

# Acquired phototrophy stabilizes coexistence and shapes intrinsic dynamics of an intraguild predator and its prey

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## Abstract

2 In marine ecosystems, acquired phototrophs—organisms that obtain their photo-  
synthetic ability by hosting endosymbionts or stealing plastids from their prey—are  
4 omnipresent. Such taxa function as intraguild predators yet depend on their prey to  
periodically obtain chloroplasts. We present new theory for the effects of acquired  
6 phototrophy on community dynamics by analyzing a mathematical model of this  
predator-prey interaction and experimentally verifying its predictions with a lab-  
8 oratory model system. We show that acquired phototrophy stabilizes coexistence,  
but that the nature of this coexistence exhibits a ‘paradox of enrichment:’ as light  
10 increases, the coexistence between the acquired phototroph and its prey transitions  
from a stable equilibrium to boom-bust cycles whose amplitude increases with light  
12 availability. In contrast, heterotrophs and mixotrophic acquired phototrophs (that  
obtain <30% of their carbon from photosynthesis) do not exhibit such cycles. This  
14 prediction matches field observations, in which only strict (>95% of carbon from  
photosynthesis) acquired phototrophs form blooms.

16

## 18 Introduction

An organism's interaction with its environment is fundamentally mediated by its metabolic potential—its ability to incorporate and chemically transform a suite of substrates to fuel its growth and reproduction. Especially in microbial communities, the scope of an organism's metabolic potential determines its fundamental niche, while the efficiency of its metabolism compared to competing species determines its realized niche (McGill *et al.*, 2006). Some organisms are capable of extending their metabolic niche through the acquisition of metabolic potential: During their lifetimes, they acquire genes (Ochman *et al.*, 2000; Falkowski & Fenchel, 2008) and/or cellular machinery (Stoecker *et al.*, 2009; Johnson, 2011; Park *et al.*, 2014) from other species that allow them to perform metabolic reactions not otherwise coded in their own genomes. These acquisitions have been highly ecologically and evolutionarily successful. For example, many marine planktonic protists contain photosynthetic machinery acquired from their prey (Stoecker *et al.*, 1987) and, as a consequence, can be major contributors to local primary production (Stoecker *et al.*, 1989), including through the formation of planktonic blooms (Yih *et al.*, 2013; Crawford *et al.*, 1997). Further, endosymbiosis theory postulates that plastids evolved from free-living cells whose metabolism was acquired when they were engulfed by their hosts (Sagan, 1967).

Acquired metabolism may shape community dynamics by altering interspecific interactions such as competition. For example, acquired phototrophy, in which an organism acquires photosynthesis by hosting either endosymbiotic phototrophs or their organelles (Johnson, 2011), transforms otherwise heterotrophic taxa into mixotrophs. Especially in planktonic microbial communities, protistan acquired phototrophs may function similarly to intraguild predators, both competing with and consuming the algal prey from which they steal chloroplasts.

Unlike traditional intraguild predators, however, an acquired phototroph's competitive ability (for light, via photosynthesis) is fundamentally reliant on the persistence of its competitor (from which it must periodically acquire cellular machinery). In principle, this dependence may produce qualitatively different community dynamics than those ob-

served in either simple competition or intraguild predation scenarios. Theory predicts  
48 that, when two species compete directly for a common resource, only the species capable of  
persisting at the lowest availability level of that resource (i.e., the species with the lowest  
50  $R^*$ ) should persist at equilibrium (Tilman, 1977; Huisman & Weissing, 1994). Intraguild  
predation may modify this outcome, particularly when the inferior competitor preda-  
52 tes the other species (Polis & Holt, 1992). However, the effects of acquired metabolism—and,  
in particular, acquired phototrophy—on species competition and coexistence remain, as  
54 yet, relatively unknown.

Here, we present new theory, which we compare with laboratory and field data, that  
56 describes the coexistence of acquired phototrophs and their prey and predicts how com-  
petitive outcomes differ depending upon environmental conditions. We modified a clas-  
58 sical model of phytoplankton competition (Huisman & Weissing, 1994) to account for  
the acquisition of photosynthesis by one species and analyzed outcomes of species in-  
60 teractions. We compared the model’s qualitative predictions with an experimentally  
manipulated laboratory model of acquired phototrophy: the marine ciliate *Mesodinium*  
62 *rubrum* (Lohmann 1908) and the cryptophyte alga *Geminigera cryophila* (Taylor & Lee,  
Hill 1991) from which it acquires its photosynthetic machinery. *M. rubrum* is a glob-  
64 ally distributed bloom-former in coastal and estuarine systems that may be responsible  
for up to 90% of microplankton primary production (Stoecker *et al.*, 1989). Data from  
66 *M. rubrum* blooms suggest that it is a specialist predator: its acquired plastids come  
from a single algal species though the exact identity of that species depends upon geo-  
68 graphic location (Hansen *et al.*, 2013; Herfort *et al.*, 2011; Gustafson *et al.*, 2000; Smith &  
Hansen, 2007). Finally, we used our model to generate predictions of annual community  
70 dynamics for consumers with different degrees of reliance on acquired heterotrophy, and  
linked these predictions with field observations of planktonic communities to determine  
72 the applicability of this theory to real-world systems.



# Methods

## The Model

To study the effects of acquired phototrophy on community dynamics, we developed a model of two interacting planktonic species that reside in a well-mixed water column (i.e., each cell experiences the same average light intensity, Figure S1) by modifying Huisman and Weissing's (1994) classic model for phytoplankton competition, in which two species compete for light.

The net growth rate at depth  $z$  of each phytoplankter is governed by the balance between its photosynthetic rate ( $p_i$ , which depends on the local irradiance  $I$ ) and its carbon loss rate ( $l_i$ ):

$$g_i(z) = p_i(I(z)) - l_i = p_{\max,i} \frac{I(z)}{H_i + I(z)} - l_i. \quad (1)$$

Here,  $p_{\max,i}$  is the species' maximum photosynthetic rate,  $H_i$  is the irradiance at which cells photosynthesize at half that rate, and  $l_i$  is the per cell loss rate. For persistence of the phytoplankter,  $p_{\max,i}$  must be greater than  $l_i$ . In a homogeneous water column,  $I(z)$  depends upon incident (surface) light  $I_{\text{in}}$ , the absorptivity  $k_i$  of each of the phytoplankton cells, and the density of the phytoplankton cells  $w_i$ , according to the Lambert-Beer law:

$$I(z) = I_{\text{in}} e^{-(k_1 w_1 + k_2 w_2)z} \quad (2)$$

(Figure S1).

Integrating net growth  $g_i(z)$  over the water column, the rate of change in abundance of each of the phytoplankton populations ( $W_i$ ) is given by:

$$\frac{dW_i}{dt} = \frac{p_{\max,i} W_i}{\sum_i k_i W_i} \ln \left[ \frac{H_i + I_{\text{in}}}{H_i + I_{\text{in}} \exp(-\sum_i k_i W_i)} \right] - l_i W_i \quad (3)$$

(see Huisman & Weissing (1994) for details).

Using this model, Huisman & Weissing (1994) showed that competitive exclusion

should occur except for special parameter combinations that produce functionally identical species. Because the two species are competing for a shared resource, only the species able to grow at the lowest light level ( $I^*$ , analogous to  $R^*$ , *sensu* Tilman (1977)) persists at equilibrium.

To test the robustness of this conclusion to acquired phototrophy, we introduced stage structure for one of the two competing species (Figure 1a, Table 1). In particular, we assumed that this second species is a consumer that exists in one of two states:  $C_H$ , a heterotrophic state in which it grows through direct incorporation of prey carbon into its biomass; and  $C_P$ , an autotrophic state in which it grows through photosynthetic fixation of carbon. Only the heterotrophic state predates the phytoplankter. We assumed a Type I functional response (Holling, 1959) with an attack rate  $a$ . Such a linear response of predation pressure to prey concentration is reasonable for the low prey abundances and high consumer clearance rates typical of many planktonic systems, and has been empirically observed in acquired phototrophs (e.g., Hansen *et al.*, 2004).

A fraction  $f$  of predation events lead to acquired phototrophy (i.e., sequestration of phytoplankton cellular machinery such as chloroplasts), transforming the consumer from state  $C_H$  to state  $C_P$ . The rest ( $1 - f$ ) of the predation events lead to heterotrophic growth with a phytoplankter-to-consumer conversion efficiency  $e$ . We further assumed that acquired photosynthetic machinery cannot be retained indefinitely by the consumer. Thus, plastids are lost at a rate  $m$ , inducing a transition from state  $C_P$  to state  $C_H$ . We also assumed that the consumer cannot independently replicate photosynthetic equipment, so photosynthesis by the phototrophic state  $C_P$  produces additional heterotrophic consumers  $C_H$ . This formulation, therefore, is more representative of kleptoplastidic acquired phototrophs than those that harbor endosymbionts, because the latter may be able to vertically transmit acquired phototrophy when the cells they host divide (Stoecker *et al.*, 2009).

The mathematical representation of this model is:

$$\frac{dW}{dt} = \frac{p_W W}{\kappa} \ln \left[ \frac{H_W + I_{\text{in}}}{H_W + I_{\text{in}} \exp(-\kappa)} \right] - l_W W - a W C_H \quad (4)$$

$$\frac{dC_H}{dt} = \frac{p_P C_P}{\kappa} \ln \left[ \frac{H_P + I_{\text{in}}}{H_P + I_{\text{in}} \exp(-\kappa)} \right] - C_H [l_H + a f W - a(1-f)eW] + m C_P \quad (5)$$

$$\frac{dC_P}{dt} = a f W C_H - l_P C_P - m C_P \quad (6)$$

120 where  $\kappa = k_W W + k_H C_H + k_P C_P$ .

