

# ON FROBENIUS (COMPLETED) ORBIT CATEGORIES

ALFREDO NÁJERA CHÁVEZ

ABSTRACT. Let  $\mathcal{E}$  be a Frobenius category,  $\mathcal{P}$  its subcategory of projective objects and  $F : \mathcal{E} \rightarrow \mathcal{E}$  an exact automorphism. We prove that there is a fully faithful functor from the orbit category  $\mathcal{E}/F$  into  $\text{gpr}(\mathcal{P}/F)$ , the category of finitely-generated Gorenstein-projective modules over  $\mathcal{P}/F$ . We give sufficient conditions to ensure that the essential image of  $\mathcal{E}/F$  is an extension-closed subcategory of  $\text{gpr}(\mathcal{P}/F)$ . If  $\mathcal{E}$  is in addition Krull-Schmidt, we give sufficient conditions to ensure that the completed orbit category  $\widehat{\mathcal{E}}/F$  is a Krull-Schmidt Frobenius category. Finally, we apply our results on completed orbit categories to the context of Nakajima categories associated to Dynkin quivers and sketch applications to cluster algebras.

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## 1. INTRODUCTION

Let  $\mathcal{E}$  be an additive category and  $F : \mathcal{E} \rightarrow \mathcal{E}$  an automorphism. The category of orbits associated to this data was first introduced by Cibils and Marcos in [9]. It was further studied by Asashiba in [1, 2] and by Keller in [21, 22]. By definition, the orbit category  $\mathcal{E}/F$  has the same objects as  $\mathcal{E}$ , the set of morphisms from an object  $X$  to an object  $Y$  is given by

$$(1.1) \quad \mathcal{E}/F(X, Y) = \bigoplus_{l \in \mathbb{Z}} \mathcal{E}(X, F^l(Y))$$

and the composition of morphisms is defined in a natural way (see (2.2)). Clearly  $\mathcal{E}/F$  is still an additive category and the canonical projection  $p : \mathcal{E} \rightarrow \mathcal{E}/F$  is an additive functor.

Now suppose that  $\mathcal{E}$  is a Frobenius category and that  $F$  is an exact functor. We are interested in the following question:

*Is there an exact structure on the orbit category such that  $\mathcal{E}/F$  becomes a Frobenius category and the canonical projection an exact functor?*

In this article we give sufficient conditions for the answer to be positive. Recall that Frobenius categories and triangulated categories are closely related: the stable category  $\underline{\mathcal{E}}$  is canonically triangulated and  $F$  induces a triangulated automorphism  $\underline{F}$  on  $\underline{\mathcal{E}}$ . Moreover, the analogous question for triangulated categories was already studied in [21] where the author defined the triangulated hull of the orbit category. In a similar way we show that  $\mathcal{E}/F$  embeds into a certain ambient exact category and give sufficient conditions to ensure that  $\mathcal{E}/F$  is an extension-closed subcategory of the ambient category. To be more precise, let  $\mathcal{P}$  be the full subcategory of  $\mathcal{E}$  determined by its projective objects and  $\text{gpr}(\mathcal{P}/F)$  be the category of finitely-generated Gorenstein-projective modules over  $\mathcal{P}/F$  (see Definition 25). The category  $\text{gpr}(\mathcal{P}/F)$  is an exact category, it is even a Frobenius category. Inspired by a result of Chen [8], we prove that there is a full and faithful functor  $\mathcal{E}/F \hookrightarrow \text{gpr}(\mathcal{P}/F)$ . We prove the following theorem which is Theorem 43 of this note.

**Theorem.** Suppose that  $\underline{\mathcal{E}/F}$  is equivalent to its triangulated hull. If  $\mathcal{E}/F$  has split idempotents, then  $\mathcal{E}/F$  is closed under extensions in  $\text{gpr}(\mathcal{P}/F)$ . Moreover, the induced exact structure on  $\mathcal{E}/F$  makes the canonical projection  $\mathcal{E} \rightarrow \mathcal{E}/F$  exact and makes  $\mathcal{E}/F$  a Frobenius category whose stable category is triangle equivalent to  $\underline{\mathcal{E}/F}$ .

The ambient Frobenius category  $\text{gpr}(\mathcal{P}/F)$  *should not* be considered as the exact (or Frobenius) hull of  $\mathcal{E}/F$  since it may be too large. Still,  $\text{gpr}(\mathcal{P}/F)$  can be considered as a canonical ambient category for  $\mathcal{E}/F$  since the functor  $\mathcal{E}/F \hookrightarrow \text{gpr}(\mathcal{P}/F)$  is induced (in a sense made precise in Definition 27) by the Yoneda functor  $\mathcal{E}/F \hookrightarrow \text{Mod}(\mathcal{E}/F)$ . Even more, the triangulated structure on the stable category  $\underline{\text{gpr}(\mathcal{P}/F)}$  and on the triangulated hull of  $\underline{\mathcal{E}/F}$  are compatible in the following sense: the inclusion  $\mathcal{E}/F \hookrightarrow \text{gpr}(\mathcal{P}/F)$  induces a fully faithful triangulated functor from the triangulated hull of  $\underline{\mathcal{E}/F}$  into  $\underline{\text{gpr}(\mathcal{P}/F)}$  (see Theorem 41).

Orbit categories have appeared (perhaps sometimes in an implicit way) in the work of many mathematicians (see for instances [15] [6], [21], [13], [28] and [32]). One of our main motivations for studying orbit categories of Frobenius categories comes from representation theory, and more concretely, from the additive categorification of acyclic cluster algebras introduced in [6] (see also [11] and [23]). In particular, we are interested in the case where  $\mathcal{E}$  is in addition a Krull-Schmidt category. In general  $\mathcal{E}/F$  fails to be Krull-Schmidt. Yet, *under certain finiteness conditions* on  $F$  (stated explicitly in Section 6) we are able to give sufficient conditions to prove the following theorem which is Theorem 42 of this note.

**Theorem.** If  $\mathcal{E}$  is Krull-Schmidt (and  $F$  is as described above) then the completed orbit category  $\widehat{\mathcal{E}/F}$  admits the structure of a Frobenius category which makes the canonical functor  $\mathcal{E} \rightarrow \widehat{\mathcal{E}/F}$  exact and whose stable category is triangle equivalent to  $\underline{\mathcal{E}/F}$ .

The *completed orbit category* is defined just as the usual orbit category by replacing the direct sum in (1.1) by the direct product. The composition formula (2.2) of usual orbit categories defines a composition in the completed orbit category provided that, for every pair of objects  $X$  and  $Y$ , the group  $\mathcal{E}(X, F^l(Y))$  vanishes for  $l \ll 0$ . This last theorem will allow us to give an explicit categorification of families of finite-type cluster algebras with coefficients. In particular, we obtain a categorification of all skew-symmetric finite-type cluster algebras with universal coefficients. We would like to stress that completed

orbit categories already appeared in [17] where they are used to define continuous cluster categories.

This article is organized as follows. In the Section 2 we survey Keller's construction of the triangulated hull associated to the orbit category of a triangulated category. In section 3 we recall a Theorem of Chen which shows that any Frobenius category is equivalent to an extension-closed exact subcategory of the Frobenius category formed by Gorenstein-projective modules over some additive category. This theorem will allow us to define the embedding of  $\mathcal{E}/F$  into  $\text{gpr}(\mathcal{P}/F)$ . In section 4 we prove some general results on usual and completed orbit categories which will be used intensively. In section 5 we prove the compatibility of the triangulated structure of  $\text{gpr}(\mathcal{P}/F)$  and of the triangulated hull of  $\underline{\mathcal{E}}/\underline{F}$ . In section 6 we give a proof of the theorems stated above. In section 7 we apply our results on completed orbit categories to the context of Nakajima categories associated to Dynkin quivers to introduce explicit categorifications of families of finite-type cluster algebras with coefficients. In particular, we obtain a categorification of all skew-symmetric finite-type cluster algebras with universal coefficients.

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## 2. REMINDERS ON DG CATEGORIES AND THEIR ORBIT CATEGORIES

Throughout this chapter, we will freely use the theory of exact categories first introduced by Quillen in [29]. Our main reference for this theory is the refined treatment presented in [18, Appendix A] and the systematic study of [7]. We will also use the basic facts about Frobenius categories. The reader is referred to [16] for a treatment of this topic. The set of morphisms between two objects  $X$  and  $Y$  of a category  $\mathcal{A}$  is denoted by  $\mathcal{A}(X, Y)$ . If  $k$  is a ring and  $\mathcal{A}$  an additive  $k$ -category, a right  $\mathcal{A}$ -module is by definition a  $k$ -linear functor  $M : \mathcal{A}^{\text{op}} \rightarrow \text{Mod } k$ , where  $\text{Mod } k$  is the category of all right  $k$ -modules. The morphism space between two  $\mathcal{A}$ -modules  $L$  and  $M$  is denoted by  $\text{Hom}_{\mathcal{A}}(L, M)$  or simply  $\text{Hom}(L, M)$  when there is no risk of confusion.

**2.1. Pretriangulated dg categories.** In this section we recall some facts about dg categories and introduce notation. Our main reference for these results are [20] and [10]. We work over an arbitrary field  $k$ . In this section, all categories, dg categories, functors, dg functors, etc. are assumed to be  $k$ -linear.

A dg category  $\mathcal{B}$  is a category whose morphism spaces have the structure of a *differential graded  $k$ -module*, or equivalently, a *complex of  $k$ -modules*. For a dg category  $\mathcal{B}$  we denote by  $Z^0(\mathcal{B})$  the category with the same objects as  $\mathcal{B}$ , and with morphisms  $Z^0(\mathcal{B})(X, Y) = Z^0(\mathcal{B}(X, Y))$ . The category  $H^0(\mathcal{B})$  is defined analogously. Let  $\mathcal{C}_{dg}(k)$  be the dg category of differential graded  $k$ -modules.

**Notation 1.** Let  $\mathcal{B}$  be a dg category. A *right dg  $\mathcal{B}$ -module* is a dg functor  $L : \mathcal{B}^{\text{op}} \rightarrow \mathcal{C}_{dg}(k)$ . We denote by  $\mathcal{C}_{dg}(\mathcal{B})$  the dg category of right dg  $\mathcal{B}$ -modules. Denote by  $\mathcal{C}(\mathcal{B})$  and  $\mathcal{H}(\mathcal{B})$  the categories  $Z^0(\mathcal{C}_{dg}(\mathcal{B}))$  and  $H^0(\mathcal{C}_{dg}(\mathcal{B}))$ , respectively. The derived category  $\mathcal{D}(\mathcal{B})$  is the localization of  $\mathcal{C}(\mathcal{B})$  with respect to the quasi-isomorphisms.

