

# Speech Synthesis Evaluation — State-of-the-Art Assessment and Suggestion for a Novel Research Program

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## Abstract

Speech synthesis applications have become an ubiquity, in navigation systems, digital assistants or as screen or audio book readers. Despite their impact on the acceptability of the systems in which they are embedded, and despite the fact that different applications probably need different types of TTS voices, TTS evaluation is still largely treated as an isolated problem. Even though there is strong agreement among researchers that the mainstream approaches to Text-to-Speech (TTS) evaluation are often insufficient and may even be misleading, there exist few clear-cut suggestions as to (1) how TTS evaluations may be realistically improved on a large scale, and (2) how such improvements may lead to an informed feedback for system developers and, ultimately, better systems relying on TTS. This paper reviews the current state-of-the-art in TTS evaluation, and suggests a novel user-centered research program for this area.

## 1. Introduction

— Is that what people want?  
— It's what we do.  
(Tom Stoppard)

Synthetic speech is ubiquitous. We hear it in our daily lives as public transport announcements or when interacting with digital assistants or navigation systems, and synthetic voices have been made famous by personalities such as Stephen Hawking. Their perceptual quality has a strong impact on the acceptability of the systems in which they are embedded, and voice related quality issues are subject to much public discussion in online platforms, where journalists have even diagnosed ongoing “voice wars” [1]. Despite this, and despite the fact that speech synthesis technologies have undergone enormous technological developments in the past few years, TTS evaluation is approached in more or less the same way as in the late 1990s, when the International Telecommunication Union (International Telecommunication Union (ITU)) contributed substantially towards evaluation standards [2]. However, these standards were not predominantly designed for TTS evaluation. Rather, they originated as general recommendations for assessing the output quality of speech transmission systems, where an undisturbed reference signal can be straightforwardly defined, and where the specific application and listening situation need not be taken into account. Rather, these context factors were treated as confounds that had to be controlled for in experimental settings.

The crucial problem with this underlying assumption is,

that with respect to speech transmissions, there is no stable reference or gold standard that exists independently of a situation it is embedded in. This is easy to understand with the help of a thought experiment: Imagine a situation in which you consider the spoken delivery of an utterance as near perfect, e.g., when a highly skilled actor reads out a poem. Now imagine this exact style of delivery in a different social setting, e.g. a telephone-based inquiry, or by a person with a different gender, size, or personality. The result would most certainly not be perceived as “optimal” or “perfect”, due to style mismatches between what is expected or situationally adequate, and what is perceived (cf. section 2).

In other words, just like clothes do not fit every person alike, and just like human speakers adapt their way of speaking to the situational needs and the audience they are addressing, the development of TTS is not an all-purpose or one-size-fits-all problem. Hence, the quality of a particular TTS will most likely not be perceived in a stable fashion across various application contexts. This insight is mainstream for related domains such as the evaluation of dialogue systems, where perceived system quality cannot be meaningfully assessed in a decontextualized fashion [3, 4]. First evidence supporting this claim also for the domain of TTS evaluation has been produced by [5], who show that the same TTS material is rated differently in a crowdsourced, non-interactive MOS rating, and an MOS rating following an interaction between a human and a virtual agent in a collaborative task. Despite these insights, a meta analysis [6] revealed that the vast majority of TTS evaluations remain to rely on decontextualized listening tests, where participants score the quality of isolated sentences rather than embedding them within realistic applications or meaningful interactions. Thus, our knowledge about the practical applicability of the various existing systems remains vague at best.

In a similar vein, recent times have seen an increasing number of papers criticizing traditional approaches to TTS evaluation [7, 5], or pointing out frequent methodological flaws such as the low validity of most TTS evaluations due to small participant numbers and a lack of diversity in the tested listener groups, especially in the light of vast individual differences between listeners [8, 9], which shows stronger for some traits (age, human-likeness) than others (gender, accent origin) [10]. Generally, these investigations point out the necessity for a better conceptual framing of the perception tasks, together with larger test populations and more careful statistical approaches.

Despite this repeatedly expressed scepticism of the way TTS evaluations are typically carried out, the majority of TTS evaluation appears to follow familiar, seemingly safe, paths.

The likely reason for this is that alternative standards or at least clear-cut recommendations are still lacking.

This paper will take a first step towards suggesting an alternative program for synthesis evaluation, which is based on *contextual appropriateness* rather than an unrealistic notion of an existing gold standard (Section 2). We will then make a first suggestion for an alternative strategy towards speech synthesis evaluation, resting on an in-depth analysis of application centered user needs (Section 3), followed by an assessment of existing approaches towards synthesis quality measurement (Section 4). Finally (Section 5), we suggest that the design and standardization of suitable TTS evaluation schemes should be accepted as a necessary research area in its own right.

