

ACCEPTED MANUSCRIPT

1 **Burden of Pneumococcal Community-Acquired Pneumonia in Adults Across Europe:**
2 **A Literature Review**

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4 Antoni Torres^a, Catia Cillóniz^a, Francesco Blasi^b, James D Chalmers^c, Jacques Gaillat^d,
5 Nathalie Dartois^e, Heinz-Josef Schmitt^e, Tobias Welte^f

6

7 ^a Department of Pulmonology, Hospital Clínic, Universitat de Barcelona, IDIBAPS,
8 CIBERES, Barcelona, Spain

9 ^b Department of Pathophysiology and Transplantation, Università degli Studi di
10 Milano and Internal Medicine Department, Respiratory Unit and Adult Cystic Fibrosis
11 Center Fondazione IRCCS Cà Granda Ospedale, Maggiore Policlinico, Milano, Italy

12 ^c College of Medicine, University of Dundee, Ninewells Hospital and Medical School,
13 Dundee, DD1 9SY, UK

14 ^d Infectious Diseases Department Centre Hospitalier Annecy Genevois, 1 avenue de
15 l'Hôpital, 74374 Pringy, France

16 ^e Pfizer Vaccines, Medical and Scientific Affairs, 23–25 avenue du Dr. Lannelongue, F-
17 75668 Paris Cedex 14, France

18 ^f Department of Respiratory Medicine, Medizinische Hochschule, Carl-Neuberg-Str. 1,
19 30625 Hannover, Germany

20

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24 **Corresponding author:** Antoni Torres

25 Department of Pulmonology, Hospital Clínic

26 c/ Villarroel 170; 08036 Barcelona, Spain

27 Email: atorres@clinic.cat; Tel: +34 93 227 5779; Fax: +34 93 227 9813

28 **Abstract**

29 **Background:** The burden of community-acquired pneumonia (CAP) caused by
30 *Streptococcus pneumoniae* (pneumococcus) among adults in Europe is poorly defined.

31 **Methods:** Structured searches of PubMed were conducted to identify the incidence of
32 pneumococcal CAP among adults across Europe.

33 **Results:** The overall incidence rates for CAP was 68–7000 per 100,000 and the
34 incidence in hospitalized CAP cases of all causes was 16–3581 per 100,000. In general
35 the incidence of CAP increased consistently with age. Available data indicated higher
36 burdens of pneumococcal CAP caused in groups with more comorbidities. Most cases
37 of pneumococcal CAP (30% to 78%) were caused by serotypes covered by PCV13
38 vaccine; the incidence of PCV13-related pneumonia decreased after the introduction
39 of childhood vaccination.

40 **Conclusions:** We observed a high burden adult pneumococcal CAP in Europe despite
41 use of the 23-valent pneumococcal polysaccharide vaccine, particularly in elderly
42 patients with comorbidities. CAP surveillance presented wide variations across Europe.
43 Pneumococcal CAP has to be monitored very carefully due to the possible effect of
44 current vaccination strategies.

45

46 Introduction

47 Pneumonia is an important infectious disease associated with high morbidity, mortality
48 and health costs worldwide (1,2). In 2015, data from the Global Burden of Disease
49 study reported that lower respiratory tract infections, including pneumonia, were the
50 third most common cause of death globally, exceeded only by ischaemic heart disease
51 and cerebrovascular disease (3). Community-acquired pneumonia (CAP) remains the
52 main cause of death from infectious disease globally, and is associated with
53 considerable impact on morbidity and mortality, especially in older groups in which
54 studies have linked the risk of death to increasing age (1,4,5).

55 In general, and despite improved microbiological diagnostic technologies, the
56 causative pathogen cannot be identified in approximately 50% of CAP cases (6,7).
57 Nevertheless, the most frequently identified pathogen in CAP, regardless of setting,
58 age or comorbidity, is still *Streptococcus pneumoniae* (pneumococcus) (4,6). This
59 bacterium has more than 90 serotypes, of which some are associated with severe
60 disease, high invasiveness, high case fatality and antimicrobial resistance. These
61 characteristics have led to challenges in vaccine design, because their impact on
62 preventing pneumococcal infection depends on the coverage of serotypes associated
63 with invasive or resistant disease.