**Remark 2.** The category  $\mathcal{C}(\mathcal{B})$  admits an exact structure by defining an *admissible short exact sequence* (or *conflation*) to be a sequence  $L \rightarrow M \rightarrow N$  such that the underlying sequence of graded  $\mathcal{B}$ -modules is split short exact. Endowed with this structure,  $\mathcal{C}(\mathcal{B})$  becomes a Frobenius category whose stable category is  $\mathcal{H}(\mathcal{B})$  (cf. [19, Lemma 2.2]).

**Example 3.** Let  $\mathcal{A}$  be an additive category. We consider  $\mathcal{A}$  as a dg category whose morphism complexes are concentrated in degree 0. Then, the objects of  $\mathcal{C}_{dg}(\mathcal{A})$  can be thought of as *complexes of right  $\mathcal{A}$ -modules*. The morphism complex

$$\mathcal{H}om(X, Y) := \mathcal{C}_{dg}(\mathcal{A})(X, Y)$$

between the complexes  $X = \cdots \rightarrow X_i \rightarrow X_{i+1} \rightarrow \cdots$  and  $Y = \cdots \rightarrow Y_i \rightarrow Y_{i+1} \rightarrow \cdots$  has as  $n^{\text{th}}$  component the  $k$ -module

$$(2.1) \quad \mathcal{H}om^n(X, Y) = \prod_{i \in \mathbb{Z}} \text{Hom}_{\mathcal{A}}(X_i, Y_{i+n}).$$

The differential on  $\mathcal{H}om(X, Y)$  is given by

$$d(f) = (f_i d_Y - (-1)^n d_X f_{i+1})_{i \in \mathbb{Z}}$$

for  $f = (f_i)_{i \in \mathbb{Z}} \in \mathcal{H}om^n(X, Y)$ . It follows that  $\mathcal{C}(\mathcal{A})$  is the category of chain complexes of  $\mathcal{A}$ -modules and that  $\mathcal{H}(\mathcal{A})$  is the homotopy category of chain complexes of  $\mathcal{A}$ -modules.

**Notation 4.** If  $\mathcal{A}$  is an additive category we denote by  $C(\mathcal{A})_{dg}$  the dg category of *complexes with components in  $\mathcal{A}$* . Its objects are the complexes with components in  $\mathcal{A}$  and the morphism complex between two objects is defined in the same way as in Example 3.

**Warning 5.** Notice the difference between the categories  $\mathcal{C}_{dg}(\mathcal{A})$  and  $C(\mathcal{A})_{dg}$ .

**Remark 6.** For each dg category  $\mathcal{B}$ , the Yoneda functor  $\mathcal{B} \rightarrow \mathcal{C}_{dg}(\mathcal{B})$  takes an object  $X$  to the representable dg module  $\mathcal{C}_{dg}(\mathcal{B})(-, X)$ . Slightly abusing, we also call the induced functors  $Z^0(\mathcal{B}) \rightarrow \mathcal{C}(\mathcal{B})$  and  $H^0(\mathcal{B}) \rightarrow \mathcal{H}(\mathcal{B})$  Yoneda functors.

**Definition 7.** We call  $\mathcal{B}$  *pretriangulated*, if the image of the Yoneda functor  $Z^0(\mathcal{B}) \hookrightarrow \mathcal{C}(\mathcal{B})$  is closed under translations and extensions (with respect to the exact structure of Remark 2). If  $\mathcal{B}$  is pretriangulated then the category  $Z^0(\mathcal{B})$  is a Frobenius subcategory of  $\mathcal{C}(\mathcal{B})$ . A *dg enhancement* of a triangulated category  $\mathcal{T}$  is a pair  $(\mathcal{B}, \epsilon)$ , where  $\mathcal{B}$  is a pretriangulated dg category and  $\epsilon : H^0(\mathcal{B}) \rightarrow \mathcal{T}$  is a triangle equivalence. We call  $\mathcal{T}$  *algebraic* if it admits a dg enhancement.

**Example 8.** Let  $\mathcal{A}$  be an additive category. The dg category  $C(\mathcal{A})_{dg}$  is a typical example of a pretriangulated dg category. If  $\mathcal{B}$  is a pretriangulated dg category and  $\mathcal{B}'$  is a subcategory of  $\mathcal{B}$  such that  $Z^0(\mathcal{B}')$  is closed in  $Z^0(\mathcal{B})$  under shifts and extensions, then  $\mathcal{B}'$  is pretriangulated. In particular, if  $\mathcal{A}$  is exact,  $\mathcal{P}$  is a subcategory of  $\mathcal{A}$  closed under direct sums and we let  $\mathcal{Ac}(\mathcal{P})_{dg}$  be the full subcategory of  $C(\mathcal{A})_{dg}$  formed by the acyclic complexes with components in  $\mathcal{P}$ , then  $\mathcal{Ac}(\mathcal{P})_{dg}$  is a pretriangulated dg category.

**Example 9.** Let  $\mathcal{E}$  be a Frobenius category and let  $\mathcal{P}$  be its full subcategory of projective-injective objects. We denote by  $\underline{\mathcal{E}}$  the stable category of  $\mathcal{E}$ , *i.e.* the quotient category of  $\mathcal{E}$  by the ideal of morphisms factorizing through elements of  $\mathcal{P}$ . By Example 8, the dg category  $\mathcal{Ac}(\mathcal{P})_{dg}$  is pretriangulated. Moreover  $\mathcal{Ac}(\mathcal{P})_{dg}$  is a (canonical) dg enhancement of  $\underline{\mathcal{E}}$ . Indeed: the category  $Z^0(\mathcal{Ac}(\mathcal{P})_{dg})$  identifies with the category of acyclic complexes with components in  $\mathcal{P}$ . Is easy to see that there is triangle equivalence

$$Z^0 : H^0(\mathcal{Ac}(\mathcal{P})_{dg}) \xrightarrow{\sim} \underline{\mathcal{E}}$$

which takes a complex  $P$  to its 0-cycles  $Z^0(P)$  cf. [25, Section 1.5].

**Definition 10.** If  $\mathcal{B}$  is an arbitrary small dg category and  $\mathcal{B}'$  is a dg category, then the category of dg functors  $\mathcal{H}om(\mathcal{B}, \mathcal{B}')$  is a dg category in a natural way (see [20, Section 2.3]). There is a universal dg functor  $\mathcal{B} \rightarrow \text{pretr}(\mathcal{B})$  to a pretriangulated dg category  $\text{pretr}(\mathcal{B})$ , *i.e.* a functor inducing an equivalence of dg categories  $\mathcal{H}om(\mathcal{B}, \mathcal{B}') \rightarrow \mathcal{H}om(\text{pretr}(\mathcal{B}), \mathcal{B}')$  for each pretriangulated dg category  $\mathcal{B}'$ . The dg category  $\text{pretr}(\mathcal{B})$  is the *pretriangulated hull* of  $\mathcal{B}$ .

**Remark 11.** The pretriangulated hull of  $\mathcal{B}$  is constructed explicitly in [4] (where it is denoted by  $\text{Pre-tr}^+(\mathcal{B})$ ), *cf.* also [10] and [33].

**2.2. Quasi-functors.** Let  $\mathcal{B}$  and  $\mathcal{B}'$  be two dg categories. A  $\mathcal{B}$ - $\mathcal{B}'$ -bimodule  $M$  is an object of  $\mathcal{C}_{dg}(\mathcal{B}^{\text{op}} \otimes \mathcal{B}')$ , *i.e.*  $M$  is a left  $\mathcal{B}$ -module and a right  $\mathcal{B}'$ -module. Let  $\text{rep}(\mathcal{B}, \mathcal{B}')$  be the full subcategory of the derived category  $\mathcal{D}(\mathcal{B}^{\text{op}} \otimes \mathcal{B}')$  formed by the bimodules  $X$  such that the derived tensor product

$$? \otimes_{\mathcal{B}}^L X : \mathcal{D}(\mathcal{B}) \rightarrow \mathcal{D}(\mathcal{B}')$$

takes the representable  $\mathcal{B}$ -modules to objects which are isomorphic to a representable  $\mathcal{B}'$ -modules.

**Remark 12.** Every object  $X$  in  $\mathcal{D}(\mathcal{B}^{\text{op}} \otimes \mathcal{B}')$  is isomorphic to a *cofibrant* object of  $\mathcal{D}(\mathcal{B}^{\text{op}} \otimes \mathcal{B}')$ . Therefore, in practice we will always assume that every object in  $\text{rep}(\mathcal{B}, \mathcal{B}')$  is cofibrant and we will consider it as a bimodule in  $\mathcal{C}_{dg}(\mathcal{B}^{\text{op}} \otimes \mathcal{B}')$ . In particular, we require that  $X(B, ?)$  is quasi-isomorphic to a representable  $\mathcal{B}'$ -module for each object  $B$  of  $\mathcal{B}$ . The category of  $\mathcal{B}'$ -modules which are quasi-isomorphic to a representable dg module is equivalent to  $H^0(\mathcal{B}')$ . Therefore an object of  $\text{rep}(\mathcal{B}, \mathcal{B}')$  defines a functor

$$H^0(\mathcal{B}) \rightarrow H^0(\mathcal{B}').$$

For this reason, the objects in  $\text{rep}(\mathcal{B}, \mathcal{B}')$  are called *quasi-functors*.

The *bimodule bicategory*  $\text{rep}$  has as objects all small dg categories; the morphism category between two objects  $\mathcal{B}$  and  $\mathcal{B}'$  is  $\text{rep}(\mathcal{B}, \mathcal{B}')$ ; the composition bifunctor

$$\text{rep}(\mathcal{B}', \mathcal{B}'') \times \text{rep}(\mathcal{B}, \mathcal{B}') \rightarrow \text{rep}(\mathcal{B}, \mathcal{B}'')$$

is given by the derived tensor product  $(X, Y) \mapsto X \otimes_{\mathcal{B}'}^L Y$ . For each dg functor  $F : \mathcal{B} \rightarrow \mathcal{B}'$ , we have the dg bimodule

$$M_F : (\mathcal{B}, \mathcal{B}') \mapsto \mathcal{B}'(\mathcal{B}', F(\mathcal{B}))$$

which clearly belongs to  $\text{rep}(\mathcal{B}, \mathcal{B}')$ .

