

1 **Frictional and transport properties of the Chelungpu fault from shallow borehole**  
2 **data and their correlation with seismic behavior during the 1999 Chi-Chi**  
3 **earthquake**

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18

19 **Abstract**

20 We carried out low- and high-velocity friction tests on fault rock samples from shallow  
21 boreholes on the Taiwan Chelungpu fault and measured their fluid transport properties  
22 under high pressure with the objective of explaining the different seismic behavior in  
23 northern and southern sections of the fault during the 1999 Chi-chi earthquake. Our  
24 results of low-velocity friction tests demonstrate that fault gouge from the southern  
25 section of the fault exhibits velocity-weakening frictional behavior, whereas gouge from  
26 the northern section exhibits velocity-strengthening friction. Friction in the northern  
27 gouge decreased strongly with increasing wetness, whereas friction in southern gouge  
28 samples was not affected by wetness. A rapid reduction of friction was observed  
29 immediately after the onset of slip in high-velocity friction tests. The results of  
30 high-velocity friction tests were similar for all fault gouge samples tested, though  
31 permeability in the northern fault zone was lower than that in the south. Numerical  
32 modeling indicated that thermal pressurization in the northern fault zone promoted  
33 stress reduction and fault instability during slip, whereas it did not in the south. This  
34 contrasting seismic behavior between north and south is caused mainly by differences in  
35 fluid transport properties of the slip zones. More efficient thermal pressurization in the  
36 north explains the large slip displacement there. The results of our low-velocity friction

37 tests are consistent with nucleation of the Chi-Chi earthquake in the south and  
38 propagation of the rupture from south to north.

39

## 40 **1. Introduction**

41 The rupture along the 100-km-long Chelungpu fault in the western Taiwan basin  
42 during the 1999 Chi-Chi earthquake ( $M_w$  7.6) was unusual in that there was  
43 considerable difference in slip behavior between the southern and northern parts of the  
44 fault. Strong motion records and co-seismic GPS measurements revealed a low  
45 acceleration and large slip displacement along the northern part of the fault on the  
46 surface (up to 9.8 m horizontally, 5.6 m vertically), and a high acceleration and small  
47 slip displacement (3.5 m horizontally, 4 m vertically) along the southern part [*Lee et al.*,  
48 2002; *Ma et al.*, 2003]. The largest accelerations, some greater than 1g, were in the  
49 southern part of the fault, where the rupture initiated, and they decreased to about 0.5g  
50 toward the northern part of the fault [*Shin and Teng*, 2001]. The largest slip velocities of  
51 up to 3.0 m/s were observed in the northern section of the Chelungpu fault. Inversion  
52 analysis using teleseismic data indicated that there was a large reduction in dynamic  
53 stress associated with the large amount of slip on the northern section of the fault [*Ma et*  
54 *al.*, 2000]. Two shallow boreholes were drilled into the fault at a northern site

55 (Fengyuan) and a southern site (Nantou) to investigate the factors controlling the  
56 north–south contrast of seismic slip behavior (Figure 1, 2) [*Tanaka et al.*, 2002; *Tanaka*  
57 *et al.*, 2006a]. At the northern site (total depth 455 m), three major fault zones that are  
58 candidate faults for slip during the 1999 Chi-Chi earthquake are developed within the  
59 Kueichulin Formation and Chinshui Shale at 224.55–224.80 m, 328.55–330.00 m, and  
60 416.00–417.90 m. (FZ-A, FZ-B, FZ-C in Figure 2). One of the candidate horizons (330  
61 m depth) is at the base of a thick fracture zone (285–330 m depth) in the Kueichulin  
62 Formation, where the fault zone consists of thick fault breccias and a very thin (7 mm  
63 thickness), clay-rich gouge layer. Within the fault gouge layer, preferred alignments of  
64 phyllosilicate minerals associated with grain-size fining were observed, though there  
65 was little microstructural evidence of frictional melting [*Tanaka et al.*, 2006a]. In  
66 contrast, a possible slip horizon observed in the southern borehole (total depth 211 m)  
67 lies at 175–177 m depth beneath a thick foliated fault breccia zone (154–177 m)  
68 between the Chinshui Shale and the Toukoshan Formation (Figure 1d, FZ-D in Figure  
69 2 ). The possible center of the fault zone consists of ultracataclasite with some  
70 pseudotachylyte [*Otsuki and Monzawa*, 2001], and the ultracataclasite indicates a thin  
71 (up to 0.5 mm) and localized shear zone. The other possible slip zone in the southern  
72 borehole is within a brown and gray clay layer lies at 179.9 m to 183.2 m (Hashimoto et

73 al., 2007), though the shear localization was not recognized. A part of the fault zone  
74 (175.3–175.9 m, 176.8–177.3 m) was not recovered in the southern borehole, therefore  
75 the other slip candidates might be within the missing portions. Structural analysis [*Yue*  
76 *et al.*, 2005] suggests that this structural and lithologic contrast between northern and  
77 southern slip zones is preserved to at least 3 km depth. Therefore, the differences in the  
78 texture and other physical characteristics of the faulted rocks of the northern and  
79 southern boreholes may have influenced frictional and transport properties (permeability,  
80 porosity, and specific storage) and would thus account for the differences in slip  
81 behavior.

82 Temperature measurements in the shallow boreholes [*Tanaka et al.*, 2002, 2006a] were  
83 slightly anomalous in the possible slip zones (Figure 2). *Tanaka et al.* [2006a] proposed  
84 that they could be explained by very low friction (coefficient of friction from 0.05 to  
85 0.12). *Kano et al.* [2006] conducted similar temperature measurements at 1200 m depth  
86 in a deeper northern borehole that was drilled as part of the Taiwan Chelungpu Fault  
87 Drilling Project (TCDP) and also concluded that very low friction slip might have  
88 occurred during the 1999 Chi-Chi earthquake. However, neither of these studies  
89 presented friction data from laboratory tests on recovered samples to verify their  
90 assertions of low-friction slip.

91 In laboratory tests, *Di Toro et al.* [2004] showed that friction at high velocities,  
92 approaching seismic velocity, is very different from conventional low-velocity friction  
93 that obeys the rate and state friction law [*Dietrich*, 1978, 1979]. In some cases, the  
94 difference is attributed to rapid heating to the melting point within fault zones during  
95 high-velocity slip [*Tsutsumi and Shimamoto*, 1997; *Hirose and Shimamoto*, 2005]. In  
96 addition, such a temperature rise can cause chemical reactions within the slip zone that  
97 may be associated with fault weakening [*Han et al.*, 2007]. *Hirono et al.* [2006, 2008]  
98 reported evidence of chemical reactions as a result of frictional heating in the  
99 Chelungpu fault, but did not identify melt structures.

100 Transport properties within a fault zone also have an important influence on dynamic  
101 slip motion. Increased pore pressure induced by frictional heating (“thermal  
102 pressurization” hereafter) can cause fault weakening [*Sibson*, 1973]. Transport  
103 properties can vary by several orders of magnitude for different rock types [*Neuzil*,  
104 1994]; therefore, the thermal pressurization mechanism is probably controlled primarily  
105 by transport properties rather than thermal conditions. Recent studies [*Wibberley*, 2002;  
106 *Noda and Shimamoto*, 2005; *Wibberley and Shimamoto*, 2005] have reported that fault  
107 rocks can potentially cause thermal pressurization when hydraulic diffusivity in the fault  
108 zone is low and the width of the slip zone is very thin.

109 In this study, we measured the frictional properties of fault gouge samples from the  
110 shallow northern and southern boreholes, and from outcropping fault gouge from the  
111 Shuangtung fault, at low and high rates of strain. Transport properties of the fault rocks  
112 and surrounding host rocks from the northern and southern boreholes were measured  
113 under high pressure. We used measured frictional and transport properties as inputs in a  
114 numerical model of thermal pore fluid pressurization.. Finally, we discuss the  
115 contrasting seismic slip behavior during the 1999 Chi-Chi earthquake on the basis of  
116 our laboratory data and numerical modeling results.

117

## 118 **2. Experimental Methods**

### 119 **2.1. Samples**

120 For friction tests, we used dark gray ultracataclasite from 176.8 m depth in the  
121 southern borehole, and samples from a 10-cm-thick, clay-rich fault gouge from 286 m  
122 depth in the northern borehole. We also used fault gouge from 330 m depth for  
123 high-velocity friction test. The fault zone at 286 m depth in the northern borehole may  
124 not be within the candidate slip zone for the 1999 Chi-Chi earthquake, though the fault  
125 zone is within the thick fracture zone (285–330 m depth) where the positive thermal  
126 anomaly was observed. However, we consider it to be analogous to the slip zone

127 because of the relatively large proportion of clay minerals, which may have developed  
128 as a result of shear deformation, and because the host rocks for these fault gouge  
129 samples were within the Kueichulin Formation, as is the case for the candidate slip zone  
130 (FZ-B and FZ-C).

131 Crushed gouge samples of grain size  $<0.12$  mm were used for friction tests. The fault  
132 gouge from 286 m depth in the northern borehole contains much smectite, as well as  
133 illite and kaolinite, as determined by x-ray diffraction (XRD) (Figure 3a). Black  
134 cataclasite from the southern fault consists mainly of quartz with a lower clay mineral  
135 content than the northern borehole.

136 Transport properties of the host and fault rocks in the northern and southern shallow  
137 boreholes were measured. In the north, host rock is sandstone and siltstone composed  
138 mostly of quartz, feldspar, calcite, and clay minerals (illite, smectite, and muscovite). In  
139 the south, the footwall of the Chelungpu fault is Toukoshan Formation, and is composed  
140 mainly of conglomerate with a clayey to silty matrix. Even though we were unable to  
141 recover complete sections through the fault zones in both shallow boreholes, we  
142 consider that the transport properties we measured covered a representative sample of  
143 host and fault rocks.

144



## 145 2.2. Low-velocity Friction Tests

146 We performed shearing experiments by placing two layers of gouge between gabbro  
147 blocks in a double-direct shear apparatus (Figure 3c) to measure conventional low  
148 frictional properties [*Kawamoto and Shimamoto, 1998*]. In this experiment, we used 1.5  
149 to 2 g of crushed gouge, corresponding to a thickness of about 1 mm, for each layer.  
150 Three stainless steel blocks completed the apparatus; the center block was placed on top  
151 of the central gabbro block and the other blocks were placed adjacent to the gabbro  
152 blocks on each side. Typically, experiments were performed until the total displacement  
153 reached the limit of the apparatus (20 mm). Experiments were performed at room  
154 temperature under “dry” (room humidity; typically 40%–60% relative humidity [RH])  
155 or “wet” (100% RH) conditions. The gouge layers were saturated with distilled water  
156 after the apparatus was set up to achieve 100% RH in the gouge layer.

157 Before the vertical piston (the stainless steel block on top) was moved to apply shear  
158 force, a normal stress of about 1.5 times the target normal stress was applied to the  
159 sample. The shear load was then cycled two or three times at a constant velocity of 1 to  
160 5  $\mu\text{m/s}$  (Figure 3b). This load cycle helps to localize shear and results in steady-state  
161 friction being reached with less net displacement than if the test is conducted without  
162 shear load cycles [*Frye and Marone, 2002*]. After steady-state friction was achieved,

163 velocity-stepping experiments were performed. Then, a slide-hold-slide test was  
164 performed until the limit of displacement (20 mm) was reached. Typically, we  
165 performed two series of velocity-stepping tests, with the velocity changing rapidly by 1  
166 order of magnitude at each velocity step change (i.e., one series of velocity step changes  
167 was 0.15 →1.5 →15 →150→15 →1.5 →0.15 μm/s). To achieve a new steady-state  
168 condition in response to each velocity step change, 0.5 to 1 mm of slip was required.

