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Failure prognostic schemes and database design of a software tool for efficient management of wind turbine maintenance

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Abstract

Wind Turbines require numerous and varied types of maintenance activities throughout their lifespan, the frequency of which increases with years in operation. At present the proportion of maintenance cost to the total cost for wind turbines is significant particularly for offshore wind turbines (OWT) where this ratio is ~35%. If this ratio is to be reduced in-spite of adverse operating conditions, pre-mature component failures and absence of reliability database for wind turbine components, there is a need to design unconventional maintenance scheme preferably by including novel failure prediction methodologies. Several researchers have advocated the use of Artificial Neural Networks (ANN), Bayesian Network Theory (BNT) and other statistical methods to predict failure so as to plan efficient maintenance of wind turbines, however novelty and randomness of failures, nature and number of parameters involved in statistical calculations and absence of required amount of fundamental work required for such advanced analysis have continued to maintain the high cost of maintenance. This work builds upon the benefits of condition monitoring to design methods to predict generic failures in wind turbine components and exhibits how such prediction methods can assist in cutting the maintenance cost of wind turbines. This study proposes using a dedicated tool to assist with failure prediction and planning and execution of wind turbine maintenance. The design and development of such an all-inclusive tool will assist in performing administrative works, inventory control, financial calculations and service management apart from failure prediction in wind turbine components. Its database will contain reference to standard management practices, regulatory provisions, staff details and their skillsets, service call register, troubleshooting manuals, installation guide, service history, details of customers and clients etc. that would cater to multiple avenues of wind turbine maintenance. In order to build such a software package, a robust design of its database is crucial. This work lists prerequisites for choosing a physical database and identifies the benefits of relational database software in controlling large amounts of data of various formats that are stored in such physical databases. Such a database would be an invaluable resource for reliability studies, an area of interest for both academic researchers and the industry that are identifying avenues to economise wind turbine operations.

Keywords: wind turbines, failure prediction, condition based maintenance, artificial neural network, Bayesian network, maintenance tool, database, offshore wind turbines, efficient maintenance, reliability database

1. Introduction

Early failure detection is critical in planning for maintenance and preventing failure. However for Wind Turbines, that is a prominent source of electrical energy in many countries having both onshore and offshore variants, failure prediction is full of challenges. Partly, this is due to the large number of components in wind turbines (an offshore wind Turbine contains >10,000 components) that gives rise to thousands of root causes and failure combinations (10,000 components if on an average fail due to 5 root causes in 5 different types, this would give a total of 250,000 root cause and failure combinations). As an example, root causes of failures for wind turbine generator are varied and result in electrical faults, stator and rotor faults, fault in power electronic devices, sensors and associated circuits, etc. Common failures in wind turbine gearbox are pitting, spalling, tooth breaking, bearing ring and bearing roller failures^{1,2}, etc. that arise from loss of lubricants, excessive vibration in some loose components etc. Similarly failure in turbine hub can occur from cracks, misalignment of blades, prolonged interaction with wind, dust and ice build-up, etc. whereas failure in blades can occur due to unbalanced masses, aerodynamic asymmetry and misalignment³. Failure in the main shaft can occur due to fatigue, misalignment, expansion, friction, wind turbulence, cavitation, inherent defects⁴ etc. whereas common failures in the electrical system are short and open circuits, fused, burning, malfunction of electronic and electrical parts^{5,6}, sensor and controllers failures etc. Many of these failure occur due to design and manufacturing faults^{7,8,9,10,11}. Similarly there are many other types of failures in wind turbine components^{12,13,14,15,16,17,18,19}.

Failure prediction for wind turbine components is also challenging as historically rotating machineries were largely used under controlled conditions for which reliability data exists, however their operation under stochastic conditions, like offshore weather and onshore windy terrains, is new and not well understood. The confidentiality surrounding wind turbine component failures has made it difficult to build a reliability database that has further made it difficult to study such failures and design methods to predict failures and use existing prediction methods. It is important that as a first step a generic framework for studying failures and reliability studies is built that can later be expanded to meet site or turbine specifications. Design of such a reliability database has been proposed by Sinha (2015)²⁰.