**2.3. Orbit categories.** Let  $\mathcal{A}$  be a  $k$ -linear category and  $F : \mathcal{A} \rightarrow \mathcal{A}$  be an automorphism. By definition, the orbit category  $\mathcal{A}/F$  has the same objects as  $\mathcal{A}$  and the morphisms between two objects  $X$  and  $Y$  are given by

$$\mathcal{A}/F(X, Y) = \bigoplus_{l \in \mathbb{Z}} \mathcal{A}(X, F^l(Y)).$$

The composition is given by the formula

$$(2.2) \quad (f_a) \circ (g_b) = \left( \sum_{a+b=c} F^b(f_a) \circ g_b \right),$$

where  $f_a : Y \rightarrow F^a(Z)$ ,  $g_b : X \rightarrow F^b(Y)$  and  $a, b \in \mathbb{Z}$ . Let  $p : \mathcal{A} \rightarrow \mathcal{A}/F$  be the canonical projection functor. It is endowed with a canonical isomorphism of functors  $\phi : p \rightarrow p \circ F$  given by  $\phi_X = (\dots, 0, 1_{F^{-1}(X)}, 0, \dots)$  for each object  $X$  of  $\mathcal{A}$ . Let  $\mathcal{A}'$  be another  $k$ -linear

category. An  $F$ -invariant functor from  $\mathcal{A}$  to  $\mathcal{A}'$  is given by a pair  $(G, \phi)$ , where  $G : \mathcal{A} \rightarrow \mathcal{A}'$  is a  $k$ -linear functor and  $\phi : G \rightarrow G \circ F$  is an isomorphism of functors. A morphism of  $F$ -invariant functors  $(G, \phi) \rightarrow (G', \phi')$  is given by a morphism of functors  $\alpha : G \rightarrow G'$  such that the square

$$\begin{array}{ccc} G & \xrightarrow{\phi} & GF \\ \alpha \downarrow & & \downarrow \alpha F \\ G' & \xrightarrow{\phi'} & G'F \end{array}$$

commutes. In this way, we obtain the category  $\text{inv}_F(\mathcal{A}, \mathcal{A}')$  of  $F$ -invariant functors. In particular,  $(p, \phi)$  is an  $F$ -invariant functor. The orbit category satisfies the following universal property.

**Theorem 13.** ([1, Corollary 3.5]. See also [22]) *Let  $\text{fun}_k(\mathcal{A}/F, \mathcal{A}')$  be the category of  $k$ -linear functors from  $\mathcal{A}/F$  to  $\mathcal{A}'$ . The functor*

$$\text{fun}_k(\mathcal{A}/F, \mathcal{A}') \rightarrow \text{inv}_F(\mathcal{A}, \mathcal{A}'), \quad G \mapsto G \circ p$$

*is an isomorphism of categories.*

**Remark 14.** We may suppose without any risk that  $F$  is an autoequivalence. The theoretical justification for this can be found in Section 7 of [2].

The dg orbit category associated to a dg category  $\mathcal{B}$  and a quasi-functor in  $\text{rep}(\mathcal{B}, \mathcal{B})$  is defined by a universal property. This property can be thought of as a lift of Theorem 13 to the dg world (see Remark 19 below). To state properly the universal property of the dg orbit categories we need to introduce the following definition.

**Definition 15.** Suppose that  $\mathcal{B}$  is small and that  $F \in \text{rep}(\mathcal{B}, \mathcal{B})$  is given by a cofibrant bimodule. For a dg category  $\mathcal{B}'$ , define  $\widetilde{\text{eff}}(\mathcal{B}, F, \mathcal{B}')$  to be the category whose objects are given by pairs  $(P, \phi)$  where

- $P$  is a quasi-functor in  $\text{rep}(\mathcal{B}, \mathcal{B}')$ ,
- $\phi : P \rightarrow PF$  is a quasi-isomorphism of dg bimodules,
- the morphisms from  $(P, \phi)$  to  $(P', \phi')$  are obtained the morphisms  $f : P \rightarrow P'$  of dg bimodules, such that  $\phi' \circ f = (fF) \circ \phi$  in the category of dg bimodules. In other words, the following diagram commutes

$$\begin{array}{ccc} P & \xrightarrow{\phi} & PF \\ f \downarrow & & \downarrow fF \\ P' & \xrightarrow{\phi'} & P'F. \end{array}$$

Let  $\text{eff}(\mathcal{B}, F, \mathcal{B}')$  be the localization of  $\widetilde{\text{eff}}(\mathcal{B}, F, \mathcal{B}')$  with respect to the morphisms  $f$  which are quasi-isomorphisms of dg bimodules.

**Remark 16.** The name  $\text{eff}$  comes from the french word *effaçable* which means erasable.

**Theorem 17.** ([21, Theorem 3 (b)]) *Let  $\mathcal{B}$  a dg category and  $F \in \text{rep}(\mathcal{B}, \mathcal{B})$ . Then the 2-functor  $\text{eff}(\mathcal{B}, F, ?)$  is 2-representable, i.e. there exist a dg category  $\mathcal{B}/F$  and a pair  $(P_0, \phi_0)$  in  $\text{eff}(\mathcal{B}, F, \mathcal{B}/F)$  such that for every small dg category  $\mathcal{B}'$ , the functor*

$$\text{rep}(\mathcal{B}/F, \mathcal{B}') \rightarrow \text{eff}(\mathcal{B}, F, \mathcal{B}'), \quad G \mapsto G \circ P_0$$

*is an equivalence.*

We call  $\mathcal{B}/F$  the *dg orbit category* associated to  $\mathcal{B}$  and  $F$ . If  $\mathcal{B}$  is a dg category endowed with an endomorphism  $F : \mathcal{B} \rightarrow \mathcal{B}$  inducing an equivalence  $H^0(F) : H^0(\mathcal{B}) \rightarrow H^0(\mathcal{B})$ , then  $\mathcal{B}/F := \mathcal{B}/M_F$  can be described explicitly as follows: The objects of  $\mathcal{B}/F$  are the same as the objects of  $\mathcal{B}$ . For  $X, Y \in \mathcal{B}/F$ , we have

$$(2.3) \quad \mathcal{B}/F(X, Y) := \operatorname{colim}_p \bigoplus_{n \geq 0} \mathcal{B}(F^n(X), F^p(Y)),$$

where the transitions maps are given by  $F$

$$\bigoplus_{n \geq 0} \mathcal{B}(F^n(X), F^p(Y)) \xrightarrow{F} \bigoplus_{n \geq 0} \mathcal{B}(F^n(X), F^{p+1}(Y)).$$

Combining Theorem 17 with the universal property of the pretriangulated hull we obtain the following universal property:

**Theorem 18.** ([21, Theorem 4]) *Let  $\mathcal{B}$  be a pretriangulated dg category and  $F \in \operatorname{rep}(\mathcal{B}, \mathcal{B})$ . Then for any pretriangulated dg category  $\mathcal{B}'$  there is an equivalence of categories*

$$\operatorname{rep}(\operatorname{pretr}(\mathcal{B}/F), \mathcal{B}') \xrightarrow{\sim} \operatorname{eff}(\mathcal{B}, F, \mathcal{B}').$$

**Remark 19.** If  $(P, \phi) \in \operatorname{eff}(\mathcal{B}, F, \mathcal{B}')$  then  $H^0(P)$  is an  $H^0(F)$ -invariant functor. By Theorem 13  $H^0(P)$  induces a functor  $G : H^0(\mathcal{B})/H^0(F) \rightarrow H^0(\mathcal{B}')$ . By Theorem 17  $(P, \phi)$  corresponds to a quasi-functor  $\tilde{G}$  such that  $H^0(\tilde{G}) = G$ .

The dg orbit category is functorial in  $(\mathcal{B}, F)$  in the following sense.

**Lemma 20.** (cf. [21, Section 9.4]) *Let*

$$\begin{array}{ccc} \mathcal{B} & \xrightarrow{X} & \mathcal{B}' \\ F \downarrow & & \downarrow F' \\ \mathcal{B} & \xrightarrow{X} & \mathcal{B}' \end{array}$$

be an square in  $\operatorname{rep}$  and let  $\gamma : F'X \rightarrow XF$  be an isomorphism in  $\operatorname{rep}(\mathcal{B}, \mathcal{B}')$ . Then there is a morphism  $\overline{X} : \mathcal{B}/F \rightarrow \mathcal{B}'/F'$  such that if

$$\begin{array}{ccc} \mathcal{B}' & \xrightarrow{X'} & \mathcal{B}'' \\ F' \downarrow & & \downarrow F'' \\ \mathcal{B}' & \xrightarrow{X'} & \mathcal{B}' \end{array}$$

is another diagram in  $\operatorname{rep}$  as above, then the quasi-functors  $\overline{X}' \circ \overline{X}$  and  $\overline{X}' \circ \overline{X}$  are isomorphic.

**Definition 21.** Let  $\mathcal{T} = H^0(\mathcal{B})$  be an algebraic triangulated category, and  $\tilde{F} : \mathcal{B} \rightarrow \mathcal{B}$  a dg functor inducing an equivalence  $F : \mathcal{T} \rightarrow \mathcal{T}$ . Then the *triangulated hull* of  $\mathcal{T}/F$  is defined as the triangulated category

$$H^0(\operatorname{pretr}(\mathcal{B}/\tilde{F})).$$

**Definition 22.** Let  $\mathcal{T}$  be a triangulated category endowed with an autoequivalence  $F : \mathcal{T} \rightarrow \mathcal{T}$ . Suppose that  $\mathcal{T}_{dg}$  is a dg enhancement of  $\mathcal{T}$  and that  $\tilde{F} \in \operatorname{rep}(\mathcal{T}_{dg}, \mathcal{T}_{dg})$  is a dg lift of  $F$ . The triangulated hull of  $(\mathcal{T}, F)$  with respect to  $\mathcal{T}_{dg}$  is the triangulated category  $H^0(\operatorname{pretr}(\mathcal{T}_{dg}/\tilde{F}))$ . We say that  $\mathcal{T}/F$  is triangulated with respect to  $(\mathcal{T}_{dg}, \tilde{F})$  if  $\mathcal{T}/F$  is equivalent to the triangulated hull defined by  $\mathcal{T}_{dg}$  and  $\tilde{F}$ .

