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# Critical role of caldera collapse in the formation of seafloor mineralization: The case of Brothers volcano

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# Critical role of caldera collapse in the formation of seafloor mineralization: The case of Brothers volcano

## Abstract

Hydrothermal systems hosted by submarine arc volcanoes commonly include a large component of magmatic fluid. The high Cu-Au contents and strongly acidic fluids in these systems are similar to those that formed in the shallow parts of some porphyry copper and epithermal gold deposits mined today on land. Two main types of hydrothermal systems occur along the submarine portion of the Kermadec arc (offshore New Zealand): magmatically influenced and seawater-dominated systems. Brothers volcano hosts both types. Here, we report results from a series of drill holes cored by the International Ocean Discovery Program into these two types of hydrothermal systems. We show that the extent of hydrothermal alteration of the host dacitic volcanoclastics and lavas reflects primary lithological porosity and contrasting spatial and temporal contributions of magmatic fluid, hydrothermal fluid, and seawater. We present a two-step model that links the changes in hydrothermal fluid regime to the evolution of the volcano caldera. Initial hydrothermal activity, prior to caldera formation, was dominated by magmatic gases and hypersaline brines. The former mixed with seawater as they ascended toward the seafloor, and the latter remained sequestered in the subsurface. Following caldera collapse, seawater infiltrated the volcano through fault-controlled permeability, interacted with wall rock and the segregated brines, and transported associated metals toward the seafloor and formed Cu-Zn-Au-rich chimneys on the caldera walls and rim, a process continuing to the present day. This two-step process may be common in submarine arc caldera volcanoes that host volcanogenic massive sulfide deposits, and it is particularly efficient at focusing mineralization at, or near, the seafloor.

## Keywords

role, collapse, critical, caldera, mineralization:, formation, case, brothers, volcano, seafloor

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12 GSA Data Repository (see text footnote 1) for the list of participants

13

14 **ABSTRACT**

15 Hydrothermal systems hosted by submarine arc volcanoes commonly include a large  
16 component of magmatic fluid. The high Cu-Au contents and strongly acidic fluids in  
17 these systems are similar to those that formed in the shallow parts of some porphyry  
18 copper and epithermal gold deposits mined today on land. Two main types of  
19 hydrothermal system occur along the submarine portion of the Kermadec arc:

20 magmatically-influenced and seawater-dominated. Brothers volcano hosts both types.  
21 Here we report results from a series of drill holes cored by the International Ocean  
22 Discovery Program into these two types of hydrothermal systems. We show that the  
23 extent of hydrothermal alteration of the host dacitic volcanoclastics and lavas reflects  
24 primary lithological porosity and contrasting spatial and temporal contributions of  
25 magmatic fluid, hydrothermal fluid, and seawater. We present a two-step model that links  
26 the changes in hydrothermal fluid regime to the evolution of the volcano caldera. Initial  
27 hydrothermal activity, prior to caldera formation, was dominated by magmatic gases and  
28 hypersaline brines. The former mixed with seawater as they ascended towards the  
29 seafloor, and the latter remained sequestered in the subsurface. Following caldera  
30 collapse, seawater infiltrated the volcano through fault-controlled permeability, interacted  
31 with wall rock and the segregated brines, and transported associated metals towards the  
32 seafloor and formed Cu-Zn-Au-rich chimneys on the caldera walls and rim, a process  
33 continuing to the present-day. This two-step process may be common in submarine arc  
34 caldera volcanoes that host volcanogenic massive sulfide deposits and is particularly  
35 efficient at focusing mineralization at, or near, the seafloor.

## 36 **INTRODUCTION**

37 Volcanogenic massive sulfide (VMS) deposits are a significant source of metals  
38 (largely Cu, Zn, Pb ± Au) critical for modern society, thus understanding their genesis  
39 remains a key part of any exploration strategy. The geological record has several  
40 examples where sizeable VMS deposits appear to have formed within submarine arc

41 caldera volcanoes (e.g. Large et al., 2001), including some that appear to be the shallow  
42 expression of porphyry Cu deposits (Sillitoe et al., 1996; Hedenquist et al., 2018).

