



Staircase diagrams and the enumeration of smooth Schubert varieties

Edward Richmond, William Slofstra

► To cite this version:

Edward Richmond, William Slofstra. Staircase diagrams and the enumeration of smooth Schubert varieties. 28-th International Conference on Formal Power Series and Algebraic Combinatorics, Simon Fraser University, Jul 2016, Vancouver, Canada. hal-02166337

HAL Id: hal-02166337

<https://hal.archives-ouvertes.fr/hal-02166337>

Submitted on 26 Jun 2019

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Staircase diagrams and the enumeration of smooth Schubert varieties

Edward Richmond^{1†} and William Slofstra^{2‡}

¹*Department of Mathematics, Oklahoma State University, Stillwater, OK*

²*Institute for Quantum Computing, University of Waterloo, Waterloo, ON*

Abstract. In this extended abstract, we give a complete description and enumeration of smooth and rationally smooth Schubert varieties in finite type. In particular, we show that rationally smooth Schubert varieties are in bijection with a new combinatorial data structure called *staircase diagrams*.

Résumé. Dans ce résumé étendu, nous donnons une description complète et le dénombrement de variétés lisses et rationnellement lisses Schubert type fini. Dans particulier, nous montrons que les variétés de Schubert rationnellement lisses sont en bijection avec une nouvelle structure de données combinatoire appelé *escalier diagrammes*.

Keywords. Schubert varieties, rational smoothness, Billey-Postnikov decompositions

1 Introduction

Let G be a simple Lie group over an algebraically closed field and fix a Borel subgroup $B \subseteq G$. The Schubert varieties $X(w)$ in the flag variety G/B are indexed by the Weyl group W of G . A natural question to ask is: when is $X(w)$ (rationally) smooth? Many different answers have been given to this question. For example, the Lakshmibai-Sandhya theorem states that a Schubert variety $X(w)$ of type A is smooth if and only if the permutation w avoids 3412 and 4231. There is an analogous pattern avoidance criteria for classical types due to Billey (1998), and a root-system pattern avoidance criteria for all finite types due to Billey and Postnikov (2005). A survey of several other characterizations can be found in Billey and Lakshmibai (2000).

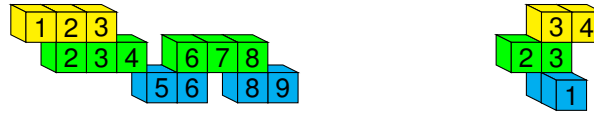
Although these criteria allow us to efficiently recognize (rationally) smooth Schubert varieties, they do not allow us to enumerate such Schubert varieties. The main result of this paper, an extended abstract of Richmond and Slofstra (b), is the following theorem:

Theorem 1.1 *If W is a finite Weyl group, then there is a bijection between rationally smooth Schubert varieties $X(w)$ and “labelled” staircase diagrams.*

[†]Email: edward.richmond@okstate.edu

[‡]Email: weslofst@uwaterloo.ca

Informally, a staircase diagram is a collection of connected “blocks” of vertices of a fixed graph, where the blocks are allowed to overlap each other, forming arrangements which resemble staircases with steps of irregular length, as shown in the pictures below.



The precise definition of staircase diagram is given in Definition 3.1. Using Theorem 1.1, we can enumerate smooth and rationally smooth Schubert varieties in the finite classical types A - D . Specifically, define generating series

$$A(t) := \sum_{n=0}^{\infty} a_n t^n, B(t) := \sum_{n=0}^{\infty} b_n t^n, C(t) := \sum_{n=0}^{\infty} c_n t^n, D(t) := \sum_{n=3}^{\infty} d_n t^n, BC(t) := \sum_{n=0}^{\infty} bc_n t^n,$$

where the coefficients a_n, b_n, c_n, d_n denote the number of smooth Schubert varieties of types A_n, B_n, C_n and D_n respectively, and bc_n denotes the number of rationally smooth Schubert varieties of type B_n . Since the Weyl groups of type B_n and C_n are isomorphic, and $X(w)$ is rationally smooth in type B if and only if $X(w)$ is rationally smooth in type C , we refer to this last case as “type BC ”. For simply-laced types A and D , Peterson’s theorem states that a Schubert variety is rationally smooth if and only if it is smooth (Carrell and Kuttler (2003)), so these generating series cover all classes of smooth and rationally smooth Schubert varieties in finite classical type.

Theorem 1.2 Let $W(t) := \sum_n w_n t^n$ denote one of the above generating series, where $W = A, B, C, D$, or BC . Then

$$W(t) = \frac{P_W(t) + Q_W(t)\sqrt{1 - 4t}}{(1 - t)^2(1 - 6t + 8t^2 - 4t^3)}$$

where $P_W(t)$ and $Q_W(t)$ are polynomials given in Table 1.