64 Pneumonia, especially pneumococcal CAP, causes significant morbidity and economic
65 burden in adults (8). However, its incidence is decreasingly reported in the United
66 States, where recent published studies have indicated that 5%–15% of pneumonia
67 cases were caused by pneumococcus(9). The major factors influencing this decrease
68 are the universal introduction of the conjugate pneumococcal vaccination in children
69 and adults (10) coupled with the decreased rate of smoking (11,12). By contrast,

70 pneumococcus remains the most frequent CAP pathogen in Europe, accounting for
71 19% (range: 0%–67%) of cases in meta-analyses (6,8,13,14). The model of universal
72 vaccination is important because adults, especially those with chronic diseases, are at
73 increased risk of pneumococcal CAP and disproportionately affected by increased
74 mortality and decreased quality of life (1,15).

75 The introduction in 1983 of the 23-valent pneumococcal polysaccharide vaccine
76 (PPSV23) led to an overall reduction in invasive pneumococcal disease (IPD) in most
77 adult, excluding those with immunocompromised patients(16). Furthermore, the
78 effectiveness of PPSV23 appears to decrease with age and time since vaccination, its
79 use has no significant effect on *S. pneumoniae* carriage, and its efficacy against non-
80 invasive pneumococcal CAP is contentious (17–19). The 13-valent pneumococcal
81 conjugate vaccine (PCV13); comprising serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C,
82 19A, 19F and 23F, has been licensed in Europe since 2011 for adults aged ≥ 50 years,
83 based on serologic noninferiority data (20). Recently, a proof-of-concept study in
84 adults aged ≥ 65 years demonstrated that the PCV13 vaccine had efficacy values of 45%
85 to 46% for confirmed vaccine-type CAP and non-bacteraemic non-invasive CAP, but a
86 vaccine efficacy of 75% for vaccine-type IPD (not including meningitis) (21). In the
87 United Kingdom, 8 years of vaccination with the 7-/13-valent pneumococcal conjugate
88 vaccines (PCV7/PCV13) in children has seen a $>50\%$ overall reduction in the incidence
89 of IPD for all ages (22). For adults, recommendations across the European Union now
90 favour the use of PCV13 (with or without additional dose[s] of PPSV23) (23); PCV13 has
91 recently been recommended for use alongside PPSV23 for pneumococcal disease
92 prevention in US adults ≥ 65 years (24).

93 It is important to know the true incidence of pneumococcal CAP in Europe to inform
94 effective management and prevention strategies. Our objective in this review was to
95 summarise the available data regarding the incidence of pneumococcal CAP in
96 European adults. We included cases in both non-hospitalised and hospitalised patients,
97 as well as those caused by *S. pneumoniae*. A secondary objective was to assess the rate
98 of pneumococcal CAP caused by serotypes covered by PCV13 vaccine.

99 **Methods**

100 The PubMed database was searched using the following search string: "Pneumonia"
101 AND English AND ("Pneumococcal" OR "Community-acquired" OR "Streptococcus" OR
102 "Community acquired" OR "CAP" OR "Hospitalised" OR "Hospitalised") AND ("Adults"
103 OR "Adult" OR "Elderly" OR "Middle Aged" OR "Older"). Search results were restricted
104 to clinical trials, letters, meta-analyses, observational studies and systematic reviews
105 performed in European countries, as summarised in Table 1. We also include relevant
106 citations that described studies conducted in Europe to obtain data about the
107 incidence of pneumonia and/or coverage of PCV13 in individuals aged ≥ 18 years.

108 Titles and abstracts were initially screened to identify relevant citations, which were
109 then reviewed in full by two authors. We included all publications presenting data on
110 pneumonia, regardless of definition, and reviewed the study setting, methodology,
111 and characteristics of the study population. The definition of pneumococcal
112 pneumonia varied between publications, 35 studies used the World Health
113 Organization International Classification of Diseases (ICD) codes, microbiological
114 findings without radiographic diagnosis was used in 12 studies, and 3 used medical
115 records only, other 3 studies did not specify the definition. Invasive pneumococcal

116 disease (IPD) was defined when isolation of *Streptococcus pneumoniae* from the blood
117 or another normally sterile body site was reported. All authors confirmed the inclusion
118 of the identified publications. Publications reporting data in only paediatric
119 populations were excluded; as were those focusing solely on hospital- or healthcare-
120 acquired pneumonia (the incidence of such pneumonia is highly dependent on
121 healthcare systems and medical practices). Relevant data from all identified sources
122 were inserted into summary tables, including the study country, the study type, the
123 microbiology and serotyping methodologies, the definition of pneumonia, the ages of
124 participants and the year of study.