43 The Kermadec arc, offshore New Zealand, is host to 34 large volcanic complexes,  
44 of which 26 are hydrothermally active (de Ronde et al., 2001, 2003). Brothers submarine  
45 volcano was selected by the international science community as an ideal place to drill  
46 into a caldera to provide the missing link (i.e., the 3<sup>rd</sup> dimension) in our understanding of  
47 mineral deposit formation along arcs, the seafloor architecture of these volcanoes, and  
48 their related permeability (de Ronde et al., 2017).

#### 49 **BROTHERS VOLCANO AND ITS HYDROTHERMAL SYSTEMS**

50 Brothers volcano rises from a depth of ~2,200 m to a continuous caldera rim at  
51 1,540 m, shoaling to 1,320 m at its northwestern rim (Fig. 1). The 3–3.5 km diameter  
52 caldera floor is surrounded by 290–530 m high walls and contains an elongate NE-SW,  
53 1.5–2 km wide and 350 m high, post-collapse cone (Upper Cone) that shoals to 1,220 m;  
54 a smaller satellite cone (Lower Cone) overlaps its NE flank (Fig. 1) (de Ronde et al.,  
55 2005; Embley et al., 2012).

56 Brothers volcano hosts two active but very distinct types of hydrothermal systems  
57 (de Ronde et al., 2005, 2011). The first type is dominated by seawater-rock reactions and  
58 includes the active vent fields of the Upper Caldera, NW Caldera and W Caldera, and the  
59 inactive SE Caldera site (Fig. 1). This type is characterized by high-temperature  
60 ( $\leq 320^{\circ}\text{C}$ ), moderately acidic (pH = 3.2) fluids that contain modest gas abundances ( $\text{CO}_2 =$

61 13–40 mM), and Cu-Zn-Au-rich sulfide chimneys. By contrast, the second type is  
62 strongly influenced by magmatic fluids (largely gases) and includes the hydrothermal  
63 systems at the Upper and Lower Cones (Fig. 1). This type is characterized by lower-  
64 temperature ( $\leq 120^{\circ}\text{C}$ ), very low pH (to 1.9), gas-rich ( $\text{CO}_2 = \leq 206 \text{ mM}$ ) fluids, with  
65 native sulfur chimneys and extensive Fe-oxyhydroxide crusts (de Ronde et al., 2011).  
66 Vent fluid  $^3\text{He}/^4\text{He}$  values suggest that the heat driving both types is derived from the  
67 same underlying magma source, with fluids discharged from the Cone sites following a  
68 more direct pathway than those beneath the NW Caldera site (de Ronde et al., 2011).

## 69 **SAMPLING AND METHODS**

70 International Ocean Discovery Program (IODP) Expedition 376 drilled five sites  
71 on Brothers volcano between May and July 2018, recovering 222.4 m of core that  
72 consists largely of dacitic volcanoclastics (breccia) and lava flows. Alteration is pervasive  
73 and mineral assemblages are complex and variable, attesting to multifaceted and  
74 changeable hydrothermal systems. Here we focus on the three longest holes; Hole  
75 U1527C that cored 238 m below the NW Caldera rim on the western margin of the NW  
76 Caldera vent field; Hole U1530A that cored 453 m from immediately above an exposed  
77 stockwork zone in the central part of the NW Caldera vent field with active chimneys  
78 nearby, to near the bottom of the caldera; and Hole U1528D that cored 359 m through the  
79 Upper Cone from the floor of a  $\sim 25$  m diameter pit crater (Fig. 1; de Ronde et al., 2019a).

