

1 High Frequency Side Scan Sonar Fish Reconnaissance by Autonomous Underwater Vehicles

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23 Running Title: Fish reconnaissance by autonomous underwater vehicles

24 Abstract

25 A dichotomy between depth penetration and resolution as a function of sonar frequency, draw
26 resolution, and beam spread challenges fish target classification from sonar. Moving high frequency
27 sources to depth using autonomous underwater vehicles (AUVs) mitigates this and also co-locates
28 transducers with other AUV-mounted short-range sensors to allow a holistic approach to ecological
29 surveys. This widely available tool with a pedigree for bottom mapping is not commonly applied to
30 fish reconnaissance and requires the development of an interpretation of pelagic reflective features, re-
31 visitation of count methods, image-processing rather than wave-form recognition for automation, and
32 an understanding of bias. In a series of AUV missions test cases, side scan sonar (600 and 900 kHz)
33 returns often resolved individual school members, spacing, size, behavior, and (infrequently) species
34 from anatomical features and could be intuitively classified by ecologists - but also produced artifacts.
35 Fish often followed the AUV and thus were videographed, but in doing so removed themselves from
36 the sonar aperture. AUV-supported high frequency side scan holds particular promise for survey of
37 scarce large species or for synergistic investigation of predators and their prey because the spatial scale
38 of observations may be similar to those of predators.

39

40 CJFAS Keywords: Survey, Acoustics Equipment, Sampling, Remote Sensing, Pelagic Fishes

41 Other Keywords: fish, side scan sonar, autonomous underwater vehicle, imaging

42 Introduction

43

44 Since the discovery of the dynamic deep scattering layer in the 1940s, sonar has developed as the
45 primary tool for remote sensing of marine biomass. Fish reconnaissance has developed since then as
46 both a science and a commercial application to include split beam, spread spectrum (CHIRP),
47 multibeam, imaging, and side scan sonar (SSS) transducers (Hewitt et al. 1976, Farmer et al. 1999,
48 Makris et al. 2006, Boswell et al. 2008, Pena et al. 2014). Continued development is supported by a
49 lexicon for characterizing acoustic backscatter from fishes (Kalikhman and Yudanov 2006), but
50 classification and enumeration remain a challenge (Demer et al. 2009). Interpretation of reflected low
51 frequency sonar (LFS, here referring to ~40 to 200 kHz) commonly used in fish finder applications is
52 based primarily on quantification of echo strength and resonance relative to the impulse (Nakken and
53 Olsen 1977, Kieser et al. 1993, Bertrand et al. 1999, Sunardi et al. 2008). Low frequency (LF), long
54 wavelength waves echo off proportionately smaller objects as non-intuitive Rayleigh scatter and this is
55 sensitive to the fish's tissue density, the presence or absence of gas bladders and their shape,
56 musculature, recent depth "history", polarity and orientation within a school, gut fullness, orientation to
57 the sonar source, and the number and distribution of individuals within a school (see review by
58 Kalikhman and Yudanov, 2006, and numerous papers in a special symposium publication, see Demer
59 et al. 2009). The resolution of LF is further constrained to rendering of the reflection on a limited pixel
60 field so that, especially over long ranges, schools rather than individual fish or their features are
61 rendered as an object, especially since scattering organisms include species with solitary, aggregating,
62 or schooling habits, and can range three orders of magnitude in individual body length from cm to m
63 scale (Pena et al. 2014). Over long distances, the beam also spreads, decreasing resolution. The issue of
64 wavelength versus animal size in sonar scatter is well recognized, since lower frequencies have been

65 necessary to penetrate ocean depths below a surface survey vessel. Thus, investigation of scatter from
66 low frequency sonar remains an important topic of research.

67

68 Complementary to further development of LFS is the application of high frequency sonar (HFS, 500 -
69 1000 kHz) by sinking the HF transducers to the depth of interest either on tow cables or mounted on
70 autonomous underwater vehicles (AUVs). HFS, and especially high frequency side scan sonar
71 (HFSSS) produces imagery that is intuitive in the lateral two-dimensional field, and this can include
72 imagery of animals in the water column (O-Driscoll 1997, Grothues et al. 2009, Holliday et al. 2009).
73 (Wavelengths of size similar or smaller than the target fish echo as Geometric scatter to produce an
74 echogram with features of the target's shape and size.) The application and capability of HFSSS has
75 been greatly increased in recent years, but primarily for the use of bottom imaging to detail benthic
76 habitat features rather than fish themselves (e.g. Able et al. 1987, Bell et al. 2006). HFSSS is also
77 frequently mounted on AUVs for military, geological, and anthropological tasks (Hibbert 1997, Hagen
78 et al. 2003, Chapple 2009). While single and multibeam up and down-looking LFS (Fernandes et al.
79 2000, Trenkel et al. 2009, Scalabrin et al. 2009), and even cameras (e.g. Tolimieri et al. 2008, Smale et
80 al. 2012, Seiler et al. 2012) have been deployed on AUVs specifically for fisheries applications, HFSSS
81 use on AUVs is still largely unexploited for fish reconnaissance, perhaps owing to its pedigree as a tool
82 for imaging bottom bedforms. In fact, water column targets are typically removed when producing side
83 scan "mosaics" that stitch individual image files into bottom feature maps.

84

85 The goal of this paper is to describe fish data from pelagic HFSSS on AUVs, accounting for differences
86 from common near-surface vessel-mounted or towed applications. The specific motivation for the use
87 of two (600 and 900 kHz) HFSSS systems discussed in this paper was to employ their imagery for: 1)
88 mapping bathymetry and bedforms in an experimental area to aid in modeling propagation along lower
89 frequency (0.7 to 2 kHz range) acoustic paths (Newhall et al. 2016), and 2) to provide meter-scale

90 information on the distribution of fish and other biota for acoustic scattering experiments. This paper
91 focuses on the second of these motivations, although the AUVs used were built for tasks like the first.
92 Basic HFSSS units are a commercial, off-the-shelf (COTS) technology that many AUV owners have
93 incorporated into their vehicles. They are relatively inexpensive, and simple to use for bottom mapping.
94 It is also well known that such systems see objects in the water column such as fish; since this data is
95 available, even if it is not optimized for fish detection and imaging, it can and should be used to
96 augment other means of examining fish. Currently there is no common practice for deploying,
97 interpreting, and communicating results of HFSSS on AUVs for fish, especially in the deep water
98 column for pelagic species where this presents special opportunities. In particular, we address four
99 broad themes including benefits and constraints. These are:

100

101 1) The co-location of sonar with other short-range sensors (hydrographic, photographic) allows holistic
102 ecological surveys.

103

104 2) Interpretation of these surveys requires the development of a standard and wider recognition for
105 reflective features and understanding of distortion specific to HFSSS in the water column, especially as
106 this can then be automated through image-processing rather than wave-form processing.

107

108 3) The use of transect methods to count fish is appropriate, but requires review of the statistical
109 methods applied to those from other platforms as well as new empirical studies to account for the
110 mechanics and biases of HFSSS on an AUV platform.

111

112 4) The relationship between the AUV and fish behavior must be further investigated.

113

114 Here, we discuss these issues with data from several AUV missions in coastal and continental slope
115 habitats.

116

117 Materials and Methods

118

119 Sonar returns discussed here are primarily from six AUV missions, some conducted specifically for
120 sonar fish reconnaissance, but examples of features from other missions are also shown in order to
121 illustrate specific points. All missions utilized REMUS-100 model (Hydroid, Inc. Pocasset, MA)
122 vehicles from Woods Hole Oceanographic Institution or Rutgers University. The base REMUS-100
123 AUV is a 1.6 m long x 0.8 diameter torpedo-shaped vehicle driven to speeds of up to 5 knots by a
124 single stern propeller. Although specifications differed among these vehicles based on their specialized
125 sensor packages, all supported a two-channel (port and starboard) Marine Sonics Technology (White
126 Marsh, Virginia) side scan sonar system operating at either 600 or 900 kHz, a YSI conductivity-
127 temperature sensor (CT, Yellow Springs Instruments, Ohio) reporting every second, and two (upward
128 and downward looking) Workhorse 1200 kHz acoustic current Doppler profilers (ADCP, Teledyne RD
129 Instruments, Poway, California). The Rutgers AUV also hosted colored dissolved organic matter,
130 chlorophyll-a (both Wet Labs, Inc., Philomath, OR), and dissolved oxygen (Aanderaa Analytic
131 Instruments, Bergen, Norway) optical sensors. During several of the missions discussed, these vehicles
132 also supported GoPro Hero® high definition (HD) video cameras. Missions last up to 10 h.

133

134 Reconnaissance missions over the continental shelf of North Carolina were conducted in 2011 and
135 2012 primarily to locate and identify fish targets to study the scatter and attenuation of low frequency
136 sound (Newhall et al. 2016). In 2011, three consecutive missions across the shelf emulated a single
137 long transect at a depth of 15-20 m, with an additional partial leg beyond the shelf break following the

138 contour to 80 m, but returning at the 20 m level to finish the transect. Only the upper layer of the
139 transect is analyzed here. In 2012, passive hydrophones were moored in vertical stationary arrays, and a
140 moving low frequency source (also AUV-mounted) navigated through the study area. Low frequency
141 (0.7 – 2 kHz) scatter and attenuation was characterized by calculating the difference between the
142 (relatively) un-attenuated signal and that which was recorded by the passive hydrophone array on the
143 other side of or oblique to fish. This required knowledge of the distribution, identification, number,
144 size, and orientation of potential target fish, which was provided by HFSSS from the three AUVs
145 navigating similar paths at different depths, and was complemented by cameras (Newhall et al. 2016).
146 Other missions discussed here were pilots in preparation for that work, or were tasked with tracking
147 fish implanted with acoustic tags for which the LFSSS and other sensors provide complementary data
148 (e.g. Grothues et al. 2009, 2010, Coleman 2015), including unpublished results from missions in the
149 Gulf of Mexico and over the Hudson Canyon off New Jersey.

150

151 Sonar imaging

152 Echoes from the AUV's HFSSS are mapped to a pallet of 512 pixels cross-track (see Table 1 for
153 specifications). Echoes may come from many directions in a disk-like configuration (or at least a lobed
154 approximation of such) normal to the vehicle's path, including from above the vehicle, so that a
155 cylinder (or approximately so) is imaged as the AUV moves forward (Figure 2). However, if the sea
156 bottom intersects this cylinder as a plane because of a low altitude-above-sea-bottom mission, the
157 imaged volume is reduced. Further, objects in the water column may be masked from bottom or surface
158 returns because the image is not vertically explicit as it is for split beam or multibeam sonar
159 (Trevorrow 2001). The downward looking form of a broad or lobed fan beam is approximated only
160 when the source is near the surface so that only the lower half or less of a horizontal cylinder is imaged
161 (Figure 2). Because the pixel number is set, constraining accepted backscatter recording to a shorter
162 delay (and therefore distance) provides higher pixel density for a given area. The re-interpretation of

163 HFSSS applied from an AUV well below the surface and well above the bottom is an important
164 consideration of this paper and is reviewed in the Results section below.