A major benefit of failure prediction is the lead time to failure that gives time to optimise activities, a practice that can control costs by availing best suited resources at most economical prices and avoiding purchase of high cost items on short notices. This is of particular interest to offshore wind turbine (OWT) operators due to their higher maintenance and operating costs as compared to onshore wind turbine (OnWT) operators (maintenance cost to total cost ratio for OWT and OnWT are ~35% and ~15%^{21,22}respectively). Using failure prediction, an offshore vessel of correct capacity can be hired to meet the specific requirement of maintenance rather than paying higher amount for bigger offshore vessels for urgent requirements. Offshore transportation being very costly, can cost thousands to tens of thousands of pounds per day²³, any saving made on this can be a big overall saving. As OWT have distinct advantages over OnWT^{24,25,26} and as their maintenance is costlier than OnWT maintenance cost, in this work, whenever a discussion is done about cutting costs, the work will take case scenario of OWT. However challenges associated with controlling high cost of wind

turbine maintenance is not only related to predicting failures. They are multifaceted and involve costs and difficulties associated with management of transportation, inventory (spares), skilled and unskilled manpower, health and safety, cost of offsetting safety risks, cost of maintenances deferment, etc. The cumulative financial impact of these challenges has resulted in elevating the Levelised Cost of Energy (LCoE) that for OWT and OnWT are $\sim £130/MW$ and $\sim £110/MW$ (for >5MW OnWT) respectively²⁷, whereas for coat, petroleum, and biomass etc. LCoE is < £100/MW. Thus methods that are developed to control the maintenance cost of OWT and OnWT would invariably indorse this business. In order to cater to these requirements, there is a need for a tool that can assist in performing these functions and cater to the requirements of company-wide departments.

In order to take advantage of the lead time provided by failure prediction and accurately plan for CBM style maintenance, there will a requirement to work with company-wide departments, external regulators and service providers. This will require both time and expenditure. However a tool that is designed and developed to meet such requirements would greatly assist maintenance planners. Such a tool would be a great asset in establishing the root cause of failure and hence to plan appropriate maintenance. For example in rotating parts, like shaft, that fails due to fatigue and cracking, establishing fatigue to be the cause of failure would need to be justified by the higher than normal speed of shaft during operation, a data that would need evidence from speed monitoring of the shaft. Alternatively if misalignment of shaft had caused failure, evidence would be needed for variation in its inclination angle over a period of time. Again this is something that would need monitoring data as evidence. Similarly a shaft that fails due to its internal defects would release higher amount of energy over a period of time before failing when compared to a normal shaft. Numerous such failures need to be studied to determine the true root cause of failure ^{28,,29,30,31,32,33,34,35,36,37,38} in a wind turbine, a number that cannot be managed manually. Hence there is need of a tool that can provide assistance in management of wind turbine maintenance. Some prerequisites for such a tool were discussed by Sinha et al. (2013)³⁹. Such a tool would be different from the available tools that are generally designed to monitor wind turbine components or detect failure, like WASP, WindPRO, SCADA and WANSYS. This novel tool would largely assist with integration of multitude of information, right from automatic failure detection, to anticipating date for next maintenance, to calculating cost of implementing next maintenance, to providing reference to stored information, like regulatory provisions, personal management, call register etc., and right to the administrative aspect of planning maintenance 40,41,42. This work discusses about the usefulness of designing a robust database for such a tool, its prerequisites and advantages.