80 All analyses were conducted onboard the D/V *JOIDES Resolution*. Polished thin

81 sections were observed under both transmitted and reflected light using a polarizing  
82 microscope equipped with a digital camera for microphotography. X-ray diffraction data  
83 were generated by a Bruker D4Endeavor X-ray diffractometer using a generator voltage  
84 of 35 kV and current of 40 mA, and were evaluated against the International Center for  
85 Diffraction Data database for minerals using the Search/Match component of Bruker's  
86 EVA Diffraction Evaluation software.

87 Borehole fluids were analyzed by ICP-AES and gas chromatography following  
88 standard shipboard procedures described in Murray et al. (2000).

89 Fluid inclusions were measured using a USGS-adapted FLUID INC.  
90 heating/freezing stage. Wherever possible, inclusions from drusy crystals of translucent  
91 anhydrite, quartz, natroalunite or gypsum protruding into partially open vugs and  
92 fractures and/or in cross-cutting veins were analyzed in order to determine the present or  
93 most recent fluid temperatures and salinities involved in rock alteration (further details  
94 and images are available in the Data Repository).

## 95 **RESULTS AND DISCUSSION**

### 96 **Hydrothermal Alteration Mineral Assemblages**

97 Cores recovered from the NW Caldera hydrothermal field show alteration under  
98 three different conditions. The upper parts of Hole U1527C (to 185 meters below seafloor  
99 [mbsf]) and Hole U1530A (to 30 mbsf; Fig. 1) are characterized by a secondary mineral  
100 assemblage of goethite + opal CT + zeolites resulting from low-temperature (<150°C;

101 Steiner, 1953) reaction of rock with seawater. In Hole U1527C, this is underlain by a  
102 higher-temperature ( $\leq 250^{\circ}\text{C}$ ; Hemley et al., 1980) alteration assemblage dominated by  
103 chlorite + quartz + illite + pyrite (de Ronde et al., 2019a). In Hole U1530A, the low-  
104 temperature assemblage is underlain by a similar green-gray alteration assemblage of  
105 quartz + illite + chlorite  $\pm$  anhydrite  $\pm$  pyrite  $\pm$  sphalerite  $\pm$  smectite. Notably, a deeper  
106 alteration assemblage of diaspore + quartz + pyrophyllite  $\pm$  rutile  $\pm$  zunyite was identified  
107 in the lower part of Hole U1530A (from  $\sim 225$  mbsf; Fig. 2; de Ronde et al., 2019b)—  
108 indicative of still higher temperatures (i.e.,  $230\text{--}350^{\circ}\text{C}$ )—which formed through reaction  
109 of rocks with acid-sulfate fluids (Reyes, 1990; Stoffregen et al., 2000). In the deepest  
110 parts of Hole U1530A, these diaspore and/or pyrophyllite zones are intercalated and  
111 locally overprinted by chlorite and illite-bearing assemblages, indicative of reaction with  
112 a relatively high-temperature, seawater-dominated fluid.

113         Temperature profiles in Hole 1530A are concave with temperatures gradually  
114 increasing downhole, consistent with seawater recharge occurring in the system (de  
115 Ronde et al., 2019b). In addition, a rapid decrease of temperature (from  $94^{\circ}\text{C}$  to  $37^{\circ}\text{C}$ )  
116 with time was observed near the bottom of the hole after drilling was stopped. When  
117 combined with overprinting chlorite + illite and oxidized surfaces on almost all of the  
118 open fractures, this indicates permeable flow zones and the incursion of heated seawater  
119 since the formation of the higher-temperature diaspore/ pyrophyllite zones.

