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#### **Title:**

# Can Agricultural Intensification Help to Conserve Biodiversity? A Scenario Study for the African Continent

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- Land-use scenarios for Africa test tradeoffs between land sharing and land sparing
- The Biodiversity Intactness Index quantifies effects of agriculture on biodiversity
- Land sparing scenarios show higher values for the Biodiversity Intactness Index
- Complementary land systems studies at the local and regional level are required

# 1 Can Agricultural Intensification Help to Conserve Biodiversity? A Scenario Study

- 2 for the African Continent
- 3

4 Abstract: Globally, the production of food, feed, bioenergy and biomaterials has 5 increased considerably during the past decades. This was achieved by the expansion of 6 agricultural land and the intensification of agricultural management. Due to the 7 conversion of natural ecosystems and the increasing use of pesticides and fertilizers, 8 these processes are recognized as important causes of biodiversity loss. This study 9 focuses on the African continent and analyses the potentials to achieve a stable food provision for a growing population, and at the same time reduce further losses of 10 biodiversity. These targets are important elements of the UN Agenda 2030. Using the 11 12 spatially explicit land-use model LandSHIFT, we assessed the effectiveness of different 13 land-sparing and land-sharing strategies to achieve these targets until the year 2030. The 14 simulation results indicate that under the assumptions tested, the land sparing approach 15 yields the most desirable results both, on the continental and the regional level. However, the land sharing/sparing framework in general and the research presented here are only 16 17 analyzing the effect of two factors of many (food production and biodiversity 18 conservation). Hence, they should not be understood to provide specific management 19 recommendations. Further studies, from the regional to the local level, are required that 20 apply a systems approach to understand and explain the multiple dimensions of 21 sustainable food production on the African continent.

22

Keywords: land sharing; land sparing; Biodiversity Intactness Index; land systems;
 scenario analysis; Africa;

# 26 **1. Introduction**

27

28 Over the past decades, the expansion of agricultural land and the intensification of 29 agricultural management have been indispensable for providing food, feed, bioenergy, 30 and biomaterials for a growing world population (Foley et al., 2005; Rudel et al., 2009). 31 Despite these efforts agricultural production in some sub-Saharan regions is not 32 sufficiently stable to fulfil food demands adequately, often resulting in a high risk of 33 malnutrition (e.g. Akombi et al. 2017; Bain et al 2013). At the same time, the resulting 34 conversion of natural ecosystems and increased application of pesticides and fertilizers 35 were identified as important causes for the loss of biodiversity (Balmford et al., 2012; 36 Newbold et al., 2015).

37

In the light of the projected population growth in many African countries, together with a shift to richer diets and more material-intensive individual lifestyles, the improvement of access to and availability of food in these regions will be a central issue for scientists, practitioners and politicians in the coming decades (e.g., Godfray et al., 2010). In this sense, Laurance et al. (2014) expect that continuing expansion and intensification of agriculture in sub-Saharan Africa will even aggravate the current conflicts between food production and conservation of biodiversity.

45

The effectiveness of further intensification as a strategy to slow down the expansion of
agricultural land and loss of natural vegetation while fulfilling food production
requirements is heavily debated in the scientific literature (e.g., Laurance et al., 2014;
Rockström et al., 2017; Tittonell and Giller, 2013). On the extremes, we find two
opposing positions: (1) the land sparing approach advocates the implementation of highly

51 intensified agricultural systems and a strict separation between managed and unmanaged 52 land (Green et al., 2005); (2) the land sharing strategy favors ecosystem-friendly 53 management practices with potentially lower crop yields but with less negative impacts 54 on biodiversity, e.g., by limiting the application of fertilizer and pesticides (Phalan et al., 55 2011; Tilman et al., 2012). However, recent studies highlight the need for an integrated 56 approach that supports sustainable intensification of agriculture to achieve both goals - a 57 halt of cropland expansion and the conservation of a biodiversity in natural and 58 agricultural systems (Fischer et al., 2014; Kassie et al., 2015; Tscharntke et al., 2012). 59 Finding appropriate solutions to this problem is a key challenge to fulfil the goals defined 60 by the "Sustainable Development Agenda" (Agenda 2030) of the United Nations (United Nations 2015). The UN recognizes the negative impacts of food insecurity and 61 biodiversity loss on human development issues by including them as priorities in the 62 63 "Sustainable Development Goals" (SDGs) for the period from 2015 until 2030. While 64 SDG 2 "End of Hunger" addresses food security, SDG 15 "Life on Land" demands the 65 preservation of biodiversity.

66

Land-change models in combination with the scenario technique can help to gain a better 67 68 scientific understanding of these trade-offs by exploring trajectories of future agricultural 69 development and their impacts on biodiversity. For example, Biggs et al. (2008) analyse 70 land-use scenarios and their effects on biodiversity in Southern Africa, while van 71 Soesbergen et al. (2017) focus on future agricultural development and its impacts on 72 biodiversity in Uganda, Rwanda, and Burundi. Delzeit et al. (2017) and Newbold et al. 73 (2016) present global studies analysing the trade-offs between cropland expansion and 74 biodiversity. However, most of the modeling studies that explicitly compare land sparing 75 and land sharing strategies either use highly idealized settings (e.g., Green et al., 2005) 76 or are conducted on the landscape level (e.g., Deguines et al., 2014; Egan & Mortensen, 77 2012).