169 The friction coefficient is determined from the ratio of shear stress to normal stress.  
170 The steady-state velocity dependence, or friction rate dependence, ( $a - b$ ), is a key  
171 parameter of fault dynamics. The parameter ( $a - b$ ) is evaluated from the imposed  
172 velocity step test results by the following equation:

$$173 \quad \mu_{ss}(V) - \mu_{ss}(V^*) = (a - b) \ln\left(\frac{V}{V^*}\right), \quad (1)$$

174 where  $\mu_{ss}(V^*)$  is the steady-state friction coefficient at a prescribed speed  $V^*$ , and  $\mu_{ss}(V)$   
175 is the steady-state friction coefficient at a velocity  $V$  imposed by a step change in  
176 sliding speed. If the material is velocity-strengthening, then  $(a - b) > 0$ , and the system  
177 is intrinsically stable. If the material is velocity-weakening, then  $(a - b) < 0$ , and the  
178 fault may exhibit unstable behavior. In the stable regime, rupture nucleation and  
179 propagation are inhibited. An earthquake can propagate in both cases, but rupture  
180 nucleation occurs only in an unstable field, which requires velocity-weakening friction

181 (Rice and Ruina, 1983).

182

### 183 **2.3. High-velocity Friction Tests**

184 We performed high-velocity friction tests on gouge samples (from the same sources as  
185 those used for the low-velocity tests) using the high-speed rotary-shear testing apparatus  
186 of *Shimamoto and Tsutsumi* [1994] and the methodology of *Mizoguchi et al.* [2007]. A  
187 1-g sample of gouge was placed between a pair of calcite-cemented quartz-rich  
188 sandstone cylinders (0.2 mm average grain size, 10% porosity,  $10^{-17}$  m<sup>2</sup> permeability) of  
189 about 25 mm diameter, of which the rough end surfaces had been smoothed by grinding  
190 with #80 silicon carbide powder (Fig. 3d). A gouge layer about 1 mm thick was sheared  
191 by rotating one of the cylinders. A Teflon sleeve was used to cover the simulated fault  
192 plane so that the gouge was confined between the sandstone surfaces during shearing.  
193 The samples were dried in an oven at 80 °C before the experiment to eliminate the pore  
194 water within samples, though they were exposed to a humid environment during the  
195 experiment. For all tests, we used a constant rotational speed of 1200 rpm and constant  
196 normal stress from 0.6 to 0.9 MPa. Slip rate varies within the apparatus as a function of  
197 distance from the center of the axis of rotation; slip displacement and slip rate are zero  
198 at the center of the sample and largest at the edge of the sample. For our test conditions,

199 slip velocity was 1.96 m/s at the edge of the sample. When we define an equivalent slip  
200 velocity,  $V_{eq}$ , such that a total friction work on a fault area  $S$  is described as  $\tau \cdot V_{eq} \cdot S$   
201 [*Shimamoto and Tsutsumi*, 1994] where we assume that shear stress  $\tau$  is constant over  
202 the fault surface,  $V_{eq}$  was 1.06 m/s for our tests.

203

#### 204 **2.4. Transport Property Measurements**

205 We measured the fluid transport properties of permeability, porosity, and specific  
206 storage of host rock and fault rock samples from the northern and southern shallow  
207 borehole sites. The methodologies of the transport property measurements are described  
208 in *Tanikawa et al.* [in press]. All samples for laboratory tests were cut and cored to  
209 cylindrical shapes that were 5 to 40 mm long and 20 mm in diameter. All experiments  
210 were performed in high-pressure apparatus at Kyoto University at room temperature  
211 under uniform (isostatic) confining pressure. All hydraulic properties were measured by  
212 using nitrogen gas as the pore fluid. Before testing, the samples were dried at 80 °C in  
213 an oven for a week to eliminate pore water without removing structural water adsorbed  
214 to clay mineral surfaces. We confined the samples using several polyolefin shrinkable  
215 jackets.

216 Permeability was measured by the steady-state gas flow method [*Wu et al.*, 1998] and

217 the pore pressure oscillation method [Kranz *et al.*, 1990; Fisher and Paterson, 1992]. In  
218 the steady-state gas flow method, a differential pore pressure at a value between 0.2 and  
219 2 MPa was applied across the sample and the pore pressure of downstream end was kept  
220 at a constant value of 0.1 MPa. In the oscillation method, we applied pore pressure of 20  
221 MPa. The Klinkenberg effect [Wu *et al.*, 1998; Tanikawa and Shimamoto, 2008], which  
222 enhances gas permeability, may cause significant error in the conversion of gas  
223 permeability to water permeability, especially when gas permeability is measured at low  
224 pore pressure in low-permeability rocks. This phenomenon is attributed by “slip flow”  
225 between gas molecules and solid walls. Therefore, we transformed the measured gas  
226 permeability by the steady-state gas flow method to water permeability by using the  
227 Klinkenberg equation:

$$228 \quad k_{gas} = k_w \left( 1 + \frac{b}{(P_{up} + P_{down})/2} \right), \quad (2)$$

229 where  $k_w$  is the (intrinsic) permeability to water and  $b$  is the Klinkenberg factor, which  
230 depends on the pore structure of the medium and the temperature of the gas.  
231 Permeabilities of most of the core samples we used satisfied the Klinkenberg equation.

232 Porosity changes in response to confining pressure changes were determined by the  
233 gas expansion method [Scheidegger, 1974; Wibberley, 2002]. In this method, the  
234 volume of the saturated gas in pore spaces of the sample is measured by using the

235 isothermal (Boyle-Mariotte) gas equations.

236 We calculated the drained pore compressibility from the results of the porosity test,  
237 and used this value for evaluation of specific storage [Wibberly, 2002]. The specific  
238 storage can also be evaluated by the pore pressure oscillation method, although the  
239 specific storage values thus obtained may contain significant errors, and vary by up to  
240 two orders of magnitude [Takahashi and Kaneko, 2003]. Therefore, only permeability  
241 was evaluated by the pore pressure-oscillation technique.

242

### 243 **3. Results**

#### 244 **3.1. Low-velocity Friction**

245 The low-velocity friction response we observed was, in general, similar to that  
246 reported by previous works [e.g., Dieterich, 1979; Marone, 1998], though the friction  
247 coefficient and velocity dependence differed among gouges. Steady-state friction was  
248 achieved within 5 mm of slip distance, although in some experiments where we did not  
249 carry out cyclic shear loading at the beginning of the run (samples BAF057 and  
250 BAF062) friction increased slightly with increasing displacement (Figure 4a). Values of  
251 the friction coefficient for the dry northern fault gouge were twice those of wet gouge,  
252 indicating greater friction under dry than under wet conditions. In the gouge from the

253 southern borehole, friction did not differ between dry and wet gouges even at different  
254 normal stress values (Figure 4b). Values of the steady-state friction coefficients in all  
255 tests were around 0.7. Notably, no displacement hardening was observed in any of the  
256 tests on the gouges. The friction coefficient of wet gouge also showed normal stress  
257 dependence, increasing as the applied normal stress was increased.

258 The northern fault gouge in most tests showed a positive velocity dependence of  
259 steady-state friction (Figure 4c). In contrast, the southern fault gouge showed  
260 velocity-weakening behavior (Figure 4d). We observed no remarkable relationship  
261 between velocity and the velocity dependence of steady-state friction. However, we  
262 observed a slight decrease in the velocity dependence of friction with increasing  
263 velocity for the northern fault gouge. Previous reports indicated a transition from  
264 velocity-strengthening to velocity weakening behavior [Beeler *et al.*, 1996; Mair and  
265 Marone, 1999], though we did not observe such a transition.

266

### 267 **3.2. High-velocity Friction**

268 In high-velocity friction tests, friction increased rapidly at the beginning of slip for all  
269 fault gouge samples, and then decreased gradually to a stable level. Peak values of the  
270 coefficient of friction were in the range of about 0.8 to 1.2, followed by a decrease to

271 stable values between 0.2 and 0.3 (Figure 5). In most of the tests, values of the friction  
272 coefficients approached stable levels after 5 to 10 m of slip displacement. These  
273 frictional behaviors are similar to results obtained in previous high-velocity friction  
274 experiments for TCDP core samples and Nojima fault gouges associated with the 1995  
275 Kobe earthquake [*Tanikawa et al., 2007; Mizoguchi et al., 2007*]. Both peak friction and  
276 steady-state friction decreased with increasing normal stress for the southern fault gouge.  
277 The amount of slip displacement that was necessary to achieve stable friction also  
278 decreased with increasing normal stress.

279

### 280 **3.3. Permeability and Permeability Distribution**

281 Cyclic effective pressure tests were performed on all host rock and fault rock  
282 specimens from the two shallow boreholes. Confining pressure was first increased from  
283 0 to 200 MPa, and then decreased to 5 MPa. The results obtained for all of the transport  
284 property measurements are listed in Table 2 (only pressing path from 10 to 60 MPa is  
285 listed). In the northern core samples, initial permeability at 5 MPa ranged from  $10^{-13}$  to  
286  $10^{-16}$  m<sup>2</sup>, and permeability decreased as effective pressure increased (Figure 6a). The  
287 pressure sensitivity of permeability varied considerably among specimens. The  
288 permeable sandstones in Kueichulin Formation (NSA3- NSA5) showed very little



289 sensitivity to effective pressure, and permeability decreased by less than one order of  
290 magnitude from the initial permeability, even at 200 MPa of effective pressure. In the  
291 other samples, permeability decreased by three to four orders of magnitude at the  
292 maximum effective pressure. The permeability of fault rocks (Fault gouge, Fault  
293 breccia) and siltstones decreased with depth. For all samples, the permeability change  
294 during unloading was much smaller than that during loading, and increased slightly at  
295 low effective pressure. Initial permeability was not fully recovered during unloading,  
296 even at the lowest effective pressure. The cyclic behavior of permeability in southern  
297 core samples (Figure 6b) was similar to that of the northern core samples. Gravels in the  
298 Toukoshan Formation showed higher permeability than fault rocks of Chinshui Shale  
299 origin. The lowest permeability was observed in fault breccias of Chinshui Shale at  
300 156.5 m depth (SFF2), where it reached almost  $10^{-20}$  m<sup>2</sup>.