165

166 Data Analysis and Automated Processing

167 HFSSS returns were reviewed first by eye in the “waterfall” mode of the host software (SS-Review PC,
168 Marine Sonics Inc.), which represents sections of continuous records as “tiles” of two-dimensional
169 images with the x-axis as the cross-field range and the y-axis as elapsed time (60 s) approximating
170 distance (typically 130 m due to the commonly used 1.8 m/s AUV speed) along the mission path
171 (transect). The images were not stitched together into mosaics for review and the water column was not
172 removed. Reviewers marked the location of likely fish targets with a unique identifier and noted their
173 latitude, longitude, and time stamp (to the second). In the case of large fish targets, they also noted the
174 length of the target image, and in the case of fish schools, the area occupied by the visible part of the
175 school. Targets were classified based on characteristics of size, shape, number, spacing, and within-
176 group orientation. Artifacts that could lead to misinterpretation of HFSSS data were also identified.

177

178 The time stamps of targets were matched with the time stamped data of the AUV’s navigational and
179 sensor data stream so that the target could be not only geo-referenced but resolved into multivariate
180 environmental space of temperature, salinity, and depth (and potentially also dissolved oxygen,
181 chlorophyll-a, and chromatic dissolved organic matter as available from the particular vehicle’s
182 sensors). Likewise, video was reviewed and targets identified and their timestamp matched with that of
183 the AUV’s navigational and sensor data (including the HFSSS). Video was compared to the image
184 made by the sonar and the lag between likely sonar contacts and video contacts was calculated. The
185 relationship between that lag time and the distance of fish from the AUV during sonar contact was
186 identified and recorded.

187

188 As a demonstration of automated processing, a script was developed to find rays (Order Rajiformese)
189 swimming in the water column. Rays are a diverse group of fishes that are distinctive in shape and
190 typically large and sparsely distributed and so are appropriate for a proof of concept for such a
191 technique. The script a) sequentially loaded and read all image files in a directory, each containing a
192 time- and georeferenced section of the data from a mission, b) converted each to a black and white
193 binary image file, c) detected edges (abrupt changes in pixel value in only one of the two dimensions)
194 to segment the image into objects d) measured the potential object shape using an Object Area
195 Distribution and Symmetry Algorithm (OADaSA) Detection and Segmentation process. The scoring of
196 objects based on their symmetry distinguished objects of interest from amorphous segmentation
197 artifacts created during the process of isolating the fish from the background. OADaSA converts an
198 object represented by a set of perimeter points to an object represented by two sets of orthogonal area
199 slices referenced by position along the object's major axis (Figure 3). Area values for each half of the
200 object were then queried for the cumulative distribution/ area balance point, quantitative bilateral
201 symmetry, and percent of total area on each side of the major axis. Identified image objects were
202 scored on the basis of a symmetry rating and grouped into "fish school" and "ray" classes. Fish schools
203 were discriminated from lone fish by using an unsupervised classification algorithm, DBSCAN
204 (Density Based Spatial Clustering of Applications with Noise) which can discover clusters of arbitrary
205 shape (Ester et al. 1996). Image segmentation was accomplished using the basic MATLAB
206 (MathWorks, Inc.) Image Processing Toolbox along with a 2D MATLAB implementation of level set
207 methods (Sumengen 2005; an in-depth treatment can be found in Osher and Fedkiw 2003). These
208 algorithms are treated in the medical imaging (Kockara et al. 2010; Zhang et al. 2008) and machine
209 learning (Chan and Vese 2001) literature, including application HFSSS data (Lianantonakis and Petillot
210 2005), and are not detailed further here.

211

212

213 Statistical Considerations

214 Statistical considerations are those that affect the confidence of counting fish and associating them to
215 their environment through an understanding of probability and error sources. These include knowledge
216 of the water volume being effectively sensed, the behavior of fish in response to the AUV that either
217 increases or decreases the probability of their detection (either at all or multiple times including effects
218 of avoidance, attraction, or schooling), classification, and mapping. A body of literature has explored
219 use of different formulae for quantifying organisms in transect sample designs, including algorithms
220 that recognize decreasing probability of detection with distance from the observer, or as a function of
221 angle from the observer, or of the reaction of the observed individuals. As an example for discussion,
222 we use a simple graphical representation of the distribution of different fish classes relative to water
223 characteristics. For common classes of these discrete target objects, we calculated a smoothed estimator
224 of distribution as a probability density function (PDF, kernel method following Worten 1989).
225 Estimates were centered and unit-variance standardized and related to each other through correlation
226 analysis. These were post-hoc and not tested as regression analysis of specific hypotheses, which is
227 beyond the focus of this paper.

228

229 Results

230

231 A number of image features were intuitively characterized as fish and other animals, including single large
232 but well-defined rays and large and small fish groups. These were resolved into one of six major
233 categories; “rays”, “large singletons”, “loose schools”, “bait balls”, “patrols”, and “followers” (Figure
234 4). The ray class included members of the whiptail rays (Fam. Dasyatidae, commonly “sting rays”)
235 with thin tails and most of the pelvic fins encompassed by the perimeter of the pectoral fin “disk”, a

236 manta ray (*Manta birostris*, Fam. Mobulidae), with diagnostic cephalic fins well resolved and separated
237 from devil ray (*Mobula hypostoma*), on the basis of size and tail/body ratio) (Figure 4A, top row), and
238 an example of what is likely an Atlantic guitarfish (*Rhinobatos lentiginosus*, the only local member of
239 Fam. Rhinobatidae) but possibly an Atlantic angel shark (*Squatina dumeril*), both of which have
240 similar elongate outlines with separated pectoral and pelvic fins and a fleshy caudal peduncle. “Patrol”
241 referred to highly organized structures, either single or double lines of similar-sized members with even
242 spacing, or sometimes in a “v” shape (reminiscent of flying geese flocks) (Figure 4 A, middle rows).
243 This organization is frequently seen in areal imagery in socially foraging predatory fish such as tuna.
244 These were sometimes imaged in proximity to bait balls. “Followers” referred to fish that paced the
245 AUV abeam so that they stayed within HFSSS aperture to return long sinuous object features from
246 many meters to as much as a km in length (Figure 4A, middle and bottom rows). This behavior is
247 described further below. “Bait ball” designated a tightly packed school of fish, with members spaced
248 equal to or less than a body length from each other, and frequently so tight as to overlap and form a
249 single large image object without texture except at the edges (Figure 4B). These did not show a
250 common axis of travel when members were distinguishable, and numbered typically on the order of
251 hundreds when individuals were distinguishable. In the Gulf of Mexico missions in particular, these
252 tightly packed schools formed lacy structures (Figure 4B bottom right). In some cases, they were
253 apparently under attack by larger predators also visible in the sonar image. The “loose schools” class
254 designated a group of fishes that were clearly oriented to each other, with a common long axis direction
255 and often a well-defined leading but not trailing edge, and members spaced much greater than
256 individual body lengths from each other (Figure 4C top row). These typically contained on the order of
257 tens of members. “Large singletons” referred to large, strongly reflective targets in the water column,
258 either globose or elongate, that were not oriented to other targets or the AUV. They may have included
259 turtles, which were regularly seen on the surface and while diving in the area or potentially large jellies,
260 or any single members of those fish that formed patrols. The “few scattered fish” or “numerous

261 scattered fish” classes reflected the fact that “singletons” were often too common in a single image
262 frame to be counted individually, but while not organized relative to each other were very characteristic
263 of a particular tile. Separation of these classes was subjective. Moreover, these two classes represent
264 an area more than an individual point count, with important consideration to how they should be
265 handled statistically.

266

267 Several artifacts were also identified that could have been confused with biota and many of these were
268 clearly above the AUV or even on the water surface, including the underside of the skiff and outboard
269 motor used to launch the AUVs (Figure 4C middle left), vessel wakes, and the undersides of breaking
270 waves. Vertical instrument mooring lines returned crescent-shaped image objects because the radius of
271 the signal/echo path is longer both above and below the depth of the AUV and intercepts only part of
272 the line as a chord through the ensonified cylinder; these could appear similar to “followers” (Figure
273 4C middle right). On several missions closer to the seabed, returns from the seabed masked portions of
274 schools which were otherwise clearly visible in the water column (Figure 4B middle). When returns
275 were strong, such as of dense aggregations or large fish, these could still be distinguished; further, their
276 acoustic shadows on the seabed could assist in their detection and calculation of their depth (Figure 4A
277 third row left, Figure 4B, bottom row). One type of artifact that could confound both human and simple
278 automated counts is the doubling of images from very strong echo returns (Figure 4C bottom left). This
279 happened particularly from large fish such as amberjack (*Seriola dumereli*) that so closely paced the
280 vehicle that the echo from the back lobe of the transducer on the opposite side of the vehicle registered
281 on the transducer on the same side of the fish with a delay that is characteristically one and a half times
282 the distance between the transducers. Near the bottom, a similar effect results from multipath. Finally,
283 artifacts from acoustic modem calls between the support vessel and the AUV or cross talk from other
284 AUVs in the vicinity could potentially be counted as patrols by novice reviewers or algorithms because

285 of regular spacing and staggering, but these are extremely linear and regimented and typically weaker
286 than fish (e.g. Figure 4C middle right, consequent with mooring line).

287

288 Of the 202 total targets in three cross-shelf missions from September 2011 for which they were
289 quantified, the most common type encountered was few scattered fish (64 incidents), followed by bait
290 balls (36 incidents), large singletons (26), patrols (25), loose schools of large individuals (16),
291 followers (11), and rays (3). These were distributed unevenly relative to depth, hydrography, and
292 distance across the shelf in the September survey off Cape Hatteras, NC (Figure 5). Three nominal
293 classes (patrol, bait ball, loose school), were further examined because they were common. The
294 distributions of all three (represented by kernel smoothing) peaked with varying degree of association
295 to the shelf break and the shelfbreak front (Figure 5). Loose schools were most closely associated with
296 the front and rarely were imaged far from it. The distributions of presumed predatory patrols and bait
297 balls were much broader across the shelf and were highly and significantly correlated to each other,
298 with patrols slightly favoring the offshore side of the front. Patrols were also significantly but less
299 strongly correlated with loose schools, but loose schools were not correlated with bait balls at least on
300 the cross-shelf scale (Table 2). During a similar mission over the Hudson River Canyon, NJ, a strong
301 vertical front was not encountered. Salinity was low and generally restricted to a narrow band between
302 32.2 and 32.7 (except for a very thin surface lens with salinity as low as 30) but temperature difference
303 in 3 strata exceeded 12 °C. Bluefish (*Pomatomus saltatrix*) were encountered in all of these and in the
304 thermoclines between them (Figure 6).

