

1 **Investigation on acoustic reception pathways in finless porpoise (*Neophocaena***
2 ***asiaorientalis sunameri*) with insight into an alternative pathway**

3 Zhongchang Song^{1, 2}, Yu Zhang^{1, 3*}, T Aran Mooney², Xianyan Wang^{4*}, Adam B
4 Smith², Xiaohui Xu¹

5 ¹Key Laboratory of Underwater Acoustic Communication and Marine Information Technology of
6 the Ministry of Education, College of Ocean and Earth Sciences, Xiamen University, Xiamen
7 361005, People's Republic of China

8 ²Biology Department, Woods Hole Oceanographic Institution, Woods Hole, MA 02543, USA

9 ³Department of Mechanical Engineering, Massachusetts Institute of Technology, Cambridge
10 02139, MA, USA

11 ⁴Laboratory of Marine Biology and Ecology, Third Institute of Oceanography, State Oceanic
12 Administration, Xiamen 361005, People's Republic of China

13 *Email: yuzhang@xmu.edu.cn; wangxianyan@tio.org.cn

14

15 **Abstract**

16 Sound transmission and reception are both vital components to odontocete echolocation
17 and daily life. Here, we combine computed tomography (CT) scanning and Finite
18 Element Modeling to investigate the acoustic propagation of finless porpoise
19 (*Neophocaena asiaorientalis sunameri*) echolocation pulses. The CT scanning and
20 FEM wave propagation model results support the well-accepted jaw-hearing pathway
21 hypothesis and suggest an additional alternative auditory pathway composed of
22 structures, mandible (lower jaw) and internal mandibular fat, with different acoustic
23 impedances, which may also conduct sounds to the ear complexes. The internal
24 mandibular fat is attached to the ear complex and encased by the mandibles laterally
25 and anteriorly. The simulations show signals in this pathway initially propagate along
26 the solid mandibles and are transmitted to the acoustically coupled soft tissue of the
27 internal mandibular fat which conducts the stimuli posteriorly as it eventually arrives
28 at ear complexes. While supporting traditional theories, this new bone-tissue-
29 conduction pathway might be meaningful to understand the hearing and sound
30 reception processes in a wide variety of odontocetes species.

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33 **Key Words:** Finless porpoise; Reception pathway; Acoustic propagation; Finite
34 element method

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59 **1. Introduction**

60 Odontocetes have a remarkable capability to actively control produced sounds (Au
61 1993, Au and Hasting 2008). This ability stems from their complex sound production
62 and beam formation systems, which involve different sets of anatomical structures
63 including the solid skull, fluid air components and soft tissues (Aroyan *et al* 1992,
64 Cranford *et al* 1996, Song *et al* 2016, 2017b, Wei *et al* 2017, Zhang *et al* 2017). The
65 combination of these structures forms a natural acoustic material, which provides a
66 gradient of sound speeds and densities to efficiently influence echolocation beam
67 formation (e.g., Zhang *et al* 2017). Bats are often compared to odontocetes with respect
68 to their echolocation abilities (Au 1993, Au and Hasting 2008, Thomas *et al* 2004,
69 Popper and Fay 1995). Despite their body size and the different acoustic properties of
70 the media in which they live (air versus water), these animals have much in common,
71 with both utilizing several separate components to control the propagation and beam
72 formation of projected signals. Some bats have a lancet, sella and anterior leaf in its
73 sound transmission system, which all play roles in beam formation (Zhuang and Muller
74 2006). In odontocetes, there are skull structures, air components and soft tissues to help
75 form efficient sound beams to detect targets (Thomas *et al* 2004, Aroyan *et al* 1992,
76 Song *et al* 2016, Wei *et al* 2017, Zhang *et al* 2017). The transmission systems of these
77 two taxa both consist of several components with different anatomy and acoustic
78 properties.

79 With respect to hearing, bats have a set of distinct pinna (outer ear) and tragus (skin
80 in front of ear canal) to conduct sounds into the middle and inner ears for further
81 analysis (Popper and Fay 1995). As for odontocetes, they have developed unique
82 pathways and complex auditory anatomical structures for sound reception (McCormick
83 *et al* 1970, Brill *et al* 1988a, 1988b, 1991, 2001, Møhl *et al* 1999, Norris *et al*, 1961,
84 1964, 1968, 1969, Norris and Harvey 1974, Ketten 2000, Aroyan 2001). Sounds enter
85 the ear complex via the external mandibular fat pad lying between the skin and posterior
86 lower jaw. Sound is thought to then traverse the jaw thorough a thin portion of bone
87 called the pan-bone. Afterwards, it is conducted into the internal mandibular fat before
88 reaching the tympano-periotic complex. This “jaw hearing” theory was first proposed
89 by Norris (Norris 1964, 1968) and supported in later psychoacoustic and modeling
90 experiments (Brill *et al* 1988a, 1991, Møhl *et al* 1999, Bullock *et al* 1968). This theory
91 is reasonable from an acoustic impedance matching perspective. Soft tissues are
92 distributed extensively within the odontocetes body. The fat body of the mandible has
93 an acoustic impedance close to water (Varanasi and Malins 1970, Song *et al* 2015,
94 2017a, Wei *et al* 2017). As sound will be refracted towards low sound speed media, this
95 probably helps explain, to some extent, how sound is directed toward the bulla complex
96 from mandibular fat (Norris 1968).

97 Previous studies have raised suggestions of additional modes of odontocete
98 sound reception (Purves 1966, Purves and Pilleri 1983, Cranford *et al* 2008a, 2008b,
99 Goodson and Klinowska 1990). The external auditory meatus was once thought as a
100 route for signals to reach the ear, especially for low frequency sounds (Purves and Pilleri
101 1983, Renaud and Popper 1975, Popov and Supin 1990). But this was challenged in

102 physiological, anatomical and behavioral studies (McCormick *et al* 1970, Brill *et al*
103 1988b, 1991, Møhl *et al* 1999), which showed the external auditory meatus might be
104 vestigial and lower jaw region was important for sound reception. New ideas in sound
105 reception theory and new information for sounds reception pathways have arisen in
106 recent years (Mooney *et al* 2008, 2014, Cranford *et al* 2008b). Cranford *et al* (2008b)
107 refined the notion of jaw hearing theory and proposed a “gular pathway”, which
108 describes sounds enter the internal mandibular fat channel through the ventral margin
109 of the mandible in a Cuvier’s beaked whale (*Ziphius cavirostris*). Mooney *et al* (2008)
110 stated that there might be acoustic channels beginning at the tip of the rostrum for a
111 beluga whale (*Delphinapterus leucas*) when they measured a good hearing sensitivity
112 at this region. Relative high hearing sensitivities on lower jaw tip were also reported in
113 Risso’s dolphins (*Grampus griseus*) and a Yangtze River finless porpoise
114 (*Neophocaena phocaenoides asiaorientalis*) (Mooney *et al* 2015, Mooney *et al* 2014).
115 Even the teeth overlying the lower jaw are considered as a periodic structures system
116 to play roles in sound reception of the odontocetes and as a passive resonator system
117 (Goodson and Klinowska 1990, Dible *et al* 2009, Dobbins 2007, Graf *et al* 2008). These
118 studies broaden our view of sound reception in odontocetes as well as raise new points
119 for additional research. Though the jaw hearing theory and gular pathway theories seem
120 to be widely accepted in odontocetes hearing regardless of the species difference, much
121 work remains to be done to explain issues e.g. why the hearing sensitivity is high at the
122 tip of the rostrum in many species (Mooney *et al* 2008).