301 The permeability distributions at depths of 1, 2, and 3 km around the possible slip  
302 zones in the north and south shallow boreholes (FZ-B and FZ-C, Figure 7) were  
303 constructed by assuming that pore pressure is hydrostatic at depth and by applying a  
304 constant bulk density of 2500 kg/m<sup>3</sup>. We assumed that the fault gouge at 305.5 m depth  
305 in the north borehole (NFG1) represents the gouge developed at 330 m depth in the  
306 same borehole. As we did not have the permeability data of the fault gouge at 330 m, we

307 consider it to be analogous to that at 330m. As the relatively large proportions of clay  
308 minerals, which have developed as a result of shear deformation within the same thick  
309 fracture zone (285–330 m depth), are observed in the fault gouge at 305.5 m depth, we  
310 consider it to be analogous to that at 330m. In the northern shallow borehole, the  
311 permeability variation around the fault zone at 330 m depth, which possibly represents  
312 the 1999 Chi-Chi earthquake slip zone, is relatively small and varies within the range of  
313 one order of magnitude. The permeability around the southern fault zone is higher than  
314 that around the northern fault zone. Permeability in the footwall (Toukoshan Formation)  
315 is higher than that of the hanging wall, and the difference between footwall and hanging  
316 wall permeabilities is more than one order of magnitude (Figure 7b). Even though we  
317 lacked permeability data for the slip zone in the southern borehole, we considered that  
318 the permeability of the fault breccia at 173.5 m (SFF3) is representative of the  
319 permeability of the slip zone.

320

### 321 **3.4. Porosity and Specific Storage**

322 In core samples from the northern borehole, initial porosity ranged between 8% and  
323 48%. As effective pressure increased to the maximum level tested, porosity decreased  
324 by 7% to 20% (Figure 8a). For all samples, the rate of porosity decrease became lower

325 as effective pressure increased. Porosity partially recovered during unloading, but did  
326 not return to its initial value; this is similar to the pressure sensitive behavior of  
327 permeability discussed above. The porosity change was largest in the fault gouge at 305  
328 m depth (NFG5) and smallest in the siltstone at 402.5 m depth (NSI5). The relationships  
329 of porosity to effective pressure for the southern borehole samples (Figure 8b) were  
330 similar to those of the northern borehole.

331 Initial values of specific storage in samples from the northern borehole ranged from  
332  $10^{-8}$  to  $10^{-9}$  Pa<sup>-1</sup>; and decreased rapidly by one order of magnitude with increasing  
333 effective pressure in most samples (Figure 8c). The pressure sensitivity of specific  
334 storage decreased as effective pressure increased and the shape of the curves was  
335 similar for all samples. The siltstone showed the smallest value of specific storage. This  
336 was around  $10^{-10}$  Pa<sup>-1</sup> at 200 MPa of effective pressure and was smaller than that of the  
337 other rocks. The relationships of specific storage to effective pressure for the southern  
338 core samples (Figure 8d) were similar to those of the northern borehole.

339

#### 340 **4. Thermal Pressurization Analysis**

##### 341 **4.1 Numerical Modeling Parameters**

342 We used the experimental data from the above investigations of frictional and transport

343 properties for our thermal pressurization analysis. The mathematical model we used was  
 344 based on those of previous studies [*Lachenbruch*, 1980; *Noda and Shimamoto*, 2005],  
 345 which assume that one-dimensional diffusion of heat and fluid occurs normal to the  
 346 fault plane. Temperature change is given by the sum of a heat production term and a  
 347 heat transfer term [*Lachenbruch*, 1980] as follows:

$$348 \quad \frac{\partial T}{\partial t} = \frac{A}{\rho c} + \frac{\kappa}{\rho c} \frac{\partial^2 T}{\partial x^2}, \quad (3)$$

349 where  $t$  is time,  $x$  is distance normal to the fault from the center of the deformation zone,  
 350  $T$  is temperature rise,  $\kappa$  is thermal conductivity,  $\rho$  is bulk density,  $c$  is specific heat, and  
 351  $A$  is frictional heat generation per unit volume. All frictional work is assumed to convert  
 352 to heat. The heat production rate  $A$  is given by

$$353 \quad A = \tau \frac{V}{W}, \quad (4)$$

354 where  $V$  is relative slip velocity during fault motion,  $W$  is width of the deformation zone,  
 355 and  $\tau$  is shear stress that can be described as

$$356 \quad \tau = \mu_d (Pc - Pp), \quad (5)$$

357 where  $\mu_d$  is the dynamic friction coefficient,  $Pc$  is confining pressure, and  $Pp$  is pore  
 358 pressure. Normal stress is assumed to be the same as overburden pressure. The change  
 359 in pore pressure depends on the temperature change and Darcian fluid flux as follows:

$$360 \quad \frac{\partial Pp}{\partial t} = \frac{\Phi \gamma}{Ss} \frac{\partial T}{\partial t} + \frac{1}{Ss} \frac{\partial}{\partial x} \left( \frac{k}{\eta} \frac{\partial Pp}{\partial x} \right), \quad (6)$$

361 where  $\gamma$  is water expansibility,  $S_s$  is specific storage,  $k$  is permeability,  $\eta$  is water  
362 viscosity, and  $\Phi$  is porosity. The physical and thermal properties we used for the  
363 numerical modeling are listed in Table 1. Thermal properties are from *Tanaka et al.*  
364 [2006a, 2006b], who measured thermal properties of the Chelungpu fault zone in the  
365 northern shallow borehole and the deep TCDP borehole. We assumed a thermal  
366 diffusivity of  $1 \times 10^{-6}$  m<sup>2</sup>/s so that a value of the heat capacity  $C$  of 800 J/kg K is  
367 appropriate for our assumption of 2500 kg/m<sup>3</sup> for bulk density. In the modeling we used  
368 the same values for thermal properties for the northern and southern borehole data. Our  
369 experimental results showed that the transport properties of permeability, specific  
370 storage, and porosity changed as effective pressure changed, in a manner similar to that  
371 described by *Noda and Shimamoto* [2005]. Therefore, we described the transport  
372 properties as a function of effective pressure as proposed by *Noda and Shimamoto*  
373 [2005]. We also used different transport property values in the numerical modeling for  
374 the slip zone and the surrounding rocks; these values are shown in Figures 6 and 8.

375 Our friction tests showed that the friction coefficient decreased dramatically with slip  
376 distance at high velocity; this cannot be neglected in defining  $\mu_d$  in equation (5) for the  
377 numerical modeling. The slip-weakening curve in our gouge experiments (Figure 5) is  
378 quite similar to previous results for fault gouge, which have been fitted by the following

379 exponential equation [Mizoguchi *et al.*, 2007]:

$$380 \quad \mu_d = \mu_r + (\mu_i - \mu_r) \exp\left(\frac{\ln(0.05) \cdot d}{d_c}\right), \quad (7)$$

381 where  $\mu_r$  is residual coefficient of friction,  $\mu_i$  is initial coefficient of friction, and  $d$  is  
382 displacement from initial coefficient of friction. We defined  $d_c$  as a specific  
383 displacement at which  $\mu_i - \mu_r$  reduces to 5% of the initial value. When friction tests are  
384 conducted under dry conditions, the effect of pore pressure building up can be ignored  
385 in determining the value of  $\mu_d$ . The friction parameters we used in our numerical  
386 modeling analyses are listed in Table 3. We assumed the slip velocity to be constant at 1  
387 m/s for 10 s (i.e., 10 m of total slip displacement) in our analyses for both the northern  
388 and southern borehole sites. We also simplified the uniform strain rate distribution  
389 within the slip zone, such that the fault zone slips with the same slip velocity. We also  
390 assumed the same thickness of fault gouge (20 mm) at the northern and southern  
391 boreholes.

392

## 393 **4.2 Numerical Modeling Results**

394 Numerical modeling showed that the contrasting behavior of the northern and southern  
395 sections of the fault zone was because of differences in the degree of thermal pore fluid  
396 pressurization. The thermal pressurization mechanism was shown to be stronger at the

397 northern borehole site than at the southern site. At the northern site, shear stress  
398 reduction was enhanced by increased pore pressure (Figure 9a and 9e). The thermal  
399 pressurization process was shown to have more effect at greater depths; the reduction of  
400 shear stress became much sharper with increasing depth. At the southern borehole site,  
401 shear stress was reduced only by the mechanical slip weakening described by equation  
402 (7), without additional stress reduction attributable to increased pore pressure at depth.  
403 This suggests that thermal pressurization was ineffective in the south. These contrasting  
404 behaviors reflect different temperature and pore pressure characteristics within the slip  
405 zone. For a given slip displacement, the temperature rise was smaller and pore pressure  
406 increase was larger in the north (Figure 9c). In contrast, the temperature rise was much  
407 larger in the south, and became considerably larger with increasing depth (Figure 9d).  
408 The numerical modeling analysis clearly showed that when the thermal pressurization  
409 process is eliminated, the rise of temperature is much stronger.

410

## 411 **5. Discussion**

412 Our experimental data and numerical modeling showed that low-velocity frictional  
413 behavior, transport properties, and the potency of the thermal pressurization mechanism  
414 differ in the northern and the southern sections of the Chelungpu fault. On the other  
415 hand, the behavior of fault gouge samples from the northern and southern sections of

416 the fault under high-velocity friction was shown to be similar. This suggests that  
417 differences in physical properties along the fault likely influenced slip-weakening  
418 behavior and might have caused the contrasting slip behaviors observed in the northern  
419 and southern sections of the fault during the 1999 Chi-Chi earthquake.

420 The low-velocity frictional behavior of fault gouge from the northern and southern  
421 sections of the fault differed remarkably. Steady-state friction under wet conditions was  
422 generally higher in the southern gouge than in the northern gouge. Furthermore, we o  
423 observed velocity-strengthening behavior for the northern gouge, whereas tests on the  
424 southern gouge yielded velocity-weakening behavior. Fault instability in the south did  
425 not relate to wetness. The trends in low-velocity friction that we observed agree well  
426 with the results of previously reported simulated fault gouge experiments. *Kopf and*  
427 *Brown* [2003] reported that friction decreased with increasing clay mineral content. This  
428 is consistent with our observation that values of the friction coefficient for fault gouge  
429 from the southern borehole were higher than those of both the northern borehole. Clay  
430 content in the gouge of the southern borehole was lower than that in both the northern  
431 gouge. We also observed that friction in the quartz-rich southern fault gouge was  
432 independent of wetness, a result that is also consistent with previous work [*Frye and*  
433 *Marone*, 2002]. *Saffer et al.* [2001] found that the frictional velocity dependence ( $a - b$ )



434 of smectite shows normal stress dependence, and that the transition from  
435 velocity-weakening to velocity-strengthening occurs at around 30 MPa; these results are  
436 consistent with our findings for northern gouge under dry conditions. However, our  
437 results showed velocity-strengthening in the water-saturated northern under low normal  
438 stress. Our results agree with a previous study that showed that clay minerals become  
439 weaker with increasing water content, especially at high normal stress [*Ikari et al.*,  
440 2007]. Normal stress dependence of friction was more notable under wet conditions  
441 than under dry conditions in the northern fault gouge, and can be explained by the  
442 different water contents.

443 If we assume that the faulting mechanism of the 1999 Chi-chi earthquake is  
444 represented by the behavior of wet gouge, the velocity-weakening frictional behavior in  
445 the south is consistent with the onset of the seismicity of the earthquake. However, the  
446 northern gouge exhibits velocity-strengthening behavior, which implies stability; this is  
447 inconsistent with the large slip displacement and high velocity observed in the north  
448 during the 1999 Chi-Chi earthquake. This indicates that low-velocity friction properties  
449 are not applicable to the dynamic fault motions during the 1999 Chi-Chi earthquake.