This formulation allows us to tune the model to represent different consumer functional types, from strict acquired phototrophs, which obtain all of their carbon from photosynthesis (Figure 1b;  $f = 1$ ), to strict heterotrophs, which obtain all of their carbon from heterotrophy and do not retain prey plastids (Figure 1c;  $f = 0$ ).

We used a combination of analytical approaches and numerical simulations to identify types of community dynamics (i.e., stable equilibrium points with one or both species, and stable limit cycles) and determine the boundaries between them in parameter space. We only considered cases for which  $W$  is a superior competitor (e.g., has a higher  $p_{\text{max}}$ , lower  $H$ , lower  $k$ , or lower  $l$  than the consumer) and, in the purely competitive system (eq. 3), would exclude the consumer. We based this assumption on our reasoning that photosynthetic equipment operates most efficiently in its native host (e.g., van den Hoff & Bell 2015), which was confirmed by our empirical observations reported below. We also assumed that consumers experience higher intrinsic mortality in the heterotrophic state compared to the phototrophic state. Because our model does not consider higher trophic level predators, this assumption is based on the intuition that, without photosynthetic equipment to fix carbon, the consumer is less likely to be able to meet its basal energetic needs and thus experiences higher mortality. This reasoning is supported by experimental data on the mortality rates of starved acquired phototrophs (Crawford & Stoecker, 1996; Schoener & McManus, 2012; Skovgaard, 1998).

140 We began our analysis by assuming strict acquired phototrophy (i.e.,  $f = 1$ ). We studied the dependence of model dynamics on input light ( $I_{\text{in}}$ ), species interaction strength

Table 1: Model symbols and their meanings

Symbol	Description	Typical Units	Value for Simulations	Value for Empirical Comparisons
Variables:				
$W$	phytoplankton, prey species	cells		
$C_H$	consumer, heterotrophic state	cells		
$C_P$	consumer, phototrophic state	cells		
$t$	time	days		
Parameters:				
$I_{in}$	Light intensity at top of water column	$\mu\text{mol quanta m}^{-2} \text{ s}^{-1}$	variable	0.5, 5, 50
$p_W$	maximum photosynthetic rate, phytoplankter	$\text{day}^{-1}$	3	0.5
$p_P$	maximum photosynthetic rate, consumer	$\text{day}^{-1}$	2	0.2
$k_W$	light absorbance of $W$	$\text{cell}^{-1}$	0.1	0.00001
$k_H$	light absorbance of $C_H$	$\text{cell}^{-1}$	0.05	0.000005
$k_P$	light absorbance of $C_P$	$\text{cell}^{-1}$	0.15	0.000015
$H_W$	half-saturation light intensity for $W$	$\mu\text{mol quanta m}^{-2} \text{ s}^{-1}$	10	0.5
$H_P$	half-saturation light intensity for $C_P$	$\mu\text{mol quanta m}^{-2} \text{ s}^{-1}$	10	10
$l_W$	mortality rate of $W$	$\text{day}^{-1}$	0.5	0.2
$l_H$	mortality rate of $C_H$	$\text{day}^{-1}$	0.5	0.03
$l_P$	mortality rate of $C_P$	$\text{day}^{-1}$	0.1	0.01
$a$	attack rate of $C_H$ on $W$	$\text{day}^{-1} \text{ cell}^{-1}$	variable	0.000032
$f$	fraction of predation events leading to acquisition	–	variable	1
$e$	heterotrophic conversion efficiency of $W$ to $C_H$	$\text{cell cell}^{-1}$	0.1	n/a
$m$	plastid loss rate	$\text{day}^{-1}$	variable	0.1
$I_{\text{mean}}$	mean incident irradiance when light varies over time	$\mu\text{mol quanta m}^{-2} \text{ s}^{-1}$	50	n/a
$I_{\text{var}}$	degree of seasonal variation in incident light	–	0.9	n/a

142 (represented by the attack rate  $a$ ), and mean plastid retention time ( $1/m$ ). For each value  
of  $a$  and  $m$ , we determined three input light thresholds that demarcate types of model  
144 dynamics. The first threshold is  $I_C$ , the compensatory irradiance for  $W$  (above which its  
net growth rate is positive), which can be determined analytically as  $l_W H_W / (p_W - l_W)$   
146 (Huisman & Weissing 1994). The second,  $I_{COEX}$ , is the irradiance above which the con-  
sumer can persist alongside  $W$  in the system. Finally,  $I_{LC}$  is the irradiance above which  
148 the coexistence attractor takes the form of a limit cycle rather than an equilibrium point.

## Empirical model validation using a strict acquired phototroph

150 We tested our model's applicability to planktonic community dynamics by comparing  
its qualitative predictions for a strict acquired phototroph ( $f = 1$ ) to the dynamics  
152 of a laboratory model acquired phototroph, *Mesodinium rubrum* (CCMP 2563), and  
its cryptophyte alga prey, *Geminigera cryophila* (CCMP 2564). *M. rubrum* is a strict  
154 acquired phototroph: it obtains  $\sim 98\%$  of its carbon from photosynthesis using plastids  
stolen from *G. cryophila* (Hansen *et al.*, 2013; Johnson & Stoecker, 2005). However,  
156 it must periodically feed on *G. cryophila* to re-acquire the prey nuclei that it uses to  
run these chloroplasts (Johnson *et al.*, 2007). Absent prey, *M. rubrum* loses its nuclei,  
158 followed by loss of its photosynthetic abilities and, finally, cell death. Thus, like our  
mathematical model's consumer, *M. rubrum* exhibits two states: one in which it grows  
160 and divides photosynthetically, and one in which its growth stalls while it seeks to acquire  
prey machinery through predation.

162 Because both species were initially isolated from McMurdo Sound, Antarctica, we  
mimicked summer, high-latitude conditions by incubating cultures at low temperature  
164 ( $4^\circ\text{C}$ ), and constant (24-hour) low light ( $0.5$ ,  $5$ , or  $50 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ). Based on  
prior knowledge of the compensation ( $0.7 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ) and saturation ( $20 \mu\text{mol}$   
166  $\text{quanta m}^{-2} \text{s}^{-1}$ ) irradiances for *M. rubrum* growth (Moeller *et al.*, 2011), we expected  
these three light levels to result in phytoplankter-only, stable coexistence, and limit cycle  
168 dynamics, respectively. We used a batch-culture method to test this hypothesis. Specif-  
ically, we set up two replicate flasks of 35 PSU f/2-Si media (Guillard, 1975) at each of

170 the three light levels (except  $0.5 \mu\text{mol quanta m}^{-2} \text{ s}^{-1}$ , which had only one replicate),  
and inoculated them with *M. rubrum* cultures that had been allowed to acclimate to the  
172 respective light levels for a period of three months, with their most recent *G. cryophila*  
feeding two months previous to the start of the experiment. Approximately every three  
174 days for the fifty-day experimental period, we fixed a 1.25mL sample of each culture with  
1% Lugol's Iodine, and enumerated both consumer and prey cells using a compound light  
176 microscope at 100x (oculars + objective) magnification. Nutrients were not replenished  
during the course of the experiment; the fifty-day experimental period was chosen so that  
178 the experiment was halted before cultures exhibited population declines characteristic of  
nutrient limitation.

180 At the experimental start point, cultures differed in their ratio of prey to acquired  
phototrophs because of their light incubation and feeding history. Specifically, high-light  
182 incubations had very low prey densities (because of grazing by *M. rubrum* subsequent to  
the last previous feeding, two months prior), whereas low-light incubations had higher  
184 ratios (due to relatively higher prey growth rates). Therefore, to produce comparable  
mathematical model simulations for the *M. rubrum-G. cryophila* system, we used pub-  
186 lished data (Johnson *et al.*, 2006; Moeller *et al.*, 2011) to identify the appropriate ranges  
for parameter values (Table 1), and then adjusted parameters manually to maximize  
188 agreement with the data. We set the model's initial conditions according to starting  
experimental data. We then calculated the ratio of prey to acquired phototrophs over  
190 time for both types of data. (Using ratios allowed us to normalize for dilutions, incom-  
plete flask homogenization before sampling, and other sources of experimental noise.) We  
192 pooled our log-transformed experimental ratios by light level and fit two types of models  
to these data: (1) a linear model, and (2) a piecewise linear model with a single break-  
194 point (using the R (version 3.1.0, R Core Team 2014) package *segmented*, Muggeo 2003).  
To select the best-fitting statistical model, we first used an analysis of variance (ANOVA)  
196 test to determine whether the models were significantly different. If they were not, we  
selected the linear model based on parsimony; if they were, we defined the best-fitting  
198 model as the model with the lowest Akaike information criterion (AIC) score.

## Varying reliance on acquired phototrophy

200 Marine planktonic communities also include acquired phototrophs that rely less on pho-  
tosynthesis for their carbon supply (Stoecker *et al.*, 2009; Johnson, 2011). We therefore  
202 relaxed our assumption of strict acquired phototrophy and allowed for a range of degrees  
of dependence on photosynthesis as a carbon source. Among acquired phototrophs, the  
204 retention time of acquired machinery is correlated with dependence on photosynthesis:  
strict acquired phototrophs such as *M. rubrum* retain prey nuclei with a half-life of ten  
206 days and retain plastids indefinitely, whereas some mixotrophic oligotrich ciliates retain  
plastids for as little as a few hours (Stoecker *et al.*, 2009; Johnson, 2011). Therefore, we  
208 set  $m = 3 - 2.9f$ , which represents a linear interpolation between *M. rubrum*, for which  
 $f = 1$  and  $m = 0.1$ , and a strict heterotroph, for which  $f = 0$  and clearance rates are on  
210 the order of hours ( $m = 3$ ). We determined the dependence of the irradiance thresholds  
 $I_C$ ,  $I_{COEX}$ , and  $I_{LC}$  on  $f$  and  $m$ .