78

79 In the study presented in this paper, we address this research gap by applying an 80 empirically driven, spatiotemporal simulation model for a continental scale analysis for 81 Africa. Our objective is to assess the potential to reach both goals that are defined by SDG 82 2 and SDG 15 until 2030: An adequate food production to end hunger and the 83 conservation of biodiversity. To achieve this, we conducted scenario-based simulation 84 experiments, using the land-use model LandSHIFT (Alcamo et al., 2011; Koch, 2010; 85 Rüdiger Schaldach et al., 2011). In the scenarios, the model used different crop 86 production intensities to calculate the resulting expansion of agricultural land and loss of 87 natural vegetation, respectively. Based in these model outcomes, we applied the 88 Biodiversity Intactness Index (BII) (Scholes and Biggs, 2005) to quantify the effects of 89 the calculated land-use changes on biodiversity losses.

90

# 9192 2. Materials and Methods

# 93 2.1. Study Design

To understand the potential for reaching the two goals biodiversity conservation and reduced expansion of farmland, we use the spatiotemporal simulation model LandSHIFT (Alcamo et al., 2011; Schaldach et al., 2011; Schaldach and Koch, 2009) in the context of a scenario analysis for the African continent. The base year of our analysis is the year 2000. We run the simulation model for ten years, until 2010, and use the simulation output for this year to validate the model. We then run the validated model until 2030 to explore three scenarios with varying intensity levels for agricultural activities. We combine our 101 spatial simulation results on land use and land cover with information from the GLOBIO-

102 3 framework (Alkemade et al., 2009) and apply the Biodiversity Intactness Index (Scholes

103 and Biggs, 2005) to explore the potential of reaching a halt of farmland expansion while 104 simultaneously reducing the corresponding detrimental effects on biodiversity in Africa.

simultaneously reducing the corresponding detrimental effects on biodiversity in Africa.
 Figure 1 shows how the different analysis components described in the following

106 sections form the workflow of our study.

107



108 109

Figure 1. Workflow of the study describing the steps of the analysis.

# 110

111 2.2. Land-Use Modelling

112 We used the spatially explicit land-use model LandSHIFT to simulate land use/cover 113 change at a spatial resolution of 5 arc minutes (approx. 9 km x 9 km at the Equator). 114 LandSHIFT has been successfully applied to Africa in previous studies (e.g., Alcamo et 115 al., 2011; Heubes et al., 2013; van Soesbergen et al., 2017). The model uses a cellular 116 automata approach; it works on a regular raster and allocates land use to grid cells based 117 on a weighted multi-criteria analysis, calculating potential suitability for different land-118 use activities (urban development, crop production, and livestock grazing). Based on 119 population numbers, a population density is determined for each cell. If the population 120 density exceeds a pre-defined threshold value, the dominant land use type on the respective cell is converted to urban. The same approach is applied for livestock grazing; 121 122 forage consumption drives cell-level stocking density (SD) for grazing animals. A cell's 123 land use type is converted to rangeland if the SD exceeds the pre-defined threshold. The 124 output of LandSHIFT simulations consists of land use/cover maps, population density 125 maps, and SD maps. Furthermore, a set of area and productivity statistics is included in 126 the model output.

127

# 128 2.3. Scenario Description

We use the UNEP GEO-4 scenario Sustainability First (Rothman et al., 2007) as a basis for our simulation experiment. Sustainability First's storyline has a strong focus on significant improvements of human nutrition and food security and on preserving valuable ecosystems, which are the core components of the SDGs forming the basis of this study (SDGs 2 and 15). According to van Vuuren and Carter (2014), this scenario can be classified as a "global sustainable development" archetype and shares comparable assumptions with the Shared Socioeconomic Pathway 1: Sustainability – Taking the
Green Road (e.g., O'Neill et al., 2017). Despite the availability of more recent scenarios,
we chose a UNEP GEO-4 scenario because these scenatios are well documented and
present clear ideas of how current social, economic, and environmental trends might
develop in the future. Moreover, they are to the knowledge of the authors the only
scenarios for the whole African continent that were developed in a participatory process
together with regional stakeholders (Rothman et al., 2007).

142

143 To evaluate the effect of agricultural intensification on biodiversity, we combined the 144 underlying assumptions for Sustainability First with three intensity levels for agricultural 145 activities. These intensity levels are variations of the assumptions on increase in crop 146 productivity specified for the Sustainability First scenario. We refer to the original 147 assumption on productivity increase, which we consider optimistic, as **PROD** 100. The 148 second level makes moderate assumptions on crop productivity increase by reducing the 149 original increase by 50% (referred to as **PROD 050**). For the third level, **PROD 000**, we define the productivity to remain at the year 2010 levels (i.e., no intensification of 150 151 agricultural production). We use PROD\_100, the scenario assumptions with the highest 152 productivity increase as way to represent a land sparing approach, whereas we use 153 PROD\_000 as proxy for a land sharing approach.

154

# 155 **2.4.** Input Data

# 156 2.4.1. Model Initialization

The first step in our analysis was the construction of a gridded land-use map for the year 2000 with a spatial resolution of 5 arc minutes. We generated the map by merging census data on cropland and grazing area (FAO 2014) for each country with MODIS land-cover data (e.g., the location of arable land) (Friedl et al., 2002). This map formed the basis for estimating the parameter values for the suitability analysis of the three land-use activities modeled by LandSHIFT. We provide a detailed description of the model initialization process in **Appendix A**.

164

# 165 2.4.2. Scenario Assumptions

166 We derived input for LandSHIFT from Sustainability First scenario calculations. Model 167 input data on the country level include population numbers, livestock numbers, crop production, and change in crop productivity due to agricultural intensification. Population 168 169 projections for the GEO-4 scenarios were computed by the IFs model (Hughes, 1999). 170 Under Sustainability First, Africa's population increases from approximately 0.8 billion in 2000 to about 1.48 billion in 2030. Future agricultural production and trade information 171 172 was computed by the IMPACT model (Rosegrant et al., 2008). Production of the major 173 crops increases from about 77 million metric tons to 172 million metric tons while crop 174 productivity due to technological change and improved management practices are 175 assumed to increase by 74% from an average grain yield of 1.34 t/ha to 2.33 t/ha. The 176 production of grazing livestock rises from about 66 million livestock units in 2000 to 120 177 million livestock by 2030. The calorie availability per capita and day is assumed to increase from below 2,000 calories/day up to about 3,000 calories/day. Due to the 178 179 scenario emphasis on biodiversity conservation, we excluded protected areas from being 180 converted to settlement, cropland or rangeland.