**Remark 23.** Let  $\mathcal{H}$  be a hereditary abelian category and  $F : \mathcal{D}^b(\mathcal{H}) \rightarrow \mathcal{D}^b(\mathcal{H})$  an autoequivalence. In [21], Keller gives sufficient conditions on  $F$  to ensure that  $\mathcal{D}^b(\mathcal{H})/F$  is triangulated with respect to  $\mathcal{D}^b(\mathcal{H})_{dg}$ .

### 3. THE AMBIENT FROBENIUS CATEGORY

**3.1. Frobenius categories and Gorenstein-projective modules.** In this subsection we recall a general result on Frobenius categories due to Chen [8]. It allows us to embed any Frobenius category  $\mathcal{E}$  into a module category (over an additive category). More precisely, let  $\mathcal{P}$  be the full subcategory of  $\mathcal{E}$  formed by its projective objects, then  $\mathcal{E}$  is equivalent, as an exact category, to an extension-closed exact subcategory of  $\text{gpr}(\mathcal{P})$ , the category of finitely-generated Gorenstein-projective (or maximal Cohen-Macaulay) modules over  $\mathcal{P}$ . This theorem is crucial for our construction of an ambient Frobenius category in which the orbit category  $\mathcal{E}/F$  embeds.

**Notation 24.** Let  $\mathcal{A}$  be an additive  $\mathbb{Z}$ -category. We denote by  $\text{Mod}(\mathcal{A})$  the category of all right modules over  $\mathcal{A}$  and by  $\text{mod}(\mathcal{A})$  its full subcategory of finitely presented modules. We let  $\text{proj}(\mathcal{A})$  be the full subcategory of  $\text{mod}(\mathcal{A})$  formed by the finitely-generated projective  $\mathcal{A}$ -modules.

**Definition 25.** An  $\mathcal{A}$ -module  $M$  is *finitely generated Gorenstein projective* if there is an acyclic complex

$$P_M : \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow P^0 \rightarrow P^1 \rightarrow \cdots$$

of objects in  $\text{proj}(\mathcal{A})$  such that  $M \cong \text{cok}(P_1 \rightarrow P_0)$  and the complex  $\text{Hom}_{\mathcal{A}}(P_M, P')$  is still acyclic for each module  $P'$  in  $\text{proj}(\mathcal{A})$ . Denote by  $\text{gpr}(\mathcal{A})$  the full subcategory of  $\text{mod}(\mathcal{A})$  formed by the Gorenstein projective modules. In the situation described above we call  $P_M$  a *complete projective resolution* of  $M$ .

Notice that every finitely generated projective  $\mathcal{A}$ -module  $P$  lies in  $\text{gpr}(\mathcal{A})$ , since we may take its complete resolution as  $\cdots \rightarrow 0 \rightarrow P \xrightarrow{\sim} P \rightarrow 0 \rightarrow \cdots$ .

**Lemma 26.** *The category  $\text{gpr}(\mathcal{A})$  is a Frobenius category whose subcategory of projective-injective objects is  $\text{proj}(\mathcal{A})$ , the category of finitely-generated projective  $\mathcal{A}$ -modules.*

*Proof.* By [3, Proposition 5.1] the category  $\text{gpr}(\mathcal{A})$  is an extension-closed subcategory of  $\text{Mod}(\mathcal{A})$  and thus, it is an exact category. Let  $P$  and  $P'$  be finitely-generated projective  $\mathcal{A}$ -modules. A complex of the form  $(\cdots \rightarrow 0 \rightarrow P \rightarrow 0 \rightarrow \cdots)$  is acyclic and remains acyclic after applying the functor  $\text{Hom}(\_, P')$ . Therefore  $\text{proj}(\mathcal{A})$  identifies with the subcategory of projective objects of  $\text{gpr}(\mathcal{A})$ . Let  $M$  be a module in  $\text{gpr}(\mathcal{A})$  and  $P_M = (\cdots \rightarrow P_1 \rightarrow P_0 \rightarrow P^0 \rightarrow P^1 \rightarrow \cdots)$  a complete resolution of  $M$ . Since the complex  $\text{Hom}(P_M, P)$  is acyclic, we have that  $\text{Ext}_{\mathcal{A}}^1(M, P) = 0$ . Therefore  $P$  is also injective in  $\text{gpr}(\mathcal{A})$ . The sequences  $0 \rightarrow Z^{-1}(P_M) \rightarrow P_0 \rightarrow M \rightarrow 0$  and  $0 \rightarrow M \rightarrow P^0 \rightarrow Z^1(P_M) \rightarrow 0$  are short exact sequences of  $\text{mod}(\mathcal{P})$  which lie in  $\text{gpr}(\mathcal{P})$ . Therefore  $\text{gpr}(\mathcal{A})$  has enough projectives and enough injectives. Moreover each injective object in  $\text{gpr}(\mathcal{A})$  must be projective too. This completes the proof.  $\square$

**Definition 27.** Let  $\mathcal{V}$  be a subcategory of  $\mathcal{A}$ . Then the assignment

$$X \mapsto \mathcal{A}(\_, X)|_{\mathcal{V}}$$

induces a functor  $\mathcal{A} \rightarrow \text{Mod}(\mathcal{V})$ , which we call the *restricted Yoneda functor*.

**Lemma 28.** *Let  $\mathcal{E}$  be an exact category and let  $\mathcal{P}$  be its full subcategory of projective objects. Let  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  be an exact sequence in  $\mathcal{E}$ . Then the induced sequence*

$$0 \longrightarrow \mathcal{E}(\_, A)|_{\mathcal{P}} \longrightarrow \mathcal{E}(\_, B)|_{\mathcal{P}} \longrightarrow \mathcal{E}(\_, C)|_{\mathcal{P}} \longrightarrow 0$$

is exact in  $\text{Mod}(\mathcal{P})$ .

*Proof.* This follows from the fact that  $\mathcal{E}(P, ?)$  is exact for every  $P \in \mathcal{P}$ .  $\square$

**Corollary 29.** *Suppose  $\mathcal{E}$  is a Frobenius category. Then the essential image of the restricted Yoneda functor  $\mathcal{E} \rightarrow \text{Mod}(\mathcal{P})$  is contained in  $\text{gpr}(\mathcal{P})$ .*

*Proof.* It follows from Lemma 28 that the complete resolution

$$\begin{array}{ccccccccccc} \cdots & \longrightarrow & P_1 & \longrightarrow & P_0 & \longrightarrow & P^0 & \longrightarrow & P^1 & \longrightarrow & \cdots \\ & & \nearrow & & \nearrow & & \nearrow & & \nearrow & & \\ \cdots & & & & X_1 & & X & & X^1 & & \cdots \\ & & \searrow & & \searrow & & \searrow & & \searrow & & \end{array}$$

obtained by splicing the admissible short exact sequences  $0 \rightarrow X_{i+1} \rightarrow P_i \rightarrow X_i \rightarrow 0$  and  $0 \rightarrow X^i \rightarrow P^i \rightarrow X^{i+1} \rightarrow 0$  for  $i \geq 0$  and  $X_0 = X = X^0$  is sent to the complete resolution

$$\begin{array}{ccccccccccc} (? , P_1) & \longrightarrow & (? , P_0) & \longrightarrow & (? , P^0) & \longrightarrow & (? , P^1) & \longrightarrow & \cdots \\ & & \searrow & & \searrow & & \searrow & & \\ \cdots & & (? , X_1) & & (? , X) & & (? , X^1) & & \cdots \\ & & \nearrow & & \nearrow & & \nearrow & & \end{array}$$

where we abbreviate  $\mathcal{E}(?, ?)|_{\mathcal{P}}$  by  $(?, ?)$ . The claim follows easily.  $\square$

The following theorem is a version of [8, Theorem 4.2] that can be deduced from Lemma 28 and Corollary 29. It allows us to think of  $\mathcal{E}$  as a full exact subcategory of  $\text{gpr}(\mathcal{P})$ .

**Theorem 30.** *The restricted Yoneda functor  $\mathcal{E} \rightarrow \text{gpr}(\mathcal{P})$  is full and faithful. Moreover, its essential image is an exact-closed subcategory of  $\text{gpr}(\mathcal{P})$ .*

**3.2. The ambient Frobenius category for the orbit category.** Suppose that  $\mathcal{E}$  is an essentially small Frobenius category endowed with an exact automorphism  $F : \mathcal{E} \rightarrow \mathcal{E}$ . Let  $p : \mathcal{E} \rightarrow \mathcal{E}/F$  be the natural projection. It induces a pair of adjoint functors

$$\begin{array}{c} \text{Mod}(\mathcal{E}) \\ \pi \downarrow \uparrow p^* \\ \text{Mod}(\mathcal{E}/F), \end{array}$$

where  $p^*$  is the *restriction functor* and its left adjoint  $\pi$  is the *extension* of  $p$  to  $\text{Mod}(\mathcal{A})$  (cf. [26, Lemma 2.4]). It is clear that the full subcategory of  $\mathcal{E}/F$  defined by the objects in  $\mathcal{P}$  is equivalent to  $\mathcal{P}/F$ . Therefore, we will consider  $\mathcal{P}/F$  as a full subcategory of  $\mathcal{E}/F$ .

**Theorem 31.** *The restricted Yoneda functor  $\mathcal{E}/F \rightarrow \text{Mod}(\mathcal{P}/F)$  is full and faithful, its essential image is contained in  $\text{gpr}(\mathcal{P}/F)$  and there is a commutative diagram*

$$\begin{array}{ccc} \mathcal{E} & \hookrightarrow & \text{gpr}(\mathcal{P}) \\ p \downarrow & & \pi| \downarrow \\ \mathcal{E}/F & \hookrightarrow & \text{gpr}(\mathcal{P}/F), \end{array}$$

where  $\pi|$  denotes the restriction of  $\pi$  to  $\text{gpr}(\mathcal{P})$ .