## 2. Contextual appropriateness as metric of speech quality?

We contend that just as human speech production is highly variable and comes in many different “styles”, which are continuously adapted by speakers given dynamically changing social (tutoring, chatting, arguing, counseling...), individual (hearing problems, attitude, level of distraction, motivation, familiarity), linguistic (frequency, predictability, suprisal, importance) or environmental settings (external noise, mutual visibility, ...) [11, 12, 13, 14, 15, 16, 17, 18]. Due to this inherent contextual embedding, human speech production can never be “neutral” or “perfectly natural”, and no speaking style therefore qualifies as a reference signal that a speech event of inherently less quality, e.g. a synthetic one, can be meaningfully compared to. Still, this remains an underlying assumption in much TTS evaluation research, where this reference or gold standard is often taken as being equivalent to “human read speech”. Some researchers criticize this implicit assumption, and postulate an alternative reference such as “conversational speech” [19]. While such an approach may be useful for a particular application such as dialogue systems research, neither speaking style is inherently “neutral” or “natural”: Read speech is entirely appropriate in certain contexts of human communication, e.g. when reading a story to a child, and conversational speech in others. Thus, while no style is inherently neutral, every style can be more or less appropriate for a given context, e.g. a speaking loudly may be an optimal choice in a loud pub, but entirely inappropriate in more formal situations [18]. *Appropriateness* given a certain situation or application may be thus a better indicator of measuring the suitability of a certain speaking style over another. This is in line with the analysis by [20, 21], who claims that long-known problems of human machine interaction such as the *uncanny valley* can be modeled as a mismatch between a user’s expectations and a machine’s actual expression. In fact, attempts at defining suitable voices for robots have found that some human listeners prefer a robot to sound “robot-like”, with the typical artifacts created by formant-based speech synthesis, even though these are often dispreferred in traditional listening tests [22]. In an evaluation dedicated to find a suitable synthetic voice for *Pepper* interacting with autistic children, [23] indeed find some support for the hypothesis of TTS quality to be predictable by a fit between what listeners expect a robot to sound like, and what it actually does sound like. Also, they confirm the hypothesis that human voices are not necessarily a suitable gold standard for TTS quality. Contrary to this, however, are the results by [24], who shows that human voices are preferred in more complex tasks. It is unclear, though, whether this finding is really caused by the style of voice, or is an effect of the

processing difficulties introduced by speech synthesis artifacts especially present in more traditional speech synthesis systems [25].

We therefore contend that even if the goal of the TTS evaluation is a “pure” system comparison, without an actual application in mind, some kind of conceptual framing may be advisable. Indeed, [19] report that simply asking listeners to imagine a particular interactive situation, had an effect on listener’s impressions. If no such framing is provided, listeners are forced to imagine *some* context in which they may listen to the TTS, and are prone to come up with a corresponding set of quality dimensions. Indeed, this factor is likely to be one of the causes for the strong variation found among participants of TTS evaluations [8, 9].

An embedding in a realistic application can also make interlocutors more sensitive for quality issues: in [5], it was found that an interactive setting increased listener’s sensitivity for quality losses introduced by synthetic hesitations, even though the hesitations increased their performance in a memory task.

For now, we believe that these conceptual framings can be carried out under controlled, laboratory conditions, as they are common practice in related fields such as Human Computer Interaction or Human Robot Interaction. In fact, some of these paradigms, e.g., preference tests, have already been successfully applied to the evaluation of prosodic styles [26].

Thus, our first contention is that TTS evaluation may profit from a change of perspectives, moving from the underlying assumption of a stable ideal baseline, to the perspective of choosing and tuning the parameters in such a way that they are most appropriate to a target application. Even if no such target application can be identified, it is advisable to provide some conceptual framing to participants in order to guide them to a set of speech quality dimensions that is comparable across participants and as general as possible, e.g. by instructing them “to imagine to listen to a smart phone reading out a newspaper article”. This type of framing is likely to affect the sentence material to be chosen for synthesis. Next, we need to specify the parameter space in which these applications are best evaluated.

### Take Home Message 1:

There is no stable gold standard for optimal speech quality!

