

1 **Singing whales generate high levels of particle motion: implications for acoustic**  
2 **communication and hearing?**

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17 **Keywords:** acoustic communication, noise, cetacean, bioacoustics, hearing, soundscape

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21 **Abstract**

22 Acoustic signals are fundamental to animal communication and cetaceans are often considered  
23 bioacoustic specialists. Nearly all studies of their acoustic communication focus on sound  
24 pressure measurements, overlooking the particle motion components of their communication  
25 signals. Here we characterize the levels of acoustic particle velocity (and pressure) of song  
26 produced by humpback whales. We demonstrate that whales generate acoustic fields that include  
27 significant particle velocity components that are detectable over relatively long distances  
28 sufficient to play a role in acoustic communication. We show that these signals attenuate  
29 predictably in a manner similar to pressure and that direct particle velocity measurements can  
30 provide bearings to singing whales. Whales could potentially use such information to determine  
31 the distance of signaling animals. Additionally, the vibratory nature of particle velocity may  
32 stimulate bone conduction, a hearing modality similar to other low-frequency specialized  
33 mammals, offering a parsimonious mechanism of acoustic energy transduction into the massive  
34 ossicles of whale ears. With substantial concerns regarding the effects of increasing  
35 anthropogenic ocean noise and major uncertainties surrounding mysticete hearing, these results  
36 highlight both an unexplored avenue that may be available for whale acoustic communication  
37 and the need to better understand the biological role of acoustic particle motion.

## 38 **Introduction**

39 Animals can rapidly transfer a substantial amount of information acoustically if the emitted  
40 signals are conveyed with enough clarity to allow appropriate physiological and behavioral  
41 responses[1]. In air and water, most mammals are generally thought to communicate with sound  
42 by producing and perceiving periodic pressure fluctuations, and such signals can be conveyed  
43 quite efficiently. For example, humpback whale (*Megaptera novaeangliae*) song can be  
44 transmitted over large distances (>5 km) as a result of the propagating wave of acoustic pressure  
45 emitted from a singing whale[2]. There is no consensus on humpback song function, but its  
46 primary role is thought to lie in intra- and intersexual communication during the reproductive  
47 season[3,4].

48         While sound pressure is known to propagate over long distances, the paired acoustic  
49 particle velocity of intense, long-wavelength sounds may also be high-amplitude and therefore  
50 detectable far from the source[5,6]. For example, coral reef sounds are proposed to be detectable  
51 by particle motion-sensitive larvae at 1-2 km[7] although recent data shows proportion ranges  
52 vary based upon time of day and suggests shorter distances[8]. Instruments such as naval  
53 sonobuoys use particle motion (measured in velocity) and pressure to localize whales many  
54 kilometers away[9]. Yet among whales and other marine mammals, there is a poor understanding  
55 of the acoustic particle motion component of their sounds and its potential as a communication  
56 pathway. Recent efforts have failed to detect particle motion from whale calls even within 10  
57 m[10], supporting the broadly held notion that this cue is significant only at close proximity to  
58 the source[11]. However, measurements of the particle motion component of whale sounds are  
59 sparse or lacking, so much uncertainty remains surrounding this potentially important acoustic  
60 cue.

61 As a directional cue that may propagate predictably from the whale-source, acoustic  
62 particle motion could aid whales in localizing signaling animals. Examining and quantifying  
63 these cues is important, not only to better understand fundamental communication modalities,  
64 but also to more accurately evaluate concerns about rising levels of anthropogenic noise and their  
65 effect on mysticetes.

66 The objectives of this work were to (a) measure the particle velocity components of  
67 acoustic signals produced by a mysticete species, the humpback whale, and (b) evaluate their  
68 potential role in mysticete communication.