Recall that  $\mathcal{Ac}(\mathcal{P})_{dg}$  is the canonical dg enhancement of  $\underline{\mathcal{E}}$  (see Example 9). Let  $\tilde{F} : \mathcal{Ac}(\mathcal{P})_{dg} \rightarrow \mathcal{Ac}(\mathcal{P})_{dg}$  be the dg functor given by  $F$  componentwise and let  $\underline{F} : \underline{\mathcal{E}} \rightarrow \underline{\mathcal{E}}$  be the automorphism induced by  $F$  on  $\underline{\mathcal{E}}$ . The following is a key result to prove our main theorem.

**Theorem 32.** *Suppose that  $\underline{\mathcal{E}}/\underline{F}$  is triangulated with respect to  $(\mathcal{A}c(\mathcal{P})_{dg}, M_{\widehat{F}})$ . Then there is full and faithful triangulated functor  $\underline{\mathcal{E}}/\underline{F} \rightarrow \underline{\text{gpr}}(\mathcal{P}/F)$  which makes the following diagram commutative up to isomorphism*

$$\begin{array}{ccc} \underline{\mathcal{E}} & \xrightarrow{\quad} & \underline{\text{gpr}}(\mathcal{P}) \\ p \downarrow & & \downarrow \pi \\ \underline{\mathcal{E}}/\underline{F} & \xrightarrow{\quad} & \underline{\text{gpr}}(\mathcal{P}/F). \end{array}$$

**Remark 33.** Theorem 31 and Theorem 32 can be stated for *completed orbit categories*. The statements and the proofs in both settings are essentially the same. In section 6 we will give a proof of these theorems for completed orbit categories *cf.* Corollary 40 and Remark 37. For this reason, we will omit the proofs of these statements.

#### 4. COMPLETED ORBIT CATEGORIES

Let  $k$  be a field and  $\mathcal{A}$  an essentially small additive category. Let  $F : \mathcal{A} \rightarrow \mathcal{A}$  be an automorphism of  $\mathcal{A}$  such that for all objects  $X, Y$  of  $\mathcal{A}$ , the space  $\mathcal{A}(X, F^l(Y))$  vanishes for all integers  $l \ll 0$  (whenever we make reference to completed orbit categories we will implicitly assume this condition). We define the *completed orbit category*  $\widehat{\mathcal{A}}/F$  as the category whose objects are the same as those of  $\mathcal{A}$  and with morphism spaces

$$(4.1) \quad \widehat{\mathcal{A}}/F(X, Y) = \prod_{l \in \mathbb{Z}} \mathcal{A}(X, F^l(Y)).$$

Notice that the vanishing condition imposed on the spaces  $\mathcal{A}(X, F^l(Y))$  ensures that the composition in  $\widehat{\mathcal{A}}/F$  defined as for the usual orbit category in (2.2) is a well-defined operation. Clearly, the category  $\widehat{\mathcal{A}}/F$  is  $k$ -linear and essentially small. Let  $p : \mathcal{A} \rightarrow \widehat{\mathcal{A}}/F$  be the natural projection. As before,  $p$  induces a pair of adjoint functors

$$\begin{array}{ccc} \text{Mod}(\mathcal{A}) & & \\ \pi \downarrow & \uparrow p^* & \\ \text{Mod}(\widehat{\mathcal{A}}/F), & & \end{array}$$

where  $p^*$  is the *restriction functor* and its left adjoint  $\pi$  takes a projective module  $\mathcal{A}(?, X)$  to the projective module  $\widehat{\mathcal{A}}/F(?, p(X))$ . We denote by  $F_*$  the automorphism  $M \mapsto M \circ F^{-1}$  of  $\text{Mod}(\mathcal{A})$  induced by  $F$ .

**Lemma 34.** *Let  $M$  be a finitely presented  $\mathcal{A}$ -module. Then*

(i) *we have a canonical isomorphism*

$$p^* \pi(M) \xrightarrow{\sim} \prod_{l \in \mathbb{Z}} F_*^l(M),$$

(ii) *let  $L$  be an  $\mathcal{A}$ -module admitting a resolution  $\cdots \rightarrow P_1 \rightarrow P_0 \rightarrow L \rightarrow 0$  by finitely-generated projective  $\mathcal{A}$ -modules  $P_i$ . Then the complex*

$$\cdots \longrightarrow \pi(P_1) \longrightarrow \pi(P_0) \longrightarrow \pi(L) \longrightarrow 0$$

*is a resolution of  $\pi(L)$  by finitely-generated projective  $\widehat{\mathcal{A}}/F$ -modules,*

(iii) for each  $\mathcal{A}$ -module  $L$  admitting a resolution by finitely-generated projective  $\mathcal{A}$ -modules, there are canonical isomorphisms

$$\mathrm{Ext}_{\mathrm{Mod}(\mathcal{A}/\widehat{F})}^i(\pi(L), \pi(M)) \cong \prod_{l \in \mathbb{Z}} \mathrm{Ext}_{\mathrm{Mod}(\mathcal{A})}^i(L, F_*^l(M))$$

for all  $i \geq 0$ ,

(iv) if  $\mathcal{E}$  is an exact subcategory of  $\mathrm{mod}(\mathcal{A})$  stable under the action of  $F$ , then we have a square commutative up to isomorphism

$$\begin{array}{ccc} \mathcal{E} & \hookrightarrow & \mathrm{Mod}(\mathcal{A}) \\ p \downarrow & & \downarrow \pi \\ \mathcal{E}/\widehat{F} & \hookrightarrow & \mathrm{Mod}(\mathcal{A}/\widehat{F}) \end{array}$$

with fully faithful horizontal arrows.

*Proof.* (i) Since  $\pi(\mathcal{A}(?, X)) = \mathcal{A}/\widehat{F}(?, p(X)) = \prod_{l \in \mathbb{Z}} F_*^l(\mathcal{A}(?, X))$ , we have that  $p^*\pi(P) = \prod_{l \in \mathbb{Z}} F_*^l(P)$  for all projective modules of finite type. Since  $p^*\pi$  is right exact, we have

$$(4.2) \quad p^*\pi(M) = \prod_{l \in \mathbb{Z}} F_*^l(M)$$

for all  $M$  in  $\mathrm{mod}(\mathcal{A})$ .

(ii) By (i) the complex  $\cdots \rightarrow p^*\pi(P_1) \rightarrow p^*\pi(P_0) \rightarrow p^*\pi(L) \rightarrow 0$  is exact and for all  $l \geq 0$ ,  $\pi(P_l)$  is a finitely-generated projective  $\mathcal{A}/\widehat{F}$ -module. Since  $p^*$  is the restriction functor, the claim follows.

(iii) We have the following isomorphisms

$$(4.3) \quad \begin{aligned} \mathrm{Hom}_{\mathcal{A}/\widehat{F}}(\pi(L), \pi(M)) &\cong \mathrm{Hom}_{\mathcal{A}}(L, p^*\pi(M)) \\ &\cong \mathrm{Hom}_{\mathcal{A}}(L, \prod_{l \in \mathbb{Z}} F_*^l(M)) \\ &\cong \prod_{l \in \mathbb{Z}} \mathrm{Hom}_{\mathcal{A}}(L, F_*^l(M)). \end{aligned}$$

By (ii), the complex  $\cdots \rightarrow \pi(P_1) \rightarrow \pi(P_0) \rightarrow \pi(L) \rightarrow 0$  is a projective resolution of  $\pi(L)$ . After applying the functor  $\mathrm{Hom}_{\mathcal{A}/\widehat{F}}(?, \pi(M))$  to this resolution and the last isomorphism in (4.3) the claim follows.

(iv) This is immediate from (4.3).  $\square$

**Lemma 35.** (i) If  $X$  is an object of  $\mathcal{A}$  such that  $\mathcal{A}(X, X)$  is local and  $\mathcal{A}(X, F^l(X))$  vanishes for all  $l < 0$ , then  $\mathcal{A}/\widehat{F}(p(X), p(X))$  is local.

(ii) If  $\mathcal{A}$  is a Krull-Schmidt category such that for each indecomposable object  $X$ , the ring  $\mathcal{A}(X, X)$  is local and  $\mathcal{A}(X, F^l(X))$  vanishes for all  $l < 0$ , then  $\mathcal{A}/\widehat{F}$  is a Krull-Schmidt category whose indecomposables are the images of those of  $\mathcal{A}$ .

*Proof.* (i) We can easily see that  $(f_i) \in \mathcal{A}/\widehat{F}(p(X), p(X))$  is non-invertible if and only if  $f_0 : X \rightarrow X$  is non-invertible. This shows that  $\mathcal{A}/\widehat{F}(p(X), p(X))$  is local.

(ii) By part (i), the image  $p(X)$  of each indecomposable  $X$  of  $\mathcal{A}$  is indecomposable with local endomorphism ring. Hence, since each object of  $\mathcal{A}$  decomposes into a sum of indecomposables, the same holds for  $\mathcal{A}/\widehat{F}$ .  $\square$

Suppose that  $\mathcal{A}$  has enough projectives and let  $\mathcal{P}$  denote its full subcategory of projective objects. The essential image of  $\mathcal{A}$  under  $p$  is canonically identified with  $\mathcal{P}/\widehat{F}$ . Let  $\langle \mathcal{P}/\widehat{F} \rangle$  be

the ideal of morphisms of  $\mathcal{A}/\widehat{F}$  which factor through  $\mathcal{P}/\widehat{F}$ . Denote by  $\underline{F}$  the automorphism in  $\underline{\mathcal{A}}$  induced by  $F$ . The canonical projection  $p : \mathcal{A} \rightarrow \mathcal{A}/\widehat{F}$  induces an  $\underline{F}$ -invariant functor  $\underline{\mathcal{A}} \rightarrow \mathcal{A}/\widehat{F}/\langle \mathcal{P}/\widehat{F} \rangle$ . By the universal property of orbit categories we obtain a functor  $\psi : \underline{\mathcal{E}}/\underline{F} \rightarrow \mathcal{E}/\widehat{F}/\langle \mathcal{P}/\widehat{F} \rangle$ .

