidade para reduzir CO<sub>2</sub> a formato. Esta estirpe foi também utilizada como biocatalisador num novo bioprocessos desenvolvido para produção de formato. Para além disso, neste estudo implementou-se também pela primeira vez um processo que permite a produção contínua de formato conseguindo produzir mais de 45 mM de formato com uma taxa máxima específica de 14 mM g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>. Neste estudo, a análise da expressão genética evidenciou que a FdhAB citoplasmática e a HydAB [FeFe] periplasmática são as principais enzimas expressas em *D. desulfuricans* durante a produção de formato.

Assim, o presente trabalho demonstrou que as BRS são microrganismos com elevado potencial para serem utilizados como biocatalisadores na interconversão biológica de H<sub>2</sub> e formato.



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## LIST OF ABBREVIATIONS

<i>A. woodii</i>	<i>Acetobacterium woodii</i>
ASTR	Anaerobic stirred tank reactor
ATP	Adenosine triphosphate
BioH <sub>2</sub>	Biological hydrogen
BRS	Bactérias redutoras de sulfato
<i>C. boidinii</i>	<i>Candida boidinii</i>
<i>C. carboxidivorans</i>	<i>Clostridium carboxidivorans</i>
Coo	CooMKLXUH CO-induced hydrogenase
Cys	Cysteine
ΔG	Gibbs free energy change
<i>D. alaskensis</i>	<i>Desulfovibrio alaskensis</i> G20
<i>D. desulfuricans</i>	<i>Desulfovibrio desulfuricans</i> ATCC 27774
<i>D. fructosivorans</i>	<i>Desulfovibrio fructosivorans</i>
<i>D. gigas</i>	<i>Desulfovibrio gigas</i>
<i>D. vulgaris</i>	<i>Desulfovibrio vulgaris</i> Hildenborough
dcw	Dry cell weight
<i>E. coli</i>	<i>Escherichia coli</i>
Ech	Energy conserving hydrogenase
[FeFe] Hase	Iron-iron hydrogenase
Fd	Ferredoxin
FDH	Formate-dehydrogenase
FdhAB	Formate-dehydrogenase AB
FdhABC <sub>3</sub>	Formate-dehydrogenase ABC <sub>3</sub>
FdhABD	Formate-dehydrogenase ABD
FHL	Formate-hydrogen lyase
Fw	Forward
GC	Gas chromatography
Hase	Hydrogenase
HPLC	High performance liquid chromatography
HRT	Hydraulic retention time
Hyd or HydAB	[FeFe] hydrogenase AB
HynAB-1	[NiFe] <sub>1</sub> hydrogenase AB
HynAB-2	[NiFe] <sub>2</sub> hydrogenase AB
Hys or HysAB	[NiFeSe] hydrogenase
<i>M. arboriphilus</i> AZ	<i>Methanobrevibacter arboriphilus</i> AZ
<i>M. extorquians</i>	<i>Methylobacterium extorquens</i>
MECs	Microbial electrolysis cells

METC	Membrane-bound electron transfer complexes
MFCs	Microbial fuel cells
Mo-FDH	Molybdenum-containing FDH
MOPS	3-(N-morpholino)propanesulfonic acid buffer
NAD/NADH	Nicotinamide adenine dinucleotide
NADP <sup>+</sup> /NADPH	Nicotinamide adenine dinucleotide phosphate
Nase	Nitrogenase
[NiFe] Hase	Nickel-iron hydrogenase
[NiFeSe] Hase	Nickel iron selenium hydrogenase
OD	Optical density
P <sub>H<sub>2</sub></sub>	H <sub>2</sub> partial pressure
P <sub>CO<sub>2</sub></sub>	CO <sub>2</sub> partial pressure
<i>P. furiosus</i>	<i>Pyrococcus furiosus</i>
PFL	Pyruvate formate-lyase
PFOR	Pyruvate ferredoxin oxidoreductase
PS	Photosystem
PSI	Photosystem I
PSII	Photosystem II
Q <sub>AR</sub>	Argon flow rate
Qrc	Quinone reductase complex
qRT-PCR	Quantitative real time polymerase chain reaction
rRNA	Ribossomic ribonucleic acid
Rv	Reverse
SeCys	Selenocysteine
<i>sp.</i>	species
SRB	Sulfate-reducing bacteria
TOF	Turnover frequency
TON	Turnover number
Tris	Tris(hydroxymethyl)aminomethane
<i>T. onnurineus</i>	<i>Thermococcus onnurineus</i>
TCD	Thermal conductivity detector
Tmc	Transmembrane complex
UV	Ultraviolet
W-FDH	Tungsten-containing FDH
wt	wild-type

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# **CHAPTER 1**

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## **INTRODUCTION**

## 1. INTERCONVERSION OF H<sub>2</sub> AND FORMATE

Fossil fuels are the main global energy resource, used for electric power generation, industry and transportation [1]. Due to the great dependence on these non-renewable resources and consequent emission of greenhouse gases there has been an increasing awareness of the need to reduce their use, since the greenhouse effect is the main responsible for global climate change [2,3] and the carbon dioxide (CO<sub>2</sub>) levels have been increasing more rapidly in the recent years. The rate of CO<sub>2</sub> emissions has been steadily increasing, going to 2.25 ppm/year in recent years, which corresponds to 12 billion tons of CO<sub>2</sub>/year [4,5]. These high levels of CO<sub>2</sub> not only contribute to climate change, but also lead to ocean acidification, with unpredictable consequences for life in our planet. Therefore, finding new alternative energy sources for the replacement of fossil fuels and developing sustainable processes to reduce the levels of CO<sub>2</sub> is a critical issue nowadays. Among others, two promising areas of research have been developed: (i) the use of hydrogen (H<sub>2</sub>) as an alternative energy carrier, with no negative impact on the environment [6–8], and (ii) the recycling of CO<sub>2</sub> by its conversion to added-value compounds that can be used as fuels or chemical feedstocks, like formate [9–12].

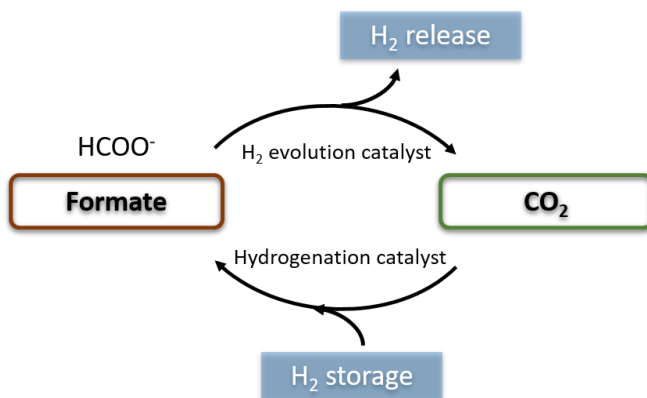
H<sub>2</sub> is one of the most attractive candidates to be used as energy carrier. As a carbon-neutral molecule, H<sub>2</sub> is a source of clean energy and can be produced from renewable biomass. Due to its clean combustion with only water as its end-product, and its high energy content of 122 KJ/g (2.75-fold greater than hydrocarbon fuels), H<sub>2</sub> represents a promising alternative to the use of fossil

fuels [6,8,9,13]. Therefore, research on H<sub>2</sub> production and usage has received a growing attention from scientists during the last decades [14]. H<sub>2</sub> has a great potential in the transport sector and in domestic and industrial applications, where it is being explored for use in combustion engines and fuel-cell electric vehicles [6,8,15].

Although H<sub>2</sub> is a strong candidate as an alternative energy carrier, there is still no safe, economically viable, and reasonably sized solution to store and transport it. The conventional methods for H<sub>2</sub> storage, such as high-pressure gas containers or cryogenic liquid containers, have safety issues [16] and storage of H<sub>2</sub> in its elemental form as a gas or a liquid has safety implications due to its low volumetric energy density and flammable nature, and the need to keep it under pressure [8,9]. Thus, developing a viable H<sub>2</sub> storage system is very important for the implementation of H<sub>2</sub> as energy carrier. A possible method for its storage has been explored using formate as storage system (Figure 1.1) [9,17]. Formate or formic acid has been considered one of the most promising candidates as storage material for H<sub>2</sub> production. Formate is liquid at room temperature, non-toxic and non-flammable and can thus be handled, stored and transported easily [9,18], providing a renewable low price and efficient source for large scale or *in situ* H<sub>2</sub> production.

The formate based H<sub>2</sub> storage system provides not only a viable way to produce and store H<sub>2</sub> in a safe and efficient compound, but also a means of CO<sub>2</sub> sequestration by reducing it to a value-added compound like formate. In fact, the reduction of CO<sub>2</sub> to generate value-added compounds as fuels and chemical feedstocks is an essential requirement for a carbon-neutral

sustainable energy economy. In the first step of the proposed system, formate is formed by hydrogenation of  $\text{CO}_2$ , and later  $\text{H}_2$  can be generated from formate liberating  $\text{CO}_2$  as the only byproduct. Thus, this cycle is carbon-neutral [9,17,18].



**Figure 1.1.** Formate-based  $\text{H}_2$  storage system (created according to [9,18]).

For the implementation of a  $\text{H}_2$  and formate economy it is very important to find efficient processes and suitable catalysts that can be used for the production of formate as storage material and for  $\text{H}_2$  release from formate. An important problem is also the need to produce  $\text{H}_2$  as this gas is not naturally available and there are currently no inexpensive methods to produce it. Many of the technologies to produce  $\text{H}_2$  still rely on non-sustainable and energy-intensive processes. Extensive studies have been carried out on the use of homogeneous and heterogeneous chemical catalysts for  $\text{H}_2$  and formate production [9,11,18–21]. However, most of these catalysts require too expensive and demanding operation conditions, like the use of precious metals

such as iridium (Ir), ruthenium (Ru) and rhodium (Rh), high temperatures and high pressures (see examples in Table 1.1), not making them suitable for a sustainable economy.

**Table 1.1.** Examples of most used metal catalysts for H<sub>2</sub> and formate production.

Catalysts	Substrate	Performance	T (°C)	Pressure (atm)	Ref
<b>Hydrogen production</b>					
[IrH <sub>3</sub> (PPh <sub>3</sub> ) <sub>3</sub> ]	Formate	TOF=8890 h <sup>-1</sup>	118	n.r.	[22]
RuBr <sub>3</sub> .H <sub>2</sub> O/3PPh <sub>3</sub>		TOF=3630 h <sup>-1</sup>	40	n.r.	[23]
( <sup>Ph</sup> I <sub>2</sub> P <sup>2-</sup> )Al(THF)H		TOF=5200 h <sup>-1</sup>	65	n.r.	[24]
[Cp*Ir(N9)(OH <sub>2</sub> )] <sup>2+</sup>		TON=2 050 000	60	n.r.	[25]
[RuCl <sub>2</sub> (p-cymene)] <sub>2</sub>	Isopropanol	TOF=up to 519 h <sup>-1</sup>	90	n.r.	[26]
[RuH <sub>2</sub> (N <sub>2</sub> )(PPh <sub>3</sub> ) <sub>3</sub> ]	Alcohols (ethanol, ethylene, butanol)	TOF=148-523 h <sup>-1</sup>	150	n.r.	[21]
[RuH <sub>2</sub> (PPh <sub>3</sub> ) <sub>4</sub> ]		TOF=150-527 h <sup>-1</sup>	150	n.r.	
<b>Formate production</b>					
[RuCl(OAc)PMe <sub>3</sub> ] <sub>4</sub>	Carbon dioxide	TOF=95000 h <sup>-1</sup>	50	70 H <sub>2</sub> /120 CO <sub>2</sub>	[27]
[RuCl <sub>2</sub> (TPPMS) <sub>2</sub> ] <sub>2</sub>		TOF=9600 h <sup>-1</sup>	80	60 H <sub>2</sub> /35 CO <sub>2</sub>	[28]
(PN <sup>PYP</sup> )IrH <sub>3</sub>		TOF=150000 h <sup>-1</sup>	200	25 H <sub>2</sub> /25 CO <sub>2</sub>	[29]
[Cp*Ir(OH <sub>2</sub> )(6HBPY)] <sup>2+</sup>		TOF=25200 h <sup>-1</sup>	120	5 H <sub>2</sub> /5 CO <sub>2</sub>	[30]
RuCl <sub>2</sub> (PTA) <sub>4</sub>		TON=750	60	50 H <sub>2</sub> /50 CO <sub>2</sub>	[31]
RuH(Cl)(CO)(P3)		TOF=1100000 h <sup>-1</sup>	120	30 H <sub>2</sub> /10 CO <sub>2</sub>	[32]
[RuCl <sub>2</sub> (benzene)] <sub>2</sub>	Sodium bicarbonate	TON=1731	70	50 H <sub>2</sub> /30 CO	[10]
	Potassium bicarbonate	TON=1592	70		

TOF, turnover frequency; TON, turnover number; n.r. = not reported

As a result, biological systems may provide an alternative and a sustainable process for H<sub>2</sub> and formate production. The use of biological catalysts that can use renewable resources would constitute an inexhaustible, clean and sustainable process to produce H<sub>2</sub> and/or formate [7,33].

## 1.1 BIOLOGICAL H<sub>2</sub> PRODUCTION

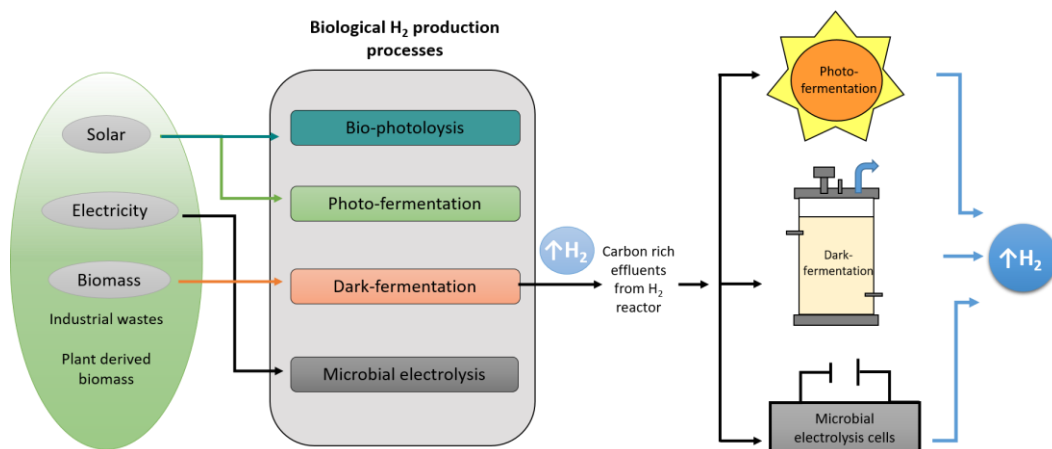
The most common processes used for H<sub>2</sub> production include electrolysis of water, thermocatalytic reformation of H<sub>2</sub>-rich organic compounds and thermal processes such as steam reforming of natural gas or methane [34]. Since the majority of H<sub>2</sub> production is predominantly derived from fossil fuels or is very energy intensive, there is still no large scale sustainable production process. Currently, the production of H<sub>2</sub> exceeds 1 billion m<sup>3</sup>/day worldwide, of which 48% derives from natural gas, 30% from oil, 18% from coal, and the remaining 4% is produced from H<sub>2</sub>O-splitting electrolysis [14,35,36]. On the other hand, the emergence of biological processes for H<sub>2</sub> production using waste materials provides renewable, environmental friendly and less energy intensive processes. These bioprocesses rely on less expensive and demanding operation settings and can be operated under mild conditions (at ambient temperature and pressure with minimal energy consumption) [13,34,37] using microorganisms as biocatalysts.

### 1.1.1 MICROORGANISMS AS BIOCATALYSTS FOR H<sub>2</sub> PRODUCTION

The biological H<sub>2</sub> (bioH<sub>2</sub>) production is known to be conducted by a diverse group of microorganisms and can be achieved by using pure cultures with defined substrates or with mixed consortia [35]. Several microorganisms such as obligate anaerobes, thermophiles, methanogens and facultative anaerobes are capable of producing H<sub>2</sub>, whereas others only produce H<sub>2</sub> from specific metabolic routes under defined conditions [35]. This is the case of anaerobic, photosynthetic prokaryotes (heterotrophic and autotrophic) and microalgae [35,38]. Besides, in most microorganisms, the generation of molecular H<sub>2</sub> is an essential part of their energy metabolism, and provides a way of eliminating excess electrons.

In biological systems, H<sub>2</sub> can be generated from a variety of renewable resources and a wide range of approaches for bioH<sub>2</sub> production are available including bio-photolysis, photo-fermentation, dark-fermentation and microbial electrolysis (Figure 1.2) [7,14,33,37].





**Figure 1.2.** Schematic representation of the biological processes integrated with secondary routes for effective H<sub>2</sub> production (created according to [14]).

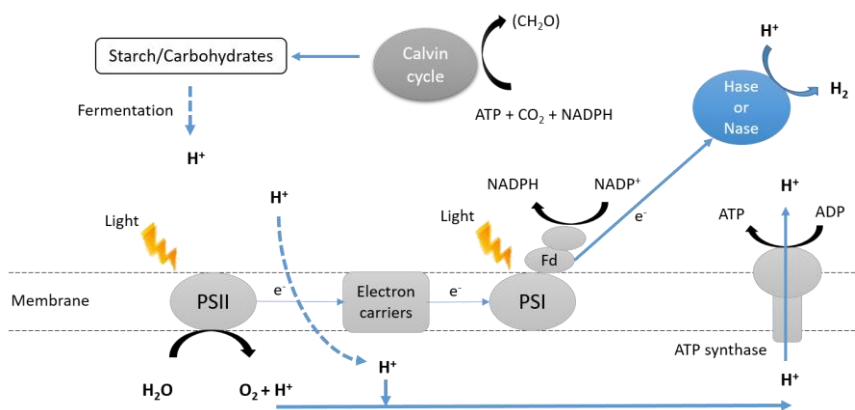
### 1.1.1.1 BIO-PHOTOLYSIS PROCESS

The mechanism of **bio-photolysis**, also known as water-splitting photosynthesis, involves plant-type photosynthesis, that uses sunlight to split water for H<sub>2</sub> formation [35,39,40]. This bioprocess occurs in photoautotrophic microorganisms such as eukaryotic microalgae like *Chlamydomonas reinhardtii* sp. [41,42] and *Chlorella* sp. [43,44] or in prokaryotic bacteria from soil or natural water like cyanobacteria *Anabaena* sp. [45,46]. These organisms use sunlight and CO<sub>2</sub> as the only energy and carbon sources and the reducing power for cellular photosynthesis and/or bio-photolysis comes from water oxidation under light irradiation [39]. In a plant-like oxygenic photosynthesis,

the sunlight energy is captured and absorbed by photosynthetic systems (PSI and PSII) [47]. The photons from sunlight are adsorbed by the PSII resulting in the production of oxidizing equivalents used for water oxidation to protons ( $H^+$ ), electrons ( $e^-$ ) and molecular oxygen ( $O_2$ ) [35,39,48]. The electrons are then transferred through the electron transport chain through a series of electron carriers to PSI which also adsorbs photons leading to the reduction of the oxidized ferredoxin (Fd) and/or nicotinamide adenine dinucleotide phosphate ( $NADP^+$ ) [39,48]. In this process, adenosine triphosphate (ATP) is produced via ATP synthase through the generation of a proton gradient formed across the cellular membrane and atmospheric  $CO_2$  is reduced with ATP and NADPH via Calvin cycle for cell growth [39,48]. The excess reduced carbon is stored inside the cells as carbohydrates and/or lipids [39]. However, under anaerobic and dark conditions, the reduced Fd also serves as electron donor for hydrogenases (Hases) or nitrogenases (Nases) which will reduce protons to  $H_2$  leading to bio-photolysis [14,39,48].  $H_2$  production by bio-photolysis takes place in anaerobic conditions to induce activation of enzymes involved in hydrogen metabolism (Hases and Nases), since these two enzymes are sensitive to the  $O_2$  evolved during photosynthesis [39,49].

There are two types of bio-photolysis: direct and indirect bio-photolysis (Figure 1.3) [35,39,40]. In both processes, the light energy adsorpt by the PSII generates a proton gradient and electrons from water splitting that are used to produce  $H_2$ . However, in indirect bio-photolysis the reducing equivalents can also be derived from the fermentation of organic molecules (starch or carbohydrates reserves formed during photosynthesis) and not only directly

from water splitting [14,35,39]. Indirect bio-photolysis has the advantage of separating the photosynthesis for carbohydrate accumulation from the dark-fermentation of the carbon reserves for  $H_2$  production. In this way, the oxygen and hydrogen evolutions are separated [39].



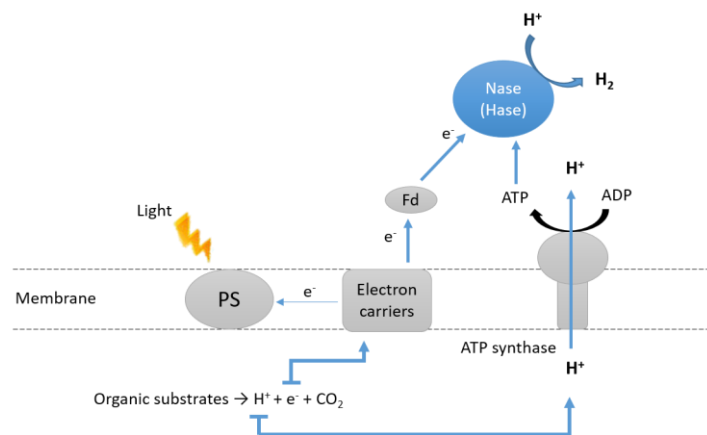
**Figure 1.3.** Schematic mechanism of  $H_2$  evolution through direct and indirect bio-photolysis (created according to [14,35,39]).

### 1.1.1.2 FERMENTATION PROCESSES

Fermentation processes, contrarily to bio-photolysis, have a higher stability and efficiency regarding  $H_2$  production [35]. These processes can use a variety of organic wastes (i.e. biomass, agricultural and domestic wastes) as a substrate, so can play the dual role of waste reduction and energy production [13,35,40]. In the case of **photo-fermentation**, a group of photosynthetic bacteria (e.g. purple non-sulfur bacteria) use sunlight as source of energy to convert organic substrates into  $H_2$  and  $CO_2$  [14,35,40,50]. This conversion of

small organic compounds, like acetate, lactate and butyrate, to  $H_2$  is performed under anaerobic conditions by anoxygenic photosynthesis where water is not used as an electron donor and thus no  $O_2$  is produced [14,35]. Thus, photo-fermentation circumvents the oxygen sensitivity issue of bi-photolysis process.

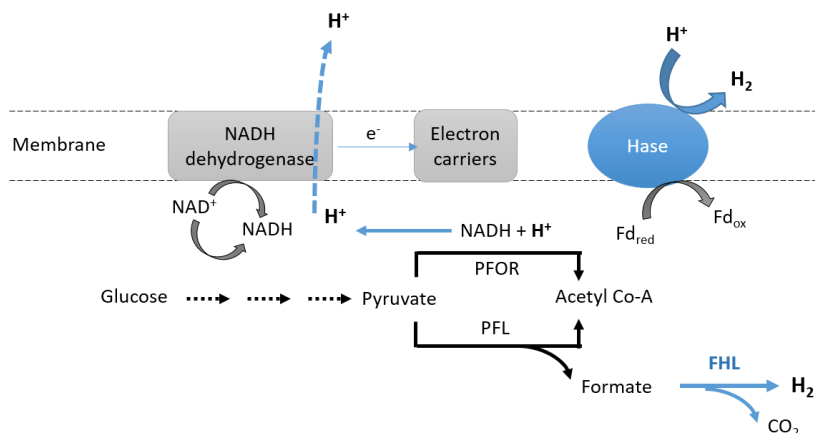
$H_2$  production by photo-fermentation has been shown in purple non-sulfur bacteria such as *Rhodobacter sphaeroides* [51,52], *Rhodospseudomonas palustris* [53,54] and *Rhodospseudomonas faecalis* [55,56]. In photo-fermentation (Figure 1.4), the electrons generated during oxidation of organic substrates are transferred through a series of electron carriers, during which protons are pumped through a ATP synthase creating a proton gradient leading to ATP synthesis [50]. The electrons are then transferred to Fd and delivered to a Nase, that functions as a Hase under limited nitrogen source conditions, for  $H_2$  production using ATP [50].



**Figure 1.4.** Schematic mechanism of  $H_2$  evolution through photo-fermentation (created according to [35,50]).

In contrast, in **dark-fermentation**, the conversion of organic substrates to H<sub>2</sub> occurs under anaerobic conditions in the absence of light [14,37,40]. In this process, obligate anaerobes such as *Clostridium* [57,58] or facultative anaerobes like *Enterobacter* [59,60] are able to produce H<sub>2</sub> and volatile fatty acids from carbohydrates like glucose or complex organic feedstocks such as organic wastes and wastewaters [14,61].

In the case of glucose fermentation, this is converted to pyruvate through glycolysis. Under anaerobic conditions, this pyruvate is converted to fermentation products (short chain fatty acids like lactic acid, acetic acid and butyric acid) producing also H<sub>2</sub>. Thus, the process of dark-fermentation can occur in two pathways (Figure 1.5): (1) in obligate anaerobic organisms, in which the decarboxylation of pyruvate into acetyl-CoA and CO<sub>2</sub> occurs by pyruvate ferredoxin oxidoreductase (PFOR), which generates reduced Fd that transfer electrons to a Hase producing H<sub>2</sub>; and (2) in facultative anaerobic organisms, in which the conversion of pyruvate to acetyl-CoA and formate occurs by the action of pyruvate formate-lyase (PFL), and then the production of H<sub>2</sub> from formate with the catalysis of the formate-hydrogen lyase (FHL), a complex comprising a formate-dehydrogenase (FDH) together with a Hase [14,62,63]. The electrons generated during glycolysis are channeled through several electron carriers to Fd which donates the electron for the reduction of protons, released from the redox mediator NADH with NADH dehydrogenase, to H<sub>2</sub> by the action of a Hase [14,35,63].

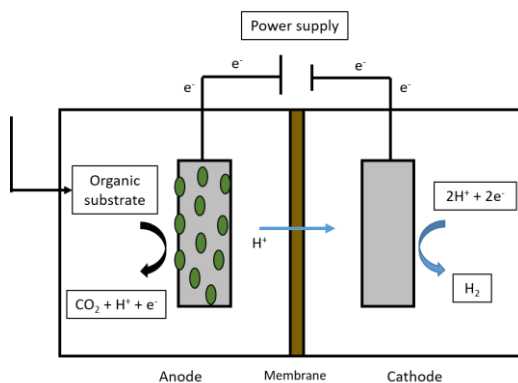


**Figure 1.5.** Schematic mechanism of  $H_2$  evolution through dark-fermentation (created according to [14,35]).

### 1.1.1.3 ELECTRICALLY DRIVEN BIOHYDROGEN PRODUCTION

Another  $H_2$  production technique that can use a wide variety of substrates to produce  $H_2$  are microbial electrolysis cells (MECs) [64]. MECs are a bioelectrochemical technology that has been used for biological  $H_2$  production as an alternative electrically driven  $H_2$  production bioprocess [14,35,65]. MECs are adapted microbial fuel cells (MFCs), in which the conversion of a wide range of organic compounds into  $H_2$  occurs by combining microbial metabolism of organic matter with bio-electrochemical reactions under a small input of external potential [66–68]. Bacteria will oxidize the organic substrate releasing  $CO_2$  and protons into solution and electrons to the anode. Then, the electrons flow from the anode through a electrical wire to the cathode electrode. By applying a small voltage and generating a current

between the anode and cathode,  $H_2$  is produced in the cathode through the reduction of protons [14,65,69].



