

1 **Greater role for Atlantic inflows on sea-ice loss in the Eurasian Basin of the Arctic**
2 **Ocean**

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43 Arctic sea-ice loss is a leading indicator of climate change and can be attributed, in large
44 part, to atmospheric forcing. Here we show that recent ice reductions, weakening of the
45 halocline, and shoaling of intermediate-depth Atlantic Water layer in the eastern Eurasian
46 Basin have increased winter ventilation in the ocean interior, making this region
47 structurally similar to that of the western Eurasian Basin. The associated enhanced
48 release of oceanic heat has reduced winter sea-ice formation at a rate now comparable to
49 losses from atmospheric thermodynamic forcing, thus explaining the recent reduction in
50 sea-ice cover in the eastern Eurasian Basin. This encroaching “atlantification” of the
51 Eurasian Basin represents an essential step toward a new Arctic climate state, with a
52 substantially greater role for Atlantic inflows.

53

54 Over the last decade, the Arctic Ocean has experienced dramatic losses of sea-ice loss in
55 the summers, with record-breaking years in 2007 and 2012 for both the Amerasian Basin
56 and the Eurasian Basin (EB). More remarkably, the eastern EB has been nearly ice-free
57 (<10 % ice coverage) at the end of summer since 2011 (Fig. 1). Most sea ice-mass loss
58 results from summer solar heating of the surface mixed layer (SML) through cracks in the
59 ice and open water, and consequent melting of the lower surface of the ice (1-3). Heat
60 advected into the EB interior by Atlantic water (AW) generally has not been considered
61 an important contributor to sea-ice reduction, due to effective insulation of the overlying
62 cold halocline layer (CHL) (4) that separates the cold and fresh SML and pack ice from
63 heat carried by the warm and saline AW.

64 There are, however, reasons to believe the role of AW heat in sea-ice reduction is not
65 negligible, and may be increasingly important (5). Nansen (6) identified the importance
66 of warm (temperature >0 °C) and salty intermediate-depth (150-900 m) AW in
67 establishing the thermal state of the Arctic Ocean. Later studies demonstrated that AW is
68 transported cyclonically (counter-clockwise) along the deep Arctic basin margins (7-10),
69 carrying enough heat, if released, to melt the Arctic sea ice many times over.
70 Observations from the 1990s and 2000s documented two warm, pulse-like AW
71 temperature anomalies on the order of 1 °C (relative to the 1970s), entering the Arctic
72 through Fram Strait and occupying large areas of the Arctic Ocean (11-14). The strength
73 of the 2000s warming peaked in 2007/08, with no analogy since the 1950s (14). This AW
74 warming has slowed slightly since 2008 (Fig. 2c).

75 Strong stratification, which is found in most of the Arctic Ocean, prevents vigorous
76 ventilation of the AW. One notable exception is the western Nansen Basin, north and

77 northeast of Svalbard, where proximity to the sources of inflowing AW makes possible
78 significant interactions between the SML and the ocean interior (5). Specifically, weakly
79 stratified AW entering the Nansen Basin through Fram Strait is subject to direct
80 ventilation in winter, caused by cooling and haline convection associated with sea ice
81 formation (15). This ventilation leads to the reduction of sea-ice thickness along the
82 continental slope off Svalbard (16, 17). In the past, these conditions have been limited to
83 the western EB, since winter ventilation of AW in the eastern EB was constrained by
84 stronger stratification there. However, newly acquired data show that conditions
85 previously only identified in the western Nansen Basin now can be observed in the
86 eastern EB as well. We call this eastward progression of the western EB conditions the
87 “atlantification” of the EB of the Arctic Ocean.

88 **Overview of sea ice state**

89 The progressive decline in sea ice coverage of the Arctic Ocean during the satellite era, at
90 13.4 % per decade during September (18), has been accompanied by decreases in average
91 sea ice thickness of at least 1.7 m in the central Arctic (19, 20). In the region of the
92 eastern EB defined by the polygon in Fig. 1a, the local changes since 2003 have also been
93 substantial. With the northward retreat of multiyear sea ice cover (21), coverage within
94 that polygon is now dominated by seasonal ice, either advected from the east and south,
95 or produced locally. Mean September ice coverage has been <10 % of the total area
96 during the last five years, portending ice-free summers in coming years if current sea ice
97 trends prevail. Annual open water coverage has increased from less than one month to
98 more than three months in recent years (Fig. 1b); these longer ice-free periods,
99 maintained by atmospheric and ocean conditions, increase direct air-ocean interactions

100 (momentum and energy exchanges). Available satellite estimates of ice thickness in this
101 region—typically sparse—suggest a concurrent trend, leading to an overall thinning of
102 ~0.5 m (in March) from 2003 through 2015 (Fig. 1c). Satellite records show that this
103 pattern continued in 2016, with less extensive (compared with record minimum)
104 December sea-ice extent in the Kara and Barents Seas (22).

105 **Role of atmospheric thermodynamics in sea-ice decline**

106 Arctic-wide warming is evident from surface air temperature trends ranging between 0.1
107 and 0.3 °C per decade for the period 1984-2012 (23). Surface air temperature trends from
108 weather stations and ERA-Interim reanalysis data for the Laptev Sea and eastern EB
109 region far exceed observed average Arctic regional trends (Fig. S1a-c), consistent with
110 recently enhanced sea-ice decline. The net atmospheric thermodynamic impact on sea ice
111 cannot be quantified using surface air temperature records alone, as changes in this
112 parameter omit thermodynamic forcing due to additional atmospheric processes.

113 Fortunately, records are available for fast-ice (motionless seasonal sea ice anchored to
114 the shore, which melts and re-freezes each year) thickness, providing a measure of nearly
115 pure atmospheric thermodynamic forcing over the broad, shallow Siberian shelves, where
116 the impact of advected or seasonally stored oceanic heat is negligible. Records from five
117 locations along the Laptev Sea coast (Fig. S1e) have been used to construct a composite
118 time series showing that increased melting in the eastern EB/Laptev Sea region during
119 the last decade accounted for ~18 cm of ice thickness loss (Fig. S1d). This estimate is
120 statistically significant (using the Student t test), and suggests that atmospheric
121 thermodynamic forcing plays an important role in reducing EB sea-ice coverage.

122 **Recent atlantification of the Eurasian Basin**

123 Observations from 2013 to 2015 using oceanographic moorings (Fig. S2) and drifting
124 Ice-Tethered Profiler buoys (ITPs) (3, 24) provide evidence that the eastern EB is in a
125 transition to conditions similar to the western Nansen Basin. Mooring observations over
126 the central Laptev Sea slope sustained since 2002 reveal anomalously strong seasonal
127 signals in the subsurface layers during recent years (Figs. 2c and S3). In particular, the
128 enhanced seasonal signals in the winters of 2013/14 and 2014/15 are associated with
129 warming (Fig. 2b, c) and shoaling of the AW layer (Fig. 2b, d), and weakening of the
130 stability of the CHL and upper pycnocline (~50-150 m, Fig. 2b). As a result, the strength
131 of the seasonal signal, as shown by wavelet analyses (Figs. 2d and S3), was intensified.
132 The AW layer's seasonal temperature range at the M1₄ mooring site increased from 0.2-
133 0.3 °C in 2004/07 (25) to over 1 °C in 2013/15 (Fig 2b). Shoaling of the AW upper
134 boundary was also substantial, rising from 140 m in 2003/04 to 100 m in winter 2014/15
135 and even to 85 m in winter 2013/14 (Fig. 2d), with direct consequences for winter
136 entrainment of AW in the upper ocean.

137 Observations from the widely distributed array of moorings and ITPs can be used to
138 place measurements from the M1₄ mooring location in a basin-wide context (Fig. 3).
139 Temperature and salinity distributions provided by ITP-93, which drifted along the
140 Lomonosov Ridge separating the Amerasian and Eurasian basins, are distinct from those
141 provided by other instruments (Fig. 3). They show a stable SML and CHL (white and
142 black lines in Fig. 3c; see Supplementary Materials for SML and CHL depth definitions),
143 and lack strong depth variability throughout the record. The ITP-93 record shows high
144 values for Brunt-Väisälä frequency (a measure of water column stability) at roughly 25-

145 50 m depth from the beginning (September 22, 2015) to the end (April 22, 2016) of the
146 record, thus providing further evidence for minimal mixing along the eastern flank of the
147 EB in recent years (Fig. 3c).

148 ITP-57 drifted within the central Amundsen Basin in 2013. Its record shows, in
149 contrast, clear signs of winter ventilation associated with gradual cooling and erosion of
150 the CHL from November/December through April, weakening stratification at the base of
151 the SML, and a decrease in Brunt-Väisälä frequency in the CHL during March-April of
152 2013 (Fig. 3d). Data from ITP-37 (not shown), which drifted in the same region in
153 2009/10, indicated that the transfer of heat from the upper pycnocline (~65-100 m) to the
154 SML is highest in winter, with an average heat loss of $3\text{--}4 \text{ W m}^{-2}$ between January and
155 April (14). This analysis has also suggested the increased heat transfer from the AW to
156 the SML in winter is likely caused by a combination of brine-driven convection,
157 associated with sea-ice formation and larger vertical velocity shear below the base of the
158 SML, which is enhanced by strong winter storms and a more mobile ice cover.