305

306 A review of transect sampling methods and count treatments shows that most are for planar application,
307 such as terrestrial survey or aerial survey of thin ocean surface layer for mammals, tunas, or
308 elasmobranchs (e.g. Leatherwood 1979, Blaylock 1988, Bonhommeau et al. 2010) (Table 3). A

309 potential exception is for diver and submersible transects, but these are generally for reef fish with
310 benthic or structural orientation, rather than for pelagic fishes. In general among the statistical formulae
311 for the estimation of density (D) from animal counts (n) is the measure of sighting distance (r), sighting
312 angle (θ), and perpendicular distance (x), for use in an estimator function a such that in a transect of
313 length L
314 $D = n/(2La)$,
315 to take into account the probability of detecting fauna at different distances or angles. Such functions
316 rest on four assumptions (detection is certain, animals don't move for subsequent detection, sightings
317 are independent, and there is no measurement error) which are rarely met. For example, ground birds
318 are cryptic and counted when flushed, but less so when the counter is distant (Gates et al. 1968).
319 Cetaceans have species-specific avoidance or attraction responses starting many km distant from
320 survey vessels (Palka and Hammond 2001). Therefore, a fixed-width strip estimate creates a bias. In
321 another example, for areal counts of marine animals, the aircraft that hosts an observer itself blocks
322 some angles of vision and is corrected in formula (Leatherwood et al. 1982). For an AUV using side
323 scan sonar, θ is always 90° and so r and x are the same and are fixed to the accepted echo return delay
324 (here to a distance of 30 m) in the sonar's initialization file. However, the transect cylinder is not
325 perfectly cylindrical, or is potentially weak or distorted outside of stronger lobes, and moreover
326 masking by the bottom returns can cut the effective strip width substantially for some fish like "loose
327 school" but not for others (see examples in Figure 4, also Misund et al. 1995, Trevorrow 2001).
328 Various formulas address such biases as effective strip width and flushing (Table 3). A two-observer
329 system (Turnock and Quin 1991, Buckland and Turnock 1992) is useful in calculating a response bias
330 (attraction or avoidance) by using a second (typically independent) observer team. This is improved by
331 Palka and Hammond (2001) for two teams on a single platform, by looking at orientation of the
332 organisms (in their case cetaceans) to the platform in different quadrats representing independent
333 observations of what cetaceans did as the vessel approached them or left them behind and corrected for

334 potential pre-encounter orientation. In some ways, the two (left and right) sonar channels of the AUV's
335 side scan could emulate the two observer team, although the imaged distance may be much less than
336 the response distance. Coefficients thus need to be developed explicitly for AUVs and the side scan
337 sonars they mount.

338

339 Video imagery, as a “second” observer was able to identify a number of the species and also their
340 response bias because the AUV attracted them and because the camera was mounted to face aft (Figure
341 7). Earlier attempts with forward facing cameras imaged no fish, although followers were apparent in
342 the sonar record. Of 10 imaged species (Table 4), 7 were predatory and these species were also imaged
343 more frequently. These could be seen approaching the AUV from the sides both above (Supplementary
344 Material Video 1, bluefish_clip, Supplementary Material Video 2, tiger_shark_follow) and below
345 (Supplementary Material Video 3, blue_runners_clip, Supplementary Material Video 4, little_tunny),
346 but also from far behind, possibly without having entered the HFSSS aperture (Supplementary
347 Material Video 5, amberjack). Only one sequence showed a bluefish (*Pomatomus saltatrix*)
348 approaching the vehicle from in front and above and then turning sharply to fall in line behind and
349 alongside it with other individuals. However, blue runner (*Caranx crysos*) also followed the AUV after
350 converging on it from all directions in numbers approaching a hundred individuals (see also Newhall et
351 al. 2016) (Supplementary Material Video 3, blue_runners_clip); these are generally zooplanktivores
352 that may take small bait fishes (Carpenter 2002). In one instance, the AUV passed through a school of
353 bait fish < 10 cm length and most likely round scad (*Decapturus punctatus*) which appeared to scatter
354 rather than follow the AUV. Scattering is also visible in HFSSS from another mission (in turbid water
355 that carried no camera) where the AUV passed directly through a bait ball (Figure 4B, bottom left).

356

357 Fish that were imaged by camera following the AUV stayed behind it for durations of seconds to
358 several minutes and there was a tendency for larger schools to remain for longer periods (Table 4)
359 (Supplementary Material Video 3, blue_runners_clip). However, calculation of a very long “follower”
360 feature in a mission without a camera shows that at least one individual paced the vehicle alongside for
361 a duration of 3 minutes (320 m). Fish often followed so closely that images were compromised by the
362 AUV’s propeller and control fins given the narrow “head on” view (Supplementary Material Video 1,
363 bluefish_clip, Supplementary Material Video 2, tiger_shark_follow); however, fish broke contact by
364 “peeling” away rather than falling behind or passing the AUV forwards (Supplementary Material
365 Video 6, barracuda) . The peeling away behavior afforded an opportunity for the camera to image the
366 fish in broadside, which aided in identification (Figure 7).

367

368 During the time that fish were lined up behind and following the AUV they were not in the HFSSS
369 aperture. Of 46 image contacts with bluefish, only 22 could be associated with corresponding marks in
370 the side scan sonar records, indicating that a number of these individuals were not imaged on sonar
371 around the time that they were detected by the camera. Right-size sonar targets were found between -
372 64.0 to 95.9 s of corresponding video imagery and generally preceded the photo imaged target with a
373 skewed distribution of median -17.5 s and mean -7.7 s, (S.D. = 38.04 s). The distance of contacts
374 corresponding to the video imaged targets range between 20.9 m and 0.5 m, but was uncorrelated with
375 lag time to imagery ($\rho = 0.18$, $p = 0.48$). Inspection of the residuals showed that most of the variance
376 was accounted for in the near field while distant targets all had longer lag. This is indicative of fish
377 approaching the vehicle from the side and then pacing or falling in behind it, much like a dog attacking
378 a bicyclist. This pattern is readily apparent in supplementary material posted as video imagery (see
379 Figure 7 caption).

380

381 Automated detection of objects in 1536 trial HFSSS files from May 2012 cruise off Cape Hatteras, NC,
382 resulted in 324 (21%) sonar image tiles being flagged for further review. Of those, 88 (27.2%) proved
383 to be artifacts of pitch angle created when the AUV ascended or descended (the change in distance to
384 the surface reflection creates a symmetrical “v” with a continuous edge) and were easily identified and
385 eliminated from the list of images of interest. Another 49 (15%) of the 324 sonar image tiles contained
386 fish schools, large fish-like objects, or rays. Of those image objects (n=16) that were first scored by
387 independent human review as rays, 87.5% were also classified as such by the algorithm. “Missed”
388 (relative to human review) detections owed primarily to failure of the segmentation algorithm in
389 separating the ray outline from bottom reflection.

390

391 Discussion

392

393 AUVs have previously mounted sonar for the purpose of fish reconnaissance, although low frequency
394 (38-200 kHz, see review by Trenkel et al. 2009). Most have been on deep-diving vehicles targeting
395 benthic or suprabenthic fish with down-looking beams where the AUV’s proximity allowed
396 differentiation between the bottom and target by increasing local resolution (Fernandes et al. 2003), or
397 targeting anchovy, herring, or krill biomass measures in the “acoustic dead zone” near the surface that
398 would otherwise be directly underneath a surface survey vessel (Scalabrin et al. 2009) using up-looking
399 beams (Breirley et al. 2012). High frequency (700 – 1850 kHz) side and up looking sonar in Tracor
400 Acoustic Profiling Systems have been deployed in somewhat analogous sampling models as presented
401 here to achieve holistic ecosystem measurement at small (zooplankton) scales. In these cases, high
402 frequency sonar was incorporated with low frequency bands and deployed simultaneously with
403 oceanographic sensors and optical instruments to understand trophodynamics as either moored
404 (Holliday et al. 2009) or towed packages (Lbourges-Dhaussy et al. 2009). Both used acoustic returns to

405 create volumetric estimates by size class, with photo imaging or net samples to supervise
406 classifications. The latter coupled this further with traditional low frequency (38 kHz) volumetric
407 assessment of sardine and anchovy distribution. The disparity in scaling of these instruments meant that
408 coupling models relied on kriging between stations separated on the order of 18 km (Lbourges-Dhaussy
409 et al. 2009). There are relatively few published attempts to use HFSSS, in the band spectrum defined
410 here, for fish study on any platform. Of these, most are for sturgeon in riverine or estuarine habitats
411 where the river bed is also imaged and of interest, and where the shadows are also diagnostic (Thomas
412 and Hass 2004, Grothues et al. 2009, Flowers and Hightower 2013). One (Grothues et al. 2009) utilized
413 an AUV, and this also imaged the bottom for understanding this benthic specie's relation with habitat.
414 The combination has not been previously used in pelagic applications. This technology is commonly
415 available but underutilized, perhaps owing to a misunderstanding of its function and interpretation
416 among biologists and the common practice of presenting it in the mosaicked form that eliminates the
417 water column.

418

419 Inexpensive HFSSS imaging is not designed to, and will not compete with multi-frequency and
420 broadband backscatter sonars, multi-beam sonars, acoustic cameras, and variants thereof, which also
421 can be incorporated into an AUV payload, for acoustically imaging fish. Instead, it stands to fill an
422 important niche in research on a spatial scale fitting between camera imagery and LF sonar, and differs
423 substantially in applicability and analytical approach. On the fine end of the resolution and range scale,
424 cameras have also been mounted as a primary fish sensing tool on AUVs mostly for benthic fish
425 because the distance to the subject is easily constrained to be in the focal and visibility range by the
426 altitude-over-bottom (Tolimieri et al. 2008, Seiler et al. 2012, Smale et al. 2012). These applications
427 utilize static frames and machine learning for image recognition similar to that described for HFSSS
428 here. The AUVs used (e.g. SeaBED) have accordingly been slow-moving, purpose built machines
429 (Hsing et al. 2003). On a slightly longer range scale, multibeam imaging sonar extends vision to

430 approximately 20 m, but also differs from single beam HFSSS in that it produces moving (video-like)
431 images (see review by Martignac et al. 2012). Imaging sonar in fisheries applications is usually
432 statically mounted in constrained areas such as rivers or fish ladders (but see Able et al. [2013, 2014]
433 for examples of mobile deployments) and moving fish are thus differentiated from a static background
434 (Boswell et al. 2008); but it is side-looking and HF (typically above 900 kHz) (Martignac et al. 2012).
435 Imaging sonar has been mounted forward-looking on a REMUS AUV but for obstacle avoidance
436 (Hsieh et al. 2005), not for fish surveys. Between these and LFS, AUV-mounted HFSSS is
437 demonstrated here to be useful to examine especially the distribution and association of infrequently
438 occurring pelagic meso-level consumers (e.g. scombrids, carangids, and rays) as individuals or small
439 (10s of individuals) schools. It is well placed, for example, to supplement fishery-dependent long-line
440 by-catch data for mobulid ray stock-assessment (e.g. Mas et al. 2014). It is a fishery-independent
441 method that also complements satellite telemetry of the same (Jaine et al. 2014), which is reliant on ray
442 catches and very few individuals. However, this needs to be further developed in application. On the
443 previous AUV/HFSSS riverine application, the size of adult sturgeon at 1 - 3 m long is diagnostic of
444 identity and shadows on the bottom also helped reveal features such as the heterocercal caudal fin and
445 posterior dorsal fin placement. This will not always be the case in pelagic applications, but the images
446 of rays shown here demonstrate the potential.