123 Most studies related to odontocetes hearing are presented on delphinids, and
124 only a few focus on finless porpoises (Popov *et al* 2005, Mooney *et al* 2014). Finless
125 porpoises are small odontocetes, distributed in both fresh and marine habitats (Pilleri
126 and Gahr 1972). They produce high frequency echolocation clicks with narrow
127 bandwidths to detect targets (Li *et al* 2007). Their audiogram shape is similar to that of
128 many odontocetes species (Popov *et al* 2005, Mooney *et al* 2008, 2014). The best
129 hearing sensitivity of a Yangtze finless porpoise (*N. a. asiaorientalis*) was found
130 adjacent to the mandibular fat pad (Mooney *et al* 2014). This area of sensitivity appears
131 similar to many other odontocetes e.g., the bottlenose dolphin (*Tursiops truncatus*) and
132 beluga whale (*D. leucas*). However, the detailed sound propagations inside the head
133 pathways have not been investigated yet for finless porpoises. The goal of this study is
134 to examine sound reception pathways in finless porpoises (*Neophocaena asiaorientalis*
135 *sunameri*) using computed tomography (CT) scanning and numerical simulation. The
136 resulting finite element models help describe the likely sound reception pathways for
137 this species. The results are meaningful to probe into the sound propagation pathways
138 and roles of mandibular fat bodies and mandible in conducting sounds to the tympano-
139 periotic complex. The target animal is a small odontocetes species and the information
140 here could strengthen the understanding of sound reception pathways in this species
141 and provide reference for the sound reception on other odontocetes.

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143 **2. Materials and Methods**

144 **2.1 CT scanning, sound speed and density estimates**

145 The specimen was a finless porpoise which stranded in Xiamen waters on March
146 29, 2016 and was delivered immediately to Radiology Department of Affiliated
147 Zhongshan Hospital of Xiamen University for CT scanning. The cause of death was not
148 determined. CT scanning provides an efficient way to image and subsequently
149 reconstruct the anatomical structures of the porpoise's head and allows the major
150 components of the sound emission and reception systems to be clearly seen (Figure 1).

151 In this study, we focused on the sound reception pathway of the finless porpoise
152 specimen. The components of the sound reception system are arranged along the ventral
153 portions of the solid skull and are connected with the mandibles. The acoustic fat in this
154 region consists of two general components (external and internal mandibular fats)
155 encasing the posterior mandible, also referred to as the pan bone (shown in Figures 1B-
156 E). The external mandibular fat, pan bone and internal mandibular fat make an "acoustic
157 window," which lies in the posterior parts of the mandible and is thought to be one of
158 the primary routes of sound into the head and ear complexes in odontocetes (Norris
159 1968, Ridgeway 1999, Bullock *et al* 1968, McCormick *et al* 1970, Møhl *et al* 1999,
160 Cranford *et al* 2008b). The internal fat body fills in the pan bone cavity and extends
161 posteriorly to attach to the ear complexes. We extracted a 2-D XZ sound reception path
162 in axial plane (Figure 1A) from the 3-D reconstruction of the porpoise head, shown in
163 Figure 1E, for subsequent numerical simulation. The similar process was repeated in
164 sagittal plane to extract an YZ sound reception path.

165 From the CT scanning results, we built geometric models of the head and
166 reconstructed the acoustic properties (sound speed and density) of head structures. We
167 obtained the Hounsfield Unit (HU) distribution of the porpoise head and subsequently
168 cut the forehead tissues of the specimen into pieces, similar to what we did in a previous
169 study (Zhang *et al* 2017). For each of the pieces, HU values were measured through CT
170 imaging. We used a set of ultrasound probes to emit broadband sound impulse to travel
171 through the tissue pieces and measured the corresponding travelling time. The thickness
172 of the tissue pieces was used to divide the sound travelling time to obtain the tissue
173 pieces' sound speed. The Archimedes principle was employed to measure each pieces'
174 volume, which was used to divide its mass to determine density. Afterwards, regression
175 analysis was used to find linear relationships between tissue pieces' HU and sound
176 speed, as well as HU and density. The relationships were then combined with CT
177 scanning data of the whole head to reconstruct its sound speed and density distributions
178 (Zhang *et al* 2017). Details of the sound speed and density reconstruction could be
179 found in our previous studies (Zhang *et al* 2017, Song *et al* 2015, 2017b).

180

181 **2.2 Geometric models and numerical simulations**

182 The CT data were used to build the geometrical models of the sound reception
183 system in both the XZ and YZ sections (Figure 2). The sound speed and density settings
184 of soft tissues in the models strictly followed the reconstructions. The sound speeds and
185 densities for water and air were set as 1500 m/s and 998 kg/m³, 343 m/s and 1.21 kg/m³,
186 respectively. The density, compressional wave speed and shear wave speed of the solid
187 skull structures followed previous studies (Dible *et al* 2009, Dobbins 2008, Graf *et al*
188 2007).

189 We first examined the wave propagations and acoustic fields initiated from five
 190 sound source locations (a, b, c, d, e) outside the porpoise head in both the XZ and YZ
 191 planes (Figure 2). The point "h" (located at the mouth) was set as a reference point. The
 192 distances between "h" and the sound sources were kept at 0.3 m, resulting in incident
 193 angles of $-30^\circ, -15^\circ, 0^\circ, 15^\circ, \text{ and } 30^\circ$ for sound source locations at $a, b, c, d, \text{ and } e$,
 194 respectively. The sound propagation from the peripheral water into the head was
 195 examined. We placed reception points R1 and R2 in XZ section and R in YZ section in
 196 the internal mandibular fat, right anterior to the ear complexes. Using sounds
 197 originating from each of the 5 source locations, the received signals at R1 and R2 were
 198 compared.