450 It is plausible that a mechanism other than low-velocity friction controlled the slip  
451 dynamics that caused the large displacement in the north. Our experiments showed that

452 high-velocity frictional behavior is very different from low-velocity frictional behavior,  
453 especially at the beginning of slip, when we observed a rapid decrease of shear stress.  
454 Furthermore, the steady-state values of the friction coefficient were much smaller in our  
455 high-velocity friction tests than in the low-velocity tests. The high-velocity frictional  
456 behavior in quartz-rich powdered gouge material is also different from that in solid rock  
457 [Tsutsumi and Shimamoto, 1997; Hirose and Shimamoto, 2005; Hirose and Bystricky,  
458 2007]. Rock-on-rock friction in the low-velocity tests showed a much higher friction  
459 coefficient (0.4 to more than 0.6), though a rapid decrease of strength was commonly  
460 observed. The strength reduction might be associated with development of a molten  
461 layer, though in our gouge experiment we did not identify pseudotachylyte material and  
462 the temperatures reached were probably too low to melt the sample. Therefore, different  
463 concepts are needed to explain the low friction at high speed in the fault gouge.

464 Previously reported in situ temperature deficits [Tanaka *et al.*, 2006a, 2006b; Kano *et*  
465 *al.*, 2006] imply that dynamic friction was very low during the 1999 Chi-chi earthquake.  
466 They indicate that a friction coefficient as low as 0.05 to 0.12 is necessary to explain the  
467 heat deficit observed around the Chelungpu fault zone. In our low-velocity tests, we  
468 observed a high steady-state friction coefficient (a lowest value of 0.4) in all fault  
469 gouges, even under wet conditions. In contrast, the high-velocity steady-state value of

470 the friction coefficient was very low, only 0.2, a similar value to that of the crushed  
471 gouge obtained from the deep borehole penetrating the Chelungpu fault [*Tanikawa et al.*,  
472 2007]. If these low friction values can be extrapolated to depth (though the  
473 high-velocity tests were carried at very low normal stress), we can reasonably explain  
474 the observed temperature anomaly.

475 We observed no remarkable difference in high-velocity frictional behavior of the  
476 northern and the southern gouges. On the other hand, our numerical modeling of the  
477 thermal pressurization weakening mechanism showed that thermal pressurization  
478 probably occurred along the northern section of the fault, but not along the southern  
479 section of the fault. In our modeling, the thermal properties, slip zone width, and slip  
480 velocity we used were the same in the north and south, so the different degrees of  
481 thermal pressurization along the fault were likely caused by stratigraphically controlled  
482 hydrological variations in the fault zone. In the south, the slip zone is at the stratigraphic  
483 boundary between the permeable Toukoshan Formation and the Chinshui Shale. In the  
484 north, however, slip occurred within the impermeable Chinshui Shale. This structural  
485 contrast of the Chelungpu fault can be extrapolated to at least 3 to 4 km depth [*Yue et al.*,  
486 2005], which explains the relatively lower permeability of the northern fault zone.  
487 Thermal pressurization not only enhances the decrease of stress but also increases fault

488 instability according to the value of  $D_C$ , as follows [Mikumo *et al.*, 2003; Wibberley and  
489 Shimamoto, 2005]. For similar decreases of fault strength, a fault would be less stable  
490 for smaller values of  $D_c$ . This is because instabilities arise from a force imbalance if the  
491 fault weakens at a faster rate during rupture than the decrease of driving shear stress in  
492 the surrounding medium. Hence, the enhancement of stress release and increased fault  
493 instability during dynamic slip as a result of thermal pressurization may explain the  
494 greater slip displacement in the northern Chelungpu fault zone.

495 Recent studies have proposed other possible dynamic weakening mechanisms. The  
496 lack of microscopic evidence for melting [Hirono *et al.*, 2006] suggests that melt  
497 lubrication [Hirose and Shimamoto, 2005] was not the main dynamic weakening  
498 mechanism for the northern fault zone. Ma *et al.* [2003] suggested that dynamic  
499 weakening in the north may have been caused by a hydrodynamic lubrication  
500 mechanism [Brodsky and Kanamori, 2001], but did not provide mechanical or physical  
501 data for fault gouge material to support their assertion. Chemical reactions caused by  
502 thermal decomposition are another possible weakening mechanism [Han *et al.*, 2007],  
503 as several chemical anomalies associated with heating have been observed in the  
504 Chelungpu fault [Hirono *et al.*, 2006; Mishima *et al.*, 2006]. However, the likelihood of  
505 this is low because the fault rocks are composed mainly of quartz and feldspar, and lack

506 the minerals that are commonly involved in thermal decomposition. When flash  
507 weakening [Rice, 2006; Beeler *et al.*, 2008] occurs at microscopic asperity contacts on a  
508 fault, where very high temperatures are generated at very short-lived contacts, it results  
509 in a dramatic reduction in shear strength due to melting or phase transition at asperity  
510 contacts. We observed magnetic susceptibility change of gouge samples (Table 3) and  
511 partial gel formations of Teflon at lateral of cylindrical samples after high-velocity  
512 friction tests, suggesting a moderate temperature increase at the slip surface. Magnetic  
513 property change of the fault rocks in the Chelungpu Fault was well studied [Mishima *et*  
514 *al.*, 2006; Tanikawa *et al.*, 2007], and the magnetic susceptibility change suggests  
515 temperature within the fault gouge was raised at least 400°C due to frictional heating,  
516 which is consistent with the melting of Teflon jacket (melting point is 327 °C) .  
517 High-velocity friction tests were performed at dry condition, where thermal  
518 pressurization mechanism is neglected, therefore the large dynamic stress drop observed  
519 in high-velocity friction tests can be explained by flash weakening model. The slip rates  
520 of the Chelungpu Fault and high-velocity friction tests are large enough to cause  
521 dynamic weakening by flash heating [Beeler *et al.*, 2008], whereas the large slip  
522 weakening distances observed in both the seismic data [Zhang *et al.*, 2003] and friction  
523 tests (several m) are inconsistent with flash heating model. Therefore flash weakening

524 model is not enough to satisfy the slip behavior of the Chelungpu Fault.

525 We assumed 20 mm thickness of deformation zone for the thermal pressurization  
526 analysis, though it is, in general, difficult to define the deformation thickness accurately.  
527 7 mm thickness of the fault gouge layer was observed at the FZ-B and 1 mm thick dark  
528 gray layer was observed at the FZ-C in the north shallow borehole [*Tanaka et al.*, 2002],  
529 though blackish ultracataclasite layers 10 to 20 mm thick are developed in the center of  
530 fault zones at the TCDP deep borehole [*Hirono et al.*, 2006]. Therefore thickness of the  
531 slip zone of the Chelungpu Fault can be in the range of several mm to several ten mm. If  
532 the thickness of shear zone is within the range of 5 to 100 mm, the contrasting slip  
533 behavior that thermal pressurization is relatively effective in the north and ineffective in  
534 the south is still acceptable (Figure 10).

535 It is not certain that the low-velocity friction behavior evaluated using the shallow  
536 borehole samples represent the friction behavior at the hypocentral depth of the Chi-Chi  
537 earthquake (8-10 km) [*Chang et al.*, 2000; *Kuo et al.*, 2000]. Assuming a linear  
538 temperature gradient (22-30 °C/km) [*Suppe and Wittke*, 1977; *Kano et al.*, 2006] and a  
539 hydrostatic stress, the temperature and the vertical stress at the hypocentral depth are  
540 estimated to be 200-300 °C and 120-150 MPa, respectively. Therefore, thermally driven  
541 mineral transitions, such as dehydration of smectite to illite and dehydroxidation of

542 kaolinite, can occur in the fault zone at depth. In the western Taiwan basin, the  
543 transition depth from smectite to illite is assumed to be around 4 km [*Tanikawa et al.*, in  
544 press], therefore, illite-rich gouges are expected in the northern fault zone at the  
545 hypocentral depth. On the other hand, the dominant composition of the fault gouge may  
546 not change in the southern gouge because the fault gouge is mainly composed of quartz.  
547 Even if the transformation of smectite to illite occurs at a depth of fault zones, the stable  
548 slip behavior is expected, because illite-rich gouge shows only velocity-strengthening  
549 behavior over the entire range of normal stress [*Saffer and Marone*, 2003]. Therefore,  
550 the contrasting velocity-dependent frictional behavior between the northern and the  
551 southern fault rocks is expected at the hypocentral depth, on the assumption that the  
552 variation of the relative proportion of clay and quartz within the fault zone, which is  
553 observed at shallow borehole samples, is preserved at the hypocentral depth.

554

555

## 556 **6. Conclusions**

557 The behavior of fault gouge materials from shallow boreholes on the Chelungpu fault  
558 during high-velocity slip is much different than during low-velocity slip. At high slip  
559 rates, a rapid reduction of friction was observed immediately after the onset of slip. We

560 found the behavior of northern and southern gouge was similar during high-velocity slip.  
561 In contrast, permeability distributions showed differences between the north and south.  
562 Even though specific storage values were similar, permeability was shown to be one  
563 order of magnitude smaller in the north than in the south. Thermal pressurization  
564 modeling using the measured frictional and transport properties indicated that thermal  
565 pressurization was relatively effective in the north, where dynamic stress reduction was  
566 enhanced by an increase of pore pressure due to frictional heating. In contrast, thermal  
567 pressurization was ineffective in the south. The contrasting slip behavior between north  
568 and south is, therefore, explained by the effectiveness of fault weakening due to thermal  
569 pressurization.

570 Assuming that the behavior of wet gouge under low-velocity friction is representative  
571 of the faulting mechanism, the velocity-weakening friction behavior in the south is  
572 consistent with nucleation of the 1999 Chi-Chi earthquake. The probable low friction  
573 during the slip event, as estimated from in situ temperature measurements, can be  
574 explained by the occurrence of thermal pressurization during the earthquake and  
575 dramatic fault weakening during high-velocity slip in the north.