447

448 While there is little diversity in the structuring seen in HFSSS images of sturgeon (they are all single
449 fish or milling aggregations), these studies are noteworthy because they demonstrate the applicability
450 of HFSSS to the survey of fish that should not or cannot be easily captured for survey, or where density
451 or abundance estimates from capture methods are seriously biased by the behaviors of the fish or by the
452 differences in the environment over which they are distributed. The use of an AUV by Grothues et al.
453 (2009) was helpful but not critical to accomplishing the survey task (it was incidental to an AUV-
454 supported telemetry project tracking sturgeon). The AUV's role in coupled fish/hydrographic survey

455 becomes important in pelagic systems where search areas are wide and habitat is defined by fronts and
456 fluid structures that may be just meters in thickness but occur well below the surface. In this, the few
457 non-sturgeon studies of HFSSS use, even without AUVs, are also noteworthy. In general, the impetus
458 for side scan use has been to survey fishes in shallow water or at least shallow depth strata, either in
459 rivers (Burwen and Fleischman 1998) where down looking sonar is ineffectual at finding near-surface
460 fish because only a very small volume right under the ship can be ensonified, which is also typically
461 avoided by the fish (Ona and Torreson 1988, Gerlotto and Freon 1992, Soria et al. 1996, Trevorrow and
462 Claytor 1998). Trevorrow (2001) used 300 kHz, mid-frequency by our definition here, to observe fish
463 in near-surface water when resolving depth at high precision was specifically the issue. In that case, the
464 issue of low depth precision noted by us was resolved with a narrow beam sector scanning instrument
465 (Trevorrow 2001). Side scan is also applied at low frequency for this reason; LFSSS at 12 kHz
466 (Farmer et al. 1999), and 100 kHz (Trevorrow 1997) was used to study salmon (*Oncorhynchus spp*)
467 and herring (*Clupea* or *Alosa*) in broad shallow water bodies. The side-looking sonar arrays were able
468 to image a much greater volume of water, with the long-distance penetration advantage of low
469 frequency sonar being otherwise wasted in shallow water. These arrays were also towed below the
470 surface (at 35 m in 60 to 220 m total water depth, Farmer et al. 1999) similar to the way our AUVs
471 were deployed. However, as appropriate to the applied frequency, fish were still classified and
472 quantified based on reverberation ratios. Discrete salmon targets (~ 1 m) and schools (but not
473 individuals) of the smaller (<0.4 m each) herring were identified. A study in Tasmania utilized side
474 scan sonar in order to survey the patchiness of forage fish schools that form near the water surface,
475 which is difficult to do from a surface vessel with downward looking sonar (O'Driscoll 1997). That
476 study applied sonar at 130 kHz, which, although intermediate in frequency relative to the individual
477 size of fishes studied (barracouta, *Thyrsites atun*; jack mackerel, *Trachurus murphyi*; slender tuna,
478 *Allothunnus fallai*) and probably sprat (*Sprattus antipodum* or *S. muelleri*), produced intuitive imagery

479 of the schools that they form and were useful in relating them to the presence of marine birds and
480 hydrography.

481

482 The tasking of AUVs to find and identify aggregations or schools of medium-sized fish in open water
483 in our study was stimulated by the synergistic use of AUVs for a different purpose. One AUV was
484 tasked with rapid mobility of an omnidirectional LF sonar source to study scattering and attenuation
485 (Newhall et al. 2016). Two additional AUVs roved over the same area to identify the presence, size and
486 location of fish schools that could be targets of the LF scattering experiment. The experiment
487 highlighted strengths and constraints in applying this technology in a primary, rather than support role.
488 These include capability, bias, classification, and automation, as treated below.

489

490 *Capability*

491 The use of an AUV to support deep HFSSS emulates the use of aerial photography to document the
492 presence of fish and mammals that are not amenable to trawl, net, or capture using other fishery-
493 dependent methods, but without the bias of being limited to animals using the very uppermost (1-4 m)
494 of the water column (Bonhommeau et al. 2010). It also emulates the use of drop cameras which are
495 often used to ground truth LFS, but at an intermediate scale between LFS and cameras in both
496 resolution and range. Further, it offers methods for ground truthing that are not available to aerial
497 survey, such as close-up photography and acoustic tag detection of sentinel individuals from the same
498 AUV platform and importantly, for statistical association with their environment. Acoustic telemetry of
499 tagged individuals from an AUV has been demonstrated in two very different applications: the
500 continuous following of a given targeted individual shark (Manii 2012) and the mapping of a number
501 of different individuals to describe movement and relationship to hydrography (Eiler et al. 2014,
502 Coleman 2015), including together with verifying the identity of sonar contacts (Grothues et al. 2009,

503 Grothues et al. 2010). Payload control, the ability to autonomously reroute an AUV in mission in
504 response to incoming data such as telemetry (Grothues et al. 2010, Manii 2012) in order to acquire
505 better or more data in patchy systems, is rapidly developing and will also complement the development
506 of adaptive acoustic sampling designs practiced by piloted vessels (e.g. Harbitz et al 2009).

507

508 An important aspect of the use of AUVs for fish reconnaissance is the concurrent oceanographic data
509 which is collected (*sensu* Lebourges-Dhuassy et al. 2009 using towed equipment). During the pilot
510 study in September, 2011, the hydrographic conditions included a near surface buoyant, low salinity
511 plume which was likely associated with the Chesapeake Bay outflow plume. This plume had a cross-
512 shelf extent of at least 35 km and was much larger in spatial extent than the normal 5-7 km cross-shelf
513 scale. The observations in September, 2011 were shortly after the passage of Hurricane Irene, which
514 caused extensive rainfall and subsequent flooding on the eastern seaboard. Similarly, the observations
515 in May, 2012 occurred during anomalous warming conditions (Chen et al. 2014) over the Middle
516 Atlantic Bight and Gulf of Maine. Thus, the use of the AUV platforms also provide a detailed high-
517 resolution hydrographic context for the interpretation of the spatial and temporal variability of the fish
518 distributions.

519

520 *Bias*

521 Bias is a problem with all fisheries and scientific survey techniques and perhaps especially so in the
522 case of pelagic environments, where different species are sparsely and patchily distributed over wide
523 potential areas not associated with static structures (such as benthic forms), or are associated with
524 dynamic hydrographic or biological structures that are not always apparent until after surveying
525 (Pennington 1983, Boulinier et al. 1998, Kimura and Somerton 2006, Davoren 2013) or range widely
526 in size. Non-extractive surveys are less biased to inter-species relative abundance and size than capture
527 sampling (Silveira et al. 2002) because different capture techniques invariably target aspects of habit or

528 morphology (e.g. bait attraction, thigmotaxis or phototaxis, trawl or gill nets, etc.) that differ among
529 species. Acoustic surveys are non-extractive, and low frequencies can sample wide areas quasi-
530 synoptically to resolve patchiness so that it can be treated statistically (Kimura and Somerton 2006).
531 While the AUV potentially mitigates some bias in the acoustic approach, it differs from that of vessel
532 mounted and perhaps towed sonar in a number of respects.

533

534 Bias associated with use of an AUV to mount HFSSS includes the fact that the two dimensional
535 imagery flattens a three dimensional distribution, especially in the absence of ocean floor returns upon
536 which shadows can be seen and used to calculate altitude over bottom. This has been addressed
537 technically by multibeam sonar that divides the ensonified area among many thin, spatially explicit
538 beams (e.g. Gerlotto et al. 1999) and which is becoming available on AUVs, but is still uncommon and
539 expensive. However, the depth uncertainty of single beam HFSSS is limited to the sonar's small range.
540 In understanding these limits, a mission is seen to approximate a distorted tubular transect, and the
541 limitation can be used to define depth sample strata. Another bias is the selective removal from sonar
542 "vision" by following behavior, and the lengthening of acoustic images by along-side pacing behavior,
543 and conversely it's shortening when the target moves in retrograde. A better understanding of this bias
544 could be achieved through studies in instrumented observatories that can follow numerous fishes in fine
545 scale, such as by Time Difference of Arrival (trilateration) of high signal rate acoustic telemetry
546 (Cooke et al. 2005, Brown et al. 2010) while AUVs are run through the observatory. The use of
547 acoustically tagged sentinel animals can also be used to measure the likelihood that individuals or even
548 schools are acquired multiple times as a function of search path geometry following a mark-recapture
549 model. In practice, this can be mitigated by the use of cameras to document following and calculate an
550 error rate as we did here. The attraction that causes bias is also a benefit to recognizance because it
551 provides some level of ground truthing by attracting fish that are in the search area but potentially
552 beyond the HFSSS range into both the sonar and camera aperture. These same issues appear as the

553 debate over the use of baited versus un-baited static camera traps (Harvey et al 2007, Schobernd et al.
554 2014). The developing conclusion in those studies is that baited camera traps tend to document higher
555 and more correct species diversity values while possibly overestimating abundance (or density) of
556 scavenger or predatory species at least on reefs because these species might not otherwise be seen at
557 all. Assemblages resolved from baited camera samples were more discriminant with respect to habitat,
558 and replicate samples from baited cameras had less variance than unbaited cameras (Harvey et al.
559 2007). The greater statistical confidence results primarily from the assurance that hard-to detect species
560 show themselves (Harvey et al. 2007). These findings are favorable to the use of AUVs, which may in
561 essence bait themselves for the scarcer fast moving predatory species such as sharks and tunas.
562 However, continued study of the extent and mechanism of the bias is necessary. In the baited camera
563 trap analogy, the type of bait and direction and extent of the scent plume may impact the results
564 (Harvey et al. 2007). Likewise, and also similar to divers (Watson and Harvey 2007) the AUV has a
565 number of features which may be species-specific attractants and may work over different ranges and
566 directions, including sound in a wide frequency band ranging from the acoustic instruments themselves
567 to the mechanical (motor, bearings, and servos) and hydrological noise of propulsion, and also lights
568 (blue, yellow, green, and red) from the dissolved oxygen, CDOM, and chlorophyll *a* sensors, painted
569 hull color, and electrical and magnetic noise from the processors and navigation instruments. The
570 contribution of these factors to attraction is testable by masking, muting, or changing the colors or
571 sources in replicate missions as has been done on a limited basis for fisheries survey ship sounds
572 (Handegard et al. 2015) especially if done in an observatory such as mentioned above.