## 3. How to assess listener needs, expectations and preferences

A main problem with the paradigm sketched above is that we hitherto know very little about the individual and application centered needs and expectations of listeners with respect to TTS voices. Still, some approaches towards analyzing user preferences have been made: In an analysis of blind TTS users’ preferences, [27] found out that participants often prefer formant synthesis over concatenative systems, as this performs better in ultrafast conditions. [28] conducted a large-scale survey on user preferences with respect to voices in car navigation systems. A more recent study directly used the intelligibility profiles of elderly listeners to fine-tune a TTS to their particular needs [29]. However, the general lack of information on user expectations poses a huge difficulty for TTS evaluations: if we want to come up with a diagnostic evaluation of our TTS voice that goes beyond a global assessment of quality, we need to ask precise questions, especially if questionnaires are being employed. Al-

ternatively, we need to find diagnostics that point towards potential problems, without explicitly mentioning them. It is clearly the case, that users may be unable to express an informed opinion about their expectation of a TTS voice, other than, e.g., an opinion about the music or food they prefer.

Thus, while a first step towards a better tailoring of TTS evaluations may lie in an in-depth analysis of needs, these needs probably arise only within a specific application context or interactive situation, and may evolve slowly over time and within a increasing user experience. Our view on evaluation consequently changes from the perspective that is looking for a general-purpose synthesis to one that has much in common with an “audition scenario”, where a highly skilled director or a team of experts cast several actors throughout a series of different scenes, until they have found the ideal person to perform a particular role.

Given the lack of available empirical data, we are currently confined to define the application-specific needs or relevant quality dimensions based on top-down assumptions, e.g., a TTS used in a noisy environment should be sufficiently clear, while a TTS used for leisure-time audio book reading should probably have some degree of expressivity.

A first attempt of such a top-down analysis of user needs is given below in Table 1. Obviously, this table does not yet include an estimate for different user groups (elderly, children, non-native, distracted, visually impaired, ...), and will have to be fine-tuned to take into account different cognitive, physiological and personality traits and abilities.

Summing up, our second contention is that we need to intensify the analyses of listener’s needs and expectations, to be able to develop suitably tailored evaluation settings. An additional strategy lies in exploring in developing useful diagnostic tools that point to potential issues during an ongoing interaction with an TTS.

#### Take Home Message 2:

We need to assess and take into account listeners’ application-specific needs and expectations!

## 4. Reviewing measures of TTS performance

Obviously, a straightforward way of finding out whether the estimated user needs are met by a system, is to simply ask or test listeners in a *subjective evaluation*. Another approach is to perform an *objective evaluation*, relying on an automated criterion that operationalizes an abstract quality dimension. Yet another, albeit less common strategy is to test whether the system allows listeners to perform an intended task better or worse, using a *behavioral evaluation*. Below, we give a short overview of the current state-of-the-art in objective, subjective, and behavioral TTS evaluation. More specifically, we will show that despite a current lack of informed quality dimensions, we already have a large repertoire of objective and subjective metrics at our disposal. In Table 1, we give examples for how a system’s needs, or quality dimensions, can be operationalized in objective, subjective or behavioral evaluations. Some of these are not completely independent: Comprehensiveness may be regarded as a form of task success in an announcement system, and is likely to be a prerequisite for task success in most speech-based systems. Still, speech-based systems often will support tasks beyond the processing of speech-based information.

### 4.1. Objective assessment of TTS

Objective assessment generally consists of getting a score to classify a system. While the idea of scoring synthetic speech in an objective and automated manner is theoretically attractive, as it reduces the need for expensive, time-consuming, and noisy subjective evaluations, the truth is that our current objective metrics do not align well with human perception. This limits their use mostly to system tuning, while the final evaluation still must be based on a subjective listening test. Besides, not every trait that can be assessed subjectively has an objectively assessable counterpart. Furthermore, many of the more accurate objective measures require access to natural speech to compare against, or knowledge about the true noise signal in a speech-in-noise scenario, which further limits their applicability.

The most common speech aspects to score are intelligibility (especially in noisy or reverberant environments), but also segmental quality, and prosodic correlates such as pitch and voiced-unvoiced accuracy are assessed. When trying to capture “naturalness”, objective metrics tend to focus on spectral features, and consider prosody as a secondary problem, an approach that seems to be based on a bias that is difficult to motivate from a phonetic point of view - besides the fact that “naturalness” is a difficult concept in general (cf. section 2).

Speech quality assessment is mainly done using the mel-cestral distortion (MCD) and the PESQ family of standards from the ITU [30], and use the original speech as a reference against which the corresponding synthetic utterance is scored. The computation consists of time warping to align the two signals (in case the speech timings differ), computing the Euclidean distance between each aligned natural and synthetic and mel-cestral vectors (frame), and averaging these distances over time.