199 Numerical computations were presented in the time domain. A short duration pulse
 200 with the following formula was used for all sound-source excitations:

$$Q_m = A_0 e^{\alpha_0 t} \sin 2\pi f_0 t \quad 0 \leq t \leq t_0 \quad (1)$$

$$Q_m = A_1 e^{(-\alpha_1 t + \alpha_2 t_1)} \sin 2\pi f_0 t \quad t_0 \leq t \leq t_{end} \quad (2)$$

202 where A_0 and A_1 are signal amplitudes, $\alpha_0, \alpha_1, \text{ and } \alpha_2$ are the attenuation parameters
 203 to control the bandwidth of the pulse, and f_0 is peak frequency of the signal. The variable
 204 t is the time variable, with t_0 quantitatively expressing the time from signal onset to peak
 205 amplitude, and t_{end} is the terminal time of the signal and describes time from the signal
 206 peak amplitude to the end. And t_1 is used as a time offset control to make pulse signal
 207 continuous at t_0 . The f_0 was set as 125 kHz. $\alpha_0, \alpha_1, \text{ and } \alpha_2$ were the same, set as 38000.
 208 t_1 was $1/f_0 * 11$. A_0 and A_1 were both set as 1, to represent normalized pressure. The
 209 characteristics of this source signal follow statistical analysis of *in situ* signal recordings
 210 of the species which have been reported in previous work (Song *et al* 2017c, Zhang *et*
 211 *al* 2017).

212 The Finite Element Method (FEM) was used to numerically solve the wave
 213 propagation problems. To meet the computing requirements, the numerical models in
 214 Figure 2 were meshed into small size elements one-tenth of the wavelength of the sound
 215 waves travelling in the media. After sounds were excited at the source, the wave
 216 propagations in the models followed the pre-set acoustic equations, which can be found
 217 in our previous studies (Song *et al* 2016, 2017b, Zhang *et al* 2017). All soft tissues and
 218 air components were modeled as fluids, and bony structures were treated as solids in
 219 which the shear waves and compressional waves were both considered. The equations
 220 to describe the sound propagations within the solid and fluid medium are different
 221 (Song *et al* 2016, Zhang *et al* 2017, Dible *et al* 2009, Cranford and Krysl 2015).
 222

223 3. Results

224 3.1 Sound speed and density reconstructions

225 The sound speed and density results of the chosen XZ planes (axial section) are
 226 given in Figure 3A and 3B, respectively, which suggest that the sound speed and density

227 of the mandibular fat are lower than muscle and connective tissues. We also examined
228 the sound reception process in the YZ section (the sagittal section). Three different
229 planes in the YZ section were extracted and their sound speed and density distributions
230 were estimated (Figures 4B-G). The sound speed and density distributions of the
231 midline plane (YZ plane 1), shown in Figures 4B and 4E, provide a way to view the
232 sound transmission system of the forehead.

233 In YZ section, the auditory bulla are located in plane 2 and plane 3 (Figure 4A).
234 The sound speed distributions of these two sections are shown in Figures 4C and 4D,
235 and their density distributions are given in Figures 4F and 4G. To provide an initial
236 glimpse into the jaw hearing theory conduction pathways within the head, we mapped
237 the process in a XZ plane, shown in Figures 3C. Incoming sound waves enter the
238 odontocete head through the external mandibular fat, then traverses the pan bone and
239 propagate along the internal mandibular fat body before causing the ear bones to vibrate.

240

241 **3.2. Simulation in the axial section (XZ section)**

242 The sound reception system along the ventral portion of the finless porpoise head
243 couples structures with different acoustic impedance into a reception pathway. The
244 simulations began in the XZ plane by placing the sound source at a 0° orientation to the
245 head (Point *c*, Figure 2A). We modeled the sound source emitting an omnidirectional
246 sound pulse outside the head at point *c* and the resulting acoustic fields at four different
247 time points t_1 0.06 ms, t_2 0.16 ms, t_3 0.2 ms, and t_4 0.24 ms are shown in Figure 5. At
248 time t_2 0.16 ms, sound waves reached the head. To better examine these effects we
249 highlighted the sound propagation details at times t_2 , t_3 and t_4 , shown respectively in
250 the lower part of Figure 5.

251 When sounds originated from directly in front of the animal, the presence of the
252 mandible and internal mandibular fat created a waveguide for sounds to travel to the
253 ear complexes. Inside the head, the sounds caused vibration and displacement in the
254 solid mandible and then the mandible led sounds along the pellucid fat within the
255 internal cavity of the lower jaw, before reaching the ear complexes.

256 Additional simulations examined the effect of sounds emitted from incident angles
257 from -30° to 30° (Figure 6), which created different sound fields within the mandible
258 and adjacent tissues. These simulations further verified the sound reception pathway
259 described above regardless of the sound incoming directions. The mandible and internal
260 mandibular fat formed a channel for sounds to propagate to the ear complexes in all
261 these cases. Two series of waves propagated along the external and internal mandibular
262 fat from all incident directions. A portion of the sound was laterally reflected back by
263 the mandible. As the incident angle changed from -15° to -30° the reflection caused by
264 the mandible become greater (Figures 6A and 6B). A similar effect was seen when
265 sounds were emitted by sources located at 15° and 30° (Figures 6C and 6D).

266

267 **3.3. Simulation in the sagittal section (YZ section)**

268 Simulations were also run in the YZ section. Figure 7 illustrates sound fields at
269 times t_1 0.06 ms, t_2 0.16 ms, t_3 0.2 ms, and t_4 0.24 ms. In this case, the sound source
270 incident angle was 0° . The details of sound propagation at times t_2 0.16 ms, t_3 0.2 ms,

271 and t_4 0.24 ms are enlarged and shown in lower part of Figure 7. The details showed
272 that the pathway formed by mandible and internal mandibular fat also held true in this
273 plane. The sounds which propagate to the porpoise's head would induce waves in the
274 mandible. These waves then propagated along the internal mandibular fat to the ear
275 complexes. The series of sound waves utilizing the pathway were depicted by the arrow
276 1 at propagation times t_3 and t_4 . The sound propagations at times t_3 0.2 ms, and t_4 0.24
277 ms showed another series of sound wave, indicated by arrow 2, which supported the
278 jaw hearing theory and "gular" way (Cranford *et al* 2008b). After entering the head
279 through external mandibular fat, the modeled click traversed the jaw and reached the
280 internal mandibular fat on the way to the ear complex (Figure 7).

281 The simulation in this plane was extended to additional cases with sound source
282 placed at incident angles from -30° to 30° (Figure 8). A look into sound propagations at
283 time t_3 of the cases with sound incident angles of -30° , and -15° suggested that when
284 sound came from these sources, the primary pathway for sounds to enter ear complexes
285 appeared to be within the external mandibular fat, jaw and internal mandibular fat,
286 locations and modalities which have been described in the "jaw hearing" and "gular
287 pathway" theories. However, as sound sources were moved upward, above the
288 horizontal in cases with incident angles of 15° , and 30° respectively, the propagation
289 fields at time t_3 (Figures 8C and 8D) showed the pathway formed by mandible and
290 internal mandibular fat was the dominating one. The results here suggest more than one
291 pathway for sounds to enter the ear complexes.