**Figure 1.6.** Schematic of a two chamber MEC system construction and operation (created according to [65,69]).

#### 1.1.1.4 DARK-FERMENTATION IMPROVEMENT APPROACHES

Despite the advantageous features of the different bioprocesses for  $H_2$  production such as the use of an inexhaustible substrate (water) in the case of biophotolysis, the nearly complete substrate conversion in photofermentation or the variety of wastes that can be used for  $H_2$  production in dark fermentation, there are still several technical challenges to be overcome [70]. Of the biological  $H_2$  production processes previously described, dark fermentation has received increasing interest due to the: high rates of  $H_2$  production when compared to the other bioprocesses, the continuous production of  $H_2$  in the absence of light, the low energy demand, process

simplicity and easy operation (simple reactor technology, either in batch or continuous mode) and the versatility of the substrates that can be used for this process [7,33,40,70]. However, the main drawback of this fermentative process is the low  $H_2$  yield, due to the co-production of other fermentation products such as carboxylic acids and alcohols, which results in low substrate conversion efficiency [14,33,61]. As a result, several researchers have focused on the development of suitable hybrid processes, such as the two-stage system integration of the dark fermentation process, which can increase the  $H_2$  production by dark-fermentation [14,62,63]. In this integrated approach, additional energy is recovered from the organic products from the first dark-fermentation stage, like formate, butyrate, acetate, ethanol or lactate, and used for further  $H_2$  production during a second stage process that could either be a photo-fermentation process [71,72], MECs [73,74] or a second stage of dark-fermentation (e.g. in anaerobic digestion) (Figure 1.2) [75,76]. With a two-system approach, the total energy recovery is maximized making the entire process more economically and industrially viable [14]. Moreover, three-stage fermentation systems have also been investigated for  $H_2$  and also methane production (anaerobic digestion) [77,78].

Despite the positive advantages of dark-fermentation,  $bioH_2$  production is yet to compete with the existent processes derived from fossil fuels in terms of cost, efficiency and reliability [7,14]. Thus, besides developing hybrid systems for higher  $H_2$  production, the design of  $H_2$  producing bioreactors and the selection of appropriate feedstocks and suitable and efficient microbial strains as biocatalysts is extremely important for  $bioH_2$  production [62]. Significant



advances have been made in identifying H<sub>2</sub>-producing microorganisms, and optimizing systems to maximize H<sub>2</sub> production. Several studies have shown the potential of these as biocatalysts for bioH<sub>2</sub> production from different substrates such as lactate, butyrate, acetate and formate [53,79–81]. Of these, the use of formate in bioH<sub>2</sub> production studies has been an attractive area of research, due to the emergence of formate as a good H<sub>2</sub> storage material. Formate is also a key metabolite for bacteria, functioning as a growth substrate or being a metabolic product of bacterial fermentations. Moreover, since formate is also a by-product of dark-fermentation, the formation of H<sub>2</sub> from this substrate can be coupled to a two stage system. Formate-driven H<sub>2</sub> production has been observed by many organisms [38]. In *Escherichia coli*, in which formate-driven H<sub>2</sub> production is well studied, the production of H<sub>2</sub> has been observed with agar-immobilized cells, as well as by applying genetic engineering for higher H<sub>2</sub> productivity [60,82–84]. Studies with *Enterobacter* species [85,86] and hyperthermophile organisms like *Thermococcus onnurineus* and recombinant strains of *Pyrococcus furiosus* [84,87,88] have also demonstrated the capacity of these microorganisms for H<sub>2</sub> production from formate. In addition, H<sub>2</sub> production using formate was also reported in sulfate-reducing bacteria (SRB), either by using these bacteria in bio-electrodes in MEC [80,89], or by using a low cost technology like an anaerobic stirred tank reactor (ASTR) [81].

### 1.1.2 HYDROGENASES - THE ENZYMES INVOLVED IN H<sub>2</sub> PRODUCTION

BioH<sub>2</sub> production as a product of microbial metabolism is achieved by H<sub>2</sub> producing enzymes, mostly hydrogenases (Hases), that catalyze the simple and reversible reaction of H<sub>2</sub> production (equation 1.1) [90–92].



Hydrogenases are the enzymes that mediate H<sub>2</sub> metabolism in Bacteria, Archea and Eucarya [90,91,93]. Different types of Hases can be found in these microorganisms and the difference among these enzymes is based on the metal composition of their active site which divides Hases in di-iron [FeFe], nickel-iron [NiFe], and iron-sulfur cluster free [Fe] only enzymes [91,92]. Among the [NiFe] Hases, some organisms also contain [NiFeSe] Hases, a subgroup of the [NiFe] Hases where a selenocysteine (SeCys) residue is a terminal Ni ligand instead of a cysteine [94,95].

Most Hases are bidirectional and their reversible action allows the generation of molecular H<sub>2</sub>, as well as its consumption, depending on the reaction conditions, and in general their physiological function is associated with their location in the cell. Hases present in the periplasm (either soluble or associated with the membrane), are generally considered uptake Hases and utilize H<sub>2</sub> as electron source. In contrast, cytoplasmic Hases are usually proton reduction enzymes as a way of disposing of excess electrons, leading to the production of H<sub>2</sub> [93,96]. Accordingly, the [NiFe] Hases are usually involved in H<sub>2</sub> oxidation,

whereas [FeFe] Hases are often more active for the production of  $H_2$  [93]. Moreover, the [NiFeSe] Hases also display a higher  $H_2$  production than  $H_2$  oxidation activity [94,95,97].

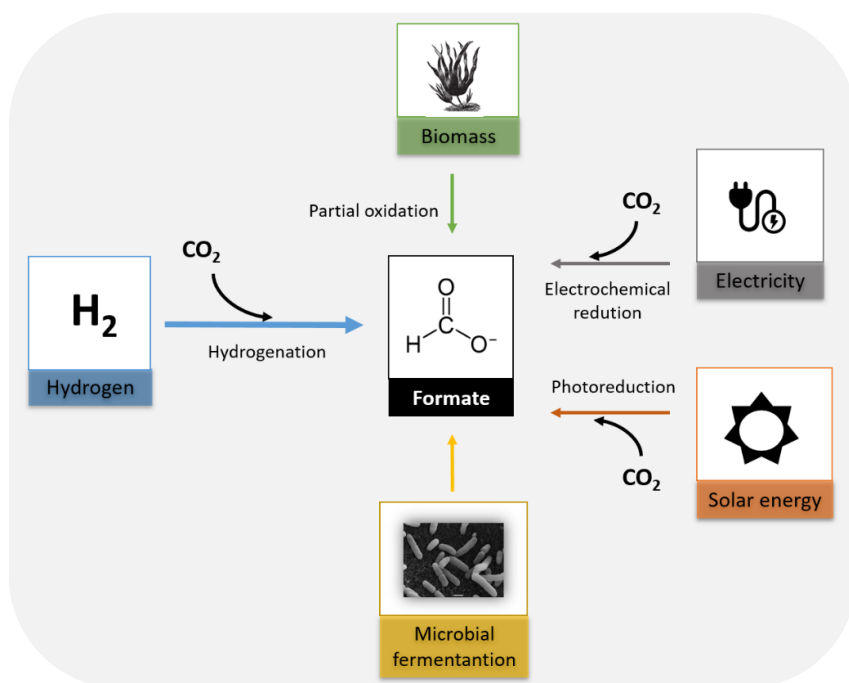
Hases usually work independently, but in some organisms, these enzymes can also function together with formate-dehydrogenases (FDHs), the enzymes responsible for formate production/oxidation (see FDHs in section 1.2.2). In those organisms, like *E. coli*, formate-driven  $H_2$  production is catalyzed by the formate-hydrogen lyase (FHL) complex, where a cytoplasmic membrane bound [NiFe] Hase is coupled to a FDH (FDH-H) [82,98–100].

A growing interest has arisen in using Hases as biotechnological tools for  $H_2$  production, either by modifying these enzymes for high performance or by applying them in electrocatalytic or photocatalytic devices. In other cases, the optimization of  $H_2$  production in whole cell biocatalysts is achieved through genetic engineering of Hases by heterologous expression of Hases or FHL complexes [84,101] or by overexpression of Hase genes [82,102,103]. In addition, molecular studies on Hases, through directed mutagenesis, have also been carried out in order to engineer Hases with low sensitivity to  $O_2$  [104,105] since some of these enzymes can be irreversibly inactivated during catalysis in the presence of  $O_2$  [96]. Furthermore, the relevance of Hases for  $H_2$  production has also been demonstrated by applying these enzymes in electrochemical and catalytic assays. Some studies have shown the applicability of [FeFe] Hases for  $H_2$  production due to their high catalytic activity [106]. The potential of a [FeFe] Hase from the organism *Desulfovibrio desulfuricans* to be used in  $H_2$ -producing

devices coupled to solar-powered water splitting was shown [107], whereas the use of a [FeFe] Hase from *Chlamydomonas reinhardtii* inside of a redox polymer (hydrogel) was demonstrated in a fuel cell for H<sub>2</sub> production [108]. However, due to [FeFe] Hases sensitivity to O<sub>2</sub>, many studies have also been performed using [NiFe] Hases, which react reversibly with O<sub>2</sub> and among these, the [NiFeSe] Hases have been further studied since they have been shown to display a high H<sub>2</sub> production activity and show less product inhibition by H<sub>2</sub> [95,97,109–111]. Reisner *et al.* have demonstrated an efficient system for photocatalytic H<sub>2</sub> production using a [NiFeSe] Hase from the organism *Desulfomicrobium baculatum* [110]. This system functions under non-strict anaerobic conditions by adsorption of the Hase on TiO<sub>2</sub> nanoparticles for photocatalytic H<sub>2</sub> production by visible light [110]. In another study, it was also shown that [NiFeSe] Hase from *Desulfovibrio vulgaris* Hildenborough had a good electrocatalytic current for H<sub>2</sub> production when bound to a gold electrode [112]. This [NiFeSe] Hase had already shown a high H<sub>2</sub> production activity [94,97] and the capacity to be immobilized on electrodes allowing for direct electron transfer [113]. Recently, in a new study, the photocatalytic production of H<sub>2</sub> from water and sunlight was also observed using the [NiFeSe] Hase from *D. vulgaris* and an inorganic semiconductor able to absorb in the visible light spectral range [114].

## 1.2 BIOLOGICAL FORMATE PRODUCTION

The production of formate has emerged as an important area of research due to the increased awareness of using formate as a favorable energy and H<sub>2</sub> carrier. However, similarly to H<sub>2</sub> production, the production of value-added chemicals like formate, currently depends almost entirely on fossil carbons or simple sugars [115]. Therefore, there is an urgent need to develop less energy intensive methods that may utilize available and cheap resources for the production of formate (Figure 1.7).



**Figure 1.7.** A schematic representation of formate production processes from renewable sources (created according to [115]).

One of the approaches for sustainable formate production is through biomass processing by oxidative conversion of biomass with overpressure of  $O_2$  to give formate [116,117]. In this process, polyoxometalate catalysts are able to convert carbohydrate based biomass (e.g. glucose) or even water-insoluble biomass (e.g. cellulose, lignin, waste paper or microorganisms such as cyanobacteria) to formate in the presence of  $O_2$  [116,117]. However, it requires high temperatures and pressures of  $O_2$  to work [116,117]. Furthermore, it is also known that formate can be a sub-product generated during metabolic fermentation by many microorganisms like *E. coli*, *Enterobacter*, *Clostridium* during dark-fermentation [118,119]. On the other hand, an approach that has attracted much attention is the use of  $CO_2$  as renewable material for its conversion to formate [17,115,120,121]. This works as a strategy to both decrease the levels of  $CO_2$  and to produce a valuable compound to be used as  $H_2$  storage material. In this sense, the use of electricity for electrochemical reduction of  $CO_2$  to formate is an option where direct electron transfer from the electrode to living cells or enzymes is carried out [122–125]. In another process, photoreduction of  $CO_2$  provides a direct process for formate production using light-driven photocatalysts (based on ruthenium and rhenium) for the reduction of  $CO_2$  to form formate with high selectivity using a wide range of wavelengths of visible light [126,127]. Moreover, another process that has gained attention is the production of formate through the reduction of  $CO_2$  with molecular  $H_2$  [9,115]. Several processes using chemical catalysts can be used for reduction of  $CO_2$  to formate (as mentioned in section 1), but these technologies require expensive metals

and demanding conditions to work [10–12,31,121]. An alternative approach is found in biologic systems, using whole cell biocatalysts, which offer a green and potent alternative for efficient CO<sub>2</sub> conversion to formate (including hydrogenation of CO<sub>2</sub>) [128–130].

### 1.2.1 MICROORGANISMS AS BIOCATALYSTS FOR FORMATE PRODUCTION

The use of microorganisms in formate production constitutes an attractive biotechnological application and there is a great interest in finding new biocatalysts for the reduction of CO<sub>2</sub>. Until now, only a few studies have shown the capacity and efficiency of different organisms as biological systems for the production of formate [100,128–131]. Whole cells of the acetogen *Acetobacterium woodii* were demonstrated to be able to produce formate from hydrogenation of CO<sub>2</sub> under defined growth conditions [128]. Acetogens possess a carbon fixation pathway producing acetate (the Wood-Ljungdahl pathway), in which the first step involves reduction of CO<sub>2</sub> to formate [132]. The formate production observed in *A. woodii* was only possible after disrupting its energy metabolism for acetate production. In addition, formate production was also shown in the sulfate-reducing bacterium *D. vulgaris* Hildenborough when this organism was fed with CO<sub>2</sub> and H<sub>2</sub> [131] and in *E. coli* cells, from CO<sub>2</sub> or bicarbonate and H<sub>2</sub> [100,129].

In biological studies, different approaches can be applied to enhance the formate productivity of bacteria. The use of genetic engineering for the

modification of FDHs present in bacteria is one of the target processes regarding the use of whole cell for formate production. Deletion of formate oxidizing FDHs, overexpression of FDHs which act towards CO<sub>2</sub> reduction, as well as, the heterologous expression of FDHs for formate production in more efficient biological systems are all promising mechanisms to achieve higher formate production performances. This last approach was shown in a previous study where recombinant *E. coli* cells harboring FDHs from different organisms, such as *Clostridium carboxidivorans*, *Methanobacterium thermoformicicum* and *P. furiosus*, demonstrated an improvement in formate productivity from H<sub>2</sub> and bicarbonate [129].

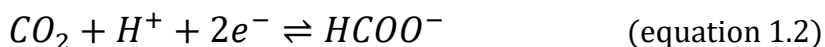
Most recently, a new approach using electro-biocatalytic assays was also performed for formate production in *Methylobacteria* oxygen-stable cells [130]. An electrochemical reactor was operated using *Methylobacteria* species with CO<sub>2</sub> as carbon source and electricity as a reducing agent instead of H<sub>2</sub> [130].

### **1.2.2 FORMATE-DEHYDROGENASES – THE ENZYMES RESPONSIBLE FOR FORMATE PRODUCTION**

In biological systems, the production of formate is carried out by formate-dehydrogenases (FDHs). FDHs comprise a heterogeneous group of enzymes that can be found both in eukaryotes and prokaryotes [133]. These enzymes are most often found to physiologically catalyze formate oxidation and the



release of CO<sub>2</sub>. However, FDHs are reversible and can catalyze both formate oxidation and CO<sub>2</sub> reduction to formate (equation 1.2) [133–136].



Two main types of FDHs are described, FDHs containing a nicotinamide adenine dinucleotide (NAD<sup>+</sup>) cofactor known as NAD<sup>+</sup>-dependent FDHs and the metal-containing FDHs [119]. NAD<sup>+</sup>-dependent FDHs can be found in aerobic organisms, yeasts, fungi and plants and are oxygen-tolerant enzymes [135]. These FDHs contain a NAD<sup>+</sup> cofactor at the active site and catalyze the concomitant reduction of NAD<sup>+</sup> to NADH and formate oxidation to CO<sub>2</sub> [119,135,136]. The metal-containing FDHs are NAD<sup>+</sup>-independent enzymes which contain redox active molybdenum (Mo) or tungsten (W) prosthetic groups, iron-sulfur clusters and selenium in the form of SeCys [134,137]. According to their metal content, FDHs can sub-divided as molybdenum-containing FDH (Mo-FDH) and tungsten-containing FDH (W-FDH). These metallo-FDHs are broadly distributed throughout the bacterial kingdom, but due to the presence of oxidizable cofactors, they are most commonly found in anaerobic organisms. Similarly to other type of enzymes, the function of FDHs is also thought to be linked with their cellular location. The FDHs that mainly act as CO<sub>2</sub> reductases are found in the cytoplasm of many microorganisms, whereas periplasmic FDHs usually function towards the oxidation of formate [134–136].

FDHs that catalyze CO<sub>2</sub> reduction are of interest for the capture of CO<sub>2</sub> and for the production of formate as a stabilized form of H<sub>2</sub>. The enzymatic CO<sub>2</sub> reductase activity of W and Mo containing FDHs enzymes, as well as NAD<sup>+</sup>-dependent FDHs enzymes, has been successfully demonstrated *in vitro* [100,124,138–142]. FDHs from acetogenic organisms have been characterized and found to be capable of catalyzing CO<sub>2</sub> reduction to formate under thermodynamically favorable conditions [128,139,143–145]. Recently, a FDH from the acetogen *C. carboxidivorans* was recombinantly expressed in *E. coli* and shown to display a high CO<sub>2</sub> reducing activity [139]. Furthermore, a new FDH from *A. woodii* was also described and found to directly convert CO<sub>2</sub> to formate using H<sub>2</sub> as an electron donor and it is responsible for formate production *in vivo* [128]. This FDH is part of the hydrogen-dependent CO<sub>2</sub> reductase complex, where FDH is coupled to a [FeFe] Hase [128]. Moreover, non-acetogenic FDHs, which are known to catalyze formate oxidation have also been found to be capable of reducing CO<sub>2</sub> to formate [100,124,125,140,141]. Recently, it was demonstrated that the FDH from the FHL complex in *E. coli* can also reduce CO<sub>2</sub> to formate [100]. The potential for CO<sub>2</sub> reduction of the FDH from *E. coli*, as well as, of W-FDHs from *Syntrophobacter fumaroxidans* and *Methylobacterium extorquens* were also described by electrochemical studies [124,125,141]. These enzymes operated as thermodynamically reversible catalysts and maintained high catalytic performance immobilized in an electrode [124,125,141]. An oxygen-tolerant Mo-dependent FDH from *Rhodobacter capsulatus* was also reported to catalyze the reduction of CO<sub>2</sub> with NADH [140]. In a recent study, the reduction

of CO<sub>2</sub> by a FDH from the sulfate-reducing bacterium *D. desulfuricans*, was also demonstrated [138].

All of these kinetic and electrochemical studies demonstrate the potential of FDHs in biotechnological processes for the conversion of CO<sub>2</sub> to formate. Nevertheless, the catalytic properties of these enzymes as biocatalysts vary greatly depending on the source organism.

### **1.3 SULFATE-REDUCING BACTERIA FOR H<sub>2</sub> AND FORMATE PRODUCTION**

Sulfate-reducing bacteria (SRB) are a group of environmental anaerobic microorganisms that play a key role in the global cycles of carbon and sulfur. These organisms are widespread in anoxic habitats, where they use sulfate as terminal electron acceptor for the degradation of organic compounds to sulfide as the major metabolic end product. These organisms are phylogenetically diverse and metabolically versatile. Regarding their metabolism, SRB utilize a wide range of substrates as energy sources (e.g., molecular hydrogen, short chain fatty acids, alcohols, hydrocarbons, sugars, etc) and besides the use of sulfate as electron acceptor, many SRB can also use additional compounds as electron acceptors such as sulfite, thiosulfate or nitrate [146–148]. These organisms can be found in many anoxic environments where sulfate is present, such as marine sediments, freshwaters, soils, hydrothermal vents, hydrocarbon seeps and mud volcanoes and in hypersaline microbial mats [146].

SRB are very important organisms involved in several biotechnological applications like bioremediation of heavy metals and wastewater treatment [146,149]. Recently, the potential of these bacteria as biocatalysts in a H<sub>2</sub> production process was also demonstrated [80,81,89], mainly using formate as substrate [81].

H<sub>2</sub> and formate are important energy sources for SRB in natural habitats. These substrates play a central role in the energy metabolism of SRB of the genus *Desulfovibrio*, the most studied SRB [147,149]. H<sub>2</sub> and formate produced by fermentative organisms are used by SRB as energy source and since they are the most efficient H<sub>2</sub> consumers they can outcompete other organisms, like methanogens, if sulfate is present [146,147]. However, although SRB are normally considered as H<sub>2</sub> consumers they can also produce H<sub>2</sub> in the absence of sulfate [148] as described in previous studies with *Desulfovibrio* species [81,150].

In 2013, Martins and Pereira demonstrated the potential of *D. vulgaris* Hildenborough for H<sub>2</sub> production from formate in the absence of sulfate [81]. In this study, the capacity of *D. vulgaris* for formate-driven H<sub>2</sub> production was optimized by evaluating several parameters (pH, metal cofactors, substrate concentration, and cell load) in batch conditions and by using an anaerobic stirred tank reactor (ASTR) [81]. *D. vulgaris* was shown to convert formate to H<sub>2</sub> with 100 % efficiency producing 15 mL L<sup>-1</sup> h<sup>-1</sup> of H<sub>2</sub> (with a specific rate of 7 mmol g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>) [81]. The H<sub>2</sub> production capacity demonstrated by *D. vulgaris* highlighted the potential of these microorganisms as H<sub>2</sub> producers in second-stage dark-fermentation process and the importance of exploring SRB

for biotechnological applications in further studies. However, in this work the production of  $H_2$  from formate was not coupled to bacterial growth [81]. In fact, growth coupled to formate-driven  $H_2$  production has been only observed in a single hyperthermophile organism *T. onnurineus* [151] or in syntrophy with the methanogenic *Methanobrevibacter arboriphilus* AZ and a sulfate-reducing bacterium *Desulfovibrio* strain G11 [152].

The growth of SRB coupled to  $H_2$  production was only previously observed in syntrophy with methanogenic organisms through the consumption of  $H_2$  by these organisms, which keep the  $H_2$  partial pressure low [152,153]. It is known that due to their versatile metabolism, SRB are also present in sulfate-limited habitats where they ferment organic acids and alcohols while producing  $H_2$ , acetate and  $CO_2$ , by forming syntrophic associations with  $H_2$ -consuming organisms [154]. In these conditions, it was shown that SRB from *Desulfovibrio* genus were able to grow syntrophically with methanogens such as *Methanococcus maripaludis* strain S2 on lactate [153] and with *M. arboriphilus* AZ on formate [152] by reducing protons to  $H_2$ .

The formate-driven  $H_2$  production in SRB results from the high content of Hases and FDHs present in these microorganisms, which are differently distributed among the SRB, where they play an important role in the energy metabolism [147,149,155–158]. In SRB of the genus *Desulfovibrio*, three classes of Hases are described: the [FeFe], the [NiFe] and the [NiFeSe] Hases [97,109,149,157]. These enzymes are either periplasmic or cytoplasmic, and can be soluble or membrane bound with the active site facing the periplasm

or cytoplasm [149,157]. A genomic analysis has shown that periplasmic Hases are found in most SRB, which may function in the uptake of H<sub>2</sub>, and a higher number of these enzymes is present in the *Desulfovibrionaceae* family [149]. These Hases can be composed of two subunits, a large catalytic subunit and a small electron-transfer subunit, and transfer electron to one or several cytochromes c. However, a three subunit periplasmic Hase can also be found in some SRB, where the third subunit is a membrane-associated protein responsible for quinone reduction [149]. Among the periplasmic Hases, the soluble periplasmic [NiFe] HynAB Hase is the most common with all the Deltaproteobacteria SRB containing at least one copy of HynAB [149]. Many of these periplasmic Hases, including [NiFe] HynAB, [NiFeSe] HysAB and [FeFe] HydAB Hases, use a Type I cytochrome c<sub>3</sub> (Tplc<sub>3</sub>) as electron acceptor [156]. In contrast, another [NiFe] HynABC<sub>3</sub> Hase, only present in a few organisms, has a cytochrome c<sub>3</sub> encoded next to the *hynAB* genes [149]. Most SRB contain cytoplasmic Hases, either soluble or membrane-bound, which belong to the [NiFe] and [FeFe] Hases families. The two most common are the energy-conserving membrane bound [NiFe] Hases, Ech and Coe [149]. In SRB, FDHs can also be present in the cytoplasm or in the periplasm and their cellular location is related to their function [134–136]. Moreover, these enzymes can have a large diversity in their co-factor composition and structure. The soluble periplasmic FDHs can contain only the catalytic and small subunits (FdhAB) or in other cases have a dedicated cytochrome c<sub>3</sub> (FdhABC<sub>3</sub>) [159,160]. In the case of FdhAB, the physiological electron acceptor is likely to be the soluble Tplc<sub>3</sub> [161,162]. Periplasmic FDHs can also be membrane-associated, in which

a subunit for quinone reduction is present: with a NarI-like cytochrome b (FdhABC) or a larger protein of the NrfD family (FdhABD) [149]. Most FDHs in SRB have a Mo or W co-factor, and depending on the metal availability different FDHs are expressed, as already reported in *D. vulgaris* Hildenborough and *Desulfovibrio alaskensis* NCIMB 13491 [163,164]. Cytoplasmic FDHs are also present in almost all SRB, which can be NAD(P)H-linked FDH, ferredoxin (Fd)-dependent FDH or even part of a soluble FHL complex. Both Hases and FDHs are fundamental in understanding the cellular H<sub>2</sub> and formate metabolism in SRB, and although they are generally found working independently, in some SRB, like *Desulfovibrio alaskensis* G20 (Table 1), they are also found as a FHL complex [149]. This enzymatic system identified in SRB by genome analysis is soluble, and includes an [FeFe] Hase, a FDH and two four-cluster electron-transfer proteins [149].

