

1 Physical Sciences/Earth, Atmospheric, and Planetary Sciences

2 **Asynchronous warming and  $\delta^{18}\text{O}$  evolution of deep Atlantic water masses during the last**  
3 **deglaciation**

4 **(Short title: Asynchronous deglacial warming of the deep Atlantic)**

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25 **Abstract**

26 The large-scale reorganization of deep-ocean circulation in the Atlantic involving changes in North  
27 Atlantic Deep Water (NADW) and Antarctic Bottom Water (AABW) played a critical role in  
28 regulating hemispheric and global climate during the last deglaciation. However, changes in the  
29 relative contributions of NADW and AABW and their properties are poorly constrained by marine  
30 records, including  $\delta^{18}\text{O}$  of benthic foraminiferal calcite ( $\delta^{18}\text{O}_c$ ). Here we use an isotope-enabled  
31 ocean general circulation model with realistic geometry and forcing conditions to simulate the  
32 deglacial water mass and  $\delta^{18}\text{O}$  evolution. Model results suggest that in response to North Atlantic  
33 freshwater forcing during the early phase of the last deglaciation, NADW nearly collapses while  
34 AABW mildly weakens. Rather than reflecting changes in NADW or AABW properties due to  
35 freshwater input as suggested previously, the observed phasing difference of deep  $\delta^{18}\text{O}_c$  likely  
36 reflects early warming of the deep northern North Atlantic by  $\sim 1.4^\circ\text{C}$  while deep Southern Ocean  
37 temperature remains largely unchanged. We propose a thermodynamic mechanism to explain the  
38 early warming in the North Atlantic, featuring a strong mid-depth warming and enhanced  
39 downward heat flux via vertical mixing. Our results emphasize that the way ocean circulation  
40 affects heat, a dynamic tracer, is considerably different than how it affects passive tracers like  
41  $\delta^{18}\text{O}$ , and call for caution when inferring water mass changes from  $\delta^{18}\text{O}_c$  records while assuming  
42 uniform changes in deep temperatures.

43 **Significance Statement**

44 The reorganizations of deep Atlantic water masses are widely thought to regulate glacial-  
45 interglacial climate changes. However, the pattern of reorganizations and their impact on ocean  
46 tracer transport remains poorly constrained by marine proxies. Our modeling study, which  
47 simulates the coevolution of water masses and oxygen isotopes during the last deglaciation,  
48 suggests that deglacial meltwater input causes both northern- and southern-sourced deepwater  
49 transports to decrease. This reorganization pattern leads to asynchronous warming between the  
50 deep North and South Atlantic, which might have caused the observed deglacial phasing difference  
51 in deepwater oxygen isotope records between these ocean basins. We further propose a new  
52 mechanism to explain the early warming in the northern North Atlantic.

53 \body

54 A number of marine records provide evidence for major reorganizations of ocean circulation  
55 during the last deglaciation, especially changes in formation and transport of North Atlantic Deep  
56 Water (NADW) and Antarctic Bottom Water (AABW) (1, 2). Such deepwater mass changes were  
57 particularly pronounced during Heinrich Stadial 1 (HS1; 17.5–14.7 ka), when a significantly  
58 reduced Atlantic Meridional Overturning Circulation (AMOC) (3) led to a strong cooling in the  
59 Northern Hemisphere coupled with a warming in the Southern Hemisphere. However, the relative  
60 contribution of NADW and AABW to changes in deep circulation and its impact on tracer  
61 transport is poorly constrained during HS1, which limits our understanding of crucial ocean  
62 thermodynamic and dynamic processes that drive heat and freshwater transport as well as the  
63 carbon cycle and ultimately affect global climate (4, 5).

64 In addition to nutrient proxies such as  $\delta^{13}\text{C}$  and Cd/Ca, and kinematic tracers such as  
65  $^{231}\text{Pa}/^{230}\text{Th}$ , high-resolution deep-sea benthic foraminiferal calcite ( $\delta^{18}\text{O}_c$ ) records have also been  
66 commonly used to infer water mass changes (6–10).  $\delta^{18}\text{O}_c$  changes are well understood to reflect  
67 the combined influence of variations in deepwater temperature and the oxygen isotopic  
68 composition of the water ( $\delta^{18}\text{O}_w$ ). One notable feature of these  $\delta^{18}\text{O}_c$  records below 3000 m is the  
69 decrease in  $\delta^{18}\text{O}_c$  at the start of HS1 in the northern North Atlantic relative to that in the Southern  
70 Ocean (8). The cause of this phasing difference and its implication for abyssal water masses  
71 remains unclear, however, because of the difficulty of separating the effects of water temperature  
72 and  $\delta^{18}\text{O}_w$  on the  $\delta^{18}\text{O}_c$  signal. Some studies suggest that this phasing reflects changes in the deep-  
73 ocean circulation pattern, namely a northward expansion of southern-sourced  $^{18}\text{O}$ -depleted  
74 deepwater in response to a reduced AMOC (6, 7). In contrast, others conclude that this phasing  
75 reflects changes in the isotopic composition of source waters, with northern-sourced  $^{18}\text{O}$ -depleted

76 waters continuing to fill much of the intermediate and deep Atlantic with a moderately reduced  
77 AMOC (8–10). Assessing the potential contribution of temperature to the  $\delta^{18}\text{O}_c$  records requires  
78 the development of high-resolution temperature reconstructions (e.g., Mg/Ca) to isolate the  $\delta^{18}\text{O}_w$   
79 signal, but this has proven to be difficult for intermediate and deep-ocean water masses (11). Here  
80 we provide an independent means to evaluate this issue by using an isotope-enabled ocean general  
81 circulation model that simulates changes in both water-mass temperature and  $\delta^{18}\text{O}_w$  in response to  
82 changes in ocean circulation during the last deglaciation.