69

## 70 **Methods**

71 Three singing whales were recorded from a vessel off Maui, Hawaii in March 2015. We  
72 recorded sound pressure and particle motion (in velocity) simultaneously, thus enabling signal  
73 comparisons. Measurements were made using a M20-PV sensor (Geospectrum Technologies)  
74 that contained three orthogonal accelerometers, an omnidirectional hydrophone (to measure  
75 acoustic particle velocity and sound pressure, respectively) and a digital accelerometer-  
76 magnetometer chip (to measure the instrument pitch, roll and heading). This tool was deployed  
77 from the boat to 10m depth using a custom noise-reduction system consisting of a series of floats  
78 which de-coupled surface-wave action from the motion sensor. The sensor was cabled to a  
79 National Instruments data acquisition board (USB-6002) and a laptop that recorded and provided  
80 near real-time, calibrated particle velocity and pressure measurements. Each whale was recorded  
81 in a series of 5-min recording bouts at close range (ca. 20-200 m). Particle velocity data were  
82 corrected for pitch, roll, and yaw, and the azimuth and elevation of individual whales were  
83 computed relative to the sensor's orientation relative to magnetic north[12,13].

84

85 **Results**

86 High levels of acoustic particle velocity were observed in all focal recordings. The magnitude of  
87 the acoustic particle velocity signal was substantial (median -103.8 dB re 1 m/s) for song  
88 components with a median pressure of 137.4 dB re 1  $\mu$ Pa (ambient particle velocity levels of  
89 Maui waters were -133.9 to -134.1 dB re 1 m/s). The drift of the vessel and deployed sensor  
90 correspond with systematic changes in the particle velocity and sound pressure received levels of  
91 -120.1 to -87.9 dB re 1 m/s and 120.3 to 156.7 dB re 1  $\mu$ Pa (min-max), respectively. While the  
92 sound levels of humpback song components can differ, the majority of this increase and decrease  
93 likely reflects the change in position and distance of the whale relative to our sensor. This is  
94 supported by the fact that pressure was strongly correlated with the particle velocity (Fig 2a,b).  
95 Indeed, when data from all 3 whales were compiled, sound pressure and particle velocity varied  
96 in a positive and relatively predictable linear relationship fluctuating around a 1:1 line ( $y =$   
97  $0.7891x - 211, r^2 = 0.79$ ; Fig 2c).

98 For the first two animals, the boat and sensor drifted particularly close to or over the  
99 singing whale. This is reflected by the increase and subsequent decrease in sound level (pressure  
100 and particle velocity) seen in whale 1 and the 1<sup>st</sup> and 3<sup>rd</sup> recording sessions of whale 2. The third  
101 whale was more difficult to track from the surface, thus recordings were made some distance  
102 away (ca. 100-200 m), yet the particle velocity components were clearly measurable (Fig 2).

103 Finally, while we could not usually observe the whales visually while they were  
104 underwater, we could calculate the bearing of the song cues recorded (Fig 2d,e). A typical  
105 portion of the bearing from a song segment is shown in Figure 2. While there was some variation  
106 in elevation, it was generally limited, suggesting little change in depth (relative to the sensor) for

107 this singing whale, at this point-in-time. The focal song-cues (higher amplitudes; warmer colors)  
108 and additional environmental noises were in approximately the same vertical elevation. In  
109 comparison, azimuth values varied to a greater extent. However, we obtained consistent bearings  
110 of the focal whale's song, shown by the highest amplitude signals occurring around 50-80°.  
111 There were additional, lower amplitude sound sources (cooler colors) at different bearings,  
112 which contributed to the variability in azimuth, suggesting that ambient noise levels might affect  
113 a whale's ability to localize sources using particle motion if masking is taking place.

114

## 115 **Discussion**

116 These results clearly demonstrate that the particle velocity component of humpback whale song  
117 is a high-amplitude acoustic cue available to nearby animals. Because there was uncertainty  
118 about the precise position of the singer relative to the sensor, distances between the sensor and  
119 singing whales were not characterized, preventing source level and true propagation  
120 measurements. For example, distance-related sound level variations in particle velocity were  
121 correlated with variations in pressure levels and attenuated in a predictable manner, suggesting  
122 that particle motion generated by a singer might provide comparable or additional information  
123 about the singer's distance to listening whales [14,15]. Furthermore, unlike sound pressure,  
124 particle velocity is a vector quantity that allows for bearing estimation. Thus, theoretically,  
125 particle motion could aid in loudness perception and assessments of distance and bearing. With  
126 sufficient amplitudes, it seems possible that whales could use particle motion to localize/track  
127 conspecifics[4].