181

# 182 2.4.3. Other Input Data

183 We initialized LandSHIFT with a historical land-use map (hereafter referred to as base 184 map) representing the year 2000 (see section 2.4.1). Crop yields were provided through

185 LPJmL model simulations (Bondeau et al., 2007) for current climate conditions as 186 described in Schaldach et al. (2011). Other input datasets in the LandSHIFT model 187 include terrain slope (GAEZ; IIASA and FAO, 2000), population density (GRUMPv1; 188 CIESIN, 2011), road network density (gROADSv1; CIESIN, 2013), river network 189 density based on Lehner et al. (2006), the risk of tsetse fly occurrence (Wint and Rogers, 190 2000) and the location of nature conservation areas as defined in the world database on 191 protected areas (IUCN and UNEP-WCMC, 2014). We used data on the spatial 192 distribution of species diversity from Jenkins et al. (2013), who compiled a global gridded 193 dataset on five arc minutes on vertebrate diversity differentiating between birds, 194 mammals, and amphibians.

195

# 196 2.5. Model Validation

For model validation, we use a 10-year simulation period. We tested the plausibility of the suitability analysis and compared the calculated cropland extent with statistical country-level data for the year 2010. Hence, we validate our model on a spatial level different from the level on which the simulated process operates (i.e., grid cell level vs. country level). We provide a detailed description of the model validation process and results in **Appendix C**.

203

### 204 2.6. Biodiversity Intactness Index

We use the Biodiversity Intactness Index (BII) for quantifying the potential trade-offs between agricultural intensification (land sparing) and expansion of croplands and grazing lands (land sharing). The BII was developed initially for Southern Africa and describes species diversity at a particular point in space and time compared to the precolonial period before the year 1700 (Biggs et al., 2008; Scholes and Biggs, 2005).

210

We calculate the BII on the cell level. Each cell represents an ecosystem with the cell's size being its areal extent, and its species richness being based on the sum of birds, mammals and amphibians as given by Jenkins et al. (2013). The calculation of a cell-level BII allows for the calculation of an average value of BII on different spatial levels of interested (landscape, watershed, country, or ecoregion). Biggs et al. (2008) define the Biodiversity Intactness Index as:

217

$$BII = \frac{\sum_{i} \sum_{j} \sum_{k} R_{ij} A_{jk} I_{ijk}}{\sum_{i} \sum_{j} \sum_{k} R_{ij} A_{jk}}$$
(1)

218 219

Equation 1 defines BII as the average impact across taxa *i*, ecosystems *j*, and land use types *k*. The impact is defined as the population abundance of a given species or group of species relative to the reference state  $I_{ijk}$ , weighted by the areal extent of each land use  $A_{jk}$ and the intrinsic species richness of the ecosystems affected  $R_{ij}$ . A BII close to 100% indicates that species abundance is on the pre-colonial level, while values near 0% indicate that species become extinct.

226

For estimating the impact *I* of a particular land-use, we combine LandSHIFT output with information from the GLOBIO-3 framework (Alkemade et al., 2009). The GLOBIO-3 database provides data, which specifies the respective reduction of mean species abundance (MSA) for different land use categories and use intensities (Table 1). The values for reduction of MSA are then mapped to LandSHIFT simulation output. For example, build-up area reduces the original MSA by 95%. Cultivated land is further 233 subdivided into low-intensity agriculture with a reduction factor of 70% and high-234 intensity agriculture with a reduction factor of 90%. The proportions of low intensity and 235 high intensity agriculture are based on Dixon et al. (2001). For Northern Africa the share of intensive agriculture is 64% while in Sub-Saharan Africa it accounts for only 24% 236 237 (Table 2). We assign the class "extensive grazing" to cells where livestock density is 238 lower than the defined threshold value, and which still have the land-cover type of the 239 original ecosystem (e.g., Savannah). The threshold value was calculated by dividing the livestock (cattle) number by the rangeland area (FAO, permanent meadows and pasture) 240 241 for each African country separately. The resulting country specific mean grazing densities were averaged over all countries within each modeled African region (North Africa, 242 243 Western Africa, Central Africa, Eastern Africa and Southern Africa) with the result of a 244 threshold value defining the intensity of the grazing management. Accordingly, the class "man-made pastures" includes cells with high stocking densities and the land-use type 245 246 rangeland.

247

248**Table 1.** Mean species abundance (MSA) values under different land-use types. The

249 MSA values are based on (Alkemade et al., 2009) and (Biggs et al., 2008).

Land use type	MSA
Cropland	
Low input	0.30
Intensive	0.10
Grazing land	
Extensive grazing	0.70
Manmade pastures	0.10
Forest	
Primary forest	1.00
Lightly used forest	0.70
Secondary forest	0.50
Forest plantations	0.20
Natural vegetation	
Bare land	1.00
Savannah and grasslands	0.94
(moderate use)	
Urban	0.05

250

251 **Table 2.** Comparison of percentage of low and high intensity cropland in 2010

(Alkemade et al., 2009) and in 2030 as calculated by LandSHIFT for the three different
 productivity scenarios (PROD\_000, PROD\_050, and PROD\_100).