Type	$P_W(t)$	$Q_W(t)$
A	$(1 - 4t)(1 - t)^3$	$t(1 - t)^2$
B	$(1 - 5t + 5t^2)(1 - t)^3$	$(2t - t^2)(1 - t)^3$
C	$1 - 7t + 15t^2 - 11t^3 - 2t^4 + 5t^5$	$t - t^2 - t^3 + 3t^4 - t^5$
D	$(-4t + 19t^2 + 8t^3 - 30t^4 + 16t^5)(1 - t)^2$	$(4t - 15t^2 + 11t^3 - 2t^5)(1 - t)$
BC	$1 - 8t + 23t^2 - 29t^3 + 14t^4$	$2t - 6t^2 + 7t^3 - 2t^4$

Tab. 1: Polynomials in Theorem 1.2.

In type A the generating series for the number of smooth Schubert varieties is due to Haiman (Haiman, Bóna (1998), Bousquet-Mélou and Butler (2007)). For the other types this result is new. Table 2 gives the number of smooth and rationally smooth Schubert varieties in each type for rank $n \leq 6$. It is well known that the growth of the coefficients of a generating series is controlled by the singularity of smallest

	a_n	b_n	c_n	d_n	bc_n
$n = 1$	2	2	2		2
$n = 2$	6	7	7		8
$n = 3$	22	28	28	22	34
$n = 4$	88	116	114	108	142
$n = 5$	366	490	472	490	596
$n = 6$	1552	2094	1988	2164	2530

Tab. 2: Number of smooth and rationally smooth Schubert varieties in ranks $n \leq 6$. By convention, $A_1 = B_1 = C_1$, and $D_3 = A_3$.

modulus (Flajolet and Sedgewick, 2009, Theorem IV.7). For each generating series $W(t)$ in Theorem 1.2, the smallest singularity is the root

$$\alpha := \frac{1}{6} \left(4 - \sqrt[3]{17 + 3\sqrt{33}} + \sqrt[3]{-17 + 3\sqrt{33}} \right) \approx 0.228155$$

of the polynomial $1 - 6t + 8t^2 - 4t^3$ appearing in the denominator. Thus we get an asymptotic formula for w_n as an immediate corollary of Theorem 1.2 and (Flajolet and Sedgewick, 2009, Theorem IV.10).

Corollary 1.3 *Let $W(t) = \sum w_n t^n$, where $W = A, B, C, D$, or BC . Then*

$$w_n \sim \frac{W_\alpha}{\alpha^{n+1}},$$

where $W_\alpha := \lim_{t \rightarrow \alpha} (\alpha - t) W(t)$. In particular, the number of (rationally) smooth Schubert varieties w_n grows at the same rate for every finite classical Lie type. Table 3 gives the approximate value of W_α in each type.

	A	B	C	D	BC
W_α	0.045352	0.062022	0.057301	0.067269	0.073972

Tab. 3: Initial constant for the asymptotic number of Schubert varieties by type.

The main focus of this abstract is to explain the notion of staircase diagram and its connections with Coxeter groups. Due to the limited space, we only give a brief outline of the proof of Theorem 1.1 for simply laced Weyl groups. In this case, the adjective “labelled” can be dropped from Theorem 1.1, and we are free to concentrate on staircase diagrams without any additional structure. We also give an overview of the proof of Theorem 1.2, focusing on the simplest case, type A .

2 Billey-Postnikov decompositions

Before defining staircase diagrams, it is helpful to give some motivation. Let (W, S) be a Coxeter system. Given a subset $J \subset S$, let W_J be the parabolic subgroup generated by J . Let W^J denote the set of minimal length left coset representatives of W/W_J . Every element $w \in W$ can be written uniquely as

$w = vu$ for some $v \in W^J$ and $u \in W_J$. This is called the *parabolic decomposition* of w with respect to J . We also let $D_L(w)$ and $D_R(w)$ denote the left and right descent sets of w respectively, and $S(w)$ be the support of w , or in other words the set of simple reflections which appear in some reduced expression for w . Given an element $w \in W$, we say that a parabolic decomposition $w = vu$, $v \in W^J$, $u \in W_J$, is a *Billey-Postnikov (BP) decomposition* if $S(v) \cap J \subseteq D_L(u)$.

Theorem 2.1 (Richmond and Slofstra (a)) *Let $w = vu$ be a parabolic decomposition of w with respect to $J \subset S$. Then the following are equivalent:*

- $w = vu$ is a BP decomposition.
- If P_J is the parabolic subgroup of G corresponding to J , and $X^J(v)$ is the Schubert variety in G/P_J indexed by v , then $X(w)$ is a fibre bundle over $X^J(v)$ with fibre $X(u)$.

Consequently if $w = vu$ is a BP decomposition with respect to J , then $X(w)$ is (rationally) smooth if and only if $X(u)$ and $X^J(v)$ are (rationally) smooth.

A parabolic decomposition (resp. BP decomposition) $w = vu$ with respect to J is *Grassmannian* if $J = S \setminus \{s\}$ and $v \neq e$. Moreover, we say $w \in W$ is (rationally) smooth if $X(w)$ is (rationally) smooth.