576

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584

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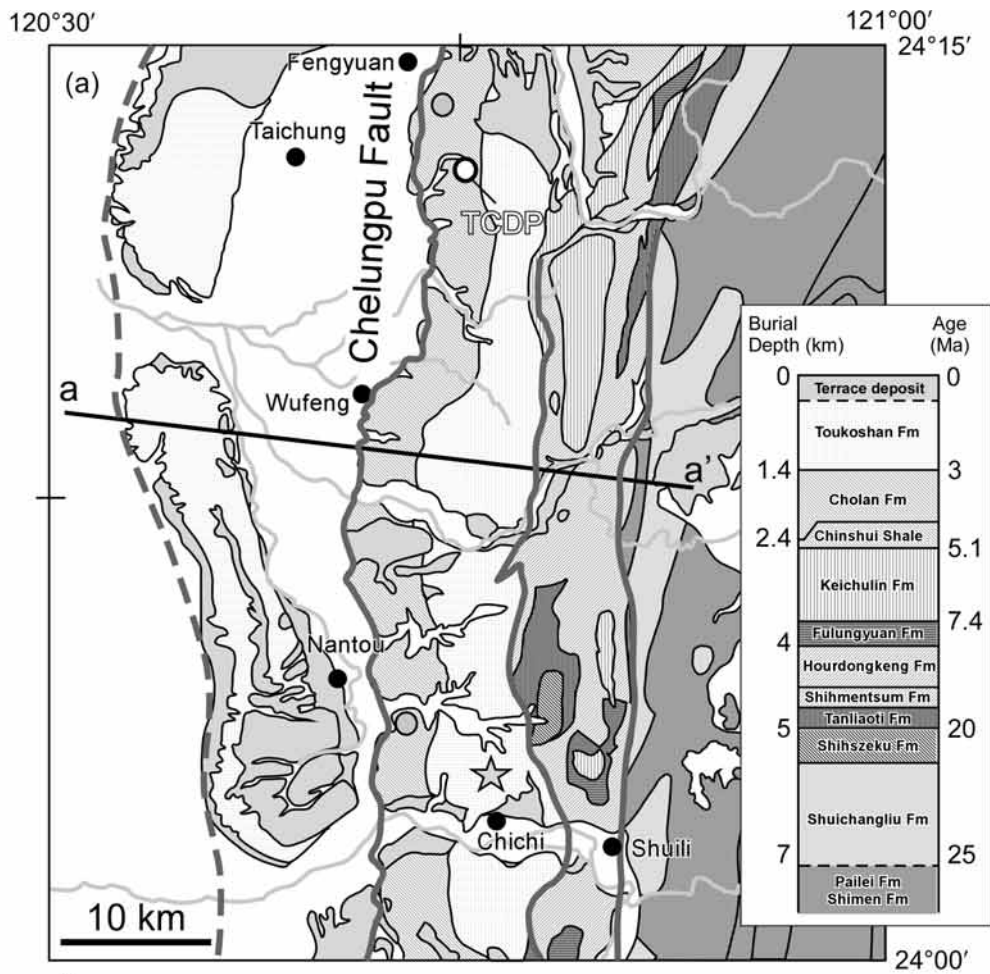
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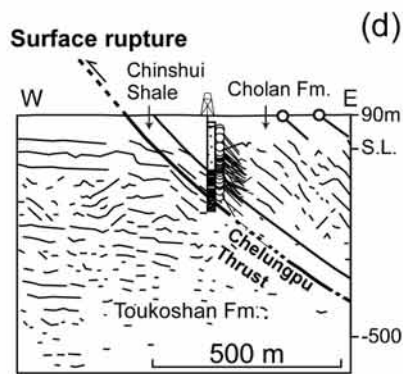
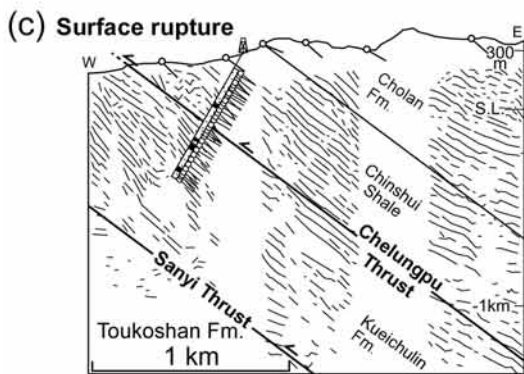
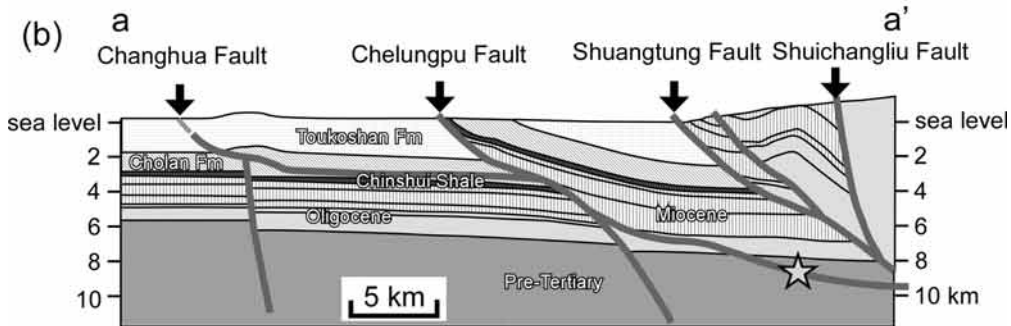
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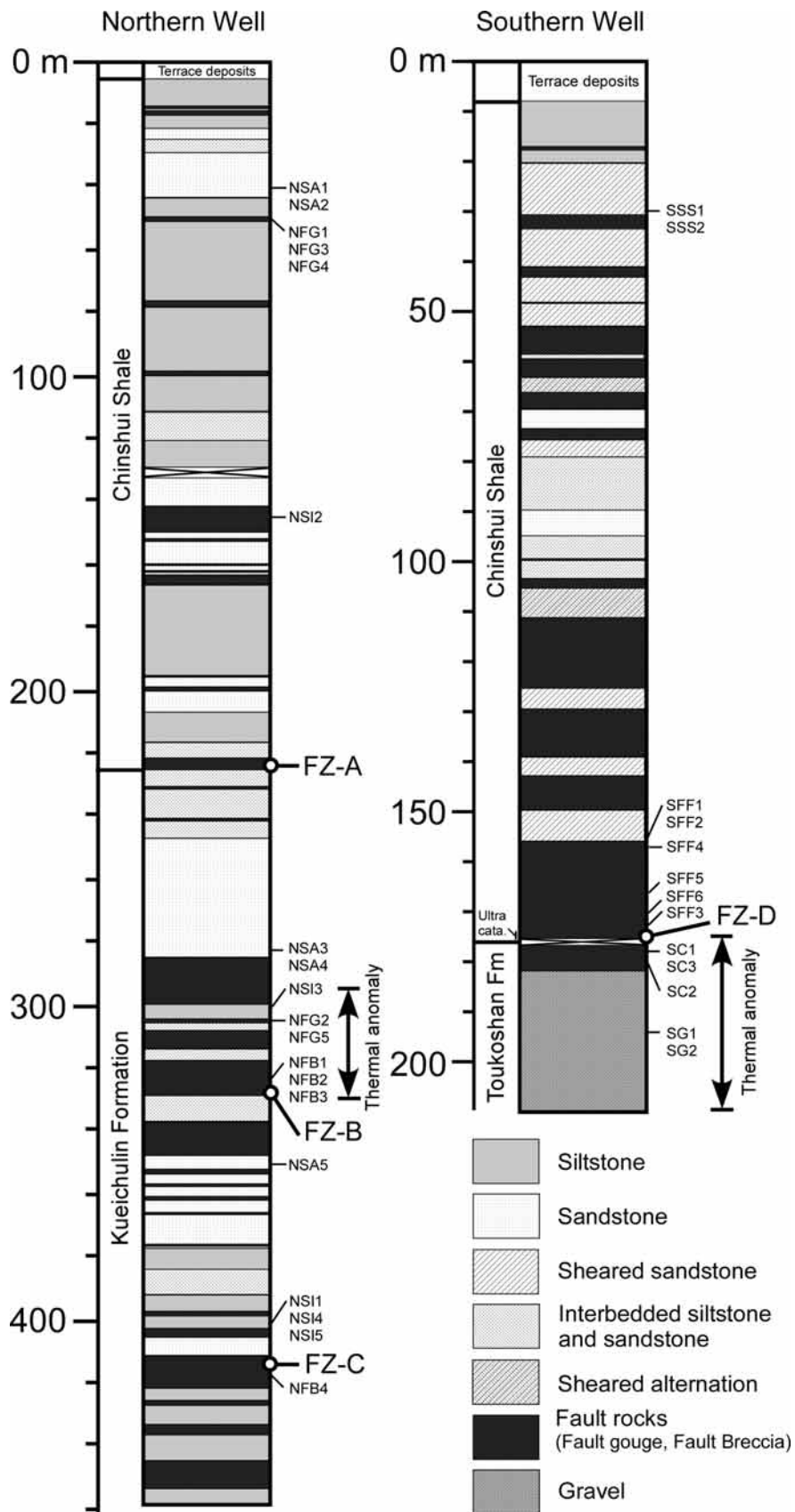




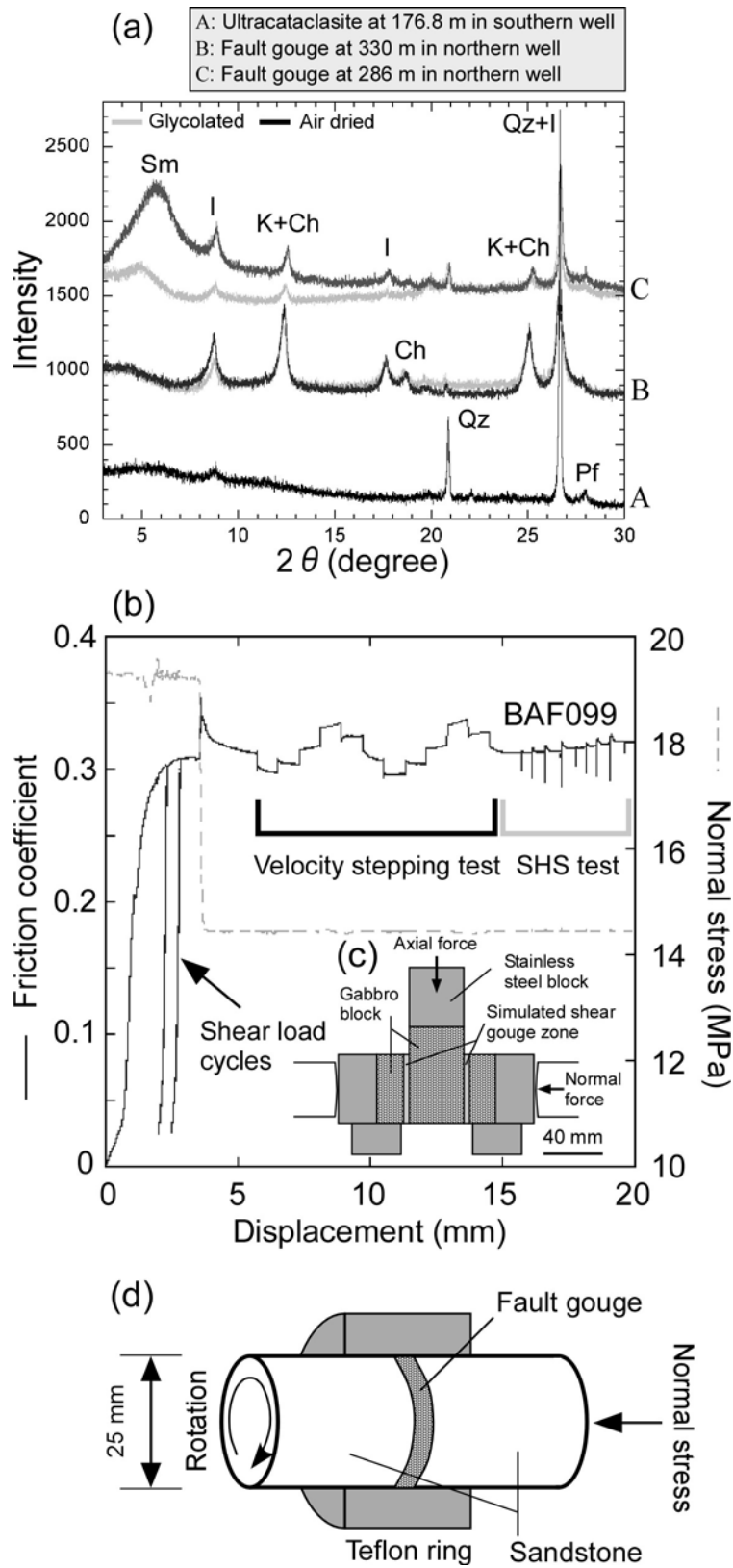
★ Mainshock (Chi-Chi earthquake) ○ Shallow Drilling Site