There has been substantial effort to develop more advanced quality-assessment methods for synthetic speech based on machine learning, e.g. in Hinterleitner’s PhD work [31]. However in general, the correlation between system-level assessments might be passable, but stimulus-level correlations are low. More impressive results were reported by AutoMOS [32], but this system has only been trained and evaluated on a single speaker, and is not publicly available. However, with the advent of high-quality, probabilistic waveform-level synthesis models such as WaveNet [33], we finally have synthesizers capable of generating high-quality speech waveforms [25]. These models encode a lot of information about what a “natural”-sounding, or rather human-like, waveform may actually look and sound like. It is entirely possible that the likelihood that a trained waveform-level synthesizer assigns to a given speech waveform could be used as a good indicator of whether or not that waveform is “human-like” or not, without actual access to a comparable utterance from a human speaker. However, this aspect has to our knowledge not yet been investigated. In any case, results need not transfer across speakers and might be sensitive to linear or nonlinear processing applied to the signals.

### 4.2. Subjective assessment of TTS

A popular approach to evaluate interaction quality employs questionnaires, explicitly asking users for their impression of various quality dimensions (e.g., likability, intelligibility, perceived intelligence). Given our lack of properly understanding the users’ needs and expectations and quality dimensions, however, this method is risky, as it presupposes a good understanding of what a user actually misses or likes in the technical

| Application                  | Estimated Needs                                 | Possible Evaluation   |
|------------------------------|---|---|
| Virtual assistant            | clear, pleasant voice                           | likability (s), intelligibility (o, s, b), comprehension (b), preference (b), voluntary interaction time (b), task success and efficiency (b) |
| Humanoid Robot               | humanoid (but not human-like) voice             | perc. suitability (s), preference and interaction time (b), task success and efficiency (b)   |
| Navigation                   | sufficiently loud, clear, timely                | intelligibility (o, s, b), task success (b), comprehensiveness (s,b)  |
| Announcements                | loud, clear                                     | comprehension under noisy or distracted conditions (o,s,b)  |
| Interactive travel guide     | clear, pleasant                                 | intelligibility (o, s,b), preference (b), voluntary interaction time (b), comprehensiveness (s,b)   |
| Screen readers               | intelligible at high speed, informative prosody | intelligibility (o, s, b), comprehensiveness (s,b), efficiency (b)  |
| Audio books (leisure)        | slow, expressive                                | preference (b), voluntary interaction time (b)  |
| Audio books (educational)    | optimized for online comprehension              | comprehensiveness (s,b), task success and efficiency (b)  |
| Video games                  | convincing personality, expressive              | preference and interaction time (b), personality fit (s), convincing (s) and easily identifiable (b) emotional display                        |
| Voice prostheses             | adaptable speaker identity, low latency         | similarity to original voice (o,s), latency (o), long term user satisfaction (s)  |
| Dialogue systems             | timely, incremental, suitable discourse markers | preference and voluntary interaction time (b), task success and efficiency (b), adaptive behavior (b)   |
| Speech-to-speech translation | adaptable speaker identity                      | similarity to original voice (o,s), latency (o)   |

Table 1: A first top-down sketch of listeners’ demands on TTS for a variety of applications as well as ideas for their subjective (s), objective (o) or behavioral (b) measurement.

system. To overcome this problem, typical surveys employed in HCI or HRI tend to be very extensive [34], thereby trying to address all potential quality dimensions a user may have employed in her or his assessment. However, this poses a high risk of getting invalid responses, due to fatigue or boredom [35]. Also, the questionnaires do not normally address the amount of deviation from a user’s expectations, which may considerably affect interaction quality. However, global subjective assessments of interaction quality remain a useful diagnostic.

Most metrics employed in questionnaires try to capture a global impression of signal quality such as mean opinion score (MOS) [36]. Alternatively, metrics target more fine-grained system diagnostics such as multiple stimuli with hidden reference and anchor (MUSHRA) [37], or pairwise comparison approaches that ultimately allow for a multidimensional scaling of systems, but rely on multiple assessments of comparable utterances across systems [38].

An alternative way of grasping TTS related problems during an ongoing interaction has been developed by [39]. In their auditory response system, they have third parties evaluate an interaction, and giving a simple binary response in moments where “issues” arise. This method has the advantage of making an assessment of subjective interaction quality during interaction, while the behavioral and physiological metrics may be overly sensitive or difficult to interpret. However, especially EEG and eye/mouse tracking may be perfect indicators of mismatches between a user’s expectation and the actual realization, and may therefore produce good estimates of interactive quality.