292 We analyzed the signal pressures at reception points R1, R2 in XZ plane and R in
293 YZ plane (Figure 2). The sound pressure amplitude arriving at the reception points R1,
294 R2 and R are shown in Figures 9A, 9B and 9C respectively. In Figure 9A, the sound
295 pressures at reception point R1 in XZ plane from sound sources -30° , -15° , 0° , 15° , and
296 30° are given at *a*, *b*, *c*, *d*, and *e* respectively. The histogram distribution in Figure 9A
297 gives the normalization of highest sound pressure amplitude of the signals from these
298 points. Figures 9B and 9C show the similar signal information for reception points R2
299 in XZ plane and R in YZ plane. In XZ plane, the sound pressure arriving at the reception
300 point R1 has a highest amplitude from 30° and the highest pressure amplitude at
301 reception point R2 comes from -30° . In XZ plane, sounds emitted from locations on the
302 side of the head caused higher sound pressure amplitudes in the ipsilateral ear than those
303 in the contra-lateral ones. In YZ plane, sounds emitted from -15° lead to the highest
304 pressure amplitude. Figure 9 helps us to understand that sounds outside the head always
305 have a pathway to reach the ear complexes but the pathway efficiency is different.

306

307 **4. Discussion**

308 The results reveal that the auditory system of the finless porpoise is composed of
309 multiple structures with different acoustic impedances, including solid skull and soft
310 tissues, to form a waveguide for sounds to enter the ear complexes (Figures 1, 3 and 4).
311 The impedance differences between the structures can lead to sound refraction and
312 reflection during sound propagation but more importantly, form a sound channel. The
313 acoustic impedance match between the acoustic fat and water is considered as an

314 important factor for sounds to propagate with low attenuations (Varanasi and Malins
315 1970), and likely makes the mandibular fat a preferential path for sounds entering the
316 odontocete head. The internal and external mandibular fat have similar sound speeds
317 and densities. However, greater effort could address their inherent distinctions, e.g.,
318 their lipid compositions and carbon contents (Litchfield *et al* 1973), which might help
319 to build a better understanding on the organizations of the fats.

320 We combined CT scanning with numerical simulation methods to investigate the
321 hearing pathways in finless porpoise. The data and resulting models can potentially be
322 used as a comparative reference for other odontocetes. The simulations shown in
323 Figures 5, 6, 7 and 8 corroborate the “jaw hearing” and subsequent “gular way” theories
324 (Norris 1964, 1968, Cranford *et al* 2008b). More importantly, this study reveals an
325 alternative pathway for sounds to be guided to the ear complexes of the finless porpoise.
326 In this canal, mandible and the fat, which is housed in the mandible cavities play critical
327 roles. Sounds, when reaching the mandible, will initially be conducted along the
328 mandible, then propagate to the internal mandibular fat and finally arrive at the ear
329 complexes. This alternate pathway is a bone-tissue conduction one. The pathway is
330 supplementary for the jaw hearing and gular pathways. In these three pathways, the
331 internal mandibular fat is important due to its adjacency to ear complexes. The
332 difference among these pathways resides at sound entrance to the internal mandibular
333 fat. In jaw hearing, the sounds enter the hearing system from external mandibular fat
334 and then transverses the pan bone to reach internal mandibular fat. The gular pathway
335 uses the soft tissue region at mandible’s ventral margin to enter internal mandibular fat.
336 Based on the results in this study, the mandible builds a guide for sounds to reach
337 internal mandibular fat. The mandible plays as a waveguide in the bone-tissue-
338 conduction pathway here. Interestingly, when the sounds are emitted below the
339 horizontal axis in YZ plane, the “jaw hearing” pathway seems to be a primary canal for
340 reception (Figures 8A and 8B). As sound sources are moved to locations above the
341 horizontal (Figures 8C, and 8D), jaw hearing becomes less effective and the pathway
342 described in this paper more efficiently conducts sounds to ear complexes. When
343 sounds were emitted right in front of the head, two pathways both work to guide sounds
344 (Figure 7).

345 The front portion of the mandibles was found to be the beginning of the potential
346 alternative reception pathway described here. The results might support the auditory
347 evoked potential measurements on a Yangtze river finless porpoise (Mooney *et al* 2014),
348 a beluga whale, (Mooney *et al* 2008), and a Risso’s dolphin (Mooney *et al* 2015). These
349 studies demonstrated relatively good hearing sensitivity, and often lower comparable
350 thresholds, at the tips of study animals’ rostrums. While the authors ascribe these lower
351 hearing thresholds to hearing responses generated by both ears, an additional hypothesis
352 is that the good hearing sensitivity at rostrum tip might be related to the bone conduction
353 in current paper. In a recent study, Popov *et al* (2016) concluded the sound conduction
354 to the auditory system is frequency dependent. The areas of best sensitivity shifted
355 when the frequency of the acoustic stimuli changed. Generally, the middle parts of
356 lower jaw (mandible) had the best hearing sensitivities. The rostrum tip just showed a
357 relatively high sensitivity for middle-frequency (32 kHz-64 kHz) acoustic stimuli

358 (Popov *et al* 2016). The simulations here introduced an alternative reception pathway
359 for the target animal, but whether this pathway is responsible for the high hearing
360 sensitivity at the rostrum tips of Beluga whale, Risso's dolphin and Yangtze finless
361 porpoise still needs future studies to address.

362 The influence of teeth on reception was not examined here. Teeth overlying the
363 mandible have been suggested to function as transducer arrays to create acoustic band
364 gaps for coming sounds and guide sounds in many studies (Goodson and Klinowska
365 1990, Dible *et al* 2009, Dobbins 2007, Graf *et al* 2008) although this is often debated.
366 These studies extracted and treated teeth as an independent periodic system (Dible *et al*
367 2009, Graf *et al* 2008). The surrounding tissues and mandible were ignored in their
368 models. When the teeth were treated as an acoustic array, they're similar to photonic
369 crystal system and will form band gaps and passes to control sound propagations
370 (Vasseur *et al* 2001). But it might be different when regarding them as part of the total
371 odontocete reception system and their roles might be weakened. As stated, there's no
372 establishment to describe the neural links between the teeth arrays and auditory centers
373 (Ketten 2000).