159 A year-long 2013/14 ITP-74 record from the central Nansen Basin showed deepening
160 of the SML to ~130 m and disappearance of the CHL driven by winter convection in
161 March-April 2014, when the buoy was passing westward to the north of Franz Josef Land
162 (Fig. 3b). A seasonally ventilated halocline was also found in this region during a Russian
163 ice drift station NP-35 in 2007/08 (5) and in earlier observations (26, 27). The
164 disappearance of the CHL was also observed in the central Amundsen Basin in the mid-
165 1990s (28). This was explained by a deficit of freshwater in the region, due to a diversion
166 of Siberian river waters further eastward along the coast that was driven by changes in
167 the atmospheric circulation.

168 The deep winter ventilation and the disappearance of the CHL in the eastern EB
169 (eastward from Severnaya Zemlya, $>90^\circ\text{E}$) at several mooring sites in 2013-15, however,
170 are unprecedented (Figs. 3a, 4). Significant changes in seasonal heat content Q (see
171 definition in Supplementary Materials), driven by surface cooling and salinification
172 during winter sea-ice formation, occurred in the upper 130-m layer at M3e, M1₃, M1₆,
173 and M6b mooring sites. If this trend persists, convectively-driven winter development of
174 the deep (>80 m) SML, combined with ventilation of the upper 130-m ocean and
175 associated disappearance of the CHL would represent a fundamental change, with the
176 eastern EB water column structure becoming less stratified and susceptible to further
177 mixing.

178 **Role of oceanic heat in sea-ice decline**

179 Figure 4 addresses the consequences of these changes for upward AW heat transfer.
180 Seasonal (winter-to-summer) cooling in the CHL and upper pycnocline (65-130 m, see
181 definition in Supplementary Materials) is quantified using linear trends in Q . These
182 trends (Wm^{-2}) characterize the rate of change in Q , and are equivalent to a divergent heat
183 flux F_h (see definition in Supplementary Materials). Note that AW is the major source of
184 heat for the layer underlying the CHL in this part of the Arctic Ocean. The seasonal mean
185 F_h through the CHL and upper pycnocline inferred from these trends varies from 3.3 to
186 24.1 Wm^{-2} (Table 1; for reference, 1 Wm^{-2} over a single year is equivalent to ~ 10 cm of
187 ice loss).

188 Another potential contributor to the observed change in Q may be the lateral advection
189 of heat. We argue, however, that the in-phase seasonal maxima and minima of wavelet
190 transforms of Q as provided by all moorings (Fig. S4), and the lack of any lag between Q

191 calculated within separate overlying depth layers (not shown), each strongly suggest the
192 observed winter ventilation of the CHL and upper pycnocline is driven by surface cooling
193 and sea-ice formation—and not by lateral advection. Additional support for this statement
194 comes from the wavelet analysis of Q records—not just from moorings M1₃ and M1₆, but
195 from all six moorings deployed across the EB continental slope during 2013/15 (see
196 Supplementary Materials for data description)—showing in-phase seasonal variations
197 (not shown). The very different speeds of water transports across the slope, ranging from
198 13 cm/s (measured by 250-700 m shallow moorings) to 1-2 cm/s (measured at 2700 m
199 and deeper mooring locations) make the in-phase pattern of the seasonal signal at all
200 moorings impossible to explain using the advective mechanism. Moreover, the M1₆
201 mooring was placed in the ocean interior, well away from intense heat transports
202 associated with the near-slope boundary current, and yet data from this mooring yielded
203 estimates for F_h consistent with estimates from other moorings deployed on the eastern
204 EB continental slope.

205 Spatial averaging yields a seasonal mean $F_h = 12.2 \pm 3.8 \text{ W m}^{-2}$ for winter 2013/14 and
206 $7.5 \pm 0.8 \text{ W m}^{-2}$ for winter 2014/15 (Fig. 4 and Table 1). These inferred F_h values exceed
207 previous estimates for upward heat fluxes of $O[3-4] \text{ W m}^{-2}$, derived from 2007/08
208 microstructure observations over the Laptev Sea slope (33) and 2009/10 ITP-37
209 observations in the central Amundsen Basin (14) (Table 1), with new estimates for the
210 same region being 2-4 times higher. We thus argue that AW shoaling, weakening of
211 stratification, and warming of the upper pycnocline have led to seasonal upward AW heat
212 fluxes never before observed in the eastern EB.

213 The inferred heat fluxes are equivalent to 54 and 40 cm reductions in ice growth over

214 the 2013/14 and 2014/15 winter seasons, respectively, in the eastern EB (time intervals
215 for seasons as defined by wavelet analysis, Fig. S4). The 2-4 fold increase in F_h since
216 2007/08 explains up to 18-40 cm of sea-ice loss due solely to an increase in upward AW
217 heat transport. These estimates are comparable to or even exceed ~18 cm in sea-ice loss
218 attributed to atmospheric thermodynamic forcing, and partially explain eastern EB sea-
219 ice loss in recent years.

220 **Sources of EB warm-water anomalies**

221 Divergence of the Ekman transport (called Ekman pumping, see definition in
222 Supplementary Materials) may be a local factor, which can cause shoaling of AW and its
223 ventilation. However, our estimates suggest that Ekman pumping can explain only a
224 1.5 m seasonal shoaling of the AW, and therefore does not contribute substantially to the
225 anomalous state of the eastern EB in recent years (Fig. S5).

226 Geochemical observations demonstrated that fresh shelf waters cross the Laptev Sea
227 shelf break primarily at the Lomonosov Ridge–continental slope junction (35). Thus,
228 while variations in atmospheric forcing have been shown to affect the storage or release
229 of shelf waters (35) that impact stratification in the Amundsen Basin (28), such variations
230 likely play only a minor role in the recent changes observed in the eastern EB.

231 We thus conclude that the source of changes associated with the AW in the eastern EB
232 lies in processes beginning in upstream locations—namely, in Fram Strait and the
233 western EB north of Svalbard. Similar to eastern EB observations, the vertical
234 temperature difference in the upper ocean (>250 m) has been reduced in the area
235 northeast of Svalbard since 2004 (Fig. S6d, e) due to warming in the upper part of the
236 water column, mostly during winter. This trend is not present in data from Fram Strait,

237 where the temperatures at both 75 and 250 m have changed very little (Fig. S6b, c).

238 One important difference between the eastern Fram Strait and the area northeast of
239 Svalbard is that the eastern (inflow) side of Fram has been essentially ice-free year-round
240 throughout recent history, while the slope north of Svalbard—beyond the Yermak
241 Plateau—has traditionally been ice-covered most of the year. In recent decades, larger
242 areas north of Svalbard have been ice-free for longer periods, primarily due to a
243 contemporary increase in temperature of the AW inflow (16, 17), similar to the response
244 in the Barents Sea to increased AW heat input there (36). During these extended ice-free
245 periods over the slope north of Svalbard, enhanced local wind-driven generation and
246 breaking of internal waves would be expected, as has been observed elsewhere in the
247 Arctic (37). As a result, increased sub-surface vertical mixing would tend to reduce the
248 temperature gradient, and increase Q above the temperature maximum in the AW inflow
249 core—consistent with Fig. S6d, e. Additionally, the longer ice-free season over a larger
250 area allows more solar radiation to be absorbed in the upper ocean, thus compensating to
251 some degree for the increased local heat loss to the ice and atmosphere.

252 Discussion

253 This study provides evidence that the eastern EB is now in transition to conditions
254 previously unique to the western Nansen Basin—an extension of over 1500 km along the
255 AW pathway from Fram Strait, as far as 125°E. The term ‘atlantification’ is applied to
256 the northward movement of sea ice in the Barents Sea, with attendant reductions in
257 stratification, increased vertical mixing, and altered primary production (38, 39). The
258 recent extension of “atlantification” far into the EB, and the suite of associated processes,
259 is shown conceptually in Fig. 5. The major driver for these changes is a powerful

260 combination of processes associated with declining sea-ice cover and weakening of
261 stratification in the layers over AW. Gradual weakening of stratification in the eastern EB
262 halocline began in at least the 1970s (28, 40), providing the necessary pre-conditioning
263 for a reconstruction of water mass structure. Weaker stratification and shoaling of the
264 AW, together with net loss in ice volume, allow progressively deep winter ventilation in
265 the eastern EB. This ventilation has resulted in enhanced upward AW heat fluxes, key to
266 establishing the diminished sea-ice cover in the eastern EB during recent years.