SECTION A

A1. Planning for a CBM maintenance

Condition Based Maintenance (CBM) is a scheme where maintenance is planned when components of a machine starts to show signs of malfunction, not necessarily a complete failure. CBM has assisted in reducing downtime, lower spares requirements and economise machine maintenance in several industries 43,44,45,46 and this work aims to utilise the benefits of CBM to predict failures in wind turbine components. In this scheme the operation of machine components are monitored using properties like vibration 47,48, acoustics 49,50, strain 51,52, shock 53,54, ultrasonic waves 55,56, viscosity and composition of lubricating oil 57,58, electrical parameters 9, performance parameters 61,62,63, radio waves 64, temperature 65, electrical signals 66,67,68 and others parameters 69,70. Failures in components are then detected by studying these outputs and comparing it to standard results. Once some abnormalities are detected these components are classed as failing and so preventive maintenance is planned. Many supplementary techniques are also used to assist in decoding failures in components, like the use of Fast Fourier Transformation⁷¹, Time-Frequency Representation, Time Scale Decomposition⁷², AM/FM technique⁷³ etc. to analyse electrical signals^{74,75}. The information obtained from monitoring is also useful for designing reliable component^{76,77,78} and reduce component failure. Supervisory Control and Data Acquisition (SCADA) systems, like Wind Power Dashboard, CONCERTO, Wind Net^{79,80} etc. are modules that collect condition monitoring signal from wind turbine controller and transmits it to remote locations where these signals are studied and failures are detected. A Wind Turbine controller collects signal and data from sensors attached to the monitored components and either stores it locally or sends it via a transmitting medium or instruments like SCADA system to remote offices. As sensors need to function correctly for monitoring of components and proper operation of wind turbines, it is important that these sensors are also proactively maintained and checked for any malfunction. To give an example of the role of sensor in wind turbine operation, the outputs of wind vane are used to orient and align the nacelle and blades of wind turbines in the direction of the incoming wind. If due to faulty sensors, this is not done actively, this might result in failures to creep in associated components. Hence, by making use of existing sensors, CBM cuts down cost of special instruments that are useful for failure detection, such as in the case of inspection methods. However cost of sensors, its implementation and periodic services adds to the overall cost to wind turbine maintenance 81,82,83 and so a tradeoff is often established between cost and benefits when deciding upon what and how many components are to be monitor in the wind turbines⁸⁴.

To demonstrate an example of CBM based maintenance planning, let us assume the case of many OWT operating alongside each other in the offshore site. This has been shown in Figure 1. Further let us assume that continuous monitoring of OWT results in the detection of failures in the sub-systems, assemblies, subassemblies and components of various OWT. These failures can be uniquely represented by codes as shown in Figure 1. All such failures and faults in various OWT are compiled in a list called a Failure and Fault List (FFL). Now, based on the policy to decide and plan for maintenance, resources are assembled and maintenance is executed. FFL is useful in deciding on the type and quantity of resources that would be required for any maintenance manoeuvre.

As CBM provides lead time to failure, any staff training or specialised resources can be procured in given time. Any learning from maintenance execution is incorporated in the future trainings.

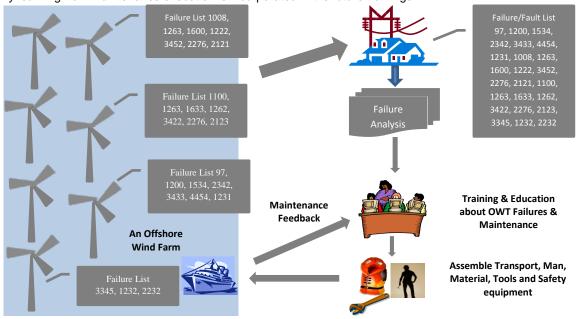


Figure 1 A setup for CBM based offshore wind farm maintenance program

Different wind turbine service operators may use different versions of CBM methodology, however this study recommends using a CBM based maintenance scheme that has been shown in Figure 2 to observe, anticipate and fine tune the anticipation level of failures in wind turbines. In line with the process shown in Figure 1, in Figure 2, the condition of wind turbine parts are monitored for failures on an active basis. The data obtained from online monitoring and any inspections performed on wind turbine components are processed and condition of OWT components are determined. Such analysis is fed into a custom made Bayesian network to anticipate any other additional failures and faults that might have been in incipient mode and was not detected. All such observed and anticipated failures are recorded in FFL. Since, information related to wind turbine failures are not readily available, a customised intelligent system, like Artificial Neural Network, is used to remember and update information related to circumstances surrounding failures, their impact level and other related information. In this way, it will be possible to find tune prediction of various failures with time and conditions surrounding failures. This is important since all wind turbines, especially offshore based wind turbines operate under varied conditions and hence their failures and failure patterns are different. By having knowledge of failures, suitable techniques can be designed to determine vulnerability of wind turbine failure, like by using Reliability Block Diagram. So, by using the concept of Artificial Neural Network and Bayesian Network, an up-to date reliability database can be developed that will only assist in improving the confidence level of anticipating failures in OWT and hence assist in planning better and economical maintenance. By saving time and cost, and by improving maintenance, such a model is of great assistance in optimising wind turbine maintenance.