Theorem 2.2 (Richmond and Slofstra (a)) *Let W be a finite Weyl group. An element $w \in W$ is rationally smooth if and only if we can write $w = v_k \cdots v_1$, where $v_i(v_{i-1} \cdots v_1)$ is a Grassmannian BP decomposition with respect to some J_i and $X^{J_i}(v_i)$ is rationally smooth for each i .*

Theorem 2.3 (Richmond and Slofstra (a)) *Let W be a finite Weyl group. Let $J = S \setminus \{s\}$ and suppose $v \in W^J$. Then the Grassmannian Schubert variety $X^J(v)$ is rationally smooth if and only if either:*

- v is a maximal element in $W_{S(v)}^{J \cap S(v)}$,
- v is one of six infinite families in types B_n or C_n , or v is one of ten elements in types F_4 or G_2 .

In particular, if W is simply-laced and $X^J(v)$ is rationally smooth, then v must be maximal in $W_{S(v)}^{J \cap S(v)}$.

These theorems give a way to generate all (rationally) smooth elements of a finite Weyl group. Specifically, we can build all such elements as iterated BP decompositions $v_k \cdots v_1$, where each v_i is one of the elements listed in Theorem 2.3. However, two issues arise. First, given an iterated BP decomposition $v_{k-1} \cdots v_1$, we would like to know which elements v_k listed in Theorem 2.3 give a BP decomposition $v_k(v_{k-1} \cdots v_1)$. Second, a rationally smooth element w might have more than one iterated BP decomposition; we would like to generate rationally smooth elements bijectively. To resolve these issues, we introduce the notion of a staircase diagram.

3 Staircase diagrams on graphs

The rigorous definition of a staircase diagram requires some standard terminology concerning posets and graphs. Specifically, if (X, \preceq) is a poset, recall that $x' \in X$ covers $x \in X$ if $x' \succ x$ and there is no $y \in X$ with $x' \succ y \succ x$. A subset $Y \subset X$ is a chain if it is totally ordered, and saturated if $x' \succ y \succ x$ for some $x', x \in Y$ implies that $y \in Y$. Given $A, B \subseteq X$, we say that $A \prec B$ if $a \prec b$ for all $a \in A, b \in B$. Since we will eventually be working with Coxeter-Dynkin diagrams, we use S to denote the vertex set of a graph Γ , which we fix for this section. Given $s, t \in S$, we write $s \text{ adj } t$ to mean that s is adjacent to t , or in other

words that there is an edge between s and t in Γ . We allow Γ to have multiple edges between two vertices, since Coxeter-Dynkin diagrams can have this property. However, the staircase diagrams only depend on whether s and t are adjacent (and since we focus on simply-laced Coxeter groups, edge multiplicities are not important). Throughout the paper, we assume that Γ does not have any loops. We say that a subset $B \subset S$ is connected if the induced subgraph with vertex set B is connected, and that $B, B' \subset S$ are adjacent if some element of B is adjacent to some element of B' . Finally, given a collection $\mathcal{D} \subseteq 2^S$ and a vertex $s \in S$, we let

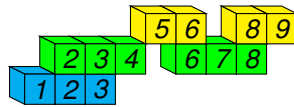
$$\mathcal{D}_s := \{B \in \mathcal{D} \mid s \in B\}.$$

Definition 3.1 Let $\mathcal{D} = (\mathcal{D}, \preceq)$ be a partially ordered subset of 2^S not containing the empty set. We say that \mathcal{D} is a staircase diagram if the following are true:

1. Every $B \in \mathcal{D}$ is connected, and if B covers B' then $B \cup B'$ is connected.
2. The subset \mathcal{D}_s is a chain for every $s \in S$.
3. If $s \text{ adj } t$, then $\mathcal{D}_s \cup \mathcal{D}_t$ is a chain, and \mathcal{D}_s and \mathcal{D}_t are saturated subchains of $\mathcal{D}_s \cup \mathcal{D}_t$.
4. If $B \in \mathcal{D}$, then there is some $s \in S$ (resp. $s' \in S$) such that B is the minimum element of \mathcal{D}_s (resp. maximum element of $\mathcal{D}_{s'}$).

This definition is meant to formalize an arrangement of blocks sitting over a graph, such that the blocks overlap each other in a particular way. Note that elements of the set \mathcal{D} are called *blocks*. We now consider some specific examples illustrating the different parts Definition 3.1.

Example 3.2 The picture



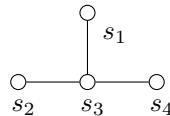
represents a staircase diagram \mathcal{D} over a simple path with vertices $S = \{s_1, \dots, s_9\}$, where s_i is adjacent to s_{i+1} . The elements of \mathcal{D} correspond to connected blocks of uniform color in this diagram (for notational simplicity, we pictorially label s_i by i), so

$$\mathcal{D} = \{\{s_1, s_2, s_3\}, \{s_2, s_3, s_4\}, \{s_5, s_6\}, \{s_6, s_7, s_8\}, \{s_8, s_9\}\}.$$

The covering relations for \mathcal{D} are given by the vertical adjacencies. In this case, \mathcal{D} has covering relations

$$\{s_1, s_2, s_3\} \prec \{s_2, s_3, s_4\} \prec \{s_5, s_6\} \text{ and } \{s_6, s_7, s_8\} \prec \{s_5, s_6\} \text{ and } \{s_6, s_7, s_8\} \prec \{s_8, s_9\}.$$

Example 3.3 Let Γ be the graph



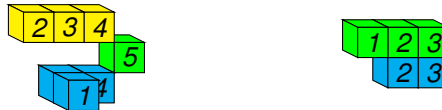
and consider the diagrams



The first staircase diagram is $\{\{s_1, s_3, s_4\}, \{s_2, s_3, s_4\}\}$ with $\{s_1, s_3, s_4\} \prec \{s_2, s_3, s_4\}$ and the second diagram is $\{\{s_2\}, \{s_4\}, \{s_1, s_3\}\}$ with covering relations $\{s_2\} \prec \{s_1, s_3\}$ and $\{s_4\} \prec \{s_1, s_3\}$.