212 Finally, we used our model to predict seasonal dynamics of planktonic communities  
whose members exhibit varying degrees of reliance on acquired phototrophy. The incident  
214 light received by the surface ocean varies seasonally. Therefore, we varied  $I_{in}$  over time  
using

$$I_{in}(t) = I_{mean} \left[ 1 + I_{var} \sin \left( \frac{2\pi t}{365} \right) \right], \quad (7)$$

216 where  $I_{mean}$  is the mean annual surface irradiance and  $I_{var}$  is the degree of seasonal  
variation (from 0 to 1). Because time is measured in days,  $I_{in}(t)$  has a period of one year.

218 We simulated population dynamics for four scenarios: (1) phytoplankter only, (2)  
phytoplankter and strict acquired phototroph ( $f = 1$ ,  $m = 0.1$ ), (3) phytoplankter and  
220 mixotrophic acquired phototroph ( $f = 0.3$ ,  $m = 2$ ), and (4) phytoplankter and strict  
heterotroph ( $f = 0$ ,  $m = 10$ ). We compared our findings with field observations of  
222 planktonic community dynamics, with special attention to contrasting life histories among  
bloom-forming and non-bloom-forming consumers.

## 224 Results

### Dynamics of strict acquired phototrophy

226 To qualitatively explore the dynamics exhibited by our model, we first considered the case of a strict acquired phototroph which is dependent upon acquired photosynthetic equipment for growth (Figure 1b). In this case,  $f$ , the fraction of predation events that leads to plastid acquisition, is 1; other parameter values are given in Table 1.

230 The model exhibits four different types of dynamics as surface irradiance  $I_{\text{in}}$  is intensified (Figure 2). When  $I_{\text{in}}$  is below the minimum light requirement for phytoplankton growth ( $I_C$ ), neither phytoplankter nor consumer persist, and the equilibrium  $(W, C_H, C_P) = (0, 0, 0)$  is stable. When  $I_{\text{in}}$  is greater than  $I_C$  but less than  $I_{COEX}$ ,  $(W, C_H, C_P) = (W^*, 0, 0)$  is the only stable equilibrium: only the phytoplankter persists. If  $I_{\text{in}}$  exceeds the boundary for coexistence  $I_{COEX}$ , the phytoplankter and consumer co-  
236 exist. For  $I_{COEX} < I_{\text{in}} < I_{LC}$ , this coexistence occurs at a stable equilibrium point: the population sizes of the phytoplankter and both consumer stages are asymptotically  
238 constant over time. However, once  $I_{\text{in}}$  exceeds  $I_{LC}$ , this equilibrium point becomes unstable and the population dynamics converge on a limit cycle. These limit cycles increase  
240 in amplitude with increasing light intensity, with corresponding decreases in minimum population sizes for both phytoplankter and consumer (Figure S2).

242 Increasing either the attack rate  $a$  (Figure 2a) or the plastid retention time  $1/m$  (Figure 2b) reduces the minimum irradiance for coexistence. Increasing these parameters also increases the range of light levels at which limit cycles are present. Note that, because the consumer is dependent upon the phytoplankter for its acquired metabolism,  
246 the phytoplankter is never eliminated from the system, but rather (in the case of limit cycles) recovers in population size after the consumer population crashes.

### 248 Comparison with empirical data

Our observations of population dynamics of the strict acquired phototroph *Mesodinium rubrum* and its cryptophyte phytoplankter prey *Geminigera cryophila* were qualitatively  
250



consistent with model predictions (Figures 3, S3). We observed three qualitatively different types of community dynamics. At the lowest light level, which was below the compensation irradiance for *M. rubrum* growth (Moeller *et al.*, 2011), only *G. cryophila* exhibited positive growth, and the ratio of prey to consumers grew exponentially (best fit to data: linear model without breakpoint;  $P < 0.001$ ,  $R^2 = 0.928$ ). At the intermediate light level, *G. cryophila* and *M. rubrum* populations stabilized at a fixed prey to consumer ratio (best fit to data: single breakpoint at 29.4 days with change from positive to zero slope;  $P < 0.001$ ,  $R^2 = 0.976$ ). Finally, at the highest light level, a population boom of *G. cryophila* was curtailed and then outpaced by growth of *M. rubrum*, leading to an increase, then decrease in the ratio of prey to consumers (best fit to data: single breakpoint at 21.4 days with change from positive to negative slope;  $P < 0.001$ ,  $R^2 = 0.896$ ). Accounting for the effects of photoacclimation by allowing  $H_W$ , the half-saturation light intensity for phytoplankton growth, to vary with irradiance improved the model's quantitative fit (Table S1, Figure S4).

## Dynamics along the mixotrophy spectrum

The model predicts that the extent of the consumer's reliance on acquired phototrophy (examples from across the heterotrophy-autotrophy gradient are given along the abscissa in Figure 4a and pictured in Figure 4b-f) has major qualitative effects on community dynamics (Figure 4a; see Figure S5 for  $f$  varied in isolation). Strict acquired phototrophs exhibit cyclic dynamics, even at low irradiance levels, whereas strict heterotrophs exhibit time-invariant stable population sizes. To test whether the absence of cyclic dynamics was a result of our choice of Type I predator functional response, we modified the model to include a Type II functional response. Type II functional responses, which account for predator handling time and are thought to be common in natural systems, can give rise to cyclic dynamics. However, in our case we found that even when we varied handling time over several orders of magnitude, the model's asymptotic behavior did not change indicating that dynamics were not sensitive to our choice of functional response.

This difference in intrinsic dynamics (i.e., presence or absence of limit cycles) drives

differences in annual community dynamics when light varies seasonally (Figure 5). While  
280 the presence of any consumer curtails the extent of the phytoplankton bloom (compare  
peaks in Figure 5 panel b to panels c-e), only communities with strict acquired pho-  
282 totrophs (Figure 5c,  $f = 1$ ,  $m = 0.1$ ) exhibit sequential blooms in which a boom and  
then crash in the phytoplankton population is followed by the consumer’s own boom-  
284 bust cycle. In contrast, mixotrophic acquired phototrophs, which grow on a combination  
of photosynthetic and heterotrophic carbon sources (Figure 5d), and strict heterotrophs  
286 (Figure 5e) track phytoplankton biomass.

## Discussion

288 Acquired phototrophs, organisms which rely on photosynthetic endosymbionts or their  
plastids to conduct photosynthesis, are omnipresent in planktonic communities where  
290 they function, to varying degrees, as heterotrophic predators and phototrophic com-  
petitors (Stoecker *et al.*, 1987). The results of our analysis show that acquired pho-  
292 totrophy can stabilize coexistence by allowing an otherwise weaker competitor to act as  
an intraguild predator that nonetheless requires the persistence of its prey for periodic  
294 metabolic acquisition. Thus acquired metabolic potential may be another mechanism  
which helps to explain the so-called ‘paradox of the plankton’ (Hutchinson, 1961).

296 Because of their dual function as predator and competitor, acquired phototrophs may  
drive cyclic community dynamics with sequential booms and crashes of phytoplankton  
298 prey and acquired phototrophs. When we incorporated seasonal variation in light avail-  
ability, strict acquired phototrophs, which rely entirely on photosynthesis for growth,  
300 exhibited “bloom” dynamics, with population sizes increasing 100-fold following the ces-  
sation of prey blooms. Indeed, when we surveyed the literature for species whose physiolo-  
302 gies spanned the range of reliance on acquired phototrophy (Figure 4a, colored bars), we  
found that only the strict acquired phototroph end members (*M. rubrum* and green *Noc-*  
304 *tiluca scintillans*) are known bloom-formers (Hansen, 2011). In contrast, the mixotrophic  
acquired phototrophs are common but low-density community members whose abundance

306 tends to track phytoplankton abundance (Löder *et al.*, 2011; Nielsen & Kierboe, 1994).  
Published time series data which include heterotrophic and acquired phototroph meso-  
308 zooplankton suggest that strict acquired phototrophs (e.g., *M. rubrum*) exhibit more  
pronounced “blooms” than the low-abundance oligotrich ciliates, which in turn exhibit  
310 larger population swings than strict heterotrophs (Löder *et al.*, 2011; Ribera d’Alcala  
*et al.*, 2004; Lessard & Murrell, 1996).

312 Comparisons between our model’s predictions and field observations are suggestive  
of the intrinsic importance of acquired phototrophy to community dynamics. However,  
314 marine planktonic communities are far more complex than our simple two-species model,  
which does not account for top-down controls such as zooplankton grazing, other forms  
316 of bottom-up constraints such as nutrient supply, or the presence of other phytoplankton  
and mesozooplankton competitors. Indeed, the model also ignores biological nuances of  
318 the focal species themselves, such as photoacclimation, which affects photosynthetic rates  
and carbon budgets at different light levels (Moeller *et al.*, 2011; Skovgaard, 1998; Nielsen  
320 *et al.*, 2012), motility, which may allow acquired phototrophs to reach nutrient supplies  
at the boundary of the mixed layer (Stoecker *et al.*, 1989), and light-dependence of pure  
322 heterotrophs, whose grazing and digestion rates increase with light availability (Strom,  
2001).

