1	A Brief Review of Cephalopod Behavioral Responses to Sound
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3	Julia E. Samson ^{1, 2} , T. Aran Mooney ^{1,3} , Sander W. S. Gussekloo ² , Roger T. Hanlon ³
4	
5	¹ Biology Department, Woods Hole Oceanographic Institution, Woods Hole, MA
6	02543, USA
7	² Experimental Zoology Group, Wageningen University, 6708WD Wageningen, The
8	Netherlands
9	³ Program in Sensory Physiology and Behavior, Marine Biological Laboratory, Woods
10	Hole, MA 02543, USA
11	
12	Emails: jsamson@whoi.edu (JES), amooney@whoi.edu (TAM),
13	sander.gussekloo@wur.nl (SWSG), rhanlon@mbl.edu (RTH)
14	
15	Corresponding author: T. Aran Mooney, 266 Woods Hole road, MRF MS# 50,
16	Woods Hole, MA 02543, USA; amooney@whoi.edu
17	
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1 Abstract

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3	Sound is a widely available cue in aquatic environments and is used by many marine
4	animals for vital behaviors. Most research has focused on marine vertebrates.
5	Relatively little is known about sound detection in marine invertebrates despite their
6	abundance and importance in marine environments. Cephalopods are a key taxon in
7	many ecosystems but their behavioral interactions relative to acoustic stimuli have
8	seldom been studied. Here we review current knowledge regarding (i) frequency
9	ranges and sound levels that generate behavioral responses, (ii) the types of
10	behavioral responses and their biological relevance.
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12	1. Introduction
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Sound detection in cephalopods was first reported by Baglioni (1910), who

1	noted that octopuses reacted to low-frequency acoustic vibrations and water
2	movements. Later publications included the description of behavioral (Dijkgraaf,
3	1963; Komak et al., 2005), physiological (Kaifu et al., 2007), conditioned (Packard et
4	al., 1990), and neurological responses (Hu et al., 2009; Mooney et al., 2010) to sound
5	stimuli of different frequencies and intensities.
6	The organs generally thought to enable sound detection in cephalopods are the
7	statocysts (Hanlon & Messenger, 1996; Kaifu et al., 2008). These are paired organs
8	located in the cartilage below the brain. They consist of a fluid-filled cavity
9	containing a macula-statolith system for the detection linear acceleration (e.g.,
10	gravity) and a crista-cupula system for the detection of angular acceleration (e.g.,
11	movement) (Budelmann, 1975). Polarized hair cells are found in both the maculae and
12	the cristae systems (Budelmann, 1979). The component of a sound field likely
13	perceived by cephalopods is particle acceleration, not sound pressure (Packard et al.,
14	1990; Mooney et al., 2010). In addition to the statocysts, Sepia officinalis (European
15	common cuttlefish) also has lines of epidermal hair cells, running over the head and
16	arms, that detect local water displacement (Budelmann et al., 1991; Hanlon &
17	Messenger, 1996). Their contribution to sound detection is poorly understood.
18	In the past decades, the development and greater use of the ocean have led to a
19	concurrent increase in anthropogenic noise (National Research Council, 2005). This
20	noise may stem from many sources including shipping and vessel traffic, sonar
21	systems, seismic air guns, and oil drilling. Our increased awareness of the influences
22	of anthropogenic noise on the marine environment has led to several scientific studies
23	addressing its potential impacts on diverse marine life (e.g. Mooney et al., 2009;
24	André et al., 2011; Fewtrell & McCauley, 2012). (André et al. 2011)
25	Cephalopods play an important role in ecosystems and are a key component of

1	food webs, providing a vital link from smaller invertebrates and fish to marine
2	megafauna, birds, and humans (Boyle & Rodhouse, 2005). It is therefore important to
3	investigate the potential impact of increased anthropogenic noise on cephalopods.
4	Changes in the behavior and distribution of cephalopod populations could have
5	substantial impacts on the survival and distribution of top predators such as marine
6	mammal, sharks, and sea birds; such changes would also impact commercial fisheries
7	(Boyle & Rodhouse, 2005). In this paper, we review research regarding cephalopod
8	behavioral responses to sound, placing these studies in the context of potential noise
9	impacts. In particular, we address the frequency and sound level ranges that generate
10	behavioral responses in cephalopods, the types of behavioral responses elicited and
11	their biological relevance,
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13	2. Behavioral responses to various acoustic stimuli
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15	Cephalopods have a broad behavioral repertoire, including body movements
16	(arms, mantle), body pattern changes, locomotor responses (jetting, fin movements)
17	and inking (Hanlon & Messenger, 1996). Multiple ethograms have been published
18	(e.g. Hanlon & Messenger, 1988; Hanlon et al., 1999 and references therein) and
19	these provide the framework for future experiments in which behavioral responses to
20	acoustic stimuli can be observed, recognized, and categorized in a quantitative
21	manner.
22	Figure 1 summarizes cephalopod responses to sound. Dijkgraaf (1963)
23	reported jetting, darkening of the skin, and narrowing of the pupils in S. officinalis in
24	recourse to tank on the tank walls. Body patterning changes were observed when
	response to taps on the tank wans. Dody patterning changes were observed when