83

#### 84 **Isotope-enabled, Transient Ocean Simulation**

85

86 Numerous modeling studies have explored possible forcing mechanisms involved in the deglacial  
87 evolution of the ocean (12–17). One challenge faced by these models is in comparing and  
88 validating their results directly against proxy records, either because the models do not simulate  
89 the same geo-tracers estimated by proxies, or because those models that simulate proxy-related  
90 geo-tracers are forced by idealized climate forcing (e.g., idealized freshwater perturbations for a  
91 few hundred years). We address this challenge by enhancing a state-of-the-art ocean general  
92 circulation model (Parallel Ocean Program version 2, POP2) with the capability of simulating  
93  $\delta^{18}\text{O}_w$ , and conducting a first three-dimensional, isotope-enabled, transient ocean simulation with  
94 realistic geometry and forcing conditions.

95 We first implemented an oxygen isotope module in POP2 (iPOP2), where  $\delta^{18}\text{O}_w$  is forced  
96 by the isotopic fluxes at the ocean surface and passively transported in the ocean interior, and then  
97 validated iPOP2 under present-day climate conditions. We next forced iPOP2 with  $\delta^{18}\text{O}_w$  at 0‰  
98 under Last Glacial Maximum (LGM, ~22 ka) climate conditions (iLGMspin), with  $\delta^{18}\text{O}$

99 composition of hydrological variables (precipitation, evaporation, and river runoffs) constructed  
100 based on an isotope-enabled atmospheric model (18) under LGM conditions. We stopped  
101 iLGMspin after 4,000 years when  $\delta^{18}\text{O}_w$  reached a global volume-mean value of 1.05‰, within  
102 the uncertainties of the reconstructed LGM value of  $1.0\pm 0.1\%$  (19), but before it reached full  
103 equilibrium.

104 Starting from the LGM state at 22 ka, we performed a transient simulation (iPOP2-  
105 TRACE) to the late Bølling-Allerød (B-A) Interstadial at 13 ka under monthly varying surface  
106 boundary conditions, which were taken from a fully coupled climate simulation TRACE21.  
107 TRACE21 is a transient simulation of the last deglaciation using the CCSM3 climate model with  
108 realistic geometry and forcing conditions, including changes in insolation, greenhouse gases,  
109 continental ice sheets, and meltwater (Fig. 1A, Table S1). Previous work has shown that the  
110 simulation successfully reproduces many observed features of the deglacial climate (4, 13, 20). In  
111 this way, the physical ocean environment of iPOP2-TRACE evolved in a similar way to TRACE21  
112 (Fig. S3). The  $\delta^{18}\text{O}$  value of meltwater was prescribed as  $-31\%$  in the Northern Hemisphere  
113 beginning 19 ka and  $-38\%$  in the Southern Hemisphere beginning 14.35 ka. Details of the  
114 implementation and validation of the tracer module, as well as the LGM spin-up and the iPOP2-  
115 TRACE simulations are given in the *Supporting Information* and Zhang (21). A key feature of  
116 iPOP2-TRACE is its capability of quantifying the contribution of local water temperature to  $\delta^{18}\text{O}_c$   
117 (22), thus providing a dynamic framework for understanding the mechanisms responsible for the  
118 evolution of  $\delta^{18}\text{O}_c$  during the last deglaciation.

119

## 120 **Model Ocean Evolution and Isotopic Model-Data Comparison**

121

122 In our model, strong AABW forms during the LGM due to brine rejection associated with sea-ice  
123 expansion around Antarctica. The strong AABW formation, along with a vigorous  
124 counterclockwise abyssal overturning, causes the boundary between NADW and AABW to be  
125 shallower during the LGM (2.7 km) compared to today (3.3 km), with AABW filling most of the  
126 deep Atlantic (Fig. 2), consistent with paleonutrient tracers (23). During HS1, freshwater forcing  
127 nearly shuts down NADW transport (from 15 Sv to 2.5 Sv,  $\text{Sv} \equiv 10^6 \text{ m}^3 \text{ s}^{-1}$ ; Fig. 1B). In contrast,  
128 AABW transport only decreases by 20% (Fig. 1C) due to surface warming and reduced brine  
129 rejection associated with significant sea-ice retreat in the Southern Ocean (SO) (Fig. S4), similar  
130 to other model simulations which show little AABW response to a collapsed AMOC (24). The  
131 relative changes of water-mass volumes lead to further deep-ocean occupation by AABW and a  
132 shoaling of the NADW-AABW boundary by  $\sim 700$  m (Fig. 2C). The decrease in ventilation of the  
133 intermediate and deep North Atlantic (NA) by northern and southern sources results in it becoming  
134 largely isolated, with only slow renewal by AABW through the abyssal overturning. These  
135 simulated changes in NA ocean circulation are consistent with (i) various proxies that identify a  
136 reduced AMOC intensity (3, 25, 26) (e.g., Fig. 1B), (ii) the increased radiocarbon benthic-  
137 planktonic age offset at Iberian Margin (27) as a proxy for older apparent ventilation ages (Fig.  
138 1D, radiocarbon simulation is given in the *Supporting Information*), and (iii) benthic  $\delta^{13}\text{C}$  proxies  
139 showing accumulation of respired carbon in the intermediate and deep NA (24). We suggest that  
140 ventilation of the deep SO increased during HS1 (5) because of greater air-sea interactions and  
141 release of low- $^{14}\text{C}$   $\text{CO}_2$  associated with sea-ice retreat (28, 29).