	2010	PROD_000	PROD_050	PROD_100
Northern Africa				
Low input	36%	36%	11%	2%
High input	64%	64%	89%	98%
Western Africa				
Low input	76%	76%	59%	46%
High input	24%	24%	41%	54%

Eastern Africa				
Low input	76%	76%	54%	45%
High input	24%	24%	46%	55%
<b>Central Africa</b>				
Low input	76%	76%	55%	42%
High input	24%	24%	45%	58%
Southern Africa				
Low input	76%	76%	53%	38%
High input	24%	24%	47%	62%

# 255 2.7. Trade-Off Analysis

256 We used a geographic information system to analyse the effect of land-use change on 257 biodiversity. For this purpose, we overlaid the four simulated raster maps-one for the 258 year 2010 and three for the scenario simulations for the year 2030-with the gridded map 259 of vertebrate diversity (Jenkins et al., 2013). We then combined this information with grid 260 cell information on land-use type, population density, and livestock density, and 261 calculated the BII for the five GEO-regions Northern Africa, Southern Africa, Eastern 262 Africa, Western Africa, and Central Africa (see Appendix A for a list of the countries 263 included in the different regions).

264

254

265 To calculate the BII, the fraction of intensive agriculture is required (see section 2.6). In 266 the PROD 000 scenario (no agricultural intensification) the fractions of intensive 267 agriculture is kept constant on the year 2000 level. For the intensification scenarios 268 PROD\_050 and PROD\_100, we define the change in fractions of intensive agricultural 269 based on the reduced extent of cropland as compared to the PROD\_000 scenario. For 270 example, in country A under PROD 000, cropland increases from 100 km<sup>2</sup> to 200 km<sup>2</sup> 271 and under PROD\_100 only to 150 km<sup>2</sup> which is 25% less area. Hence, the fraction of 272 intensive agriculture under PROD\_100 increases by 25% compared to PROD\_000. Table 273 2 shows the fraction of low intensity and high intensity agriculture for the base year and 274 the different scenarios. Starting point is the calculated 2010 map that was also used for 275 model validation (see section 2.5).

276

The results of our scenario analysis are displayed on a GEO region level (Table 3). Based on the results from the scenario analysis, we further evaluate the sensitivity of the BII calculations to cropland intensification. For this purpose, we expanded the cases tested by adding assumptions on the agricultural intensity. For each scenario, we test the outcome under the assumption of all cropland being high intensity as well as all cropland being low intensity agriculture. This is realized by using the corresponding MSA values listed in Table 1.

- 284
- 285 286 **3. Results**

# 287 3.1. Land Use and Cover Change

Figure 2 displays the spatial pattern of changes in cropland and pasture as calculated by LandSHIFT. In year 2010 (Figure 2 panel (A)), the total cropland area is 1.6 Mkm<sup>2</sup> amounting to about 5% of the total land area. Pasture area is 1.76 Mkm<sup>2</sup> while more than 6.7 Mkm<sup>2</sup> is used as extensive grazing land. The spatial pattern of land-use change until 2030 for the PROD\_000 and the PROD\_100 scenarios are displayed in Figure 2 panels (B) and (C), respectively. The simulations show that new land use areas are mainly located in the northern part of the sub-Saharan regions.



296

Figure 2. Spatial pattern of cropland and grazing land as calculated by LandSHIFT for
(A) the year 2010, (B) for the year 2030 with yield increases from the Sustainability
First scenario (PROD\_100), and (C) for the year 2030 without yield increases
(PROD\_000).

301

Table 3 summarizes the areas for the different land-use categories on the continental level. For cropland areas, all scenarios display in area increase as compared to the year 2010. The area increase ranges up to 0.81 Mkm<sup>2</sup> for the PROD\_000 scenario – the scenario with production intensity on the base year level. The scenarios with assumptions on productivity increase show considerable lower expansion of cropland area, with 0.35 Mkm<sup>2</sup> for the PROD\_050 scenario and 0.12 Mkm<sup>2</sup> for the PROD\_100 scenario.

308

Table 3. Absolute land-use areas in million square kilometres [Mkm<sup>2</sup>] on the
 continental level for the three different scenarios of agricultural intensity.

Continental Africa	2010	PROD_000	PROD_050	PROD_100
Light grazing	6.78	6.15	6.20	6.53
Pasture	1.76	2.94	2.57	2.17
Cropland	1.60	2.41	1.95	1.72
Forest	2.25	2.15	2.19	2.21
Natural vegetation	16.28	14.99	15.73	15.98
Urban area	0.05	0.07	0.07	0.07



312

313 Figure 3. Changes in land-use categories on the regional level (GEO-4 regions as

314 described in Appendix A, Table S1) for the different productivity scenarios. Values are provided in million square kilometres [Mkm<sup>2</sup>]. 315

316

317 On the continental level, the figures for pasture area show the same general trend between 318 scenarios as the cropland areas (Table 3), with the lowest area increase for PROD 100 319 (0.41 Mkm<sup>2</sup>) and the highest increase for PROD\_000 (1.18 Mkm<sup>2</sup>). On the regional level, 320 we observe a similar trend (Figure 3). Additionally, the simulation results display a shift 321 from extensively used grazing area to more intensively managed pasture in all scenarios 322 with the former decreasing. In 2010, the fraction of pasture to total grazing land is 21%. 323 In the PROD 000 scenario this fraction increases to 32%, in PROD 050 to 29% and in 324 PROD\_100 to 25%. Again, these trends can also be observed on the regional level (Figure 325 3). Here, Northern Africa is an exception; under the PROD 000 the results also indicate 326 an increase in extensively used grazing area.