125 The principal summary measure was the annual incidence of pneumonia per 100,000
126 populations in each of the study countries. The following annual incidences were of
127 interest: all-cause pneumonia, pneumococcal pneumonia, non-hospitalised
128 pneumonia, hospitalised pneumonia and hospitalised pneumococcal pneumonia.
129 Additional summary measures were 1) the percentage of cases of pneumococcal CAP
130 caused by serotypes covered by PCV13 vaccine and 2) the pneumococcal vaccination
131 uptake.

132

133 **Results**134 **Studies included**

135 We identified 2717 articles and included 73 publications covering 16 European
136 countries after screening, (Figure 1) (5, 16, 20, 24–94). These publications are
137 summarised in online supplementary Table S1. Of the included publications, 31 were
138 prospective or surveillance (5,21,25,28,30,33,38,40,41,46,49,50,58,62,63,65–72,78–
139 83) and 42 were retrospective analyses (26,27,29,31,32,34–37,39,42–45,47,48,51–
140 57,59–61,64,73–77,84–93).

141 National population-based epidemiologic surveillance data for pneumonia were
142 available from Norway (54), Portugal (58) and Spain (59,75,77). As observed by
143 Tichopad and colleagues (29), epidemiologic data in Central and Eastern Europe were
144 predominantly collected from hospital settings, and there was a lack of data on
145 outpatient CAP, with the authors instead using inpatient-to-outpatient ratios from a
146 retrospective chart review to estimate outpatient incidence in the Czech Republic,
147 Hungary, Poland and Slovakia. Three studies specified the number of patients with
148 prior pneumonia before the index case(31,85,90).

149 The countries with the most data sources were Spain (23 studies plus an additional
150 study combining Italian and Spanish data) (5,25,28,49,59–78) and the United Kingdom
151 (14 studies)(80–88) followed by Italy (7 plus the additional study combining Italian and
152 Spanish data) (42–49), the Netherlands (6 studies)(21,27,50–53), Germany (26,37–40)
153 and France (34–36,94,95) (5 studies each), Denmark (30–32) and Poland (29,55,56) (3
154 studies each), and Portugal (2 studies)(57,58). Single studies were identified reporting
155 data from the Czech Republic (29), Finland (33), Greece (41), Hungary (29), Norway

156 (54), Slovakia (29), and Sweden (79). Data from other European countries were not
157 found.

158 The definition of pneumonia varied between publications, with some authors using a
159 combination of methods. Specifically, 25 of the 73 publications used radiographic
160 diagnosis in all or most cases (5,20,27,29,30,32,35,36,40,45,48,49,60,64,66,67,70,71,
161 77,79–82,93,94), 35 used the World Health Organization International Classification of
162 Diseases (ICD) codes (5,25–27,29,31,32,34,37,39,42,43,45,47,48,53–
163 57,59,60,63,69,70,75–77,79,86,89–93), 12 used clinical signs and/or microbiological
164 findings without radiographic diagnosis (35,40,51,52,62,64,66,73,74,81–83), 3 used
165 medical records only (84,87,88), and 3 did not specify the definition (29,58,85).

166 **Burden of Disease**

167 Data on the incidence of pneumonia are presented in online supplementary Table S2.
168 The incidence of all-cause pneumonia in Europe was 68–7000 per 100,000 population,
169 but this varied by country, age group, study and time period (Table 2) (5,25,28,29,35–
170 37,39–41,46,49,51,53,55,64,69,72,84,88,89,91,93). The lowest incidence of all-cause
171 pneumonia was reported in Spain between 1999 and 2001 in patients aged 15–44
172 years (72), and the highest incidence was reported in France between 2011 and 2012
173 in patients aged >65 years (35).

174 Spain reported the highest incidence rates for pneumococcal CAP (166 per 100,000)
175 (25) and IPD (or invasive pneumococcal CAP; 60 per 100,000)(69) in patients aged ≥60
176 and ≥65 years, respectively.