324 Nonetheless, our model qualitatively predicted the light-dependence of the dynamics  
of the laboratory model *M. rubrum*-*G. cryophila* system, identifying a sequence of transi-  
326 tions with increasing light from a single-species equilibrium to a coexistence equilibrium  
to cyclic dynamics. There are several possible explanations for quantitative discrepancies  
328 between our mathematical and laboratory model systems. First, because the experimen-  
tal observations lasted for only fifty days (after which, at high light levels, both species  
330 declined, likely due to nutrient limitation), we were unable to observe long-term popu-  
lation dynamics. Second, while we used a single set of biological parameters and three  
332 different irradiance levels to generate our simulation data, in reality many of the bio-  
logical parameters are light-dependent. For example, photoacclimation allows both prey  
334 and consumer to adjust growth and respiration rates to light availability (Moeller *et al.*,

2011; Johnson *et al.*, 2006). Additionally, attack and prey processing rates may be light  
336 dependent (Strom, 2001). Furthermore, differences in feeding history driven by light-  
dependent dynamics in the acclimation period prior to the experiment may have further  
338 altered biological rates (Johnson *et al.*, 2006). However, our experiment did confirm the  
importance of light availability to qualitative model dynamics.

340 In both our model and our laboratory system, increasing the light supply destabilized  
the equilibrium coexistence point in favor of limit cycles. The amplitude of modeled  
342 limit cycles increased with increasing surface irradiance, with population sizes periodi-  
cally falling to very low levels which, in real-world systems, could lead to local extinction.  
344 Thus our model suggests that acquired phototrophy may produce another example of the  
'paradox of enrichment,' in which an increased carrying capacity (here, increased light)  
346 destabilizes population dynamics (Rosenzweig, 1971). Empirical studies have shown that  
increasing light levels can also increase grazing and digestion rates (Nielsen *et al.*, 2012;  
348 Skovgaard, 1998; Feinstein *et al.*, 2002; Park *et al.*, 2014), and increase the degradation  
rate of plastids through photooxidative stress (Johnson & Stoecker, 2005). These mech-  
350 anisms, while not explicitly included in our model, may further destabilize equilibrium  
points in natural systems.

352 With the exception of strict acquired phototrophs and strict heterotrophs (which get  
100 and 0% of their carbon from photosynthesis, respectively), the organisms we mod-  
354 eled are part of the broad class of microplankton known as mixotrophs, which combine  
heterotrophy and phototrophy for growth. Here, we have focused explicitly on acquired  
356 phototrophy as the mechanism through which our consumers access photosynthesis and  
considered only competition for light. However, others have studied the more general  
358 role of mixotrophy on community composition and stability with particular attention to  
competition for other resources, such as nutrients (e.g., Stickney *et al.*, 1999; Crane &  
360 Grover, 2010; Flynn & Mitra, 2009; Mitra & Flynn, 2010). This work has underscored  
the importance of determining the extent to which mixotrophs rely on their different nu-  
362 tritional modes (Flynn & Mitra, 2009; Mitra & Flynn, 2010). Typically, the persistence  
of mixotrophs (e.g., in a water column that may also contain phototrophs, heterotrophs,

364 remineralizing bacteria and higher trophic level consumers) hinges upon their ability to  
supplement their carbon budget with photosynthesis under low-prey conditions, or their  
366 nutrient budget with heterotrophy under low-nutrient conditions (Crane & Grover, 2010).  
Low levels of mixotroph grazing have also been shown to induce population oscillations  
368 (Stickney *et al.*, 1999), though not to the extent of the limit cycles present in our model's  
parameter space.

370 In conclusion, our results, in the context of field observations, highlight the importance  
of acquired phototrophy as a driver of community dynamics. As both heterotrophic and  
372 phototrophic members of the microplankton, marine acquired phototrophs play a key  
role in modulating the primary production that underlies the larger marine food web  
374 (Stoecker *et al.*, 1989, 2009; Mitra *et al.*, 2013). However, acquired phototrophs are just  
one example of acquired metabolic potential, a phenomenon that raises evolutionary, as  
376 well as ecological, questions about selective constraints on an organism's niche and the  
process of endosymbiosis (Keeling *et al.*, 2015). That organisms utilizing metabolism not  
378 encoded in their own genomes can have such a profound impact on community dynamics  
highlights their importance and the need for additional theoretical and empirical studies  
380 of their ecology.

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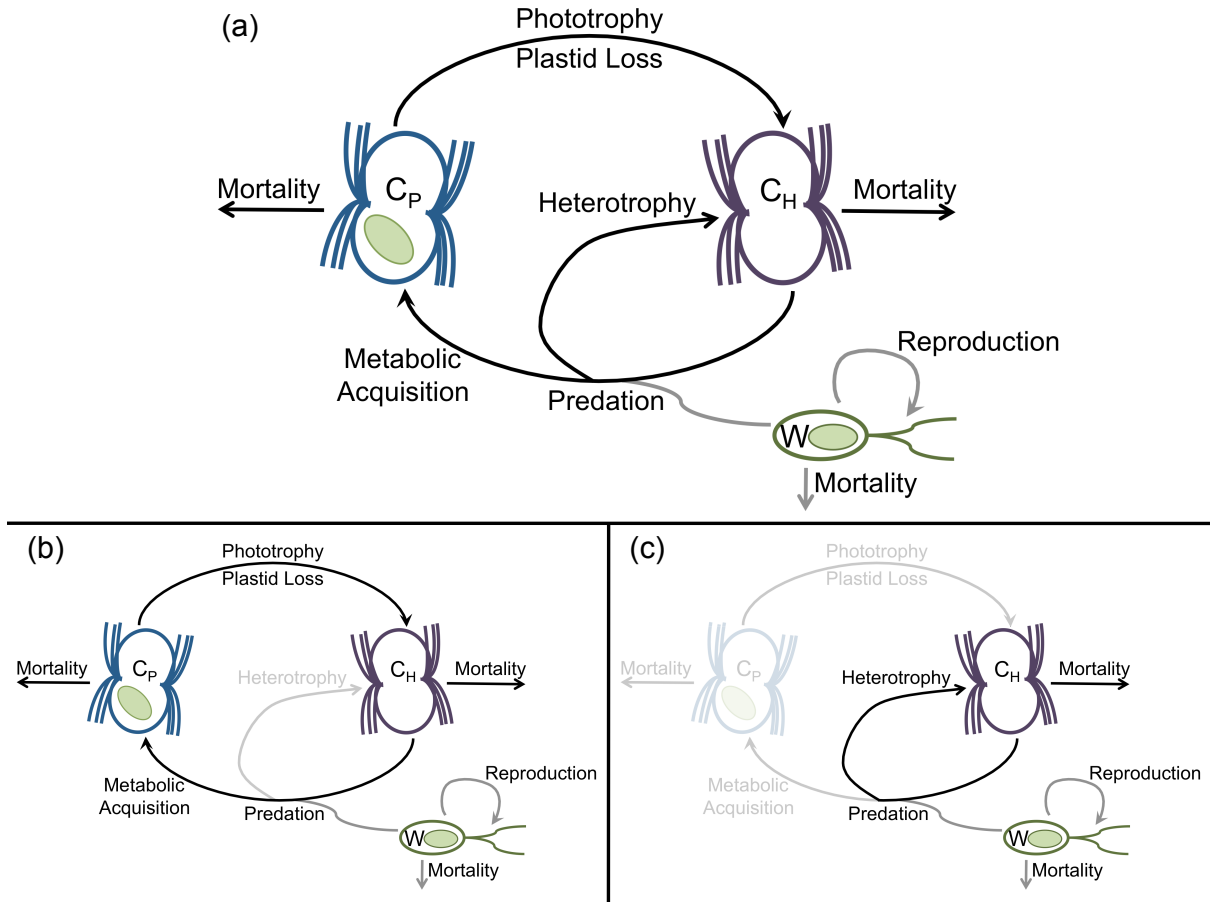


Figure 1: Intraguild acquired phototrophy. Panel a: Species  $W$  is a chloroplast-bearing phytoplankter that grows and reproduces through photosynthesis. Species  $C$ , the consumer, exists in two states: as a heterotroph ( $C_H$ ) which predaes  $W$ , and as a phototroph ( $C_P$ ). The transition from  $C_H$  to  $C_P$  is mediated by predation events, in which prey are either consumed for heterotrophic growth, or processed to acquire photosynthetic machinery.  $C_P$  cells eventually lose their plastids and return to the heterotrophic state. We assume that  $C$  cannot independently replicate photosynthetic machinery; thus, photosynthetic growth by  $C_P$  yields new  $C_H$  cells which must re-acquire photosynthesis through a predation event. Panels b and c show strict acquired phototrophy and strict heterotrophy cases, respectively. Cell diagrams are modeled after the shapes of cryptophyte algae ( $W$ ) and the ciliate *Mesodinium* ( $C$ ). The *Mesodinium* genus includes both strict phototroph (e.g., *Mesodinium rubrum*) and near-strict heterotroph (e.g., *Mesodinium pulex*) members (Hansen *et al.*, 2013).