327

#### 328 3.2. Effects of Land Use/Cover Change on Biodiversity

329 Figure 4 displays the relation between the Biodiversity Intactness Index (BII) and 330 absolute area with a change in land use/cover on the regional level for the year 2010 (0 331 km<sup>2</sup> converted) and the three different productivity scenarios. For 2010, the BII ranges 332 between 62% for Central Africa and 89% for Northern Africa. For all regions, the 333 scenario simulations show a larger area converted from natural/forest to other land 334 uses/covers with lower productivity level (Figure 3). As a result, we see a decrease in the BII from its value in 2010 over the PROD 100 and then the PROD 050 scenario, 335 336 reaching the lowest values for the PROD 000 scenario (Figure 4). Central Africa shows 337 the lowest decrease of all regions, with a BII of 89% in 2010 and a BII of 86% in 2030 338 for the PROD\_000 scenario. The strongest BII decrease is projected for Eastern Africa, with a decline form 69% in 2010 to 57% in 2030 for the PROD\_000 scenario. The BII
values for Northern Africa stand out due to the large difference in converted area between
the PROD\_050 scenario and the PROD\_000 scenario, resulting in a large reduction of
BII values.



343

Figure 4. Area converted from natural land cover (e.g., grassland, shrubland, barren
land and forest) to other land uses/covers and Biodiversity Intactness Index (BII) on the
regional level for the year 2010 and for the year 2030 under the three productivity
scenarios. As illustrated for Eastern Africa, in all regions the lowest area conversion is
under PROD\_100, followed by PROD\_050 and PROD\_000.

349

#### 350 3.3. Effects of Land-Use Intensity on Biodiversity

351 Figure 5 visualizes the simulation results for the trade-off analysis assuming different 352 management practices for cropland intensities combined with the different productivity 353 scenarios (see section 2.7). For the individual regions, we see the same trend as described in section 3.2, with the highest BII values for the PROD\_100 scenario and the lowest 354 values for the PROD\_000 scenario. Within each scenario, the value of low-input 355 agriculture marks the upper end of the calculated BII range and the value of intensive 356 357 agriculture marks the lower end of the calculated BII range. In general, the results indicate 358 no overlap between the ranges for the different productivity scenarios. However, there is 359 one exception for Western Africa. Here, the lowest detrimental impact from PROD 050 360 (60%) is slightly higher than the highest detrimental impact from PROD\_100 (59%). 361 Compared to the PROD 000 scenario, the other two scenarios display smaller variation in the BII across all regions. 362



**Figure 5.** Results for testing the response of Biodiversity Intactness Index (BII) value to varying levels of cropland intensity connected to Mean Species Abundance (MSA) values. The upper end of the BII range reflects an MSA value of low-input agriculture (0.3), the lower end of the BII range reflects an MSA value of intensive agriculture (0.1). The bars (and values listed at the bottom of the bars) display the level of impact by calculated intensification as described in section 2.7.

# 372 **4. Discussion**

373 In this study, we applied the land sharing/land sparing framework as introduced by Green 374 et al. (2005) and conducted scenario simulations with the LandSHIFTmodel with a five 375 arc min resolution for the African continent. We used the GEO-4 Sustainability First 376 scenario (Rothman et al., 2007) to drive our simulations because it is a good match for 377 our emphasis on two of the SDG, namely Zero Hunger and Life on Land (United Nations, 378 2015). We furthermore combined the scenario with different assumptions on yield 379 increases due to technological change to represent land sharing and land sparing. The 380 simulation results, including simulations on demands for urban area, cropland, and 381 grazing land, allowed us to quantify area required for food production. We then combined 382 the simulation results with indicators from GLOBIO (Alkemade et al., 2009) and data on 383 species abundance (Jenkins et al., 2013) to calculate the Biodiversity Intactness Index 384 (Scholes and Biggs, 2005), which we used as a way to quantify the trade-offs between 385 biodiversity conservation and production intensity, and hence land sharing/sparing. While 386 there have been several studies exploring the impacts of land-use change on biodiversity 387 in different African regions (e.g., Biggs et al., 2008; van Soesbergen et al., 2017) and on 388 the global level (e.g., Jantz et al., 2015; Newbold et al., 2016), this study is the first one 389 to analyse potential trade-offs and conflicts between between the two extremes of the land 390 sharing/sparing framework on the continental level for Africa.

391

#### 392 4.1. Effects of Agricultural Intensity

393 The major outcome of our analysis is, that under the scenario assumptions tested, and 394 given the use of BII as indicator for quantifying trade-offs between land sharing and 395 sparing, the land sparing approach (i.e., highly intensive agricultural activities) provided 396 the best results for the BII. This applies for both, the continental and the regional level. 397 Our results indicate that the lower land demand through intensification leads to lower 398 biodiversity losses (= higher BII values) even if local impacts on species abundance are 399 considerably stronger than in the low- and non-intensification case. Even when we 400 assume 100% of biodiversity loss under full intensification, the impact level would still 401 be lower than the hypothetical case of no intensification without any negative effects on 402 biodiversity intactness.

403 These results underline the importance of increasing crop productivity and more effective 404 grazing management as a prerequisite for slowing down the loss of natural ecosystems on 405 the continental level. They confirm the findings from other scenario analyses (e.g., Kok 406 et al., 2018; Tilman et al., 2017) and empirical studies that show the advantages of land 407 sparing for biodiversity conservation (Hulme et al., 2013; Phalan et al., 2011). In the light 408 of the existing high discrepancy between actual and achievable yields with an improved 409 agricultural management (Tittonell and Giller, 2013), the scenario assumptions regarding 410 the maximum crop yield increases until 2030 seem plausible, at least from the 411 technological point of view (Mauser et al., 2015). However, as Ray et al. (2012) point out, it is uncertain whether these potentials can be realized. Additionally, other authors 412 413 stress potentially negative climate impacts on crop yields (Challinor et al., 2007; 414 Schlenker and Lobell, 2010) which will demand specific adaptation measures in 415 agriculture. These uncertainties are reflected in the two sub-scenarios with lower yield 416 increases.