Part (1) of Definition 3.1 states that the block of a diagram must be a connected subset of the vertices, and that blocks can only touch if they contain common or adjacent vertices. Part (2) of the definition states that blocks with a common vertex must be comparable, or in other words must be stacked one over the other. The following example show violations of parts (3) and (4) of Definition 3.1:

Example 3.4 Consider the diagrams:



The first diagram violates part (3) of Definition 3.1 since the chain \mathcal{D}_{s_4} is not a saturated subchain of $\mathcal{D}_{s_4} \cup \mathcal{D}_{s_5}$. The second diagram violates part (4) of Definition 3.1 since the block $\{s_2, s_3\}$ is not maximal in $\mathcal{D}_{s_2} = \mathcal{D}_{s_3}$.

Given $J \subset S$, we define $\mathcal{D}_J := \{B \in \mathcal{D} \mid J \subseteq B\}$. By definition, \mathcal{D}_J will be a chain for every J . Also as consequences of the definition, no block of \mathcal{D} is a subset of any other block, and if two blocks contain a common element or are adjacent, then B and B' are comparable in the partial order on \mathcal{D} .

Definition 3.1 is symmetric with respect to reversing the partial order \preceq on \mathcal{D} , so we can make the following definition:

Definition 3.5 If \mathcal{D} is a staircase diagram, then $\text{flip}(\mathcal{D})$ is the staircase diagram with the reverse partial order.

To get the pictorial diagram for $\text{flip}(\mathcal{D})$, we simply flip the diagram from top to bottom. If \mathcal{D} is the diagram in Example 3.2, then $\text{flip}(\mathcal{D})$ is the first diagram given in the introduction. We finish the section with the following definition:

Definition 3.6 The support of a staircase diagram \mathcal{D} is the set of vertices

$$S(\mathcal{D}) := \bigcup_{B \in \mathcal{D}} B.$$

We say \mathcal{D} is connected if the support is a connected subset of the base graph. A subset $\mathcal{D}' \subset \mathcal{D}$ is a subdiagram if \mathcal{D}' is a saturated subset of \mathcal{D} .

It is easy to see that a subdiagram of a staircase diagram with the induced partial order is a staircase diagram in its own right. Every staircase diagram \mathcal{D} is a union of connected subdiagrams supported on the connected components of $S(\mathcal{D})$.

4 Staircase diagrams on Coxeter systems

Let (W, S) be a Coxeter system, and regard S as the vertex set of the Coxeter-Dynkin graph. We say that a subset $J \subseteq S$ is spherical if the parabolic subgroup W_J is finite.

Definition 4.1 We say that a staircase diagram \mathcal{D} is spherical if every $B \in \mathcal{D}$ is spherical.

Staircase diagrams can be used to describe iterated Billey-Postnikov decompositions. Specifically, given a staircase diagram \mathcal{D} on a Coxeter system (W, S) , define functions $J_R, J_L : \mathcal{D} \rightarrow S$ by

$$J_R(B) \cong J_R(B, \mathcal{D}) := \{s \in B \mid B \neq \min\{\mathcal{D}_s\}\} \text{ and}$$

$$J_L(B) \cong J_L(B, \mathcal{D}) := \{s \in B \mid B \neq \max\{\mathcal{D}_s\}\} = J_R(B, \text{flip}(\mathcal{D})).$$

Definition 4.2 Given a spherical staircase diagram \mathcal{D} on a Coxeter-Dynkin diagram, let $\bar{\lambda}(B)$ be the maximal element of $W_B^{J_R(B)}$, and set

$$\Lambda(\mathcal{D}) := \bar{\lambda}(B_n)\bar{\lambda}(B_{n-1}) \cdots \bar{\lambda}(B_1) \in W, \tag{1}$$

where B_1, \dots, B_n is some linear extension of the poset \mathcal{D} .

If B and B' are incomparable in \mathcal{D} , then B, B' are disjoint and non-adjacent by Definition 3.1. In particular, $\bar{\lambda}(B)$ and $\bar{\lambda}(B')$ commute and thus $\Lambda(\mathcal{D})$ does not depend on the choice of linear extension. Also,

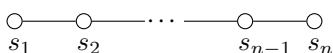
$$J_R(B_i) = B_i \cap (B_{i-1} \cup \cdots \cup B_1)$$

for every $i = 1, \dots, n$, and by definition $\bar{\lambda}(B_i) \in W^{J_R(B_i)}$, so the product in equation (1) is reduced in the sense that

$$\ell(\Lambda(\mathcal{D})) = \ell(\bar{\lambda}(B_n)) + \cdots + \ell(\bar{\lambda}(B_1)).$$

Moreover, we have that $S(\Lambda(\mathcal{D})) = S(\mathcal{D})$.