267 Changes associated with “atlantification”—weakened stratification, increased vertical
268 mixing, and sea ice decline—will have dramatic impacts on other geophysical and
269 biogeochemical components of the Arctic Ocean system. These include enhanced
270 atmosphere-ocean interactions (with potential but highly debated mid-latitude
271 consequences; 41), altered freshwater storage and export patterns within the Arctic Ocean
272 (42), intensified shelf-basin exchange (43), increased primary production due to increased
273 nutrient supply (44), and possibly changing the ocean’s response to acidification due to
274 the high buffering capacity of AW (45). While specific physical mechanisms involved in
275 sea ice decline and upper ocean ventilation remain under debate (5), it is likely that
276 higher-than-normal AW temperatures and salinities in the Nordic Seas, upstream of the
277 Arctic Ocean gateways (46), will promote the further eastward “atlantification” of the
278 polar basins. This follows with scenarios for regional ocean state responses to the climate
279 changes proposed in (47), and has significant effects for future changes in the Arctic
280 Ocean and its sea-ice cover. The validity of extrapolating trends in the Arctic climate
281 system into the future is impacted, however, by the existence of large-amplitude, high-
282 latitude variability (48-50). It is therefore imperative to apprehend how to separate

283 climate trends and variability and to understand their nature, in order to improve accuracy
284 of climate projections.
285

286 **References and Notes**

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373 **Acknowledgments.** This study was supported by NSF grants #1203473 and #1249133
374 (AP, IP, MA, RR, VI), NOAA grant # NA15OAR4310155 (AP, IP, MA, RR, TB, VI)
375 and by the A-TWAIN project, funded by the Arctic Ocean program at the FRAM-High
376 North Research Centre for Climate and the Environment. We thank *Henriksen SS* for help
377 with logistics. The mooring recovery and deployment was a great team effort by all
378 onboard the R/V *Akademik Fedorov* (2013) and R/V *Akademik Tryoshnikov* (2015). We
379 thank our colleagues from the Arctic and Antarctic Research Institute, Russia,
380 particularly V. Vizitov, V. Zaitsev, and E. Morozova, for their help with cruise
381 preparations. All mooring data used in this study are available on the web at
382 <https://arcticdata.io/catalog/#view/arctic-data.7792.4> (Ref. 52).

383 **Author Contributions.** All authors participated in data processing and preliminary analysis;
384 AP, IA, IP, RR, and VI carried out statistical analysis of data, RK provided sea-ice
385 information and processing, TK processed Fram Strait data, AS provided processing and
386 analysis for Svalbard mooring data, AY analyzed fast ice thickness data, and TB worked
387 with reanalysis data. All authors contributed to interpreting the data and writing the paper.

388 **Author Information.** The authors declare no competing financial interests. Correspondence
389 and requests should be addressed to IP (igor@iarc.uaf.edu).

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Tables

396 **Table 1:** Estimates of upward heat fluxes F_h (W/m^2).

Region	Topography	Depth level	F_h	Method	Source
<i>Previous estimates, Eurasian Basin</i>					
Yermak Plateau	Steep	Halocline	25	Microstructure profiles	<i>Ref. 29</i>
Yermak Plateau	Steep	Ice-ocean interface	22	Turbulent flux buoy	<i>Ref. 30</i>
North of Svalbard	Steep	Ice-ocean interface Halocline	$O[100]$ $O[100]$	Eddy covariance, Microstructure profiles	<i>Ref. 31</i>
North of Svalbard	Slope	Halocline	2-4	Microstructure profiles	<i>Ref. 32</i>
Laptev Sea	Slope	Above AW core (>250m)	3	Microstructure profiles	<i>Ref. 33</i>
Amundsen Basin	Interior	Upper CHL SML	0.05 0.2	Microstructure profiles	<i>Ref. 34</i>
Amundsen Basin	Interior	Between SML and AW core	3-4	ITP, heat content difference	<i>Ref. 14</i>
<i>Estimates from this study, eastern Eurasian Basin</i>					
Eastern EB, off Severnaya Zemlya	Steep slope	Between SML and AW core	5.0-10.3	Heat content difference	M6b mooring
Eastern EB, central Laptev Sea, 125E	Slope	Between SML and AW core	8.4-24.1	Heat content difference	M1 ₃ mooring
Eastern EB, 125E	Interior	Between SML and AW core	6.9-11.2	Heat content difference	M1 ₆ mooring
Eastern EB, off Novosibirskiye Islands	Slope	Between SML and AW core	3.3-9.5	Heat content difference	M3e mooring

397

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Figure legends

400 **Figure 1:** Sea ice fraction and thickness in the eastern Eurasian Basin (EB) since 2003.
 401 Sampling of the sea ice state within the region (of $0.41 \times 10^6 \text{ km}^2$, defined in (a)) shows a
 402 positive trend in annual open water coverage (in months, integrated over a seasonal cycle,
 403 (b)), this is accompanied by decreases in mean March ice thickness and monthly mean
 404 sea ice coverage in (c) (measured as fraction of the total area). For the past five summers
 405 (2011 thru 2015), the mean September ice coverage has been less than 10 % ice coverage,
 406 and seems to be approaching a seasonally ice-free state. Dashed box (in blue) shows the
 407 geographic coverage of the map in Fig. 2a, within the Arctic basin. Red dashed line in (a)
 408 identifying the Lomonosov Ridge separates the Amerasian and Eurasian basins.

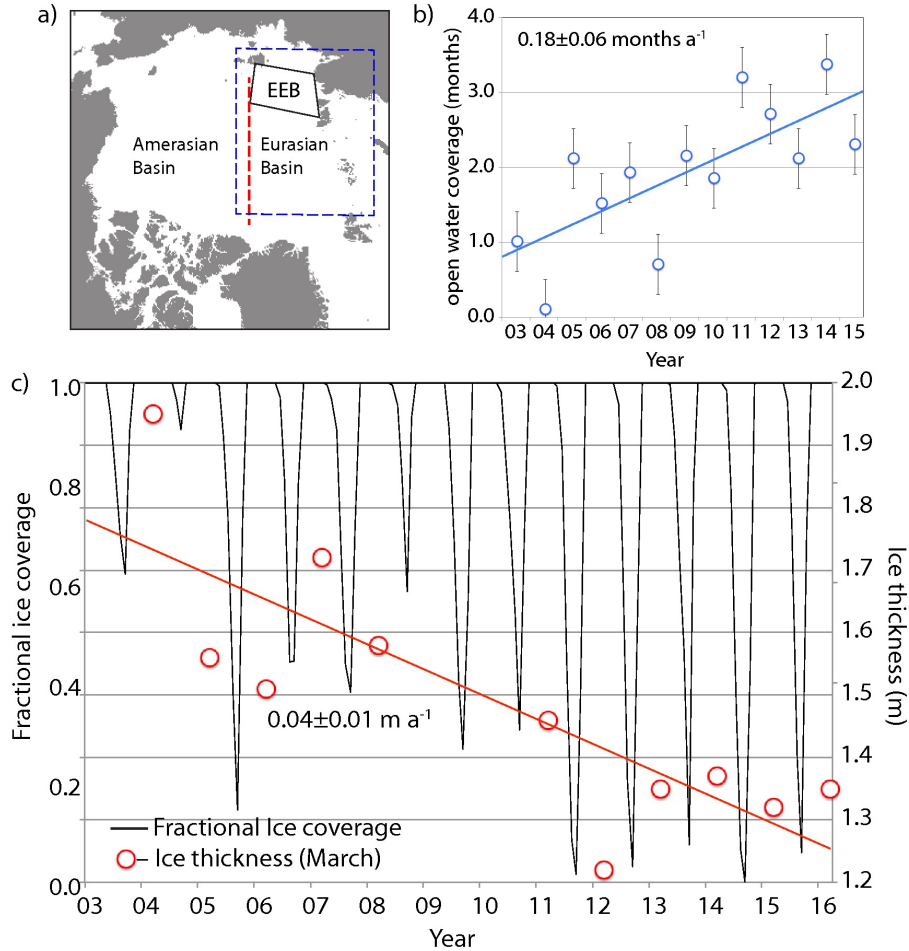
409 **Figure 2:** Mooring locations and time series and their wavelet transforms from the
 410 mooring site M1₄, eastern EB of the Arctic Ocean. (A) Map showing locations of
 411 oceanographic moorings. The Gakkel Ridge (GR) divides the EB into the Nansen Basin
 412 (NB) and the Amundsen Basin (AB). The Lomonosov Ridge (LR), Novosibirskiye Islands
 413 (NI), Severnaya Zemlya (SZ), Franz Joseph Land (FJL), and Makarov Basin (MB) are
 414 indicated. Dotted lines show latitudes and longitudes; grey solid lines show depth in
 415 meters. (B) Vertical profiles show increasing water temperature ($^{\circ}\text{C}$) and salinity and
 416 decreasing stability expressed as the logarithm of squared Brunt-Väisälä frequency (N^2 , s^{-2} ,
 417 a measure of water column stability) within the Cold Halocline Layer (CHL) and upper
 418 pycnocline ($\sim 40\text{-}150 \text{ m}$) in the 2000s and early 2010s. (C) Composite time series of water
 419 temperature (dotted lines for daily, solid lines for monthly means). White segments
 420 indicate missing data. (D) Original (light blue) and de-trended (dark blue) time series of
 421 the upper Atlantic Water (AW) boundary (defined by 0°C isotherm, left) and wavelet
 422 transforms of de-trended time series (right). In panels with wavelet transforms, 95 %
 423 statistical significance and cones of influence are shown by grey lines.

424 **Figure 3:** (top) Potential temperature ($^{\circ}\text{C}$), (middle) salinity, and (bottom) logarithm of
 425 N^2 (s^{-2}) from (a) mooring and (b-d) along the Ice Tethered Profiler drifts. White segments
 426 indicate missing data. White solid lines show the depth of the surface mixed layer (SML)
 427 and black solid lines show the depth of the underlying cold halocline layer (CHL) base;
 428 disappearance of the black line signifies disappearance of CHL and ventilation of the

429 upper ocean.