417

#### 418 4.2. Reflecting on the Land Sharing/Sparing Framework

419 Fischer et al. (2014) discuss key priorities for moving forward with the land sharing/land 420 sparing framework. Specifically, they recommend to structure the discussion around land 421 scarcity over food production and to acknowledge the limitations of trade-off analyses when using the land sharing/sparing framework. According to Fischer et al. (2014), 422 423 discussing land scarcity instead of food production will help to avoid criticism for 424 disregard of the role of food security and food sovereignty. Discussing land scarcity 425 acknowledges that not all agricultural production is for food and that the economic 426 demand for agricultural products is higher than the requirements for the actual need for 427 food (Fischer et al., 2014). The LandSHIFT model (Schaldach et al., 2011; Schaldach 428 and Koch, 2009) is well suited to analyze land scarcity at the larger scale. Our study 429 analyses availability of area required to fulfil the demand for different agricultural 430 activities. We found that at the continental and regional scale, there was no scarcity of 431 land suitable to produce the required demand for agricultural commodities. However, the 432 availability of land for crop production does not guarantee the on-the-ground 433 implementation of agriculture in a way that actually fulfils the demand. For this point, 434 we consider the discourse around food security and food sovereignty as complementary. 435 While our simulations showed that it is realistic to assume-at least under the 436 assumptions specified for the tested scenarios-that sufficient land resources are 437 available to meet the demand for agricultural products, studies on the regional and local level revolving around the topics of food security and food sovereignty are required to 438 439 implement fair and sustainable food production in Africa and to achieve the SDGs of Zero Hunger and Life on Land (e.g., Garibaldi et al., 2017; Nijbroek and Andelman,
2016; Waha et al., 2018).

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443 Fischer et al. (2014) point out that, while there is an intellectual value to trade-off analyses 444 for land sharing/sparing, these analyses have limited value to inform real-world decision 445 making. More specifically, the authors emphasize that land management decisions are 446 typically not made based on the two factors production and diversity, but are more likely 447 a "wicked" problem. These are problems where no single best solution exists (Game et 448 al., 2014). There is, however, a value to trade-off analyses. They can help to identify 449 situations where an increase in one factor leads to no or minimal detrimental effects on 450 the other factor (Fischer et al., 2014). Applying this advantage to our simulation results, 451 we can see that reflected in the regional differences (Figure 4, 5). When analyzing the 452 difference between the production intensities, we can see that for Central and Southern 453 Africa the effect of different agricultural intensities on biodiversity conservation is less 454 pronounced as compared to Northern, Eastern, and especially Western Africa. This means 455 that for Central and Southern Africa there exist allocations of crop production where 456 highly intensive agricultural activities have a relatively small negative effect on 457 biodiversity conservation. However, a trade-off analysis like ours provides no guidance 458 on which allocation or intensity level is the "socially preferable" one (Egli et al., 2018; 459 Fischer et al., 2014, p.151).

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# 461 **4.3.** Study Limitations and Next Steps

462 While we were able to identify important findings on land sharing/sparing trade-offs for 463 the African continent, there are some limitations to our study approach. The first major 464 limitation is that the effect of future climate on crop yields and biomass productivity was 465 not considered in this study. Since it is likely that a change in climatic conditions will 466 have a detrimental effect on crop yields (e.g., Challinor et al., 2007), our simulation 467 results may underestimating the amount of cropland and grazing area required to fulfill 468 future needs for food and feedstock production. At the same time our modelling approach 469 only considers the increase of stocking densities on grazing land but neglects other 470 mechanisms of intensification such as a change in the feed basket towards a larger share 471 of crops and residues (Herrero et al., 2013) which might significantly reduce the demand 472 for pasture and rangeland (Weindl et al., 2015).

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474 Another limitation of our analysis is the use of species diversity and richness data for 475 mammals, amphibians and birds (Jenkins et al. 2013). Other taxa with important 476 ecological functions such as plants, funghi and arthropods were not considered. Also, 477 while many studies on land sharing/sparing use species richness, it may not be the most 478 suitable descriptor of biodiversity (Phalan, 2018). This is because species richness does 479 not indicate changes in species composition and population size (Hillebrand et al., 2018; 480 Matthews et al., 2014). One way to avoid this issue would be to follow the 481 recommendations of Hill et al. (2016) and Mace et al. (2014) who suggest to use multiple 482 indicators to capture different dimensions of biodiversity loss.

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484 Our next steps will focus on improving the current limitations of our study. The use of 485 information on other taxa such as plants, fungi and arthropods was hindered by the 486 availability of data with a continental coverage. The same applies to the use of multiple 487 indicators for biodiversity as suggested by Hill et al. (2016) and Mace et al. (2014). This 488 shortcoming can be addressed as soon as suitable data for the African continent becomes 489 available. Hence, we will focus our efforts on a more detailed assessment of climate change effects on food production. Specifically, we suggest the use of climate scenario
simulations for the different RCPs (Moss et al., 2010) to prepare simulations of potential
future crop productivity under different climate conditions. This would allow the
quantification of the possible effect of changes in climate on crop yields, and hence more
detailed estimates of area demand for food production.