760 **Figure 1.** (a) Geological map and geological column of the study area (after *Lo et al.*,  
761 1999; *Huang et al.*, 2000; *Wang et al.*, 2000) showing locations of the northern  
762 (Fengyuan) and southern (Nantou) shallow drilling sites, and the deep TCDP drillhole.  
763 (b) Geological cross section through the area affected by the 1999 Chi-Chi earthquake  
764 (after *Mouthereau et al.*, 2002). The location of the mainshock is shown by a star. (c)  
765 Geological interpretation of a shallow reflection seismic section at the northern borehole  
766 site. (d) Geological interpretation of a shallow reflection seismic section at the southern  
767 borehole site (modified from *Wang et al.*, 2002 and *Yue et al.*, 2005). Seismic data  
768 interpretation was correlated with shallow borehole data and surface dip measurements.  
769



771 **Figure 2.** Lithologic columnar sections of northern (Fengyuan) and southern (Nantou)  
772 wells (Huang et al., 2002; Tanaka et al., 2002) and sample location. FZ-A to FZ-D  
773 indicate the candidate fault zones related to the Chi-Chi earthquake.  
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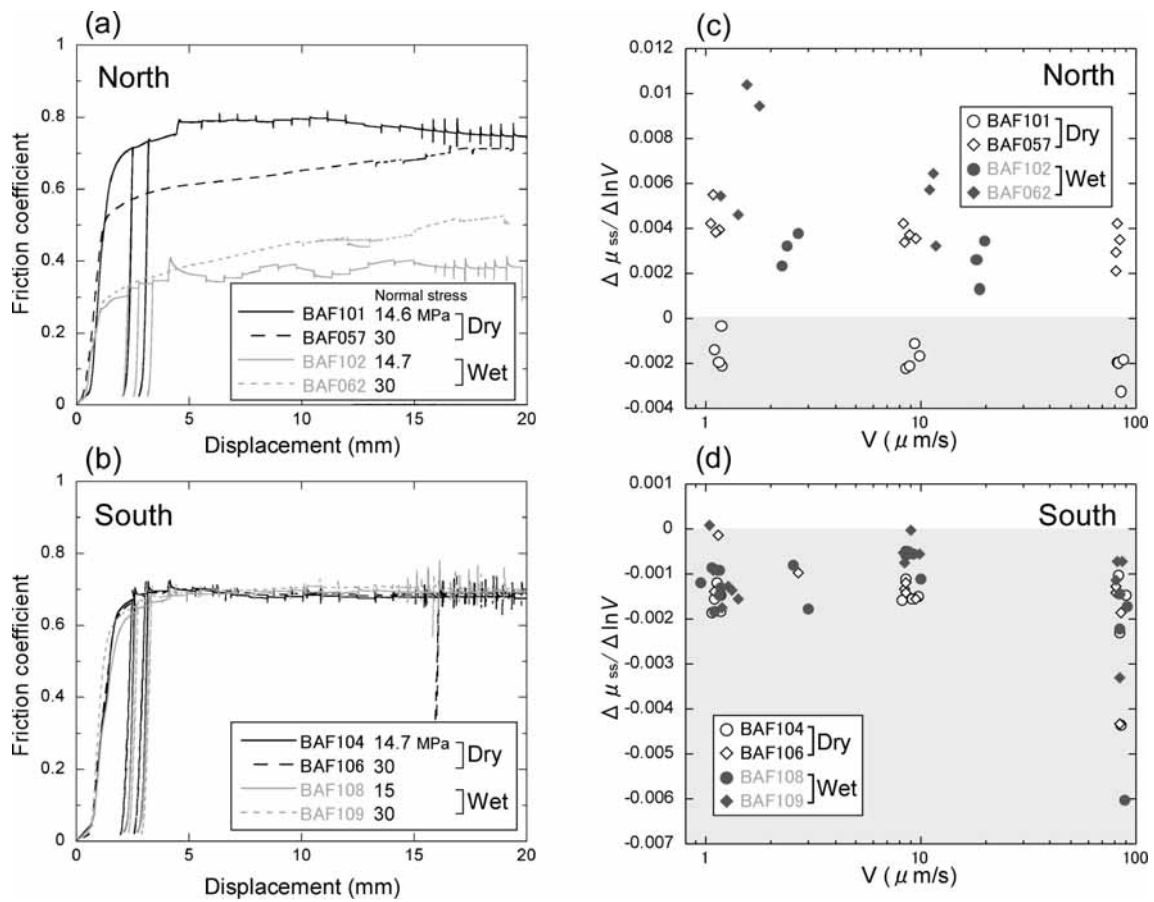


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776 **Figure 3.** (a) XRD patterns from oriented, glycolated and air-dried fault gouge samples



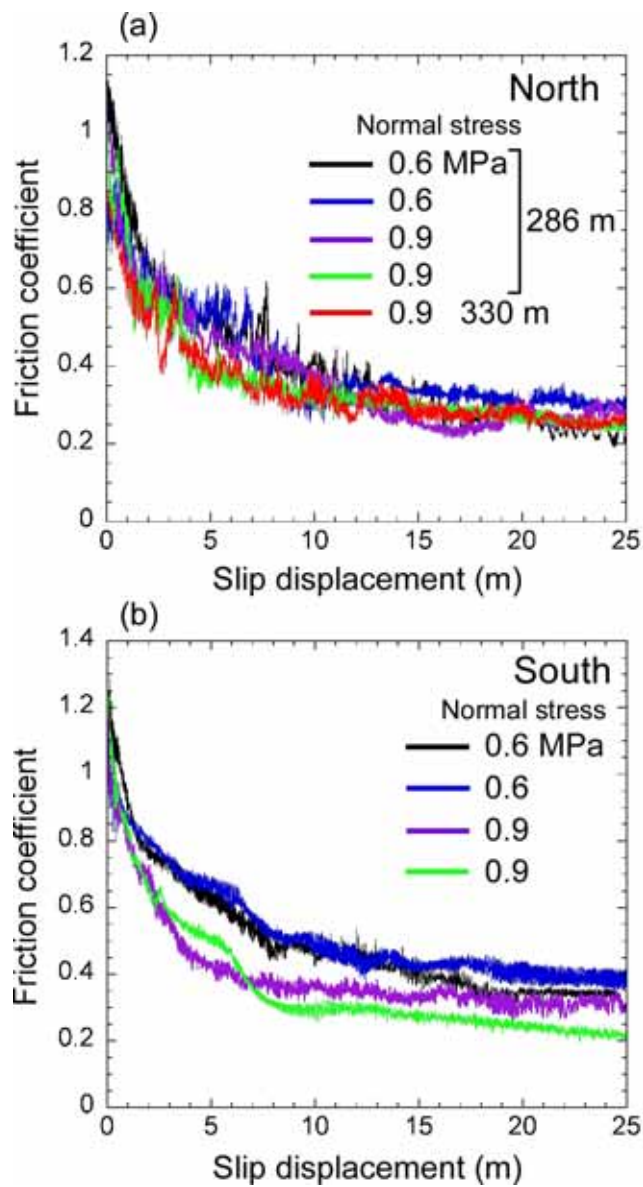
777 used in the double-layered low-velocity experiments. Sm, smectite; Ch, chlorite; I, illite;  
778 K, kaolinite; Qz, quartz; Pf, potassium feldspar. (b) Shear stress and normal stress  
779 history of one experimental set. Before the velocity-stepping tests, gouge layers were  
780 subjected to two shear-load cycles at high normal stress (19 MPa) to create a fresh  
781 surface area. Slide-hold-slide (SHS) tests were carried out at a normal stress of 14 MPa.  
782 (c) Schematic diagram of the apparatus used for the biaxial low-velocity friction tests.  
783 (d) Schematic diagram of the apparatus used for the high-velocity rotation (HVR)  
784 friction tests.  
785



786

787 **Figure 4.** Friction coefficient vs. displacement curves under various conditions of  
 788 normal stress and relative humidity for fault gouges from (a) the northern borehole, and  
 789 (b) the southern borehole. (c) and (d) velocity dependence of steady-state friction as a  
 790 function of velocity for the same fault rocks shown in Figure 3a, and b.

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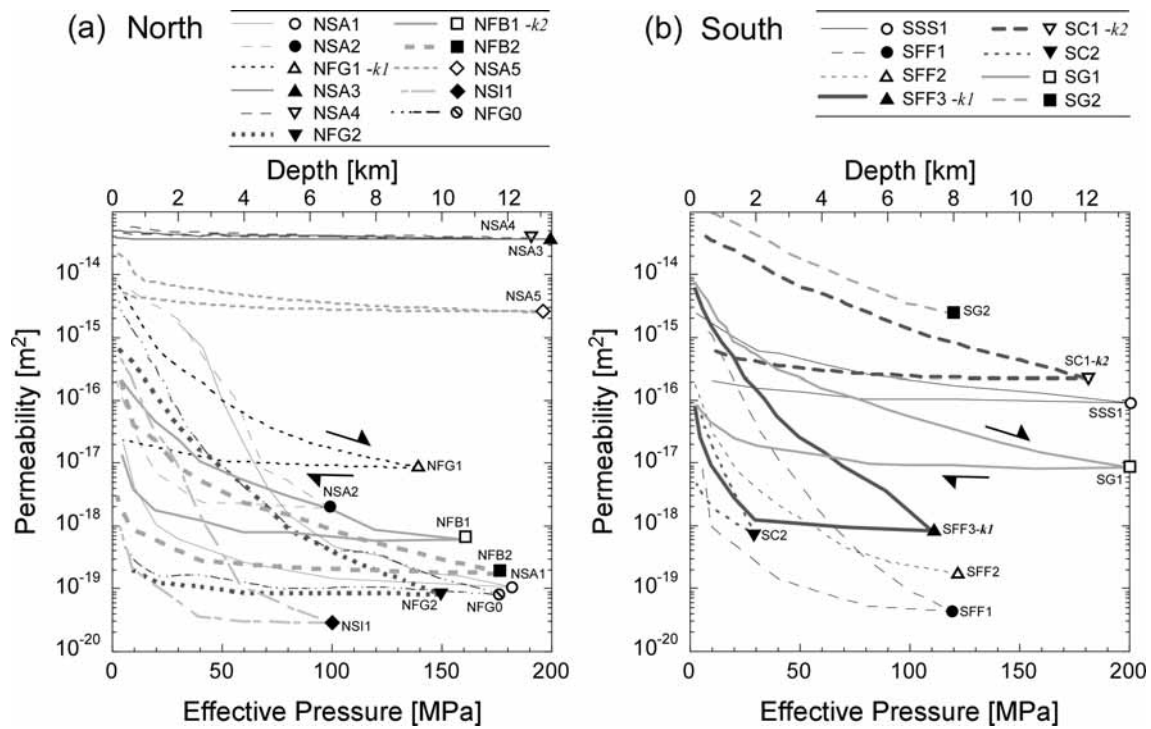
793 **Figure 5.** Friction as a function of slip displacement for the high-velocity friction tests

794 of fault gouge samples at various normal stress levels and a constant equivalent slip

795 velocity of 1.03 m/s. Fault gouges from (a) the northern borehole, and (b) the southern

796 borehole.