430 **Figure 4:** (left) Depth (m)-time distributions of temperature, T , and (right) time series of
431 heat content, Q , (dotted blue lines = daily, solid blue lines = monthly means, and green
432 dashed line = standard errors) for the 65-130 m layer (see mooring locations in Fig. 2).
433 Maxima and minima of wavelet transforms were used to define the boundary of winter
434 seasons (Fig. S4). These boundaries were used to calculate trends in Q shown by red
435 (winter 2013/14) and orange (winter 2014/15) lines. Slope of trends defines the rate of
436 change of Q in time, which is equivalent to the divergent heat flux F_h (shown in red and
437 orange).

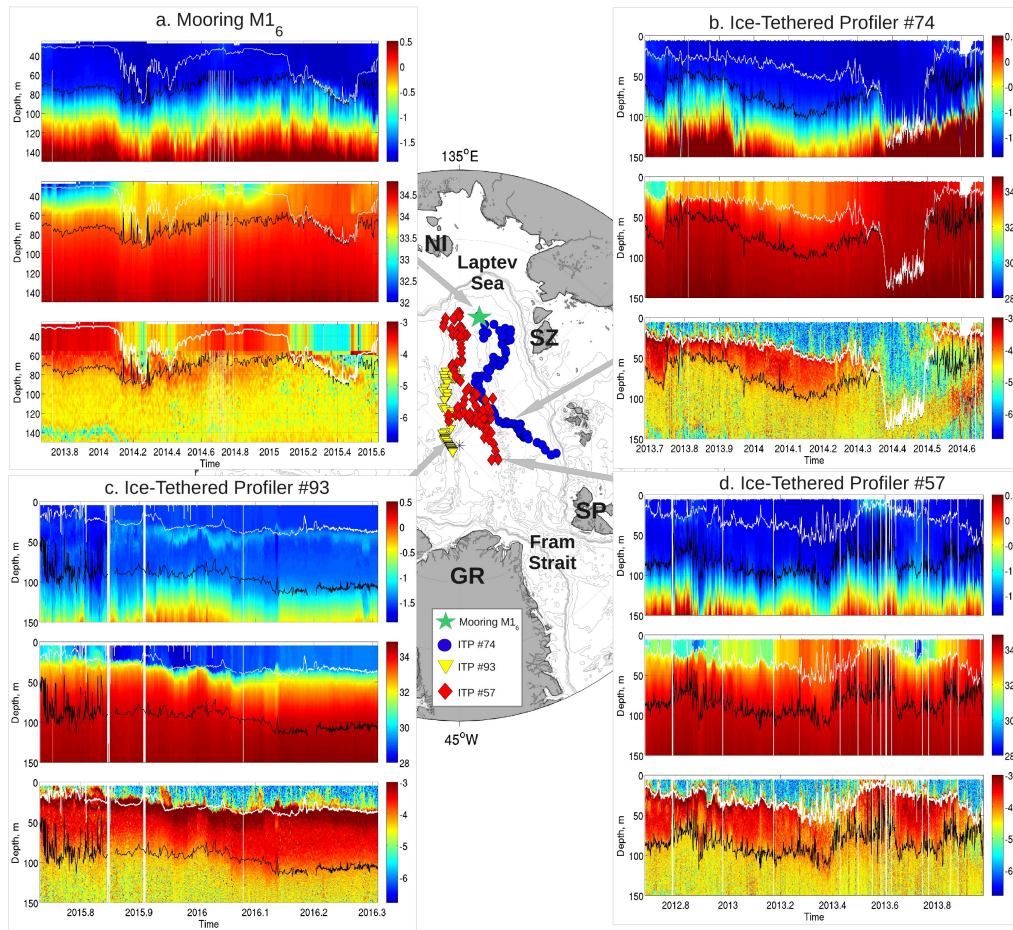
438 **Figure 5:** Conceptual model of “atlantification” of the eastern EB continental margin in
439 recent years. The broad arrow extending from the right hand side shows the
440 encroachment of a suite of processes associated with atlantification; these are (1)
441 increased penetration of surface signature of AW (increased flow, heat content or both)
442 into the eastern EB, (2) reduction in ice cover resulting in (3) greater surface heat and
443 moisture flux and (4) increased depth of winter penetrative convection, bringing
444 additional heat and nutrients from AW into the Arctic Surface water and transformation
445 of the permanent cold halocline layer (CHL) to a seasonal halocline. SML and UPP
446 indicate the surface mixed layer and upper permanent pycnocline. WC shows winter
447 convection; red arrows indicate upward heat fluxes. Horizontal red arrows show inflows.
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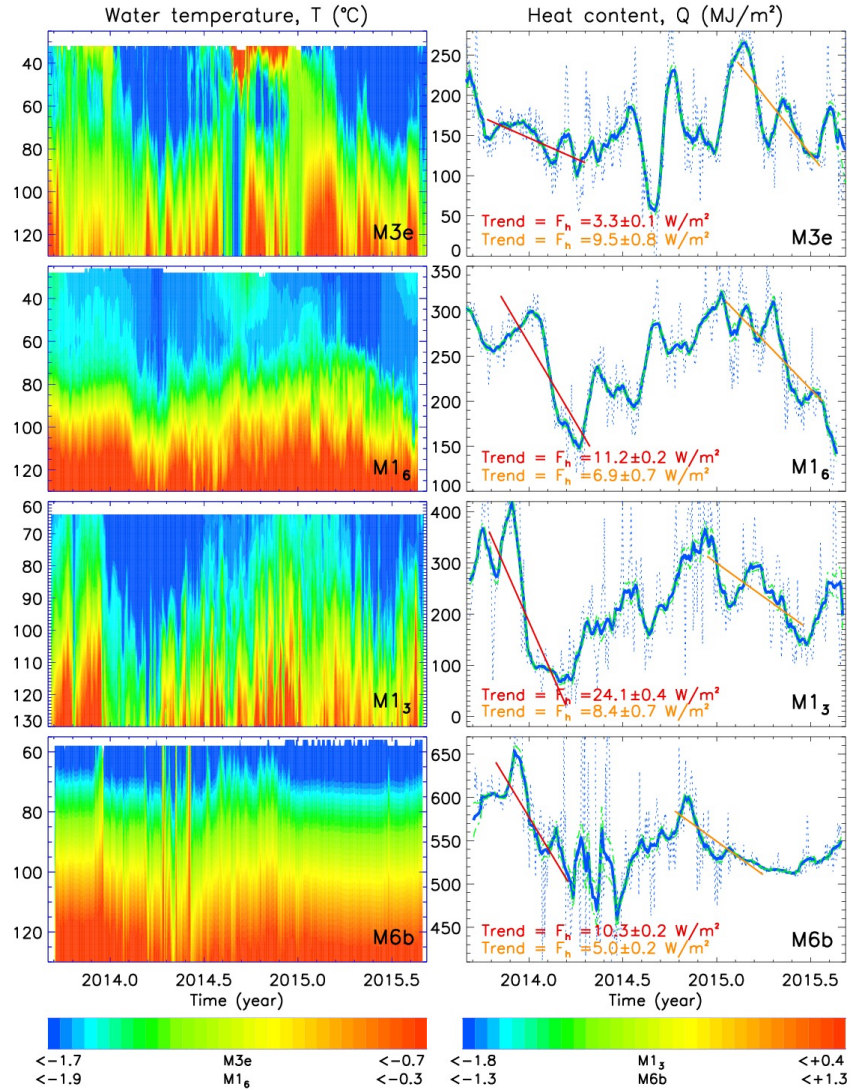
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 455 sea ice coverage in (c) (measured as fraction of the total area). For the past five summers
 456 (2011 thru 2015), the mean September ice coverage has been less than 10 % ice coverage,
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 458 geographic coverage of the map in Fig. 2a, within the Arctic basin. Red dashed line in (a)
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467 meters. (B) Vertical profiles show increasing water temperature ($^{\circ}\text{C}$) and salinity and
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469 a measure of water column stability) within the Cold Halocline Layer (CHL) and upper
470 pycnocline ($\sim 40\text{-}150$ m) in the 2000s and early 2010s. (C) Composite time series of water
471 temperature (dotted lines for daily, solid lines for monthly means). White segments
472 indicate missing data. (D) Original (light blue) and de-trended (dark blue) time series of
473 the upper Atlantic Water (AW) boundary (defined by 0°C isotherm, left) and wavelet
474 transforms of de-trended time series (right). In panels with wavelet transforms, 95 %
475 statistical significance and cones of influence are shown by grey lines.
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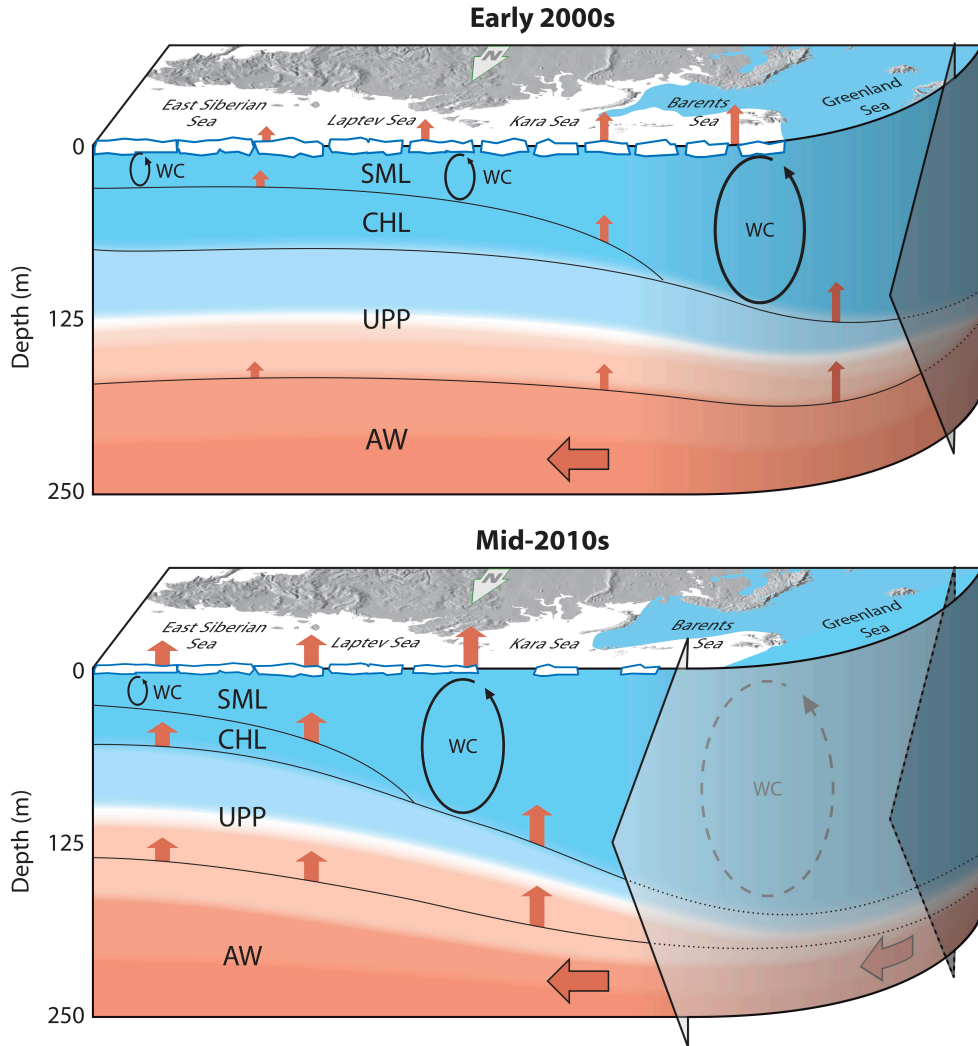
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478 **Figure 3:** (top) Potential temperature (°C), (middle) salinity, and (bottom) logarithm of
 479 N^2 (s^{-2}) from (a) mooring and (b-d) along the Ice Tethered Profiler drifts. White segments
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 481 and black solid lines show the depth of the underlying cold halocline layer (CHL) base;
 482 disappearance of the black line signifies disappearance of CHL and ventilation of the
 483 upper ocean.