142 The simulated ocean circulation successfully reproduces the basin-wide pattern of the  
143 observed  $\delta^{18}\text{O}_c$  changes across the intermediate and deep Atlantic between late HS1 and the LGM  
144 as constrained by 31 high-resolution, independently dated benthic  $\delta^{18}\text{O}_c$  records (Fig. 3; with

145 correlation  $r = 0.82$ , Fig. S5). In particular, the model and data are characterized by greatest  
146 changes in the upper NA and little change in the deep SO. The modeled  $\delta^{18}\text{O}_c$  also captures several  
147 other observed  $\delta^{18}\text{O}_c$  changes, such as in the mid-depth NA (8) and near the Brazil Margin (30)  
148 (*Supporting Information*), although it tends to overestimate the amplitude of  $\delta^{18}\text{O}_c$  changes at  
149 intermediate depths (Fig. S5 and S8), the causes and impact of which we discuss later.

150 To examine the regional phasing of the deep  $\delta^{18}\text{O}_c$  response, we compared our simulation  
151 results with two well-dated, high-resolution benthic  $\delta^{18}\text{O}_c$  records, one from the Iberian Margin in  
152 the NA (MD99-2334K, 37.8°N, 3146 m) (6, 31) and the other in the Atlantic sector of the SO  
153 (MD07-3076Q, 44.2°S, 3770 m) (5, 8). These cores also have bottom-water temperature  
154 reconstructions (6, 32) which, although having lower resolution and higher analytical uncertainty  
155 than the  $\delta^{18}\text{O}_c$  data, allows for a general comparison. In both the model and the records (Fig. 1E),  
156  $\delta^{18}\text{O}_c$  shows a gradual post-glacial increase from 22 ka to 19 ka, which is likely caused by the  
157 increase of  $\delta^{18}\text{O}_w$  (Fig. 1F), since the water temperature remains unchanged during this period  
158 (Fig. 1G). The ocean reached its maximum  $\delta^{18}\text{O}_w$  enrichment when ice sheets reached their  
159 maximum extent at ~22 ka. The enrichment was not simultaneous throughout the global ocean,  
160 however, but peaked sequentially from the surface to deep and across different ocean basins well  
161 after 22 ka (8, 33) (Fig. S9) due to the long overturning timescale of the ocean, from ~1,500 yr in  
162 the Atlantic to more than 3,000 yr in the Pacific.

163 From 19 ka to 16 ka, the modeled  $\delta^{18}\text{O}_c$  captures the observed earlier and greater  $\delta^{18}\text{O}_c$   
164 decrease in the NA (~0.6‰) than in the SO (~0.2‰). The cause of this earlier  $\delta^{18}\text{O}_c$  decrease at  
165 deep NA core sites during HS1 is widely debated. One “southern-source” hypothesis suggests that  
166 reduced formation of NADW during HS1 enhanced the formation and northward expansion of  
167 southern-sourced low- $\delta^{18}\text{O}$ , low- $\delta^{13}\text{C}$  AABW (6, 7). An alternative “northern-source” hypothesis



168 argues that during HS1, the NA was influenced by overflows of brine-generated deepwater formed  
169 by sea-ice expansion in the Nordic Seas, with the low  $\delta^{18}\text{O}$  signal reflecting meltwater transferred  
170 to depth during brine formation (8–10) and the low  $\delta^{13}\text{C}$  values reflecting suppressed air-sea gas  
171 exchange (8). Temperature may also have played a role in affecting the deglacial  $\delta^{18}\text{O}_c$  signal, and  
172 increasing evidence of mid-depth warming in the NA during HS1 (20, 34, 35) implies this warming  
173 should be taken into account to explain the mid-depth  $\delta^{18}\text{O}_c$  decrease. In the deep Atlantic,  
174 however, a lack of high-resolution temperature reconstructions leads to large uncertainties in the  
175 sign and magnitude of temperature change in each hemisphere (7, 36), and in turn its contribution  
176 to deep  $\delta^{18}\text{O}_c$ .

177 In this context, our model results indicate that the observed lead of the deglacial  $\delta^{18}\text{O}_c$   
178 decrease in the deep NA over the SO is due to asynchronous warming of deepwater rather than to  
179 a change in the contributions of NADW or AABW, or their  $\delta^{18}\text{O}_w$  values. During HS1, the  
180 simulated  $\delta^{18}\text{O}_w$  component shows nearly coherent decreases at both core sites (Fig. 1F). These  
181 depletions at depth are caused primarily by the transfer of  $^{18}\text{O}$ -depleted surface meltwater, with a  
182 change of surface precipitation and evaporation playing a minor role (Fig. S10, *Supporting*  
183 *Information*). Although the decrease of NA  $\delta^{18}\text{O}_w$  is slightly greater since the site is geographically  
184 closer to the meltwater source, the difference is nearly indistinguishable and cannot explain the  
185 much larger differences seen in the records. In contrast, the temperature component exhibits strong  
186 asynchrony, with the deep ocean below 3000 m warming by  $\sim 1.4^\circ\text{C}$  in the north but experiencing  
187 little change in the south, roughly consistent with Mg/Ca temperature reconstructions (6, 32) (Fig.  
188 1G). The warming in the north causes  $\delta^{18}\text{O}_c$  to decrease by  $\sim 0.35\text{‰}$ , thus explaining most of the  
189 0.4‰ difference between the two records.