120         By contrast, the breccia and dacitic lavas recovered from the Upper Cone (Hole  
121 U1528D) have three different, often intercalated alteration assemblages, all of which



122 include variable proportions of illite, natroalunite, pyrophyllite, quartz, opal-CT, pyrite  
123 and native sulfur, as well as other accessory minerals like rutile (de Ronde et al., 2019c).  
124 As is the case near the bottom of Hole U1530A, these mineral assemblages also attest to  
125 high-temperature (230–350°C) reaction of rocks with acid-sulfate fluids that can be  
126 derived from the disproportionation of magmatic sulfur gases (e.g., SO<sub>2</sub> and H<sub>2</sub>S)  
127 (Giggenbach, 1997), with the presence of native sulfur clearly indicating a magmatic  
128 input (Giggenbach, 1996; Christenson et al., 2010). The intensely altered rocks present in  
129 Hole U1528D exhibit extreme depletion of major cation oxides, such as MgO, K<sub>2</sub>O, CaO,  
130 MnO and Na<sub>2</sub>O (for more information, see the Data Repository and de Ronde et al.,  
131 2019c).

### 132 **Borehole Fluid Compositions**

133 Three borehole fluid samples were collected from Hole U1528D at depths of  
134 ~160, ~279 and ~313 mbsf. Temperatures of 140°C, 212°C and >236°C for the samples,  
135 respectively, were determined by downhole logging. The fluids have nearly identical Ca,  
136 Br, and Mg contents, and are depleted in Na by 30-37% and in Cl by 12-16% relative to  
137 seawater (Fig. 3). They are gas-rich with high ΣH<sub>2</sub>S concentrations (14.6 mM), highly  
138 elevated ΣSO<sub>4</sub> contents (≤88.9 mM) and are very acidic (pH ≥1.8), characteristic of acid-  
139 sulfate fluids (for more information, see de Ronde et al., 2019c).

### 140 **Fluid Inclusion Data**

141 Fluid inclusion data derived from anhydrite, quartz and natroalunite crystals from both  
142 the Cone and NW Caldera boreholes fall into two distinct groups (Fig. 3); one population  
143 with lesser-than and up-to-3 times higher than seawater (3.2 wt.% NaCl equiv.) salinities,  
144 similar to the borehole fluids, and hypersaline brines (~32-45 wt.% NaCl equiv.; see Data  
145 Repository and de Ronde et al., 2019a, for further information). Curves have been  
146 calculated (Bischoff and Pitzer, 1989; Driesner and Heinrich, 2007) then plotted in Figure  
147 3, with the goal of describing formation mechanisms for the fluids trapped by the  
148 inclusions. Trajectory A derives from possible higher-temperature supercritical fluid  
149 condensation through cooling. Three other trajectories were calculated assuming phase-  
150 separation of heated seawater via depressurization at 380°C (255 bar; trajectory B) and  
151 400°C (281 bar; trajectory C), respectively, and 415°C (321 bar; trajectory D) for a 4.2  
152 wt.% NaCl equivalent fluid that represents the best fit for the most recent two-phase fluid  
153 inclusions seen the Cone site samples (Fig. 3). Hypersaline liquid condensed from the  
154 magmatic-hydrothermal interface is given by trajectory E to best explain the presence of  
155 hypersaline aqueous fluids in inclusions that include a vapor bubble, sulfur, and daughter  
156 minerals of sulfides and salts (see Data Repository). Isobaric phase separation (trajectory  
157 F) may cause a slight decrease in salinity within a narrow range of temperatures for the  
158 brine inclusions. These trajectories suggest that subcritical phase separation of seawater  
159 cannot produce the NW Caldera fluid inclusion compositions of >5 wt.% NaCl, nor the  
160 hypersaline brines. Rather, we suggest that inclusions with salinities >5 wt.% NaCl  
161 equivalent are derived from a fluid condensed from the supercritical region followed by

162 phase separation (trajectory D), whereas the hypersaline brine originated from either  
163 condensation of a single-phase fluid at higher temperatures and pressures at the  
164 magmatic-hydrothermal interface (Gruen et al., 2014), or exsolution from a silicate melt  
165 (Heinrich, 2007).