128       Notably, to detect this velocity signal it was critical to minimize overall movement of the  
129 accelerometers (reducing surface wave action and pull from the cable/buoys) which could easily

130 have swamped the particle velocity signal detection in related efforts[10]. While there was a  
131 positive correlation with particle velocity magnitude and pressure, the relationship was not equal  
132 in all directional axes (the shape of the individual velocity signals was not identical to the  
133 pressure signals). This may be a result of the directional component of the accelerometer sensor  
134 compared to the omni-directional hydrophone, as well as some small near-field constructive-  
135 destructive variability of both the pressure and particle velocity signals.

136         How sound is received within a mysticete's head and transmitted to its cochlea has been a  
137 subject of historical debate[16,17]. While current hearing models assume a pressure stimulus to  
138 model sound pathways, ossicle vibration, and middle-ear chain movement[18], sound pressure is  
139 theoretically not the most efficient means of transferring acoustic energy into physical movement  
140 of the ossicles, middle ear bones or oval window structures. An impedance mismatch almost  
141 certainly increases (impairs) hearing sensitivity estimates, particularly at lower frequencies[18].  
142 The tympano-periotic structures of mysticetes are fused and directly coupled to the skull (i.e., not  
143 acoustically isolated as in odontocetes) which strongly suggests that bone conduction plays at  
144 least some role in their hearing pathway[19-21]. The high particle velocities energy described  
145 here might be capable of inducing both ossicle vibration and middle-ear transduction proposed  
146 elsewhere[18,22]. It also revises classic notions that "In mysticetes, bone and soft tissue  
147 conduction are likely"[19] as this claim was specifically made in reference to receiving pressure  
148 waves; here we suggest that there may be a dual function in both bone and soft tissue pathways  
149 also conducting particle motion. Such a pressure-particle motion detection capability, along with  
150 directionally sensitive receptors and/or spatially segregated networks of independent receptors  
151 (to provide timing cues), might enable determining sound-source direction[21,23]. Notably,  
152 particle velocity and bone conduction support a common mode of hearing with other low-

153 frequency ears of large terrestrial, subterranean and other aquatic animals[24-27]. Precise  
154 auditory mechanisms vary or are unknown but often include hypertrophied auditory ossicles (as  
155 in some mammals) or impedance differences of the otoliths and the surrounding tissue-water (as  
156 in fish). For example, the hippo, elephant and elephant seal use bone conduction at least in part  
157 (generated via particle motion or a vibratory stimulus) as a mode of hearing[24,25,28]. These  
158 animals are also closely related to mysticetes[29,30]. Currently, we do not know whether or how  
159 mysticetes detect particle motion; future experiments should be considered to test this potential  
160 modality. Notably, particle motion hearing does not preclude pressure detection, as many of  
161 these mammals detect both stimuli[24-27].

162         These data suggest that we must consider and quantify the particle motion component of  
163 anthropogenic noise sources as a potential masker of hearing and communication, or its potential  
164 to induce stress, behavioral responses or other auditory impacts[31,32]. Human-produced noise  
165 is increasing in the ocean, particularly at low frequencies [33]. This noise can have deleterious  
166 impacts on sound-sensitive marine mammals, including mysticetes[31,34]. Noise is consequently  
167 of substantial concern to the conservation and management of endangered mysticete species for  
168 which we have few data on sound use, hearing and communication. We would expect to find  
169 similar acoustic energy patterns generated by other mysticetes, thus similar communication  
170 questions and noise concerns are likely to apply to other whale species with broad implications.  
171 Having shown here that particle motion is an available acoustic communication pathway, there is  
172 now a need to quantify this signal's biological function, effective transmission distances, and its  
173 potential role in noise-related impacts in mysticetes.

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175 **Ethics.** Research protocol was approved under the WHOI IACUC ID number:21105 and data  
176 were collected under NMFS permit #: 14682 to M.O.L.

177 **Data accessibility.** Data were made freely accessible in the DRYAD Data Repository  
178 [dx.doi.org/10.5061/dryad.34s86](https://dx.doi.org/10.5061/dryad.34s86)[13].