177 Data from national population based epidemiological surveillance for pneumonia were
178 reported by Spain, Portugal and Norway. The Norway surveillance (54) reported the

179 incidence of pneumonia of two years (2008- 2009). They observed a relative stable
180 incidence of all cause pneumonia, in 2008 the incidence was 5.28 cases per 100,000
181 and in 2009 were 5.35 cases per 100,000. However, they observed a decrease in the
182 incidence of pneumococcal pneumonia from 13.66 cases per 100, 000 in 2008 to 10.52
183 cases per 100,000 in 2009. Data from Portugal surveillance (57) in the a study period
184 between 2000 to 2009, reported that the average annual rate of hospital admissions
185 for adults with CAP was 3.61 per 1000 total population, this rate increased in those
186 aged ≥ 65 years to 13.4 per 1000 . The authors reported that between 2000-2004 and
187 2005-2009 the average annual rate of hospital admission for CAP per 1000 population
188 increased by 28.2%.

189 Data from Spain surveillance was reported in 3 studies: The first study (77) include
190 data from the year's 1995 y 1996. The incidence of hospitalizations for pneumonia was
191 162 per 100,000 population in the year 1995 and 189 cases per 100,000 population in
192 1996. Adults ≥ 65 years accounted for 49.5% of cases. The second study (75) covered
193 the period 1995 to 1998. The annual incidence of pneumonia was 177 cases per
194 100,000 population. The incidence was higher in children < 5 years of age and in adults
195 ≥ 65 years compared with other age groups. The third study (59) covered the period
196 2003 to 2007. The annual hospitalisation rate for all cause pneumonia was 6.27 cases
197 per 1000 and the incidence of pneumococcal pneumonia was 1.09 cases per 1000.

198 The highest incidence of non-hospitalised CAP (3575 per 100,000) was reported in
199 Hungary in patients aged ≥ 65 years (29). The incidence of hospitalisation due to CAP
200 was 16 to 3581 per 100,000 population and varied by country, age group, study, and
201 time period (Table 2) (26,29,31,33,34,42,44,49,53,54,56,57,59,67,70,75,79,80,92,93).

202 The lowest incidence of hospitalised CAP was reported in the United Kingdom between
203 2008 and 2010 in patients aged 16 to 24 years (80), and the highest incidence was
204 reported in Germany in 2005 to 2006 in patients aged ≥ 90 years (26) (Table 2).

205 For hospitalised pneumococcal CAP, the highest incidence (421 per 100,000
206 population) was reported in Spain in patients aged ≥ 85 years (96) (Table 2). Data on
207 hospitalised IPD or invasive pneumococcal CAP were only available from Spain,
208 however, with the incidence ranging from 7 per 100,000 population in patients of all
209 ages (74) to 45 per 100,000 population in patients aged > 64 years (70) (Table 2).

210 The incidence rates for all-cause pneumonia, pneumococcal CAP, non-hospitalised
211 CAP, hospitalised CAP and hospitalised pneumococcal CAP all increased with age
212 (Supplemental Table S2) (5,26–29,31,34–36,39,40,44,46,51,53–57,60,64,67,70–72,74–
213 77,80,88,89,91–93,96).

214 We observed temporal trends between countries. An increase in the incidence of
215 pneumonia over time was observed in the Netherlands (53) and there was a decrease
216 over time in Poland (55). An increase incidence in hospitalised pneumococcal CAP was
217 reported in Spain over time (73). Similarly, there were increases in the incidence of IPD
218 over time in France(36) and the United Kingdom(91), though there was a decrease in
219 pneumococcal CAP in the Netherlands(51). Increases in the incidence rates of
220 hospitalised CAP were also reported over time in Denmark (31), Germany (26), the
221 Netherlands (53), Portugal (57), and the United Kingdom (91,92). However, there was
222 only minimal or variable change in France (34) and Italy (43,47,48), and a minimal
223 change or decrease in Norway that was dependent on age (54). There was an increase
224 in hospitalised pneumococcal CAP in Spain (67), a minimal change in France (34), and a

225 decrease in Norway (54) and the United Kingdom (83).

226 Associations between the incidence of pneumonia and comorbidities were reported in
227 11 publications, including solid organ and allogeneic haematopoietic stem cell
228 transplantation (49,68), systemic lupus erythematosus (52), chronic medical conditions
229 that that require pneumococcal vaccination (39), HIV infection (73,94,95), pernicious
230 anaemia (85), diabetes (86,87), and chronic obstructive pulmonary disease (90) (Online
231 supplementary Table S3). Patients with chronic diseases presented the highest
232 pneumonia incidence rates, with the highest all-cause incidence reported for
233 allogeneic haematopoietic stem cell transplantation recipients in Spain (aged >14
234 years, 52,200 per 100,000) (68) and the highest hospitalised incidence reported in
235 older male patients with type 2 diabetes in the United Kingdom (aged ≥65 years, 1070
236 per 100,000) (87).