374 The primary purpose of this work was to model and describe the likely hearing
375 pathways of the finless porpoise. We introduced an additional potential pathway for
376 sounds to reach to ear complexes in this species. Yet it should be noted that the hearing
377 process in this species, and in odontocetes in general, is highly complex and involves
378 more systems as contributors, such as the middle ear, inner ear, cochlea, basilar
379 membrane and neural morphometry (Ketten 2000). For example, the number and size
380 of the cells in cochlea and neural system explains how odontocetes have a wide hearing
381 frequency range (Bullock and Gurevich 1979, Wever *et al* 1971, Ridgeway 1986,
382 Woods *et al* 1986). However, detailed inclusion of all of these contributors is beyond
383 the scope of the work conducted here. Also, a typical narrowband click of the species
384 is used across the directions (Song *et al* 2017c). Normally, the finless porpoises are
385 exposed to various sound signals in their habitats. Future studies might be conducted
386 to use other kinds of signals. The sound source outside the head was set as
387 omnidirectional, which was also an assumption. The real soundscape encasing the
388 animal could be very complicated and sounds could reach the animal in many forms.
389 Whatever the form, the sound pathway disclosed here might still be reliable for various
390 sounds coming to the animal. Though only one animal was used here, the results are
391 potentially applicable to other individuals of this species and some odontocetes species
392 (e.g., other porpoises and some delphinids) given the general similarity of their auditory
393 anatomical systems.

394

395 **5. Conclusions**

396 The results presented here suggest an alternative pathway for sounds to reach the
397 ear complex that is complementary to the established jaw hearing and gular pathways.
398 This mandible pathway is plausible from perspectives of anatomy and numerical
399 simulation. The CT scanning and reconstructions of the head anatomy reveal the
400 mandibles, internal mandibular fat and ear complexes are organized to compose of a

401 reliable canal for sounds to propagate. The simulations show the sounds, once entering
402 mandibles, propagate along the mandibles, after which they reach the internal
403 mandibular fat. The sounds then are guided to the ear complexes by the internal
404 mandibular fat.

405 The results for sound propagation in a porpoise here produce implications for our
406 understanding of hearing in odontocetes. This alternate pathway deserves additional
407 empirical research to verify its reliability. It should also be examined in other
408 odontocetes. Overall, the data reflect that sound is likely received by the head as whole
409 and how it is best conducted and later interpreted can likely be affected by angle of
410 incidence. There may be no signal pathway, rather the head and mandible acts like the
411 tragus of a bat, guiding sound to the bulla complex from many directions. Beyond angle,
412 tested here, sound reception pathways may also be affected by frequency and other
413 signal parameters. As we seek to address how odontocetes use sound for acoustic
414 behaviors, or how they may be impacted by noise, these models can provide an
415 important step towards interpreting the potential impacts or influences of acoustic
416 signals and the overall soundscape in which the animal inhabits.

417

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429

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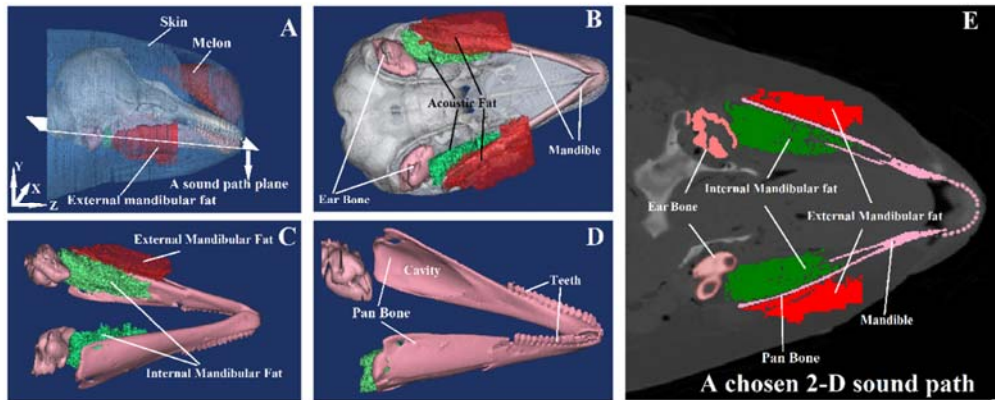
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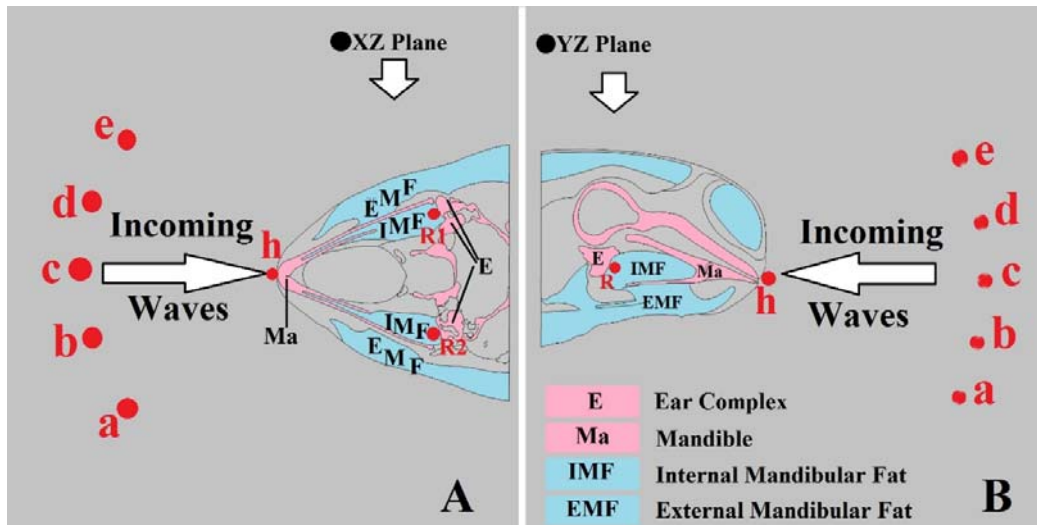
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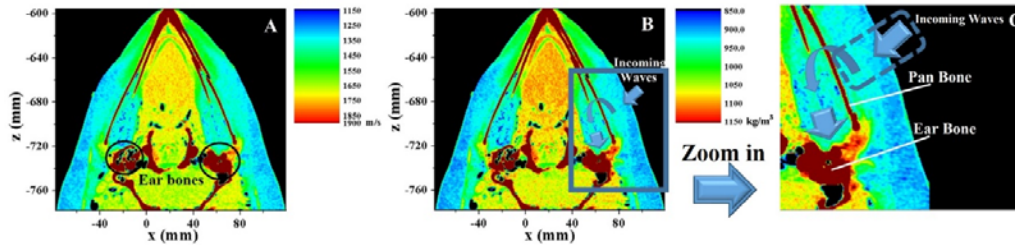
575 **Figure Legends**



576
 577 **Figure 1** (A) The reconstructed head of the finless porpoise (*N. a. sunameri*) in three dimensions.
 578 (B) Major components of the biosonar reception system, with ear bone and mandible shown in pink.
 579 (C) Acoustic fat includes external mandibular fat (red) and internal mandibular fat (green). (D) The
 580 external mandibular fat (red) and internal mandibular fat (green) encase the pan bone, with internal
 581 mandibular fat lies in the pan bone cavity. (E) A chosen 2-D sound path of the reception system.
 582 Figure 1 provides a frame of the reception system of the species. The internal mandibular fat lies
 583 within the cavity of pan bone and attaches to the ear bone. The external mandibular fat overlies the
 584 pan bone and extends outward to the skin, while the teeth overlies the mandibles.