179 **Authors' contributions.** Conceived experiments: T.A.M. and M.B.K. Experimental design and  
180 data collection: T.A.M., M.B.K. and M.O.L. Wrote/revised article for intellectual content:  
181 T.A.M., M.B.K. and M.O.L. All authors agree to be held accountable for the content and  
182 approve the final version of the manuscript.

183 **Competing interests.** We have no competing interests.

184 **Funding.** WHOI's Independent Study Award to T.A.M.

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186 **Acknowledgements.** We thank W. Zimmer for his analysis advice.

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193 Table 1. Particle velocity recording summary.

	No. recording	Total recording	Particle velocity in dB re 1 m/s		
	bouts	durations (min)	Max.	Min.	Median
Whale 1	2	10	-91.6	-109.8	-97.9
Whale 2	4	20	-87.9	-120.1	-104.5
Whale 3	1	5	-100.3	-113.6	-105.6
Combined	2	10	-87.9	-120.1	-103.8

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198 **Figure 1.** Acoustic song data obtained for a singing humpback off Maui, HI in March 2015 (a)  
199 Spectrogram of a portion of the humpback song, (b) sound pressure waveform and x, y, z (c,d,e)  
200 particle velocity waveforms of that song section recorded on the M20 PV sensor. Sound pressure  
201 varied with song unit (a-b) and particle velocity was anisotropic across the x, y, and z-axes (c-e),  
202 with the highest amplitude velocity recorded on the y axis and the lowest on the z-axis (up-  
203 down).

204

205

206 **Figure 2.** (a) Sound pressure and (b) the particle velocity magnitudes of the three humpback  
207 whales recorded. The pressure and particle motion varied within and among deployments as  
208 whale song units changed levels and the boat drifted away from the whale. (c) Yet, the  
209 relationship was roughly linear, fluctuating around a 1:1 line ( $r^2 = 0.79$ ) suggesting a strong  
210 predictable relationship between pressure and particle motion. (d,e) Bearing of the singing  
211 humpback from the sensor plotted in sound pressure level for the 21 s of song shown in Fig 1,  
212 plotted in Elevation (d) and Azimuth (e) with respect to the sensor's position and magnetic north.  
213 While there was some variation in depth, it was generally small. Azimuth of the whale (yellow-  
214 high amplitude) values were similarly consistent but fluctuations were noted from additional  
215 (low-amplitude) sources at other angles.