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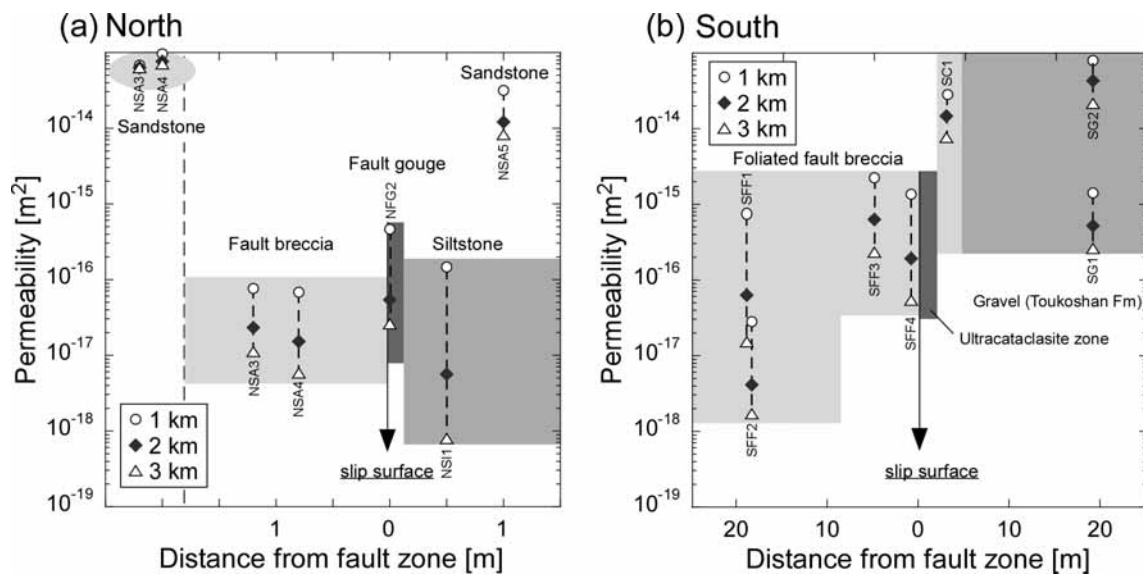
799 **Figure 6.** Permeability as a function of effective pressure during one pressure cycling

800 test for (a) the northern, and (b) the southern shallow borehole samples.  $k1$  and  $k2$  are

801 the permeabilities of the slip zone and the surrounding rock, respectively, which were

802 used for thermal pressurization analysis.

803

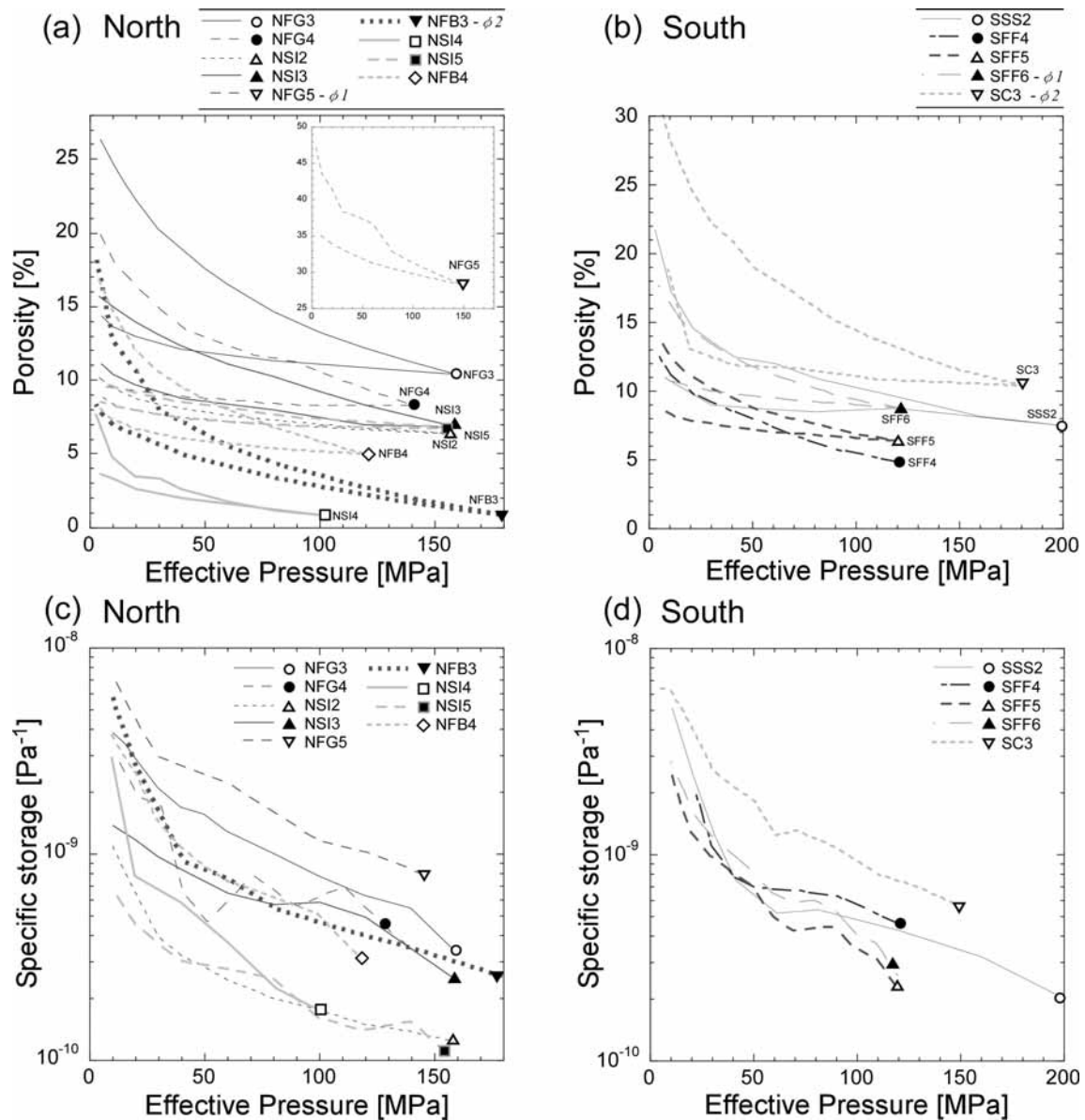


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805 **Figure 7.** Permeability distributions at depths from 1 to 3 km around the slip zone at (a)

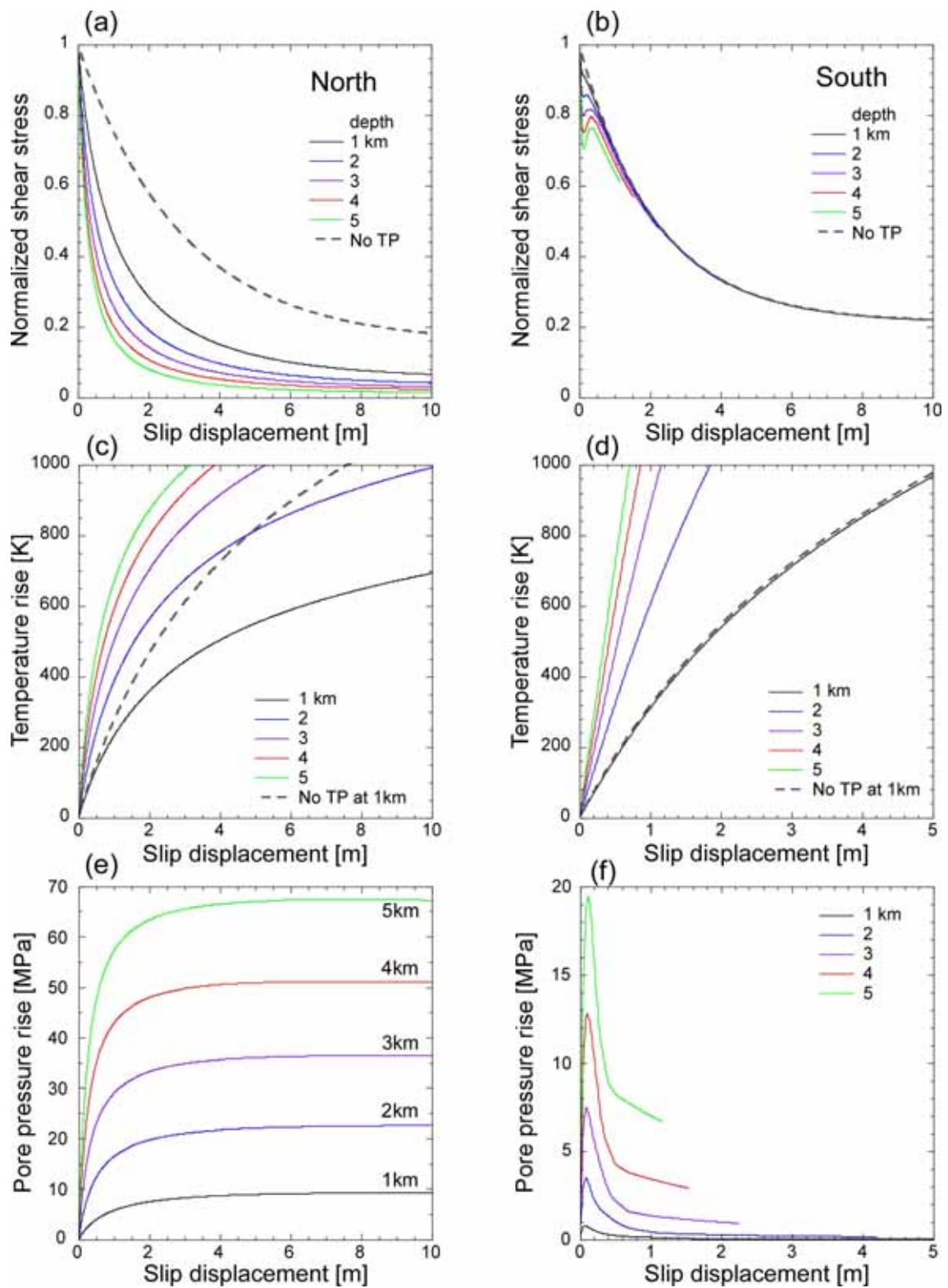
806 the northern shallow borehole site and (b) the southern shallow borehole site.

807



808

809 **Figure 8.** Porosity as a function of effective pressure for (a) the northern and (b) the  
 810 southern shallow borehole samples. Specific storage as a function of effective pressure  
 811 for (c) the northern and (d) the southern shallow borehole samples. Specific storage was  
 812 determined from porosity data shown in Figure 8a and 8b. Drained pore compressibility  
 813 was estimated from porosity.  $\phi 1$  and  $\phi 2$  are the porosities of the slip zone and the  
 814 surrounding rock, respectively, which were used for thermal pressurization analysis.