## 1.4 AIM OF THE THESIS

Due to the importance of implementing a H<sub>2</sub> and formate economy, there is a need to find new and alternative biological processes and biocatalysts for the production of these two energy carriers. The potential of SRB for H<sub>2</sub> production from formate was reported in a previous study, where *D. vulgaris* Hildenborough was shown to have a high H<sub>2</sub> productivity. This has highlighted the importance of using these bacteria as biocatalysts in further fundamental and applied H<sub>2</sub> production studies. In addition, due to the reversible action of Hases and FDHs, which are abundant enzymes in SRB, the potential of these microorganisms for the production of formate could also be explored. Thus, studies on H<sub>2</sub> and formate production by SRB were conducted in this thesis:

- Design and optimize a new bioprocess for H<sub>2</sub> production (**Chapter 2**)
- Evaluate if there is growth coupled to formate-driven H<sub>2</sub> production in a single mesophilic organism (**Chapter 2**)
- Investigate the electron transfer mechanisms involved in formate-driven H<sub>2</sub> production (**Chapter 3**)
- Explore the capacity of SRB for formate production by hydrogenation of CO<sub>2</sub> and develop a new bioprocess for it (**Chapter 4**)
- Investigate the metabolic pathways involved in formate production (**Chapter 4**)



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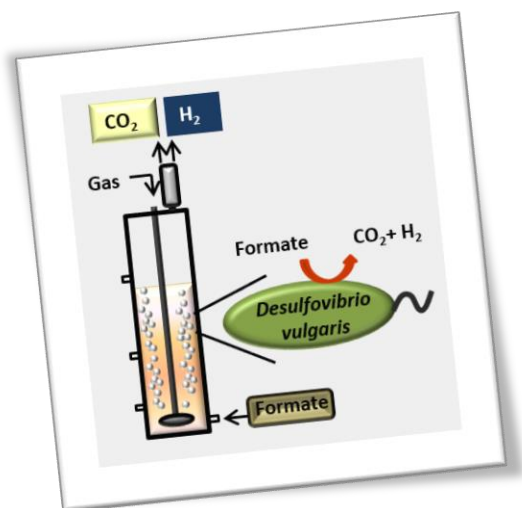
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# CHAPTER 2

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## ***DESULFOVIBRIO VULGARIS* GROWTH COUPLED TO FORMATE-DRIVEN H<sub>2</sub> PRODUCTION**



The work presented in this chapter was published in:

Mónica Martins, **Cláudia Mourato**, and Inês A. C. Pereira. 2015. *Desulfovibrio vulgaris* growth coupled to formate-driven H<sub>2</sub> production. Environmental Science and Technology. 49 (24): 14655-62.

Cláudia Mourato was involved in all the bioreactor assays, namely on the motorization of H<sub>2</sub> production and cell growth.



## 2. ABSTRACT

Formate is recognized as a superior substrate for biological H<sub>2</sub> production by several bacteria. However, the growth of a single organism coupled to this energetic pathway has not been shown in mesophilic conditions. In the present study, a bioreactor with gas sparging was used, where we observed for the first time that H<sub>2</sub> production from formate can be coupled with growth of the model sulfate-reducing bacterium *Desulfovibrio vulgaris* in the absence of sulfate or a syntrophic partner. In these conditions, *D. vulgaris* had a maximum growth rate of 0.078 h<sup>-1</sup> and a doubling time of 9 h, and the  $\Delta G$  of the reaction ranged between -21 and -18 kJ mol<sup>-1</sup>. This is the first report of a single mesophilic organism that can grow while catalyzing the oxidation of formate to H<sub>2</sub> and bicarbonate. Furthermore, high volumetric and specific H<sub>2</sub> production rates (125 mL L<sup>-1</sup> h<sup>-1</sup> and 2500 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>) were achieved in a new bioreactor designed and optimized for H<sub>2</sub> production. This high H<sub>2</sub> production demonstrates that the nonconventional H<sub>2</sub>-producing organism *D. vulgaris* is a good biocatalyst for converting formate to H<sub>2</sub>.

### 2.1 INTRODUCTION

Formate is considered to be an environmentally friendly H<sub>2</sub> storage compound [1,2]. Consequently, extensive efforts have been directed to the development of chemical catalysts for its conversion to H<sub>2</sub> [2–4]. As an alternative to

chemical processes, formate can be biologically converted to H<sub>2</sub> according to the reaction:



Although a large number of microorganisms catalyze the oxidation of formate to H<sub>2</sub> and bicarbonate, this reaction had not been considered energetic enough to support growth of microorganisms until the discovery of the hyperthermophilic archaeon *Thermococcus onnurineus* NA1, which can grow by this conversion [5–8]. The high growth temperature of this organism coupled with tolerance to high concentrations of formate favors the bioenergetics of the reaction ( $\Delta G' = -2.6 \text{ kJ mol}^{-1}$  at 80 °C), enabling growth. The growth of *T. onnurineus* NA1 by converting formate to H<sub>2</sub> and CO<sub>2</sub> was recently shown to be coupled to ATP synthesis. This involves a formate-hydrogen lyase (FHL; comprising a formate dehydrogenase (FDH) and a membrane-bound hydrogenase (Hase)), a sodium-proton antiporter and a Na<sup>+</sup>-dependent ATP synthase [8]. Oxidation of formate by the FHL complex is associated with H<sub>2</sub> production by the membrane-bound Hase, which couples it with the generation of a proton gradient. The proton gradient is converted to a sodium gradient by the Na<sup>+</sup>/H<sup>+</sup> antiporter and this then drives ATP synthesis [8].

In mesophilic conditions, it has never been shown that H<sub>2</sub> production from formate can be coupled with the growth of a single organism. At this temperature range, formate oxidation in the absence of an external electron

## *D. vulgaris* growth coupled to formate-driven H<sub>2</sub> production

acceptor would require very low H<sub>2</sub> concentrations to support growth of microorganisms. To our knowledge, bacterial growth of mesophilic organisms on formate has been reported only for a syntrophic community of a formate-oxidizing sulfate-reducing bacterium (SRB), and a hydrogenotrophic methanogen [9]. This syntrophic growth is sustained by the consumption of H<sub>2</sub> by the methanogen, which keeps the H<sub>2</sub> partial pressure (P<sub>H<sub>2</sub></sub>) low enough to make the reaction thermodynamically favorable [7–10]. The electron transfer chain involved in syntrophic formate oxidation by SRB is not known, but it was proposed to involve a periplasmic FDH coupled to a cytoplasmic energy conserving hydrogenase (Ech) [9]. SRB are notorious for expressing a high level of Hases and FDHs [11] the enzymes responsible for formate-driven H<sub>2</sub> production. Recently, we demonstrated in batch studies that the model organism *Desulfovibrio vulgaris* displays a very high H<sub>2</sub> productivity from formate in the absence of sulfate [12]. However, growth coupled to H<sub>2</sub> production was not observed. H<sub>2</sub> evolution pathways are usually regulated by H<sub>2</sub> concentration and the P<sub>H<sub>2</sub></sub> may rapidly reach a level that thermodynamically inhibits H<sub>2</sub> production and bacterial growth [7,13,14]. Thus, in the present work two anaerobic bioreactor designs with gas sparging (one conventional stirred tank reactor and a column reactor designed specifically for H<sub>2</sub> production) were used to enhance H<sub>2</sub> production from formate by *D. vulgaris*, and investigate if this strain can grow by the conversion of formate to H<sub>2</sub> and bicarbonate in the absence of a methanogen. Gas sparging was used to maintain a low P<sub>H<sub>2</sub></sub> in the liquid phase replacing the H<sub>2</sub> consuming syntrophic partner.

## 2.2 MATERIALS AND METHODS

### 2.2.1 BACTERIAL STRAINS AND GROWTH CONDITIONS

The present work was performed using *D. vulgaris* Hildenborough (DSM 644). The strain was grown in modified Postgate medium C containing 0.5 g L<sup>-1</sup> KH<sub>2</sub>PO<sub>4</sub>, 1 g L<sup>-1</sup> NH<sub>4</sub>Cl, 2.5 g L<sup>-1</sup> Na<sub>2</sub>SO<sub>4</sub>, 0.06 g L<sup>-1</sup> CaCl<sub>2</sub>·2H<sub>2</sub>O, 0.06 g L<sup>-1</sup> MgSO<sub>4</sub>·7H<sub>2</sub>O, 1 g L<sup>-1</sup> yeast extract, 0.0071 g L<sup>-1</sup> FeSO<sub>4</sub>·7H<sub>2</sub>O, 0.3 g L<sup>-1</sup> sodium citrate tribasic dihydrate, 0.1 g L<sup>-1</sup> ascorbic acid, 0.1 g L<sup>-1</sup> sodium thioglycolate, 4.5 g L<sup>-1</sup> sodium lactate and 0.3 mg L<sup>-1</sup> resazurin. Bacterial growth was carried out at 37 °C using 120 mL serum bottles with a working volume of 50 mL and N<sub>2</sub> as gas headspace. The bottles were sealed with butyl rubber stoppers and aluminum crimp seals.

### 2.2.2 BIOREACTOR ASSAYS

H<sub>2</sub> production in the stirred tank reactor was carried out using a conventional Biostat APlus system (Sartorius Stedim, Germany) bioreactor with a 3 L vessel. This reactor was operated with a working volume of 1.5 L, stirring rate of 50 rpm and a N<sub>2</sub> flow rate of 115 mL min<sup>-1</sup>. The internal temperature was kept at 37 °C by a heating blanket and the pH was set at 7.2 by automatic addition of HCl (0.2 M). The medium used for the experiments was the one described above with a few modifications: limiting sulfate concentration (3.5 mM), sodium formate (80 mM) instead of sodium lactate, supplementation with

#### *D. vulgaris* growth coupled to formate-driven H<sub>2</sub> production

sodium acetate (20 mM), MOPS buffer (200 mM), nickel chloride (1 μM), sodium selenite (1 μM) and sodium tungstate (0.1 μM). Three control experiments were also performed: (1) bioreactor with N<sub>2</sub> sparging fed with the defined MO medium [15] supplemented with sodium sulfate (3.5 mM), sodium formate (80 mM), sodium acetate (20 mM), MOPS buffer (200 mM) instead of Tris-HCl, nickel chloride (1 μM), sodium selenite (1 μM), sodium tungstate (0.1 μM), ascorbic acid (0.1 g L<sup>-1</sup>), sodium thioglycolate (0.1 g L<sup>-1</sup>) and resazurin (0.3 mg L<sup>-1</sup>), (2) bioreactor fed with Postgate medium operating without N<sub>2</sub> sparging (batch mode), and (3) bioreactor with N<sub>2</sub> sparging fed with Postgate medium without sulfate and continuous additions of sodium sulfide (140 mM) at a flow rate of 0.11 mL min<sup>-1</sup>.

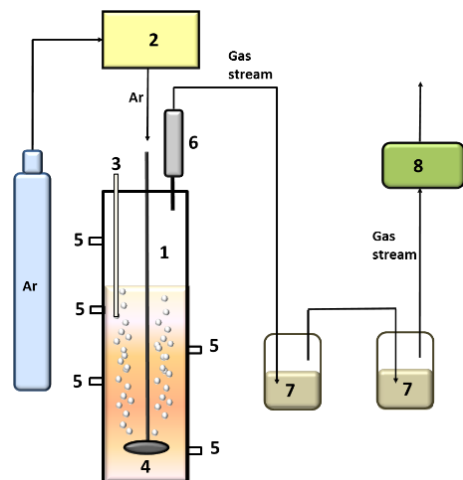
The sparging column reactor was designed using a glass column (inner diameter 5.5 cm, height 35 cm) equipped with an argon sparging system (gas distribution tube with a borosilateglass disc with diameter of 3.4 cm and pore size of 100-160 μm) (Figure 2.1). The reactor operated with a working volume of 340 mL and the internal temperature of bioreactor was kept constant by a heating blanket. The column reactor experiments were performed using the medium C described for H<sub>2</sub> production in the stirred tank reactor but with 40 mM sodium formate, 10 mM sodium acetate and 100 mM MOPS buffer. The pH of the medium was adjusted to 7.2 ± 0.1.

In both reactors, the gas outlet was equipped with two H<sub>2</sub>S traps in series containing zinc acetate (0.9 M) buffered with glacial acetic acid to pH 4 (Figure 2.1), followed by a H<sub>2</sub> sensor (BlueSens gas sensor, Germany) for online monitoring of H<sub>2</sub> in the exit gas stream. The data were recorded every 5 s by the BioPat<sup>®</sup>MFC fermentation software (Sartorius Stedim, Germany). In both

bioreactors 10 % (v/v) of inoculum grown in Postgate medium was used for startup.

Several operation parameters were tested in order to attain the optimal conditions for H<sub>2</sub> production in the column reactor: temperature (from 35 to 45 °C), initial cell load (from 50 to 215 mg<sub>dcw</sub> L<sup>-1</sup>) and argon flow rate ( $Q_{Ar}$ ) (from 50 to 100 mL min<sup>-1</sup>). Fed-batch experiments were also performed in order to investigate the maintenance of H<sub>2</sub> production capability of the SRB cells. For this purpose the reactor was supplied periodically with a nutrient solution containing formate (1 M sodium formate, 2.4 M MOPS and 1.8 % (w/v) yeast extract) and a sulfide solution (200 mM). The solutions were added to achieve 30 mM of formate and 2.5 mM of sulfide in the reactor. Each experiment was carried out at least in duplicate.

**Figure 2.1.** Schematic illustration of the sparging column reactor developed for H<sub>2</sub> production: 1, bioreactor; 2, system controller; 3, temperature sensor; 4, system sparging; 5, sampling ports; 6, condenser; 7, H<sub>2</sub>S trap; 8, H<sub>2</sub> sensor.



## 2.2.4 ANALYTICAL METHODS

Cell growth was monitored by measuring optical density at 600 nm (OD<sub>600</sub>) with a Shimadzu UV/Vis spectrophotometer. Biomass was determined by measuring the dry cell weight (dcw) and correlated with OD<sub>600</sub> values. One unit value of OD<sub>600</sub> corresponded to 0.31 g<sub>dcw</sub> L<sup>-1</sup> [12]. Liquid samples were periodically collected and filtered (0.22 μM) before analysis. Sulfate was quantified by UV/Vis spectrophotometry at 450 nm using the method of SulfaVer<sup>®</sup> 4 (Hach-Lange, Dusseldorf, Germany). The concentration of formate was determined by high performance liquid chromatography (HPLC) using a Waters system (Waters Chromatography, Milford, MA) and a LKB 2142 differential refractometer detector (LKB, Bromma, Sweden). Chromatographic separation was undertaken using an Aminex HPX-87H column (300 x 7.8 mm), 9 μm particle sizes (Bio-Rad, Hercules, California) at 45 °C. Elution was carried out isocratically with 0.005 N of H<sub>2</sub>SO<sub>4</sub> at a flow rate of 0.6 mL min<sup>-1</sup>. The concentration of bicarbonate in the liquid samples was determined by measuring the CO<sub>2</sub> formed by acidifying samples with H<sub>2</sub>SO<sub>4</sub> and heating for 5 min at 100 °C. CO<sub>2</sub> content in the headspace was determined using a Trace GC gas chromatograph (Thermo Finnigan LCC, San Jose, CA) equipped with a CTR-1 column (Altech) and a thermal conductivity detector (TCD). Helium was used as carrier gas at a flow rate of 30 mL min<sup>-1</sup>.

## 2.2.5 THERMODYNAMIC CALCULATIONS

The Gibbs free-energy changes for the formate-dependent H<sub>2</sub> production reaction (equation 2.1) were calculated over the course of the experiments in the bioreactor using the Nernst equation and the measured values of P<sub>H<sub>2</sub></sub>, formate and bicarbonate concentrations. The standard Gibbs free-energy was corrected for work temperature using the Gibbs-Helmholtz equation and values of enthalpy energies of formation of products and reactants were taken from the work of Hanselmann [16].

## 2.3 RESULTS

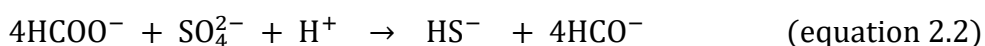
### 2.3.1 STIRRED TANK REACTOR ASSAYS

H<sub>2</sub> production by *D. vulgaris* in the presence of N<sub>2</sub> sparging was first tested in a stirred tank reactor (Figure 2.2). We previously reported that inclusion of a small amount of sulfate at start-up is beneficial for formate-driven H<sub>2</sub> production by this organism [12] helping to establish a low redox potential environment and leading to some cell growth. The sulfate present (3.5 mM) is reduced in the first 7 h, leading to an increase of OD<sub>600</sub> from 0.11 to 0.18. Unexpectedly, growth continued for several hours after sulfate was depleted, leading to a maximum OD<sub>600</sub> of 0.26 after 12 h. In this period, formate oxidation to H<sub>2</sub> and bicarbonate allowed growth of *D. vulgaris* with a maximum

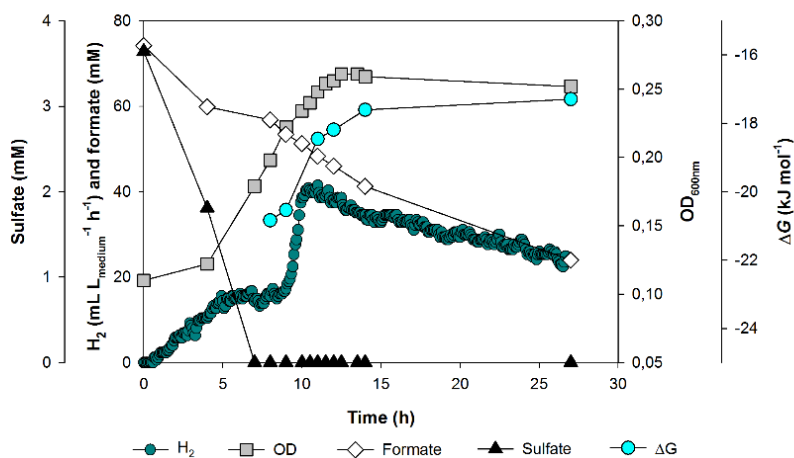


#### *D. vulgaris* growth coupled to formate-driven H<sub>2</sub> production

growth rate of 0.078 h<sup>-1</sup> and a doubling time of 9 h. Interestingly, H<sub>2</sub> production was observed while sulfate was still being reduced, meaning that the oxidation of formate is not tightly coupled to sulfate reduction, with some of the electrons being channeled both for proton reduction. At this stage 17 mM of formate and 3.6 mM of sulfate were consumed, and 3.0 mM of H<sub>2</sub> were produced, which according to the stoichiometry of the reactions involved (equations 2.1 and 2.2), means that 14 mM of formate (82 %) was used for sulfate reduction, with 3 mM of formate (18 %) being channeled for H<sub>2</sub> production.



After the depletion of sulfate, H<sub>2</sub> production remained constant at a rate of 15 mL L<sup>-1</sup> h<sup>-1</sup> during approximately 3 h suggesting a period of adaptation to the absence of sulfate. Subsequently, the H<sub>2</sub> production rate showed a steep increase, reaching a maximum of 40 mL L<sup>-1</sup> h<sup>-1</sup> after 10 h of incubation and this rate was maintained for 3 more hours. After that, the production of H<sub>2</sub> started to decrease reaching a rate of 24 mL L<sup>-1</sup> h<sup>-1</sup> in the end of the experiment (27 h), which corresponds to 60 % of the maximum rate observed in the reactor. After sulfate depletion, from 7 to 10 h, the calculated P<sub>H<sub>2</sub></sub> was at 342 Pa and this value increased to 836 Pa at maximum H<sub>2</sub> production (12 h). The concentration of bicarbonate in the bioreactor ranged from 3.3 to 4.7 mM (Table 2.1). Under these conditions, the ΔG values ranged between -21 and -18 kJ mol<sup>-1</sup> for the conversion of formate (57 to 46 mM) to H<sub>2</sub> and bicarbonate (Figure 2.2 and Table 2.1).



**Figure 2.2.** Profile of *D. vulgaris* growth and H<sub>2</sub> production from formate in the stirred tank reactor operated with a gas flow rate of 115 mL min<sup>-1</sup>. Actual ΔG values for formate oxidation to H<sub>2</sub> and bicarbonate were calculated at 37°C at the times indicated (see Table 2.1 for the analytical data).

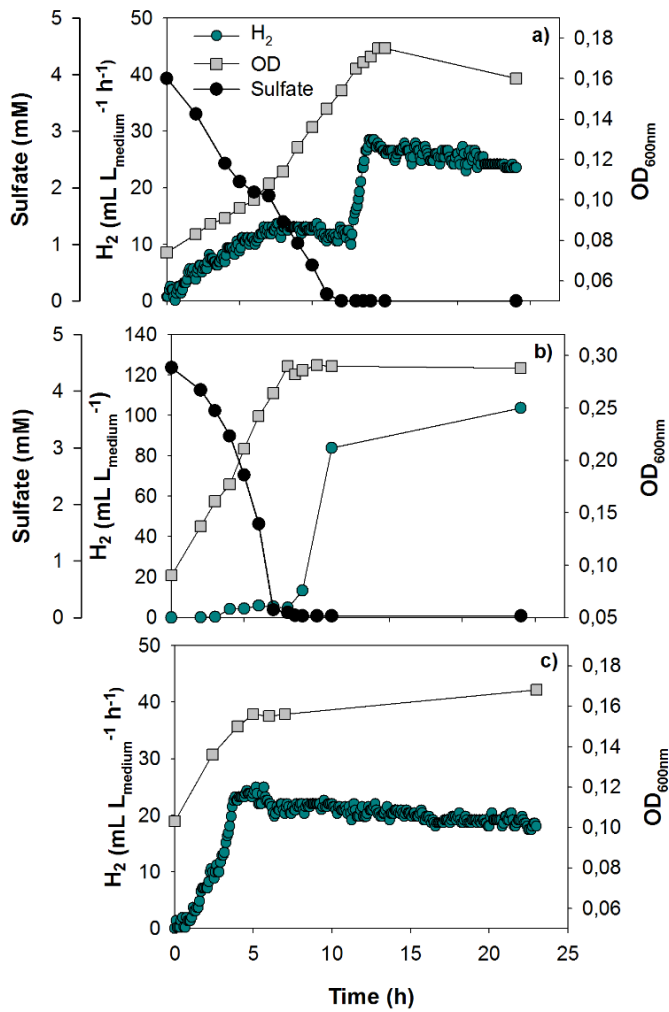
**Table 2.1:** Analytical data used for the determination of Gibbs energy values at 37°C.

Time (h)	Formate (mM)	HCO <sub>3</sub> <sup>-</sup> (mM)	H <sub>2</sub> (Pa)	ΔG <sup>a)</sup> (kJ mol <sup>-1</sup> )
0	74	-	-	-
9	53	3.9	380	-20.5
11	48	3.3	912	-18.5
12	46	3.8	836	-18.2
14	41	4.7	560	-17.6
27	24	4.3	546	-17.3

a) Calculated considering the standard Gibbs energy value ΔG° = +0.6 kJ mol<sup>-1</sup> at 37°C.

## *D. vulgaris* growth coupled to formate-driven H<sub>2</sub> production

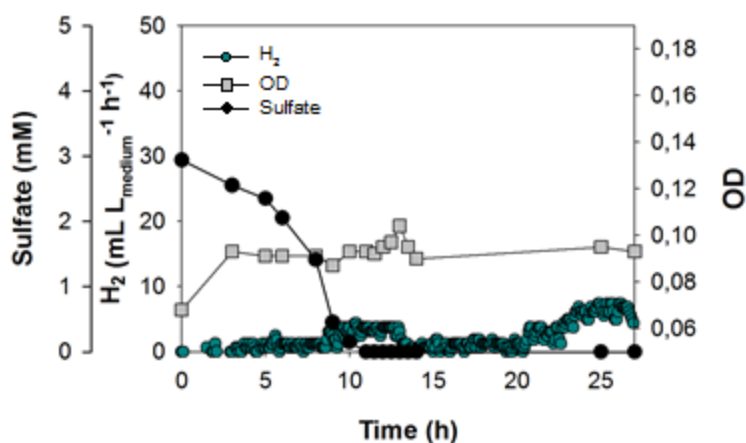
To further investigate if the oxidation of formate to H<sub>2</sub> and bicarbonate supports growth of *D. vulgaris*, additional control experiments were performed to test if yeast extract could provide further electron acceptors to support growth, and if the initial sulfate is necessary for this growth to occur. The first experiment used a defined medium in the bioreactor, which was inoculated with a culture grown in modified Postgate medium C. This means that the residual yeast extract in the bioreactor was at most 0.01 %, which is ten times less than in the previous experiments. In these conditions, the H<sub>2</sub> production profile was similar to that observed with yeast extract, reaching a maximum H<sub>2</sub> production rate of 30 mL L<sup>-1</sup> h<sup>-1</sup> (Figure 2.3a). Growth of *D. vulgaris* was still observed after sulfate was depleted, leading to a maximum OD<sub>600</sub> of 0.18 after 15 h. This corresponds to about half the OD increase observed in the presence of 0.1 % yeast extract (Figure 2.2). The maximum growth rate was 0.054 h<sup>-1</sup> (doubling time 13 h), versus a maximum growth rate of 0.078 h<sup>-1</sup>, in the presence of 0.1 % yeast extract (doubling time 9 h). Despite this small decrease in growth, these results suggest that the yeast extract is not responsible for providing additional electron acceptors. If this was the reason for the observed growth, then a much stronger growth reduction should have been observed in the presence of a 10-fold decrease of yeast extract.



**Figure 2.3.** Control experiments for *D. vulgaris* growth while producing H<sub>2</sub> from formate in the stirred tank reactor: fed with defined MO medium without yeast extract, but inoculated with cells grown in Postgate medium (a); fed with Postgate medium without sparging (b); and fed with Postgate medium without sulfate (c). In (b) total H<sub>2</sub> produced is reported, whereas in (a) and (c) the H<sub>2</sub> production rate is reported.

#### *D. vulgaris* growth coupled to formate-driven H<sub>2</sub> production

An additional experiment was performed where the bioreactor was inoculated with cells that had been transferred several times in a defined lactate/sulfate medium without yeast extract. Sulfate was consumed but almost no growth was detected and no H<sub>2</sub> production was observed (Figure 2.4), so this experiment was not conclusive. A considerable reduction in growth was also observed in batch cultures using the defined MO medium with formate/sulfate. To finally discard that further electron acceptors in yeast extract were supporting growth we performed an additional experiment with modified Postgate medium (with 0.1 % yeast extract), where the bioreactor was operated without gas sparging (Figure 2.3b). In this condition, growth stopped right after sulfate was depleted, indicating that, in the previous experiments, yeast extract is not responsible for growth in the absence of sulfate. Growth coupled to H<sub>2</sub> production in the absence of sulfate is only observed when gas sparging is used to keep a low P<sub>H<sub>2</sub></sub>. In another control experiment the bioreactor was run without the initial presence of sulfate as electron acceptor. Instead of sulfate, it was necessary to add sulfide to ensure a low redox potential (Figure 2.3c). In this condition the H<sub>2</sub> production rate increased steadily right from the start of the experiment, reaching a maximum of 23 mL L<sup>-1</sup> h<sup>-1</sup>. This is similar to the rate observed in the bioreactor started with sulfate, if we subtract the H<sub>2</sub> production rate obtained during the reduction of sulfate (Figure 2.2). Growth of *D. vulgaris* was again observed reaching an OD<sub>600</sub> of 0.17 (from an initial OD<sub>600</sub> of 0.10), with a maximum growth rate of 0.096 h<sup>-1</sup> (doubling time 7 h).



**Figure 2.4.** Profile of *D. vulgaris* growth and H<sub>2</sub> production from formate in the stirred tank reactor operated fed with MO medium without yeast extract, and inoculated with cells grown also without yeast extract. The reactor operated with a gas flow rate of 115 mL min<sup>-1</sup>.

### 2.3.2 COLUMN REACTOR ASSAYS

In order to further optimize H<sub>2</sub> production using *D. vulgaris* as a biocatalyst, a column reactor was designed to improve the efficiency of sparging (Figure 2.1), and several operation parameters were tested in order to find the optimal conditions for H<sub>2</sub> production.