**Proposition 36.** *The functor  $\psi$  is faithful. Moreover, if for all objects  $X, Y$  of  $\mathcal{A}$  we have that  $\underline{\mathcal{A}}(X, \underline{F}^l(Y)) = 0$  for  $l \gg 0$ , then  $\psi$  is fully.*

*Proof.* For simplicity, along the proof we will denote  $\mathcal{A}/\widehat{F}/\langle \mathcal{P}/\widehat{F} \rangle$  by  $\underline{\mathcal{A}}/\underline{F}$ . Let  $P \xrightarrow{f} Y$  be a projective cover in  $\mathcal{A}$ . Then the morphism

$$F^l(P) \xrightarrow{F^l(f)} F^l(Y)$$

is a projective cover for all  $l \in \mathbb{Z}$ . In particular, every morphism  $p(P^l) \rightarrow p(Y)$  in  $\mathcal{A}/\widehat{F}$  with  $P^l \in \mathcal{P}$  can be factorized through  $p(f)$ . Moreover, since  $p(f)$  is concentrated in one degree we obtain the following isomorphisms

$$\begin{aligned} \underline{\mathcal{A}}/\underline{F}(p(X), p(Y)) &\cong \text{cok} \left( \underline{\mathcal{A}}/\widehat{F}(p(X), p(P)) \rightarrow \underline{\mathcal{A}}/\widehat{F}(p(X), p(Y)) \right) \\ &\cong \text{cok} \left( \prod_{l \in \mathbb{Z}} \underline{\mathcal{A}}(X, F^l(P)) \rightarrow \prod_{l \in \mathbb{Z}} \underline{\mathcal{A}}(X, F^l(Y)) \right) \\ &\cong \prod_{l \in \mathbb{Z}} \left( \text{cok} \left( \underline{\mathcal{A}}(X, F^l(P)) \rightarrow \underline{\mathcal{A}}(X, F^l(Y)) \right) \right) \\ &\cong \prod_{l \in \mathbb{Z}} \underline{\mathcal{A}}(X, \underline{F}^l(Y)) \\ &= \underline{\mathcal{A}}/\underline{F}(X, Y). \end{aligned}$$

We consider  $\underline{\mathcal{A}}/\underline{F}$  as a subcategory of  $\underline{\mathcal{A}}/\widehat{F}$ . One checks that, up to equivalence, the functor  $\underline{\mathcal{A}}/\underline{F} \rightarrow \underline{\mathcal{A}}/\widehat{F}$  is given by this chain of isomorphisms at the level of morphisms. Both claims follow.  $\square$

**Remark 37.** Lemma 34 and Proposition 36 can be stated for usual orbit categories. The statements and the proofs are essentially the same. Notice that for usual orbit categories the functor  $\psi : \underline{\mathcal{A}}/\underline{F} \rightarrow \mathcal{A}/F/\langle \mathcal{P}/F \rangle$  is always full and faithful.

## 5. COMPARISON OF THE TRIANGULATED STRUCTURES

We use the universal property of dg orbit categories to construct a triangulated functor which will be crucial in the proof of the main theorem. Let  $\mathcal{E}$  be a Frobenius category endowed with an exact automorphism  $F : \mathcal{E} \rightarrow \mathcal{E}$ . The restriction of  $F$  to  $\mathcal{P}$  induces an automorphism of  $\mathcal{P}$ . We denote by  $\widetilde{F} : \mathcal{A}c(\mathcal{P})_{dg} \rightarrow \mathcal{A}c(\mathcal{P})_{dg}$  the dg functor given by  $F$  componentwise. Notice that  $M_{\widetilde{F}}$  induces a triangle functor on  $\underline{\mathcal{E}}$  which is equivalent to  $\underline{F}$ . We identify  $\mathcal{E}$  with an exact subcategory of  $\text{Mod}(\mathcal{P})$  via the restricted Yoneda embedding. The adjoint functors  $\pi : \text{Mod}(\mathcal{P}) \rightleftarrows \text{Mod}(\mathcal{P}/\widehat{F}) : p^*$  induce a pair of adjoint functors

$$\begin{array}{c} \mathcal{C}_{dg}(\mathcal{P}) \\ \begin{array}{c} \uparrow \\ \widetilde{\pi} \\ \downarrow \\ p^* \end{array} \\ \mathcal{C}_{dg}(\mathcal{P}/\widehat{F}). \end{array}$$

defined componentwise. We consider  $\mathcal{A}c(\text{proj}(\mathcal{P}))_{dg}$  (resp.  $\mathcal{A}c(\text{proj}(\widehat{\mathcal{P}/F}))_{dg}$ ) as a full subcategory of  $\mathcal{C}_{dg}(\mathcal{P})$  (resp.  $\mathcal{C}_{dg}(\widehat{\mathcal{P}/F})$ ), see Example 9 and Notation 24.

**Lemma 38.** *The functor  $\tilde{\pi}$  restricts to a functor*

$$\tilde{\pi} : \mathcal{A}c(\text{proj}(\mathcal{P}))_{dg} \rightarrow \mathcal{A}c(\text{proj}(\widehat{\mathcal{P}/F}))_{dg}.$$

*Proof.* Let  $P^\cdot : \cdots \rightarrow P_{-1} \rightarrow P_0 \rightarrow P_1 \rightarrow \cdots$  be a complex in  $\mathcal{A}c(\text{proj}(\mathcal{P}))_{dg}$ . Then the complex  $\tilde{\pi}(P^\cdot) = \cdots \rightarrow \pi(P_1) \rightarrow \pi(P_0) \rightarrow \pi(P_{-1}) \rightarrow \cdots$  is a complex of finitely-generated projective  $\widehat{\mathcal{P}/F}$ -modules. For each  $i \in \mathbb{Z}$  consider the truncated complex

$$\cdots \rightarrow \pi(P_{i-1}) \rightarrow \pi(P_i) \rightarrow M \rightarrow 0,$$

*i.e.*  $M = \text{cok}(\pi(P_{i-1}) \rightarrow \pi(P_i))$ . It follows from Lemma 34 that this complex is acyclic. Since  $i \in \mathbb{Z}$  is arbitrary then  $\pi(P^\cdot)$  is acyclic.  $\square$

**Lemma 39.** *The functor  $\pi : \text{Mod}(\mathcal{P}) \rightarrow \text{Mod}(\widehat{\mathcal{P}/F})$  restricts to a functor  $\pi : \text{gpr}(\mathcal{P}) \rightarrow \text{gpr}(\widehat{\mathcal{P}/F})$ .*

*Proof.* Let  $M$  be a module of  $\text{gpr}(\mathcal{P})$ . In particular, there are objects  $(P_i)_{i \in \mathbb{Z}}$  of  $\mathcal{P}$  and an acyclic complex

$$P^\cdot : \cdots \rightarrow \mathcal{P}(?, P_i) \rightarrow \mathcal{P}(?, P_{i-1}) \rightarrow \cdots$$

such that  $M \cong Z^0(P^\cdot)$ . By Lemma 38, the complex

$$\tilde{\pi}(P^\cdot) = \cdots \rightarrow \mathcal{P}(?, p(P_i)) \rightarrow \mathcal{P}(?, p(P_{i-1})) \rightarrow \cdots$$

is acyclic and  $\pi(M) \cong Z^0(\tilde{\pi}(P^\cdot))$ . If  $X$  and  $Y$  are arbitrary objects of  $\mathcal{P}$  then, by part (i) of Lemma 34 and the Yoneda lemma, we obtain an isomorphism

$$\text{Hom}(\widehat{\mathcal{P}/F}(?, p(X)), \widehat{\mathcal{P}/F}(?, p(Y))) \cong \prod_i \mathcal{P}(X, F_*^l(Y)).$$

It follows that  $\text{Hom}(\pi(P^\cdot), P')$  is still acyclic for each module  $P'$  in  $\text{proj}(\widehat{\mathcal{P}/F})$ .  $\square$

The functor  $\pi : \text{gpr}(\mathcal{P}) \rightarrow \text{gpr}(\widehat{\mathcal{P}/F})$  preserves projectives, therefore it induces a functor  $\underline{\pi} : \underline{\text{gpr}}(\mathcal{P}) \rightarrow \underline{\text{gpr}}(\widehat{\mathcal{P}/F})$ .

**Corollary 40.** *There is a square commutative up to isomorphism*

$$\begin{array}{ccc} \underline{\mathcal{E}} & \longrightarrow & \underline{\text{gpr}}(\mathcal{P}) \\ p \downarrow & & \downarrow \underline{\pi} \\ \underline{\mathcal{E}/F} & \longrightarrow & \underline{\text{gpr}}(\widehat{\mathcal{P}/F}), \end{array}$$

*with faithful horizontal arrows. If for all  $X$  and  $Y$  we have that  $\underline{\mathcal{E}}(X, F^l(Y)) = 0$  for  $l \gg 0$ , then  $\underline{\mathcal{E}/F} \rightarrow \underline{\text{gpr}}(\widehat{\mathcal{P}/F})$  is fully faithful.*

*Proof.* By Lemma 34 and Lemma 39 there is a commutative diagram

$$\begin{array}{ccc} \mathcal{E} & \longrightarrow & \text{gpr}(\mathcal{P}) \\ p \downarrow & & \downarrow \pi \\ \widehat{\mathcal{E}/F} & \longrightarrow & \text{gpr}(\widehat{\mathcal{P}/F}). \end{array}$$

with faithful horizontal arrows. The functor  $\mathcal{E}/\widehat{F} \hookrightarrow \underline{\mathrm{gpr}}(\mathcal{P}/\widehat{F})$  identifies  $\mathcal{P}/\widehat{F}$  with  $\mathrm{proj}(\mathcal{P}/\widehat{F})$ . Therefore there is a commutative diagram

$$\begin{array}{ccc} \mathcal{E} & \longrightarrow & \underline{\mathrm{gpr}}(\mathcal{P}) \\ \downarrow & & \downarrow \pi \\ \mathcal{E}/\widehat{F}/\langle \mathcal{P}/\widehat{F} \rangle & \longrightarrow & \underline{\mathrm{gpr}}(\mathcal{P}/\widehat{F}). \end{array}$$

The claim follows from Proposition 36.  $\square$

The functor  $\mathcal{E}/\underline{F} \rightarrow \underline{\mathrm{gpr}}(\mathcal{P}/\widehat{F})$  corresponds to the  $\underline{F}$ -invariant functor  $G$  given by the composition

$$G : \mathcal{E} \hookrightarrow \underline{\mathrm{gpr}}(\mathcal{P}) \rightarrow \underline{\mathrm{gpr}}(\mathcal{P}/\widehat{F}).$$

We denote by  $\widetilde{G}$  the composition

$$\widetilde{G} : \mathcal{A}c(\mathcal{P})_{dg} \longrightarrow \mathcal{A}c(\mathrm{proj}(\mathcal{P}))_{dg} \xrightarrow{\widetilde{\pi}} \mathcal{A}c(\mathrm{proj}(\mathcal{P}/\widehat{F}))_{dg},$$

where the first arrow is given by applying the Yoneda functor  $\mathcal{P} \hookrightarrow \mathrm{proj}(\mathcal{P})$  componentwise. Notice that  $\mathcal{A}c(\mathrm{proj}(\mathcal{P}))_{dg}$  is a dg enhancement of  $\underline{\mathrm{gpr}}(\mathcal{P})$  and that  $H^0(\widetilde{G}) = G$ .