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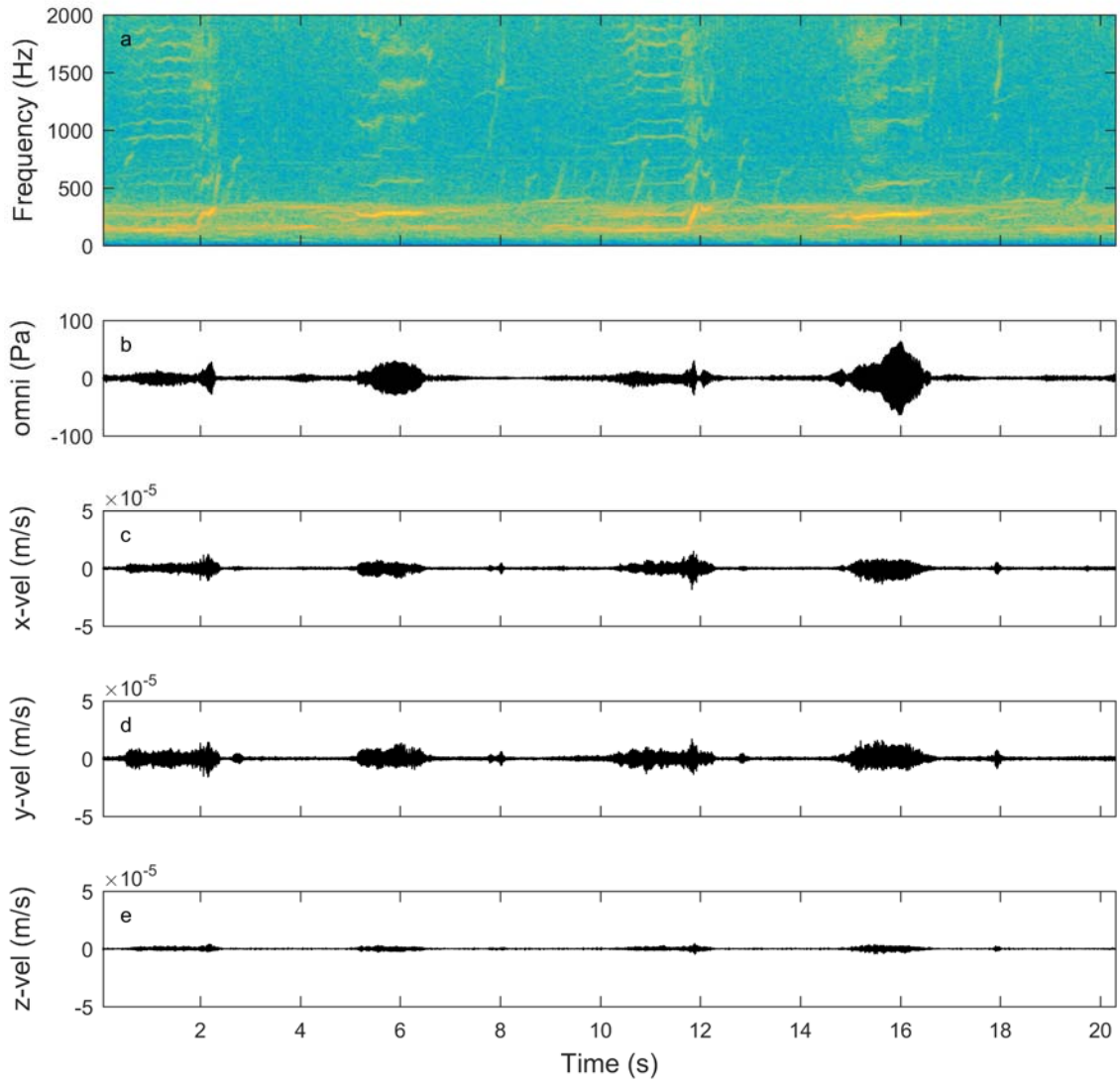


Figure 1.