484

485 **Figure 4:** (left) Depth (m)-time distributions of temperature, T , and (right) time series of
 486 heat content, Q , (dotted blue lines = daily, solid blue lines = monthly means, and green
 487 dashed line = standard errors) for the 65-130 m layer (see mooring locations in Fig. 2).
 488 Maxima and minima of wavelet transforms were used to define the boundary of winter
 489 seasons (Fig. S4). These boundaries were used to calculate trends in Q shown by red
 490 (winter 2013/14) and orange (winter 2014/15) lines. Slope of trends defines the rate of
 491 change of Q in time, which is equivalent to the divergent heat flux F_h (shown in red and
 492 orange).



493

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 495 recent years. The broad arrow extending from the right hand side shows the encroachment
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 498 reduction in ice cover resulting in (3) greater surface heat and moisture flux and (4)
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 500 from AW into the Arctic Surface water and transformation of the permanent cold halocline
 501 layer (CHL) to a seasonal halocline. SML and UPP indicate the surface mixed layer and
 502 upper permanent pycnocline. WC shows winter convection; red arrows indicate upward
 503 heat fluxes. Horizontal red arrows show inflows.

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Supplementary Materials for

Greater role for Atlantic inflows on sea-ice loss in the Eurasian Basin of the Arctic Ocean

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This PDF file includes:

Materials and Methods
Supplementary Text
Figs. S1 to S6
Table S1

533 **Materials and Methods**

534 Satellite Observations

535 Ice concentration fields are those from AMSR. Gridded monthly fields of ICESat
536 and CS-2 ice thickness estimates are those described in *Ref. 20*. The assessed differences
537 between ICESat and various measurements are: 0.14 ± 0.51 m (ice draft from moorings),
538 and -0.1 ± 0.42 m (submarine ice draft). Differences between CS-2 and various
539 measurements are: 0.06 ± 0.29 m (ice draft from moorings), 0.07 ± 0.44 m (submarine ice
540 draft), 0.12 ± 0.82 m (airborne electromagnetic profiles), and -0.16 ± 0.87 m (Operation
541 IceBridge).

542 Mooring Observations

543 Our analysis utilizes the collection of instrumental observations of ocean
544 temperature and salinity from five moorings distributed in the eastern EB (Fig. 2).
545 Moorings provided CTD (Conductivity-Temperature-Depth) profiles from McLane
546 Moored Profilers (MMP) (M1₃ and M1₆ moorings only) and SBE-37 CTD time series
547 and SBE-56 temperature time series from fixed depth observations. Mooring schematics
548 with depths of each SBE-37 instrument and depth ranges for MMPs are shown in
549 Supplementary Materials Fig. S2.

550 The MMP temperature and conductivity calibrated measurement accuracies are
551 $\pm 0.002^\circ\text{C}$ and ± 0.002 mS/cm, respectively. The MMP sampled a vertical profile along a
552 mooring line once per day at a speed of ~ 25 cm/s with a sampling period of 0.5 s;
553 therefore, the data had a vertical spacing of ~ 12 cm. SBE-37 and SBE-56 provided 15-
554 min interval records with measurement accuracies of $\pm 0.002^\circ\text{C}$ and ± 0.003 mS/cm for
555 SBE-37 temperature and conductivity and of $\pm 0.002^\circ\text{C}$ for SBE-56 temperature.
556 All eastern EB moorings were deployed in summer 2013 and recovered in summer 2015
557 providing full two-year long records for all instruments (with a few exceptions). Two
558 single moorings (M3e and M6b) were located off Novosibirskiye Islands and Severnaya
559 Zemlya. Six moorings formed a cross-slope section along approximately 126°E meridian.
560 Only M1₃, M1₄, and M1₆ from this array, however, were used in this analysis. Despite
561 thinning, the reduced dataset provides a robust description of seasonal variability relevant
562 to the present study. Locations of moorings used in this study are shown in Fig. 2. In
563 addition, at M1₄ mooring site observations began in August 2002 thus providing
564 invaluable long-term (with several gaps caused by malfunction of MMP and
565 discontinuity of funding) measurements. Observations started some distance (32-65m
566 depending on mooring) from the surface in order to avoid potential loss of the moorings
567 being trapped and destroyed by ice keels. That limited our ability to define summer SML
568 depths for all moorings. Mooring data used in this study are available on the web, at
569 <https://arcticdata.io/catalog/#view/arctic-data.7792.4> (52).

570 In Fram Strait, we utilized long-term observations along the $78^\circ 50'\text{N}$ latitude, which
571 extended from the eastern Greenland shelf break ($6^\circ 51'\text{W}$) to the western shelf break off
572 Svalbard ($8^\circ 40'\text{E}$). We focused our analysis on observations at mooring F3 in the eastern
573 part of this section. This mooring was deployed within the West Spitsbergen Current
574 (WSC) carrying AW into the Arctic Ocean, in proximity to the origin of the Fram Strait
575 branch of AW circulation in the EB. Here, we used observations collected during 1997-
576 2015 with several periods with missing data.

577 North of Svalbard (81°30'N, 31°00'E), moorings covering the Fram Strait inflow
578 branch of the WSC over the upper part of the continental slope have been maintained
579 since 2004 (51). The core of the inner branch of the WSC extends over bottom depths of
580 around 500-1500 m and the moorings used in the present study have been positioned over
581 depths between 850 and 1180 m.

582 Ice Tethered Profiler Observations

583 ITP buoys (3,23) (www.whoi.edu/itp) provided twice-daily CTD profiles in the
584 upper ~750 m of the EB. The ITP CTDs were equipped with SBE-41/41CP CTD sensors
585 and had high vertical resolution (25 cm or better) and accuracy of temperature 0.002°C
586 and salinity 0.002. Data processing procedures are described at:
587 <http://www.whoi.edu/files/itp.do?id=35803&pt=2&p=41486>. In our analysis we used
588 data interpolated to a 25 cm fixed vertical grid; i.e., close to the original sampling interval.
589 The time intervals covered by buoy measurements are shown by horizontal axes in Fig.
590 3b-d.

591 Fast-Ice Data

592 Fast-ice thickness observations at polar stations are conducted in winter by direct
593 measurements of ice thickness at the same locations each year through the hole in ice
594 using a ruler. Maximum thickness reached by seasonal ice in each year was used in this
595 study to compose local and regional time series.