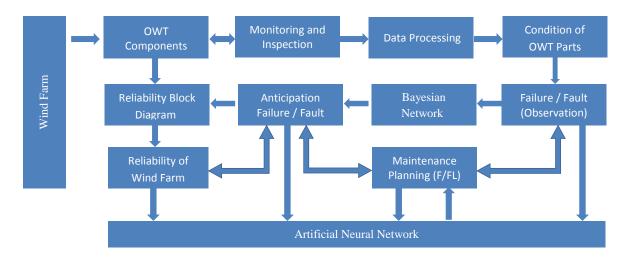


Figure 2 Diagram shows a CBM scheme to plan for wind turbine maintenance

A2. Components in wind turbines

The first step of Figure 2 identifies various components in wind turbines. EU FP7 ReliaWind Consortium has proposed a list of wind turbine components in various subsystems, assemblies and subassemblies of wind turbines. An abridged list of this proposal is shown in Table 1. In this listing there are more than 150 different types of components varying from hose and pump to data and signal cables and switches. Although this listing can be further expanded into much smaller units like subcomponents and its parts, however as a first step towards designing CBM maintenance as shown in Figure 2, for this study the list proposed by EU FP7 ReliaWind Consortium has been taken. This decision has also been made as the cost of maintenance for failures below component level may actually be higher its benefits.

System	Subsystem	Assembly	Subassembly	Component
Wind Turbine	Drive Train Module, Electrical Module, Nacelle Module, Rotor Module, Support Structure, Collection System, Metrological System, Substation	Gearbox, Generator, Main Shaft Set, Auxiliary Electrical System, Control & Communication System, Frequency Converter, Power Electrical System, Hydraulic System, Nacelle Auxiliary, Yaw System, Blade, Pitch System, Foundation	Bearing, Cooling System, Lubrication System, Metrological / Nacelle/ other Sensors, Rotor, Structural & Mechanical, High / Low Speed Side, Mechanical Brake, Electrical Services, Lightening Protection System, Ancillary Equipment, Communication System, Condition Monitoring System	Hose, Pump, Radiator, Thermostat, Motor, Bushing, Case, Mounting, Torque Arm, Filter, Debris/Level/Pressure/Temp Sensor, Fan, Resistance Controller, Lamination, Slip Ring, Encoder, Wattmeter, Magnet, Coupling, Rotor Lock, Shaft, Transformer, High speed / position sensor, Fan, Fuse, Relay, Switch, Power, Point, Pushbutton, Space Heater, Surge, Arrester, UPS, Circuit Breaker, Cable, Analogue Digital I/O, Data logger, Protocol, Adapter Card, CPU, Watch Dog Unit, Control Software, Power / Vibration / Watch Dog Switches

Table 1 An abridged listing of EU FP7 ReliaWind Consortium proposed wind turbine parts

A3. Inspection Techniques for OWT

Several online and offline manual methods are used to inspect wind turbine components. Such inspection techniques are important for condition monitoring OWT components and in establishing and predicting failures. Some of these methods for various components and assemblies of wind turbines have been compiled in Table 1. It can be observed from Table 1 that for majority of components and assemblies, there are more than one inspection techniques. This is because any single inspection technique is limited in its scope and application due to limitations created by its operating principle. For example, Pressure Measurement technique is used apart from Oil analysis and temperature analysis inspection techniques for a Hydraulic system where characterisation of pressure, constituents in oil surrounding temperature are all useful in identifying failures in a Hydraulic system and any one inspection method is incapable of detecting failure characteristics for other methods. However by implementing more than one inspection technique, especially for OWT that contains > 10,000 components, both time and cost associated with monitoring, failure detection and analysis, and designing preventive methods, gets increased. Hence, there is a need for methods that can reduce the requirement for such large number of inspections techniques to detect failures and faults in wind turbine assemblies and components.

WT Units	Inspection Methods	WT Units	Inspection Methods
Blade	Fibre Optic Method	Grid	Controller Failsafe Technique
	Vibration Monitoring Technique	Hub	Variation in Performance Parameter Technique
	Visual Inspection Technique		Vibration Monitoring Technique for Blades
	Strain Measurement Method	Hydraulic System	Hydraulic Oil Analysis Technique
	Variation in Performance Parameter		Hydraulic Oil Temperature Measurement
	Variation in Process Parameter		Visual Inspection Technique
Cable	Cable Twist Sensors Status		Pressure Measurement Technique