573

574 Pelagic fish are typically remote and thus unobservable other than by sonar, are often randomly and
575 sparsely or patchily distributed, do not cooperate with acousticians to present a good aperture, and if
576 held in tanks are no longer representative of their natural state. Even tethering active fishes changes
577 sonar returns although it can provide important baseline information (Nakken and Olsen 1977).

578 Identifying individuals in order to build supervised classification schemes is typically done through
579 parallel sampling programs that seek probabilistic determination (ground truthing) or actual target
580 identity (verification) (e.g. Bertrand et al. 1999, Doray et al. 2007). The best resolution to uncertainty
581 in the classification application comes from a combination of both. The current project relied on
582 verification by video imaging and also ground truthing by fishing, which confirmed the suspected
583 identity and size of little tunny (*Euthynnus alletteratus*), dolphinfish (*Coryphaena hippurus*),
584 amberjack (*Seriola dumereli*), blue runner, and posited the identity of bait balls as round herring
585 (*Etremeus teres*) and Spanish sardine (*Sardinella aurita*) in September 2011 and May 2012,
586 respectively, as the exclusive fish gut contents of tuna caught in the study area. This is because an
587 echogram contains information not just about individual targets but about the dynamics of targets with
588 each other; in essence there is ecological information that can help inform sonar interpretation and
589 ecological knowledge that could be gained from sonar if the approach allowed synergistic sampling of
590 other variables (Shen et al. 2009). The class names we chose are expressive of commonly occurring
591 intuitive forms and carry some ecological interpretation. We accept that they are not exhaustive; rather
592 a systematic refinement of classification is introduced here as a challenge for further work.

593

594 *Automation*

595 There are a number of Machine Learning (ML) algorithms for multi-labeling (Bishop 2006). These
596 find features of an image including, for example, gradients in pixel value and relate the shape and
597 distribution of these to training sets identified by the researcher. Shapes or other underlying features
598 will then be used to classify similarities and differences using such tools as principle components
599 analysis, cluster, or similar ordination analysis, and will also produce hydrographic association
600 statistics (e.g. with principal or canonical correspondence analysis, Lebourges-Dhuassy et al. 2009
601 Shen et al. 2009). Classification from acoustical backscatter properties through such algorithms is not
602 new to fish reconnaissance in low frequency down-view sonar (e.g. Cabriera et al. 2009 and Charef et

603 al. 2010, both using neural net and discriminant function algorithms for sonograms made at 38 kHz),
604 but the algorithms of choice will likely differ because of the plan view and resolution of individual fish
605 in the school. The use of fast Fourier transform (FFT) algorithms, which parse the grey-scale value of
606 pixels of an image space into vectors of different frequencies, are particularly interesting for
607 discriminating among types of schooling fishes because of the repetitive and even nature of the high
608 reflectance (fish) and low reflection (school interstitial space) of the image. This method is useful in
609 classifying ocean bedforms (Fakiris and Papatheodorou 2009), of which some, such as sand ripple
610 fields, are similar to fish schools in appearance.

611

612 Robots, and AUVs in particular, have demonstrated a niche for themselves in performing many other
613 mundane and deep tasks and especially benthic side scan survey (Hibbert 1997, Moline et al. 2005,
614 Clarke et al. 2010), including side scan surveys of fish (Grothues et al. 2009). They can work under ice
615 with far less disturbance than for a towed system below an icebreaker (Fernandes et al. 2003). Further,
616 they can work from and alongside vessels already engaged in other sensor deployment tasks to greatly
617 increase the survey footprint with little or no additional crew. Thus, they can complement other survey
618 methods. Ongoing work promises cooperative-adaptive swarming behaviors (Belbechir et al. 2010).
619 Many engineering challenges have been met to bring AUVs from experimental vehicles to applications,
620 but further challenges must be met in this new task. In regards to the search for sparse large fish,
621 OADaSA allowed high performance in images with poor segmentation properties. The large cut in
622 human processing time and high fidelity detection rate could make AUV-supported side scan sonar a
623 viable tool for surveying rays and other pelagic fish research. It is relatively immune in this application
624 to problems that arise for automated side scan sonar detection by AUV of objects on the seabed, such
625 as anti-shipping mines (Chapple 2009). The algorithm accuracy can be improved by implementing
626 different segmentation techniques. The most exciting implication of this is the formation of a basis for
627 in-situ classification to drive AUV responsive navigation (payload control), including for other fishes.

628 Further study promises to let AUVs search pelagic environments with patchy fish distribution in the
629 same way that the predators do, such as the application of Levy-flight or run-and-tumble search path
630 models (Humphries et al. 2010, Saldivar 2012, Watkins and Rose 2013) that use locally-sensed
631 environmental cues to modify the AUVs' navigation.

632

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634

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644

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1007

1008 Table 1. Side scan sonar specifications

	Rutgers AUV	WHOI AUVs (2)
Frequency	600kHz	900 kHz
Operating Range	75 m	40 m
Horizontal beam width	0.4°	0.4°
Vertical beam width	40°	40°
Transducer depression angle from horizontal	10°	10°
Transmission pulse (Tone burst)	10 us	6.7 us
Digital across-track resolution	~ 1 cm, range dependent	~ 1 cm, range dependent
Digital along-track resolution	~ 2 cm, SOG dependent	~ 2 cm, SOG dependent
Acoustic across-track resolution	1.5 cm	1.0 cm
Acoustic along-track resolution	15.24 cm	10.16 cm
End of near -field	9.3 m	6.2 m

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1012

1013 Table 2. Correlation between standardized particle density function estimates of distribution of 3
 1014 nominal fish classes (patrol, bait ball, and loose school) with distance across the continental shelf of
 1015 Cape Hatteras, North Carolina, USA based on side scan sonar survey by an AUV (See Figure 5).

	F _{bait ball}	F _{loose school}
F _{patrol}	rho = 0.8988 p < 0.0001	rho = 0.3549 p = 0.0003
F _{bait ball}		rho = 0.0978 p = 0.3329

1016

1017

1018 Table 3. Some modifications of line transect estimators and their application to AUV supported fish
 1019 recognizance.

Estimator	Modification	Relation to AUV	Citations
Hayne and Modified Hayne	Addresses bird flushing response to investigator	Fish react to AUV, but response distance is unknown	Gates et al. 1968 Burnham and Anderson 1976 Gates 1979
Fourier Series Estimator	Modifies transect width based on observed distribution of x	Recognizes the potential for echo weakening with distance from AUV	Burnham et al. 1980
Shape restricted estimator	Concave curvilinear decrease in detection probability relative to x	May be useful for transect width shoulder created by bottom reflection masking of fish	Johnson and Routledge 1985
View hindrance correction	$x \sim x/2$	Moves transect centerline outboard to assume blind spot near for aircraft, maybe similar to reduction under nadir	Leatherwood et al. 1982.
Distance methods	Clumped and fixed distributions	May be useful for schooling fish	Buckland 1985

Quadrat and point count Methods	Generally for dense non-motile organisms/plants	May be useful for classification of regions based on tiles, such as FSM/MSF	Schweder 1977
Responsive Movement	Modification of distance methods using two observers for 4 sectors, takes animal orientation relative to platform into account as bias estimates	Left and right sonar channels can act as two observers, but describing orientation is from sonar is difficult, AUV has only 2 sectors	Turnock and Quinn 1991. Buckland and Turnock 1992. Palka and Hammond, 2001.

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1021

1022 Table 4. Incidents of fish imaged in the aft-facing video camera and the mean duration of following
 1023 behavior. No following behavior is indicated by “0”.

Species	Timed incidents	Mean Duration (s)
Blue Runner (<i>Caranx crysos</i>)	7	65
Amberjack (<i>Seriola dumereli</i>)	10	138
Tiger shark (<i>Galeocerdo cuvier</i>)	2	67
Other shark	2	25
Barracuda (<i>Sphyraena barracuda</i>)	1	26
Little Tunny (<i>Euthynnus alletteratus</i>)	2	30
Dolphin fish (<i>Coryphaena spp.</i>)	1	3
Bluefish (<i>Pomatomus saltatrix</i>)	43	11
Round Scad (<i>Decapturus punctatus</i>)	1	0
Stingray (<i>Dasyatis spp.</i>)	1	0

1024

1025

1026 FIGURE CAPTIONS

1027

1028 Figure 1. Schematic showing flattening and then removal of water column echo returns for
1029 georectification and preparation of alignment into mosaics of multiple “tiles”. Mosaic not shown.

1030

1031 Figure 2. Above) Schematic of the approximate split disk ensonified area around a submerged AUV or
1032 tow body. Below) Translation of the features normally seen in an un-georectified image tile where the
1033 sss intersects both the bottom and the surface. No fish are shown in this image.

1034

1035 Figure 3. Automated processing of side scan images for rays as a model for algorithm development to
1036 extend to fish school classification. A) The image is converted to black and white format, objects are
1037 delineated by edge-detection and segmentation, and are filtered by size. A guitarfish, recognized on the
1038 basis of separated pectoral and pelvic fins and thick tapering tail appears in the image tile at lower left.
1039 B) Targets are measured along longest and orthogonal axis. C) The distribution of width (y) along
1040 various distances of length (x) is calculated to define shape, by an Object Area Distribution and
1041 Symmetry Algorithm (OADaSA) for one parameter of classification.

1042

1043 Figure 4. Diversity of fish classes imaged by HFSSS. A. First row, “rays” including manta and sting
1044 rays. Second and third row left, “patrols”. Third row right and fourth row, “Followers”. B. “Bait balls”
1045 C. First row, “Loose schools” . Second and third rows, artifacts including underside of semi-rigid skiff,
1046 mooring line, and doubling of fish reflectors pacing the AUV.

1047 Figure 5. Cross-shelf distribution of incidents of “bait ball” and “patrol” classes off Cape Hatteras, NC
1048 in the shallow transect. Relative to temperature and salinity as measured by the AUV. Ascending
1049 spikes in the temperature record and descending spikes in the salinity record occur when the AUV

1050 periodically ascends through warmer fresher layers to the surface to check it's estimated position using
1051 GPS and thus profiles the water column.