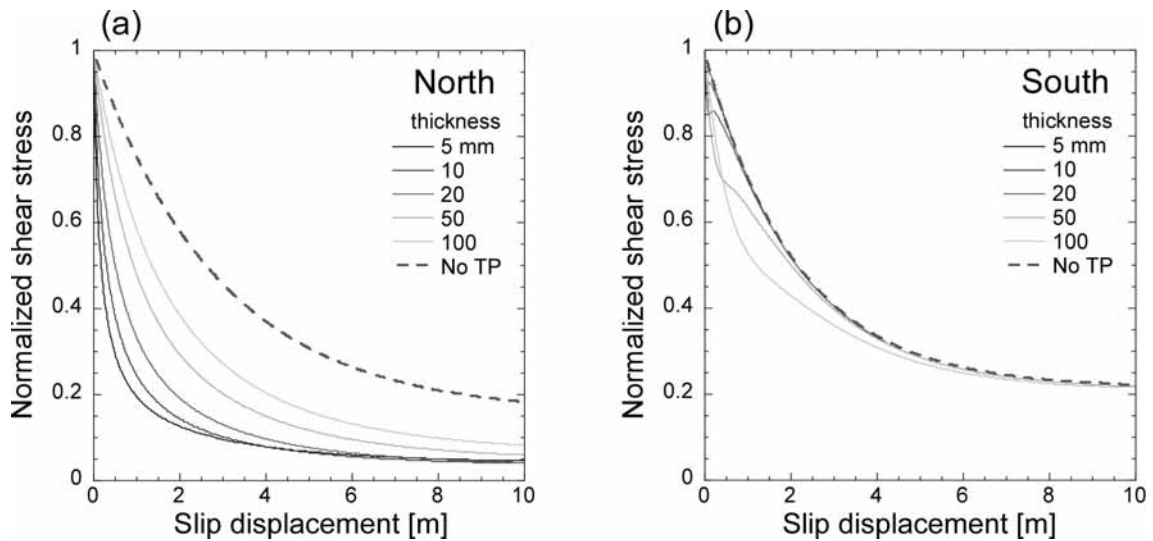
815

816 **Figure 9.** Shear stress evolution curves vs. slip displacement associated with thermal

817 pressurization calculated at various depths for (a) the northern and (b) the southern

818 shallow borehole site. A one-dimensional finite-difference calculation based on the  
819 theory of *Lachenbruch* (1980) was performed using measured permeability and storage  
820 capacity values as a function of effective pressure along with friction data from the  
821 high-velocity friction tests. Fault strength is shown as a normalized shear stress with  
822 respect to the initial shear stress, assuming that the shear stress depends on the effective  
823 normal stress and displacement as determined by the high-velocity friction tests.  
824 Estimated temperature rise at the center of the slip zone during slip at (c) the northern  
825 and (d) the southern shallow borehole site taking into account thermal pressurization  
826 process. Estimated pore pressure rise at the center of the slip zone at (e) the northern  
827 and (f) the southern shallow borehole site.

828



829

830 **Figure 10.** Shear stress evolution curves vs. slip displacement associated with thermal



831 pressurization calculated at 2 km depth and at various thickness of deformation zone for

832 (a) the northern and (b) the southern shallow borehole site.

833

Symbol	Value	Units	Comment and Reference
$\alpha_f$	$5 \times 10^{-4}$	$^{-1}$	Coefficient of thermal expansibility of fluid (Luo & Vasseur 1992)
$\beta_f$	$4.4 \times 10^{-10}$	$\text{Pa}^{-1}$	Compressibility of fluid (Luo & Vasseur 1992)
$\rho_s$	2500	$\text{kg m}^{-3}$	Bulk density of sediments
$\rho_w$	1000	$\text{kg m}^{-3}$	Density of water
$T_0$	20		Surface temperature ( $z=0$ )
$\delta T/\delta z$	25	$\text{K km}^{-1}$	Geothermal gradient (Suppe & Wittke, 1977)
$\kappa$	2	$\text{W m}^{-1} \text{K}^{-1}$	Heat conductivity (Tanaka et al., 2006b)
$c$	800	$\text{J kg}^{-1} \text{K}^{-1}$	Heat capacity (Tanaka et al., 2006b)

**Table 1.** Physical parameters used for thermal pressurization analysis.

Sample	Well	Depth [m]	Rock type	Formation	Method	Pc [MPa]	Pp [MPa]	Permeability [m <sup>2</sup> ] / Porosity [%] during pressurizing path						Parameter used for
								Pe	10 [MPa]	20	30	40	50	60
<b>Permeability</b>														
NSA1	N	40.5	Sandstone	Chinshui	OPP	50-202	20	—	—	1.7E-15	6.8E-16	9.4E-17	1.7E-17	
NSA2	N	40.5	Sandstone	Chinshui	SSF	5.5-101	0.1-1	5.2E-15	3.2E-15	1.9E-15	5.7E-16	2.0E-16	4.6E-17	
NGF1	N	51	Fault gouge	Chinshui	SSF	2.9-140.6	0.1-1.1	2.3E-15	6.0E-16	3.2E-16	2.0E-16	9.5E-17	—	
NSA3	N	283	Sandstone	Kueichulin	SSF	2.1-202	0.05-0.8	4.7E-14	4.5E-14	4.4E-14	4.1E-14	4.3E-14	4.2E-14	
NSA4	N	283	Sandstone	Kueichulin	SSF	3.9-201.2	0.1-0.8	5.6E-14	5.0E-14	4.8E-14	4.7E-14	4.5E-14	—	
NFG1	N	305.5	Fault gouge	Kueichulin	SSF/OPP	3.3-80.4/101.5-170.4	0.1-0.7/20	3.7E-16	1.3E-16	3.9E-17	1.6E-17	—	4.5E-18	<i>k1</i>
NFB1	N	326.5	Fault breccia	Kueichulin	SSF	5-160	0.4-1.6	1.1E-16	4.5E-17	2.4E-17	1.1E-17	—	5.3E-18	<i>k2</i>
NFB2	N	326.5	Fault breccia	Kueichulin	SSF/OPP	3.1-30.8/51.4-199.6	0.1-0.6/20	4.0E-17	2.2E-17	8.0E-18	5.1E-18	—	2.3E-18	
NSA5	N	355.5	Sandstone	Kueichulin	SSF	3.2-199.1	0.1-1	1.0E-14	7.5E-15	6.3E-15	5.6E-15	5.0E-15	4.6E-15	
NSI1	N	402.5	Siltstone	Kueichulin	SSF/OPP	3-30.8/60.2-121.9	0.1-0.7/20	2.4E-16	3.6E-17	1.1E-17	1.7E-18	—	1.1E-19	
NFG0	—	0	Fault gouge	Chinshui	SSF/OPP	3.4-80.3/100.5-198	0.2-2/20	1.2E-15	3.4E-16	7.2E-17	2.1E-17	9.5E-18	3.9E-18	
SSS1	S	30	Sheared sandstone	Chinshui	SSF	2.9-199.5	0.2-0.7	1.7E-15	9.9E-16	6.9E-16	5.5E-16	3.9E-16	—	
SFF1	S	156.5	Foliated fault breccia	Chinshui	SSF	5-120	0.2-1.8	1.6E-15	2.6E-16	6.4E-17	2.1E-17	1.0E-17	4.3E-18	
SFF2	S	156.5	Foliated fault breccia	Chinshui	SSF/OPP	2.8-21.2/30-142.5	0.2-0.7/20	4.5E-17	1.5E-17	4.1E-18	2.0E-18	1.3E-18	—	
SFF3	S	173.5	Foliated fault breccia	Chinshui	SSF	3.1-110.8	0.2-0.8	2.7E-15	6.6E-16	1.9E-16	7.7E-17	3.6E-17	1.7E-17	<i>k1</i>
SC1	S	178	Clay	Toukoshan	SSF	2.9-181	0.1-0.5	3.4E-14	2.3E-14	1.5E-14	9.0E-15	6.1E-15	4.6E-15	<i>k2</i>
SC2	S	180	Clay	Toukoshan	SSF	3.5-25	0.1-1	1.9E-17	3.4E-18	7.1E-19	—	—	—	
SG1	S	194	Gravel (clay matrix)	Toukoshan	SSF	3-199.7	0.2-1.8	2.7E-15	8.5E-16	5.3E-16	3.0E-16	2.2E-16	1.6E-16	
SG2	S	194	Gravel (clay matrix)	Toukoshan	SSF	2.5-81.6/100.5-198	0.2-1/20	9.2E-14	6.6E-14	4.4E-14	2.6E-14	1.8E-14	1.3E-14	

**Porosity**

NFG3	N	51	Fault gouge	Chinshui	5-160.5	0.6-0.7	24.8	22.2	20.3	19.0	17.7	16.5	
NFG4	N	51	Fault gouge	Chinshui	2.9-140.6	0.3	17.9	16.3	14.8	13.5	13.8	—	
NSI2	N	144	Siltstone (with sand layer)	Chinshui	5-160.5	0.65	9.5	8.8	8.4	8.1	7.9	7.6	
NSI3	N	299	Siltstone	Kueichulin	5-160.6	0.55-0.6	15.0	13.9	13.1	12.4	—	11.1	
NFG5	N	305	Fault gouge	Kueichulin	3.3-80.4/101.5-170.4	0.3/21	43.5	41.5	38.3	37.9	—	36.7	$\phi 1$
NFB3	N	326.5	Fault breccia	Kueichulin	3.1-30.8/51.4-199.6	0.3/20	12.7	10.5	7.9	7.1	—	5.5	$\phi 2$
NSI4	N	402.5	Siltstone	Kueichulin	3-30.8/60.2-121.9	0.3/20	4.8	3.4	3.3	2.6	—	1.7	
NSI5	N	402.5	Siltstone	Kueichulin	5-160.5	0.62	9.6	9.1	—	—	8.5	8.1	
NFB4	N	415	Fault breccia	Kueichulin	5-120.6	0.6-0.7	14.8	12.1	10.6	9.5	8.7	8.0	
SSS2	S	30	Sheared sandstone	Chinshui	2.9-199.5	0.3	17.2	14.5	13.5	12.5	—	12.0	
SFF4	S	156.5	Foliated fault breccia	Chinshui	2.8-21.2/30-142.5	0.3/20	11.1	9.8	9.2	8.5	7.9	—	
SFF5	S	167.2	Foliated fault breccia	Chinshui	5.6-120.8	0.9-1	12.8	11.2	10.2	9.5	8.8	8.3	
SFF6	S	170	Foliated fault breccia	Chinshui	5.6-120.6	0.95-1.1	16.4	14.6	13.4	12.6	11.8	11.2	$\phi 1$
SC3	S	178	Clay	Toukoshan	2.9-181	0.3	28.2	24.7	22.1	20.8	18.9	18.0	$\phi 2$

**Table 2.** Summary of the results of permeability and porosity measurements on core samples from the northern and the southern shallow holes. OPP:

oscillating pore pressure method; SSF: steady state gas flow method. NFG0 is an outcropped sample at Ta-Chia River near Shihkang Dam.

	$\mu_r$	$\mu_i$	$d_C$	$\chi_0 [10^{-8} \text{ m}^3/\text{kg}]$	$\chi_{hvr}$
North	0.15	0.95	8.8	10.8	3.5
South	0.22	1.03	6.4	23.7	30.1

**Table 3.** Fitting parameters of dynamic friction in equation (7) used for thermal pressurization analysis, and bulk magnetic susceptibilities before ( $\chi_0$ ) and after ( $\chi_{hvr}$ ) high-velocity friction test. Bulk magnetic susceptibility was measured with a KLY-3S Spinner Kappabridge (AGICO, Czech Republic ltd.).