	Visual Inspection Technique		Tribology Technique
	Electrical Effects Observation	Low Speed Shaft	Relation to Pitch Angle and Rotor Position
Controller	Visual Inspection Technique		Vibration Measurement Technique
	Process Parameter Variation Technique	Main Bearing	Lubrication oil Analysis
	Thermograph Technique		Temperature Measurement of Main Bearing
Foundation	Corrosion Monitoring Technique		Vibration Monitoring Technique for Main Bearing
	Visual Inspection Technique	Main Shaft	Vibration Monitoring Technique
	Vibration Monitoring of Tower		Accelerometer Technique
Coupler	Visual Inspection Technique		Vibration Monitoring Technique
	Variation in Process Parameter	Metrological	Variation in Wind Speed Method
Gearbox	Gearbox Oil Analysis	Nacelle	Variation in Process Parameter Method
	Component Replacement Technique	Pitching System	Acceleration Measurement Technique
	Online Oil Examination		Vibration Monitoring Technique
	Temperature Monitoring Technique	Tower	Corrosion Monitoring Technique
	Vibration Monitoring Technique		Visual Inspection Technique
	Visual Inspection Technique		Strain Measurement Method
Generator	Visual Inspection Technique		Vibration Monitoring Technique of Tower
	Oil Analysis Technique Generator Bearing	Wind Speed	Variation in Process Parameter Technique
	Temperature Monitoring Technique	Gear Wheel	Visual Inspection Technique
	Variation in Performance Parameter		

Table 1 Various Inspection techniques used for wind turbine components and assemblies 86,87,88,89,90,91,92,93,94,95,96,97

A4. Methods to predict failures in wind turbine components

A4.1. Overall Failure Result Method

A process is proposed here that can assist service personal consolidate results from two or more different inspection techniques and derive information about incipient failures (if any). This process has been shown in Table 2. In this process failures are categorised into three categories, i.e. No Failure (0), Partial Failure (X) and Complete Failure (1) (Table 2 (a)). The process assumes that the results obtained from any inspection technique is correct and truly represents a failure without dispute. As a result if any inspection technique infers No Failure (0), Partial Failure (X) or Complete Failure (1), it is correct (Table 2 (a)). As a result, if one inspection technique does not detect Partial Failure (X) or Complete Failure (1) in a machine, it actually refers to the case of No Failure (0). Results of two or more inspection methods are joined by using a truth table as shown in Table 2(b). So if one inspection method shows complete failure '1', while another inspection method shows no failure '0', this would indicate a complete failure '1' of the component. An application of this process is shown in Table 2(c). Assuming that a machine contains 7 different components, $M_1 - M_7$, where the numbers are assigned in ascending order from either the input or output side of the machine such that adjacent parts are assigned consecutive numbers. Let us assume that three different inspection techniques provided results as shown in Table 2 (c). So by using Table 2(b) one can evaluate the Overall Failure Result (OFR) for different components of the machine that would provide a comprehensive and consolidated report about components who have either failed or are expecting failure. OFR can also assist in predicting failure, like if component M2 and M4 show complete failure, it is unlikely that M₃ would not have experienced any failure, or at least incipient failure. Hence there is a need to maintain M₃ along with all other failed components. So, OFR not only confirms failure in components, it provides information about components where inception of failure may have started to occur, making this method very useful.

Category	Type of
(C)	Failure
0	No Failure
X	Partial
Λ	Failure
1	Complete
1	Failure

(a)

C 1	C 2	(C 1 + C 2)
0	0	0
X	0	X
1	0	1
0	X	X
X	X	X
1	X	1
0	1	1
X	1	1
1	1	1
	(t	n)

	\mathbf{M}_{1}	M_2	M_3	M_4	M_5	M_6	M_7
IR 1	0	1	0	X	0	0	1
IR 2	0	0	0	0	1	X	0
IR 3	X	0	0	1	0	0	0
Overall Failure Result	X	1	0	1	1	X	1

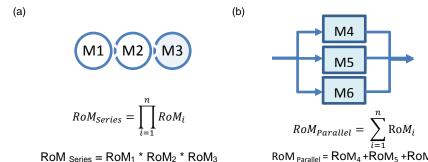
(c)

Table 2 A process to join two or more Inspection Results (IR) (a) categories of failures, (b) Truth Table (c) An example illustrating the process ($M_1 - M_7$ denotes parts of a machine)

A4.2. Reliability Block diagram

A machine is built of many components arranged in series and parallel combinations. Due to proximity of components to each other, failure or fault in in component will have an influence on other adjoining components and the machine as a whole. To illustrate this point, in Figure 3(a) and Figure 3(b), a series and parallel connection of components in a machine are shown. From Figure 3(a) it can be observed that failure in either M1 or M2 will result in the failure of the overall system and would directly influence the performance of M3. However in parallel arrangement shown in Figure 3(b), M4, M5 and M6 operate independent of each other and failure in any one of them does not influence other components. In this case, the machine will only fail when M4, M5 and

M6 were to fail simultaneously. If likelihood of failure of all such components were equally likely, the machine shown in Figure 3(b) would be less likely to fail than machine shown in Figure 3(a). This is the reason why compensatory provisions that introduces additional components in machines to bypass failure are connected in parallel to the working component.