237 **Pneumococcal Serotypes Covered by the PCV13 Vaccine**

238 Data on pneumococcal infection caused by serotypes covered by PCV13 vaccine in
239 European countries are show in Online Supplementary Table S4. Twenty-three
240 publications reported data on pneumococcal serotypes and outcomes
241 (21,30,33,36,38,40,50,51,54,58,61,62,65–67,69,70,73,80–83,91), but the method for
242 serotyping varied between studies, including urinary antigen testing (3 studies)
243 (21,38,50), agglutination testing (7 studies) (30,36,61,80–83), the Quellung reaction (7
244 studies) (30,40,50,51,65,67,69), polymerase chain reaction (PCR; 3 studies)(65,66,70),
245 and the capsular reaction test (1 study) (58) (Online Supplementary Table S1).

246 Studies from Finland (33) and the United Kingdom(83) reported the highest
247 percentages of CAP caused by pneumococcal serotypes covered by the PCV13 vaccine

248 (78% per study). In a Spanish study of patients with cancer who developed
249 pneumococcal bacteraemia, pneumonia was the most frequent source in 84% of cases,
250 and 54% of cases were caused by serotypes covered by the PCV13 vaccine (65).
251 Researchers from the United Kingdom investigated the impact of PCV13 on
252 pneumococcal serotypes implicated in a predominantly non-bacteraemic cohort of
253 pneumococcal CAP adults. In that study, it was reported that the incidence of
254 pneumonia caused by serotypes included in PCV13 vaccine declined from 10.6 to 6.3
255 per 100,000 population over the 5-year period after the PCV13 was introduced as part
256 of the childhood vaccination schedule, suggesting that herd protection from infant
257 PCV13 affected the incidence of adult non-bacteraemic pneumococcal CAP (83).

258 Data on the efficacy of PCV13 vaccine was specifically reported in one study. A
259 randomised, double-blind, placebo-controlled trial (the Community-Acquired
260 Pneumonia Immunization Trial in Adults [CAPiTA]) was conducted in the Netherlands.
261 This involved 84,496 adults aged 65 years and over during the period from 2008 to
262 2013, reported an efficacy of 45.5% (95.2% confidence interval [CI], 21.8–62.5; $p <$
263 0.001) for PCV13 against all vaccine-type pneumococcal CAP, a 45% efficacy (95.2% CI,
264 14.2–65.3; $p <$ 0.001) against vaccine-type non-bacteraemic pneumococcal CAP and a
265 75% efficacy (95.2% CI, 41.4–90.8; $p <$ 0.001) against vaccine-type IPD among adults
266 aged \geq 65 years (21).

267 Data on vaccination uptake are shown in online supplementary Table S5. However,
268 detailed stratification for the incidence of pneumonia relative to PCV13 uptake in
269 these studies is beyond the scope of this review. Of note, based on the limited data
270 identified in our review, there has been large variation in the reported uptake of the

271 pneumococcal vaccination (45,49,60,79–83).

272 **Discussion**

273 This review showed a notable burden of pneumococcal CAP in European adults,
274 particularly among the elderly. Data on comorbidities were limited, but suggested a
275 high incidence of pneumonia in patients with chronic diseases. Older patients are
276 especially vulnerable to pneumonia because of both age-related changes in the
277 immune system and a greater prevalence of chronic diseases. For this reason, it is
278 difficult to determine the precise cause of increased risk in these patients. However,
279 the disproportionate burden of pneumococcal disease in older patients should remind
280 us that the incidence of pneumonia and risk of death remain linked to increasing age
281 (4,5,28,34). These data support the importance of adequate prevention against
282 pneumococcal CAP, especially in the elderly population.