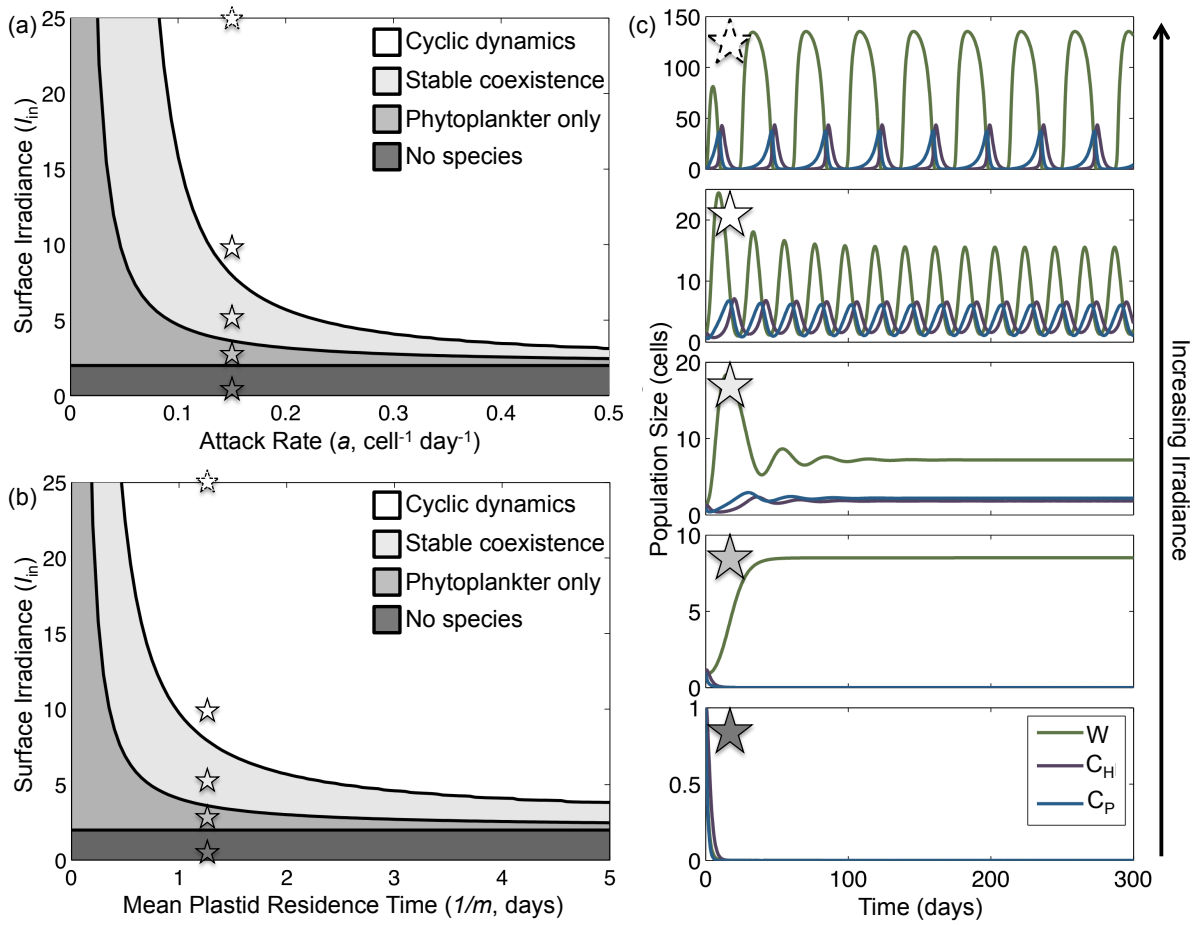


Figure 2: Dependence of model dynamics on habitat productivity (irradiance) and the strength of species interaction (attack rate, panel a;  $m = 0.8$ , other parameter values given in Table 1) and acquired metabolism persistence (panel b;  $a = 0.15$ , other parameter values given in Table 1) for a strict acquired phototroph ( $f = 1$ ). Once irradiance exceeds the compensation point ( $I_C$ ) for the phototroph  $W$ , that species can persist (boundary between dark gray and medium gray area). Intensification of either irradiance or attack rate, or a longer mean lifetime for the acquired plastid, allows for the persistence of the acquired phototroph  $C$  (medium gray to light gray boundary) and, eventually, causes a transition from stable equilibrium points to limit cycles (light gray to white boundary). Stars indicate parameter values corresponding to the sub-plots of representative time-series in panel c (for which  $a = 0.15$ ,  $m = 0.8$ , and  $f = 1$ ; other parameter values given in Table 1). As surface irradiance increases (moving from the bottom time-series panel upward), the equilibrium transitions from a stable point with no species present, to a stable point with only the phototroph present, to a stable point with coexistence of the phototroph and acquired phototroph, to to limit cycles of increasing amplitude and duration (note differences in y-axis scales).

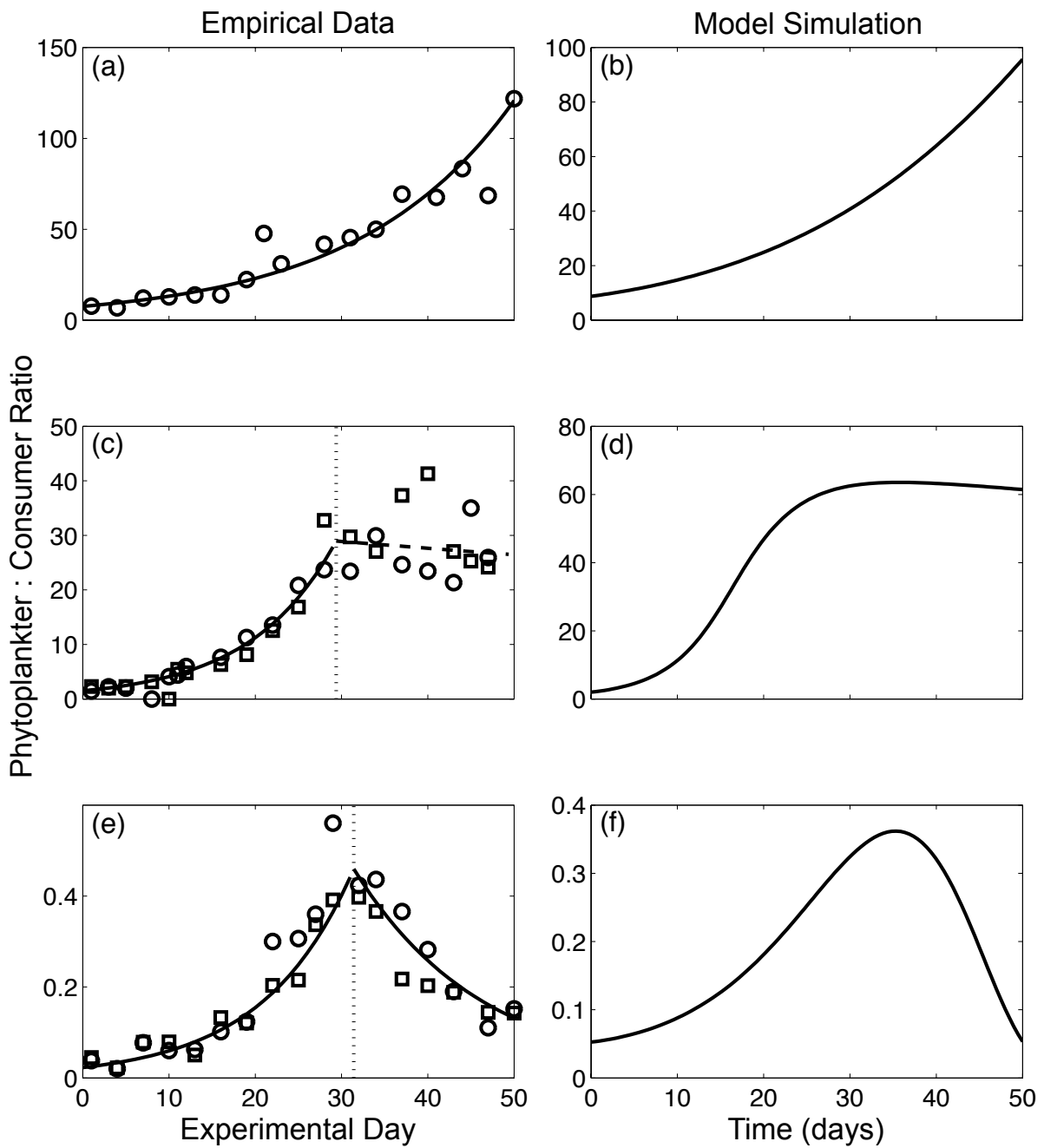


Figure 3: Dynamics produced by empirical manipulation of an acquired phototroph-prey system (left column) and model predictions (right column) are qualitatively similar. For panels a, c, and e, points represent ratios calculated from cell density measurements (shape indicates replicate). Solid lines show best-fit model predictions; dashed lines show non-significant slopes; dotted lines indicate breakpoints, where applicable. At low light (panels a-b), only the prey grows; at medium light (panels c-d), acquired phototroph and prey stably coexist; and at high light (panels e-f), populations exhibit boom-bust cycles. Parameters used for model simulation are listed in Table 1.