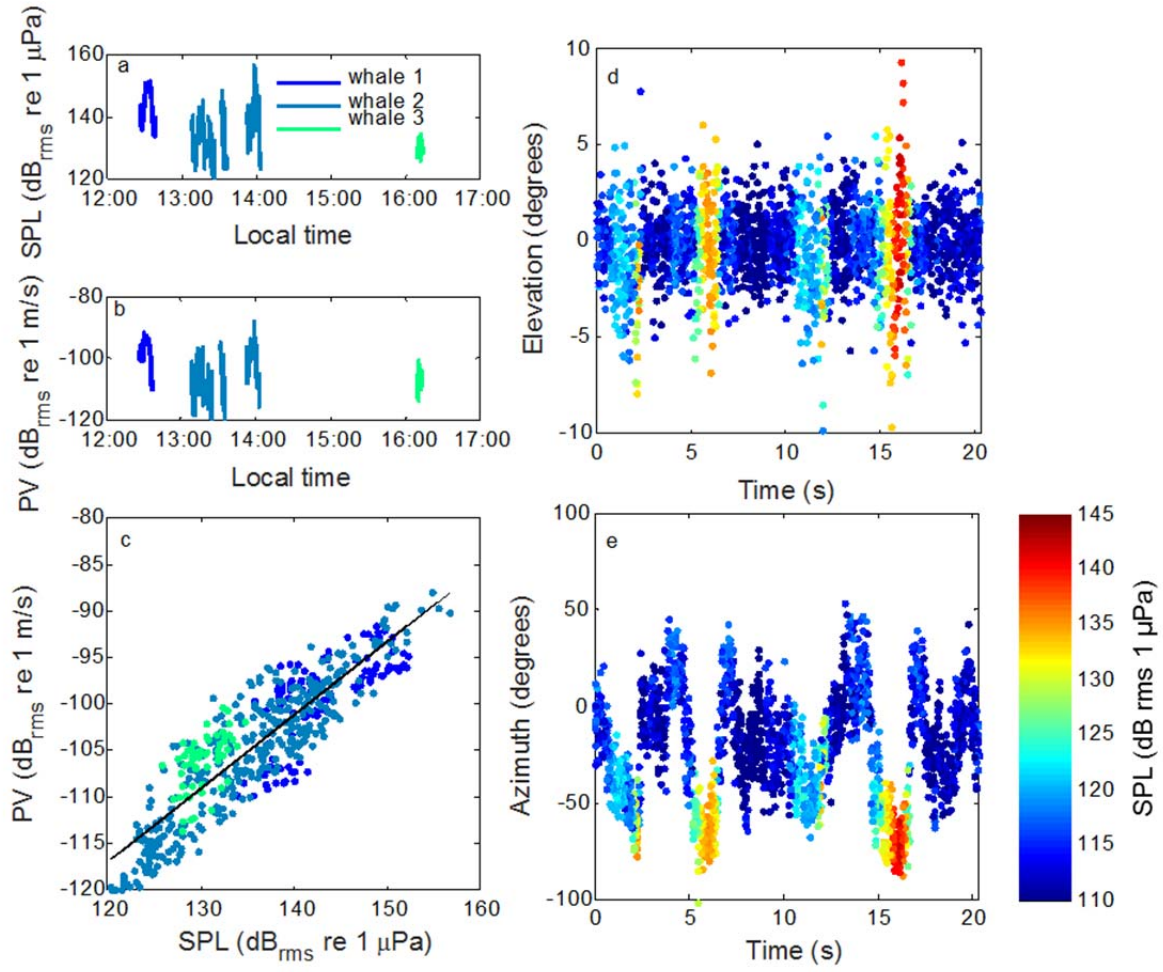


Figure 2.

## Supplemental Material

Singing whales generate high levels of particle motion: implications for acoustic communication

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Biology Letters

### M20 Specifications

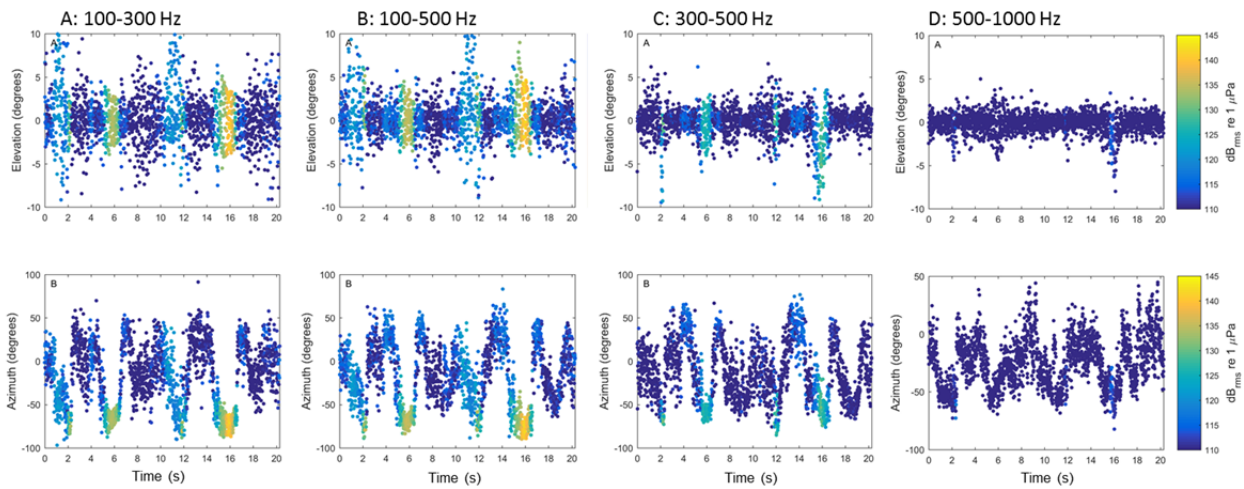
The M20 Particle Motion Sensor (Geospectrum Technologies, Canada) is designed to measure 3-D particle motion and acoustic pressure in a broad range of environments. The M20 utilizes three vector sensors and an omnidirectional sensor to provide information on direction in the horizontal plane. Specifically, it contains accelerometers housed within pressure vessels, which are oriented in the X, Y, and Z directions. The omnidirectional hydrophone is used to resolve the directional ambiguity. To correlate the data back to magnetic north and the vertical, the M20 contains a three axis roll- pitch-yaw (RPY) sensor. It has been used in prior studies to measure particle motion and pressure studies [1-4]. Its specifications as defined by the manufacturer include a useful frequency range from 1-3000 Hz, operating depth up to 300 m, operating temperature from -40 to 70° C, 127 mm dia, and 165 mm length. The accelerometers have a particle velocity peak sensitivity of -41 dB V re 1 m/s at 960 Hz, decreasing ca. linearly to -67 dB at 100 Hz, and -54 dB at 3000 Hz. The omnidirectional hydrophone has a similarly shaped response curve with a peak of -165 dB V re 1  $\mu$ Pa sensitivity at 960 Hz decreasing ca. linearly to -191 dB at 100 Hz, and -177 dB at 3000 Hz. Further information can be found at <http://geospectrum.ca/hydrophones/m20-bottom-mount-system/>.