596 Atmospheric Data

597 De-seasoned monthly 2 m air temperatures from the European Centre for Medium-
598 Range Weather Forecasts reanalysis ERA-Interim were used to complement station-
599 based monthly mean temperature records in the Laptev Sea region (stations Kotelnii and
600 Cheluskin) and expand the analysis to the eastern EB (Supplementary Materials Fig. S1).

601 Ekman Pumping

602 Ekman pumping velocity, w_e (m s^{-1}), is given by:

$$603 \quad w_e = \frac{1}{\rho_o f} [\nabla \times \tau],$$

604 where τ is the surface wind stress, $\rho_o = 1027 \text{ kg m}^{-3}$ is the density of water, $f = 2\omega \sin \varphi$ is
605 the Coriolis parameter, φ is latitude. Time series of wind stress were derived from ERA
606 Interim Reanalysis sea level pressure by calculating geostrophic wind speed U (the area
607 within 250km of 84°N, 125°E) and using the following empirical formula:

$$608 \quad \tau = \rho_a C_D U |U|$$

609 where $C_D = 1.2 \times 10^{-3}$ is empirical constant and $\rho_a = 1.29 \text{ kg m}^{-3}$ is air density. Positive
610 (negative) values represent cyclonic (anticyclonic) wind stress exerted on the surface
611 causing a confluence (diffluence) of surface waters resulting in upwelling (downwelling)
612 processes. Calculations of w_e were made without taking into account effects of ice. It has
613 been shown that for the eastern EB, these effects are generally small; moreover, they tend
614 to reduce the effect of wind (14).

615 Wavelet Analysis

616 Amplitudes and phases of seasonal signal were estimated using wavelet
617 transformation of time series. Standard package of wavelet programs is used for

618 calculation of wavelet transforms based on the DOG Mother function (53). 95%
619 confidence intervals and cones of influence shown in wavelet presentations are
620 provided by the same package.

621 Definition of the Depth of the SML and CHL

622 We identify the depth of the SML by a change in water density from the ocean
623 surface of 0.125 kg/m^3 , following Ref. 54. The lower CHL boundary is defined following
624 ref. 55 where an extensive collection of modern data was used and it was argued that the
625 density ratio $R_\rho = (\alpha\partial\theta/\partial z)/(\beta\partial S/\partial z) = 0.05$ (α is the thermal expansion coefficient and β
626 is the haline contraction coefficient, θ is potential temperature and S is salinity) may be
627 used to establish the depth of the CHL base.

628 Definition of Heat Content Q

629 We quantify the changes in the CHL using vertically integrated heat content Q
630 (J/m^2), defined as

$$631 \quad Q = \int_{z_1}^{z_2} \rho_w c_p (\theta - \theta_{freezing}) dz,$$

632 where, $\theta_{freezing}$ is the freezing temperature ($-0.054 \cdot S$ may serve as a good proxy for the
633 freezing point temperature), ρ_w is water density, c_p is specific heat of seawater and z_1 and z_2
634 are depths of the upper and lower boundaries. In physical terms, Q may be interpreted as the
635 relative heat content, measuring how much heat must be removed to form ice crystals at a
636 particular salinity and pressure.

637 Definition of Divergent Heat Flux F_h

638 We describe divergence of vertical heat flux F_h as the difference of diapycnal heat
639 fluxes F_h at two depth levels. F_h is estimated from changes in time of vertically
640 integrated heat content ΔQ . Note that these values are flux *differences*, and total heat
641 fluxes may be larger than these values due to additional non-divergent heat transports
642 (thus, our inferred estimates of heat fluxes represent *lower* bound for the total heat flux).
643 For details, see Ref. 14.

644 Definition of the Layer for Estimates of Q and F_h

645 For the upper boundary of the layer, for which Q is estimated, we selected the depth
646 65 m, chosen because this best determines the layer in which heat from the AW is stored
647 and released (14). The depth of winter ventilation H_{vent} is defined using an assumption
648 that, starting from this depth, changes of water properties are not directly linked to the
649 surface processes so that, for example, increase of depth of integration for calculation of
650 Q beyond H_{vent} would not lead to statistically significant change of Q . Following this
651 assumption, we calculated seasonal trends of Q gradually increasing the thickness of the
652 layer for which Q is defined. Estimates of trends are shown in Supplementary Materials
653 Table S1. Trends at the deepest layer, at which they are statistically different from the
654 shallower-layer trends, are shown in bold.

655 Based on these estimates, we selected 130m as the boundary of the winter ventilation
656 layer. As Table S1 shows, the boundary of the layer at M3e mooring is deeper, at $\sim 150\text{m}$.
657 Therefore, our choice of H_{vent} is conservative (i.e. estimates of heat fluxes F_h derived
658 from ΔQ would represent the lower bound) which is well justified considering the
659 objectives of the study. Sensitivity of our estimates to the choice of H_{vent} is evaluated

660 calculating trends of ΔQ (and, therefore F_h) for 65-130m and 65-125m layers. These
661 estimates showed that the 5m increase of the layer thickness increases F_h by 9%, which
662 may be considered as an acceptable range of uncertainty. Therefore, for all moorings Q
663 and their trends (and therefore F_h) are estimated using a 65-130m depth interval.