283 The incidence of adult all-cause CAP decreased in the United Kingdom (where infant
284 PCV uptake is >90%) from 91 to 65 cases per 100,000 between 2008 and 2012 (83)
285 after the introduction of the paediatric PCV13 vaccine. However, despite this, there
286 remains a substantial burden of pneumococcal CAP caused by serotypes covered by
287 PCV13 vaccine (i.e., 30%–78%) (42,43,45), even after the introduction of the PCV to
288 infant vaccination schedules in 2005 (42,97). The level of paediatric vaccination uptake
289 required to produce herd immunity in other age groups is currently unknown,
290 although data from the UK and US indicate substantial reductions in IPD associated
291 with universal childhood PCV vaccination (22,98). A study from US that evaluated the
292 direct and indirect effects of PCV13 reported that the introduction of PCV13
293 substantially reduced the numbers of patients with IPD, non-invasive CAP and all-cause

294 CAP in both vaccinated children and unvaccinated adults. Nevertheless, because the
295 study considered only the first 2 years after the introduction of PCV13, a period in
296 which approximately 50% of children in the US received the vaccine, the true effect of
297 PCV13 on pneumococcal diseases was not fully measured (99). Notably, there are a
298 lack of data on the overall incidence and prevalence of pneumococcal CAP caused by
299 serotypes covered by PCV13, including the incidence in patients with comorbidities.

300 Our review identified variability in the reporting of pneumococcal incidence across
301 European countries, including a lack of studies from several countries, with differences
302 in the reported methodologies used and outcomes measured. The resulting absence of
303 comprehensive and reliable data on pneumococcal CAP is of concern. For instance, the
304 lack of such data may lead to decisions regarding vaccination programmes being
305 reliant on IPD data, thereby underestimating the true burden of pneumococcal
306 disease. In the United Kingdom, for example, data from 2013 to 2014 showed that the
307 incidence of IPD caused by PCV13 serotypes was 4.3 per 100,000 in patients aged ≥ 65
308 years (22), and that in the same period, the incidence rates for hospitalised adults
309 were 20.6 per 100,000 for those with pneumococcal CAP (including non-invasive
310 disease) and 8.6 per 100,000 for those with pneumococcal CAP caused by PCV13
311 serotypes (100). Underestimation of non-bacteraemic pneumococcal CAP may also
312 result from a lack of appropriate diagnostic tools. If 10% to 20% of patients with
313 pneumococcal CAP have bacteraemia, preventing 5% of all cases may have more of an
314 impact than preventing 75% of patients with IPD.

315 In this review, the reported incidence of pneumonia varied widely between countries
316 and across regions within countries, with socioeconomic effects likely to be

317 contributory (88). Only Norway (54) Portugal (57) and Spain (59, 75,77) reported data
318 from population based studies. The differences in the incidence and CAP and PCAP
319 between these countries are difficult to interpret and might be due to differences in
320 the populations studied. In addition some factors that contribute to these differences
321 include the impact of lifestyle factors such as smoking, high alcohol intake, being
322 underweight, living in a large household or having regular contact with children (101).
323 Also, the national immunization practices that in some regions widespread the use of
324 pneumococcal polysaccharide vaccine in adults and the use of conjugate
325 pneumococcal vaccine in children could influence the reported incidence of
326 pneumococcal CAP(102). Similarly, the use of influenza vaccination in adults could
327 influence variations in the incidence of pneumonia(103). Also, much of the variation is
328 likely to have been due to differences in medical systems and practices, rather than
329 differences in underlying epidemiology. Indeed, research highlights the difficulties in
330 estimating the incidence of pneumonia in the community. In Germany, for example,
331 four estimation approaches yielded different annual incidence rates for pneumonia in
332 adults, ranging from 370 to 1230 per 100,000 inhabitants in an urban area(37). In that
333 study, the incidence based on cases reported in general practice was thought to give
334 an underestimate because of underreporting and inaccurate estimation of the
335 population size (lack of patient registration in medical practices). In addition, the
336 incidence of hospitalised CAP depends on the structure of the primary and secondary
337 healthcare systems (60). Countries with uniformly organised healthcare systems (e.g.,
338 Denmark) are able to collect data for hospitalisation (31), which facilitates the
339 development of population-based designs. Furthermore, although every attempt was
340 made to exclude studies from our review that included patients with hospital-acquired

341 pneumonia, we cannot exclude the possibility that at least some of the cohorts
342 included patients who did not have CAP.

343 Classification schemes varied between the publications included in our review. Many
344 studies used ICD-9 or -10 codes, which offer different coding for pneumococcal
345 pneumonia. ICD-9 codes for pneumococcal pneumonia have been associated with low
346 sensitivity (104), and consequently, the hospitalised pneumonia incidence may have
347 been underestimated. However, the ICD-10 coding for pneumonia does not necessarily
348 imply microbiological confirmation (54). Accuracy depends on initial coding, and
349 studies have demonstrated the potential for inaccuracies in administrative data
350 (105,106).