### 4.3. Behavioral assessment of TTS

If after less impressionistic measures of intelligibility, established measures are “semantically unpredictable sentences”

(SUSs, [40]), together with word edit distance, word error rate estimates, or rhyme tests [41, 42]. With the advent of highly intelligible systems in recent years, the need for specific intelligibility measurements has become less of an issue. However, they may still play a role in more experimental systems such as articulatory synthesis.

Other than intelligibility, the measurement of *comprehensiveness*, i.e. the degree to which a message’s semantics and pragmatics has been understood, is largely under-researched and much less understood. While some researchers postulate to assess it in content repetition tasks [43], [44] suggests it can only be assessed indirectly, e.g. by asking questions that allow for an inference about how well a listener has grasped a message’s content.

Behavioral performance has been most meaningfully employed in evaluations of TTS embedded in interactive systems, e.g. by assessing the amount of retrieved information content (memory task efficiency) after an interaction between a listener and a dialogue system [5]. Related metrics are efficiency and effectiveness, which take into account task completion time or the duration of an interaction and are often employed in the evaluation of dialogue systems [4]. While a long interaction time is typically regarded an indicator of low interaction quality in assistance systems, a longer (voluntary) interaction time with a system intended to entertain, e.g., a game software or an audio book, may actually be an indicator of a good system performance. Thus, the quality metrics are not independent from the application they are testing, and operationalizations need to be adjusted for each evaluation. Yet another form of behavioral analysis was chosen in [45], where participants’ level of verbal adaptation to different interactive character displays was analyzed in a dialogue task. A high degree of adaptation to dif-

ferent characters (with individual voice profiles) was taken as evidence of a better user experience.

While measures related to task performance may be indicative of listening effort or a system's comprehensiveness, they typically fail to unveil *why* and *where* the problems occurred during the interaction. To tackle this issue, methods are needed that continuously monitor the interaction. Here, both behavioral and physiological metrics of speech synthesis have been explored: [46] combined eye tracking in a visual world paradigm with subjective judgments to explore a facilitating effect of a TTS for listener comprehension. [47] looked at response times and task performance durations in a simple GUI-based interactive game, where listeners had to move around geometric shapes according to a synthetic voice's instructions. Also, some first attempts of using *physiological* rather than behavioral metrics such as pupil dilation or EEG exist [48, 38].

Generally, an advantage of the behavioral (or physiological) assessment methods described here lies in the circumstance that they do not expect listeners to have an informed opinion about their preferences or expectations. This is likely to be the case unless participants have prior experiences with TTS-based systems (cf. Section 3). However, it is still unclear to what extent behavioral metrics correlate with subjectively experienced quality.

Summing up, although a wide range of metrics have been explored, and contextualizations are possible, TTS evaluations still predominantly rely on global quality estimates using MOS-based tests based on randomly chosen individual utterances. At least some of the approaches sketched above can be easily set-up, and could be carried out resource-efficiently, e.g., using web-based interfaces allowing for crowdsourcing approaches, and have the potential as alternatives or at least supplements to traditional evaluation procedures.

#### Take Home Message 3:

Suitable alternatives to traditional decontextualized TTS evaluation procedures exist!

## 5. Conclusion

To conclude, it seems to be mostly a lack of alternative recommendation standards that prevent current TTS evaluations from being more insightful and less mono-cultured. We therefore end this paper with a proposition, namely that the development of a set of best practice recommendations (rather than a standardization) is a profitable research area in its own right.

Our proposition parallels similar suggestions within the HCI community, striving to enhance the technology-centered concept of "Quality of Experience" with the more user-centered concept of "User Experience" [49]. To initiate research in this area, a few guiding questions could be the following ones:

1. Are there cases in which global impressions of subjective quality actually generalize across applications, thus rendering more complex evaluations unnecessary?
2. How can we improve our estimates of user needs (and corresponding quality dimensions)?
3. Do mismatches between user expectations and synthetic styles predict interaction quality in a reliable fashion?
4. Do behavioral (e.g., eye gaze) or subjective (e.g., audience responses) online measures of TTS

quality reliably point to local issues that affect global interaction quality?

5. Which dimension of subjective quality do the other metrics (objective, physiological, behavioral) actually assess?
6. How can novel high quality synthesis such as WaveNet be put to use in TTS evaluation?
7. How can we meaningfully generalize from our short-time evaluations to long-time user experience?

#### Take Home Message 4:

The development of a set of best practice recommendations for TTS evaluation should be a research area in its own right!

## 6. References

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