1052

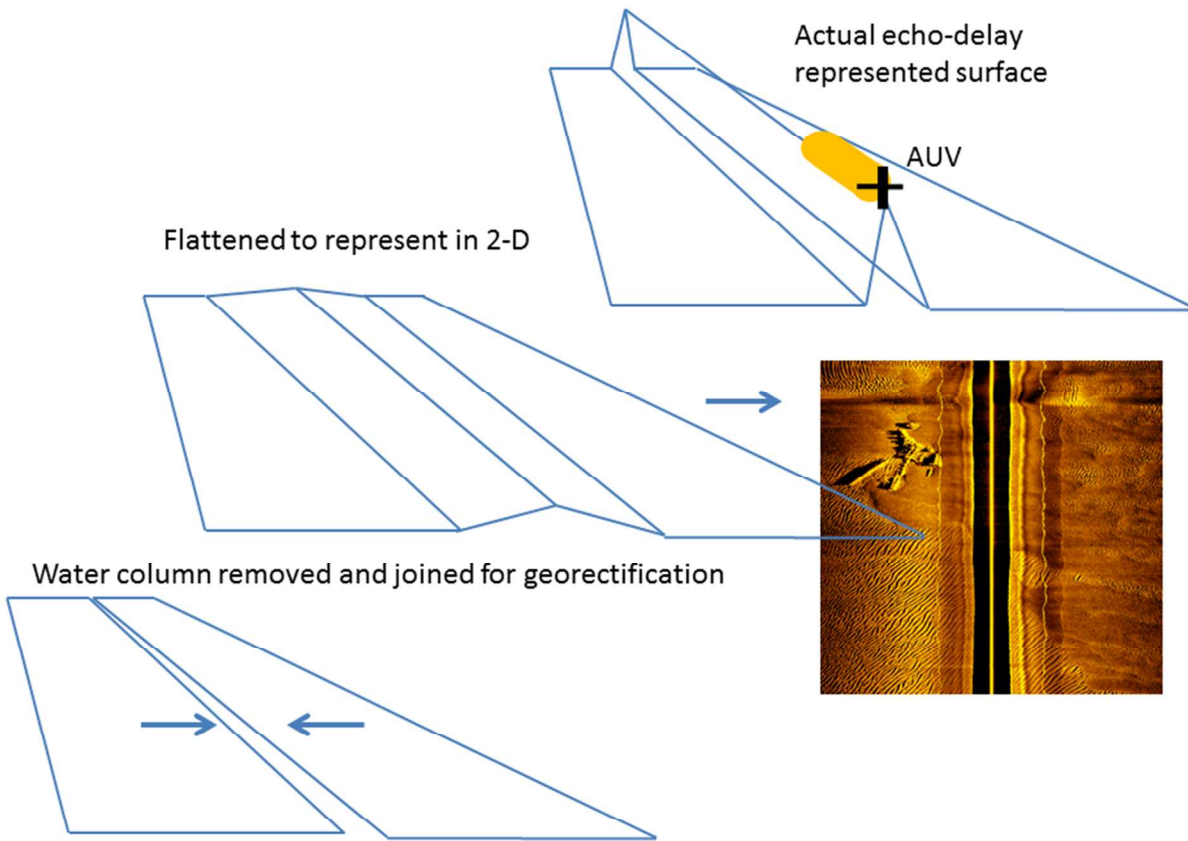
1053 Figure 6. The temperature and salinity (TS) experienced by bluefish (open blue circles) during their
1054 approach and photo imaging of the AUV superimposed over the total TS field encountered by the
1055 vehicle during a mission over the north western Hudson River Canyon off New Jersey. Temperature
1056 and salinity of bluefish occurrence are linearly interpolated from the first sighting of the fish in the
1057 camera using the nearest (every 1 s) temperature and salinity logged by the AUV.

1058

1059 Figure 7. Screen grabs of fish peeling away from or approaching (shark) the AUV after or prior to
1060 following. Top left, bluefish, top right, tiger shark, bottom left, blue runner, bottom right, little tunny.
1061 Video of these and additional interactions are available as Supplementary Material.

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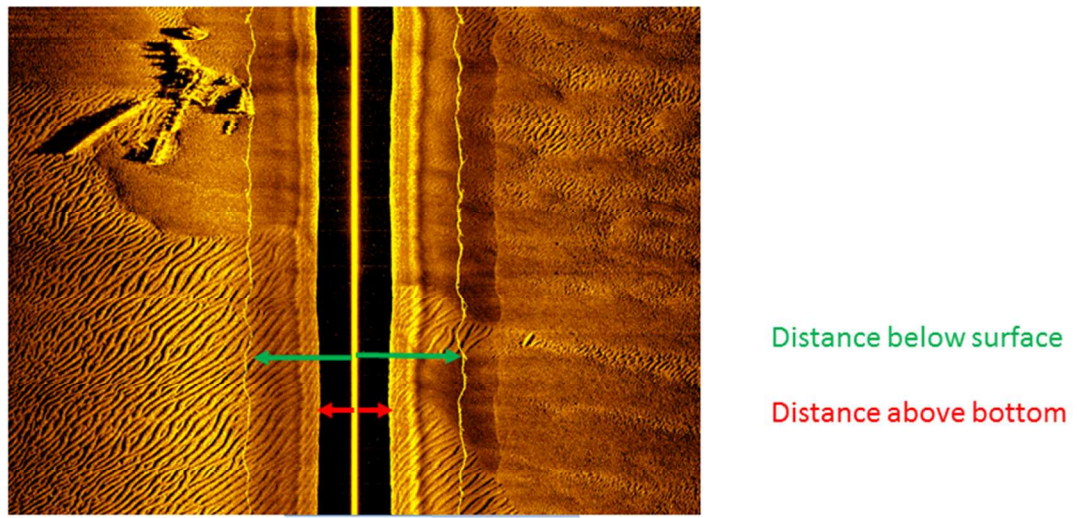
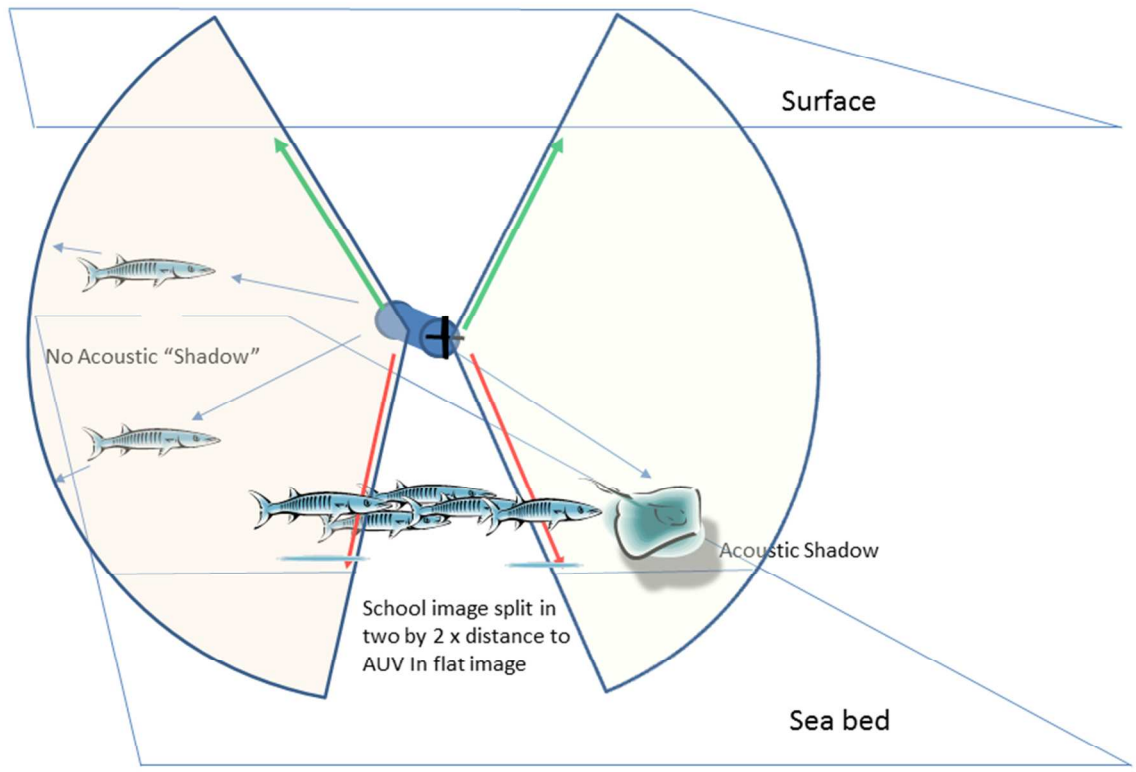


1064

1065 Figure 1.

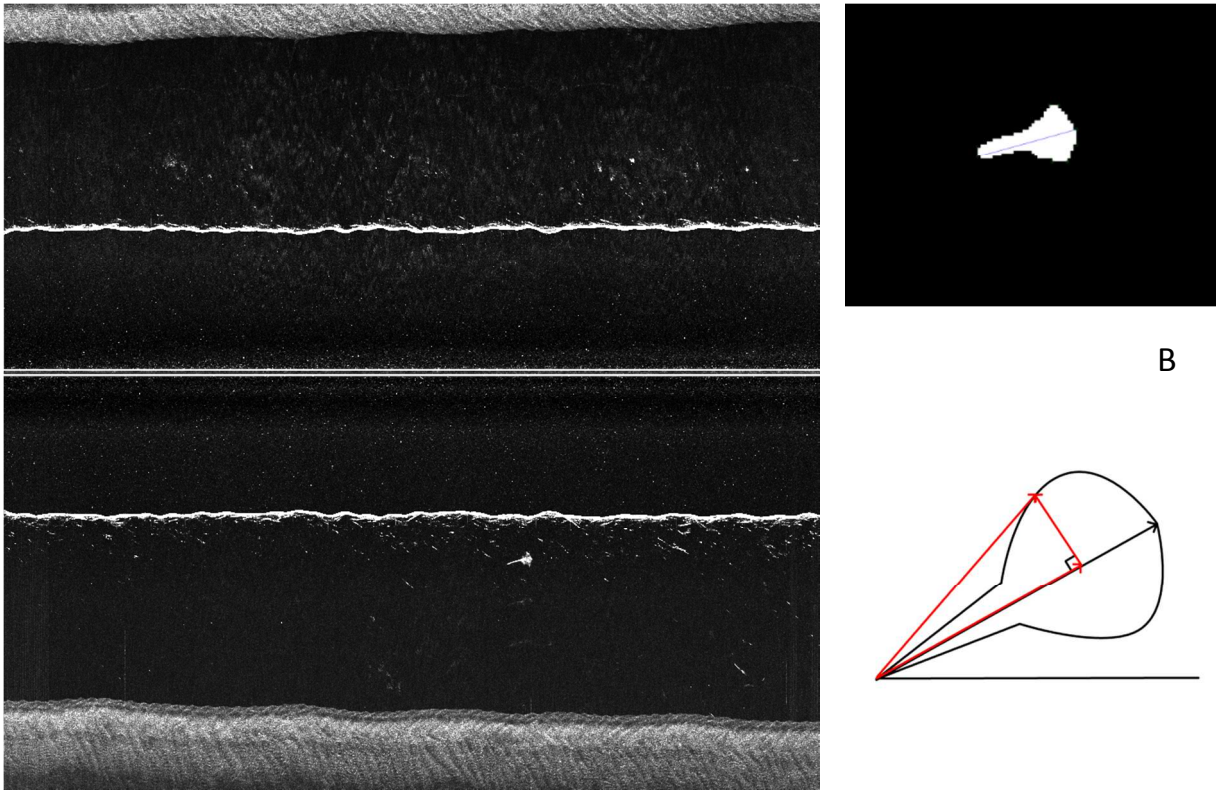
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1068
1069 Figure 2.