190 The relative contributions of  $\delta^{18}\text{O}_w$  and temperature can be seen more clearly in the basin-  
191 wide responses. In response to the input of  $^{18}\text{O}$ -depleted freshwater during HS1,  $\delta^{18}\text{O}_w$  decreases  
192 over the entire basin relative to its LGM values (Fig. 4E), similar to the salinity response. A  
193 considerable portion of the  $^{18}\text{O}$ -depleted freshwater is trapped in the upper NA and within the  
194 Labrador Sea and the Nordic Seas (Fig. 4C). An anomalous tongue of modest size ( $\sim -0.1\text{‰}$ )  
195 extends downward and southward along the lower limb of the diminishing glacial AMOC during  
196 the transition to HS1, and further disperses into the whole ocean through residual circulation and  
197 mixing (Fig. 4E). This  $\delta^{18}\text{O}_w$  response in the Atlantic basin is similar to what has previously been  
198 simulated in a model of intermediate complexity (12). In contrast, the temperature field shows a  
199 bipolar seesaw response at the surface and basin-wide warming in the subsurface (Fig. 4F),  
200 consistent with observations (20, 34, 35). Notably, the warming occurs all the way to the abyss in  
201 the NA but not in the SO, generating a deep meridional temperature gradient that accounts for the  
202  $\delta^{18}\text{O}_c$  gradient across the deep Atlantic.

203

## 204 **Mechanisms of Tracer Evolution during HS1**

205

206 **The impact of circulation on  $\delta^{18}\text{O}_w$  distribution.** We conducted two sensitivity experiments to  
207 separate the circulation effect from the boundary effect on  $\delta^{18}\text{O}_w$  distribution. One experiment  
208 (iPOP2-19ka) simulated  $\delta^{18}\text{O}_w$  with transient surface forcing from 19 ka to 16 ka, but with the  
209 circulation held at the 19-ka level. This was achieved by looping the boundary conditions of 20–  
210 19 ka of TRACE21 but applying the transient  $\delta^{18}\text{O}_w$  surface fluxes taken from iPOP2-TRACE.  
211 The other experiment (iPOP2-0ka) was similar to iPOP2-19ka, but with a modern circulation  
212 pattern forced by CORE-II inter-annually varying atmospheric data sets (37).

213           When the circulation is held at its 19-ka level, the low- $\delta^{18}\text{O}$  signal is no longer able to  
214 accumulate in the upper NA during HS1 as in iPOP2-TRACE (Fig. 4E), but is well distributed in  
215 the whole Atlantic (Fig. 4G) and extends to the Pacific and Indian oceans. This suggests that the  
216 strong reduction in NADW formation and associated overflows is the direct cause of the trapped  
217  $^{18}\text{O}$ -depleted water in iPOP2-TRACE. We also note that the tongue of southward expansion of the  
218  $^{18}\text{O}$ -depleted water is confined to above 3000 m in iPOP2-19ka (Fig. 4G), even with a strong and  
219 fully functional AMOC. This is because NADW is confined above the thick abyssal layer of  
220 AABW (Fig. 2B). In contrast, the expansion of the low- $\delta^{18}\text{O}$  tongue in iPOP2-0ka reaches deeper  
221 in the NA with more tilted contours (Fig. 4H), consistent with the modern AMOC pattern (Fig.  
222 2A).

223

224 **Physical processes at the two core sites.** We conducted a tracer budget analysis to identify the  
225 specific physical processes as responsible for the different  $\delta^{18}\text{O}_w$  and temperature responses during  
226 HS1 (*Supporting Information*). During the LGM,  $\delta^{18}\text{O}_w$  is increasingly depleted with depth in the  
227 northern NA, while during HS1, this vertical gradient reverses due to the input of highly  $^{18}\text{O}$ -  
228 depleted freshwater at the surface (Fig. 5A). The  $\delta^{18}\text{O}_w$  budget at 3100 m shows a regime shift  
229 during HS1 to a dominant balance between two vertical processes, namely vertical mixing and  
230 vertical advection, and an over-90% decrease of the horizontal advective fluxes (Fig. 5B). The  
231 surface low- $\delta^{18}\text{O}$  signal weakens with depth, because vertical mixing takes a long time to transfer  
232 the signal from the surface down to 3100 m in the absence of deep convection. The deep NA  
233 therefore experiences little  $\delta^{18}\text{O}_w$  change even though it is geographically close to the freshwater  
234 input. In contrast, the  $\delta^{18}\text{O}_w$  in the SO has a mild, vertically uniform transition (Fig. 5C), and the

235 regime shift of the  $\delta^{18}\text{O}_w$  budget found in the northern NA does not occur in the deep SO (Fig.  
236 5D).

237 Unlike the passive response of  $\delta^{18}\text{O}_w$ , the temperature response involves dynamic  
238 processes that cause significant warming at depth. The heat budget in the northern NA at 3100 m  
239 experiences a regime shift similar to that of the  $\delta^{18}\text{O}_w$  budget, and it is the enhanced downward  
240 heat flux by vertical mixing that overwhelms an enhanced upwelling of cold water (i.e., vertical  
241 advection) and heats the deep NA (Fig. 5F). The key to understanding why vertical mixing can  
242 effectively change the temperature field but not the  $\delta^{18}\text{O}_w$  field lies with the vertical structure of  
243 the different signals. In response to the onset of freshwater forcing at 19 ka, the mid-depth warming  
244 occurs first at 2000 m depth (Fig. 5E). This depth corresponds to the winter-season maximum  
245 mixed-layer depth in the NA at the LGM (Fig. S12), indicating the deepest layer that deep  
246 convection can reach. During the LGM, strong deep convection occurs in the northern NA rather  
247 than mostly in the Nordic Seas as during present-day. It maintains colder temperatures at 50°N  
248 above 2000 m depth than those of other Atlantic regions at the same depths (Fig. 4B) by mixing  
249 cold water that is chilled by intense atmospheric cooling downwards and warm mid-depth water  
250 upwards. At 19 ka, winter-season deep convection shallows from 2000 m to 1500 m and allows  
251 heat to accumulate within this layer. Therefore, the mid-depth heat can be more effectively  
252 transported by vertical mixing from 2000 m downward, compared with the low- $\delta^{18}\text{O}$  signal which  
253 originates at surface.