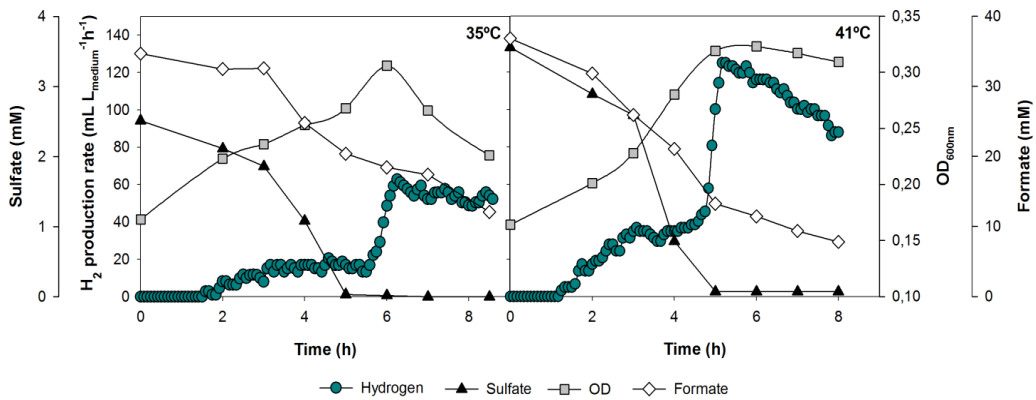
The temperature-profile of the H<sub>2</sub> production is presented in Figure 2.5. As observed in the stirred tank reactor, the H<sub>2</sub> production profile at 35 °C can be divided in two stages: one in the presence of sulfate (between 0 and 5 h) and another after sulfate is depleted (5 to 8 h). In the first stage, the H<sub>2</sub> production increased slowly reaching a maximum production rate of 15 mL L<sup>-1</sup> h<sup>-1</sup> after 3

#### *D. vulgaris* growth coupled to formate-driven H<sub>2</sub> production

hours and this rate was maintained until sulfate was completely reduced. In this stage 2.5 mM of sulfate was reduced and 14 mM of formate was consumed, and so 10 mM of formate (70 %) was used for sulfate reduction, with the remaining 4 mM formate (30 %) being channeled for H<sub>2</sub> production. In the second stage, after sulfate is consumed, the H<sub>2</sub> production rate showed a steep increase, reaching a maximum of 60 mL L<sup>-1</sup> h<sup>-1</sup> after 6 h of incubation, and this rate was maintained until the end of the experiment. In the stationary state from 6 to 8 h, 6 mM of H<sub>2</sub> was produced and 6.5 mM of formate was consumed, which is close to a 100 % of conversion of formate to H<sub>2</sub>, considering the experimental error of the formate and H<sub>2</sub> quantifications. Growth was observed during the sulfate reduction stage (OD<sub>600</sub> increased from 0.17 to 0.27), and continued after sulfate was depleted, leading to a maximum OD<sub>600</sub> of 0.31 after 6 h of incubation.

When the temperature of the reactor was increased from 35 to 41 °C, some differences were observed in the profile of H<sub>2</sub> production. As observed at 35 °C, H<sub>2</sub> production and sulfate reduction occurred simultaneously, but the H<sub>2</sub> production rate reached in this first stage (35 mL L<sup>-1</sup> h<sup>-1</sup>) was two times higher than that observed at 35 °C (15 mL L<sup>-1</sup> h<sup>-1</sup>). In addition, after the depletion of sulfate the H<sub>2</sub> production rate rapidly increased achieving the higher value of 125 mL L<sup>-1</sup> h<sup>-1</sup> after 5 hours of incubation, which is also twice of that observed at 35 °C. Over the course of the experiment, at 41 °C, 3.5 mM of sulfate and 29 mM of formate were consumed and 17 mM of H<sub>2</sub> were produced. So, sulfate reduction and H<sub>2</sub> production accounts for more than 100 % conversion of formate (due to the analytical error associated with the three quantifications), again suggesting a 100 % conversion of formate to H<sub>2</sub>.

However, growth was not observed at this temperature. A further increase from 41 to 46 °C resulted in a decrease in H<sub>2</sub> production rate reaching 60 mL L<sup>-1</sup> h<sup>-1</sup> after 8 h of incubation, probably as a result of temperature stress (data not shown).



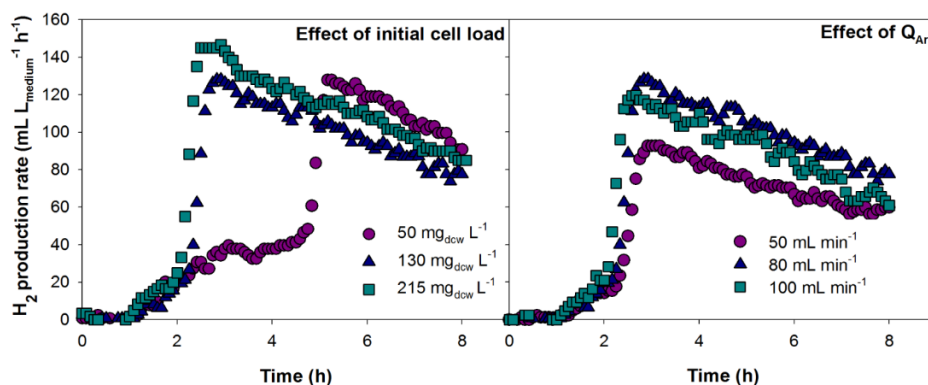
**Figure 2.5.** Effect of temperature on H<sub>2</sub> production and bacterial growth in the sparging column reactor. The reactor operates with an argon flow rate of 80 mL min<sup>-1</sup> and an initial cell load of 50 mg<sub>dcw</sub> L<sup>-1</sup>.

The effects of increasing the cell load and argon flow rate in H<sub>2</sub> production were also investigated at 41 °C (Figure 2.6). The maximum H<sub>2</sub> production rate was not much affected by the initial cell load, but the incubation time necessary to achieve this maximum rate was different. A H<sub>2</sub> production rate of 125 mL L<sup>-1</sup> h<sup>-1</sup> was reached after 5 h of incubation with an initial cell load of 50 mg<sub>dcw</sub> L<sup>-1</sup>, while the a similar production rate was achieved after only 3 hours with 130 mg<sub>dcw</sub> L<sup>-1</sup> (Figure 2.6a). A further increase from 130 to 215 mg<sub>dcw</sub> L<sup>-1</sup>



## *D. vulgaris* growth coupled to formate-driven H<sub>2</sub> production

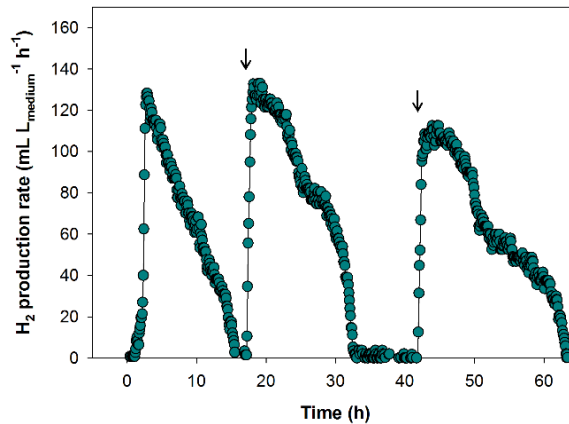
resulted in a slight increase of the H<sub>2</sub> production rate (145 mL L<sup>-1</sup> h<sup>-1</sup>). This corresponds to a maximum specific H<sub>2</sub> production rate of 674 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>, while with a cell load of 50 mg<sub>dcw</sub> L<sup>-1</sup> of cells a specific H<sub>2</sub> production rate of 2 500 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup> is obtained. Concerning the effect of the argon flow rate on H<sub>2</sub> production (Figure 2.6b), an increase in the H<sub>2</sub> production rate (from 90 to 125 mL L<sup>-1</sup> h<sup>-1</sup>) was observed when the Q<sub>Ar</sub> was increased from 50 to 80 mL min<sup>-1</sup>. However, a further increase from 80 to 100 mL min<sup>-1</sup> did not improve the H<sub>2</sub> production rate.



**Figure 2.6.** Production of H<sub>2</sub> by *D. vulgaris* in the sparging column reactor operated with different initial cell load (a) and argon flow rate (b). Effect of initial cell load was evaluated with Q<sub>Ar</sub>= 80 mL min<sup>-1</sup>, whereas the effect of Q<sub>Ar</sub> was evaluated with an initial cell load of 130 mg<sub>dcw</sub> L<sup>-1</sup>, both at 41°C.

### 2.3.3 BIOH<sub>2</sub> PRODUCTION ON FED-BATCH MODE

H<sub>2</sub> production by *D. vulgaris* was also evaluated in fed-batch experiments, where formate was periodically fed to the column reactor (Figure 2.7). After the bioreactor start-up, the H<sub>2</sub> production rate rapidly increased until reaching a maximum plateau (125 mL L<sup>-1</sup> h<sup>-1</sup>) after 3 h of operation. This rate was maintained during approximately 4 h, after which the H<sub>2</sub> production rate decreased steadily. After 10 h of continuous production in the reactor, *D. vulgaris* retained the capacity to produce 60 mL L<sup>-1</sup> h<sup>-1</sup> of H<sub>2</sub>, which is half of the maximum rate achieved. When the H<sub>2</sub> production rate reached zero, formate was again fed to the reactor, resulting in a quick increase in H<sub>2</sub> production to the same maximum rate (125 mL L<sup>-1</sup> h<sup>-1</sup>). In two consecutive formate additions the H<sub>2</sub> production profile was closely matched with the initial one.



**Figure 2.7.** Effect of repeated addition of formate (30mM) on H<sub>2</sub> production by *D. vulgaris* in the sparging column reactor operated at 41 °C with an initial cell load of 130 mg<sub>dcw</sub> L<sup>-1</sup> and argon flow rate of 80 mL min<sup>-1</sup>. The addition of formate is represented by the arrows.

## 2.4 DISCUSSION

Recently, we demonstrated the potential of *D. vulgaris* for H<sub>2</sub> production from formate [12]. However, bacterial growth was not observed in this process. In mesophilic conditions the oxidation of formate to H<sub>2</sub> is slightly endergonic ( $\Delta G^{\circ} = +1.3 \text{ kJ mol}^{-1}$ ) and thus cannot support bacterial growth, unless the H<sub>2</sub> partial pressure ( $P_{\text{H}_2}$ ) is kept very low as it happens in syntrophic growth conditions. In the absence of a H<sub>2</sub>-consuming organism, the  $P_{\text{H}_2}$  rapidly reaches a level that thermodynamically inhibits further fermentation. Thus, in order to maintain a low  $P_{\text{H}_2}$ , two anaerobic bioreactor designs, where the liquid culture was continuously purged with gas, were used to improve the production of H<sub>2</sub> from formate and to investigate if this process could be coupled to growth of *D. vulgaris*. A similar approach allow growth of pure cultures of *Pelobacter acetylenicus* on ethanol, *Syntrophothermus lipocalidus* on butyrate and *Aminobacterium colombiense* on alanine [10,17].

### 2.4.1 HYDROGEN PRODUCTION

Interestingly, in both bioreactors it was observed that H<sub>2</sub> production started while sulfate was still being reduced, which was not observed in the absence of sparging [12]. The simultaneous production of H<sub>2</sub> and reduction of sulfate revealed that both pathways are operating in parallel in *D. vulgaris*, that is electrons resulting from oxidation of formate are being channeled both for sulfate and proton reduction pathways. At this stage 82 % of the electrons were used for sulfate respiration and 18 % for H<sub>2</sub> production in the stirred tank

reactor, while in the column reactor 70 % of the electrons were channeled for sulfate respiration at 35 °C. The initial production and subsequent consumption of H<sub>2</sub> in sulfate respiration using lactate or formate as electron donors has been reported when *D. vulgaris* grows with an excess of sulfate [18,19]. This phenomenon designed by H<sub>2</sub> burst was suggested to be associated with the generation of low redox potential and sufficient ATP to start sulfate reduction [19]. In this work, we observed that in the presence of a low sulfate concentration and using gas sparging, the production of H<sub>2</sub> was concomitant with sulfate respiration.

The maximum H<sub>2</sub> production rate achieved in the conventional stirred tank (40 mL L<sup>-1</sup> h<sup>-1</sup>) is considerably higher than that observed in our previous studies (15 mL L<sup>-1</sup> h<sup>-1</sup>), where an identical reactor without gas sparging was used [12]. This result can be attributed to the low P<sub>H<sub>2</sub></sub> obtained by gas sparging which favors the thermodynamics of the reaction. The maximum P<sub>H<sub>2</sub></sub> obtained in the stirred tank with sparging was 836 Pa, while without sparging it was 56 kPa. These results are consistent with previous studies where an improvement of H<sub>2</sub> production using fermentative organisms and phototrophic bacteria was achieved using the gas sparging methodology [13,20].

A further enhancement of H<sub>2</sub> production was obtained when *D. vulgaris* was used as biocatalyst in the new column reactor developed specifically for this purpose. The H<sub>2</sub> production obtained in this bioreactor at 35 °C (60 mL L<sup>-1</sup> h<sup>-1</sup> after 6 h) is higher and faster than that observed in the conventional stirred tank reactor (40 mL L<sup>-1</sup> h<sup>-1</sup> after 10 h) at similar temperature (37 °C). This result is due to the reactor design that allows an efficient liquid-gas transfer of H<sub>2</sub> decreasing the concentration of H<sub>2</sub> in the liquid phase. Optimization of several

## *D. vulgaris* growth coupled to formate-driven H<sub>2</sub> production

operation parameters in the column reactor such as temperature, initial cell load and  $Q_{\text{gas}}$  improved the maximum H<sub>2</sub> production rate to 125 mL L<sup>-1</sup> h<sup>-1</sup> and the specific H<sub>2</sub> production rate to 2 500 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>, at 41 °C and with an initial cell concentration of 50 mg<sub>dcw</sub> L<sup>-1</sup>. The higher temperature favors the thermodynamics of the reaction.

H<sub>2</sub> production by *D. vulgaris* was also evaluated in fed-batch experiments, where formate was periodically fed to the column reactor. A first formate addition, after H<sub>2</sub> production had stopped, led to a quick rise, achieving the same maximum H<sub>2</sub> production rate (125 mL L<sup>-1</sup> h<sup>-1</sup>). In two consecutive formate additions the H<sub>2</sub> production profile was closely matched with the initial one, showing that the cells do not lose the ability to produce H<sub>2</sub>. This is an important finding suggesting that *D. vulgaris* can be used as a biocatalyst for H<sub>2</sub> production in continuous mode.

The first reports of H<sub>2</sub> production from formate were obtained with *E.coli* [21,22]. Since then, the capacity of several microorganisms to produce H<sub>2</sub> from formate has been evaluated [23] and some results are highlighted in Table 2.2. An efficient formic acid conversion to H<sub>2</sub> by *Enterobacter asburiae* SNU-1 was reported [24]. A H<sub>2</sub> production rate of 91 mL L<sup>-1</sup> h<sup>-1</sup> was obtained with 50 mM of formic acid, which is similar to the substrate concentration used in our study, whereas in the presence of 350 mM of substrate, 491 mL L<sup>-1</sup> h<sup>-1</sup> H<sub>2</sub> was achieved [24]. Recently, the hyperthermophilic archaeon *T. onnurineus* NA1 was found to be very efficient in formate-driven H<sub>2</sub> production with a H<sub>2</sub> production rate of 4 mmol L<sup>-1</sup> h<sup>-1</sup> [5,6,25], which is similar to the 5 mmol L<sup>-1</sup> h<sup>-1</sup> obtained with *D. vulgaris* in the present study. By increasing the temperature (80 °C), formate concentration (400 mM) and cell load (OD=1.7) the

production rate by *T. onnurineus* NA1 could be increased to 236 mmol L<sup>-1</sup> h<sup>-1</sup> [25]. In addition, the H<sub>2</sub> production rate observed in the present study was similar to that reported for a recombinant strain of *Pyrococcus furiosus* where the FHL complex of *T. onnurineus* was expressed [26] and higher than the ones reported for two SRB species used as bioelectrodes in microbial electrolysis cells (*Desulfovibrio paquesii* 0.3 mmol L<sup>-1</sup> h<sup>-1</sup> and *Desulfovibrio caledoniensis* 5.4 μmol L<sup>-1</sup> h<sup>-1</sup>) [27,28].

**Table 2.2.** Hydrogen production from formate by different microorganisms.

Microorganism	H <sub>2</sub> production rate	Specific H <sub>2</sub> production rate	Reference
<i>D. vulgaris</i>	125 mL L <sup>-1</sup> h <sup>-1</sup>	2500 mL g <sub>dcw</sub> <sup>-1</sup> h <sup>-1</sup>	this study
	5 mmol L <sup>-1</sup> h <sup>-1</sup>	100 mmol g <sub>dcw</sub> <sup>-1</sup> h <sup>-1</sup>	
<i>D. vulgaris</i>	15 mL L <sup>-1</sup> h <sup>-1</sup>	7 mmol g <sub>dcw</sub> <sup>-1</sup> h <sup>-1</sup>	[12]
<i>E. coli in agar</i>	710 mL L <sup>-1</sup> h <sup>-1</sup>	6 mmol g <sub>dcw</sub> <sup>-1</sup> h <sup>-1</sup>	[29]
<i>Enterobacter asburiae</i>	91 mL L <sup>-1</sup> h <sup>-1</sup> <sup>b</sup>	91 mL g <sub>dcw</sub> <sup>-1</sup> h <sup>-1</sup> <sup>b</sup>	[24]
	491 mL L <sup>-1</sup> h <sup>-1</sup> <sup>c</sup>	491 mmol g <sub>dcw</sub> <sup>-1</sup> h <sup>-1</sup>	
<i>T. onnurineus</i> NA1	4 mmol L <sup>-1</sup> h <sup>-1</sup>	nd <sup>a</sup>	[6]
	236 mmol L <sup>-1</sup> h <sup>-1</sup> <sup>d</sup>	nd <sup>a</sup>	[25]
<i>P. furiosus</i> recombinant strain	4 mmol L <sup>-1</sup> h <sup>-1</sup>	nd <sup>a</sup>	[26]

<sup>a</sup> nd = not determined. <sup>b</sup> from 50 mM formate. <sup>c</sup> from 350 mM formate. <sup>d</sup> from 400 mM formate.

In the search to find or engineer microorganisms with high H<sub>2</sub> productivities, several efforts have been carried out namely isolation of new species, process development and genetic modification of bacterial strains [5,8,24,26,29–31].

## *D. vulgaris* growth coupled to formate-driven H<sub>2</sub> production

Here, we report a simple and low cost technology for H<sub>2</sub> production from formate with wild-type organism, obtaining comparable results and showing that *D. vulgaris*, a non-conventional H<sub>2</sub> producer microorganism, has potential to be used as biocatalyst for H<sub>2</sub> production from formate. Future studies involving genetic engineering may further improve this productivity.

### 2.4.2 BACTERIAL GROWTH ON FORMATE

This work suggests for the first time that formate oxidation to H<sub>2</sub> and CO<sub>2</sub> can be coupled to growth of a single mesophilic organism. In the conventional stirred tank reactor, formate oxidation to H<sub>2</sub> and bicarbonate allowed the growth of *D. vulgaris* with a maximum growth rate of 0.078 h<sup>-1</sup> and a doubling time of 9 h. This growth was observed between  $\Delta G$  values of -21 and -18 kJ mol<sup>-1</sup>, which are values similar to those reported for syntrophic growth of *Desulfovibrio* sp. strain G11 and *M. arboriphilus* (from -17 to -19 kJ mol<sup>-1</sup>) [9]. These values are close to the estimated biological minimum energy quantum that can be harnessed to support microbial metabolism (-20 kJ mol<sup>-1</sup>) [32]. However, microbial metabolisms with  $\Delta G$  below this theoretical minimum have been reported, namely under starvation conditions where energy conservation was observed at  $\Delta G$  values ranging from -10 to -15 kJ mol<sup>-1</sup> of substrate [33,34]. In addition, it was recently demonstrated that the *T. onnurineus* NA1 is capable of growing in the range of -20 to -8 kJ mol<sup>-1</sup>, which are the lowest values ever reported for a microorganism [7,8]. Although, bacterial growth coupled to H<sub>2</sub> production from formate was observed in both

bioreactors at 35 and 37 °C, such growth was no longer observed when the temperature of the column reactor was increased to 41 °C. This result may have been due the very fast increase of P<sub>H<sub>2</sub></sub> in these conditions. At 41 °C, the P<sub>H<sub>2</sub></sub> after sulfate depletion was 300 Pa and in half hour it increased very rapidly achieving a value of 900 Pa. This is similar to the value reached in the stirred tank reactor when bacterial growth stopped.

The observation that *D. vulgaris* grows while converting formate to H<sub>2</sub> and CO<sub>2</sub>, suggests that it can derive energy from this process. Since substrate level phosphorylation is not possible, this indicates that a proton-motive force is generated in the process leading to production of ATP through the ATP synthase. In previous studies, we reported that the periplasmic [NiFeSe] Hase is the main Hase detected in conditions where formate is oxidized in the absence of sulfate, when Se is present [12]. In *D. vulgaris* the FDHs responsible for oxidizing formate are also periplasmic [35]. If H<sub>2</sub> production from formate involved only direct electron transfer between these periplasmic proteins, then growth would not be possible since there would be no generation of a proton-motive force across the membrane. Since growth is observed, this suggests that an energy-conserving membrane-bound Hase facing the cytoplasm is involved in H<sub>2</sub> production (at least partially). *D. vulgaris* has two such Hases, the Ech and the Coo Hases, one or both of which are likely to be involved in the process. This suggestion is similar to the proposal made by Dolfig and colleagues [9] who proposed that in syntrophic culture *Desulfovibrio* sp. strain G11 or *D. vulgaris* can conserve energy via a periplasmic FDH coupled with an energy-conserving Hase or a Hase located at the



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cytoplasm, with the resulting proton gradient as the driving force of ATP synthesis.

In conclusion, this work demonstrates that H<sub>2</sub> productivity from formate by *D. vulgaris* can be significantly increased by using a sparging reactor and that the process is further improved at a slightly elevated temperature. The observation that *D. vulgaris* grows while converting formate to H<sub>2</sub> and CO<sub>2</sub> has important bioenergetics implications and should be further investigated.

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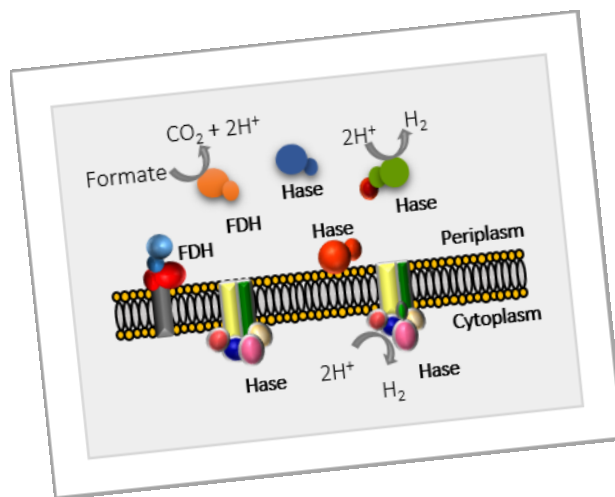
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# CHAPTER 3

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## ELECTRON TRANSFER PATHWAYS OF FORMATE-DRIVEN H<sub>2</sub> PRODUCTION IN *DESULFOVIBRIO*



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Cláudia Mourato was involved in the experimental procedures, mainly in all the H<sub>2</sub> production studies with the different *Desulfovibrio* species and the mutant strains, and also in the discussion of the work.

### 3. ABSTRACT

The potential of sulfate-reducing bacteria (SRB) as biocatalysts for H<sub>2</sub> production from formate was recently demonstrated, but the electron transfer pathways involved were not described. In the present work we analyzed the H<sub>2</sub> production capacity of five *Desulfovibrio* strains: *D. vulgaris*, *D. desulfuricans*, *D. alaskensis*, *D. fructosivorans* and *D. gigas*. *D. vulgaris* showed the highest H<sub>2</sub> productivity (865 mL L<sub>medium</sub><sup>-1</sup>), and *D. gigas* the lowest one (374 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub>). The electron transfer pathways involved in formate-driven H<sub>2</sub> production by these two organisms were further investigated through the study of deletion mutants of hydrogenases (Hases) and formate dehydrogenases (FDHs). In *D. vulgaris*, the periplasmic FdhAB is the key enzyme for formate oxidation and two pathways are apparently involved in the production of H<sub>2</sub> from formate: a direct one only involving periplasmic enzymes and a second one that involves transmembrane electron transfer and may allow energy conservation. In the presence of selenium, the Hys [NiFeSe] Hase is the main periplasmic enzyme responsible for H<sub>2</sub> production, and the cytoplasmic Coo Hase is apparently involved in the ability of *D. vulgaris* to grow by converting formate to H<sub>2</sub>, in sparging conditions. Contrary to *D. vulgaris*, H<sub>2</sub> production in *D. gigas* occurs exclusively by the direct periplasmic route and does not involve the single cytoplasmic Hase, Ech. This is the first report of the metabolic pathways involved in formate metabolism in the absence of sulfate in SRB, revealing that the electron transfer pathways are species-specific.

### 3.1 INTRODUCTION

Biologic hydrogen production from one carbon compounds such as formate and carbon monoxide can be a promising alternative for a future H<sub>2</sub>-based economy [1–3]. Several studies have been performed in recent years to identify H<sub>2</sub>-producing microorganisms using formate or CO as substrate and to enhance H<sub>2</sub> productivity by genetic engineering and process development [4–10].

SRB are capable of H<sub>2</sub> production from formate [11], since they contain a high level of Hases and FDHs, the enzymes responsible for H<sub>2</sub> production from formate [12]. In the absence of sulfate, SRB are capable of fermentative metabolism, producing H<sub>2</sub> and growing by syntrophic association with H<sub>2</sub> consuming organisms such as methanogens. Common substrates for syntrophy are lactate (e.g. for *Desulfovibrio*) [13] or propionate (e.g. for *Syntrophobacter*) [14], but syntrophy on formate has also been reported (for *Desulfovibrio*) [15]. In a previous study, we demonstrated that the model SRB *Desulfovibrio vulgaris* Hildenborough displays a high H<sub>2</sub> production from formate when grown in monoculture in the absence of sulfate [11,16]. Recently, it was also suggested that in *D. alaskensis* oxidation of formate coupled to sulfate reduction requires its conversion to H<sub>2</sub> [17]. However, the electron transfer pathways involved in formate oxidation are poorly understood. So far, most studies of fermentative metabolism by SRB have focused on understanding the electron transfer pathways involved in syntrophic association of *Desulfovibrio* spp. with methanogenic organisms using lactate or pyruvate as substrates [13,18–22]. To our knowledge, no



studies have addressed formate oxidation by SRB in the absence of sulfate or a syntrophic partner. However, it is important to understand the metabolic pathway involved in formate-driven H<sub>2</sub> production in order to improve H<sub>2</sub> productivity through genetic engineering.