## 166 **LINKING HYDROTHERMALISM TO THE EVOLUTION OF BROTHERS**

### 167 **VOLCANO**

168         The downhole record of hydrothermal alteration at Brothers volcano revealed by  
169 drilling suggests a progression from an initially magmatically-influenced to a seawater-  
170 dominated hydrothermal system. A conceptual model (Fig. 4) links the changes in  
171 hydrothermal fluid regime to the evolution of the volcano caldera and explains how  
172 magmatic-hydrothermal systems can ultimately produce Cu-Au-rich VMS deposits. In  
173 the pre-caldera stage, the volcano hosts a hydrothermal system dominated by magmatic  
174 volatiles and metal-rich brines (Fig. 4A). Eruption conditions at Brothers (e.g., magma  
175 volatile contents, hydrostatic pressure at vent depth) combine to produce abundant  
176 volcanoclastics, including ubiquitous breccia. When combined with ‘damage zones’  
177 created as a result of large, post-eruption pressure transients (Cole et al., 2005), these key  
178 conditions strongly influence primary porosity, providing first-order control on alteration  
179 zonation. Initial expulsion of magmatic heat and volatiles is manifest by low-salinity,  
180 vapor-rich, metal-poor fluids, while magmatically-derived metal-rich brines are  
181 segregated and temporarily trapped within the breccia (Gruen et al., 2014; Weis, 2015).  
182 This is consistent with modeling experiments for porphyry Cu deposits that show

183 marginal near-vertical and carapace sub-horizontal alteration zones derive from  
184 hydrothermal fluids (Weis et al., 2012), similar to those depicted in Fig. 4. Caldera  
185 collapse then occurred after a singularly large, or series of volcanic eruptions, that  
186 deposited 185 m of the relatively fresh volcanoclastics intersected in Hole U1527C (Fig.  
187 4B). Post-collapse, a resurgent cone developed and hosted a new, magmatically-  
188 influenced hydrothermal system (Fig. 4C). Simultaneously, ingress of seawater occurred  
189 down faults marking the caldera wall (not shown) and along the base of the caldera, and  
190 reacted with the rocks and trapped brines, transporting the metals to the seafloor to form  
191 present-day Cu-Zn-Au-rich chimneys (Fig. 4C). We conclude that the preponderance of  
192 caldera volcanoes as hosts to intraoceanic arc VMS mineralization reflects a two-step  
193 process for their formation. Ancient arc-related VMS deposits in the geological record  
194 likely also formed via a similar mechanism.

195

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203

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309 **FIGURE CAPTIONS**

310 **Figure 1.** Map showing high-resolution (2 m) Autonomous Underwater Vehicle (AUV)-  
311 derived bathymetry of Brothers volcano caldera walls and resurgent cones overlain on 25  
312 m resolution ship-derived bathymetry for the caldera floor, upper caldera rim, and  
313 volcano flanks. The translucent areas depict zones of low magnetization intensity  
314 associated with hydrothermal fluid upflow zones (Caratori Tontini et al., 2012) and  
315 incorporate the known vent fields (with the exception of the Lower Cone), including:  
316 Upper Caldera; NW Caldera; W Caldera; SE Caldera (extinct) and Upper Cone. IODP  
317 Holes U1527C, U1528D and U1530A are the boreholes referred to in the text. The  
318 section shown in Fig. 4 is the same as the seismic section shown in de Ronde et al. (2017)  
319 for seismic line Bro-3, with Holes U1527C and U1530A projected onto the line.  
320 Transverse mercator projection, central meridian = 179°E.

321 **Figure 2.** Downhole distribution of primary (plagioclase and cristobalite) and alteration  
322 (others) minerals from Hole U1530A. Mineral abundances are semi-quantitative, and  
323 were determined by shipboard XRD analysis. Colors refer to different alteration types  
324 that are based on characteristic alteration mineral assemblages (see text). H<sub>2</sub>S odour  
325 (given by the yellow labels embedded in the Igneous unit column) was detected on a  
326 number of occasions throughout the drill hole. The different igneous units 1-5 are  
327 described in de Ronde et al. (2019b).