254 In contrast to the NA, the SO temperatures change little at depth, despite warming in the  
255 upper layers due to reduced northward oceanic heat transport. Close to the deep SO mean  
256 temperature front ( $\sim 40^\circ\text{S}$ ), where isotherms are strongly tilted, the heat budget is balanced between  
257 a cold eddy heat transport (a major component in horizontal mixing) and a warm tendency of other

258 processes (i.e., the eddy–mean advection balance; Fig. 5H). Reduced AABW formation and  
259 associated mass transport in the abyssal overturning decreases the northward advection of cold  
260 AABW, tending to induce warming at depth. However, the eddy–mean advection balance is so  
261 dominant (38) that this warming tendency is largely offset by the enhanced cooling from eddy  
262 transport, so that SO warming is confined to the upper ocean (Fig. 5G).

263

264 **Further assessment of model and data uncertainties.** Although our model results provide a self-  
265 consistent physical mechanism for the observed phasing of  $\delta^{18}\text{O}_c$  records in the deep Atlantic, we  
266 identify additional work that is needed to further test this hypothesis. One issue is related to our  
267 model simulation that tends to overestimate the LGM-to-HS1  $\delta^{18}\text{O}_c$  decrease at intermediate  
268 depths, which could be due to some combination of excessive local  $\delta^{18}\text{O}_w$  decrease and excessive  
269 local warming. The excessive  $\delta^{18}\text{O}_w$  decrease may be caused by evenly distributing freshwater  
270 forcing over the area of 50–70°N in the Atlantic, which could transport excessive low- $^{18}\text{O}$  water  
271 into mid-depth. Observations indicate freshwater is more likely distributed along a narrow region  
272 off the coast (39) and along iceberg pathways. Moreover, assessing whether the model produces  
273 excessive mid-depth warming is difficult due to the lack of high-resolution, low-uncertainty  
274 temperature reconstructions in the mid-depth NA. Similarly, further testing asynchronous deep  
275 warming will require additional temperature reconstructions, given the uncertainties of the  
276 temperature reconstructions at MD99-2334K and MD07-3076Q, and that the LGM mean value at  
277 the latter site is 3°C higher than the model.

278

279 **Discussion and Conclusions**

280

281 Our study suggests a scenario of nearly collapsed NADW and mildly weakened AABW during  
282 HS1, consistent with available benthic  $\delta^{18}\text{O}_c$  constraints of greatest deglacial decreases in the upper  
283 NA and little change in the deep SO across the Atlantic basin and, especially, of an earlier  $\delta^{18}\text{O}_c$   
284 decrease in deep NA records than in the deep SO. We identify asynchronous warming between the  
285 deep NA and SO during times of reduced AMOC as the reason for the early decrease of the deep  
286 NA  $\delta^{18}\text{O}_c$ . We suggest a dynamic process to explain the early warming in the deep NA, which  
287 emphasizes mid-depth warming due to a shoaling of deep convection and enhanced downward  
288 heat flux via vertical mixing that transfers heat from intermediate depths to the deep ocean.

289 Our proposed warming mechanism has two major differences from previous studies. First,  
290 some previous studies (e.g., “deep-decoupling oscillation”) suggested that the NA mid-depth  
291 warming originated from diffusion of tropical ocean heat across the main thermocline and  
292 transported northward (20, 35, 40–42). If the heat were from the tropics, the NA isotherms would  
293 deepen gradually from the depth of the main thermocline (~300–500 m) to lower layers and would  
294 probably lag the freshwater event by decades or even longer (40). However, our model suggests  
295 the mid-depth warming occurs first at 2000 m and immediately with the freshwater forcing onset.  
296 We thus argue that the northern NA mid-depth warming is caused largely by the shoaling of winter-  
297 season deep-convection depth. Additional supporting evidence is that another rapid mid-depth  
298 warming occurs at 17.4–17.2 ka (Fig. 5E), when isotherms between 400–1300 m experience a  
299 sudden deepening, corresponding to a second rapid shoaling of the deep-convection depth from  
300 1300 m to 400 m (Fig. S12D) in response to the increased rate of freshwater forcing (Fig. 1A).  
301 This physical process may be used to explain the large and abrupt warming at 2000 m depth during  
302 HS1 observed in deep-sea corals in the western NA as well as the contemporaneous shift to more

303  $^{14}\text{C}$ -depleted waters (34) (Fig. S13), although the observed abrupt warming and aging occurred  
304 later than in the model.