A recent genomic analysis of energy metabolism genes in several SRB showed a high diversity in number and types of Hases and FDHs, even among strains of the same genus [12]. Thus, in the present work we compared the H<sub>2</sub>-production characteristics of five *Desulfovibrio* species (*D. vulgaris* Hildenborough, *D. gigas*, *D. desulfuricans* ATCC 27774, *D. alaskensis* G20 and *D. fructosivorans*) with quite distinct Hase and FDH compositions. The electron transfer pathways involved in formate-driven H<sub>2</sub> production by two of these organisms, *D. vulgaris* and *D. gigas*, were then further investigated. *D. vulgaris* has three periplasmic-facing FDHs, two of which are soluble (FdhABC<sub>3</sub> and FdhAB) and another that is membrane-associated (FdhABD) [23,24]. Among the three, FdhABC<sub>3</sub> and FdhAB are the two main FDHs detected in *D. vulgaris* [23]. The genome of *D. vulgaris* encodes seven Hases belonging to the [FeFe] and [NiFe] families. Four of these are periplasmic, including an [FeFe] Hase (Hyd), two [NiFe] Hases (HynAB-1 and HynAB-2), and a [NiFeSe] Hase (Hys), whereas three are in or facing the cytoplasm, namely the two membrane-associated energy-conserving Hases, Ech and Coo, and a soluble [FeFe] Hase [12]. The H<sub>2</sub> production of *D. vulgaris* mutants lacking genes for the two main FDHs, the four periplasmic Hases and the two membrane-associated energy-conserving Hases was compared with the wild-type strain in order to disclose the role of each enzyme in H<sub>2</sub> production from formate. In contrast to *D. vulgaris*, the genome of *D. gigas* [25] shows this organism contains only two

Hases both belonging to the [NiFe] family: a cytoplasmic Ech Hase and a periplasmic dimeric [NiFe] Hase (HynAB-1) [26,27], making *D. gigas* a good model system to study the role of each Hase on H<sub>2</sub> production from formate.

## 3.2 MATERIAL AND METHODS

### 3.2.1 STRAINS AND GROWTH CONDITIONS

The strains used in this study are listed in Table 3.1. All strains were grown in modified Postgate medium C containing 0.5 g L<sup>-1</sup> KH<sub>2</sub>PO<sub>4</sub>, 1 g L<sup>-1</sup> NH<sub>4</sub>Cl, 2.5 g L<sup>-1</sup> Na<sub>2</sub>SO<sub>4</sub>, 0.06 g L<sup>-1</sup> CaCl<sub>2</sub>·2H<sub>2</sub>O, 0.06 g L<sup>-1</sup> MgSO<sub>4</sub>·7H<sub>2</sub>O, 1 g L<sup>-1</sup> yeast extract, 0.0071 g L<sup>-1</sup> FeSO<sub>4</sub>·7H<sub>2</sub>O, 0.3 g L<sup>-1</sup> sodium citrate tribasic dihydrate, 0.1 g L<sup>-1</sup> ascorbic acid, 0.1 g L<sup>-1</sup> sodium thioglycolate, 4.5 g L<sup>-1</sup> sodium lactate and 0.3 g L<sup>-1</sup> resazurin. Bacterial growth was carried out at 37 °C in 120 mL serum bottles with a working volume of 50 mL and N<sub>2</sub> as gas headspace. The bottles were sealed with butyl rubber stoppers and aluminum crimp seals.

**Table 3.1.** Description of the strains used in this study.

Strains	Description	Reference
<i>D. alaskensis</i>	G20	
<i>D. fructosovorans</i>	DSM 3604	
<i>D. desulfuricans</i>	ATCC 27774	
<i>D. vulgaris</i> strains		
<i>D. vulgaris</i> Hildenborough		
<i>D. vulgaris</i> Hildenborough	DSM 644	
$\Delta hys$	$\Delta hysAB$	[18]
$\Delta hyd/\Delta hyn1$	$\Delta hydAB \Delta hynAB-1$	[18]
$\Delta hyn2$	$\Delta hynAB-2$	[28]
$\Delta fdhAB$	$\Delta fdhAB$	[29]
$\Delta fdhABC_3$	$\Delta fdhABC_3$	[29]
JW710	<i>D. vulgaris</i> wt $\Delta upp$	[30]
$\Delta coo$ (JW5051)	$\Delta cooMKLXUH hypA cooF$	[13]
$\Delta coo/\Delta ech$ (JW5054)	$\Delta cooMKLXUH hypA cooF \Delta echABCDEF$	[13]
$\Delta ech$ (JW5060)	$\Delta echABCDEF$	[13]
<i>D. gigas</i> strains		
<i>D. gigas</i>	ATCC 19364	
$\Delta ech$	$\Delta echBC$	[26]
$\Delta hyn$	$\Delta hynAB$	[26]

### 3.2.2 H<sub>2</sub> PRODUCTION ASSAYS IN SERUM BOTTLES

The studies of H<sub>2</sub> production were performed in the medium described above with a few modifications: sodium formate (40 mM) instead of sodium lactate as electron donor, limiting sulfate concentration (3 mM), reduced level of yeast extract (0.2 g L<sup>-1</sup>), and supplementation with sodium acetate (10 mM) and MOPS buffer (100 mM). The medium pH was adjusted to 7.2 ± 0.1. The effect of metal cofactors of FDHs (Mo and W) and Hases (Fe, Ni and Se) on H<sub>2</sub>

production was evaluated by supplementing the medium with 0.1 μM of either sodium molybdate (VI) or sodium tungstate, and 1 μM nickel chloride or 1 μM of both nickel chloride and sodium selenite. The iron content in the medium was 25 μM. Batch experiments were carried out at 37 °C using 120 mL serum bottles with a working volume of 20 mL and N<sub>2</sub> as gas headspace. A 10 % (v/v) inoculum was used in all experiments. To monitor H<sub>2</sub> production 30 μL of gas phase were analyzed by GC, and to monitor cell growth 1 mL of liquid sample was collected. The H<sub>2</sub> production rates were calculated taking into account the actual volume at each time point.

### 3.2.3 H<sub>2</sub> PRODUCTION IN A BIOREACTOR WITH GAS SPARGING

The bioreactor assays were carried out in a conventional Biostat A Plus system (Sartorius Stedim, Göttingen, Germany) with a 3 L vessel, as previously described [16]. The reactor was operated with a working volume of 1.5 L, stirring rate of 50 rpm, a N<sub>2</sub> flow rate of 115 mL min<sup>-1</sup> and a temperature of 37 °C. The gas outlet was equipped with two H<sub>2</sub>S traps in series containing zinc acetate (0.9 M) buffered with glacial acetic acid to pH 4, followed by a H<sub>2</sub> sensor (BlueSens gas sensor, Herten, Germany) for online monitoring of H<sub>2</sub>. The H<sub>2</sub> data were recorded every 5 s by the BioPat<sup>®</sup>MFC fermentation software (Sartorius Stedim, Göttingen, Germany). The medium used for the experiments was the one described for H<sub>2</sub> production in serum bottles with a few modifications: 80 mM sodium formate, 20 mM sodium acetate, 200 mM

MOPS buffer, 1 μM nickel chloride, 1 μM sodium selenite and 0.1 μM sodium tungstate. A 10 % (v/v) inoculum was used for bioreactor startup.

### 3.2.4 ANALYTICAL METHODS

Cell growth was monitored by measuring optical density at 600 nm (OD<sub>600</sub>) with a Shimadzu UV/Vis spectrophotometer. Biomass was determined by measuring the OD<sub>600</sub>, which was previously correlated with dry cell weight (dcw). One unit value of OD<sub>600</sub> corresponded to 0.31 g<sub>dcw</sub> L<sup>-1</sup> for *D. vulgaris* and 0.37 g<sub>dcw</sub> L<sup>-1</sup> for *D. gigas* [11,26]. Sulfate concentration was determined by UV/Vis spectrophotometry using a modified SulfaVer<sup>®</sup>4 method (Hach-Lange). The SulfaVer<sup>®</sup>4 reagent was dissolved in 10 mL of H<sub>2</sub>O, and the sulfate concentration was determined by mixing 1 mL of SulfaVer<sup>®</sup>4 solution with 100 μL of filtered sample. After 10 min the turbidity was measured at 450 nm. The detection limit of this method is 0.2 mM sulfate. The H<sub>2</sub> content in the headspace of the serum bottles was determined using a Trace GC 2000 gas chromatograph (Thermo Corporation) equipped with a MoSieve 5A 80/100 column (Altech) and a thermal conductivity detector (TCD). Nitrogen was used as carrier gas at a flow rate of 10 mL min<sup>-1</sup>. The specific H<sub>2</sub> production rate (mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>) was calculated by dividing the maximum volumetric H<sub>2</sub> production rate (mL L<sup>-1</sup> h<sup>-1</sup>) by the maximum biomass concentration (dry cell weight) reached.

### 3.2.5 HASE ACTIVITY-STAINED NATIVE GELS

Analysis of the Hases was also performed with native gels stained for Hase activity, using crude cell extracts as previously described [31]. Briefly, crude cell extracts were obtained by cell disruption using the BugBuster Plus Lysonase™ Kit (Novagen) according to the manufacturer's instructions. The extracts (5 µg protein) were run in 7.5 % (w/v) polyacrylamide gels containing 0.1 % (v/v) Triton X-100. The gels were incubated in a solution of 50 mM Tris-HCl (pH 7.6), 0.5 mM methyl viologen under a H<sub>2</sub> atmosphere and after development, the bands were fixed with 10 mM 2,3,5-triphenyltetrazolium chloride solution.

### 3.2.6 STATISTICAL ANALYSIS

Experiments were carried out at least in triplicate and all values are expressed as means ± standard deviation. The production of H<sub>2</sub> by different strains was analyzed using a one-way analysis of variance (ANOVA) and the multiple comparative pairwise Holm-Sidak tests (confidence of 95 %). The statistical analyses were performed with SigmaStat 3.0 and a *p*-value less than 0.05 was considered statistically significant.

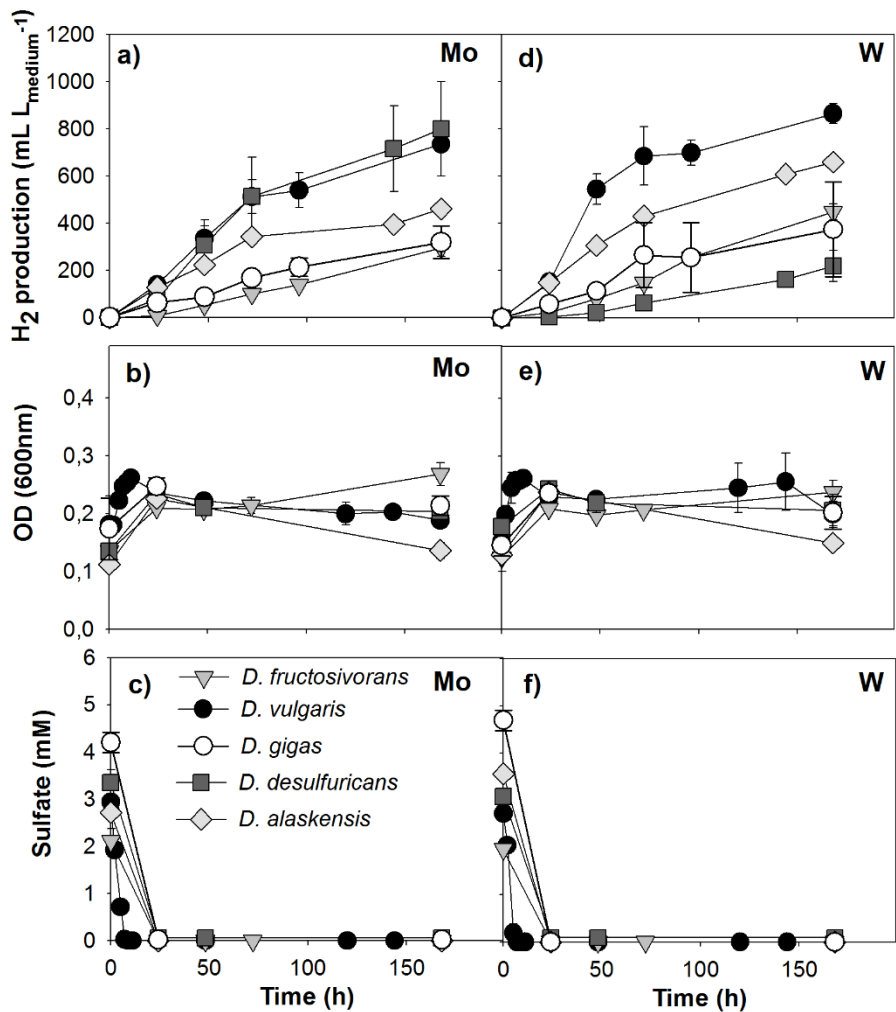
## 3.3 RESULTS

### 3.3.1 H<sub>2</sub> PRODUCTION BY DIFFERENT *DESULFOVIBRIO* SPECIES

The H<sub>2</sub> production from formate by five *Desulfovibrio* species having different Hase and FDH compositions (*D. vulgaris* Hildenborough, *D. gigas*, *D. alaskensis* G20, *D. desulfuricans* ATCC 27774 and *D. fructosivorans*) was evaluated in two conditions (Figure 3.1): in the presence of Mo or W. These two FDH metal cofactors have been shown to regulate the expression of these enzymes [23]. A limiting sulfate concentration is initially present, as this condition has been shown to enhance H<sub>2</sub> production after sulfate consumption [11]. This was slightly higher for *D. gigas* due to carry-over sulfate from the inoculum. During the initial phase of sulfate reduction a similar increase in cell mass was observed for all strains and growth conditions. The production of H<sub>2</sub> started after sulfate was depleted and all strains were able to produce H<sub>2</sub>, although in variable amounts. In the presence of Mo (Figure 3.1a), the highest H<sub>2</sub> production was achieved by *D. vulgaris* and *D. desulfuricans* reaching the maximum values of 735 and 800 mL L<sub>medium</sub><sup>-1</sup>, respectively. In contrast, a low H<sub>2</sub> production (approximately 300 mL L<sub>medium</sub><sup>-1</sup>) was observed for both *D. fructosivorans* and *D. gigas*, whereas 526 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub> were produced by *D. alaskensis*. When Mo was replaced by W an improvement in H<sub>2</sub> production was observed for all strains except for *D. desulfuricans*, where it was reduced from 800 to 220 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub>. The highest H<sub>2</sub> production in the presence

of W was achieved by *D. vulgaris*, followed by *D. alaskensis*, *D. fructosivorans* and *D. gigas* producing 865, 660, 448 and 374 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub>, respectively. Both *D. vulgaris* and *D. gigas* are genetically tractable and FDH and Hase deletion mutants have been produced [18,26,29,30]. Since these two organisms present quite distinct H<sub>2</sub> production capacities and have different Hase and FDH compositions, we used these mutants to investigate the electron transfer pathways involved in formate conversion to H<sub>2</sub>.

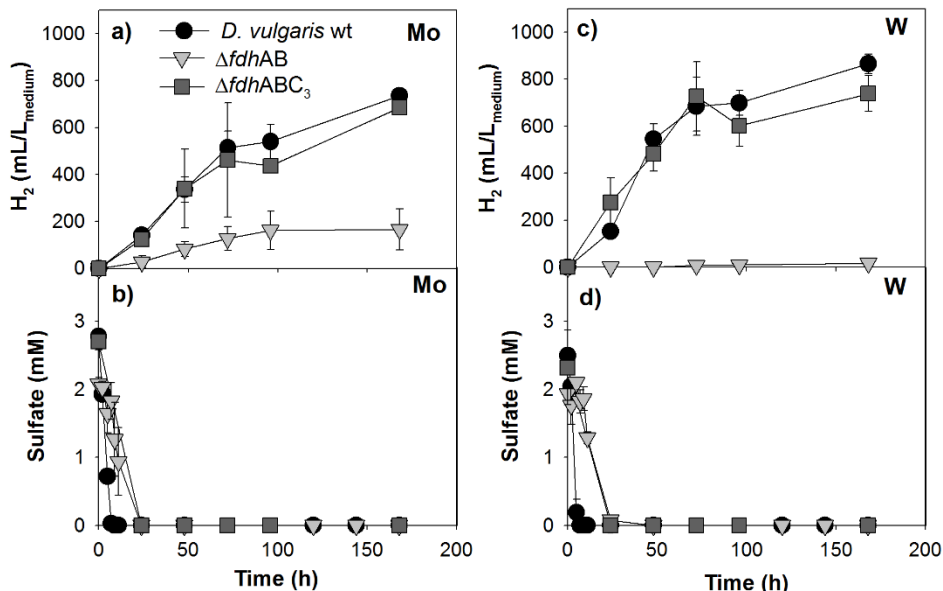




**Figure 3.1.** Profiles of H<sub>2</sub> production (a and d), cell growth (b and e), and sulfate consumption (c and f) of five *Desulfovibrio* strains in the presence of 40 mM formate and 0.1 μM Mo (left) or 0.1 μM W (right). The error bars indicate the standard deviation of triplicate cultures.

### 3.3.2 EFFECT OF FDH INACTIVATION ON H<sub>2</sub> PRODUCTION BY *D. VULGARIS*

The production of H<sub>2</sub> from formate by *D. vulgaris* mutants of the two main FDHs ( $\Delta fdhABC_3$  and  $\Delta fdhAB$ ) was compared with the wild-type strain to investigate the role of each FDH in this metabolic pathway (Figure 3.2). During the initial phase of sulfate reduction there is some growth, reaching a maximum OD<sub>600</sub> of  $0.25 \pm 0.01$  (initial OD<sub>600</sub>=0.15), independently of strain and growth condition (Table 3.2). The production of H<sub>2</sub> was differently affected by deletion of each FDH. In the presence of Mo (Figure 3.2a), the production of H<sub>2</sub> by the  $\Delta fdhABC_3$  strain was similar to the wild-type strain achieving the maximum value of 690 and 740 mL L<sub>medium</sub><sup>-1</sup>, respectively, with a H<sub>2</sub> production rate of 90 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup> for both. In contrast, the amount of H<sub>2</sub> produced by the  $\Delta fdhAB$  strain was strongly decreased (160 mL L<sub>medium</sub><sup>-1</sup> with a production rate of 22 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>). In the presence of W (Figure 3.2c) a higher H<sub>2</sub> production was observed for both the wild-type and  $\Delta fdhABC_3$  strains, reaching a production of 870 and 740 mL L<sub>medium</sub><sup>-1</sup>, respectively, corresponding to a H<sub>2</sub> production rate of 140 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup> for both. The H<sub>2</sub> production by the  $\Delta fdhAB$  strain was negligible in this growth condition.



**Figure 3.2.** Hydrogen production (a and c) and sulfate consumption (b and d) by *D. vulgaris* wild-type and FDH mutants in the presence of 40 mM formate, Fe, Ni, and Se. The medium was supplemented with 0.1  $\mu$ M Mo (a and b) or 0.1  $\mu$ M W (c and d). The error bars indicate the standard deviations of triplicate cultures.

### 3.3.3 EFFECT OF HASE INACTIVATION ON H<sub>2</sub> PRODUCTION BY *D. VULGARIS*

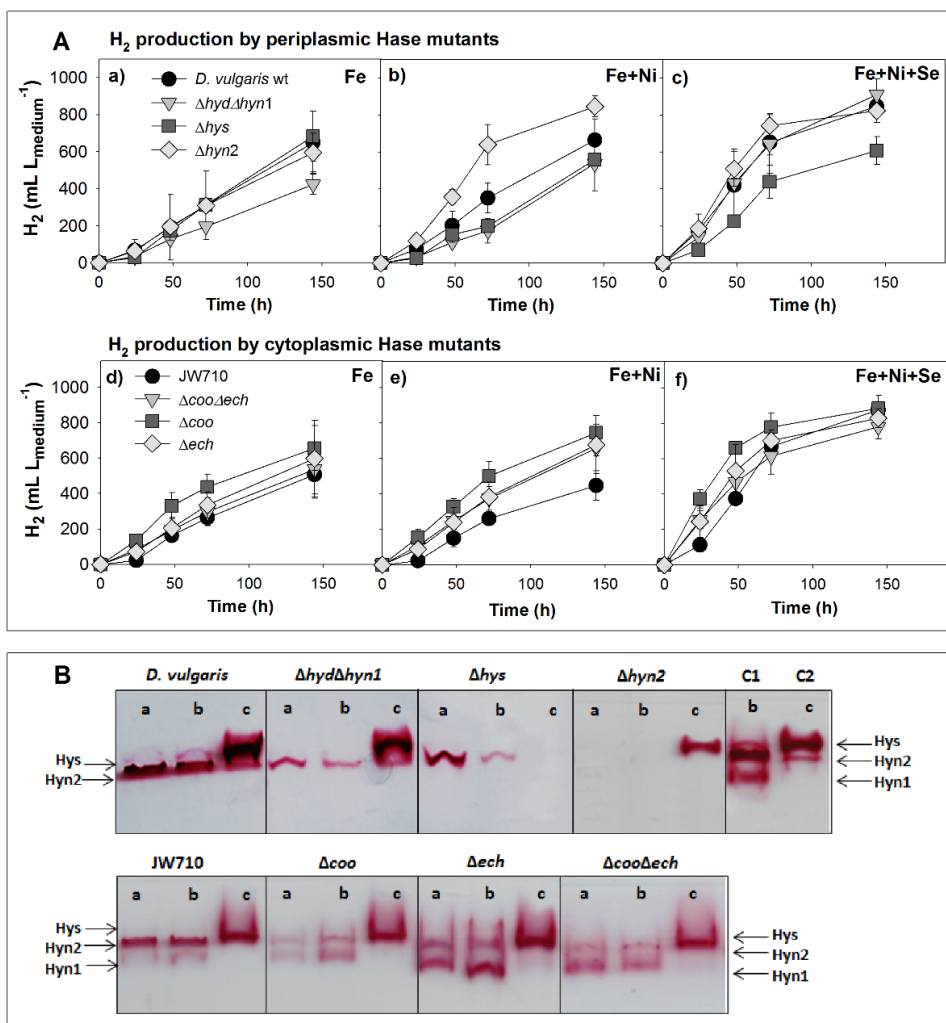
The H<sub>2</sub> production by *D. vulgaris* wild-type and Hase mutants was studied in the presence of W, while varying the metals that affect the expression of Hases, resulting in three conditions: addition of only Fe, Fe plus Ni and Fe plus Ni and Se (Figure 3.3). The *D. vulgaris* mutants  $\Delta hyd$ ,  $\Delta hyn1$ ,  $\Delta hyn2$ ,  $\Delta hys$ ,  $\Delta coo$ ,  $\Delta ech$  and the double mutants  $\Delta hyd\Delta hyn2$  and  $\Delta coo\Delta ech$  were investigated.

Similar growth was observed for all strains during the initial stage of sulfate reduction, independently of the medium composition (Table 3.2). In the presence of only Fe (Figure 3.3a), the  $\Delta hys$  and  $\Delta hyn2$  strains showed similar behavior to the wild-type strain producing 650 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub> with a production rate of 70 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>. The H<sub>2</sub> production by the single mutants  $\Delta hyd$  and  $\Delta hyn1$  was similar to the wild-type strain (data not shown), while the double mutant ( $\Delta hyd\Delta hyn1$ ) showed a slightly reduced H<sub>2</sub> production of 425 mL L<sub>medium</sub><sup>-1</sup>, which is still not statistically different to that observed with the wild-type strain ( $p=0.064$ ). In this condition the mutants of the energy-conserving Ech and Coo cytoplasmic Hases showed a similar behavior to the respective parental strain, JW710 (Figure 3.3d), producing approximately 600 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub>.

In the presence of Fe and Ni, the  $\Delta hyn2$  strain showed a higher H<sub>2</sub> production than the wild-type (850 mL L<sup>-1</sup><sub>medium</sub>, an increase of 26%, corresponding to a production rate of 177 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>) (Figure 3.3b). In this condition, the *D. vulgaris* wild-type strain produced 664 mL L<sub>medium</sub><sup>-1</sup> at a maximum production rate of 70 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>, while the  $\Delta hys$  and  $\Delta hyd\Delta hyn1$  strains produced 560 mL L<sub>medium</sub><sup>-1</sup> at 62 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup> and 538 mL L<sub>medium</sub><sup>-1</sup> with a production rate of 69 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>, respectively. The H<sub>2</sub> production observed by these mutants is not statistically different from the wild-type strain. The single mutants  $\Delta hyd$  and  $\Delta hyn1$  showed a similar H<sub>2</sub> production profile to the wild-type strain (data not shown). In this condition, the parental JW710 strain had a slightly reduced H<sub>2</sub> production versus the Fe condition, whereas the three cytoplasmic Hase mutant strains had a slightly higher H<sub>2</sub> production (Figure 3.3e). The parental strain produced 448 mL L<sub>medium</sub><sup>-1</sup> at 81 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>, while the  $\Delta ech$  strain

produced 677 mL L<sub>medium</sub><sup>-1</sup> at 78 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>, the  $\Delta coo$  strain, produced 745 mL L<sub>medium</sub><sup>-1</sup> at 105 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup> and the  $\Delta coo\Delta ech$  strain produced 657 mL L<sub>medium</sub><sup>-1</sup> with a production rate of 81 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>.

In the presence of Fe, Ni and Se (Figure 3.3c), the wild-type *D. vulgaris* showed a higher H<sub>2</sub> productivity than in the previous conditions, with 850 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub> at 150 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>. The  $\Delta hyn2$  and  $\Delta hyd\Delta hyn1$  strains showed similar H<sub>2</sub> production profile to the wild-type strain, while the  $\Delta hys$  strain had a lower performance. This strain produced only 600 mL L<sub>medium</sub><sup>-1</sup> in this condition (30 % less than the wild-type) with a rate of 108 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>. In this condition all mutants of the cytoplasmic Hases showed a similar behavior to the respective parental strain, JW710 (Figure 3.3f), producing approximately 850 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub>.



**Figure 3.3.** Profiles of H<sub>2</sub> production (A) and activity-stained native gels of crude cell extracts (B) of *D. vulgaris* wild-type and Hase mutants in the presence of 40 mM formate and W. A) Cells grown in the presence of only Fe (a and d), Fe and Ni (b and e), and Fe, Ni, and Se (c and f). The error bars indicate the standard deviations of three or six independent cultures. B) Cell extracts were prepared after 6 days of incubation in medium containing Fe (lanes a), Fe and Ni (lanes b), and Fe, Ni, and Se (lanes c). C1, *D. vulgaris* control from cells grown with formate and sulfate, Fe and Ni (contains Hyn1 and Hyn2 Hases); C2, *D. vulgaris* control from cells grown with formate and sulfate, Fe, Ni, and Se (contains Hys Hase).

### 3.3.4 ANALYSIS OF *D. VULGARIS* HASES BY ACTIVITY-STAINED GELS

Cells of *D. vulgaris* wild type and Hase mutant strains were collected after the experiments described above and cell extracts were analyzed to investigate the relative activity of Hases by activity-stained native gels, where the periplasmic Hases can be detected (Figure 3.3b). In this assay, the membrane-bound cytoplasmic Hases are not usually detected [31], either due to instability or a low expression level. For the *D. vulgaris* wild-type cells incubated with formate in the presence of Fe or Fe plus Ni (Figure 3.3b, lanes a and b), only the HynAB-2 Hase was detected, while the Hys Hase was the major Hase present when Se was also included (lane c). In contrast, in *D. vulgaris* cells grown in respiratory formate/sulfate conditions with Fe and Ni, both the HynAB-1 and HynAB-2 Hases are detected. The Hys Hase was the main Hase observed in cell extracts of all mutant strains grown in the presence of Fe, Ni and Se (lanes c), except obviously for the  $\Delta hys$  strain, where no Hase activity band was observed. In the presence of Fe and Fe plus Ni, HynAB-2 was the main Hase detected for the cell extracts of all mutant strains, as observed for the wild-type strain, except also for the  $\Delta hyn2$  strain, where no Hase activity band was detected (lanes a and b).