495 496

## 497 **5.** Conclusions

498 As with every scenario study, it is important to emphasize that our results are not forecasts 499 but projections of future developments valid only for the assumptions made for the tested 500 scenarios. The value of our study lies in the improved understanding of the availability 501 of land resources for future food production, and in quantifying how different production intensities affect biodiversity (specifically species abundance). Our method of combining 502 503 land change simulations with data from the GLOBIO-3 database on mean species 504 abundance to create a density-yield curve and using the Biodiversity Intactness Index is 505 a new way to quantify land sharing and land sparing trade-offs for large-scale simulation 506 studies. Our findings highlight the importance of agricultural intensification for achieving 507 the SDGs Zero Hunger and Life on Land. However, agricultural intensity and biodiversity 508 conservation are only two of many factors to consider when making decisions about food 509 production. When taking into account social and political factors, the land sparing approach might not be the favourable option. While the potential for food production is 510 511 given, many efforts on the national, regional, and local levels will be required to achieve 512 the SDGs and the best possible outcomes for human well-being.

513

#### 514 Acknowledgments

- 515 The authors would like to thank the anonymous reviewer for their constructive
- 516 feedback, which helped to improve the manuscript.

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#### 764 Supplementary material

#### 765 Appendix A - Model initialization and spatial units

766 The first step of the modelling exercise was the construction of a gridded land-use map (base-map) for the year 2000. Statistical information on crop cultivation on country level 767 768 was merged with MODIS land-cover data (e.g. location of arable land). Grazing land was 769 distributed by merging FAO data (permanent meadows and pastures) with country-level 770 livestock numbers according to the net primary productivity on each cell as calculated by 771 LPJmL (Bondeau et al., 2007). The result is a land-use map with grid-level information 772 on the spatial distribution of different crop types as well as area used for grazing. Based 773 on this base-map the parameter values for the suitability analysis of the three land-use activities modelled by LandSHIFT were estimated as described in Appendix B. 774

775

Central Africa	Eastern	Northern	Southern	Western
	Africa	Africa	Africa	Africa
Central African	Burundi	Algeria	Angola	Benin
Republic				
Chad	Ethiopia	Egypt	Botswana	Burkina Faso
Congo	Eritrea	Libya	Lesotho	Gambia
Dem. Rep. of	Djibouti	Morocco	Malawi	Ghana
Congo				
Equatorial Guinea	Kenya	Sudan	Mozambique	Guinea
Gabon	Madagascar	Tunisia	Namibia	Cote D'Ivoire
Sao Tome and	Rwanda		South Africa	Liberia
Principe				
-	Somalia		Swaziland	Mali
	Uganda		Tanzania	Mauritania
	0		Zambia	Niger
			Zimbabwe	Nigeria
				Guinea-
				Bissau
				Senegal
				Sierra Leone
				Τοσο

**Table A1:** Grouping of the African countries in GEO-regions (Rothman et al. 2007)

#### 778 Appendix B - Estimation of model parameter values

In the LandSHIFT model the preference of each grid cell for the different land-use types
is determined with a multi-criteria analysis according to the following equation
(Schaldach et al., 2011):

$$\psi_{k} = \underbrace{\sum_{i=1}^{n} w_{i} \ p_{i,k}}_{suitability} \times \underbrace{\prod_{j=1}^{m} c_{j,k}}_{constraint} , with \sum_{i} w_{i} = 1, and p_{i,k}, c_{j,k} \in [0,1]$$

$$(1)$$

782

The factors  $p_i$  reflect the most important geographical and biophysical drivers that affect suitability for a particular land-use type. The factor-weights  $w_i$  determine the importance of each factor at grid cell k, while  $c_j$  determine constraints for changing the land-use type of a cell. Both  $p_i$  and  $c_j$  are normalized by value functions transforming the factor values to a co-domain from 0 to 1.

788

Constraints  $c_j$  are applied in cells that are designated as nature conservation areas or according to possible transitions of land-use types. For example, it is assumed that a cell formerly used as rangeland is more suitable for being converted to cropland than a forest cell. Furthermore the risk of tsetse fly occurrence limits the suitability for rangeland.

793

LandSHIFT distinguishes between the three land-use activities settlement (METRO),
crop cultivation (AGRO) and grazing (GRAZE). Each of these activities implements its
own evaluation scheme. For METRO and GRAZE the factors (Table B1) were deduced
from literature sources as described in Alcamo et al. (2011).

798

799	Table B1: Suitability factor weights for the two land use activities METRO and GRAZE
800	for Africa.

Activity	Factor/constraint	Description	Default factor weight
METRO	Factor	Terrain slope	0.4
	Factor	Road infrastructure	0.6
	Constraint	Land use transition	
	Constraint	Conservation area	
GRAZE	Factor	Terrain slope	0.2
	Factor	River network density	0.2
	Factor	Grassland NPP	0.2
	Factor	Proximity to cropland	0.2
	Factor	Population density	0.2
	Constraint	Land use transition	
	Constraint	Conservation area	
	Constraint	Tsetse fly abundance	

801

802 In contrast, for AGRO the factor weights were determined for each of the five GEO-803 regions individually, based on the land-use data of the country with the largest cropland 804 area within each region. For this purpose we used is the criteria importance through intercriteria correlation (CRITIC) method proposed by Diakoulaki et al. (1995). An example 805 806 of its application can be found in Schaldach et al. (2013). The method involves four steps. 807 The first step is to calculate the standard deviation  $\sigma$  for each parameter  $p_i$  according to 808 the initial land-use and land-cover pattern represented in the base map. This standard 809 deviation is an expression for the contrast intensity of each parameter  $p_i$  in respect to the 810 other parameters. The second step is to determine the linear correlation coefficient  $(c_{ii})$ 811 between all parameters  $p_i$ . When these correlation coefficients are summed up according 812 to equation (2), the second step acquires a measure of the conflict created by parameter 813  $p_i$  with respect to the rest of the parameters.