305         The second notable difference between our mechanism and the “deep decoupling  
306 oscillation” is that the latter suggests that the reduced AMOC causes a diffusive warming of the  
307 deep ocean by a reduction in the upwelling of cold water (40, 43). However, our heat-budget  
308 analysis shows an enhanced cooling effect from the upwelling (Fig. 5E). The upwelling velocity  
309 ( $w$ ) indeed decreases, but the increase of the vertical temperature gradient ( $\partial T/\partial z$ ) is so large that  
310 it overwhelms the velocity effect and causes the vertical advective cooling ( $w\partial T/\partial z$ ) to increase  
311 (Fig. S14). Both the vertical mixing and vertical advection are actually enhanced by this increased  
312  $\partial T/\partial z$ , with the former enhanced more. We thus conclude that it is the enhanced downward heat  
313 transport via vertical mixing, rather than the reduced upwelling of cold water, that effectively heats  
314 the deep NA.

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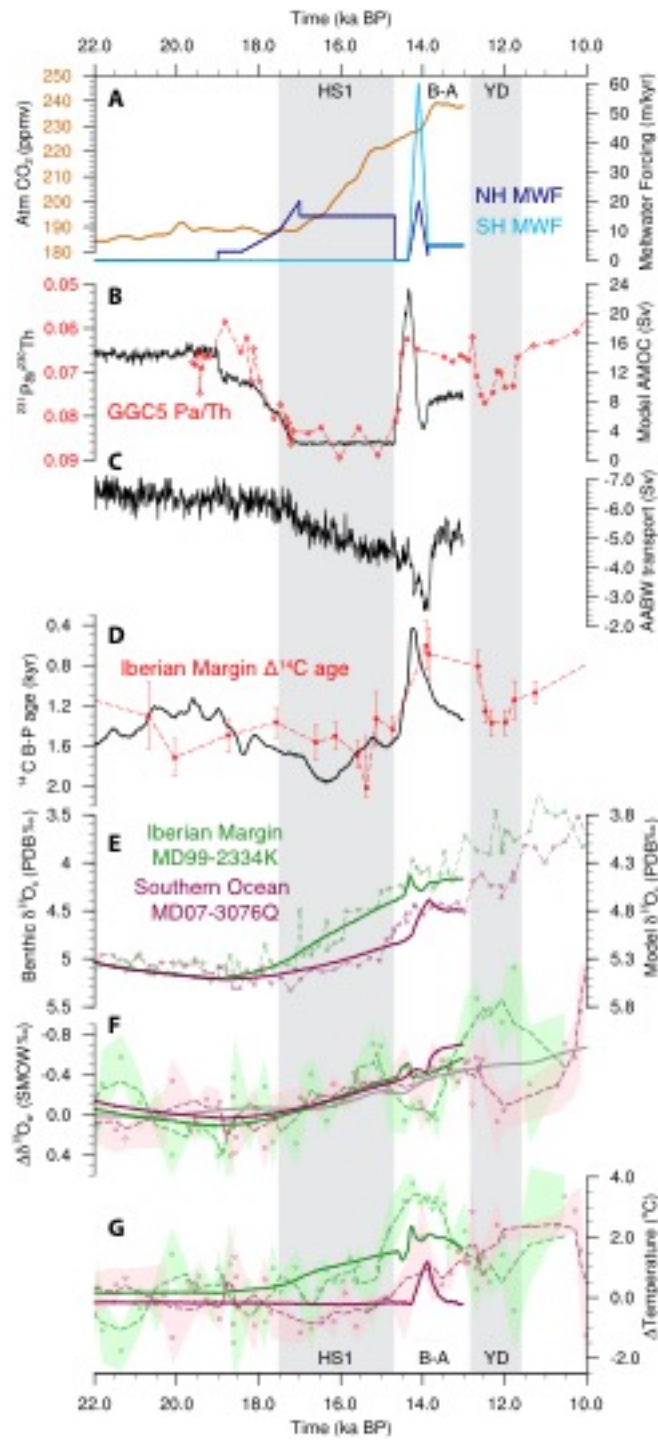


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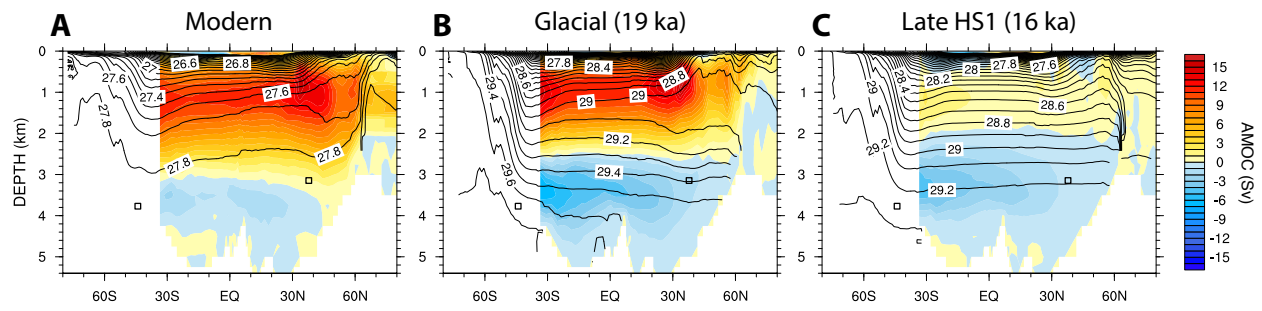
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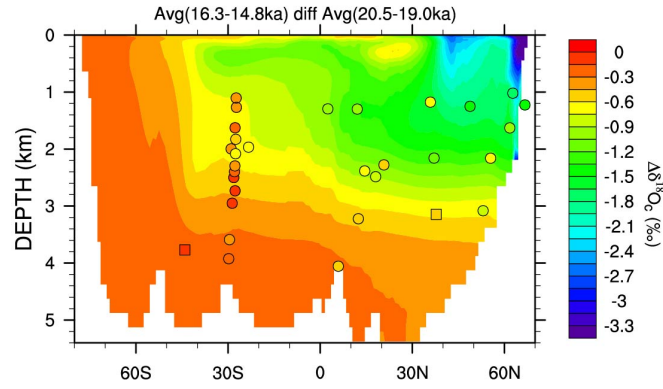
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429 Figure 1.