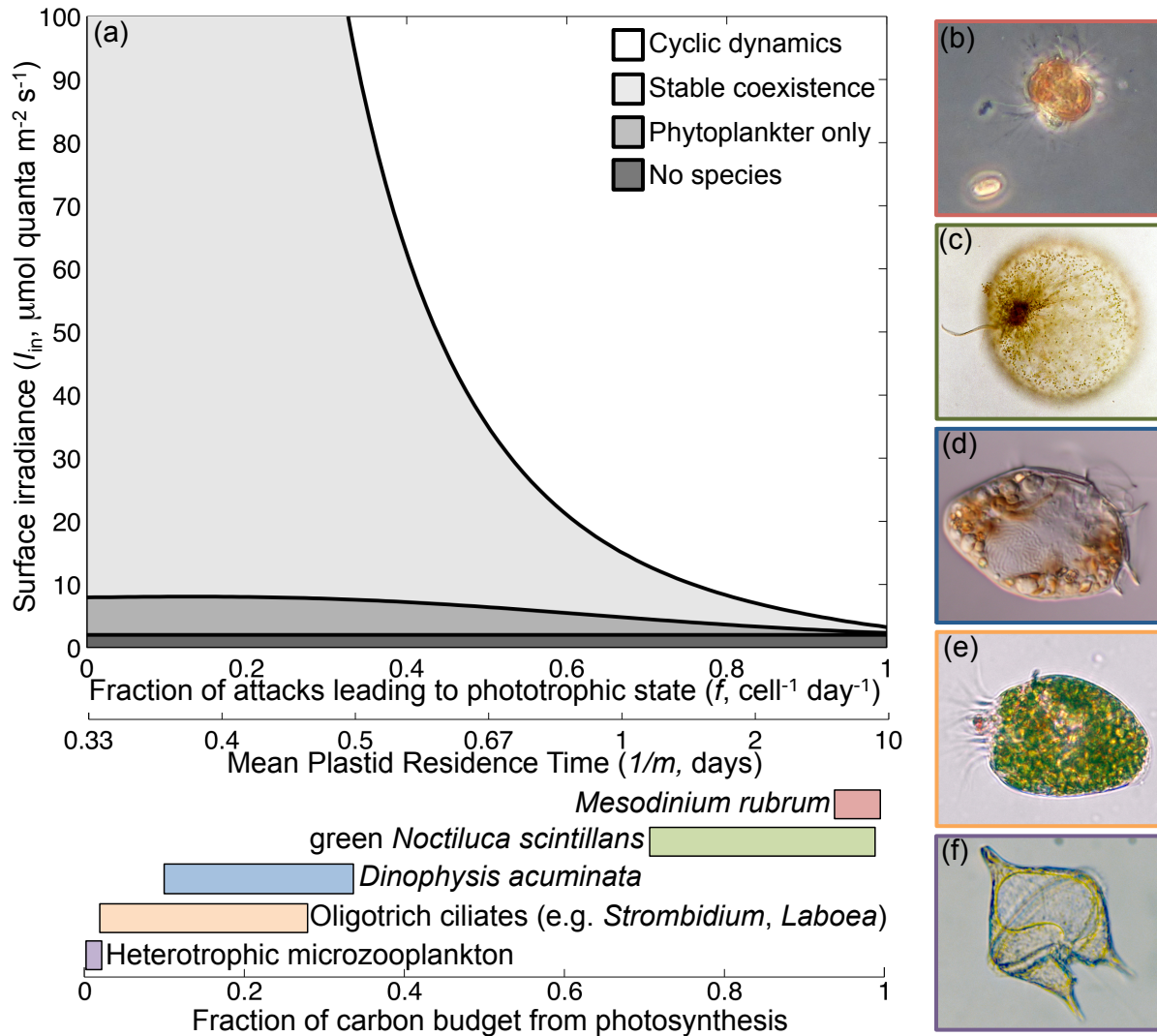


Figure 4: Dependence of model dynamics on the consumer's reliance on acquired phototrophy. Our literature survey revealed that acquired phototrophs range from strict acquired phototrophy to mixotrophy. Panel a: Our model codified this spectrum of dependence as the probability of retaining a plastid following a predation event, and the lifetime of that plastid once acquired. The more strict the consumer's reliance on acquired phototrophy, the lower the light threshold at which the community exhibits cyclic dynamics (Parameters as in Table 1;  $a = 0.15$ ). Colored bars below panel a give examples of marine mesozooplankton species that fall along this acquired phototrophy dependence axis (published estimates of percent carbon budget from photosynthesis are used as a proxy for  $f$ ). Empirical examples include: *Mesodinium rubrum* (Panel c, larger red cell, pictured with *Geminigera cryophila*, small cell in lower left, photo by H.V. Moeller), a bloom-forming ciliate (Hansen *et al.*, 2013); green *Noctiluca scintillans* (Panel d, courtesy of P.J. Hansen), a bloom-forming dinoflagellate (Hansen *et al.*, 2004); *Dinophysis acuminata* (Panel e, courtesy of L.T. Nielsen), a dinoflagellate that is known to cause diarrhetic shellfish poisoning (Nielsen *et al.*, 2012; Riisgaard & Hansen, 2009); oligotrich ciliates (e.g. *Strombidium sp.*, Panel f, courtesy of G. McManus), which temporarily retain prey plastids (Stoecker *et al.*, 1988, 2009; McManus *et al.*, 2012); and pure heterotrophs (e.g. *Proto-peridinium sp.*, Panel g, photo by M.D. Johnson).

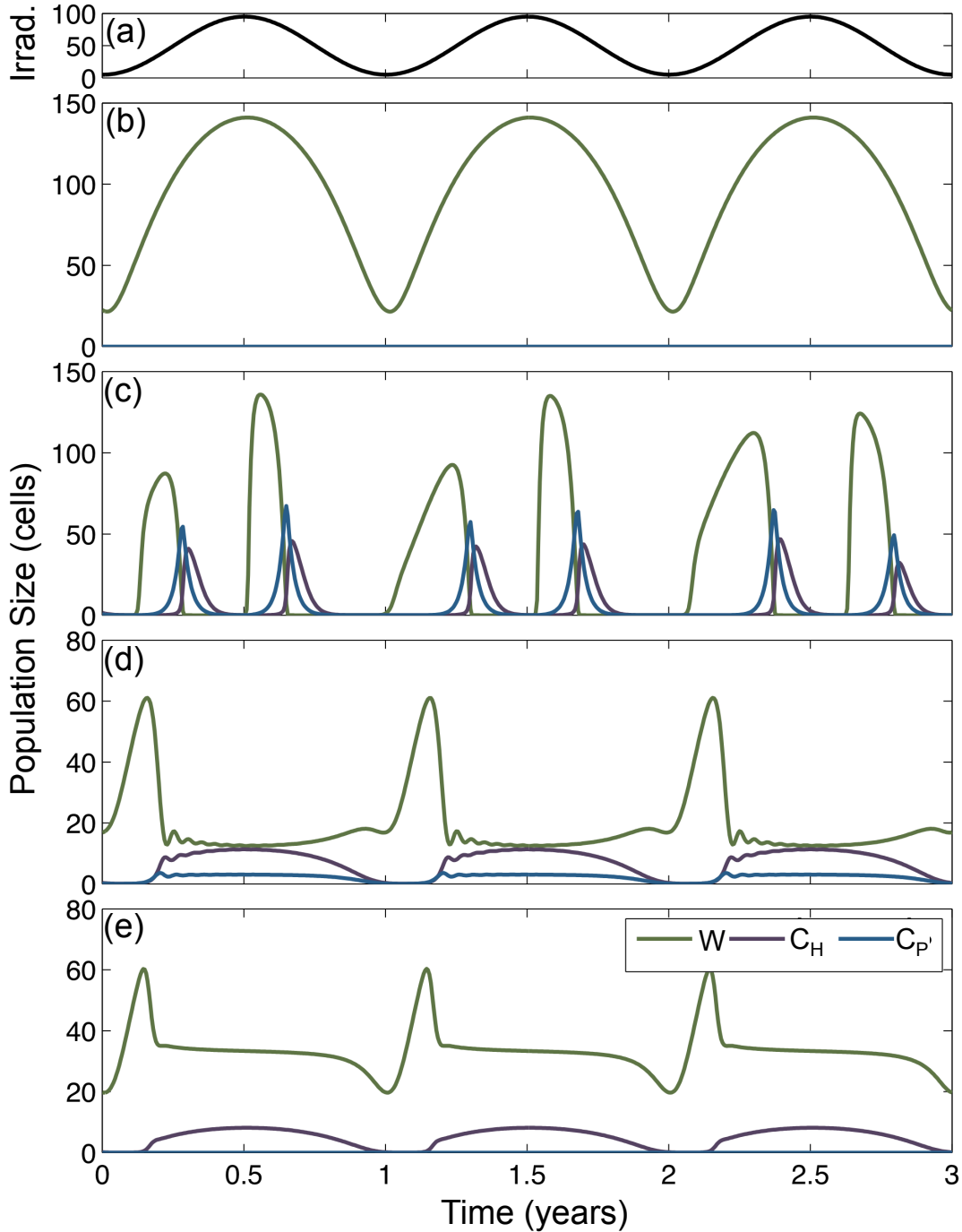


Figure 5: Annual cycles of phytoplankton ( $W$ ) and consumer ( $C_H$ , heterotrophic state, and  $C_P$ , phototrophic state) populations. Population cycles are fundamentally driven by cyclic irradiance (i.e., seasonal variation in insolation; panel a) which, in the absence of higher trophic levels, produce seasonal phytoplankton blooms (panel b). When the consumer is present, community dynamics depend on its traits: strict acquired phototrophs (Panel c,  $f = 1$ ,  $m = 0.1$ , e.g., *Mesodinium rubrum*) bloom sequentially following their phytoplankton prey; mixotrophic acquired phototrophs (Panel d,  $f = 0.3$ ,  $m = 2$ , e.g., oligotrich ciliates) and pure heterotrophs (Panel e,  $f = 0$ ,  $m = 10$ , e.g., heterotrophic dinoflagellates) damp phytoplankton blooms (note difference in ordinate scales) and then track phytoplankton population abundance. Model parameters are listed in Table 1.

## Supplementary Table and Figures

Table S1: Refined model fit parameters allow for prey photoacclimation through variation in  $H_W$  with light intensity.