351 A wide range of microbiological methods were used to diagnose pneumococcal CAP
352 and identify serotypes in the studies in this review. These methods included standard
353 culture and PCR-based methods for bacterial identification, as well as agglutination
354 techniques and the Quellung reaction for serotyping. More recently, urinary antigen
355 tests have become available, which facilitate identification of pneumococcal infections
356 and may increase the accuracy in determining the burden of pneumococcal CAP.

357 In conclusion, this review of the incidence of pneumococcal CAP in European adults
358 highlights the considerable variation in the types of studies and methodologies used
359 between and within European countries, including the lack of surveillance
360 programmes. Nevertheless, the available data demonstrate the significant burden of
361 pneumococcal CAP, especially in the elderly. Given that pneumococcal CAP in the
362 elderly increases the risk of mortality three-fold compared with non-pneumococcal
363 CAP, underestimating the incidence of pneumococcal disease could have a major
364 impact on healthcare outcomes. Pneumococcal CAP has to be monitored very carefully
365 due to the possible effect of current vaccination strategies.

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730 **Table 1. Search Terms and Limits**

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732 **Search terms:** "Pneumonia" AND ("Pneumococcal" OR "Community-acquired" OR
 733 "Streptococcus" OR "Community acquired" OR "CAP" OR "Hospitalized" OR
 734 "Hospitalised") AND ("Adults" OR "Adult" OR "Elderly" OR "Middle Aged" OR
 735 "Older") AND ("Albania" OR "Andorra" OR "Armenia" OR "Austria" OR "Azerbaijan"
 736 OR "Belarus" OR "Belgium" OR "Bosnia and Herzegovina" OR "Bulgaria" OR
 737 "Croatia" OR "Cyprus" OR "Czech Republic" OR "Denmark" OR "England" OR
 738 "Estonia" OR "Europe" OR "European Union" OR "Finland" OR "France" OR
 739 "Georgia" OR "Germany" OR "Great Britain" OR "Greece" OR "Hungary" OR
 740 "Iceland" OR "Ireland" OR "Italy" OR "Kazakhstan" OR "Kosovo" OR "Latvia" OR
 741 "Liechtenstein" OR "Lithuania" OR "Luxembourg" OR "Macedonia" OR "Malta" OR
 742 "Moldova" OR "Monaco" OR "Montenegro" OR "Netherlands" OR "Northern
 743 Ireland" OR "Norway" OR "Poland" OR "Portugal" OR "Romania" OR "Russia" OR
 744 "San Marino" OR "Scotland" OR "Serbia" OR "Slovakia" OR "Slovenia" OR "Spain"
 745 OR "Sweden" OR "Switzerland" OR "Turkey" OR "Ukraine" OR "United Kingdom" OR
 746 "UK" OR "Vatican City" OR "Wales")

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748 **Search limits:** Humans; Published from 1 January 2000 to 31 October 2016

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Table 2. Incidence of pneumonia in European countries per 100,000 per year

Country	Non-hospitalized	Hospitalized	Pneumococcal CAP	All cause pneumonia
CZ: Czech Republic	≥ 50 years: 300 ≥65 years: 370	≥ 50 years: 472 ≥65 years: 833	-	-
DK: Denmark	-	15-39 years: 2800 ≥80 years: 2003	-	≥65 years: 1270
DE: Germany	-	≥60 years: 765	≥65 years: 16.2 (IPD)	18-49 years:441 50-59 years: 684 ≥60 years:1439
FR: France	Adults: 400	-	Adults: 7.4 (IPD) Adults: 17.41	-
FI: Finland	-	-	≥65 years: 95	≥65 years : 550
GR: Greece	-	-	-	≥ 50 years: 274

HU: Hungary	≥ 50 years: 3.346 ≥65 years: 3.575	≥ 50 years: 832 ≥65 years: 1.414	-	-
IT: Italy	-	-	13.4	Adults: 320.1
NL: Netherlands	Adults: 109	Adults: 176	≥65 years: 47.11 (2004-2006) ≥65 years: 36.66 (2008-2012)	Adults: 295 ≥65 years: 881
NO: Norway	-	Adults: 531	Adults: 12.09	-
SK: Slovakia	≥ 50 years: 587 ≥65 years: 771	≥ 50 years: 518 ≥65 years: 938	-	-
PL: Poland	≥ 50 years: 316 ≥65 years: 442	≥ 50 years: 366 ≥65 years: 706	-	-
PT: Portugal	-	Adults: 361	-	-