 $RoM_{Series} = RoM_1 * RoM_2 * RoM_3 \qquad RoM_{Parallel} = RoM_4 + RoM_5 + RoM_6$ Figure 3 Calculation of Reliability of Machine (RoM) for machine parts connected in (a) Series, and (b) Parallel

Reliability is defined as the likelihood of a machine or component to perform its intended function under a given condition ⁹⁸. We define a term Reliability of Machine (RoM) to be the expectation that the machine will perform its intended function under given condition. According to the concept of Reliability Block Diagrams, the RoM can be calculated by multiplying the Reliability of its components in series and parallel combinations, as shown in Figure 3. So, Unreliability of Machine (UoM) will equal to (1 - RoM) and will denote the probability of a machine to not perform its intended function under a given condition. This method can be used to determine the reliability of a wind turbine if reliability of its individual components is known or can be established statistically. This is useful to categorise wind turbines in urgent need of maintenance based on its higher likelihood of failure. This is part of the planning process for wind turbine maintenance as shown in Figure 2. However this method has some limitations, like:

- RoM value for feedback circuits has not been defined and hence cannot be calculated,
- This method only provides a quantitative value of reliability and does not provide any information about the type or nature of anticipated failure in components
- Effect of external conditions is not considered while calculating reliability value. This reduces confidence level in the value of reliability, especially for OWT components
- It requires that reliability value of all wind turbine components is known, data of which is difficult to obtain

However this method is useful in finding the reliability of the wind turbines, and expanding it to obtain the reliability of a wind farm, if reliability values of wind turbine components have been identified. Also, if reliability values of modules, in which components are connected in parallel, are found, this method has many advantages.

A4.3. Using Bayesian Network

A Failure Modes Effects and Criticality Analysis (FMECA) database has been made of generic failures in wind turbine gearbox, generator and the electrical systems (Sinha, 2015). Analysis of these failures shows that:

- a root cause of failure can result in one or many failures types and failure modes
- a failure can gives rise to other failures
- combined effect of two or more failures can create situation for another type of failure
- a failure can either have no, moderate or severe effect on power generation capability of OWT
- rectification of a failure does not guarantee a solution or reduced likelihood of occurrence of linked failures
- it is also uncertain that occurrence of a failure explicitly implies the occurrence of its linked failures
- there is a greater certainty in determining the occurrence of a failures when linked to its root failure cause
- the root causes for a failure can have intrinsic, operational, human negligence and environmental factors

In view of such observations, a failure dependency model has been proposed to anticipate likelihood of a failure based on intrinsic, operational, human negligence and environmental factors. Such a method has been used in medical science for treatment, in sports, wireless networks etc⁹⁹ where likelihood of occurrence of one event determines the next course of action. This model uses the fundamentals of Bayes' Theorem¹⁰⁰ and Bayesian Network as each step is linked to the other steps by using conditional probability that determines the likelihood of occurrence of the next step based on the likelihood of its previous steps. This has been shown in Figure 4.

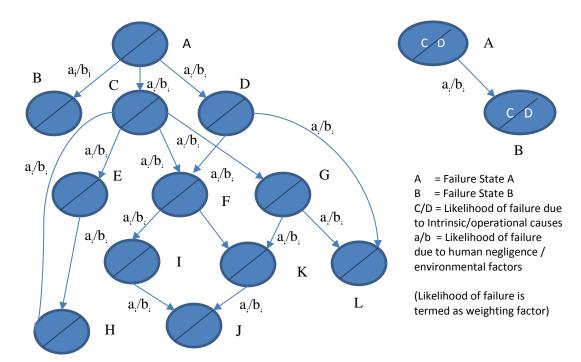


Figure 4 Schematic of a proposed network for determining failure in OWT components and assemblies