$$\sum_{j=1}^{n} (1 - c_{ij}) \tag{2}$$

814 The third step is to aggregate the previously quantified information (contrast intensity and conflict) into one term following equation (3). This term  $(Inf_i)$  is an expression for the

- 815
- information carried by each parameter  $p_i$ . 816

$$lnf_{i} = \sigma_{i} * \sum_{j=1}^{n} (1 - c_{ij})$$
(3)

- 817 The fourth and last step involves the calculation of  $w_i$  for each parameter  $p_i$ . This is
- 818 accomplished by normalizing the resulting values  $Inf_i$  for each parameter  $p_i$  to 1 according 819 to equation (4).

$$w_i = \frac{lnf_i}{\sum_{j=1}^n lnf_j} \tag{4}$$

820 The parameter values obtained for the five regions with the CRITIC method are 821 summarized in Table B2.

822

823 Table B2: Suitability factor weights for the land-use activity AGRO and the identified 824 regions of Africa.

Suitability factor	Central Africa	Eastern Africa	Northern Africa	Southern Africa	Western Africa
Slope	0.145	0.182	0.206	0.131	0.078
Proximity to agriculture	0.118	0.068	0.056	0.093	0.142
Population density	0.316	0.290	0.390	0.006	0.299
Road infrastructure	0.181	0.147	0.163	0.204	0.158
Crop yield	0.180	0.261	0.227	0.239	0.257

825

### 826 Appendix C - Model validation

Validation of the LandSHIFT model was done for the model assumptions regarding the
cell suitability for cropland (suitability validation) and the calculated quantity of cropland
expansion (Schaldach et al., 2011).

830

## 831 a) Validation of the suitability analysis

Cropland suitability is one of the key factors in land-use change decision making since it determines the most qualified sites for agricultural expansion or abandonment. Thus, it is important to test a models ability to compute this suitability. For the purpose of this study, two spatial methods to compare the accuracy of crop suitability calculation with estimates of the real location of areas used for agricultural cultivation were applied. LandSHIFT calculates cropland suitability as function of input variables within a range from 0 to 1. The real location of cropland is derived from the initial land use map for the year 2000.

839

840 The first method compares the frequency distributions of calculated cropland suitability 841 on observed cropland grid cells to non-cropland grid cells. Our hypothesis is that cropland 842 is located on grid cells with a high suitability rating since we expect that cropland has the 843 highest priority compared to other kinds of land use. Non-cropland should be located on 844 grid cells with lower suitability for crop cultivation respectively. The results as shown in 845 Table C1 verify our hypothesis. The values show that the mean suitability of cropland 846 cells is higher as for non-cropland cells.

847 848

Table C1: Results from the suitability evaluation	1.
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<b>GEO-region</b>	Mean suitability	Mean suitability	AUC
	Non-cropland	Cropland	
Northern Africa	0.40	0.51	0.881
Western Africa	0.36	0.52	0.846
Central Africa	0.35	0.55	0.794
Eastern Africa	0.34	0.53	0.874
Southern Africa	0.31	0.51	0.821

### 849

850 The second method is the calculation of the relative operating characteristics (ROC) of 851 the simulated crop suitability map against the base land use map. The ROC metric 852 allocates proportions of correctly and incorrectly classified spatial predictions (Pearce 853 and Ferrier, 2000; Pontius Jr and Schneider, 2001). In this context, computed values of 854 crop suitability are ranked and compared, whether or not they correspond to a grid cell that is either cropland or not. A cell is a true positive, if it has been observed as cropland 855 856 grid cell and a false positive if the grid cell has been identified as non-cropland. This 857 process is applied to all cropland grid cells. The measure of performance for the ROC test 858 is the area under the resulting curve (Figure C1). A value of 1.0 indicates a perfect fit of 859 the current cropland distribution with areas identified as most suitable by the model. If 860 the suitability for crop cultivation would be randomly distributed among cropland and 861 non-cropland cells, the area under curve would be 0.5. This part of the evaluation has 862 been done for the five African regions separately. We find AUC values between 0.794 (Central Africa) and 0.881 (Northern Africa) that indicate that the cropland cells of the 863 864 initial map can predominantly be found on locations with high suitability and are not 865 randomly distributed (Table C1, Figure C1).



867

Figure C1: Relative Operating Characteristics (ROC) curves for the five different
 GEO-regions.

#### 871 b) Validation of model output

In contrast to the first method for testing model performance, which was focused on the 872 873 location of change, the second method involves the test for the correct quantity of change. 874 Cropland area is used as the indicator here because an independent set of country scale 875 estimates has been made available from the UN Food and Agriculture Organization (FAO 876 2014). Model efficiency ME (Janssen and Heuberger, 1995; Loague and Green, 1991) 877 has been selected as the degree of agreement between the LandSHIFT model results and 878 the observed FAO data on country level. A value of 1.0 indicates perfect agreement 879 between modeled and observed values. The model is run from 2000 until 2010 with 880 statistical data for agricultural production from FAO as input. Then the calculated cropland area for each country in 2010 is compared to FAO statistics (n=51). Table C2 881 882 summarizes the results. We find ME values between 0.69 (Northern Africa) and 0.98 (Western Africa) indicating that the model has a high skill to reproduces the observed 883 884 quantities of cropland change on country level.

885

**Table C2:** Model efficiencies calculated for the years 2000 and 2010.

Geo-region	<b>ME 2000</b>	ME 2010
Africa Total	0.98	0.96
Central Africa	0.91	0.96
Eastern Africa	0.77	0.96
Northern Africa	0.89	0.69
Southern Africa	0.96	0.86
Western Africa	0.97	0.98

887