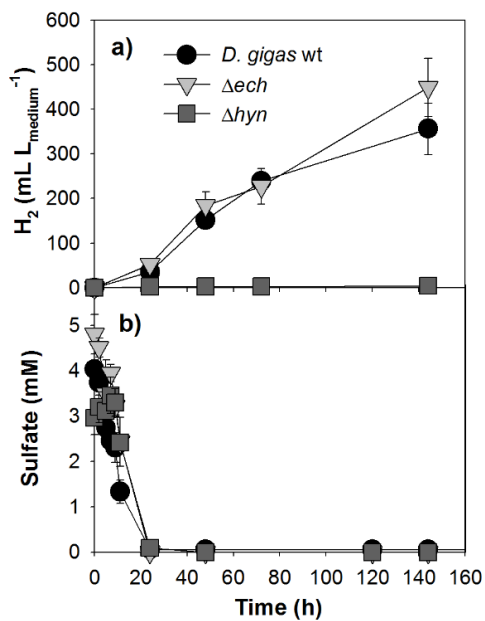
Also, in cell extracts of the JW710 strain incubated with formate in the presence of Fe and Fe plus Ni, HynAB-2 is the major Hase present (with HynAB-1 detected with lower activity); whereas, when Se is included, Hys is again the single Hase observed (Figure 3.3b). The cytoplasmic Hase mutant strains ( $\Delta coo$ ,  $\Delta ech$  and  $\Delta coo\Delta ech$ ) all showed a similar profile to JW710, but with an

increased activity of the HynAB-1 versus the HynAB-2 Hase, particularly in the case of the  $\Delta ech$  and  $\Delta coo\Delta ech$  strains.

### 3.3.5 EFFECT OF HASE INACTIVATION ON H<sub>2</sub> PRODUCTION BY *D. GIGAS*

The H<sub>2</sub> production of *D. gigas* wild-type and single mutants was also studied (Figure 3.4). In this organism there are no [FeFe] or [NiFeSe] Hases, so only the Fe plus Ni condition was studied. Similarly to *D. vulgaris*, H<sub>2</sub> production started after sulfate was depleted and growth was only observed during sulfate reduction, reaching an OD<sub>600</sub> of  $0.22 \pm 0.01$  (Table 3.2). The  $\Delta ech$  strain showed similar H<sub>2</sub> productivity to the wild type (448 mL L<sub>medium</sub><sup>-1</sup> at 59 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup> for the  $\Delta ech$  strain and 356 mL L<sub>medium</sub><sup>-1</sup> at 56 mL g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup> for *D. gigas* wild-type). In marked contrast, no H<sub>2</sub> production was detected for the  $\Delta hyn$  strain.





**Figure 3.4.** Hydrogen production (a) and sulfate consumption (b) by *D. gigas* wild-type and Hase mutants ( $\Delta ech$  and  $\Delta hyn$ ) in the presence of 40 mM formate, Fe, Ni, and W. The error bars indicate the standard deviations of triplicate cultures.

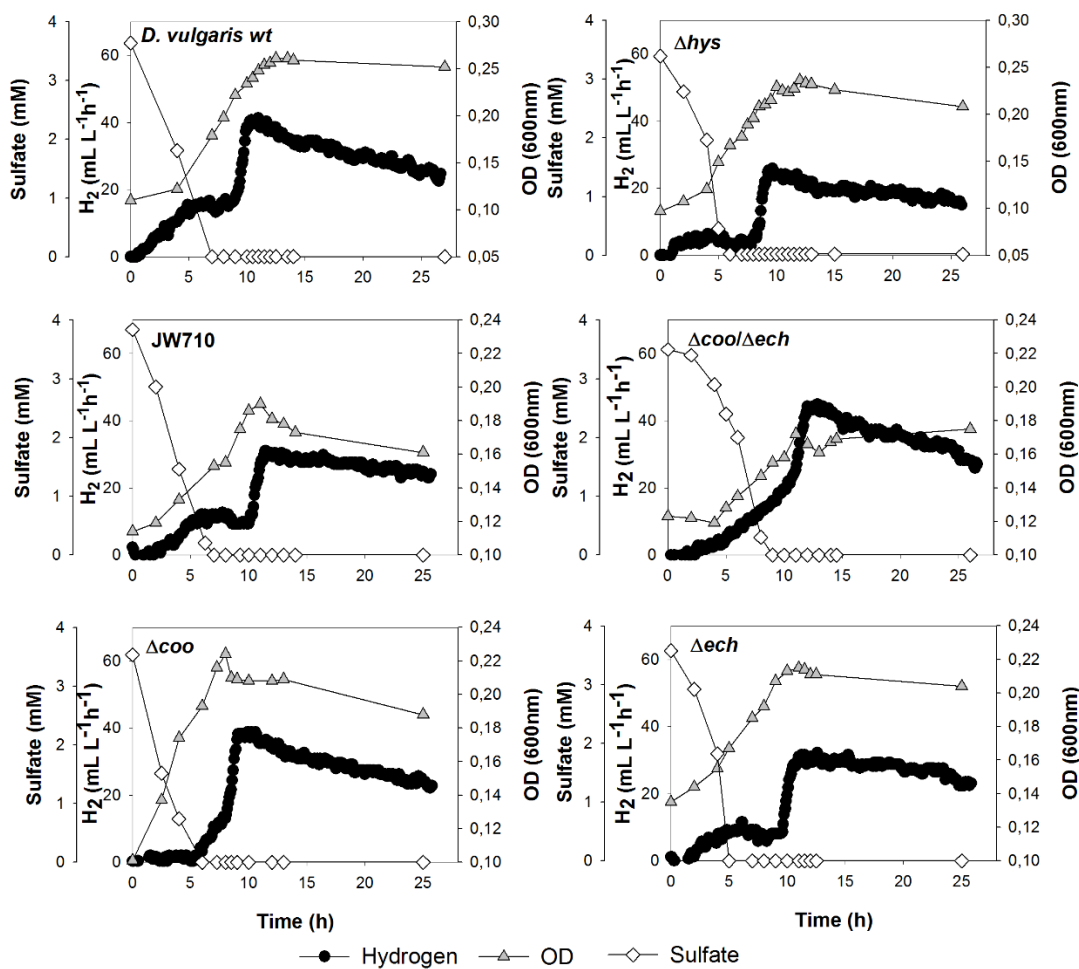
**Table 3.2.** Growth of *D. vulgaris* and *D. gigas* strains used in the study.

Strains	OD <sub>600nm</sub> <sup>a)</sup>	Growth conditions					
		Fe	Tungsten Fe + Ni	Fe + Ni + Se	Fe + Ni	Molybdenum Fe + Ni + Se	
<i>D. vulgaris</i>	Wild-type	Initial	0.16 ± 0.00	0.16 ± 0.01	0.15 ± 0.01		0.13 ± 0.00
		Maximum	0.22 ± 0.00	0.23 ± 0.00	0.24 ± 0.01		0.25 ± 0.01
	Δ <i>hyd/hyn1</i>	Initial	0.17 ± 0.00	0.17 ± 0.01	0.16 ± 0.00		
		Maximum	0.18 ± 0.01	0.19 ± 0.00	0.20 ± 0.01		
	Δ <i>hys</i>	Initial	0.17 ± 0.00	0.18 ± 0.04	0.18 ± 0.01		
		Maximum	0.23 ± 0.01	0.23 ± 0.01	0.23 ± 0.00		
	Δ <i>hyn2</i>	Initial	0.14 ± 0.01	0.14 ± 0.00	0.14 ± 0.01		
		Maximum	0.18 ± 0.01	0.20 ± 0.01	0.20 ± 0.00		
	JW <i>D. vulgaris</i>	Initial	0.16 ± 0.00	0.16 ± 0.01	0.16 ± 0.01		
		Maximum	0.20 ± 0.00	0.20 ± 0.00	0.21 ± 0.01		
	Δ <i>ech</i>	Initial	0.15 ± 0.03	0.14 ± 0.01	0.14 ± 0.01		
		Maximum	0.20 ± 0.00	0.21 ± 0.01	0.22 ± 0.01		
Δ <i>coo</i>	Initial	0.15 ± 0.02	0.16 ± 0.03	0.15 ± 0.01			
	Maximum	0.20 ± 0.01	0.22 ± 0.01	0.21 ± 0.01			
Δ <i>coa/ech</i>	Initial	0.14 ± 0.01	0.16 ± 0.01	0.15 ± 0.01			
	Maximum	0.19 ± 0.00	0.20 ± 0.01	0.19 ± 0.02			
Δ <i>fdhAB</i>	Initial			0.15 ± 0.03		0.14 ± 0.02	
	Maximum			0.25 ± 0.01		0.26 ± 0.01	
Δ <i>fdhABC<sub>3</sub></i>	Initial			0.15 ± 0.01		0.13 ± 0.00	
	Maximum			0.24 ± 0.01		0.25 ± 0.01	
<i>D. gigas</i>	Wild-type	Initial		0.15 ± 0.01			
		Maximum		0.21 ± 0.01			
	Δ <i>ech</i>	Initial		0.20 ± 0.03			
		Maximum		0.23 ± 0.02			
	Δ <i>hyn</i>	Initial		0.15 ± 0.01			
		Maximum		0.20 ± 0.02			

<sup>a)</sup> The values are the average at least of triplicate cultures.

### 3.3.6 GROWTH OF *D. VULGARIS* MUTANTS BY FORMATE TO H<sub>2</sub> CONVERSION

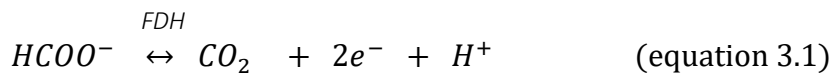
Recently, we showed that gas sparging enabled the growth of *D. vulgaris* coupled with the conversion of formate to H<sub>2</sub> in the absence of sulfate or syntrophic partner [16]. Sparging maintains a low P<sub>H<sub>2</sub></sub> in the liquid phase replacing the H<sub>2</sub> consuming syntrophic partner. Thus, the same approach was used in the present work to investigate if the  $\Delta hys$ ,  $\Delta ech$ ,  $\Delta coo$  and  $\Delta coo\Delta ech$  strains are able to grow by the conversion of formate to H<sub>2</sub> and bicarbonate in the presence of Fe, Ni and Se. The parental JW710 and JW710-derived strains lacking the cytoplasmic Hases were more sensitive to the bioreactor conditions (to continuous gas sparging and/or stirring), even when grown in presence of sulfate. The studies showed that although all mutants were able to produce H<sub>2</sub> (as observed in serum bottles), only the  $\Delta hys$  and  $\Delta ech$  strains were able to grow by the conversion of formate to H<sub>2</sub> and bicarbonate, as revealed by the continued increase in OD after sulfate is depleted (Figure 3.5). These results suggest that the cytoplasmic Coo Hase (and not Ech) may be essential to allow energy conservation in the oxidation of formate to H<sub>2</sub>, which would agree with the work of Walker and colleagues who demonstrated that this Hase is essential for syntrophic growth of *D. vulgaris* with *Methanococcus maripaludis* on lactate [13]. However, since the parental strain JW710 had an erratic behavior in the bioreactor conditions (even in presence of sulfate), some caution is required in interpreting the absence of growth of the  $\Delta coo$  and  $\Delta coo\Delta ech$  strains, preventing a definite conclusion on the requirement of the Coo Hase.



**Figure 3.5.** Growth and H<sub>2</sub> production profiles of strains *D. vulgaris* wt, JW710,  $\Delta hys$ ,  $\Delta ech$ ,  $\Delta coo$ , and  $\Delta coo\Delta ech$  in a bioreactor with gas sparging. The reactor was fed with medium containing 80 mM formate, Fe, Ni, Se, and W and operated with a nitrogen flow rate of 115 mL min<sup>-1</sup>. The production of H<sub>2</sub> is expressed as H<sub>2</sub> production rate (mL L<sup>-1</sup> h<sup>-1</sup>), and not total H<sub>2</sub> produced as in previous figures.

### 3.4 DISCUSSION

FDHs catalyze the reversible oxidation of formate to carbon dioxide (equation 3.1), and in the absence of sulfate the resulting reducing equivalents may be transferred to Hases, which reduce protons to molecular H<sub>2</sub> (equation 3.2).



The most common FDHs in anaerobic microorganisms are the Mo or W pterin containing enzymes [32,33], which in *Desulfovibrio* are differentially regulated by the availability of these metals [23,32–34]. In addition, FDHs can be cytoplasmic or periplasmic and the cellular localization is usually related to their physiological function [32,33]. Periplasmic FDHs are mostly involved in the oxidation of formate, while the cytoplasmic FDHs usually work as CO<sub>2</sub> reductases [32,33]. In *Deltaproteobacteria* SRB, namely in *Desulfovibrio* spp. the majority of the periplasmic Hases and FDHs are soluble, in contrast to most other bacteria, and share the same soluble electron acceptor, the Type I cytochrome c<sub>3</sub> [35,36]. This unique situation means there is a possible direct link between periplasmic FDHs and Hases through this cytochrome, which may lead to direct periplasmic H<sub>2</sub> production from formate.

Comparing the different *Desulfovibrio* strains, *D. desulfuricans* and *D. vulgaris* showed similar high H<sub>2</sub>-production capacity in the presence of Mo (800 and

735 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub>, respectively). However, when Mo was replaced by W the production of H<sub>2</sub> by *D. desulfuricans* was 70% reduced (220 mL L<sub>medium</sub><sup>-1</sup>), whereas the opposite effect was observed for the other species. Analysis of the *D. desulfuricans* genome indicates the presence of two periplasmic FDHs (Table 3.3), one of which was characterized as a Mo-containing enzyme [37]. The reduced H<sub>2</sub> production in the presence of W indicates that this metal functions as an antagonist for one of the FDHs, and that a W-binding enzyme is probably not present. The highest H<sub>2</sub> production in the presence of W was observed for *D. vulgaris* followed by *D. alaskensis*. Analysis of the *D. alaskensis* genome reveals the presence of three periplasmic FDHs (Table 3.3). Two of them have been characterized, one of which was found to be a W-FDH, while the other was shown to incorporate either Mo or W [34,38]. These enzymes are probably responsible for the slight improvement of H<sub>2</sub> production by *D. alaskensis* when Mo was replaced by W (526 and 660 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub> were produced in the presence of Mo and W, respectively). In the case of *D. vulgaris*, although the genome indicates the presence of three periplasmic FDHs, only the two soluble enzymes are detected [23]. One of them is a Mo-Fdh (FdhABC<sub>3</sub>, DVU2809-11), whereas the other (FdhAB, DVU0587-88) can incorporate either Mo or W, like the enzyme from *D. alaskensis* [23]. The results observed with the *D. vulgaris* FDH mutants demonstrate that FdhAB is the main enzyme involved in formate oxidation, in the presence of either Mo or W. Similar results were observed in reverse conditions where H<sub>2</sub> was converted to formate [29], revealing that in *D. vulgaris* FdhAB is the key enzyme in formate metabolism in the absence of sulfate.

*D. fructosivorans* and *D. gigas* showed similar H<sub>2</sub> production profiles: both produced 300 mL L<sub>medium</sub><sup>-1</sup> of H<sub>2</sub> in the presence of Mo, and this value was slightly increased to approximately 400 mL L<sub>medium</sub><sup>-1</sup> when Mo was replaced by W. The genome of both strains indicates the presence of two periplasmic FDHs [25] (Table 3.3). A W-containing *D. gigas* FDH has already been isolated and characterized [39], and is probably involved in H<sub>2</sub> production in the presence of W. To our knowledge none of the *D. fructosivorans* FDHs has been isolated or characterized, but the increase in H<sub>2</sub> production when Mo was replaced by W suggests the presence of a W-binding enzyme.

Among all the organisms tested, *D. vulgaris* showed the highest H<sub>2</sub>-production capacity while *D. gigas* was one of the species with the lowest H<sub>2</sub> production from formate. A similar behavior was observed for the two organisms with lactate as electron donor in sulfate limiting conditions [40]. These two organisms are quite distinct in terms of number, type and localization of Hases (Table 3.3), which is probably linked to the different performances of H<sub>2</sub> production. Only *D. vulgaris* possesses a Hys Hase, which has been shown to have a very high H<sub>2</sub>-production activity (6,900 U mg<sub>protein</sub><sup>-1</sup>) and some degree of oxygen tolerance [31,41,42], whereas, *D. gigas* HynAB-1 has a specific activity for H<sub>2</sub> production of only 440 U mg<sub>protein</sub><sup>-1</sup> [42,43]. In order to understand the electron transfer pathways involved in formate-driven H<sub>2</sub> production by *D. vulgaris* and *D. gigas*, H<sub>2</sub> production by mutant strains lacking genes for Hases was compared with wild-type strains.

**Table 3.3.** Comparative analysis of FDH and Hase distribution in the SRB genomes [12,25].

FDHs	Periplasmic				Cytoplasmic			
	Soluble		Membrane associated		FHL		others	
	FDHAB	FDHABC <sub>3</sub>	FDHAB	FDHABD	FHL	FHL	FHL	HsFB
<i>Desulfovibrio vulgaris</i> Hildenborough	1	1	1	1	-	-	-	-
<i>Desulfovibrio desulfuricans</i> ATCC 27774	-	1	1	1	-	-	-	1
<i>Desulfovibrio alaskensis</i> G20	3	-	-	-	1	-	-	-
<i>Desulfovibrio fructosivorans</i>	2	-	-	-	-	-	-	1
<i>Desulfovibrio gigas</i>	2	-	-	-	-	-	-	-

Hases	Periplasmic				Cytoplasmic			
	[NiFe]		[NiFeSe]		[NiFe]		[FeFe]	
	HynAB	HynABC	HysAB	HysABC <sub>3</sub>	HydAB	Ech	Coo	[FeFe] <sub>mon</sub>
<i>Desulfovibrio vulgaris</i> Hildenborough	1	-	1	1	1	1	1	-
<i>Desulfovibrio desulfuricans</i> ATCC 27774	1	1	-	-	1	1	1	-
<i>Desulfovibrio alaskensis</i> G20	1	-	1	1	1	-	-	1
<i>Desulfovibrio fructosivorans</i>	1	-	-	-	1	1	2	-
<i>Desulfovibrio gigas</i>	1	-	-	-	-	1	-	-

 [FeFe]<sub>bif</sub>, cytoplasmic NAD(P)-dependent Hases; [FeFe]<sub>mon</sub>, monomeric Fd-dependent Hases



The *D. vulgaris* Hases have distinct kinetic properties and expression conditions [18,31,43]. They are differently regulated by H<sub>2</sub> levels [18] and the metals Ni and Se [31]. In a previous study, we reported that a periplasmic [NiFe] Hase was involved in H<sub>2</sub> production from formate in the absence of Se (with only Fe or Fe and Ni), while the periplasmic [NiFeSe] was the main Hase involved in the presence of Fe, Ni and Se [11]. While similar results were observed here for the wild-type, the present work demonstrates that each of these Hases is not essential for formate-driven H<sub>2</sub> production since the respective deletion strains are still able to produce H<sub>2</sub>. This suggests a high level of functional redundancy, with multiple Hases being involved in H<sub>2</sub> production, and/or that deletion of one enzyme is compensated by the action of remaining one(s) by redirection of electron flow. Thus, the high redundancy of Hases in *D. vulgaris*, and their apparent functional overlap makes it very difficult to understand the specific role of each enzyme in H<sub>2</sub> production from formate. A similar situation was observed for *D. alaskensis* strain G20 during syntrophic growth on lactate, where the Hyn [NiFe] Hase was also reported to be the main enzyme responsible for H<sub>2</sub> production, but, the growth of the  $\Delta hyn$  strain in co-culture was similar to the reference strain indicating compensation by the other periplasmic Hases [20].

Although no specific Hases were identified as crucial for formate-driven H<sub>2</sub> production by *D. vulgaris*, the present work suggests that both periplasmic and cytoplasmic Hases may be involved in this metabolism. Interestingly, in the condition where Fe and Ni are present, a higher H<sub>2</sub> production than the wild-type was observed for the  $\Delta hyn2$  strain. This suggests that HynAB-2 may operate in H<sub>2</sub> oxidation, since its absence leads to higher H<sub>2</sub> levels when

compared to the wild-type strain. Since in this condition no periplasmic Hase is detected in this mutant, the production of H<sub>2</sub> may be taking place mostly in the cytoplasm, and this then diffuses to the periplasm and to outside the cell. The oxidation of H<sub>2</sub> by HynAB-2 indicates that this Hase may be able to recycle H<sub>2</sub> in the cell to avoid loss of reducing power.

In addition, the study of the cytoplasmic-facing energy-conserving Hases gave no clear results regarding an essential role of any of these enzymes in the process. This suggests that the periplasmic enzymes can easily compensate for the absence of Ech or Coo Hases. In fact, both HynAB-1 and HynAB-2 Hases are detected in the activity-stained gels with an increased expression of the HynAB-1 Hase relative to the mutants of the periplasmic Hases. So, in cells that lack the cytoplasmic Hases, the electrons from formate oxidation are probably transferred only to the periplasmic Hases (HynAB-1, HynAB-2 and Hys). Using a direct periplasmic pathway, the cells can dispose the excess reducing power without energy conservation.

When Se is available the Hys Hase is highly expressed and there is a downregulation of other Hases, as reported previously for respiratory conditions [31]. The presence of Se results in the highest H<sub>2</sub> production (850 mL L<sub>medium</sub><sup>-1</sup>) for the wild-type, and a significant decrease is observed for the  $\Delta hys$  strain (600 mL L<sub>medium</sub><sup>-1</sup>, 30% less than wild-type), whereas all other mutant strains showed similar H<sub>2</sub> production profile to the wild-type. This indicates that in the presence of Se the Hys Hase has a significant role in H<sub>2</sub> production. Recently, the Hys Hase was shown to be required for formate oxidation during sulfate respiration by *D. alaskensis* G20 in the presence of Se [17], suggesting the conversion of formate to H<sub>2</sub> is essential for growth on

formate/sulfate. Although no periplasmic Hases were detected in the activity stained gels of  $\Delta hys$  cells, this mutant retains a considerable capacity to produce H<sub>2</sub>, suggesting a considerable involvement of cytoplasmic Hases in H<sub>2</sub> production in this condition. Thus, the overall results point to the ability of *D. vulgaris* periplasmic and cytoplasmic Hases to act bidirectionally and with a high degree of functional overlap.

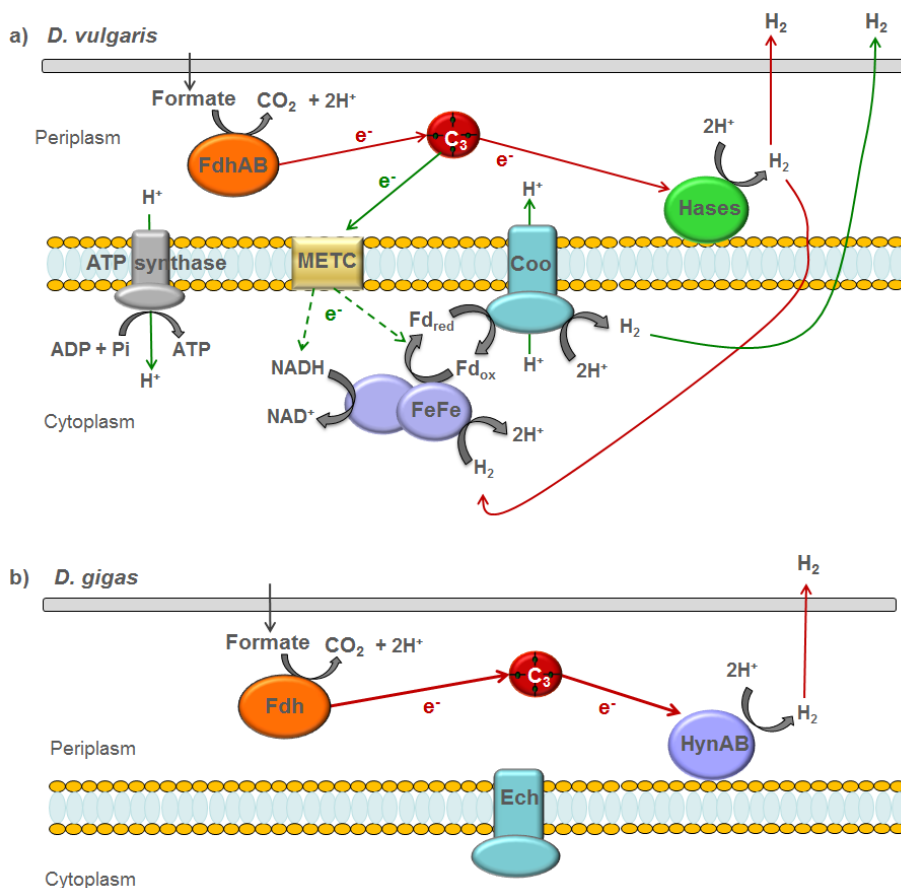
The recent finding that *D. vulgaris* can show some limited growth by the conversion of formate to H<sub>2</sub> was rather unexpected [16], and indicates that this process can be coupled to energy conservation. Direct electron transfer from the periplasmic FDHs to the periplasmic Hases via the Type I cytochrome *c*<sub>3</sub> would not allow for energy conservation, so an alternative pathway has to be present. We propose that formate is oxidized in the periplasm by FdhAB, and that the generated electrons are transferred, through the Type I cytochrome *c*<sub>3</sub>, part to periplasmic Hases producing H<sub>2</sub> (mostly the Hys Hase when Se is present) and part to membrane-bound electron transfer complexes (METC), probably Qrc or Tmc [44–46] (Figure 3.6a). Through a still unidentified pathway the electrons crossing the membrane can probably lead to reduction of ferredoxin in the cytoplasm, which can be oxidized by one of the energy-conserving Hases contributing to H<sub>2</sub> production in an electrogenic process. The reduction of ferredoxin by H<sub>2</sub> is an endergonic process, and can only occur if the P<sub>H<sub>2</sub></sub> inside the cell is high enough for the process to become favourable, or if flavin-based electron bifurcation is involved [47]. Interestingly, *D. vulgaris* has a cytoplasmic [FeFe] Hase that may be capable of electron bifurcation, as its gene is adjacent to one coding for a pyridine dinucleotide-disulfide oxidoreductase, which may use NAD(P)H as cofactor. So, some proportion of

reducing power derived from formate may be used to (i) either reduce ferredoxin directly or (ii) produce NADH, which coupled with H<sub>2</sub> present inside the cell can lead to reduction of ferredoxin via electron bifurcation. The bioreactor experiments with the *D. vulgaris* mutants suggest that in these conditions, the cytoplasmic Hase responsible for ferredoxin oxidation coupled with energy conservation is the Coo Hase, given the observed growth of the  $\Delta ech$  strain after sulfate is depleted (from 5 to 10 h) and absence of growth of the  $\Delta coo$  strain once sulfate has been consumed. However, the variable response of the reference strain JW710 in the bioreactor prevents definite conclusions on the negative growth of the  $\Delta coo$  strain. Nevertheless, this model is supported by the observation that the Coo Hase is essential for syntrophic growth of *D. vulgaris* with a methanogen on lactate [13], indicating its role in H<sub>2</sub> production also in this fermentative-type metabolism. Further experiments will be required to clarify this point.