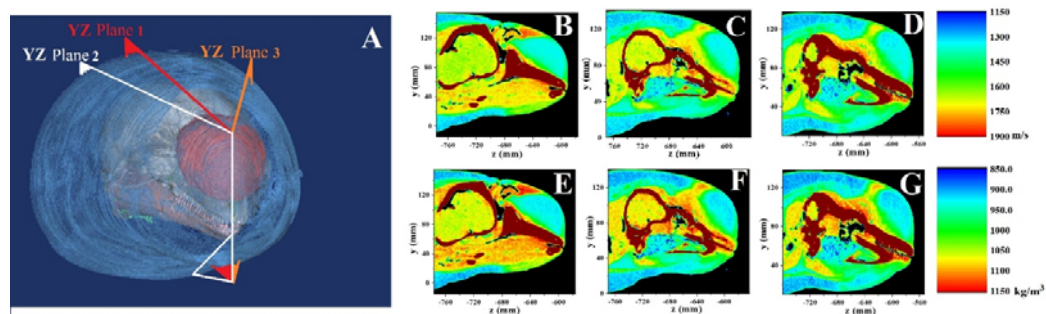


585
 586 **Figure 2** The illustration of the model layouts in the XZ section (A) and YZ section (B), where the
 587 major components of the reception system are given. The points outside the head models are set as
 588 separate sound sources, with a same distance to the head reference point h as 0.3m. The angles of
 589 the points a, b, c, d, and e orienting point h are -30° , -15° , 0° , 15° , and 30° respectively.



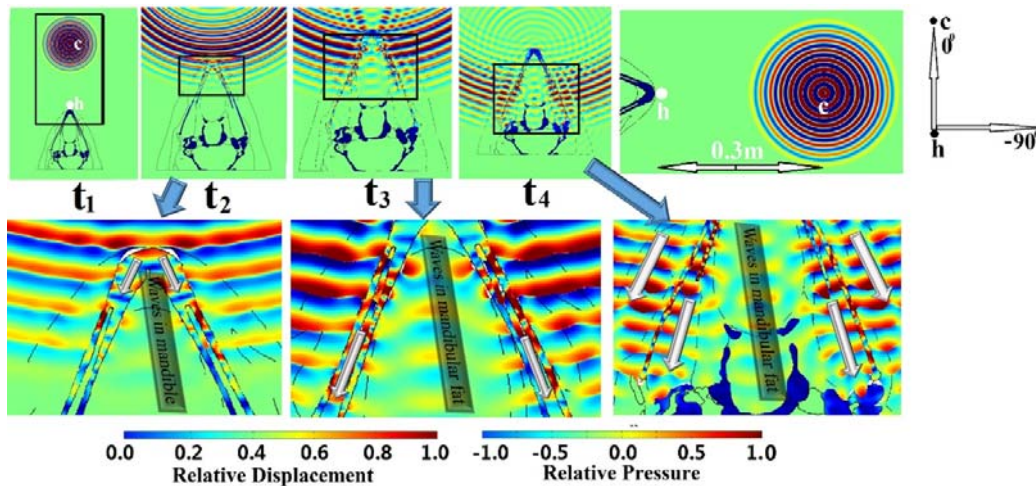
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591 **Figure 3** Sound speed (A) and density (B) reconstructions of the porpoise sound reception path in
 592 a XZ plane. The distributions clearly suggest the sound speeds and densities are lower in internal
 593 and external mandibular fat than in surrounding tissues. The box in B is enlarged and shown in C to
 594 give more details of the jaw-hearing pathway for the species. The incoming sound waves enter the
 595 porpoise through an “acoustic window” (Norris 1968), transverse the pan bone and propagate along
 596 the internal mandibular fat to arrive at the ear complexes.



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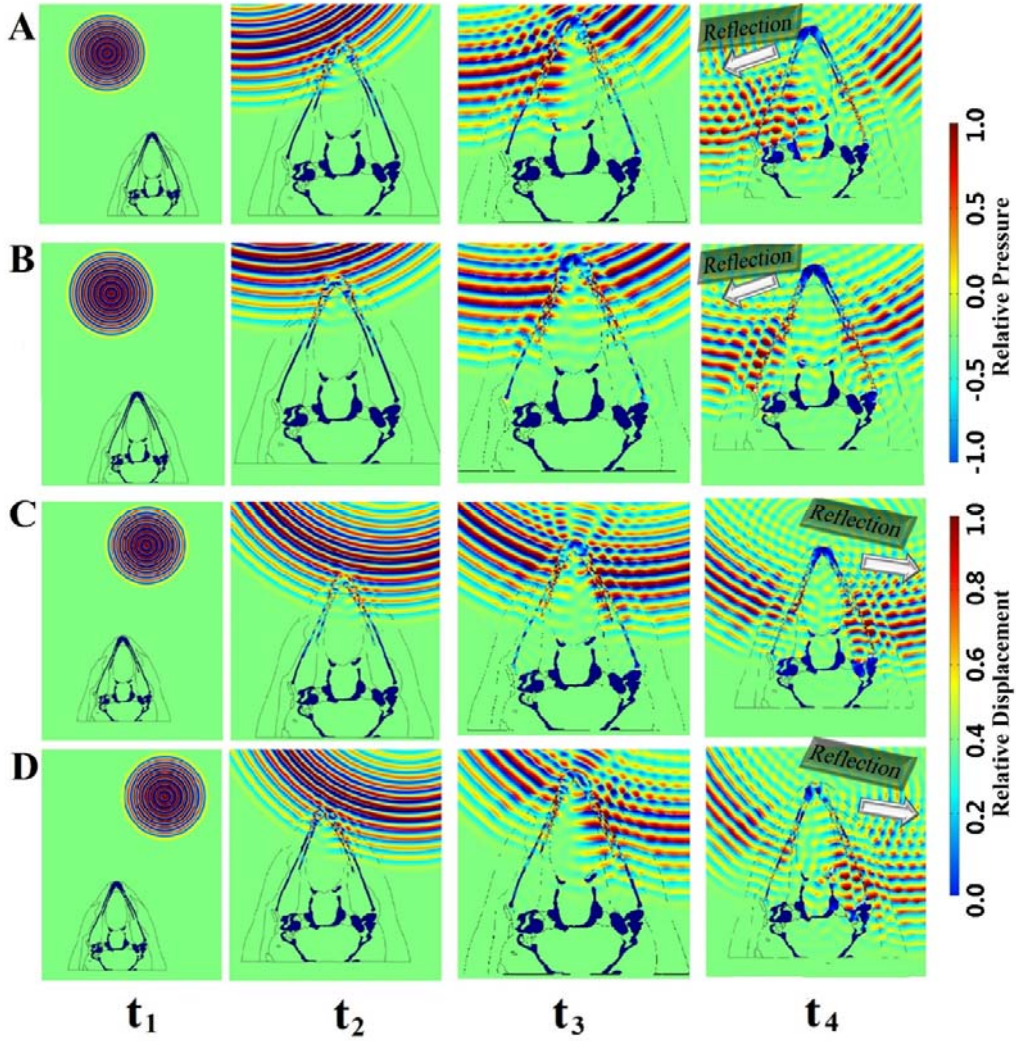
598 **Figure 4** (A) Three YZ planes are denoted. (B) Sound speed distribution of YZ plane 1; (C) Sound
 599 speed distribution of YZ plane 2; (D) Sound speed distribution of plane 3; (E) Density distribution
 600 of YZ plane 1; (F) Density distribution of YZ plane 2; (G) Density distribution of plane 3; Sound
 601 speeds and densities are lower in the forehead core, internal and external mandibular fat than in
 602 surrounding tissues.