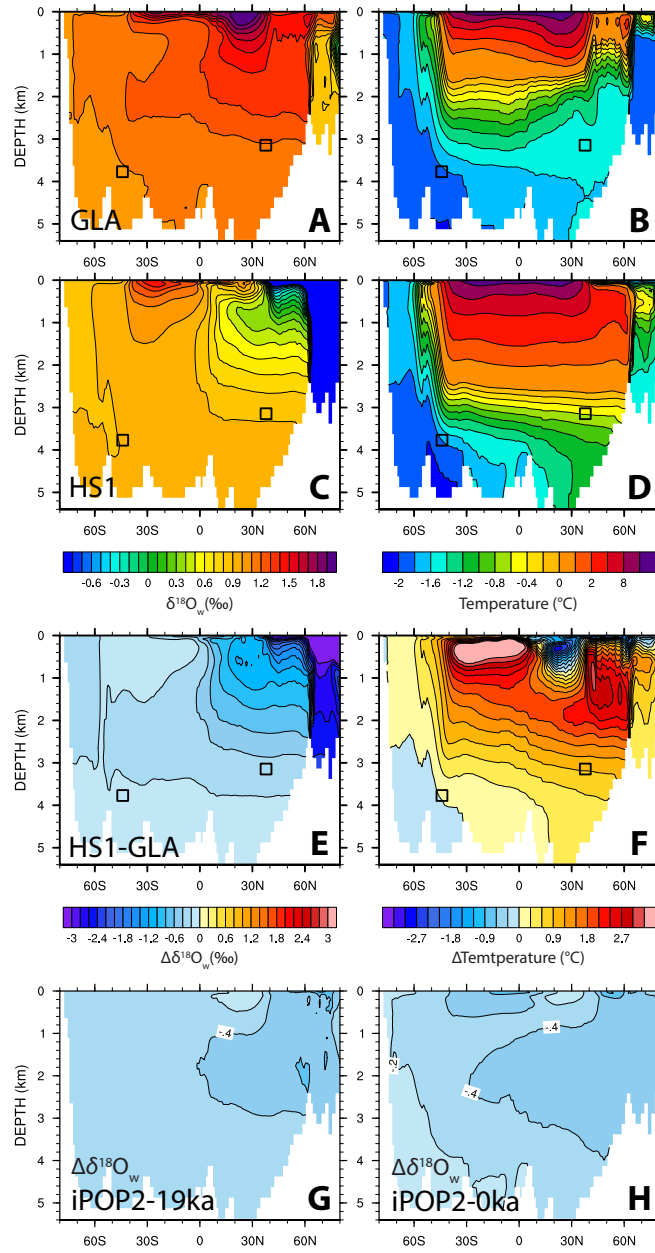
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**Figure 2.**



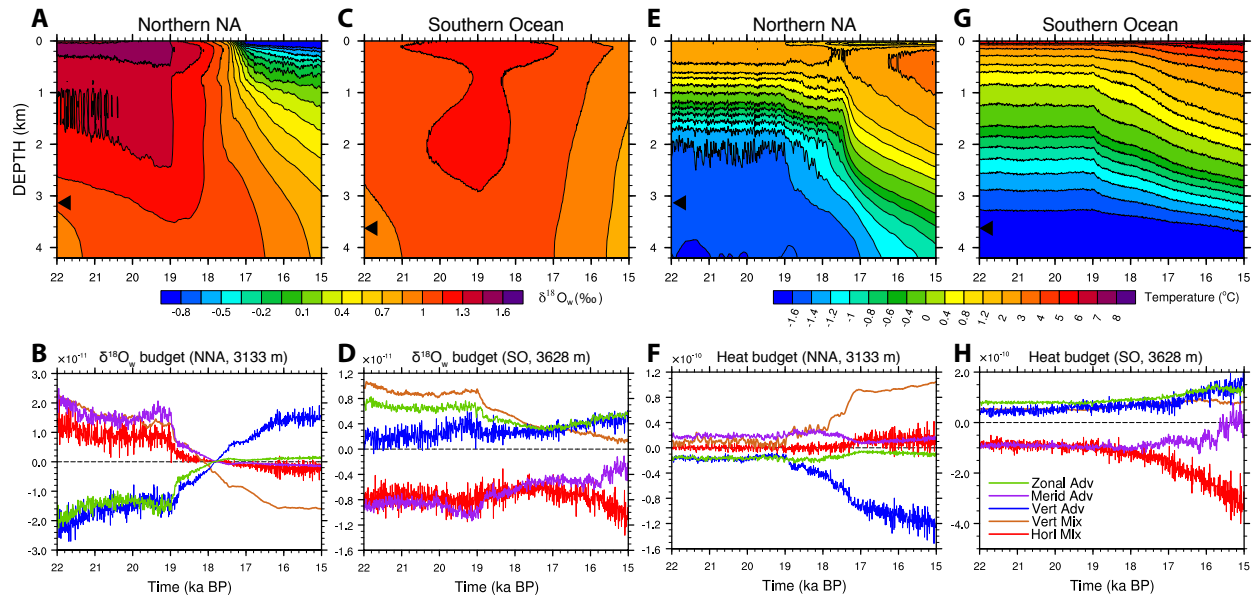
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434 **Figure 3.**