This process can be understood by an example of Bayes' Theorem according to which, probability of occurrence of failure type A, given that it's a root cause event B has occurred, can be calculated using:

$$P(A|B) = \frac{P(A).P(B|A)}{P(A).P(B|A) + P(A').P(B|A')}$$
; where A' represents the probability when failure type A does not occur

As an example, suppose that a component fails due to two root causes of failure (R_1 , R_2) whose probability of occurrence are 0.51 and 0.49 respectively. Now suppose that chance of occurrence of failure due to these root causes were 0.1 and 0.65 respectively. So, the probability of occurrence of failure (F) given root causes R_1 and R_2 can be calculated as under:

$$P(R_1|F) = \frac{P(R_1).P(F|R_1)}{P(R_1).P(F|R_1) + P(R_1').P(F|R_1')} = \frac{0.51*0.1}{0.51*0.1 + 0.49*0.65} = 0.138$$

$$P(R_2|F) = \frac{P(R_2).P(F|R_2)}{P(R_2).P(F|R_2) + P(R_2').P(F|R_2')} = \frac{0.49 * 0.65}{0.51 * 0.1 + 0.49 * 0.65} = 0.862$$

Hence, the conditional probability that failure would occur for root causes R_1 and R_2 are 0.138 and 0.862 respectively. One can see that in-spite of the higher value occurrence of R_1 , the likelihood of a failure occurring due to R_1 is lower and coincides with the low value of the likelihood of the failure. Hence this method provides a more realistic figure for anticipating failure.

The model proposed in Figure 4 aims to use the above concept of Bayes' Theorem to interconnect various failure states using the likelihood of occurrence of the four root causes of failures, namely inherent faults 'C', operational causes 'D', human negligence 'a' and external factors 'b', i.e. environmental factors. So by having knowledge of C, D, a and b, transition from one failure state to the next failure state can be determined. Whereas C/D calculates the conditional probability of failure for a particular state, a_i/b_j calculates the conditional probability of failure due to human negligence and environmental factors. Some equations that are used in the process are shown below. These have been listed below.

$$P(A/B) = \frac{P(A \cap B)}{P(B)}; P(A \cap B) = P(A).P(B); P(A_i/E) = \frac{P(A_i).P(\frac{E}{A_i})}{P(A_1)P(\frac{E}{A_1}) + P(A_2)P(\frac{E}{A_2}) + \dots + P(A_n)P(\frac{E}{A_n})}$$

Where P = probability, (A/B) = conditional probability of A given B.

A4.4. Using Artificial Neural Network

It was outlined in Section A4.3 that failures could be determined with greater certainty if knowledge about its root causes of failure was known. So, if a self-learning knowledgebase is built that over a period of time can

store and fine tune the correspondence between root causes of failure and occurrence of the failure, this would improve the accuracy of failure prediction in future. A conceptual model has been proposed in this work that uses a concept similar to Artificial Neural Network as shown in Figure 5. In this method a recurring relationship is established between Root Causes of Failures (RC) and various Failure Types (FT) of a Failure Mode (FM). A mathematical relationship that combines these together has been shown below.

 $FT_j = \sum w_{ji}RC_i$; $E_k = \sum z_{kj}FT_j$; $Y_i = \sum E_k$; where w_{ji} and z_{kj} are scale factors from Bayesian Network Theory.

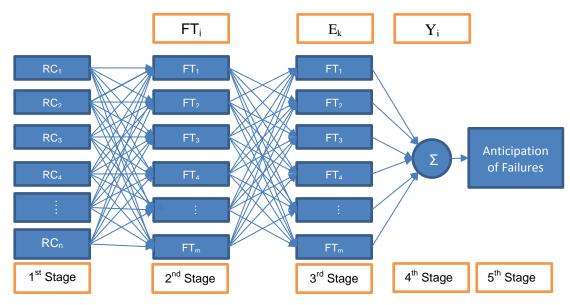


Figure 5 Schematic shows a failure anticipation method using Artificial Neural Network schema

A5. Understanding types of failures in wind turbine components

It was shown in Section 1 and Section A1 that there are numerous types of failures in wind turbines assemblies, subassemblies and components. In Table 3, some generic failures in components of wind turbine gearbox have been shown. For example, gear shafts can become seized, cracked, misfire, misaligned etc. However a gear shaft that has misaligned would also ultimately result is seizure and cracking as a next step. So, if the likelihood of these events can be established, using Section A4.3 and Section A4.4, a model can be developed to predict failure in gearboxes. Similarly, a leaking hose, if left unattended, can fracture and result in the cooling (/lubricating) system to be shunted out of gearbox operation. In the absence of a cooling (/lubrication) system, the gearbox would develop many other types of failures and result increase in frictional energy from shafts that can result in the abnormal expansion of shafts and its ultimate failure. Hence, by inter-relating such failures, with their root causes, and by determining the likelihood of their occurrence, it may be possible to predict failures and design better and economical maintenance. In view of the limitation encountered in getting access to real operational and failure data, there is a need for more work in this particular area.