The M20 was calibrated using a shaker table and using an in-water calibration in the near field using an acoustic pressure transducer as a reference. These two sources of data are then combined into a calibration curve by comparing to a standard measured in the free field.

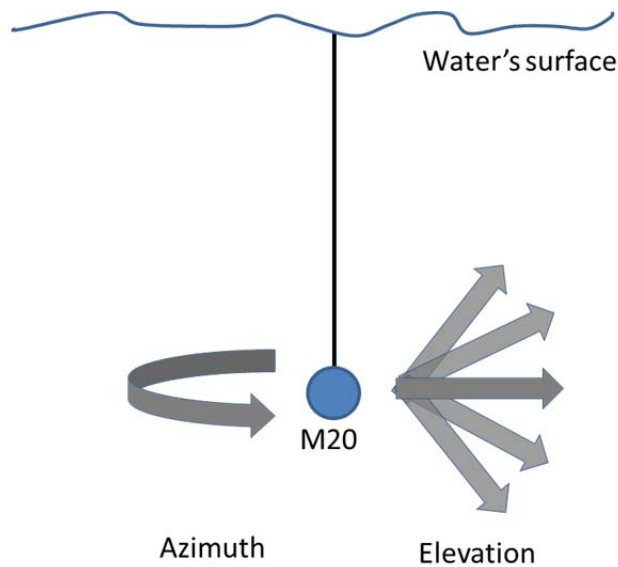
Azimuth was computed by taking the mean arctangent of the x and y particle velocity vectors in short (1 ms) windows. Similarly, elevation was computed by taking the arctangent of the z vector over the square root of the sum of the x and y vectors squared in the same short windows.

To put these data in context, field-based particle motion values are not often published, thus comparisons are difficult. However, the authors recently made measurements of coral reefs and the surrounding area in Maui, HI (very near this field site) [15]. Our reef sound pressure values were overall lower than those of very loud choruses measures elsewhere [5-7]; (also the

propagation conditions were likely very different). However, particle motion values were ca. -90 dB re 1 m/s<sup>2</sup>) acceleration at 500 Hz. Velocity values were ca. -125 dB re 1 m/s (full band).



Supplementary Figure 1. Bandpass filtered elevation and azimuth values for a 21 s portion of humpback whale song (shown in the spectrogram in Figure 1; and as an unfiltered signal in Figure 2). The bandwidth of the filter is listed at the top of each subfigure (A, B, C, D). The data show that the highest amplitude portions of the cue were found in the lowest frequencies surrounding the fundamental portion of the song (100-300 Hz), whereas higher frequency harmonics (300-500 and 500-1000 Hz) showed little contribution to overall amplitude of the signal. Note, the z-axes ranges differ for elevation and azimuth.



Supplementary Figure 2. Schematic of deployed M20 sensor with azimuth and elevation noted.

## Supplemental References

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