435

436 **Figure 4.**



437

438

**Figure 5.**



439 **Figure legends**

440

441 **Fig. 1.** Model deglacial signals compare with proxies. (A) Atmospheric CO<sub>2</sub> concentration  
442 (orange) and meltwater fluxes of the Northern (navy) and Southern (blue) Hemispheres (Table S1)  
443 applied in TRACE21 (13). (B) Pa/Th ratio at Bermuda [GGC5 (3)] as a proxy for the strength of  
444 AMOC and model maximum AMOC transport (below 500 m). (C) Model AABW transport in the  
445 Atlantic basin (the minimum AMOC transport below 2000 m). The negative values indicate  
446 counterclockwise circulation. (D) <sup>14</sup>C benthic-planktonic (B-P) age offset at Iberian Margin  
447 [MD99-2334K (27)] and model abiotic <sup>14</sup>C B-P age (44) at this site. (E) Benthic δ<sup>18</sup>O<sub>c</sub> at Iberian  
448 Margin [MD99-2334K (6, 31), green] and Southern Ocean [MD07-3076Q (5, 8), pink], and the  
449 corresponding model δ<sup>18</sup>O<sub>c</sub>. (F) Reconstructed deepwater δ<sup>18</sup>O<sub>w</sub> anomalies (respective LGM mean  
450 was subtracted) at MD99-2334K (6) and MD07-3076Q (32) compared with the corresponding  
451 model δ<sup>18</sup>O<sub>w</sub> anomalies. Open circles represent the raw data; shades represent the estimated  
452 uncertainty based on average deviation of adjacent measurements; and dashed lines represent 3-  
453 point smoothing (6). Global mean δ<sup>18</sup>O<sub>w</sub> anomaly (gray) is converted from ice-volume equivalent  
454 sea level (45) by 1.05‰/145 m. (G) Same as F, but for deepwater temperature anomalies based on  
455 Mg/Ca measurements (6, 32). All dashed lines indicate proxies, and solid lines indicate model  
456 results. HS1, Heinrich Stadial 1; B-A, Bølling-Allerød; YD, Younger Dryas.

457

458 **Fig. 2.** Atlantic total meridional overturning circulation at modern (A), glacial at 19 ka (B), and  
459 late HS1 at 16 ka (C). Total circulation, also known as residual circulation, is the sum of the  
460 Eulerian-mean circulation and the circulation caused by mesoscale eddies (submesoscale eddies  
461 are ignored since they are small and concentrated at surface layers), and is directly related to tracer

462 transport. The Atlantic zonal-mean potential densities ( $\sigma_\theta$ ) of each period are overlaid in black  
463 contours, with interval of  $0.1 \text{ kg m}^{-3}$ . Squares indicate the two cores sites of Fig. 1E.

464

465 **Fig. 3.** Deglacial benthic  $\delta^{18}\text{O}_c$  changes in the Atlantic. Contours are zonally averaged Atlantic  
466  $\delta^{18}\text{O}_c$  changes between late HS1 (16.3–14.8 ka mean) and glacial (20.5–19.0 ka mean) periods in  
467 the model. Circles and squares are reconstructed benthic  $\delta^{18}\text{O}_c$  changes at 31 independently-dated  
468 core sites (Table S2) between these two periods. Squares indicate the two cores sites of Fig. 1E.

469

470 **Fig. 4.** (A to F) Atlantic zonally averaged  $\delta^{18}\text{O}_w$  (left column) and potential temperature (right  
471 column). From top to bottom panels are variables at 19 ka (A and B), 16 ka (C and D), and their  
472 differences (E and F). Squares indicate the two core sites of Fig. 1E. Note the colorbar for B and  
473 D is non-linear. The deep North Atlantic core site experiences reversed  $\delta^{18}\text{O}_w$  vertical gradient and  
474 enlarged temperature vertical gradient from 19 ka to 16 ka. (G and H) The  $\delta^{18}\text{O}_w$  differences  
475 between 16 ka and 19 ka of the two sensitivity experiments iPOP2-19ka and iPOP2-0ka, sharing  
476 the same colorbar with E.

477

478 **Fig. 5.** Hovmöller diagrams of tracer vertical profiles (upper panel) and tracer budget analysis  
479 (lower panel) for the 22–15 ka time interval. (A) Hovmöller diagrams of area averaged  $\delta^{18}\text{O}_w$   
480 vertical profiles in the northern NA ( $>30^\circ\text{N}$ ). Black triangles indicate the depth at which the  $\delta^{18}\text{O}_w$   
481 budget analysis is performed. (B) Time series of area averaged  $\delta^{18}\text{O}_w$  budget terms (in  $10^{-11} \text{ ‰ s}^{-1}$ )  
482 in this region at 3133 m (corresponding to the MD99-2334K core depth), with positive values  
483 indicating  $\delta^{18}\text{O}_w$  gain. The zonal (green), meridional (purple), and vertical (blue) mean advective  
484 fluxes as well as the vertical (brown) and horizontal (red) mixing are plotted. (C and D) Same as

485 *A* and *B*, but for the 36–46°S South Atlantic, and the budget analysis is performed at 3628 m  
486 (corresponding to the MD07-3076Q core depth). (*E* to *H*) Same as *A* to *D*, but for temperature  
487 profiles and heat budget terms (in  $10^{-10} \text{ }^\circ\text{C s}^{-1}$ ). Note the colorbar for *E* and *G* is non-linear.