**Theorem 41.** *Suppose that  $\mathcal{E}/\underline{F}$  is triangulated with respect to  $(\mathcal{A}c(\mathcal{P})_{dg}, M_{\widehat{F}})$ . Then the functor  $\mathcal{E}/\underline{F} \rightarrow \underline{\mathrm{gpr}}(\mathcal{P}/\widehat{F})$  is triangulated.*

*Proof.* It is enough to prove that there is a quasi-isomorphism of quasi-functors  $\phi : \widetilde{G} \rightarrow \widetilde{G}M_{\widehat{F}}$  such that  $(\widetilde{G}, \phi) \in \mathrm{eff}(\mathcal{A}c(\mathcal{P})_{dg}, M_{\widehat{F}}, \mathcal{A}c(\mathrm{proj}(\mathcal{P}/\widehat{F}))_{dg})$ . In other words, we have to prove that for all complexes  $P \in \mathcal{A}c(\mathcal{P})_{dg}$  and  $Q \in \mathcal{A}c(\mathrm{proj}(\mathcal{P}/\widehat{F}))_{dg}$ , there is a quasi-isomorphism

$$\mathcal{H}om(Q, \widetilde{G}(P)) \longrightarrow \mathcal{H}om(Q, \widetilde{G}F(P)).$$

The complex  $P$  is of the form

$$\cdots \longrightarrow P_i \longrightarrow P_{i+1} \longrightarrow \cdots,$$

where  $P_i$  is object in  $\mathcal{P}$  for each  $i \in \mathbb{Z}$ . Then the complex  $\widetilde{G}(P)$  is given by

$$\cdots \longrightarrow \mathcal{P}/\widehat{F}(?, p(P_i)) \longrightarrow \mathcal{P}/\widehat{F}(?, p(P_{i+1})) \longrightarrow \cdots$$

and the complex  $\widetilde{G}F(P)$  is given by

$$\cdots \longrightarrow \mathcal{P}/\widehat{F}(?, pF(P_i)) \longrightarrow \mathcal{P}/\widehat{F}(?, pF(P_{i+1})) \longrightarrow \cdots.$$

For each  $i \in \mathbb{Z}$  the objects  $P_i$  and  $F(P_i)$  are isomorphic in  $\mathcal{P}/\widehat{F}$ . Therefore there is an isomorphism  $\mathcal{P}/\widehat{F}(?, p(P_i)) \rightarrow \mathcal{P}/\widehat{F}(?, pF(P_i))$ . In particular, the complexes  $\widetilde{G}(P)$  and  $\widetilde{G}F(P)$  are isomorphic. The claim follows.  $\square$

## 6. THE MAIN THEOREMS

We keep the notation of the preceding section and suppose that  $\mathcal{E}/\underline{F}$  is equivalent to its triangulated hull with respect to  $(\mathcal{A}c(\mathcal{P})_{dg}, M_{\widehat{F}})$ .

**Theorem 42.** *Suppose that  $\mathcal{E}$  is Krull-Schmidt such that*

- for each indecomposable object  $X$ , the ring  $\mathcal{E}(X, X)$  is local and  $\mathcal{E}(X, F^l(X))$  vanishes for all  $l < 0$ ,
- for every pair of objects  $Y$  and  $Z$ , the space  $\mathcal{E}(Y, F^l(Z))$  vanishes for  $l \gg 0$ .

Then  $\mathcal{E}/\widehat{F}$  admits the structure of a Krull-Schmidt Frobenius category which makes the canonical projection  $\mathcal{E} \rightarrow \mathcal{E}/\widehat{F}$  exact and whose stable category is triangle equivalent to  $\underline{\mathcal{E}}/\underline{F}$ .

*Proof.* By part (iv) of Lemma 34, Lemma 39 and Corollary 40 there is a commutative diagram

$$\begin{array}{ccc} \mathcal{E} & \hookrightarrow & \text{gpr}(\mathcal{P}) \\ p \downarrow & & \downarrow \pi \\ \mathcal{E}/\widehat{F} & \hookrightarrow & \text{gpr}(\widehat{\mathcal{P}}/\widehat{F}) \\ \downarrow & & \downarrow \\ \underline{\mathcal{E}}/\underline{F} & \longrightarrow & \underline{\text{gpr}}(\widehat{\mathcal{P}}/\widehat{F}). \end{array}$$

The first two horizontal arrows are full and faithful. So we may think of  $\mathcal{E}$  (resp.  $\mathcal{E}/\widehat{F}$ ) as a full subcategory of  $\text{gpr}(\mathcal{P})$  (resp.  $\text{gpr}(\widehat{\mathcal{P}}/\widehat{F})$ ). In particular, we identify  $p$  with the restriction of  $\pi$  to  $\mathcal{E}$ . We first show that  $\mathcal{E}/\widehat{F}$  is an extension-closed subcategory of  $\text{gpr}(\widehat{\mathcal{P}}/\widehat{F})$ . Let  $0 \rightarrow \pi(X) \rightarrow E \rightarrow \pi(Y) \rightarrow 0$  be an extension in  $\text{gpr}(\widehat{\mathcal{P}}/\widehat{F})$  between two objects of  $\mathcal{E}/\widehat{F}$ . By part (iii) of Lemma 34, we have an isomorphism

$$\begin{aligned} \text{Ext}_{\text{gpr}(\widehat{\mathcal{P}}/\widehat{F})}^1(\pi(Y), \pi(X)) &\cong \prod_{l \in \mathbb{Z}} \text{Ext}_{\text{gpr}(\mathcal{P})}^1(Y, F_*^l(X)) \\ &\cong \prod_{l \in \mathbb{Z}} \text{Ext}_{\mathcal{E}}^1(Y, F^l(X)). \end{aligned}$$

Since  $\underline{\mathcal{E}}(X, \underline{F}^l(Y)) = 0$  for  $l \gg 0$  the categories  $\underline{\mathcal{E}}/\underline{F}$  and  $\underline{\mathcal{E}}/\underline{F}$  are isomorphic. In particular, there is an isomorphism  $\prod_{l \in \mathbb{Z}} \text{Ext}_{\mathcal{E}}^1(Y, F^l(X)) \cong \text{Ext}_{\underline{\mathcal{E}}/\underline{F}}^1(Y, F^l(X))$  and therefore, an isomorphism

$$(6.1) \quad \text{Ext}_{\text{gpr}(\widehat{\mathcal{P}}/\widehat{F})}^1(\pi(Y), \pi(X)) \cong \text{Ext}_{\underline{\mathcal{E}}/\underline{F}}^1(Y, X).$$

Let

$$X \longrightarrow E' \longrightarrow Y \longrightarrow \Sigma X$$

be the triangle in  $\underline{\mathcal{E}}/\underline{F}$  corresponding to the extension  $0 \rightarrow \pi(X) \rightarrow E \rightarrow \pi(Y) \rightarrow 0$  under (6.1). By Theorem 41, there is a commutative diagram in  $\underline{\text{gpr}}(\widehat{\mathcal{P}}/\widehat{F})$

$$\begin{array}{ccccccc} \pi(X) & \longrightarrow & E & \longrightarrow & \pi(Y) & \longrightarrow & \Sigma\pi(X) \\ \cong \downarrow & & \downarrow a & & \cong \downarrow & & \cong \downarrow \\ \pi(X) & \longrightarrow & \pi(E') & \longrightarrow & \pi(Y) & \longrightarrow & \Sigma\pi(X). \end{array}$$

The first row corresponds to the triangle associated to the extension  $0 \rightarrow \pi(X) \rightarrow E \rightarrow \pi(Y) \rightarrow 0$ , the second row is the image of the triangle  $X \rightarrow E' \rightarrow Y \rightarrow \Sigma X$  under the triangle functor  $\underline{\mathcal{E}}/\underline{F} \rightarrow \underline{\text{gpr}}(\widehat{\mathcal{P}}/\widehat{F})$ . Notice that the square on the right commutes by the choice of  $X \rightarrow E' \rightarrow Y \rightarrow \Sigma X$ . Therefore we obtain the arrow  $E \xrightarrow{a} \pi(E')$  by the axiom of triangulated categories. The Yoneda functor sends each of the rows of this diagram to a long exact sequence (to the left). We apply the five lemma to conclude that  $a$  is an isomorphism. Thus,  $\pi(E)$  is a direct factor of the sum of  $E'$  with a finitely-generated projective module.

By Lemma 35, we have that  $\widehat{\mathcal{E}/F}$  is Krull-Schmidt. In particular, it has split idempotents and it follows that  $\pi(E)$  lies in  $\widehat{\mathcal{E}/F}$ . Hence  $\widehat{\mathcal{E}/F}$  is stable under extensions in  $\text{gpr}(\widehat{\mathcal{P}/F})$ . Notice that the projective-injective objects of  $\text{gpr}(\widehat{\mathcal{P}/F})$  belong to  $\widehat{\mathcal{E}/F}$  and are precisely the image of the projectives of  $\mathcal{E}$  under the right exact functor  $\pi$ . Therefore  $\widehat{\mathcal{E}/F}$  is an exact category with enough projectives and such that projectives are injectives. Since  $\widehat{\mathcal{E}/F}$  is closed under  $\Sigma$  in  $\text{gpr}(\widehat{\mathcal{P}/F})$  it also has enough injectives. Now it is easy to see that  $\widehat{\mathcal{E}/F}$  is triangle equivalent to  $\underline{\mathcal{E}/F}$ . The exactness of the canonical functor  $\mathcal{E} \rightarrow \widehat{\mathcal{E}/F}$  follows from the fact that the induced functor  $\underline{\mathcal{E}} \rightarrow \underline{\mathcal{E}/F}$  is triangulated.  $\square$

We can use Theorem 31, Theorem 32 and Remark 37 to prove the following theorem. The proof is essentially the same as the proof of Theorem 42.