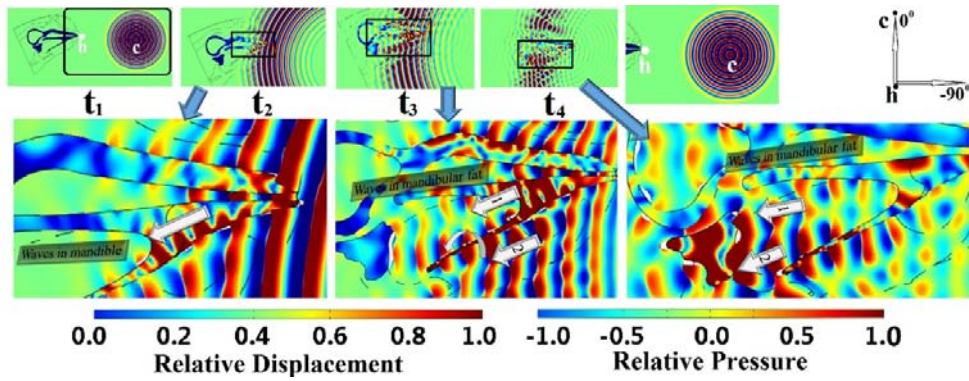
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604 **Figure 5** Propagation plots for an omnidirectional short-duration impulse source, with an incident
 605 angle of 0° in XZ plane, where t_1 , t_2 , t_3 , and t_4 correspond to the propagation times at 0.06 ms, 0.16
 606 ms, 0.2 ms, and 0.24 ms, respectively. The propagation details at times t_2 , t_3 , and t_4 are enlarged and
 607 shown below. The waves propagate within the mandibles to internal mandibular fat and then are

608 guided to the ear complexes along the internal mandibular fat. Relative Displacement represents the
 609 normalized displacement in the solid mandibles and skull structures; Relative Pressure represents
 610 the normalized sound pressure in the fluid tissue. Points c and h represent the sound source and
 611 reference point at head, between which the distance is 0.3 m.



612
 613 **Figure 6** Propagation plots of four omnidirectional short-duration impulse sources in XZ plane,
 614 where A, B, C, and D correspond to the cases with different incident angles to the head, with A as -
 615 30°, B -15°, C 15°, and D 30° respectively. And t_1 , t_2 , t_3 , and t_4 represent propagation times of 0.06
 616 ms, 0.16 ms, 0.2 ms, and 0.24 ms. The distance between the sources and the head is 0.3 m.



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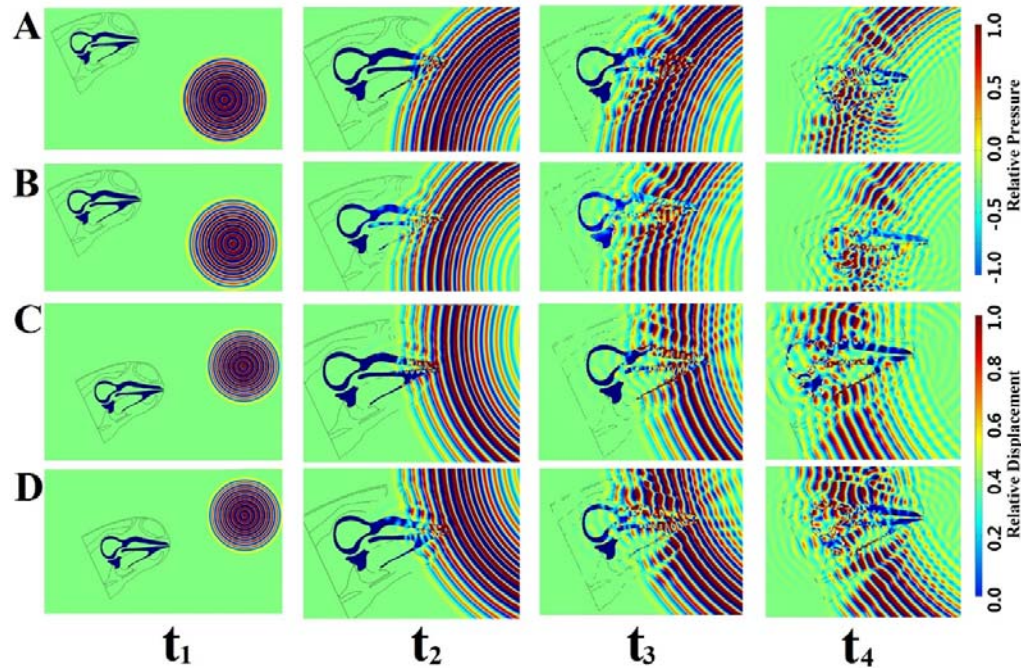
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Figure 7 Propagation plots of an omnidirectional short-duration impulse source with an incident angle of 0° in YZ plane, where t_1 , t_2 , t_3 , and t_4 correspond to the propagation times at 0.06 ms, 0.16 ms, 0.2 ms, and 0.24 ms, respectively. The propagation details at times t_2 , t_3 , and t_4 are enlarged and shown in lower half, where the waves have two separate series to propagate to the ear complexes. A wave series propagates within the mandible and then along the internal mandibular fat, arriving at the ear complexes (Arrow 1). Another sound wave follows the traditional jaw-hearing pathway (Arrow 2), entering the external mandibular fat and transverse the mandible to reach internal mandibular fat, along which the sound waves propagate to the ear complexes. The difference between these two pathways resides at sound entrance to the internal mandibular fat. In an alternative pathway revealed in current paper (Arrow 1), the mandible builds a guide for sounds to reach the internal mandibular fat. In jaw hearing pathway (Arrow 2), the sounds enter the hearing system from external mandibular fat and then transverse the pan bone to reach internal mandibular fat. The distance between points c and h is 0.3 m.