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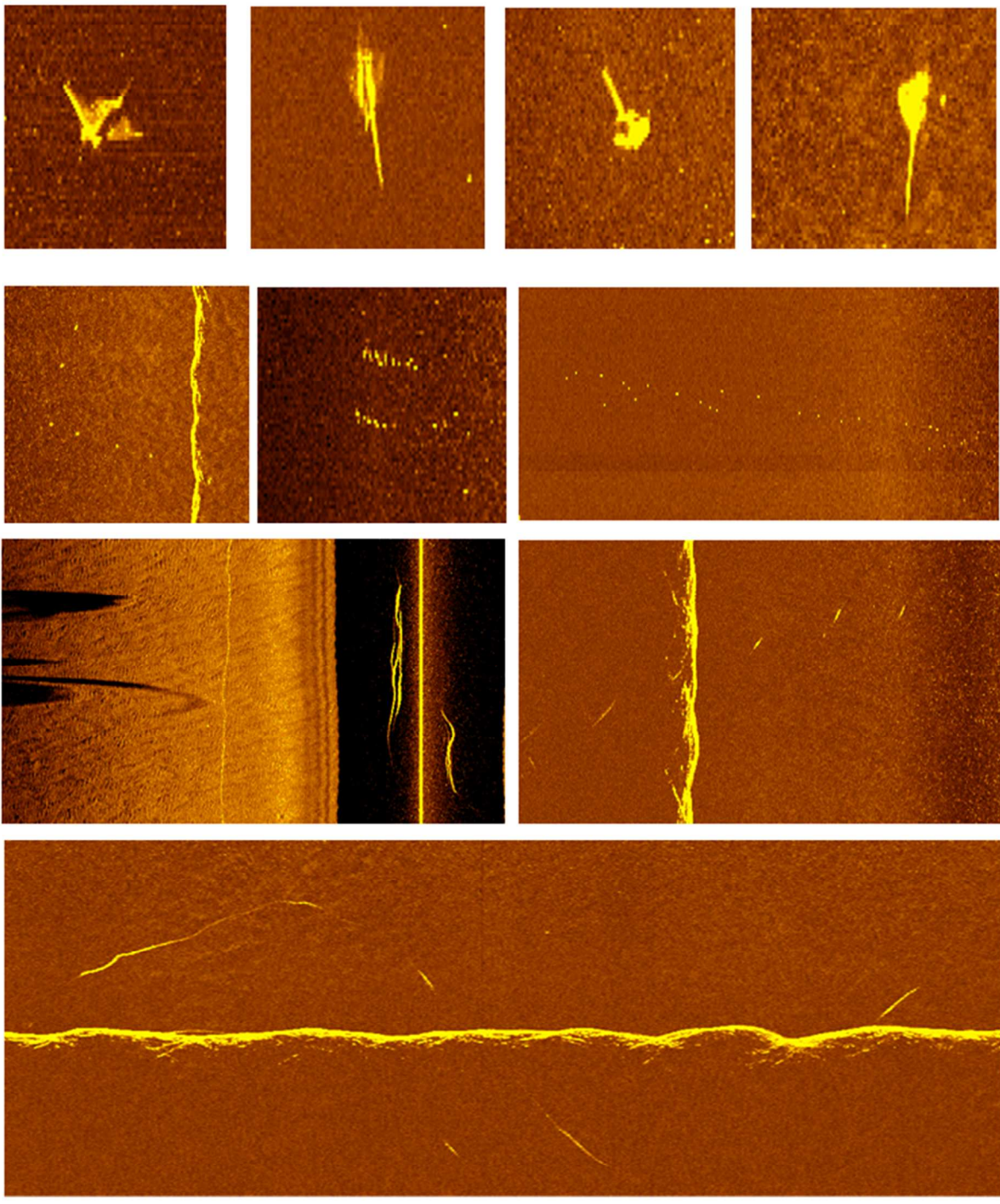
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1073 Figure 3.

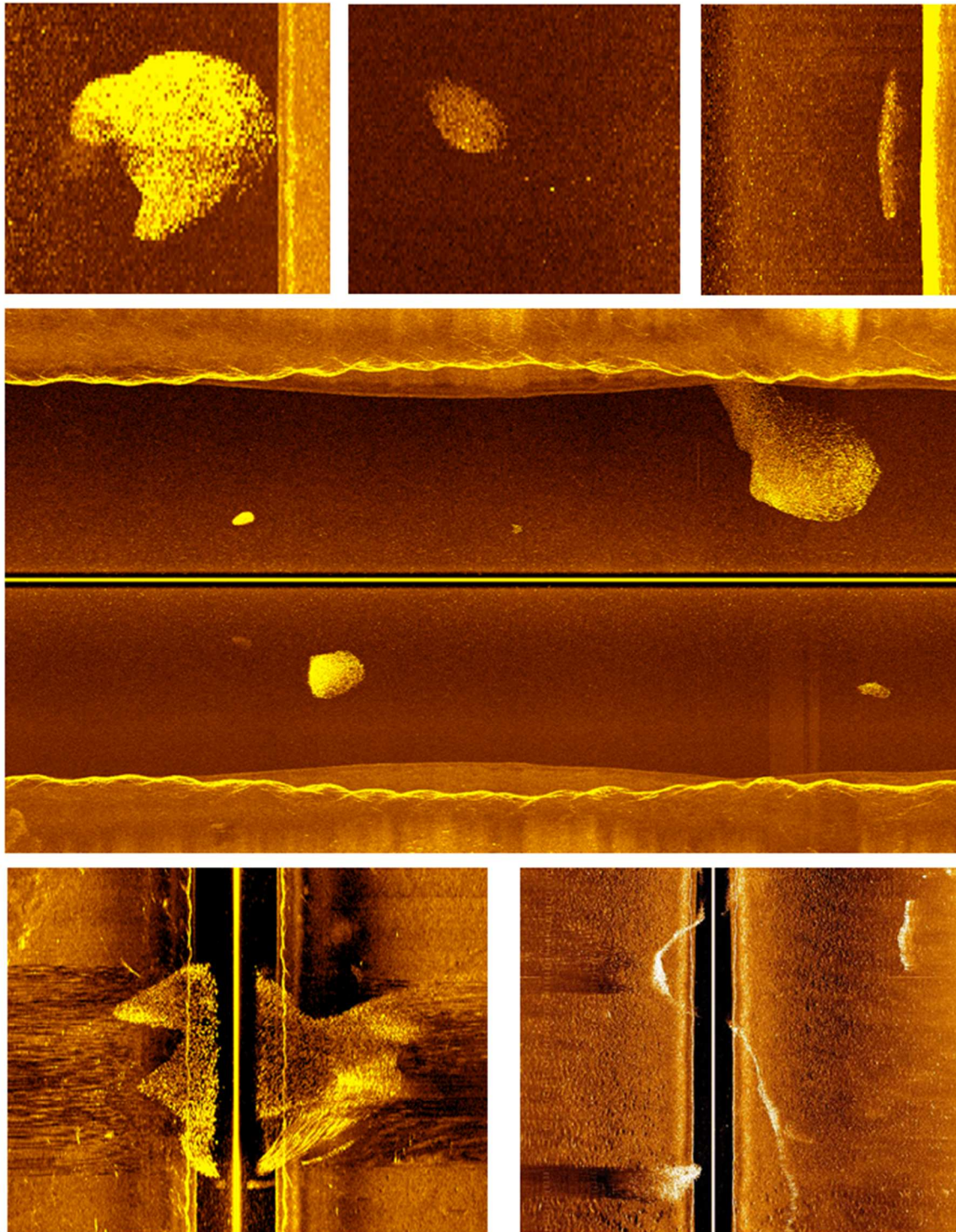
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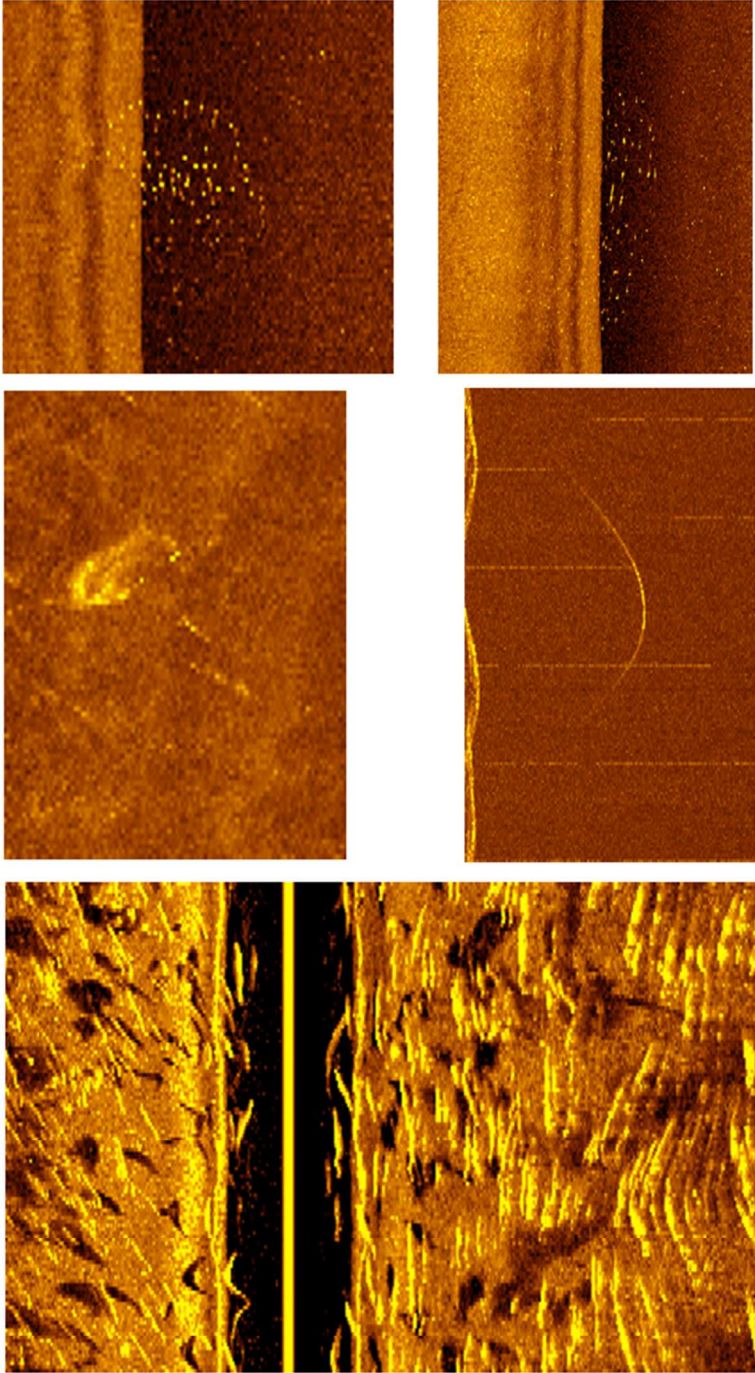
1076