**Theorem 43.** *Suppose that  $\mathcal{E}/F$  has split idempotents. Then  $\mathcal{E}/F$  admits the structure of a Frobenius category which makes the canonical projection  $\mathcal{E} \rightarrow \mathcal{E}/F$  exact and whose stable category is triangle equivalent to  $\underline{\mathcal{E}/F}$ .*

**Remark 44.** If we want to use the results in [21] to determine if  $\underline{\mathcal{E}/F}$  is triangulated we may assume that  $\mathcal{E}$  is Ext-finite, since Keller considers Hom-finite triangulated categories.

**Remark 45.** We don not have a criterion to determine if  $\mathcal{E}/F$  has split idempotents.

## 7. APPLICATIONS TO CLUSTER ALGEBRAS (SKETCH)

In this section we use the theory of Nakajima categories introduced in [24]. The reader is referred to this article for some of the definitions and relevant background. We can use Theorem 42 to obtain explicit categorifications of families of finite-type cluster algebras with coefficients. In particular, we obtain a categorification of all skew-symmetric finite-type cluster algebras with universal coefficients.

**7.1. Nakajima categories.** Let  $Q$  be a finite and acyclic quiver. The *repetition quiver* (cf. [31])  $\mathbb{Z}Q$  is the quiver obtained from  $Q$  as follows

- the set of vertices of  $\mathbb{Z}Q$  is  $(\mathbb{Z}Q)_0 = Q_0 \times \mathbb{Z}$ .
- For each arrow  $\alpha : i \rightarrow j$  of  $Q$  and each  $p \in \mathbb{Z}$ ,  $\mathbb{Z}Q$  has the arrows

$$(\alpha, p) : (i, p) \longrightarrow (j, p) \quad \text{and} \quad \sigma(\alpha, p) : (j, p-1) \longrightarrow (i, p).$$

- $\mathbb{Z}Q$  has no more arrows than the ones described above.

There is a bijection on the set of arrows  $\sigma : (\mathbb{Z}Q)_1 \rightarrow (\mathbb{Z}Q)_1$  given by

$$\sigma(\beta) = \begin{cases} \sigma(\alpha, p) & \text{if } \beta = (\alpha, p), \\ (\alpha, p-1) & \text{if } \beta = \sigma(\alpha, p). \end{cases}$$

Let  $\tau : \mathbb{Z}Q \rightarrow \mathbb{Z}Q$  be the automorphism of  $\mathbb{Z}Q$  given by *the translation by one unit*:

$$\tau(i, p) = (i, p-1) \quad \text{and} \quad \tau(\beta) = \sigma^2(\beta)$$

for each vertex  $(i, p)$  and each arrow  $\beta$  of  $\mathbb{Z}Q$ .

Let  $k$  be a field. Following [14] and [30], we define the *mesh category*  $k(\mathbb{Z}Q)$  to be the quotient of the path category  $k\mathbb{Z}Q$  by the ideal generated by the mesh relators, *i.e.* the  $k$ -category whose objects are the vertices of  $\mathbb{Z}Q$  and whose morphism space from  $a$  to  $b$  is

the space of all  $k$ -linear combinations of paths from  $a$  to  $b$  modulo the subspace spanned by all elements  $ur_xv$ , where  $u$  and  $v$  are paths and

$$r_x = \sum_{\beta: y \rightarrow x} \beta \sigma(\beta) : \begin{array}{ccc} & y_1 & \\ \sigma(\beta_1) \nearrow & & \searrow \beta_1 \\ \tau(x) & \vdots & x \\ \sigma(\beta_s) \searrow & & \nearrow \beta_s \\ & y_s & \end{array}$$

is the *mesh relator* associated with a vertex  $x$  of  $\mathbb{Z}Q$ . Here the sum runs over all arrows  $\beta : y \rightarrow x$  of  $\mathbb{Z}Q$ .

**Definition 46.** The *framed quiver*  $\tilde{Q}$  associated to  $Q$  is the quiver obtained from  $Q$  by adding, for each vertex  $i$ , a new vertex  $i'$  and a new arrow  $i \rightarrow i'$ . We call the vertices in  $(\mathbb{Z}\tilde{Q})_0$  of the form  $(i', n)$ ,  $i \in Q_0$ ,  $n \in \mathbb{Z}$ , *frozen vertices*. The *regular Nakajima category*  $\mathcal{R}$  associated to  $Q$  is the quotient of the path category  $k\mathbb{Z}\tilde{Q}$  by the ideal generated by the mesh relators associated to the non-frozen vertices. The *singular Nakajima category*  $\mathcal{S}$  is the full subcategory of  $\mathcal{R}$  whose objects are the frozen vertices.

**Remark 47.** Note that there is a bijection  $\sigma : (\mathbb{Z}\tilde{Q})_0 \rightarrow (\mathbb{Z}\tilde{Q})_0$  given by  $\sigma : (i, n) \mapsto (i', n - 1)$  and  $(i', n) \mapsto (i, n)$  for  $i$  a vertex of  $Q$  and  $n$  an integer.

**Assumption 48.** From now on we let  $Q$  be an orientation of a simply laced Dynkin diagram  $\Delta$  and denote the bounded derived category of  $\text{mod}(kQ)$  by  $\mathcal{D}_Q^b$ .

**Definition 49.** Let  $C$  be a subset of  $(\mathbb{Z}Q)_0$ . Denote by  $\mathcal{R}_C$  the quotient of  $\mathcal{R}$  by the ideal generated by the identities of the frozen vertices not belonging to  $\sigma^{-1}(C)$  and by  $\mathcal{S}_C$  its full subcategory formed by the vertices in  $\sigma^{-1}(C)$ . We call  $C$  an *admissible configuration of  $\mathbb{Z}Q$*  if the sequences

$$(7.1) \quad 0 \rightarrow \mathcal{R}_C(?, x) \rightarrow \bigoplus_{x \rightarrow y} \mathcal{R}_C(?, y) \quad \text{and} \quad 0 \rightarrow \mathcal{R}_C(x, ?) \rightarrow \bigoplus_{y \rightarrow x} \mathcal{R}_C(y, ?)$$

are exact, where the sums range over all arrows of  $\mathbb{Z}\tilde{Q}$  whose source (respectively, target) is  $x$ . We denote by  $\mathbb{Z}\tilde{Q}_C$  the quiver obtained from  $\mathbb{Z}\tilde{Q}$  by removing the vertices not belonging to  $\sigma^{-1}(C)$ . We refer the reader to Section 3.3 of [24] for an account of sufficient conditions on  $C$  in which (7.1) holds.

**Theorem 50.** ([24]) *Let  $C$  be an admissible configuration of  $\mathbb{Z}Q$ . Then*

- (i) *the restriction functor  $\text{Mod } \mathcal{R}_C \rightarrow \text{Mod } \mathcal{S}_C$  induces an equivalence between the full subcategory of finitely generated projective  $\mathcal{R}_C$ -modules  $\text{proj}(\mathcal{R}_C)$  and the category  $\text{gpr}(\mathcal{S}_C)$ . In particular, it yields an isomorphism of  $\mathbb{Z}\tilde{Q}_C$  onto the Auslander-Reiten quiver of  $\text{gpr}(\mathcal{S}_C)$  so that the vertices of  $\sigma^{-1}(C)$  correspond to the projective-injective objects,*
- (ii) *there is a triangle equivalence  $\Phi : \text{gpr}(\mathcal{S}_C) \rightarrow \mathcal{D}_Q^b$ .*

**7.2. Categorification of cluster algebras with coefficients.** Let  $\tau : \mathcal{D}_Q^b \rightarrow \mathcal{D}_Q^b$  be the *Auslander-Reiten translation* and  $\Sigma : \mathcal{D}_Q^b \rightarrow \mathcal{D}_Q^b$  be the *suspension functor* of  $\mathcal{D}_Q^b$ . The cluster category  $\mathcal{C}_Q$  was introduced in [6] and is defined as the orbit category

$$\mathcal{C}_Q = \mathcal{D}_Q^b / \Sigma \circ \tau^{-1}.$$

The category  $\mathcal{C}_Q$  is triangulated. Its triangulated structure comes from the dg category  $C^b(\text{proj } kQ)_{dg}$ .

We denote  $\Sigma \circ \tau^{-1}$  by  $F_{\mathcal{D}}$ . By a well know result of Happel [15], we know that the Auslander-Reiten quiver of the category  $\mathcal{D}_Q^b$  is canonically isomorphic to  $\mathbb{Z}Q$ . Therefore,  $F_{\mathcal{D}}$  induces an automorphism of translation quivers  $F : \mathbb{Z}Q \rightarrow \mathbb{Z}Q$ . Let  $C \subset \mathbb{Z}Q$  be an admissible configuration which is invariant under  $F$ . By Theorem 50,  $F$  induces a functor

$$F_* : \text{gpr}(\mathcal{S}_C) \rightarrow \text{gpr}(\mathcal{S}_C)$$

which is in fact exact. Theorem 42 is fundamental to prove the following results.

**Lemma 51.** *The completed orbit category  $\text{gpr}(\mathcal{S})/\widehat{F}_*$  admits the structure of a Frobenius category whose stable category is triangle equivalent to  $\mathcal{C}_Q$ .*

**Theorem 52.** (i) *Let  $C \subset \mathbb{Z}Q$  be an admissible configuration which is invariant under  $F$ . Then  $\text{gpr}(\mathcal{S}_C)/\widehat{F}$  is a 2-Calabi-Yau realization (in the sense of [5]) of a cluster algebra with coefficients of type  $\Delta$ ,*

(ii) *if  $C = \mathbb{Z}Q$  then  $\text{gpr}(\mathcal{S}_C)/\widehat{F}$  is a 2-Calabi-Yau realization of the cluster algebra with universal coefficients of type  $\Delta$ .*

The proofs of Lemma 51 and Theorem 52, the details of this section and further applications will be given in a forthcoming paper [27].

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MAX-PLANCK-INSTITUT FÜR MATHEMATIK, VIVATSGASSE 7, 53111 BONN, GERMANY.  
E-mail address: `alfredo.najera-chavez@imj-prg.fr`