664 **Supplementary Text**

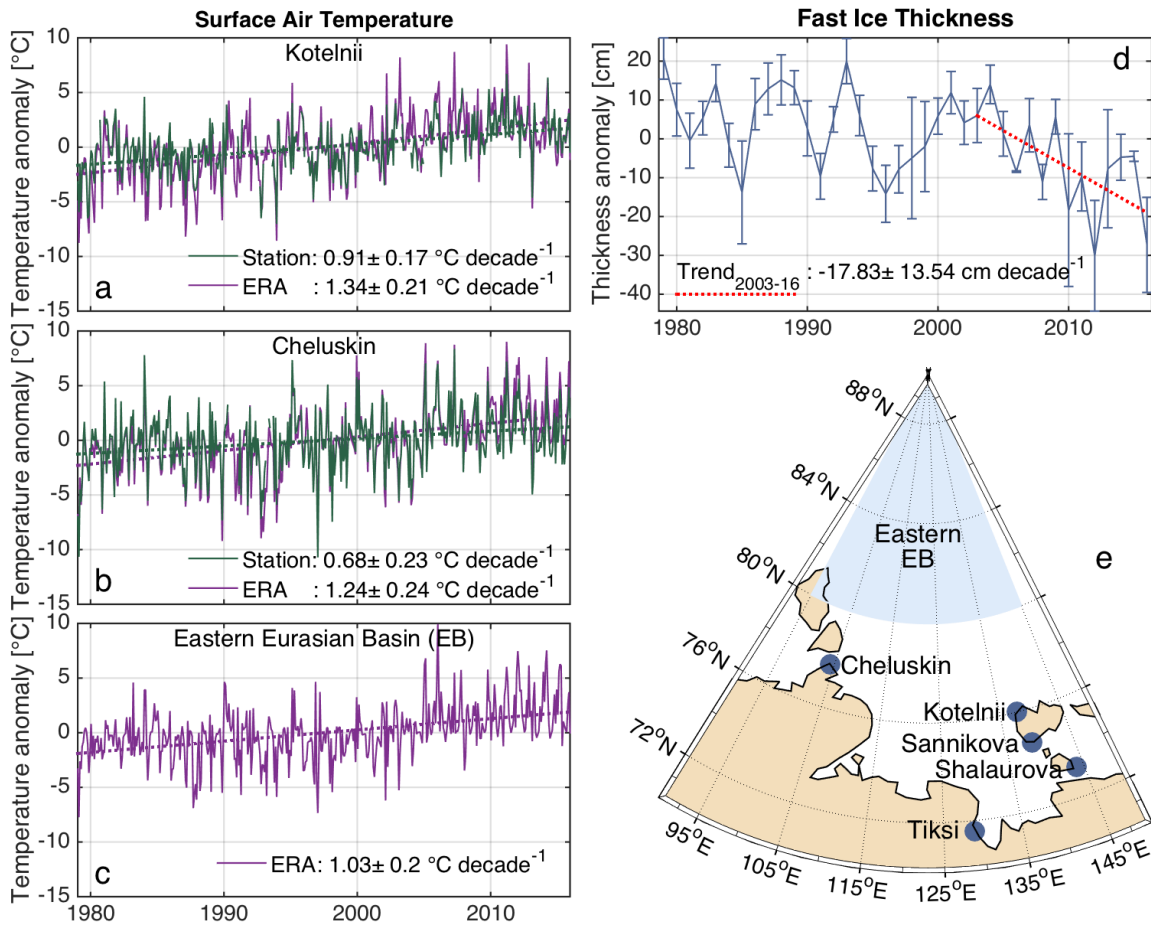
665 Supplementary Materials References

- 666 51. V. V. Ivanov *et al.*, *Deep-Sea Res. I*, **56**, 1-14 (2009).
667 52. Polyakov, I. V. *NSF Arctic Data Center*. arctic-data.7792.16 (2016).
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670 Wash., D.C., 96 pp (1997).
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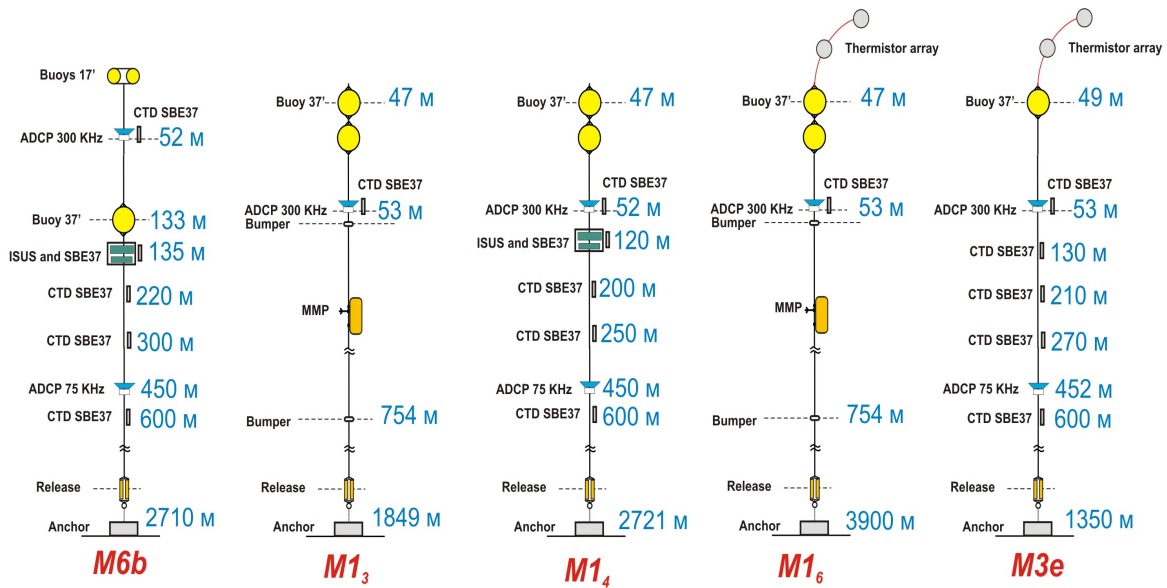


676

677 **Fig. S1.**

678 (a-c) Monthly surface air temperature (SAT) anomalies ($^\circ\text{C}$) and their trends, from two
 679 polar stations (a, b) and the ERA-Interim reanalysis product (a-c). (d) Fast-ice thickness
 680 anomalies (cm) and their trend over 2003-2016, and (e) map showing locations of
 681 stations providing air temperature and fast-ice thickness observations; blue color is used
 682 to indicate the region used for calculation of area-averaged SAT time series for the
 683 eastern EB shown in (c).

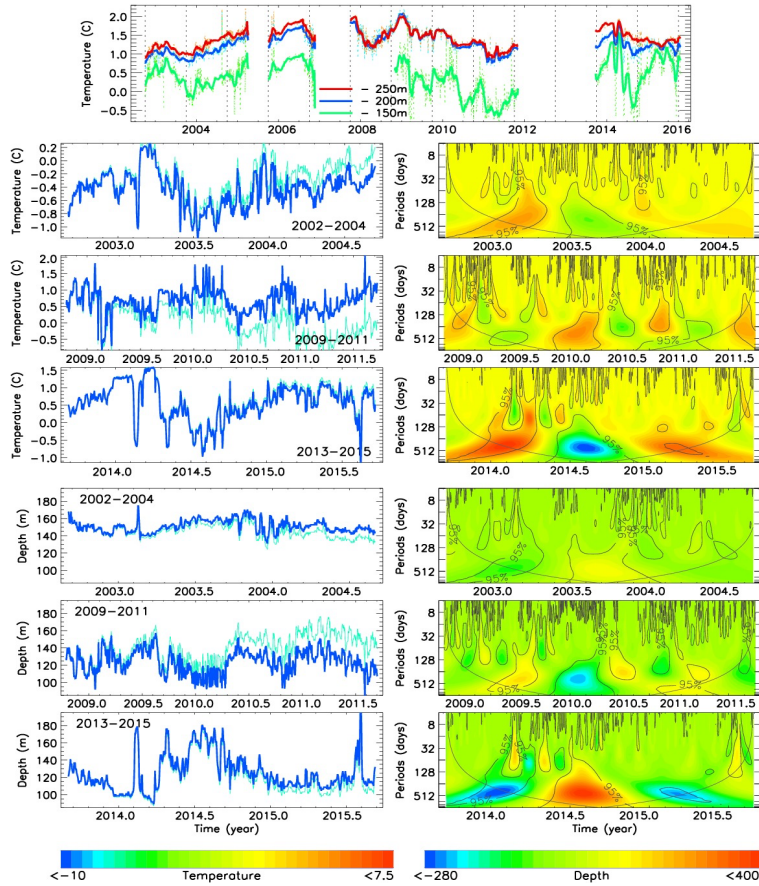
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686 **Fig. S2.**

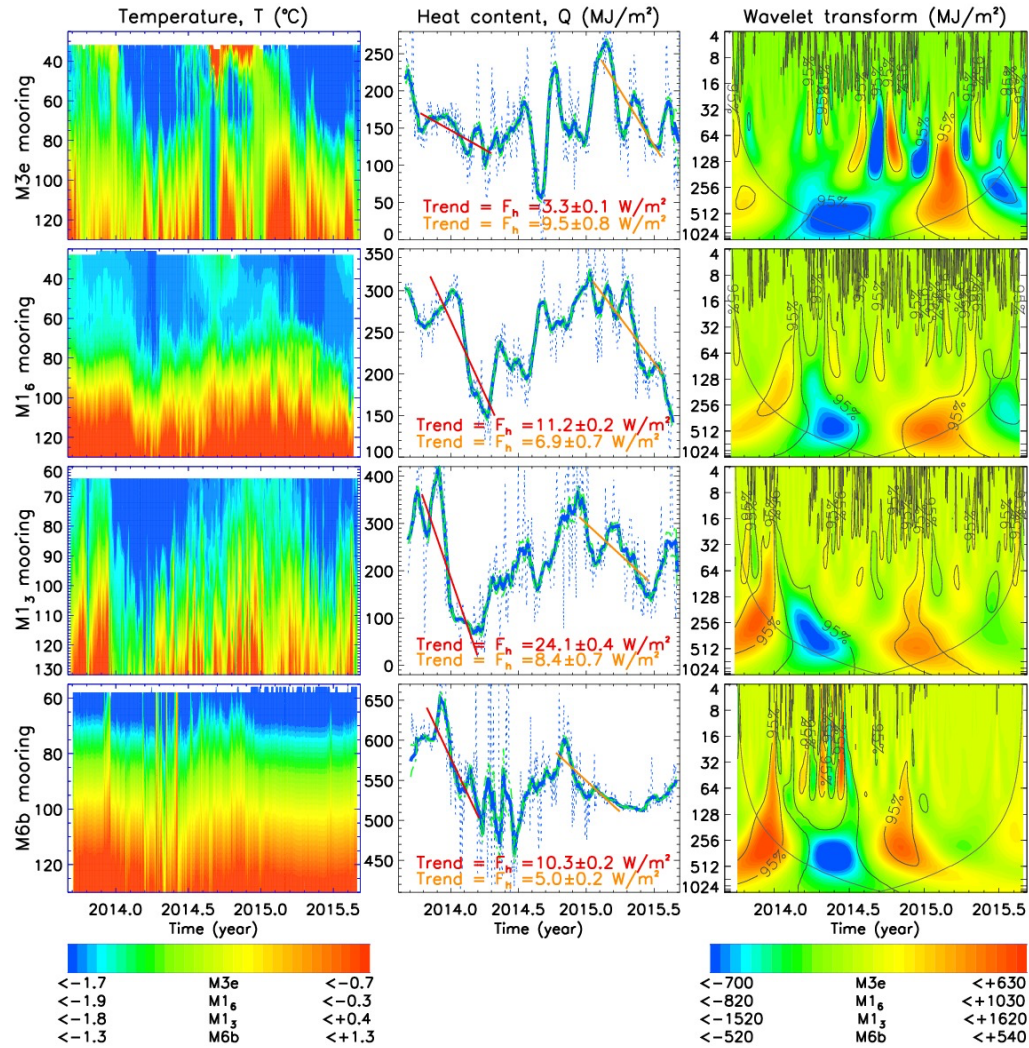
687 Schematics of moorings used in this study. Abbreviations used in this figure and relevant
 688 to our discussions are: CTD = Conductivity-Temperature-Depth, ADCP = Acoustic
 689 Doppler Current Profiler, MMP = McLane Moored Profiler. Thermistor arrays included
 690 two SBE-37 and several SBE-56 separated by 2m intervals and provided temperature
 691 records only.