Parameter	Low Light	Intermediate Light	High Light
$I_{in}$	0.5	5	50
$p_W$	0.5	0.5	0.5
$p_P$	0.2	0.2	0.2
$k_W$	0.0001	0.0001	0.0001
$k_H$	0.000005	0.000005	0.000005
$k_P$	0.000015	0.000015	0.000015
$H_W$	0.5	2	4
$H_P$	10	10	10
$l_W$	0.2	0.2	0.2
$l_H$	0.03	0.03	0.03
$l_P$	0.01	0.01	0.01
$a$	0.000026	0.000026	0.000026
$f$	1	1	1
$m$	0.1	0.1	0.1



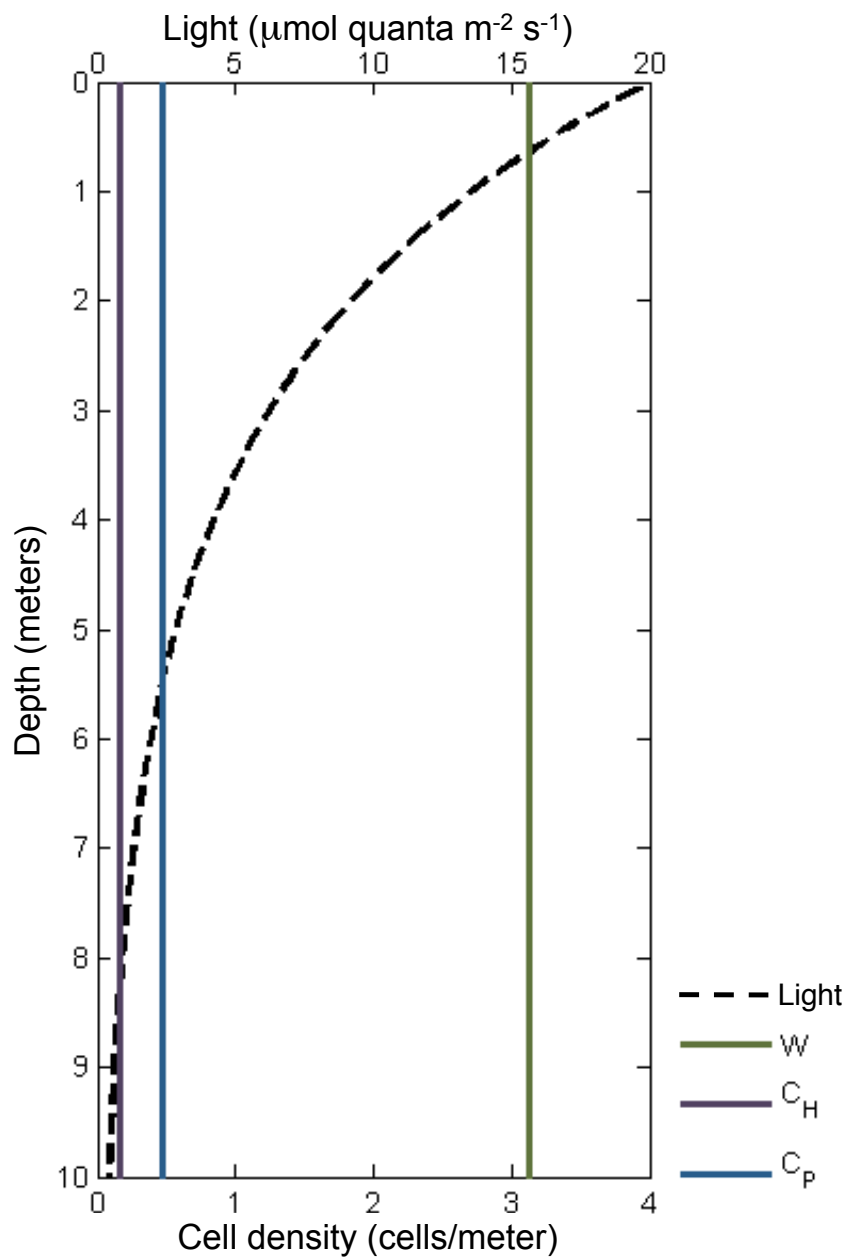


Figure S1: Example depth profile. Light (dashed black line) declines exponentially with depth due to absorption by the phytoplankter  $W$  and the consumer  $C$ . The water column is well-mixed, so cell densities are constant throughout the water column.

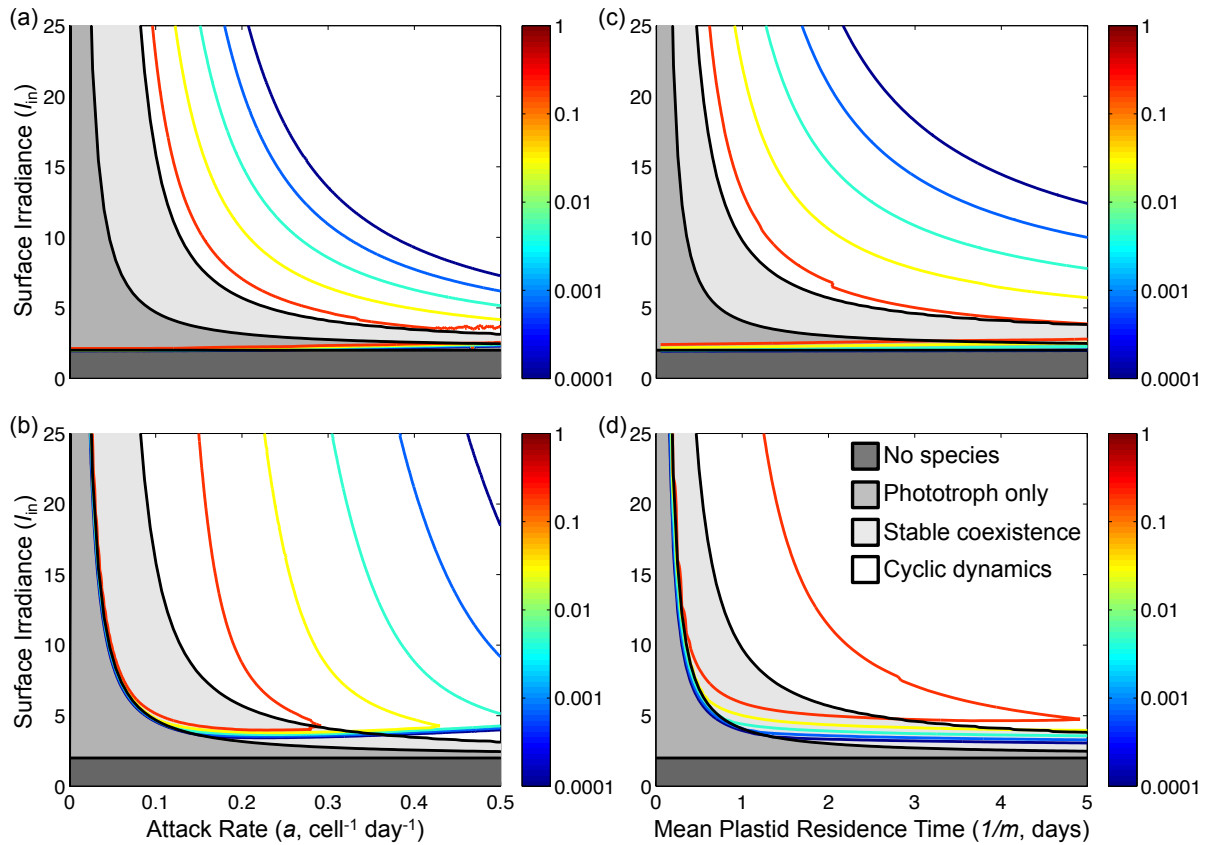


Figure S2: Minimum population sizes for the phytoplankter prey  $W$  (top row) and the consumer (bottom row; summed across both states,  $C_P + C_H$ ) as a function of incoming irradiance and attack rate (panels a,b) or mean plastid retention time (panels c,d). Grayscale areas indicate the corresponding asymptotic dynamics (as in Figure 2).