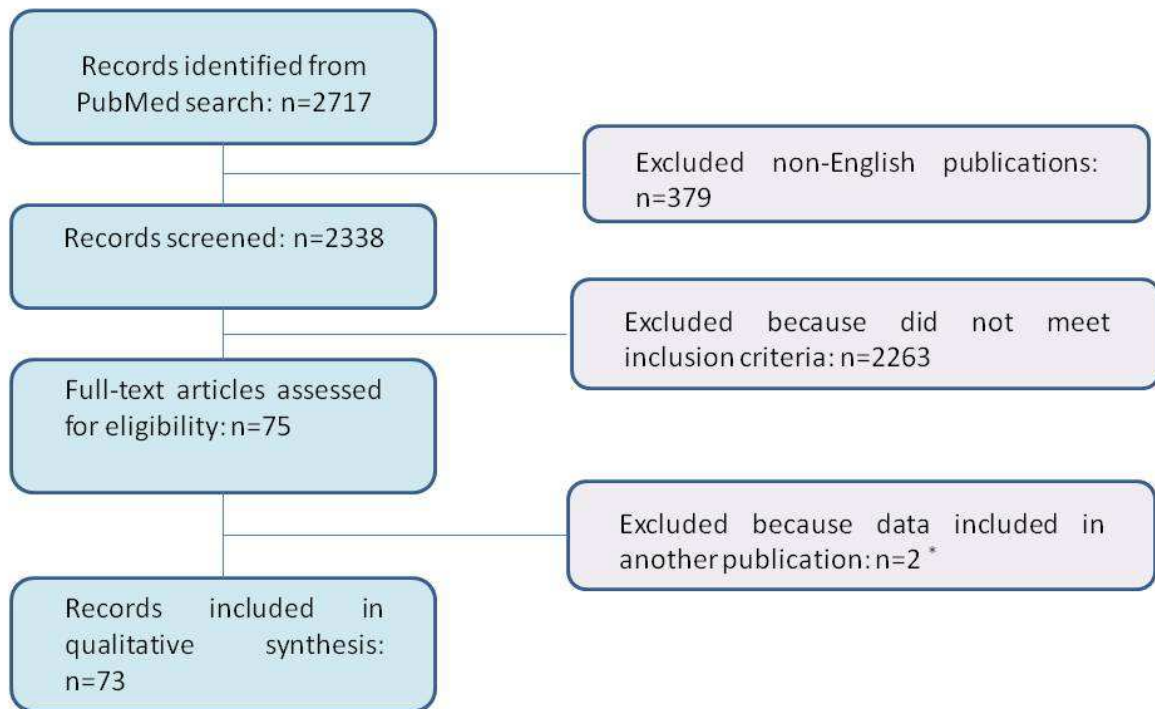
		≥65 years: 1340		
SE: Sweden	-	65-79 years: 107.6 ≥80 years: 510.6	-	-
ES: Spain	2002-2005 Adults: 350	1995- 1996 ≥65 years: 523 1995-1998 ≥80 years: 998 1999- 2001 Adults: 123 ≥75 years: 526 2002-2005 Adults: 1050 2003 – 2007 Adults: 627	1999- 2001 Adults: 20.7 ≥75 years: 10.0 2009 Adults >64 years: 44.7 (IPD) 2003 – 2007 Adults: 109	2002-2005 Adults:140 65-74 years: 99 ≥85 years:294

GB: United Kingdom	-	Adults: 79.9	Adults: 23.4 Adults IPD: 14.1 16-44 years: 12.1 ≥85 years: 274.1	Adults: 799

Note: values are the mean reported in the identified publications for each respective country. Does not include patients with comorbidities or the combined study from Italy and Spain⁴⁸, and values are irrespective of sex. Refer to Supplemental Table S1 and Supplemental Table S2 for additional details regarding each publication. IPD: invasive pneumococcal disease.

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Figure 1. Summary of the study selection procedure.



* Reported identical pneumonia incidence data

Highlights

- The data available demonstrate the significant burden of pneumococcal CAP in European adults, especially in the elderly.
- Pneumococcal CAP in the elderly increases the risk of mortality three-fold compared with non-pneumococcal CAP.
- Pneumococcal CAP has to be monitored very carefully, due to the possible effects of current vaccination strategies.