692

693 **Fig. S3.**

694 Time series and their wavelet transforms from the Laptev Sea mooring site M1₄, eastern
 695 Eurasian Basin of the Arctic Ocean ($\varphi = 78^\circ 27.5' N$, $\lambda = 125^\circ 53.7' E$). In panels with
 696 wavelet transforms, statistical significance and cones of influence are shown by grey lines.
 697 (Top) Composite time series of water temperature at three depth levels. Dotted lines show
 698 daily time series and solid lines show monthly mean time series. Note enhanced seasonal
 699 signal in winters of 2013/14 and 2014/15. White segments indicate missing data. (Next
 700 three rows) Original (light blue) and de-trended (dark blue) time series of water
 701 temperature measured at 150m (left) and wavelet transforms of de-trended time series
 702 (right). Note the strongest seasonal signal in the last two years.
 703 (Bottom three rows) Original (light blue) and de-trended (dark blue) time series of the
 704 upper Atlantic Water (AW) boundary (defined by 0°C isotherm, left) and wavelet
 705 transforms of de-trended time series (right). Note: a) Strongly amplified seasonal signal in
 706 the recent years and b) extreme shoaling of the AW layer in winters of 2013/14 and
 707 2014/15.
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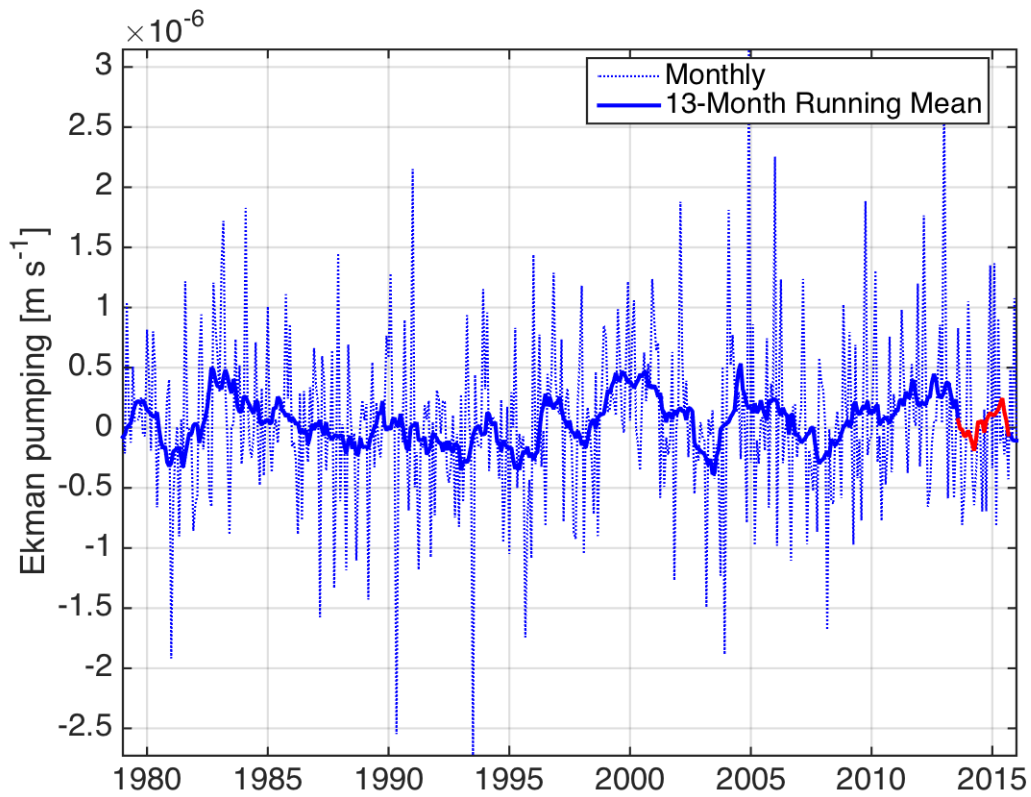


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711 **Fig. S4.**

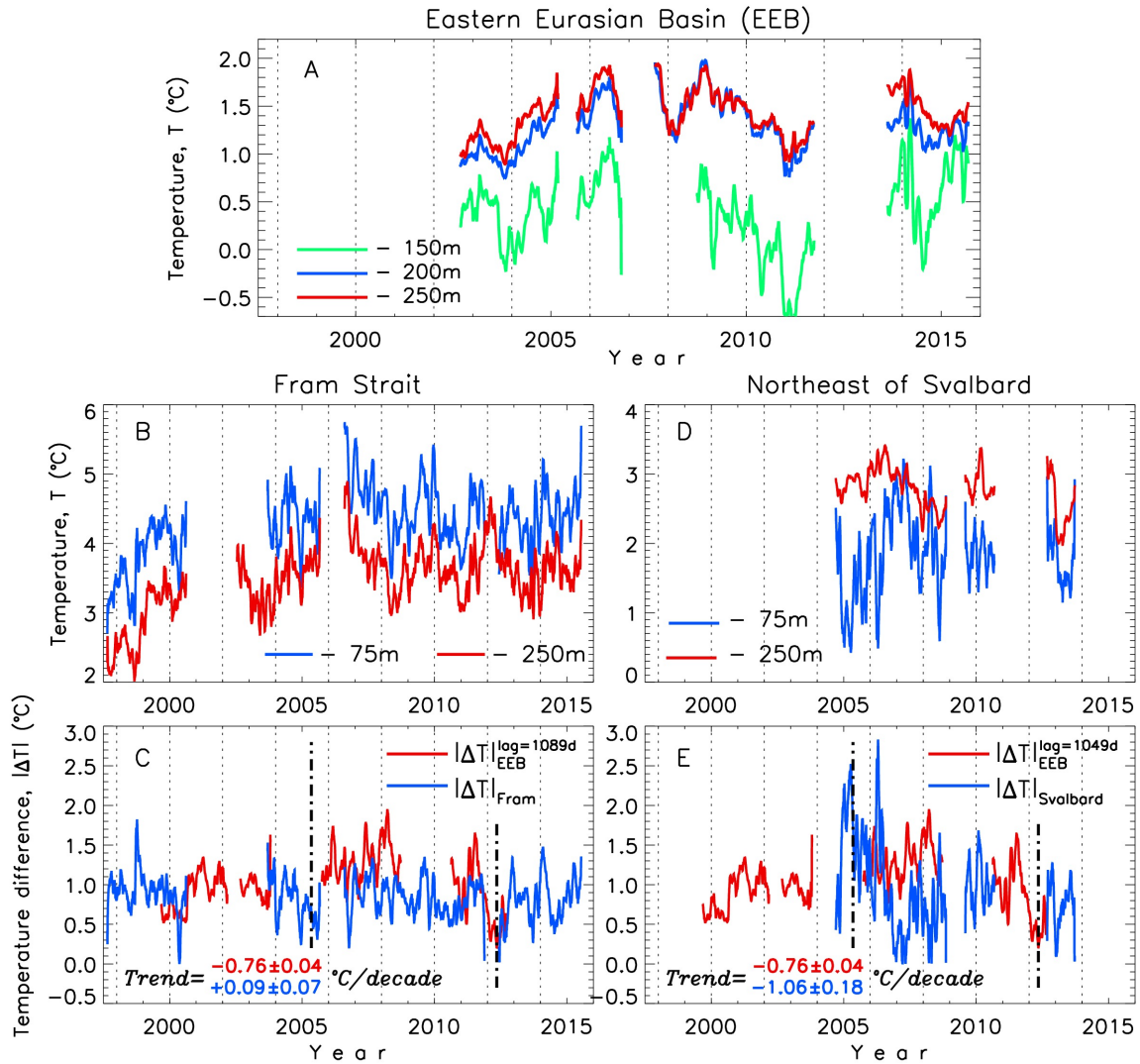
712 (left) Depth (m, vertical axes) versus time of water temperature, (middle) time series of Q
 713 for the 65-130m layer (dotted blue lines show daily series, solid blue lines show monthly
 714 means and green dashed line show standard errors) and (right) wavelet transforms of the
 715 time series of Q for four moorings. Maxima and minima of wavelet transforms were used
 716 to define the boundary of winter seasons. These boundaries were used to calculate trends
 717 of Q shown by red (winter 2013/14) and orange (winter 2014/15) lines. Slope of trends
 718 defines the rate of change of Q in time, which is equivalent to the divergent heat flux F_h
 719 (shown in red and orange).



720

721 **Fig. S5.**

722 Time series of Ekman pumping velocity w_e averaged over the eastern EB of the Arctic
 723 Ocean (see definition of the area in Supplementary Materials Fig. S4; positive is
 724 upwelling, negative is downwelling). Red segment of the time series shows w_e for the
 725 period of time covered by mooring observations; weak velocities suggest negligible role
 726 of Ekman pumping in AW shoaling.



727

728 **Fig. S6.**

729 De-seasoned time series of AW temperatures (°C) in the eastern EB (A), Fram Strait (B)
 730 and northeast of Svalbard (D) smoothed using 30-days running mean smoothing window
 731 and corresponding temperature differences (C, E). Vertical dash-dotted lines in C, E
 732 identify segments of the records corresponding to intensive loss of temperature contrast
 733 between the upper and lower water layers; trends are computed for these segments. Lags
 734 (C,E) are defined using correlation analysis. White segments indicate missing data.

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736

737 **Table S1.**

738 Estimates of winter 2014/15 trends of Q [W m^{-2}].

<i>Layer (meters)</i>	<i>Moorings</i>			
	M3e	M1₆	M1₃	M6b
65-100	5.02±0.40	3.95±0.38	5.01±0.32	3.68±0.15
65-110	6.48±0.49	5.03±0.50	6.53±0.46	4.30±0.19
65-120	7.97±0.75	6.07±0.61	7.48±0.59	4.73±0.22
65-130	9.47±0.75	6.90±0.69	8.39±0.74	4.98±0.25
65-140	11.00±0.91	7.52±0.75	8.91±0.87	5.16±0.28
65-150	12.43±1.05			
65-160	13.45±1.17			

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