In contrast to *D. vulgaris*, only two [NiFe] Hases are presented in *D. gigas*: the energy conserving Ech and the periplasmic HynAB-1 [25,26], making it a simple model to study the role of each Hase on H<sub>2</sub> production. The present work demonstrates that HynAB-1 is the only *D. gigas* Hase involved in H<sub>2</sub> production from formate, since the amount of H<sub>2</sub> produced by the  $\Delta hyn$  strain was negligible and the production of H<sub>2</sub> by the  $\Delta ech$  strain was similar to the wild-type strain. A similar result was observed for pyruvate fermentation by *D. gigas*, where the cells lacking HynAB-1 were unable to produce H<sub>2</sub> [26]. We could not test growth of *D. gigas* in the bioreactor by converting formate to H<sub>2</sub>, because the wild-type strain did not grow, even in the presence of sulfate, when sparging was applied. Nevertheless, the results suggest that growth

would not be possible for this organism, as only the periplasmic HynAB-1 Hase is essential for H<sub>2</sub> production from formate.

In conclusion, *D. vulgaris* has two apparent pathways for H<sub>2</sub> production from formate: a direct one only involving periplasmic enzymes, and another that involves transmembrane electron transfer and allows for energy conservation. In contrast, in *D. gigas* the electron transfer pathway occurs exclusively in the periplasm (Figure 3.6b) and formate is converted to H<sub>2</sub> without energy conservation. It seems likely that the presence of a high number of hydrogenases in *D. vulgaris*, with a capacity for functional redundancy, will confer an added advantage to this organism when faced with limitation or competition for nutrients and trace elements. This work demonstrates that the electron transfer mechanism involved in H<sub>2</sub> production from formate differs among *Desulfovibrio* strains.



**Figure 3.6.** Proposed electron transfer pathways for formate-driven H<sub>2</sub> production, in the absence of sulfate, by *D. vulgaris* (a) and *D. gigas* (b). In *D. vulgaris*, two pathways can be involved in H<sub>2</sub> production: a direct one involving only periplasmic enzymes (red arrows), and a second one that involves transmembrane electron transfer and allows for energy conservation (green arrows). The relative weight of the two pathways is not known, so the stoichiometry of the reactions and the percentage of electrons transferred to each pathway are not considered in the figure. For the sake of simplicity, a single periplasmic Hase is depicted. In *D. gigas*, only the direct periplasmic pathway operates. Fdh formate dehydrogenase, Hase hydrogenase, Fd ferredoxin, c<sub>3</sub> type I cytochrome c<sub>3</sub>, METC membrane-bound electron transfer complexes, Coo cytoplasmic [NiFe] Coo hydrogenase, Ech cytoplasmic [NiFe] Ech hydrogenase, FeFe cytoplasmic [FeFe] hydrogenase.

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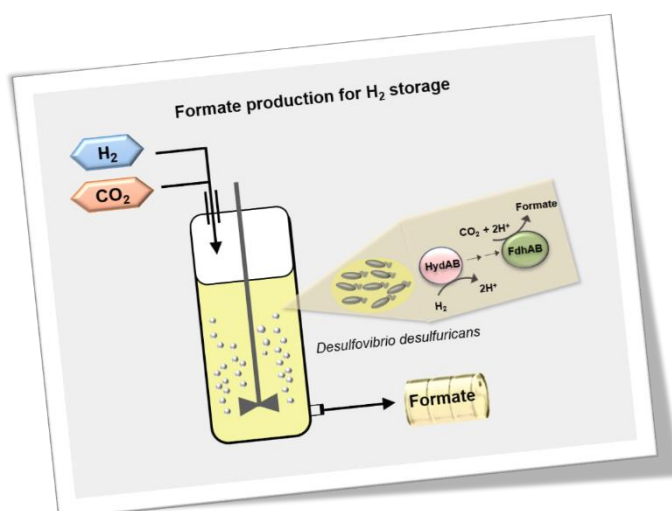


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# CHAPTER 4

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## A CONTINUOUS SYSTEM FOR BIOCATALYTIC HYDROGENATION OF CO<sub>2</sub> TO FORMATE



The work presented in this chapter was accepted in:

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Cláudia Mourato was involved in the writing and in all the experimental procedures of this work.

## 4. ABSTRACT

In this work a novel biotechnological process for the hydrogenation of CO<sub>2</sub> to formate was developed, using whole cell biocatalysis by a sulfate-reducing bacterium. Three *Desulfovibrio* strains were tested (*D. vulgaris* Hildenborough, *D. alaskensis* G20, and *D. desulfuricans* ATCC 27774), of which *D. desulfuricans* showed the highest capacity to reduce CO<sub>2</sub> to formate, producing 12 mM of formate in serum bottles with a production rate of 0.09 mM h<sup>-1</sup>. Gene expression analysis indicated that among the three formate dehydrogenases and five hydrogenases, the cytoplasmic FdhAB and the periplasmic HydAB [FeFe] are the main enzymes expressed in *D. desulfuricans* in these conditions. The new bioprocess for continuous formate production by *D. desulfuricans* had a maximum specific formate production rate of 14 mM g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>, and more than 45 mM of formate were obtained with a production rate of 0.40 mM h<sup>-1</sup>. This is the first report of a process for continuous biocatalytic production of formate.

### 4.1 INTRODUCTION

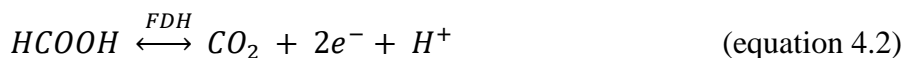
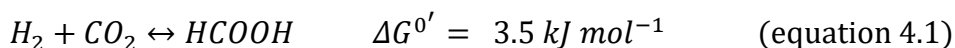
An increasing reliance on renewable energy sources for electricity production is a welcome reality, expected to further increase in the coming future. Albeit, the development of methods for storing excess electricity during periods of low consumption is an important requirement for the viability of a sustainable economy based on renewable energy [1]. A promising answer is the use of

hydrogen, produced by electrolysis of water, but the transport and storage of hydrogen is still a challenging issue. Formic acid has emerged as an ideal storage compound for H<sub>2</sub> as it is a safe liquid at room temperature, which can be easily stored and transported, and furthermore it allows the sequestration of CO<sub>2</sub> in a valuable commodity chemical [2–6]. Several chemical processes are known for reduction of CO<sub>2</sub> to formate, but these technologies require demanding and expensive conditions, like the use of precious metals and high temperatures and pressures [7–10]. In contrast, the use of biological catalysts, which work under mild conditions and with high specificity, provides an inexpensive and “greener” system for the conversion of CO<sub>2</sub> to formate, mainly through the hydrogenation of CO<sub>2</sub>.

Formate production using whole cell catalysis is emerging as an attractive biotechnological application, and has been reported with several microorganisms, including *Acetobacterium woodii* [11], *Escherichia coli* [12] and *Methylobacterium extorquens* [13]. In the present work we tested sulfate-reducing bacteria (SRB) of the genus *Desulfovibrio* as novel biocatalysts for formate production through the hydrogenation of CO<sub>2</sub>. SRB are anaerobic bacteria with important biotechnological applications in the bioremediation of heavy metals and wastewaters [14]. These bacteria live at low redox potentials and have a high content of formate dehydrogenases (FDHs) and hydrogenases (Hases), which play an important role in their energy metabolism [14,15]. In biologic systems, the hydrogenation of CO<sub>2</sub> to formate (equation 4.1) occurs by the action of these two types of enzymes. FDHs catalyze the reversible oxidation of formate to CO<sub>2</sub> (equation 4.2) [16,17] whereas Hases are responsible for the reversible oxidation of H<sub>2</sub> (equation 4.3) [18].



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Due to the abundant presence of these enzymes [15], SRB are good candidates for formate production from CO<sub>2</sub> and H<sub>2</sub> and it was recently shown that these bacteria are good biocatalysts for H<sub>2</sub> production from formate [19–21]. In the acetogen *A. woodii* a cytoplasmic protein complex between a Hase and a FDH was shown to be involved in formate production [11]. In *Desulfovibrio* most of the Hases and FDHs are periplasmic and they share the same electron acceptor, the small tetraheme cytochrome c<sub>3</sub> [22,23]. This suggests that there can be direct electron transfer between these two kinds of enzymes through this cytochrome. In this work, formate production from CO<sub>2</sub> and H<sub>2</sub> was evaluated by three *Desulfovibrio* species in order to select the one with highest activity. Next, a column bioreactor was developed and optimized for formate production using *D. desulfuricans* ATCC 27774, followed by development of a continuous bioprocess for the production of formate. In parallel, expression analysis of genes coding for FDHs and Hases was also performed to investigate which enzymes are involved in formate production by this organism.

## 4.2 MATERIALS AND METHODS

### 4.2.1 BACTERIAL STRAINS AND GROWTH CONDITIONS

The bacterial strains used in this work were *Desulfovibrio vulgaris* Hildenborough (DSM 644), *Desulfovibrio desulfuricans* ATCC 27774 and *Desulfovibrio alaskensis* G20. All strains were grown in modified Postgate medium C containing 0.5 g L<sup>-1</sup> KH<sub>2</sub>PO<sub>4</sub>, 1 g L<sup>-1</sup> NH<sub>4</sub>Cl, 2.5 g L<sup>-1</sup> Na<sub>2</sub>SO<sub>4</sub>, 0.06 g L<sup>-1</sup> CaCl<sub>2</sub>·2H<sub>2</sub>O, 0.06 g L<sup>-1</sup> MgSO<sub>4</sub>·7H<sub>2</sub>O, 1 g L<sup>-1</sup> yeast extract, 0.0071 g L<sup>-1</sup> FeSO<sub>4</sub>·7H<sub>2</sub>O, 0.3 g L<sup>-1</sup> sodium citrate tribasic dihydrate, 0.1 g L<sup>-1</sup> ascorbic acid, 0.1 g L<sup>-1</sup> sodium thioglycolate, 4.5 g L<sup>-1</sup> sodium lactate and 0.3 mg L<sup>-1</sup> resazurin. Bacterial growth was carried out at 37 °C in static conditions using 120 mL serum bottles with a working volume of 50 mL and N<sub>2</sub> as gas headspace. The bottles were sealed with butyl rubber stoppers and aluminum crimp seals.

### 4.2.2 FORMATE PRODUCTION BY WHOLE CELL IN SERUM BOTTLES

The production of formate by whole cell in serum bottles was performed using the modified Postgate medium C described above with a few modifications: medium without lactate was supplemented with sodium acetate (10 mM), nickel chloride (1 μM), sodium selenite (1 μM) and sodium molybdate (0.1 μM), sodium sulfate (8 mM instead of 17.6 mM), and yeast extract (0.2 g L<sup>-1</sup> instead of 1 g L<sup>-1</sup>), and the pH adjusted to 7.0 ± 0.1. This medium is designed as *Desulfovibrio* carbon dioxide (DCD) medium. Batch experiments were carried under anaerobic conditions at 37 °C using 120 mL serum bottles with

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a working volume of 50 mL and H<sub>2</sub>/CO<sub>2</sub> (80%/20%) as gas headspace, to a final overpressure of 1 bar. The bottles were sealed with butyl rubber stoppers and aluminum crimp seals. A 10 % (v/v) inoculum grown in modified Postgate medium C was used in all experiments, which were performed in triplicate.

### 4.2.3 FORMATE PRODUCTION IN A COLUMN BIOREACTOR

Formate production was studied using a sparging column bioreactor previously described [20]. This reactor was operated with a working volume of 0.5 L of DCD medium and a gas mixture of H<sub>2</sub>/CO<sub>2</sub> (80%/20%) was used at a flow rate of 80 mL min<sup>-1</sup>. The internal temperature was kept constant by a heating blanket. Two operation parameters were optimized for formate production: sulfate concentration (from 3 mM to 20 mM) and temperature (from 31 °C to 44 °C).

Continuous formate production was also investigated by the continuous addition of fresh DCD medium (without sodium sulfate and with 0.048 g L<sup>-1</sup> MgCl<sub>2</sub>·6H<sub>2</sub>O instead of 0.06 g L<sup>-1</sup> MgSO<sub>4</sub>·7H<sub>2</sub>O). This fresh medium was also supplemented with MOPS buffer (2.5 M) and sodium sulfide (58 mM). Sulfide was added to ensure the maintenance of a low redox potential inside the bioreactor. After sulfate depletion, the fresh medium was fed to the bioreactor at a flow rate of 0.110 mL min<sup>-1</sup>. Moreover, 20 mmol of bicarbonate were added daily to the bioreactor, as an additional source of CO<sub>2</sub> in the system, to a final concentration of 40 mM in fed batch mode (20 mL day<sup>-1</sup>). A 10 % (v/v) of inoculum was used to startup the bioreactor. Each experiment was carried out at least in duplicate.

#### 4.2.4 RNA ISOLATION AND QUANTITATIVE RT-PCR ANALYSIS (qRT-PCR)

For the expression analysis of genes involved in formate production, *D. desulfuricans* ATCC 27774 was grown in the column bioreactor fed with CO<sub>2</sub> medium. Two types of experiments were conducted to compare the gene expression during hydrogen-sulfate respiration (Experiment I) with the expression when formate was produced in the absence of sulfate (Experiment II). In Exp. I, the cells were grown with a higher concentration of sulfate (20 mM) and collected when half of the initial sulfate was consumed (production of formate was not detected). In Exp. II the cells were collected at the stage where sulfate was depleted and formate production reached the maximum value. Cells were centrifuged for 12 min at 3000 xg, washed with cold (4 °C) sterile MilliQ water and frozen for later RNA extraction. Cell lysis and RNA extraction were performed as previously described [24]. RNA quality was assessed by inspecting the 16S and 23S rRNA bands after electrophoresis on agarose gel and quantified spectrophotometrically at 260 nm (NanoDrop 2000C ThermoScience). RNA samples were treated with DNase (TURBO™ DNase-free, Ambion) three times to avoid DNA contamination and RNA was cleaned up using the RNeasy minikit (Qiagen) according to the manufacturer's instructions.

Total RNA (3 µg) was reversed transcribed with Transcriptor Reverse Transcriptase (Roche). Primers were designed to amplify approximately 100 to 120 bp region of subunits of formate-dehydrogenases (*fdhA-p*, *fdhA-m*, *fdhA-cyt*) and hydrogenases genes (*hydA*, *hynA-p*, *hynA-m*, *echE*, *coaA*) and the reference ribosomal protein gene *rpls* (Table 4.1). The *rpls* gene was previously

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validated as a reference gene [25] and was selected due to its similar levels of expression to the genes analyzed in this study. qRT-PCR reactions were performed in a Light Cycler 480 Real-Time PCR System (Roche), with LightCycler 480 SYBR Green I Master (Roche).

Relative standard curves and gene expression were calculated by the relative quantification method with efficiency correction, using the LightCycler Software 1.5. Values were normalized to the ones from the ribosomal protein gene *rpls*. Three biological replicates and three technical replicates were used for each condition.

**Table 4.1.** Primers used for qRT-PCR expression analysis of formate-dehydrogenases and hydrogenases in *D. desulfuricans* ATCC 27774.

Target gene/locus tag	Primers sequence (5' → 3')
<b>Reference gene</b>	
rRNA <i>rpls</i> (ddes_1790)	Fw - ATTGTGGAAGGCGAAAAACA Rv - TCAGAAATTTGCGCACTGT
<b>FDHs genes</b>	
<i>fdhABD</i> ( <i>fdhA-m</i> /ddes_0827)	Fw - AAAGCAAACGTGGCTCCATT Rv - TTGTAGCCGAAATGCATGGG
<i>fdhABC<sub>3</sub></i> ( <i>fdhA-p</i> /ddes_0555)	Fw - ATGCACTGGACGGACAAGTA Rv - CGCGCTTATAGGTCTTGTCG
<i>fdhAB</i> ( <i>fdhA-cyt</i> /ddes_1545)	Fw - GGAGTATCCCTTCGTGCTCA Rv - AAATATCCGCCGTGCATGC
<b>Hases genes</b>	
<i>coo</i> ( <i>cooA</i> /ddes_1885)	Fw - GCCACTCCTTCACCTACAGC Rv - GAGGCGATACGCTTGATTC
<i>ech</i> ( <i>echE</i> /ddes_1669)	Fw - TTTCTGCGGGTTATCTGGTC Rv - GAACTGCTGGAACACGCTTT
<i>hydAB</i> ( <i>hydA</i> /ddes_1503)	Fw - ACCATCAACGGTACGGAACT Rv - AGGCCATGAATTCGATGAAA
<i>hynAB</i> ( <i>hynA-p</i> /ddes_1038)	Fw - TTGAAGACGCCATCAACAAG Rv - ATGCGTGGAGGTGGTAGAAG
<i>hynABC</i> ( <i>hynA-m</i> /ddes_0836)	Fw - GACCGTTACTCCTGGCTCAA Rv - GCCCTTGATGTCGTCAATTT

#### 4.2.5 ANALYTICAL METHODS

Cell growth was monitored by optical density at 600 nm (OD<sub>600</sub>) with a Shimadzu UV/Vis spectrophotometer. *D. desulfuricans* biomass was determined by measuring the dry cell weight (dcw) correlated with OD<sub>600</sub> values. One unit value of OD<sub>600</sub> corresponded to 0.31 g<sub>dcw</sub> L<sup>-1</sup>. Liquid samples were periodically collected and filtered (0.22 μM) before sulfate and formate analysis. Sulfate was quantified by UV/Vis spectrophotometry at 450 nm using the method of SulfaVer<sup>®</sup>4 (Hach). Formate was quantified using the formate dehydrogenase of *Candida boidinii* (Sigma) as previously described in [11,26] using a 96-well plate. Each sample (20 μL) was placed in the plate and the reaction started by the addition of a solution containing of 1 mM NAD<sup>+</sup>, 40 mM Tris-HCl buffer pH 8.0 (final concentrations) and 0.5 U of formate dehydrogenase to a final volume of 200 μL. Absorbance at the start and end of the reaction (after 1h of incubation at 37°C) was monitored at 340 nm (for NADH formation) in a 96-well plate reader (ELx800 Absorbance Reader, BioTek).

#### 4.2.6 THERMODYNAMIC AND SOLUBILITY CALCULATIONS

The Gibbs free energy in the bioreactor experiments was calculated using the Nernst equation (equation 4.4) and the measured values of formate. The standard Gibbs free energy was correct to the work temperatures using the

Gibbs-Helmholtz equation (equation 4.5) and the enthalpy energies of products and reactants formation [27].

$$\Delta G = \Delta G_T^0 + RT \ln Q \quad (\text{equation 4.4})$$

$$\Delta G^0 = \Delta G_{T_{ref}}^0 \times \frac{T_{work}}{T_{ref}} + \Delta H_{T_{ref}}^0 \times \frac{T_{ref} - T_{work}}{T_{ref}} \quad (\text{equation 4.5})$$

The gas concentrations in solution at working conditions was calculated according to Henry's law (equation 4.6), where  $c$  is the concentration of the gas,  $K_H$  is the Henry's constant of solubility and  $p$  is the partial pressure [28]. The Henry's constant of solubility values were corrected to working temperature using the van't Hoff equation (equation 4.7) [28] and the Henry's Law constants of solubility at standard conditions ( $K_H^\theta$ ) and the values of  $\frac{-\Delta_{sol}H}{R}$  were taken from [28].

$$c = K_H \times p \quad (\text{equation 4.6})$$

$$K_H = K_H^\theta \times \frac{-\Delta_{sol}H}{R} \left( \frac{1}{T} - \frac{1}{T^\theta} \right) \quad (\text{equation 4.7})$$

#### 4.2.7 STATISTICAL ANALYSIS

The production of formate by the different strains and in different bioreactor conditions was analyzed using one-way analysis of variance (ANOVA) and the multiple comparative pairwise Tukey test (confidence of 95%). The statistical analyses were performed with SigmaStat 3.0 and a *p*-value less than 0.05 was considered statistically significant.

### 4.3 RESULTS AND DISCUSSION

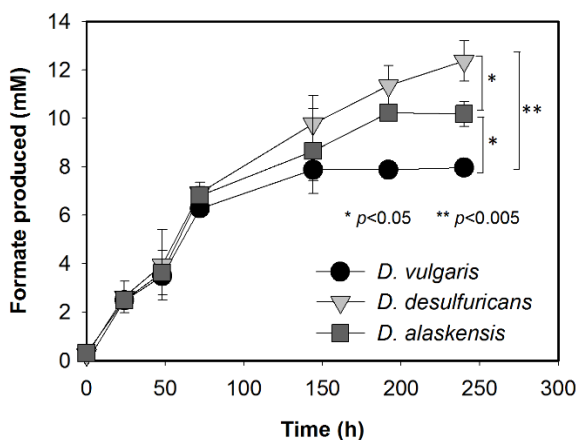
#### 4.3.1 FORMATE PRODUCTION BY *DESULFOVIBRIO* WHOLE CELLS IN BATCH CONDITIONS

The potential of three *Desulfovibrio* spp. to act as biocatalysts for the production of formate from CO<sub>2</sub> reduction with H<sub>2</sub> was investigated during 10 days (Figure 4.1). The three strains showed similar initial formate production rates (from 0.086 to 0.092 mM h<sup>-1</sup>, *p*=0.257), but different amounts of formate were produced between days 4 and 10 (*p*<0.002). *D. vulgaris* and *D. alaskensis* accumulated 8 mM and 10 mM of formate, respectively, whereas 12 mM was obtained with *D. desulfuricans* after 10 days. These results indicate that 36 to 55 % of the CO<sub>2</sub> available (1.1 mmol) was used for formate production.

Formate production by *Desulfovibrio* spp. Was reported for *D. vulgaris*, which produced 10 mM of formate when grown with CO<sub>2</sub> and H<sub>2</sub> [26]. This value agrees with the present results for the same organism. The differences in



formate production between the three strains may be related to the differences in Hases and especially FDHs present in these strains. It is known that the expression of FDHs is dependent on the metals available [16,29,30]. In this work, molybdenum was used as a metal supplement in the growth medium. The genome of *D. desulfuricans* codes for three FDHs, in which one was characterized as a FDH that incorporates Mo (Mo-FdhABC<sub>3</sub>) [15,31]. *D. vulgaris*, which produced less formate, has also three FDHs, two of which have been described as Mo-dependent FDHs (Mo-FdhABC<sub>3</sub>), and a third FDH that can incorporate either Mo or tungsten (Mo/W-FdhAB) [15,29]. Previous studies showed that the *D. vulgaris* FdhAB has a higher catalytic activity than FdhABC<sub>3</sub> [29] and that this protein is mainly involved in formate oxidation [21]. The lowest formate production was observed for *D. alaskensis*. This organism has three FDHs, two of which have been characterized as W-FDHs, and a third that can incorporate either Mo or W [30,32], similarly to *D. vulgaris*. Interestingly, these three microorganisms showed a similar H<sub>2</sub> production profile from formate, with the maximum H<sub>2</sub> production obtained from *D. desulfuricans* and *D. vulgaris*, followed by *D. alaskensis* (with Mo) [21]. In conclusion, *D. desulfuricans* was selected for further studies aiming to develop and optimize a new bioreactor process for formate production.



**Figure 4.1.** Formate production from CO<sub>2</sub> and H<sub>2</sub> by three *Desulfovibrio* species. The assays were conducted in serum bottles under an atmosphere of 20% CO<sub>2</sub>/80% H<sub>2</sub> to a final overpressure of 1 bar. Data are the average of triplicate incubations and error bars indicate the standard deviations.

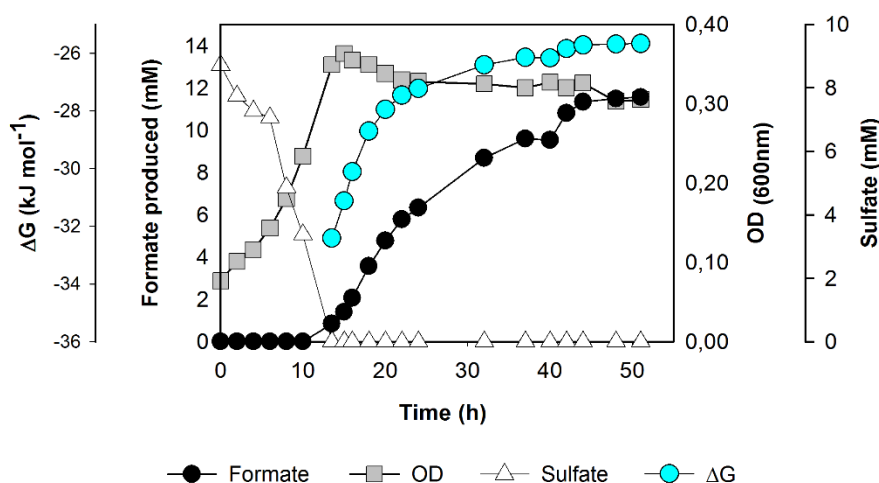
#### 4.3.2 FORMATE PRODUCTION BY *D. DESULFURICANS* IN A BIOREACTOR

To develop a bioprocess for formate production, a column bioreactor with gas sparging was tested and optimized using *D. desulfuricans* as biocatalyst. This bioreactor was first designed and optimized for H<sub>2</sub> production in a previous study, where it led to a great improvement in H<sub>2</sub> production from formate [20]. This bioreactor and its gas sparging system allows for a constant and efficient delivery of CO<sub>2</sub> and H<sub>2</sub> to the cells.

#### 4.3.2.1. FORMATE PRODUCTION PROFILE

Growth of *D. desulfuricans* in the bioreactor fed with CO<sub>2</sub> and H<sub>2</sub> was initially promoted by the presence of an initial sulfate concentration of 10 mM (Figure 4.2). Growth was observed in the first 14 hours of study until sulfate was completely reduced, reaching a maximum OD<sub>600</sub> of 0.35, representing 0.12 g<sub>dcw</sub><sup>-1</sup> L<sup>-1</sup>. The production of formate started after sulfate depletion. The initial production rate was 0.6 mM h<sup>-1</sup> and a maximum amount of 12 mM of formate was achieved at 48 h of study. A specific formate production of 245 mM g<sub>dcw</sub><sup>-1</sup> and a maximum specific production rate of 11 mM g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup> were obtained in this process.

A formate production of 12 mM was similar to that obtained with *D. desulfuricans* in serum bottles. In the bioreactor, the concentration of substrates is not limiting since there is a continuous delivery of CO<sub>2</sub> and H<sub>2</sub>. The calculated  $\Delta G$  at the bioreactor conditions also showed a favorable thermodynamic reaction for formate production, with  $\Delta G$  values between -32 and -26 kJ mol<sup>-1</sup> (Figure 4.2). It should be noted that these  $\Delta G$  values apply to the solution conditions, and not to the intracellular milieu, where the concentrations of the metabolites may be different. Although the amount of formate produced in the bioreactor was similar to that in batch conditions, the initial production rate was 6-fold higher. This demonstrates a better catalytic performance of the cells in the bioreactor, which was probably due to the continuous feeding of H<sub>2</sub> and CO<sub>2</sub>. To further optimize formate production, the effect of initial sulfate concentration and temperature were also evaluated.