Example 4.3 The Coxeter-Dynkin diagram of A_n is the simple path of length n , with vertex set s_1, \dots, s_n .



The staircase diagram \mathcal{D} in Example 3.2 can be considered as a staircase diagram over the Coxeter group A_9 . This diagram has a linear extension

$$\{s_1, s_2, s_3\}, \{s_2, s_3, s_4\}, \{s_6, s_7, s_8\}, \{s_5, s_6\}, \{s_8, s_9\},$$

with

$$J_R(\{s_2, s_3, s_4\}) = \{s_2, s_3\}, \quad J_R(\{s_5, s_6\}) = \{s_6\}, \quad J_R(\{s_8, s_9\}) = \{s_8\},$$

$$\text{and } J_R(\{s_1, s_2, s_3\}) = J_R(\{s_6, s_7, s_8\}) = \emptyset.$$

Thus

$$\bar{\lambda}(\{s_2, s_3, s_4\}) = s_2s_3s_4, \quad \bar{\lambda}(\{s_5, s_6\}) = s_6s_5, \quad \bar{\lambda}(\{s_8, s_9\}) = s_8s_9,$$

$$\bar{\lambda}(\{s_1, s_2, s_3\}) = s_1s_2s_3s_1s_2s_1 \quad \text{and} \quad \bar{\lambda}(\{s_6, s_7, s_8\}) = s_6s_7s_8s_6s_7s_6$$

and

$$\Lambda(\mathcal{D}) = (s_8s_9)(s_6s_5)(s_6s_7s_8s_6s_7s_6)(s_2s_3s_4)(s_1s_2s_3s_1s_2s_1).$$

The main theorem of this section states that we can recover information about $\Lambda(\mathcal{D})$ from the diagram \mathcal{D} alone.

Theorem 4.4 *Let \mathcal{D} be a spherical staircase diagram. Then:*

1. $\Lambda(\text{flip}(\mathcal{D})) = \Lambda(\mathcal{D})^{-1}$.
2. $D_R(\Lambda(\mathcal{D})) = \{s \in S \mid \min(\mathcal{D}_s) \preceq \min(\mathcal{D}_t) \text{ for all } s \text{ adj } t\}$.
3. $D_L(\Lambda(\mathcal{D})) = D_L(\mathcal{D}) := \{s \in S \mid \max(\mathcal{D}_s) \succeq \max(\mathcal{D}_t) \text{ for all } s \text{ adj } t\}$.
4. *Suppose $\Lambda(\mathcal{D}) = vu$ is the parabolic decomposition with respect to a subset $J \subset S$. Then*

$$S(v) = \bigcup_{\substack{s \notin J \\ B \succeq \min(\mathcal{D}_s)}} B.$$

With Theorem 4.4 we can make a connection between staircase diagrams and BP decompositions.

Corollary 4.5 *Let \mathcal{D} be a staircase diagram with a linear ordering B_1, \dots, B_n , and let \mathcal{D}^i be the subdiagram $\mathcal{D}^i := \{B_1, \dots, B_{i-1}\}$, $i = 2, \dots, n$. Then*

$$\Lambda(\mathcal{D}^{i+1}) = \bar{\lambda}(B_i) \cdot \Lambda(\mathcal{D}^i)$$

is a BP decomposition with respect to $S(\mathcal{D}^i)$ for every $i = 2, \dots, n$.

5 The bijection theorem

A complete BP decomposition of an element $w \in W$ is a factorization $w = v_n \cdots v_1$ such that $v_i(v_{i-1} \cdots v_1)$ is a Grassmannian BP decomposition for every $i = 2, \dots, n$. We say that w has a complete maximal BP decomposition if each v_i is maximal in $W_{S(v_i)}^{S(v_i) \cap J_i}$, where $J_i = S(v_{i-1} \cdots v_1)$. It is not hard to see that w has a complete maximal BP decomposition if and only if $w = v_n \cdots v_1$, where $v_i(v_{i-1} \cdots v_1)$ is a (not necessarily Grassmannian) BP decomposition with respect to $J_i = S(v_{i-1} \cdots v_1)$, and each v_i is maximal in $W_{S(v_i)}^{S(v_i) \cap J_i}$.