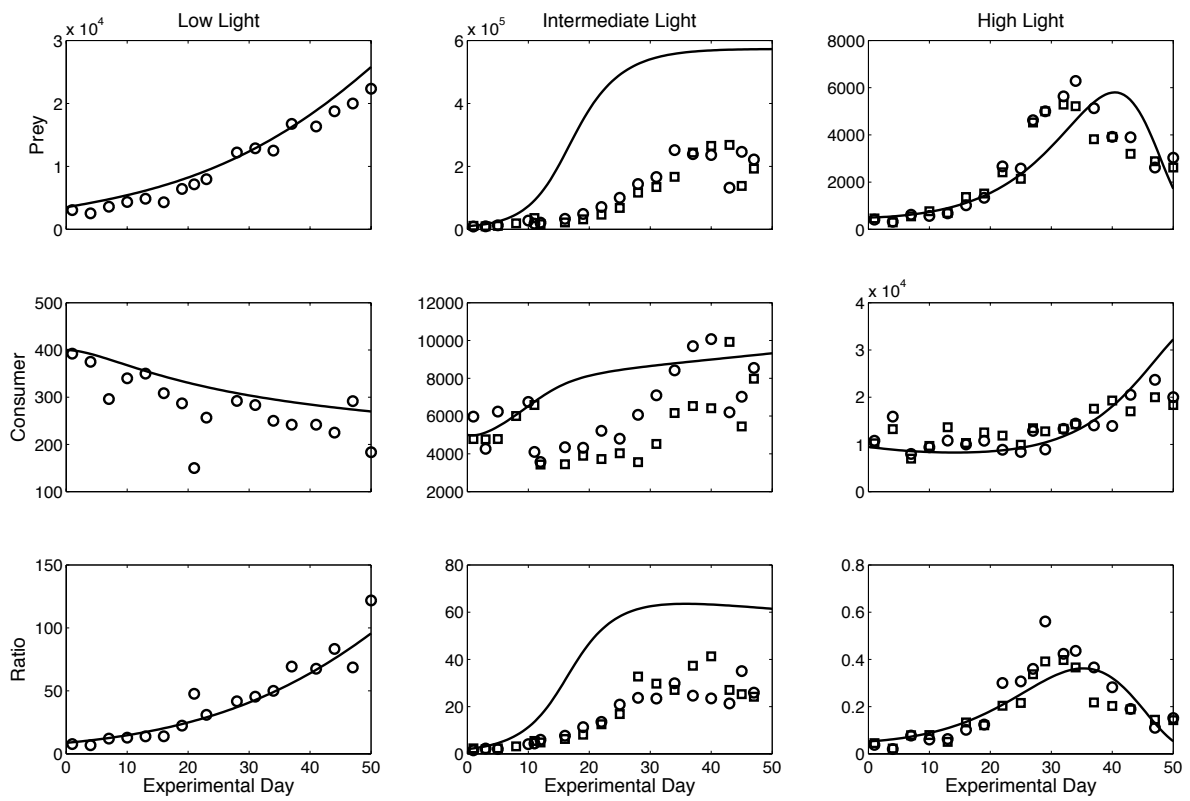


Figure S3: Full comparison of mathematical and laboratory models. Experimental data (points; replicates indicated by different shapes) are plotted alongside numerical simulations (solid lines) for phytoplankter prey (top row) and consumer (middle row) population sizes, and their ratio (bottom row). From left to right, columns show data for low, intermediate, and high light levels.

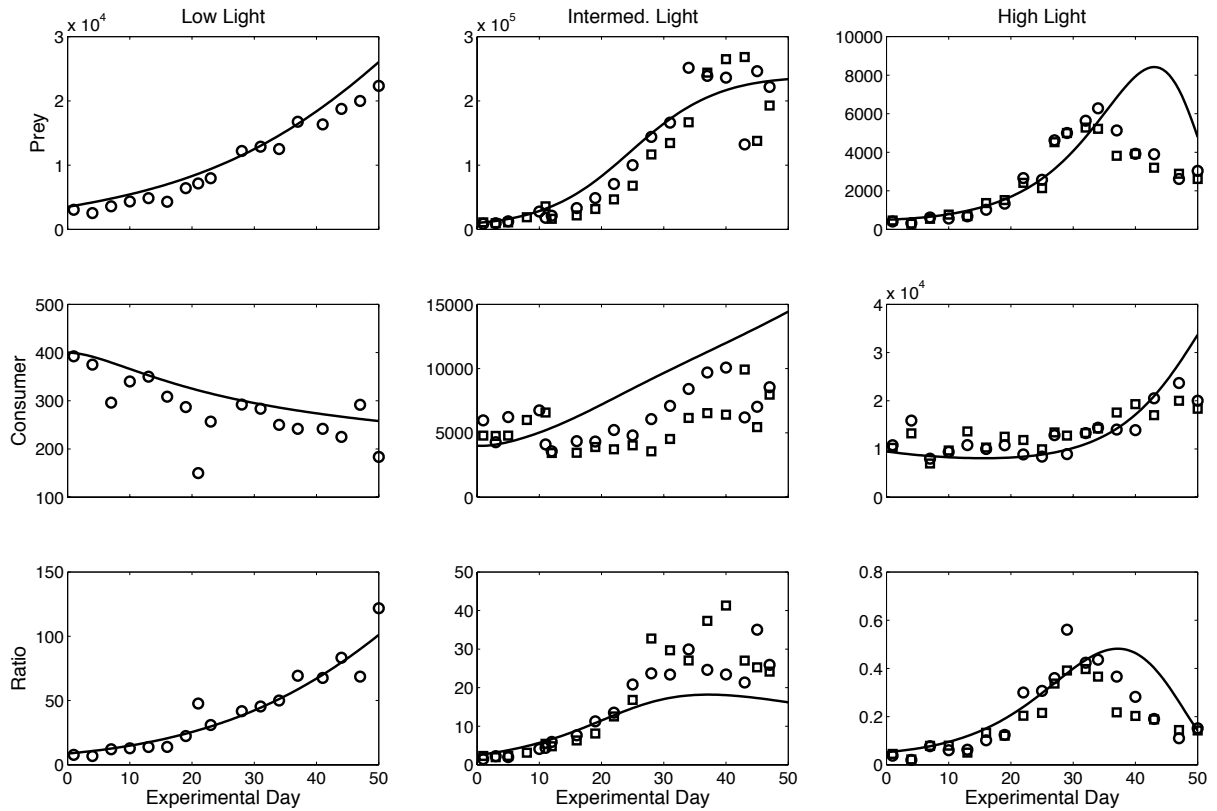


Figure S4: We performed a secondary model-fitting exercise in which we allowed for photoacclimation in the phytoplankter prey by varying the half-saturation light levels  $H_W$  to vary with increasing surface irradiance (see Table S1 for parameters). This improved the quantitative match between mathematical (solid lines) and laboratory (points; replicates indicated by different shapes) models for phytoplankter population sizes (top row), consumer population sizes (middle row), and the phytoplankter-consumer ratio (bottom row).

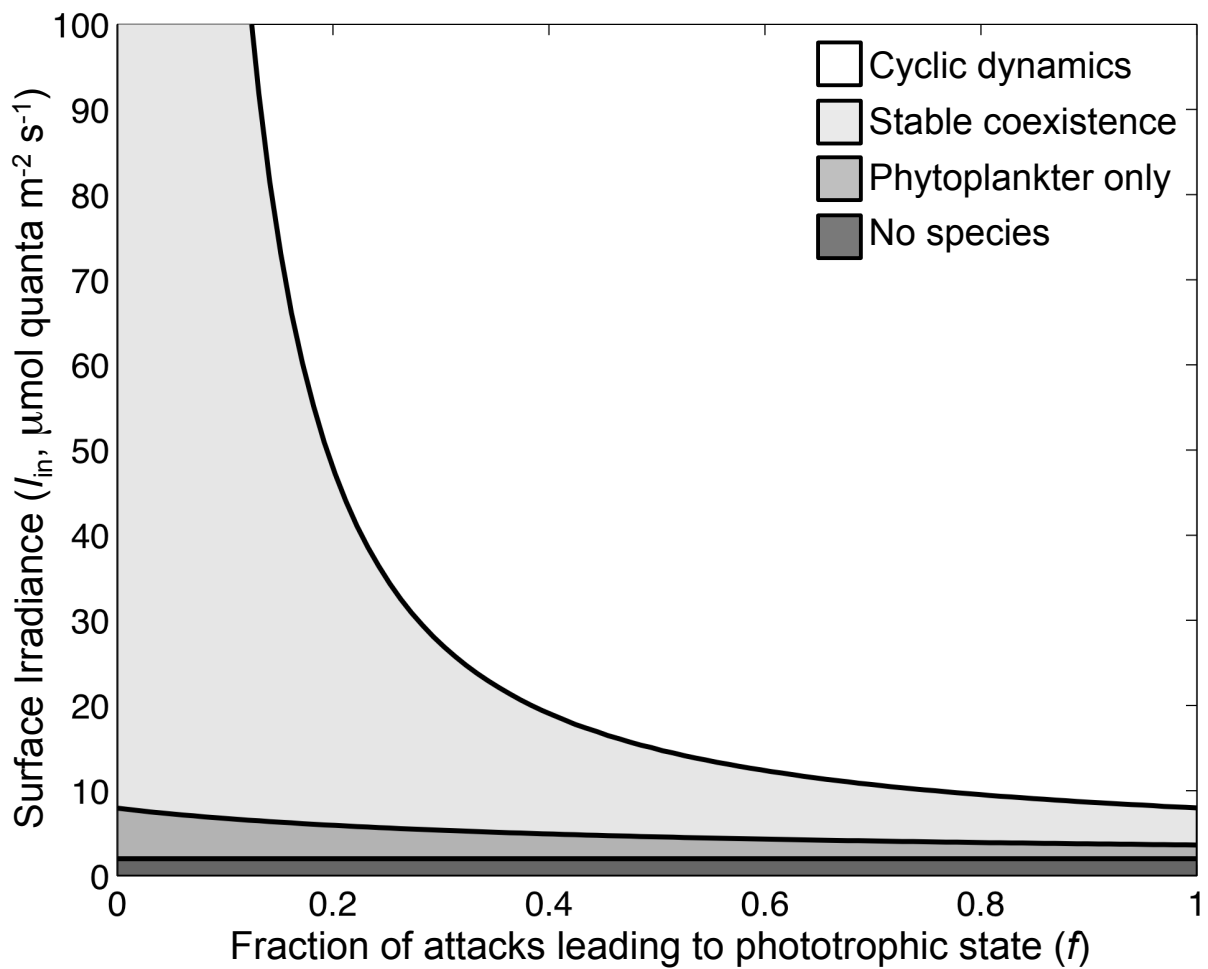


Figure S5: Effect of dependence on phototrophy on model dynamics. Strict acquired phototrophs ( $f = 1$ , right side of the abscissa) exhibit intrinsic limit cycles at lower irradiances than strict heterotrophs ( $f = 0$ , left side of the abscissa).