1 displacements, and burrowing when exposed to local sinusoidal water motion from 20 2 - 600 Hz (Komak et al., 2005). Certain frequencies generated substantially higher 3 levels of activity in juvenile animals. Unfortunately, the stimuli intensities (measured 4 as sound pressure level or particle motion) were not reported. Recently, using acoustic 5 stimuli ranging from 80 - 1000 Hz and a range of sound levels (measured in both 6 sound pressure and particle acceleration), Samson et al. (this conference, in prep) 7 categorized the behavioral responses of S. officinalis to different tones. The responses 8 included fin movements, body pattern changes, startle, jetting, and inking. Reactions 9 considered to be escape and/or startle behavior (blanching, jetting, inking) mostly 10 occurred at low frequencies and high sound levels. The average sound level needed to 11 elicit a certain response varied for each sound frequency. 12 Similar escape responses have been observed in squid Sepiotheutis australis 13 exposed to seismic air gun noises. The animals showed inking and jetting behaviors, 14 increased swimming speed, and swam upward, possibly to benefit from the sound 15 shadow near the water surface (McCauley et al., 2000; Fewtrell & McCauley, 2012). 16 In Octopus ocellatus, Kaifu et al. (2008) reported changes in respiratory rates during 17 exposure to sounds 50 - 283 Hz. Although octopuses are also capable of body pattern 18 changes, jetting, and inking, those behaviors were not mentioned in the literature as

19 responses to sound stimuli.

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21 **3.** Potential for habituation to acoustic stimuli

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Studies on the potential for habituation of cephalopods to any kind of stimulus
are scarce; most research on the learning capabilities of these animals has focused on
memory and spatial learning (e.g. Karson et al., 2003; Agin et al., 2006). Visual

habituation to a predator model has been observed in the squid *Lolliguncula brevis*(Long et al., 1989); the squids showed a decrease in body pattern changes and jetting
with repeated presentation of the fish models. Visual and tactile habituation were also
demonstrated in *Octopus vulgaris*; animals showed long-term habituation to visual
stimulation using a prey model, and a decrease in object handling over time (Kuba et
al. 2006).

7 Cephalopod habituation to acoustic stimuli has yet to be addressed in detail. 8 Only a few notes on the subject, collected *en passant* during previous studies on 9 sound detection in cephalopods, have been found in the scientific literature. Dijkgraaf 10 (1963) mentioned a very quick habituation to a 180 Hz tone in S. officinalis; after only 11 one exposure, the animals would not react to the stimulus anymore. Using juvenile S. 12 officinalis, Komak et al. (2005) obtained opposite results: no habituation was 13 observed to repeated stimuli of different frequencies ranging from 40 - 600 Hz. 14 Following behavioral tests to different sound frequencies and levels, Samson 15 et al. (this conference, in prep) exposed S. officinalis to repeated sound exposure at 16 200 Hz and at different sound levels. A potential for habituation was observed; 17 response intensity decreased but response extinction was not reached within the time 18 of the experiments. 19 20 4. Future research directions 21 22 Studying behavioral responses in corroboration with physiological,

23 conditioned, or neural responses is a productive way forward to determine the

- 24 function of sound in cephalopod life history. Physiological responses, for example,
- 25 can provide information on detection ranges and thresholds (Hu et al., 2009; Mooney

1	et al., 2010), but not on the use of sound by organisms and the role it plays in vital
2	behaviors such as feeding, defense or reproduction. Behavioral responses may also
3	reveal cephalopod functional use of sound stimuli. Moreover, knowing how animals
4	respond to sound is important from an ecological point of view (Hanlon & Shashar,
5	2003) and should enable us to predict disruptive effects of anthropogenic sounds on
6	population behaviors (e.g., migration, spawning) and ecosystems, as there is a
7	substantial overlap among the hearing ranges of many key organisms and the ranges
8	of anthropogenic noise in the ocean (Figure 2). It is unclear which type of acoustic
9	information influences cephalopod ecology, given the low frequencies they react to,
10	and the absence of behavioral responses to ultrasonic clicks typical of odontocetes, a
11	prominent group of cephalopod predators (Wilson et al., 2007).
12	Microscopic studies have shown that the hair cells in the statocysts and
13	epidermal lines of S. officinalis and other cephalopods are polarized (Budelmann,
14	1979; Budelmann et al., 1991). This characteristic of the hair cells could be the
15	anatomical basis for directional hearing and sound location in cephalopods. The
16	ability to sense the direction of acoustic stimuli and the location of acoustic sources
17	has likely functions in defense but could also play roles in other behaviors including
18	navigation. Investigating these potentials in cephalopods might shed light on
19	important aspects of their sensory ecology.
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9	Figure captions
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11	Figure 1: Sound detection ranges for several cephalopod species, determined using
12	behavioral (B), conditioned (C), neurological (N) or physiological (P) responses.
13	References from top to bottom: (1) Samson et al. (this conference, submitted), (2)
14	Komak et al. (2005), (3) Packard et al. (1990), (4) Mooney et al. (2010), (5) Hu et al.
15	(2009) twice, (6) Kaifu et al. (2008) (also indicated by numbers).
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17	Figure 2: Hearing ranges of several marine organisms in comparison to two important
18	abiotic sound sources in the ocean. References: (2) Popper & Hastings, 2009 (fish),
19	(3) Piniak et al., 2012 (sea turtles), (4) Au et al., 2000 (dolphins), (5) Wenz, 1962
20	(abiotic sound sources). References for the cephalopod hearing range are listed in
21	Figure 1.
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$1 \Omega^2$ Frequency (Hz)





10⁵ **1**0⁴ Frequency (Hz)

Dolphins

Anthropogenic noise