Theorem 5.1 *Let (W, S) be any Coxeter group, and let Γ be the Coxeter-Dynkin graph. Then the map $\mathcal{D} \mapsto \Lambda(\mathcal{D})$ defines a bijection between spherical staircase diagrams on Γ and elements of W with a complete maximal BP decomposition.*

By Corollary 4.5, we already know that $\Lambda(\mathcal{D})$ will have a complete maximal BP decomposition. Thus the content of the theorem is that every element with a complete maximal BP decomposition comes from one (and exactly one) staircase diagram. As a corollary, we recover Theorem 1.1 in the simply-laced case:

Corollary 5.2 *If W is a simply-laced finite Weyl group with Coxeter-Dynkin graph Γ , then the map $\mathcal{D} \mapsto \Lambda(\mathcal{D})$ defines a bijection between staircase diagrams \mathcal{D} on Γ , and smooth elements of W .*

Proof: Apply Theorems 2.2, 2.3, and 5.1. □

The main challenge in proving Theorem 5.1 is showing that the map is injective. For this, we make the following definition:

Definition 5.3 *If $w \in W$, let $\text{bp}(w) := \{s \in S \mid w \text{ has a BP decomposition with respect to } S \setminus \{s\}\}$.*

If \mathcal{D}_1 and \mathcal{D}_2 are two staircase diagrams with $\Lambda(\mathcal{D}_1) = \Lambda(\mathcal{D}_2)$, then obviously $\text{bp}(\Lambda(\mathcal{D}_1)) = \text{bp}(\Lambda(\mathcal{D}_2))$. The next theorem shows that $\text{bp}(\Lambda(\mathcal{D}_i))$ can be determined from the maximal blocks of \mathcal{D}_i , making it possible to prove that $\mathcal{D}_1 = \mathcal{D}_2$ inductively.

Theorem 5.4 *If \mathcal{D} is a spherical staircase diagram, then*

$$\text{bp}(\Lambda(\mathcal{D})) = \bigcup_{B \in \max(\mathcal{D})} B \setminus J_R(B),$$

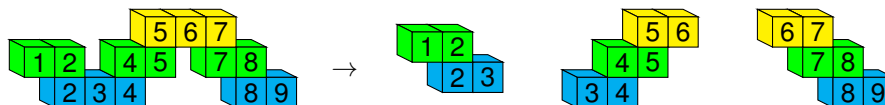
where $\max(\mathcal{D})$ is the set of maximal blocks of \mathcal{D} .

6 Sketch of the enumeration

In this section, we outline the fundamental principals used to enumerate staircase diagrams and prove Theorem 1.2 in the type A case. If Γ contains a unique path connecting $t, r \in S$, let $[t, r] \subseteq S$ denote the vertices of this path with endpoints included. We begin with a few important definitions on staircase diagrams.

Definition 6.1 *We say $s \in S$ is critical point of \mathcal{D} if $|\mathcal{D}_s| = 1$. The collection of critical points is called the critical set of \mathcal{D} . Furthermore, we say \mathcal{D} is an elementary diagram if the critical set of \mathcal{D} is contained in the leaves of the support $S(\mathcal{D})$.*

We enumerate staircase diagrams by first decomposing a diagram into elementary diagrams along critical points. For example, we have



For this reason, we focus on enumerating elementary diagrams. We consider a particular family of graphs and the relationship between their elementary diagrams. Suppose we have a fixed graph Γ with distinguished vertex $s \in S$, and vertex set S of size q . Define the graph Γ_{q+p} to be the graph where we attach a line graph of p vertices to the vertex s and let S_n denote the set of vertices in Γ_n . In particular, $\Gamma_q = \Gamma$ and for any $n \geq q$, Γ_n is a graph with n vertices. Set $s_q = s$, and for $n > q$ let s_n denote the new leaf in the graph Γ_n . Next, define $Z_\Gamma(n)$ to be the set of fully supported elementary diagrams on the graph Γ_n where s_n is a critical point. Define

$$Z_\Gamma^+(n) := \{\mathcal{D} \in Z_\Gamma(n) \mid s_n \text{ is contained in a maximal block}\}.$$

We define $Z_\Gamma^-(n)$ similarly by requiring that s_n be contained in a minimal block. If $\mathcal{D} \in Z_\Gamma^+(n)$ then the maximal block $B_{\mathcal{D}} := \max(\mathcal{D}_{s_n})$ is unique. The following is an algorithm for constructing elementary diagrams in $Z_\Gamma^+(n+1)$ from elementary diagrams in $Z_\Gamma^+(n)$.

Definition 6.2 *Let $\mathcal{D} \in Z_\Gamma^+(n)$ and let $P(B_{\mathcal{D}})$ denote the collection of connected, proper, and nonempty subsets of $B_{\mathcal{D}}$ containing s_n . Define the set of staircase diagrams $\mathfrak{G}_p(\mathcal{D}) \subseteq Z_\Gamma^+(n+p)$ as follows. First define*

$$\mathfrak{G}_1(\mathcal{D}) := \{\mathcal{D}^0\} \cup \bigcup_{B' \in P(B_{\mathcal{D}})} \{\mathcal{D}^{B'}\}$$

where $\mathcal{D}^0 := \{B^0 \mid B \in \mathcal{D}\}$ with the same covering relations as \mathcal{D} and

$$B^0 := \begin{cases} B & \text{if } B \notin \mathcal{D}_{s_{n-1}} \cup \{B_{\mathcal{D}}\} \\ B \cup \{s_n\} & \text{if } B \in \mathcal{D}_{s_{n-1}} \\ B \cup \{s_{n+1}\} & \text{if } B = B_{\mathcal{D}} \end{cases},$$