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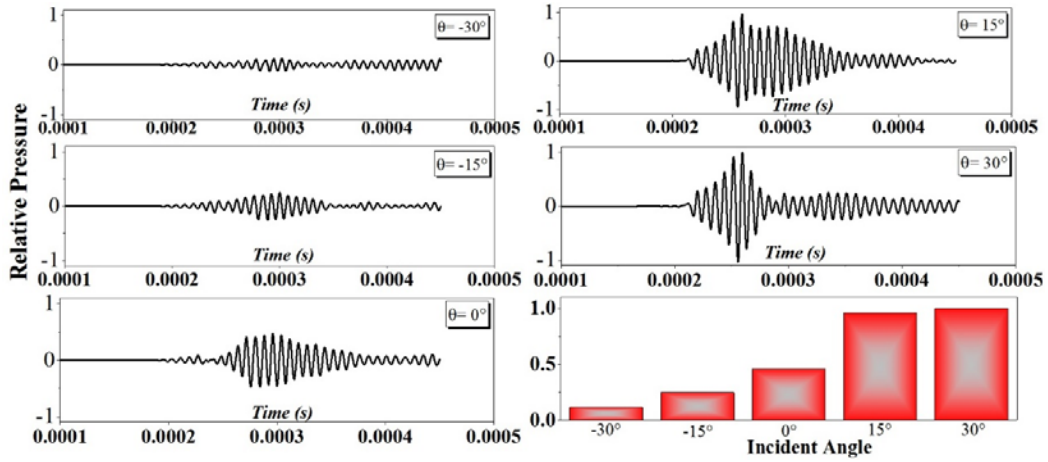
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Figure 8 Propagation plots of sound sources emitted from different incident angles -30° , -15° , 15° , and 30° are shown in A, B, C, and D respectively. The propagations at times t_1 , t_2 , t_3 , and t_4 correspond to 0.06 ms, 0.16 ms, 0.2 ms, and 0.24 ms, respectively. The sounds emitted below the

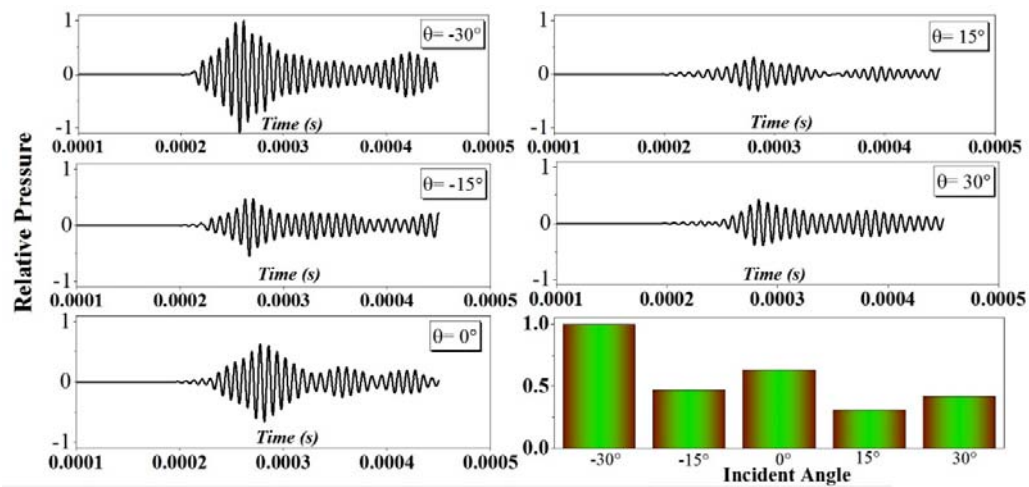
635 horizontal causes the waves to propagate mainly along the jaw-hearing pathway while those above
 636 the horizontal induce the sounds to propagate mainly along the alternative sound pathway. Relative
 637 displacement and sound pressure in the solid skull structures and in the fluid tissue are shown by
 638 the icons. The distances between sound sources and head are 0.3 m.

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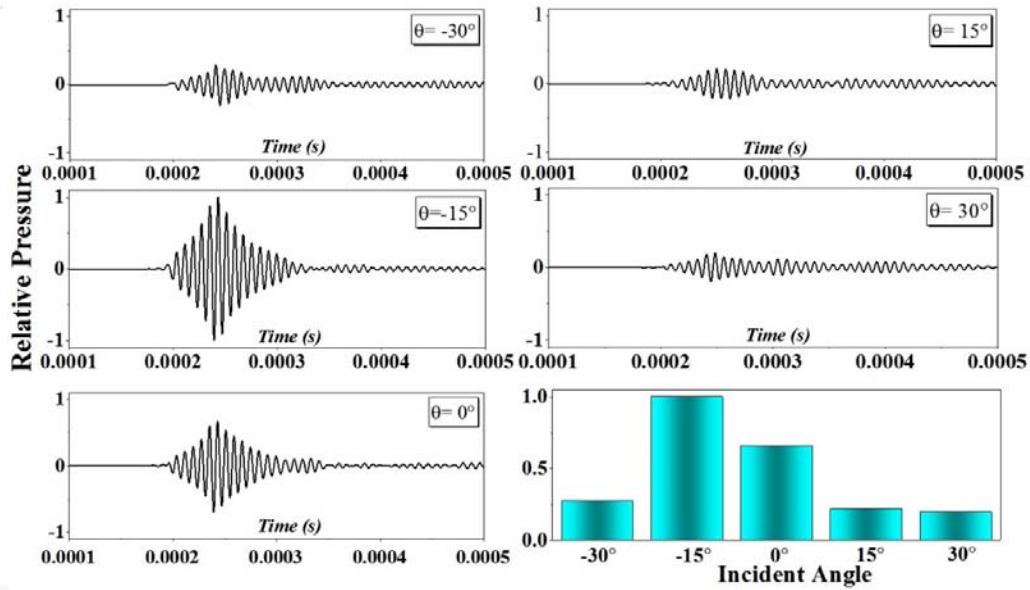


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B



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Figure 9 This Figure shows the signals arriving at the right ear complex (A) and left ear complex (B) in XZ section, and (C) ear complex in yZ section. In the right ear complex of the XZ section, shown in A, the highest amplitude arrives from 30° and the right sound sources with incident angles of 15° , and 30° induce stronger amplitudes than those of the left ones from -30° , and -15° . In the left ear complex of XZ section, shown in B, the situation is similar. The highest amplitude arrives from -30° . The pressure amplitudes from orientations -30° , and -15° are higher than those from 15° , and 30° . In YZ section, the highest amplitude comes from -15° .