328 **Figure 3.** Fluid inclusion salinity (expressed as NaCl wt. % equiv.) vs. homogenization

329 temperatures and corresponding enthalpy of NaCl-H<sub>2</sub>O (Bischoff and Rosenbauer, 1985;  
330 Tanger and Pitzer, 1989). Borehole fluid salinity is plotted at logged temperatures. The  
331 plot is divided into subcritical and supercritical regions by the critical line (dashed) with  
332 phase separation equations and fluid properties, and critical and halite liquidus curves  
333 calculated from Driesner and Heinrich (2007 and references therein) and boiling curve  
334 equations adapted from Henley et al. (1984). Bold dashed line demarcates seawater  
335 salinity of 3.2 wt. % NaCl equivalent. Phase separation within the subcritical regions  
336 consists of; (A) vapor condensation through cooling, (B-D) boiling, or flashing (i.e.,  
337 vapor loss) with depressurization, (E) three-phase condensation of liquid, vapor and solid  
338 (halite) and (F) isobaric phase separation—see text.

339 **Figure 4.** Schematic depicting the evolution of the caldera and hydrothermal system at  
340 Brothers volcano. A. Thermal model depicting isotherms (in °C) for the initial  
341 stratovolcano that was host to a magmatic-hydrothermal system dominated by magmatic  
342 gases (pink arrows), which likely breached the seafloor, later mantled by volcanic  
343 material from a single large, or series of eruptions, that was followed by caldera collapse.  
344 Cross hatching denotes brines and/or magmatic salt. B. Main-stage caldera collapse.  
345 Schematic shows alteration model (zonation) that is compressed and/or truncated  
346 adjacent to the caldera walls. Red triangles represent a dismembered dike; long black  
347 dashes, the base of the caldera (de Ronde et al., 2017). C. Thermal model for the post-  
348 collapse, resurgent cone (Upper Cone) as it progressively built up from the caldera floor  
349 (smaller dashes), itself host to a magmatic-hydrothermal system. Heat from the magma

350 supplying the Cone also drives seawater circulation through faults along the caldera wall.  
351 Blue arrows depict the recharge of seawater in the system, utilizing faults marking the  
352 caldera walls (not shown) and higher porosity zones in the caldera floor and Cone. Red  
353 arrows denote heated (modified) seawater after it has interacted and/or exchanged with  
354 previously deposited metal-rich brines, transporting Cu-Zn-Au mineralization to the  
355 seafloor. Scale 1:1. Images shown are representative of alteration assemblages found  
356 within an individual borehole (de Ronde et al., 2019a). Mineral abbreviations given in the  
357 legends relate to the dominant and/or presence of a diagnostic mineral for a particular  
358 alteration zone: an, anhydrite; ba, barite; chl, chlorite; dia, diasporite; goe, goethite; ill,  
359 illite; mor, mordenite; natro, natroalunite; op, opal-CT; py, pyrite; pyr, pyrophyllite; qtz,  
360 quartz; sul, sulfur; sm, smectite; sph, sphalerite; rut, rutile; zun, zunyite.

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362 <sup>1</sup>GSA Data Repository item 201Xxxx, which consists of a list of the IODP Expedition  
363 376 Scientists, details of methods and equipment used to determine alteration mineral  
364 paragenesis and geochemical analysis, methods and images of fluid inclusions analysis,  
365 and an explanation of the data and methods used in construction of the model presented  
366 in the paper, is available online at [www.geosociety.org/pubs/ft20XX.htm](http://www.geosociety.org/pubs/ft20XX.htm), or on request  
367 from [editing@geosociety.org](mailto:editing@geosociety.org) or Documents Secretary, GSA, P.O. Box 9140, Boulder,  
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