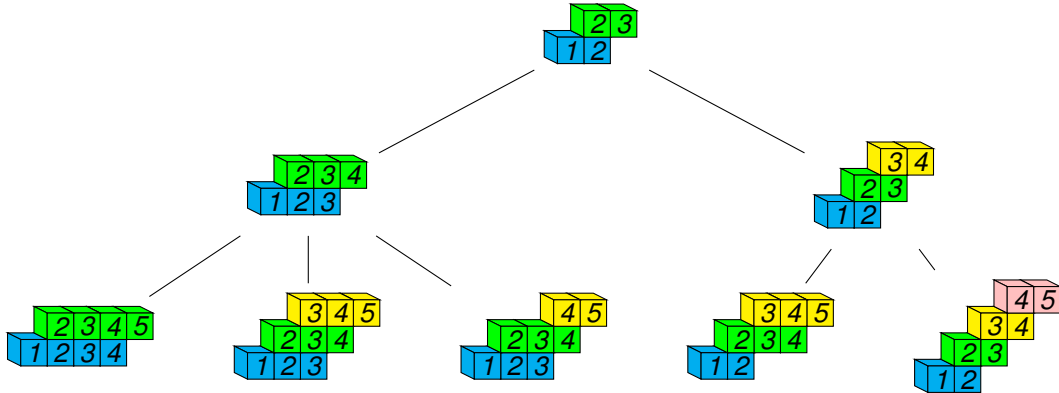
and for $B' \in P(B_{\mathcal{D}})$ we let

$$\mathcal{D}^{B'} := \mathcal{D} \cup \{B' \cup \{s_{n+1}\}\}$$

with the additional covering relation $B_{\mathcal{D}} \prec B' \cup \{s_{n+1}\}$. Recursively define

$$\mathfrak{G}_{p+1}(\mathcal{D}) := \bigcup_{\mathcal{G} \in \mathfrak{G}_p(\mathcal{D})} \mathfrak{G}_1(\mathcal{G}).$$

Example 6.3 Let Γ be the Dynkin graph of type A_3 with $s = s_3$. Then Γ_n is the Dynkin graph of type A_n . If $\mathcal{D} = \{[s_1, s_2] \prec [s_2, s_3]\} \in Z_{\Gamma}^+(3)$, then $\mathfrak{G}_p(\mathcal{D})$ for $p = 1, 2$ are given by the following diagrams:



It is easy to see that if $\mathcal{D} \in Z_{\Gamma}^+(n)$, then $\mathfrak{G}_p(\mathcal{D}) \subseteq Z_{\Gamma}^+(n+p)$. The next lemma follows from the definition of elementary diagram and \mathfrak{G}_p .

Lemma 6.4 If $\mathcal{D}, \mathcal{G} \in Z_{\Gamma}^+(n)$ and $\mathcal{D} \neq \mathcal{G}$, then $\mathfrak{G}_p(\mathcal{D}) \cap \mathfrak{G}_p(\mathcal{G}) = \emptyset$ for all $p > 0$.

Lemma 6.4 implies that the size of the set $\mathfrak{G}_p(\mathcal{D})$ grows predictably. Let $c_n := \frac{1}{n+1} \binom{2n}{n}$ denote the n -th Catalan number.

Proposition 6.5 If $\mathcal{D} \in Z_{\Gamma}^+(n)$ with $n \geq 3$ and $|B_{\mathcal{D}}| = 2$, then $|\mathfrak{G}_p(\mathcal{D})| = c_{p+1}$.

Proof: Note that $B_{\mathcal{D}} = [s_{n-1}, s_n]$ and hence if $\mathcal{D}' \in \mathfrak{G}_p(\mathcal{D})$, then $B_{\mathcal{D}'}$ is a connected interval contained in $[s_n, s_{n+p}]$. Let $c_{p,k}$ denote the number of staircase diagrams $\mathcal{D}' \in \mathfrak{G}_p(\mathcal{D})$ for which $B_{\mathcal{D}'}$ is an interval of size k . It is easy to see from Definition 6.2 that $c_{p,p+2} = 1$ for all $p \geq 0$ and that $c_{p,p+k} = 0$ for all $k \geq 3$. Lemma 6.4 yields the recursion

$$c_{p+1,k} = \sum_{i=k-1}^{p+2} c_{p,i},$$

and the argument can be finished by summing over k . □

In Example 6.3, we have that $|\mathfrak{G}_1(\mathcal{D})| = \mathfrak{c}_2 = 2$ and $|\mathfrak{G}_2(\mathcal{D})| = \mathfrak{c}_3 = 5$.

6.1 Staircase diagrams of type A

Let Γ be the Dynkin graph of type A_1 with $s = s_1$. In the notation of the previous section, Γ_n is the Dynkin graph of type A_n .

Proposition 6.6 *Let $A_Z(t) = \sum_{n=1}^{\infty} z_n t^n$ be the generating series for elementary staircase diagrams of type A_n with full support. Then $A_Z(t) = t + t^2 + 2t^2 \text{Cat}(t)$, where*

$$\text{Cat}(t) := \frac{1 - \sqrt{1 - 4t}}{2t}$$

is the generating series for Catalan numbers.