**Figure 4.2.** Formate production and bacterial growth profiles of *D. desulfuricans* in a column bioreactor. The bioreactor was fed with medium containing 10 mM of sulfate and operated at 37°C with a gas sparging (20 %CO<sub>2</sub>/80%H<sub>2</sub>) flow rate of 80 mL min<sup>-1</sup>.

#### 4.3.2.2. OPTIMIZATION OF BIOREACTOR CONDITIONS

In order to investigate the effect of cell load on formate production, *D. desulfuricans* was grown in the bioreactor with CO<sub>2</sub> and H<sub>2</sub> in the presence of different initial sulfate concentrations (Table 4.2). As expected, the increase of initial sulfate concentration promoted growth expressed in the increase of maximum OD<sub>600</sub>. In all conditions, formate production started only after sulfate was depleted. The production of formate increased almost 3-fold (from 4.5 to 12 mM) when the initial sulfate concentration was increased from 3 to 10 mM ( $p < 0.05$ ) (Table 4.2). However, when the bioreactor was operated with

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20 mM of sulfate, only a slight improvement of formate production was observed, reaching 14 mM (Table 4.2). This may have been due to inefficient substrate uptake in the presence of a higher cell load. A similar effect was observed in previous studies of H<sub>2</sub> production [19,33,34]. The highest specific formate production of 245 mM g<sub>dcw</sub><sup>-1</sup> was obtained when the initial sulfate concentration was 10 mM. A maximum specific formate production rate of 11 mM g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup> was also observed in this condition. Thus, 10 mM of sulfate was used in subsequent experiments.

To test the effect of temperature on formate production, the bioreactor was operated at different temperatures from 31 °C to 44 °C. An improvement of formate production from 7 mM to 12 mM was observed when the temperature increased from 31 °C to 37 °C (Table 4.2). At 40 °C, a lower amount of formate was observed (8.4 mM), whereas at 44 °C no formate was produced. The maximum specific formate production and formate production rates were also higher at 37 °C (Table 4.2). Although formate production decreased with temperatures higher than 37 °C, the cells were still able to grow, even at 44 °C, where no formate was produced. The calculated ΔG is favorable for the production of formate at all temperatures (Table 4.2). The reduced production of formate above 37 °C may be due to a specific effect of temperature on formate metabolism of *D. desulfuricans*, since the concentrations of CO<sub>2</sub> and H<sub>2</sub> in solution are only slightly reduced (the calculated variation is from 5.6 x10<sup>-5</sup> M at 31 °C to 4.1 x10<sup>-5</sup> M at 44 °C for CO<sub>2</sub> and 6x10<sup>-6</sup> M at 31 °C to 5.6 x10<sup>-6</sup> M at 44 °C for H<sub>2</sub>).

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**Table 4.2.** Formate production by *D. desulfuricans* in a bioreactor at different initial sulfate concentrations and temperatures.

	OD <sup>a</sup>		Formate produced (mM)	Specific formate production (mM g <sub>dcw</sub> <sup>-1</sup> )	Formate production rate (mM h <sup>-1</sup> )	Maximum specific production rate (mM g <sub>dcw</sub> <sup>-1</sup> h <sup>-1</sup> )	ΔG <sup>d</sup> (kJ mol <sup>-1</sup> )
	OD <sup>b</sup>	OD <sup>c</sup>					
<b>Sulfate concentration</b>							
3 mM	0.21	0.21	4.5	137	0.3	9.5	-67.6
10 mM	0.36	0.30	12	245	0.6	11	-67.6
20 mM	0.50	0.46	14	204	0.4	5.3	-67.6
<b>Temperature</b>							
31°C	0.32	0.32	7	124	0.2	3	-66.9
37°C	0.36	0.30	12	245	0.6	11	-67.6
40°C	0.32	0.30	8.4	46	0.3	1.6	-68.0
44°C	0.29	0.29	0	0	0	0	-68.4

<sup>a</sup> Initial OD of 0.078 ± 0.003 in all conditions.

<sup>b</sup> Maximum OD after sulfate depletion.

<sup>c</sup> OD at maximum formate production.

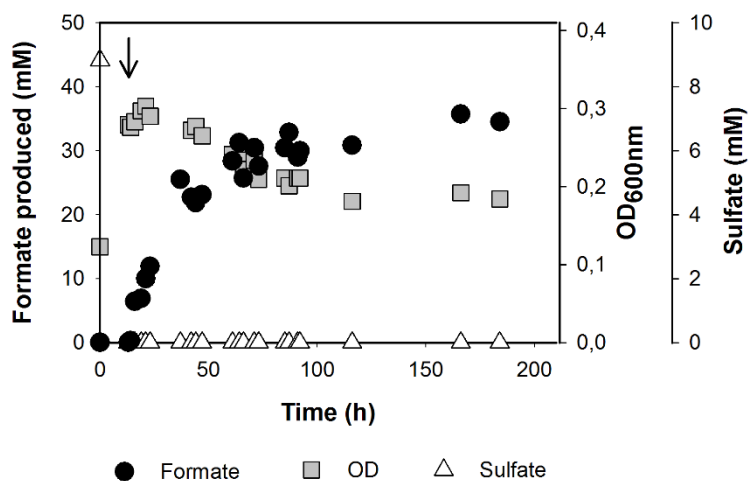
<sup>d</sup> The Gibbs free energy (kJ mol<sup>-1</sup>) at working conditions was calculated using the equation  $\Delta G = \Delta G^0_{(T)} + RT \ln Q$  and considering the formate concentration of 10<sup>-3</sup> mM, pH 7 and a P<sub>H<sub>2</sub></sub> of 0.8 atm and a P<sub>CO<sub>2</sub></sub> of 0.2 atm.

### 4.3.3 FORMATE PRODUCTION IN CONTINUOUS CONDITIONS

The capacity of *D. desulfuricans* for production of formate in continuous mode was further investigated (Figure 4.3). In this setup fresh medium was continuously fed to the bioreactor after sulfate depletion (13 h), with a flow rate of 0.11 mL min<sup>-1</sup>, and 20 mmol of bicarbonate were added daily, in fed-batch mode, as additional source of CO<sub>2</sub>. The concentration of formate in the bioreactor increased until 64 h where maximum steady state value of 30 mM of formate production was achieved. This concentration was maintained in the

bioreactor until the end of the experiment. In the steady state (64 to 184 h) formate was produced at a rate of 0.40 mM h<sup>-1</sup>. Overall, in this state, more than 45 mM of formate was produced. This value is almost 4-fold higher than that produced in fed-batch mode. This improvement is probably due to: i) the addition of bicarbonate as additional source of CO<sub>2</sub>; ii) the continuous addition of sulfide in the fresh medium, which helps to maintain a low redox potential in the bioreactor, and iii) the continuous removal of formate, which helps to lower product inhibition.

Bacterial growth occurred during the initial sulfate reduction period reaching an OD of 0.28 after 13 h. After depletion of sulfate, the continuous feeding and removal of medium was responsible for the decrease in bacterial growth observed between 47 and 116 h (from OD of 0.27 to 0.18). Interestingly, from 116 h onwards the cell density remained constant (OD around 0.18). The bioreactor worked with a hydraulic retention time (HRT) of 76 h, which means that the medium was completely renewed more than once after 116 h. Since the cell density was constant after 116 h this suggests that *D. desulfuricans* was able to grow during the formate production phase with a maximum growth rate of 0.013 h<sup>-1</sup> and a maximum specific formate production rate of 14 mM g<sub>dcw</sub><sup>-1</sup> h<sup>-1</sup>. This growth may be due to the small amount of sulfate present as iron sulfate (25 μM) in the fresh medium fed to bioreactor with a molar flow rate of 0.17 μmol h<sup>-1</sup>. Another explanation would be that *D. desulfuricans* can grow during the production of formate from hydrogenation of CO<sub>2</sub>, similarly to what was observed by Martins *et al.* for the growth of *D. vulgaris* by H<sub>2</sub> production from formate, in the absence of sulfate, in a bioreactor with gas sparging [20].



**Figure 4.3.** Continuous production of formate by *D. desulfuricans* in a sparging column bioreactor at 37°C with a sparging gas mixture (20% CO<sub>2</sub>/80% H<sub>2</sub>) at a flow rate of 80 mL min<sup>-1</sup>. Fresh medium was fed to the reactor with a flow rate of 0.110 mL min<sup>-1</sup> after sulfate depletion (starting at 13 h), as indicated by the arrow.

#### 4.3.4 EXPRESSION ANALYSIS OF FDHS AND HASES

The identification of the enzymes involved in formate production is very important for future optimization of this process through genetic manipulation. So far, no studies on the metabolism of formate production from H<sub>2</sub> and CO<sub>2</sub> have been reported in *D. desulfuricans*. The genome of this organism encodes three FDHs, two of which are periplasmic: a membrane-bound FDH (FdhABD) and a soluble one (FdhABC<sub>3</sub>), which was characterized as a Mo-containing enzyme [15,31]. A cytoplasmic FDH (FdhAB) is also present



[15], but was never characterized. *D. desulfuricans* also contains five Hases, belonging to the [FeFe] and [NiFe] families [15]. Three periplasmic Hases are present in this bacterium, the soluble [FeFe] HydAB and [NiFe] HynAB, and a membrane-bound [NiFe] HynABC. The two cytoplasmic Hases are the membrane-bound [NiFe] Ech and Coo Hases. In order to investigate which FDHs and Hases may be involved in the production of formate an expression analysis by real time qRT-PCR was performed. The mRNA levels were analyzed in cells grown with CO<sub>2</sub> and H<sub>2</sub> and collected in two growth conditions: 1) when the cells were growing by sulfate reduction (i.e., when there is no formate production) and 2) in the absence of sulfate where maximum formate production is observed.

#### 4.3.4.1 FORMATE DEHYDROGENASES GENES

The relative expression of the catalytic subunit genes (*fdhA*) is shown in Figure 4.4a. During hydrogen-sulfate respiration, a higher level of expression was observed for the *fdhA-p* gene of the periplasmic FdhABC<sub>3</sub>, than for the *fdhA-m* and *fdhA-cyt* genes of the membrane-associated and cytoplasmic FDHs, respectively. In contrast, when the cells were producing formate in the absence of sulfate, a drastic decrease in the mRNA levels of *fdhA-p* was observed, whereas the expression of *fdhA-cyt* was about 2-fold increased. The expression level of *fdhA-m* did not change between the two conditions tested. The physiological function of FDHs is usually correlated with their cellular location and in general, cytoplasmic FDHs are thought to act as CO<sub>2</sub>

reductases, whereas the periplasmic FDHs are mainly involved in the oxidation of formate [16,17]. The results obtained agree with this concept, as they suggest that the main FDH involved in formate production is the cytoplasmic enzyme, whereas the periplasmic FDH is down-regulated in these conditions. In a previous *in vivo* study, a higher formate production from CO<sub>2</sub> and H<sub>2</sub> was also reported for a FdhABC<sub>3</sub> deletion mutant of the *D. vulgaris* periplasmic FDH, confirming a formate oxidation role for this enzyme [26]. The relative expression of the *fdhA-m* gene of the membrane-associated FdhABD does not change between the two conditions analyzed, so the involvement of this enzyme is uncertain.

A role of cytoplasmic FDHs for the reduction of CO<sub>2</sub> to formate has been reported in other organisms [11,35]. In fermentative conditions, the oxidation of formate to H<sub>2</sub> and CO<sub>2</sub> by *E. coli* is performed by a cytoplasmic FDH, FDH-H, which is part of the membrane-bound formate-hydrogen lyase complex (FHL) [36,37]. However, this enzyme is also capable of catalyzing the reduction of CO<sub>2</sub> to formate either as an isolated enzyme [38] or as part of FHL complex [39]. The interconversion of CO<sub>2</sub> to formate in *A. woodii* is performed by a cytoplasmic complex where a Hase and a FDH are coupled [11]. A cytoplasmic FDH from *Rhodobacter capsulatus* was also shown to catalyze the reduction of CO<sub>2</sub> to formate [35]. These observations are in accordance with the results obtained in this work, in which the cytoplasmic FDH seems to be the main enzyme involved in CO<sub>2</sub> reduction to formate.

#### 4.3.4.2 HYDROGENASES GENES

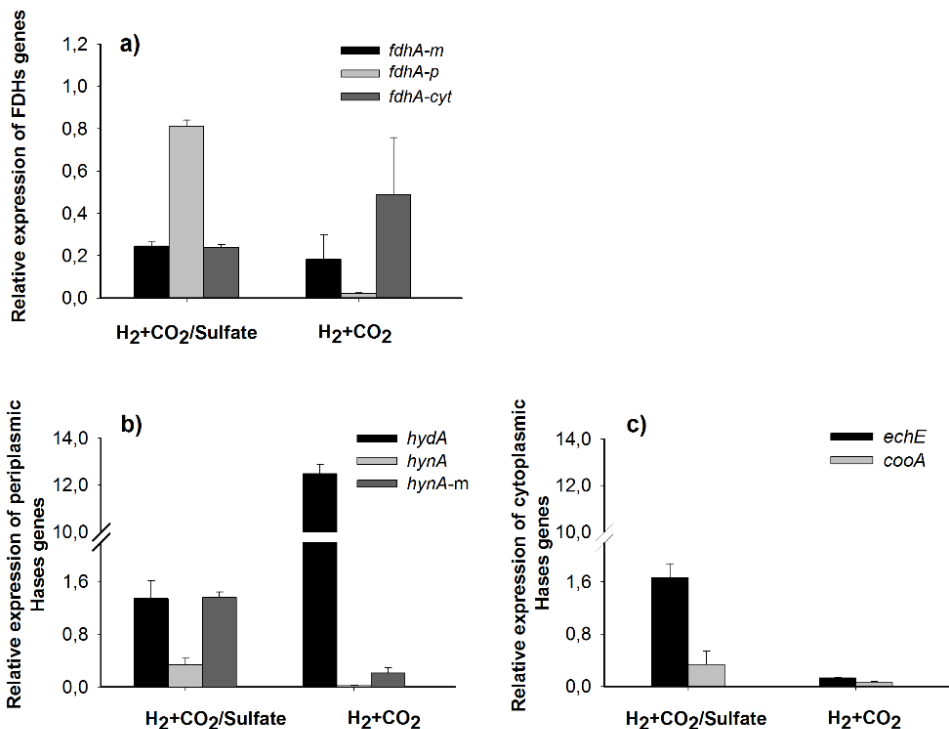
The expression levels of the Hase genes was also analyzed (Figure 4.4b) Concerning the periplasmic Hases, it was observed that during hydrogen-sulfate respiration, the transcript levels of the catalytic subunit *hydA* (of the [FeFe] HydAB Hase) and *hynA-m* (of the membrane [NiFe] HynABC enzyme) were higher than that of *hynA-p* gene (of the [NiFe] HynAB Hase). In the absence of sulfate, a high increase (9-fold) was observed for the expression of *hydA*, whereas a strong decrease occurred for *hynA-m* and *hynA-p*. The expression levels of *echE* and *cooA* genes of the cytoplasmic [NiFe] Hases Ech and Coo, respectively (Figure 4.4c), were higher during hydrogen-sulfate respiration than in formate producing conditions, with *echE* showing higher expression than *cooA*. In the absence of sulfate, the expression level of these genes decreased to almost undetectable levels.

Hases are usually reversible enzymes able to catalyze both H<sub>2</sub> oxidation and production reactions, and their physiological function is often dependent on the growth conditions. This expression study revealed that the most important Hase oxidizing H<sub>2</sub> during formate production is the periplasmic [FeFe] HydAB Hase. On the other hand, the HynAB and HynABC enzymes play a more important role in H<sub>2</sub> oxidation during hydrogen-sulfate respiration. Previous studies reported a down-regulation of *D. vulgaris hydA* in hydrogen-sulfate respiration versus lactate-sulfate [40,41], whereas mutants lacking *hydAB* from both *D. vulgaris* and *D. alaskensis* G20 showed a reduced growth in this condition [40,42,43]. The cytoplasmic Ech and Coo Hases have apparently no role during formate production, whereas the Ech Hase has a high expression

level during hydrogen-sulfate respiration. The predominant role of Ech during hydrogen-sulfate growth was also observed previously in *D. vulgaris* [41].

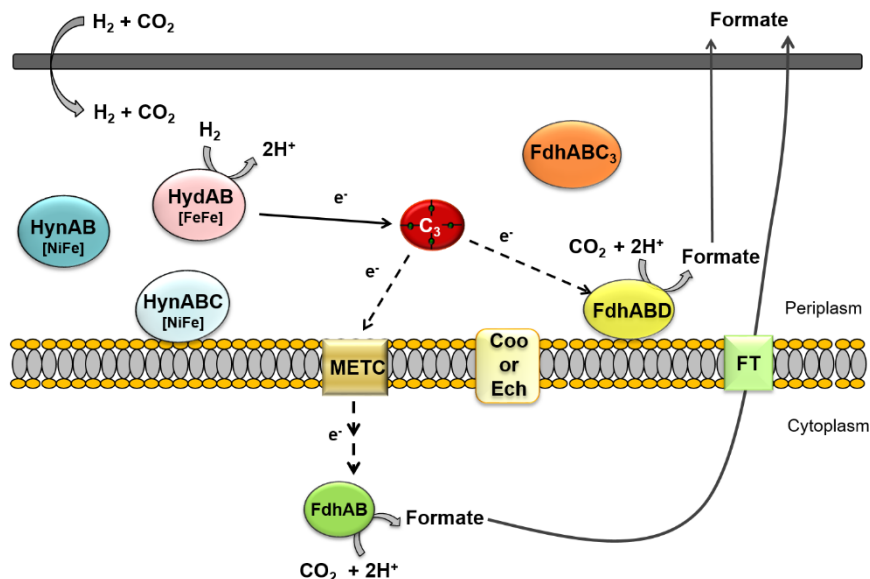
The expression results allow us to propose a metabolic pathway for formate production from CO<sub>2</sub> and H<sub>2</sub> in *D. desulfuricans* (Figure 4.5). H<sub>2</sub> is oxidized by the periplasmic [FeFe] HydAB Hase and the electrons are transferred to the electron acceptor Type I cytochrome *c*<sub>3</sub>, which is the most abundant cytochrome in the periplasm of *Desulfovibrio* and is known to accept electrons from both Hases and FDHs [22,23]. Then, electrons are transferred from this cytochrome either to membrane-associated redox complexes that shuttle them across the membrane to reach the cytoplasmic FDH, or directly to the periplasmic FdhABD.

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**Figure 4.4.** Relative expression of *D. desulfuricans* FDH (a), periplasmic (b) and cytoplasmic Hase (c) genes by qRT-PCR in cells grown in a bioreactor with CO<sub>2</sub> and H<sub>2</sub> in the presence or in the absence of sulfate. The expression of the genes was normalized to that of the *rplS* gene. Results are from three independent biological experiments (means ± standard deviations).

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**Figure 4.5.** Proposed metabolic pathway for formate production in *D. desulfuricans* (in dashed lines). FdhAB, cytoplasmic formate dehydrogenase; FdhABC<sub>3</sub>, periplasmic formate dehydrogenase; FdhABD, membrane-bound periplasmic formate dehydrogenase; HydAB, periplasmic [FeFe] hydrogenase; HynAB, periplasmic [NiFe] hydrogenase; HynABC, membrane-bound periplasmic [NiFe] hydrogenase; *c*<sub>3</sub>, type I cytochrome *c*<sub>3</sub>; METC, membrane-bound electron transfer complexes; Coo and Ech, cytoplasmic [NiFe] hydrogenases; FT, formate transporter.

### 4.3.5 OVERVIEW OF FORMATE PRODUCTION STUDIES

Recent work on formate production involved different approaches and different microorganisms as biocatalysts [11–13]. In 2013, Schuchmann and Müller showed that cell extracts of the acetogenic bacterium *A. woodii* could

produce 10 mM of formate from H<sub>2</sub> and CO<sub>2</sub> and up to 50 mM of formate when H<sub>2</sub> and bicarbonate were used [11]. However, formate was only produced after blocking the energy metabolism for acetate production. The metabolism of *A. woodii* is strictly sodium ion-dependent, with acetate as the final product. The use of a sodium ionophore or the absence of sodium ions allowed the blockage of acetate production so that electrons could be diverted to formate production [11]. A different approach was taken by Hwang *et al.* who developed a bioelectrocatalytic system to generate formate using oxygen-stable cells of *Methylobacterium* [13]. This electrochemical reactor was operated with CO<sub>2</sub> as carbon source and electricity as a reductant instead of H<sub>2</sub>. In that work, a maximum formate concentration of 60 mM was obtained after 80 h using *M. extorquens* AM1, in the presence of methyl viologen as electron mediator [13]. In another study, non-engineered *E. coli* cells showed a formate production of only 2.5 mM after 24 h [12]. This was improved by genetic engineering to create strains harboring FDHs from different species (*Clostridium carboxidivorans*, *Pyrococcus furiosus* and *Methanobacterium thermoformicum*), displaying high catalytic activity for CO<sub>2</sub> reduction. The recombinant strains produced between 10 to 20 mM of formate in 5 h [12]. In addition, the recombinant *E. coli* cells with a FDH from the thermophile *P. furiosus* was able to reach a concentration of 44 mM of formate after 2 h, in batch conditions, when H<sub>2</sub> sparging was used [12]. In the present work, no recombinant strains or electron mediators were used for formate production and still the values of formate produced were comparable or even higher than those reported with non-genetically modified organisms, demonstrating the

potential of *D. desulfuricans* for formate production from hydrogenation of CO<sub>2</sub>, which may be further enhanced by genetic engineering.

#### 4.4 CONCLUSIONS

In this study a bioreactor operating in continuous mode for formate production was developed using *D. desulfuricans* as biocatalyst. This is the first process described for continuous production of formate, using CO<sub>2</sub> and H<sub>2</sub> gas sparging. Furthermore, the FDHs and Hases involved in formate production by *D. desulfuricans* were identified, which may contribute to improving formate production efficiency by genetic manipulation of the biocatalyst. The contribution towards innovation of bioprocess and their optimization is an important step towards efficient biocatalytic hydrogenation using CO<sub>2</sub> as feedstock for the generation of formate.

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# **CHAPTER 5**

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## **CONCLUDING REMARKS**

## 5. CONCLUDING REMARKS

H<sub>2</sub> is as an energy carrier of the future, due to its clean combustion but many research efforts must still be carried out to achieve a H<sub>2</sub> economy. The search for an efficient and safe H<sub>2</sub> storage system is probably one of the most crucial step. In this sense, the use of formate as H<sub>2</sub> storage system might act as a simple and efficient concept, with CO<sub>2</sub> as the only byproduct.

Due to the importance of implementing a H<sub>2</sub> and formate economy, there is a need to find alternative suitable processes to the use of the currently chemical, expensive and exhaustible processes for the production of these two biofuels. Thus, biologic systems, based on using whole cell biocatalysts, have been investigated and developed for biological H<sub>2</sub> and formate production and in this work we focused on evaluating the potential of a new group of anaerobic microorganisms to be used as biocatalysts in these two processes.

In this thesis, two main investigations were conducted: an applied study where the potential of SRB as biocatalysts for H<sub>2</sub> and formate production was evaluated and new technologies were developed; and fundamental studies in which the aim was to investigate the capacity of SRB to grow by the conversion of formate to H<sub>2</sub> in the absence of sulfate and to understand the metabolic pathways involved in H<sub>2</sub> and formate production.

The present work clearly demonstrated that SRB are capable of producing H<sub>2</sub> from formate and also capable of producing formate from the hydrogenation

of CO<sub>2</sub>. In these studies, the H<sub>2</sub> and formate production capacity of SRB was evaluated in different *Desulfovibrio* species since this genus is the most thoroughly studied among SRB. In H<sub>2</sub> production studies, *D. vulgaris* showed to be the strain with the highest H<sub>2</sub> production performance, whereas in the production of formate, *D. desulfuricans* was the strain producing the highest amount of formate. Moreover, the potential of new design bioreactors for continuous H<sub>2</sub> and formate production using these microorganisms as biocatalysts was demonstrated for the first time. The developed bioreactors constitute a simple and low cost technology for H<sub>2</sub> and formate production, especially when compared to the actual processes for the generation of these compounds.

Furthermore, in the H<sub>2</sub> production studies, it was also demonstrated for the first time that a single mesophilic organism, *D. vulgaris*, can grow by the conversion of formate to H<sub>2</sub> in the absence of sulfate, which had only been observed before in a single hyperthermophile organism or in syntrophic association. Although in the study of formate production, the growth coupled to formate production from H<sub>2</sub> and CO<sub>2</sub> was not investigated, the results obtained highlighted the potential of *D. desulfuricans* cells to grow by the conversion of H<sub>2</sub> and CO<sub>2</sub> to formate in the bioreactor. Thus, this could be further evaluated in future work.

Since it was shown that SRB have potential to be used as biocatalysts for H<sub>2</sub> and formate production, it was also important to understand the metabolic pathways involved in these processes. SRB possess a high content of FDHs and



## Concluding Remarks

Hases, and the role of these enzymes in the reversible reactions of H<sub>2</sub> and formate production was elucidated in this thesis. Regarding formate-driven H<sub>2</sub> production, it was demonstrated that the electron transfer pathways vary among *Desulfovibrio* sp. In *D. vulgaris*, the periplasmic FdhAB showed to be the key enzyme for formate oxidation and two pathways are involved in the production of H<sub>2</sub> from formate: a direct one only involving periplasmic enzymes, in which the Hys [NiFeSe] Hase is the main enzyme responsible for H<sub>2</sub> production; and a second one that involves transmembrane electron transfer and may allow for energy conservation. In contrast, the H<sub>2</sub> production in *D. gigas* occurs exclusively in the periplasm not involving the cytoplasmic Ech Hase. Concerning the hydrogenation of CO<sub>2</sub> to formate, it was concluded that the cytoplasmic FdhAB and the periplasmic HydAB [FeFe] are the main enzymes expressed in *D. desulfuricans*.

Overall, the research presented in this thesis contributed to the emerging field of biological H<sub>2</sub> and formate production as energy sustainable resources and showed the potential of SRB as whole cells biocatalysts in the interconversion of H<sub>2</sub> and formate. SRB whole cells as biocatalysts was shown to be a promising approach for large scale H<sub>2</sub> and formate production due to their high energy efficiency and stability in bioreactors. Nevertheless, further studies should be performed in order to improve H<sub>2</sub> or formate productivity by process optimization such as whole cell immobilization in a continuous process or through genetic engineering of the biocatalysts.



♪ We'll have the days we break,  
And we'll have the scars to prove it,  
We'll have the bonds that we saved,  
But we'll have the heart not to lose it.

For all of the times we've stopped,  
For all of the things I'm not.

We put one foot in front of the other  
We move like we ain't got no other,  
We go where we go, we're marchin on, marchin on.

There's so many wars we fought  
There's so many things we're not  
But with what we have,  
I promise you that  
We're marchin on  
(We're marchin on)  
(We're marchin on) ♪

("Marchin on" by One Republic)