1077 Figure 4A



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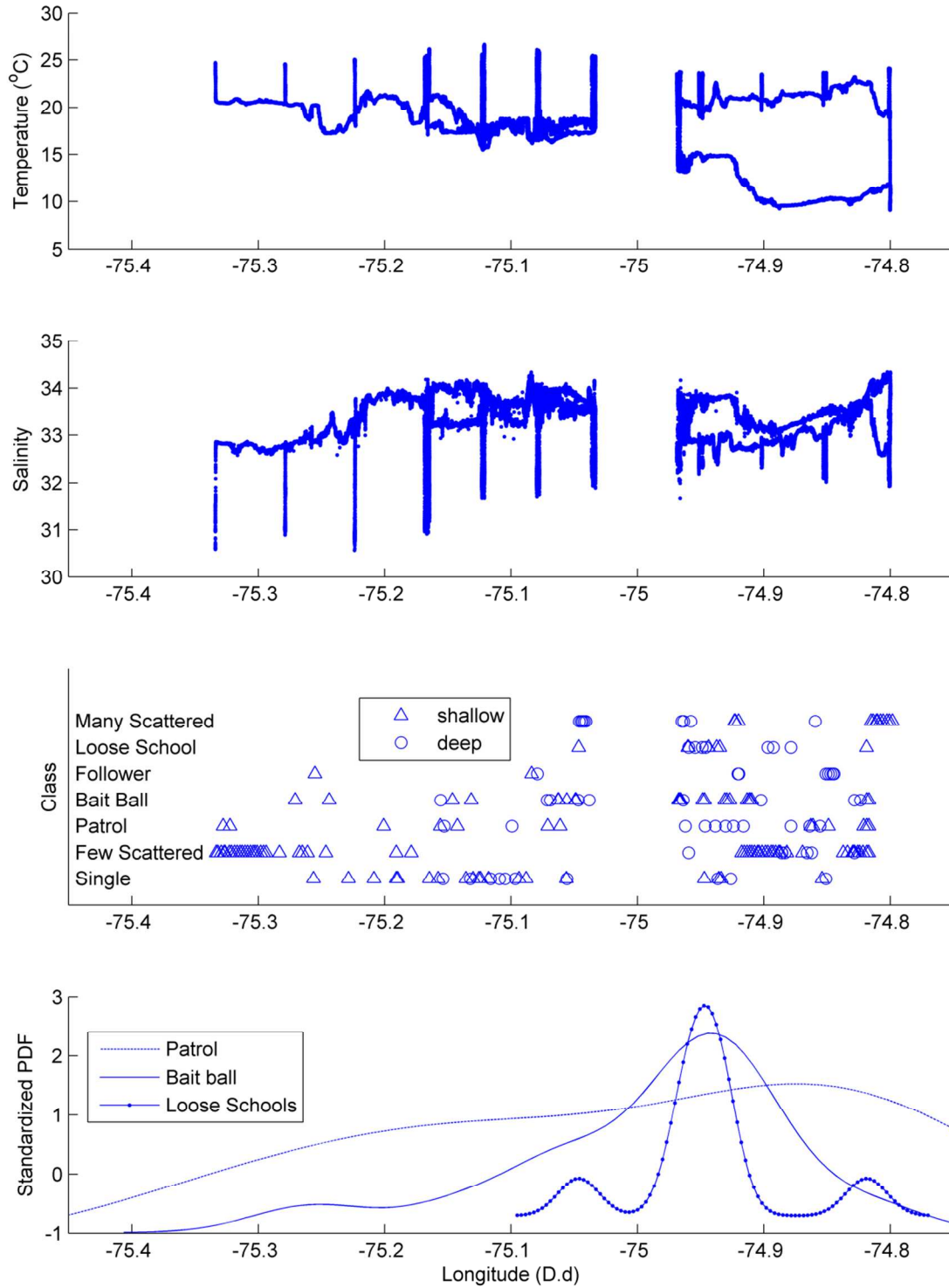
1079 Figure 4B



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1081 Figure 4C

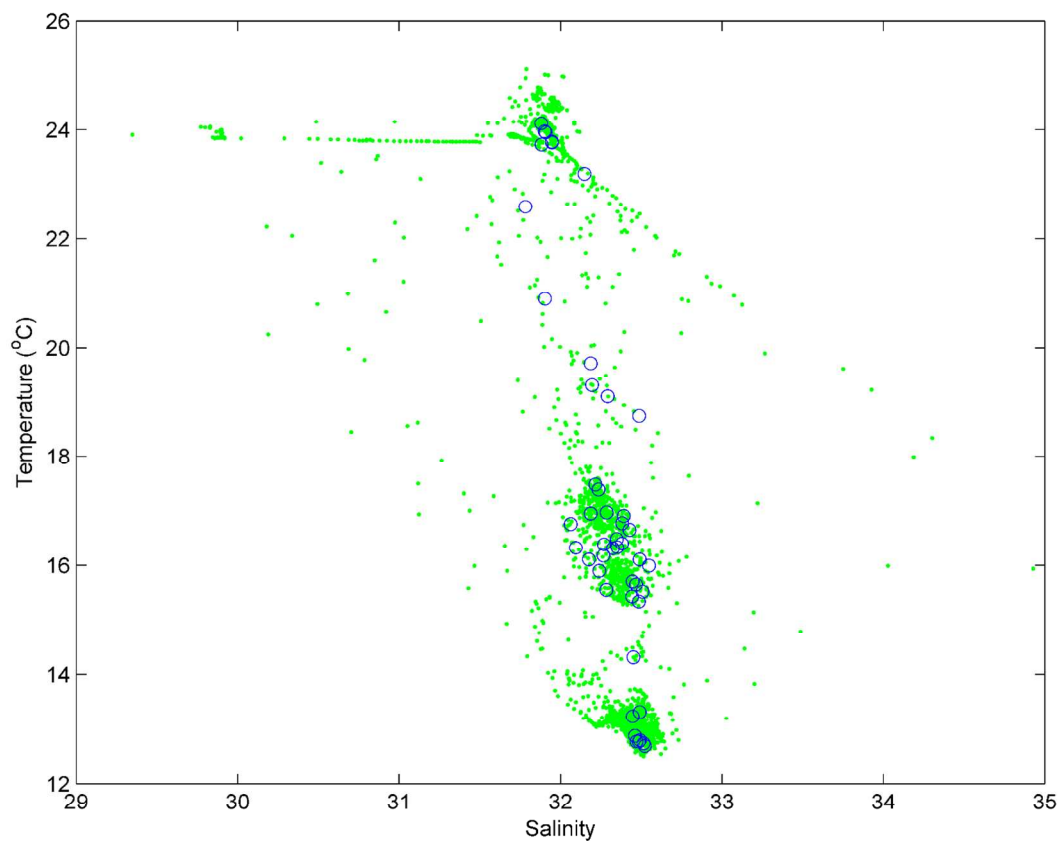
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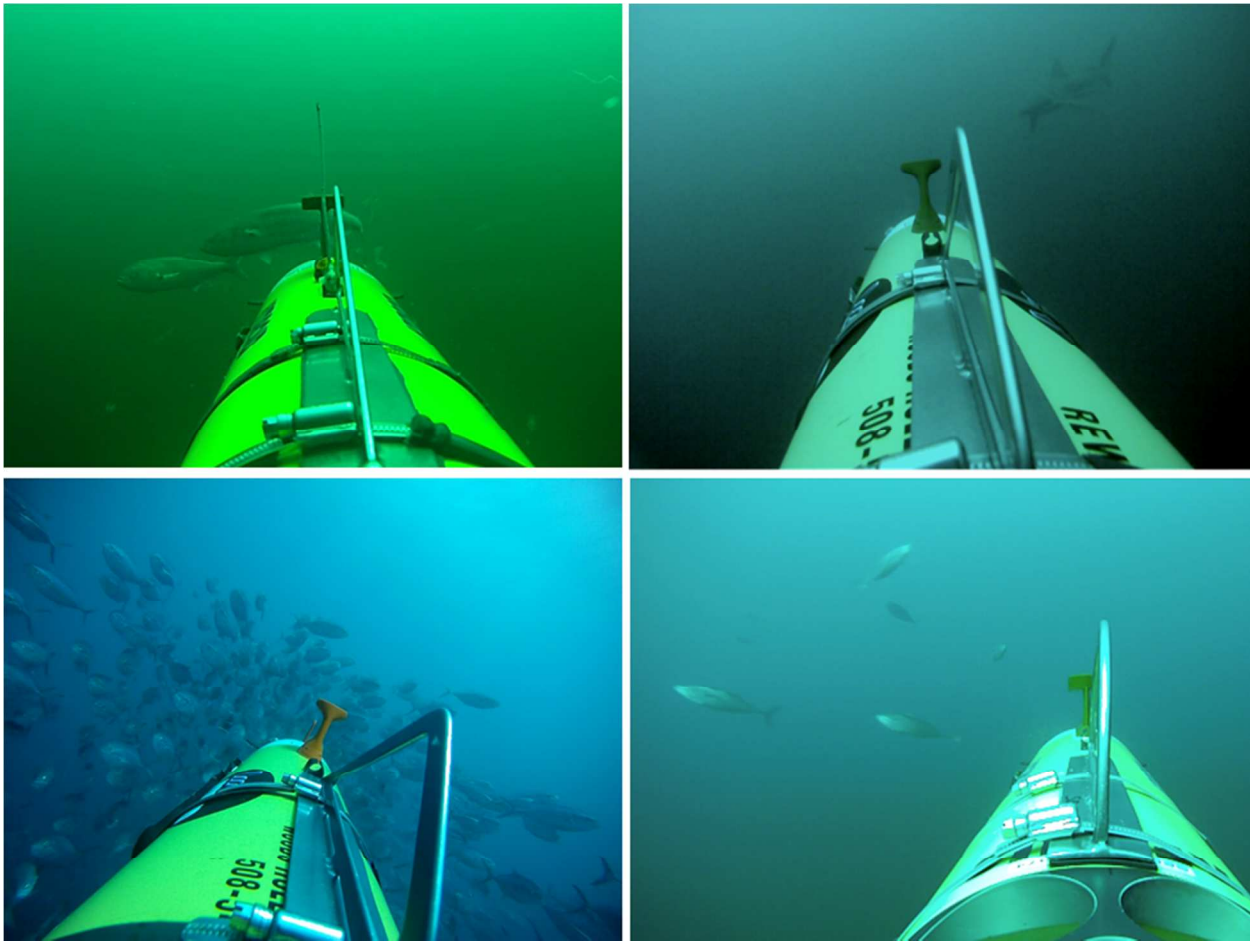
1084 Figure 5.

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1087 Figure 6.



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1089 Figure 7