Proof: It easy to check that $z_1 = 1$, $z_2 = 3$ and $z_3 = 2$. In particular, $Z_{\Gamma}^+(3)$ contains the single staircase diagram $\mathcal{G} := \{[s_1, s_2] \prec [s_2, s_3]\}$. Since the Dynkin diagram of type A_n has only two leaves, every elementary staircase diagram is a chain. Consequently, if $n \geq 3$ then the set of elementary staircase diagrams with full support is $Z_{\Gamma}^+(n) \cup Z_{\Gamma}^-(n)$. In addition, we can check that $Z_{\Gamma}^+(n) = \mathfrak{G}_{n-3}(\mathcal{G})$. By Proposition 6.5,

$$A_Z(t) = t + 3t^2 + 2 \sum_{n=1}^{\infty} \mathfrak{c}_n t^{n+2} = t + t^2 + 2t^2 \sum_{n=0}^{\infty} \mathfrak{c}_n t^n.$$

□

Proposition 6.7 *Let $\bar{A}(t) := \sum_{n=1}^{\infty} \bar{a}_n t^n$ by the generating series for staircase diagrams over A_n with full support. Then $\bar{A}(t) = t^2/(2t - A_Z(t))$.*

Proof: Let \mathcal{D} be a staircase diagram of type A_n with full support. Let s_k, s_n be the critical points of \mathcal{D} with the two largest indices. We can write \mathcal{D} as the union of two staircase diagrams $\mathcal{D}', \mathcal{D}''$ where we intersect each block of \mathcal{D} with $[s_1, s_k]$ and $[s_k, s_n]$ respectively. Then \mathcal{D}' is a staircase diagram of type A_{n-k} with full support. Furthermore, \mathcal{D}'' is an elementary diagram with support $[s_k, s_n]$. Hence

$$\bar{a}_n = \sum_{k=1}^{n-1} \bar{a}_k z_{n+1-k}.$$

This implies that

$$t^2 = \sum_{n=1}^{\infty} \left(\bar{a}_n - \sum_{k=1}^{n-1} \bar{a}_k z_{n+1-k} \right) t^{n+1} = \bar{A}(t)(2t - A_Z(t)).$$

□

Finally, let $A(t) := \sum_{n=0}^{\infty} a_n t^n$ where a_n denotes the total number of staircase diagrams of type A_n . Here we set $a_0 := 1$. Since every staircase diagram is a disjoint union of staircase diagrams with connected support, we conclude:

Theorem 6.8 *The generating series* $A(t) = \frac{1 + \bar{A}(t)}{1 - t - t\bar{A}(t)}$.

Acknowledgements

The authors thank Sara Billey and Jim Carrell for helpful conversations, and Sara Billey for providing data on the number of smooth and rationally smooth Schubert varieties. The first author would like to thank Anthony Kable for some helpful discussions on asymptotics. The second author thanks Mark Haiman for helpful remarks. The pictures of staircase diagrams are based on TikZ code for plane partitions by Jang Soo Kim.

References

- S. Billey and V. Lakshmibai. *Singular loci of Schubert varieties*, volume 182 of *Progress in Mathematics*. Birkhäuser Boston, Inc., Boston, MA, 2000. ISBN 0-8176-4092-4. doi: 10.1007/978-1-4612-1324-6. URL <http://dx.doi.org/10.1007/978-1-4612-1324-6>.
- S. Billey and A. Postnikov. Smoothness of Schubert varieties via patterns in root subsystems. *Adv. in Appl. Math.*, 34(3):447–466, 2005.
- S. C. Billey. Pattern avoidance and rational smoothness of Schubert varieties. *Adv. Math.*, 139(1):141–156, 1998.
- M. Bóna. The permutation classes equinumerous to the smooth class. *Electron. J. Combin.*, 5:Research Paper 31, 12 pp. (electronic), 1998.
- M. Bousquet-Mélou and S. Butler. Forest-like permutations. *Ann. Comb.*, 11(3-4):335–354, 2007. ISSN 0218-0006. doi: 10.1007/s00026-007-0322-1. URL <http://dx.doi.org/10.1007/s00026-007-0322-1>.
- J. B. Carrell and J. Kuttler. Smooth points of T -stable varieties in G/B and the Peterson map. *Invent. Math.*, 151(2):353–379, 2003. ISSN 0020-9910. doi: 10.1007/s00222-002-0256-5. URL <http://dx.doi.org/10.1007/s00222-002-0256-5>.
- P. Flajolet and R. Sedgewick. *Analytic combinatorics*. Cambridge University Press, Cambridge, 2009. ISBN 978-0-521-89806-5. doi: 10.1017/CBO9780511801655. URL <http://dx.doi.org/10.1017/CBO9780511801655>.
- M. Haiman. Enumeration of smooth Schubert varieties. *preprint*. unpublished.
- E. Richmond and W. Slofstra. Billey-Postnikov decompositions and the fibre bundle structure of Schubert varieties. *to appear in Math. Ann.*, a. DOI: 10.1007/s00208-015-1299-4.
- E. Richmond and W. Slofstra. Staircase diagrams and enumeration of smooth Schubert varieties. *preprint*, b. arXiv:1510.06060.