Assembly	Subassembly	Component	Generic Failures – Failure Modes
Gearbox	Gearbox Bearing	Carrier/Planet/Shaft	Worn, Binding, Sticking, Seized, Jammed, Excessive Play, Dry/No Lubricant, Misaligned, Fitting
	Generator Bearing	Shaft/Rear	Issue, Pitted, Aged, Scored, Corroded, Brinelling, Vibrations, Clogging, Fatigued, Induced
Gearbox	Cooling/Lubrication	Hose	Broken, Worn Out, Cracked/Fractured, Leaking, Induced
Gearbox	Cooling/Lubrication	Pump	Leaking, No Operation, Shorted, Seal/Gasket Failure, Induced, Misalignment, Degraded Operation, Bearing Failure, Mechanical Failure, High Current, Drift, Cooling Failure, No Start Intermittent Operation, Lubrication problem, Burned, Fatigued, Corroded, Cavitation
Gearbox	Gears	Shaft	Seized, Cracked, Warped, Rusted, Induced, Alignment Issue
Gearbox	Gears	Bushing	Loose, Corroded, Misfire, Aged/Deteriorated, Fracture, Loose, Scarred, Induced
Gearbox	Housing	Case	Binding, Excessive Use, Broken, Cracked, Misaligned, Skipping, Induced, Leaking Lubricating Oil
Gearbox	Housing	Mounting	Broken, Excessive Play, Loose, Induced
Gearbox	Lubrication System	Filter	Leaking, Improper Output, Clogged, Degraded operation, Cracked, Broken, Out of Specification, Burst, Warped, media Migration, Channelling
Gearbox	Lubrication System	Seal	Leaking, Cut, Punctured, Aged, Worn, Loose, Induced, Gasket Failure, Cracked
Gearbox	Sensors	-	Degraded Output, Opened, Shorted, No Operation, Zero or Maximum Output, Drifting Output, Closed, Internal Failures, Induced, No Signal Output, Mechanical Failure

Table 3 Some common types of failures in wind turbine gearbox

A6. Advantage of failure prediction on the cost of maintenance: Offshore Wind Turbines

An offshore wind farm contains many OWT along with their steel or concrete foundations, power cables, offshore and onshore substations, onshore power stations for power conditioning and transmission, communication networks and other entities that contribute towards the overall cost of maintaining offshore wind farm. However, as this study is focused around maintenance of wind turbines, cost of other avenues are not considered in this work. If 'MC_{WF}' is the cost of maintaining an offshore wind farm containing 'N' OWT, this is given by $MC_{WF} = \sum_{n=1}^{N} MC_{OWT}$. However failure in OWT assemblies, subassemblies and components, can all be attributed to failure at the component level and so if functions Φ_{ijk} , S_{ijk} and W_{ijk} are defined such that Φ , S and W represent cost of repairing minor failure, fault and component replacement respectively, and 'i', 'j' and 'k', denote assembly, subassembly and component of OWT, the cost of maintenance of OWT. However there are additional costs, like cost of manpower, transport and training (if any), that adds to this overall cost. So the overall cost of offshore wind farm maintenance will be given by:

$$MC_{WF} = \sum_{n=1}^{N} \{\sum_{i=1}^{I} \sum_{j=1}^{J} \sum_{k=1}^{K} \left[\Phi_{ijk} + S_{ijk} + W_{ijk} \right] \}_n + C_{manpower} + C_{transport} + C_{training} \}_n$$

In order to demonstrate the benefit of failure prediction in lowering cost of offshore wind farm maintenance, as an example consider a wind farm with 50 wind turbines in which only the gearbox and generator are monitored for failures and faults. So for example, if condition monitoring of OWT components shows that 12 of 50 OWT have failures or faults, maintenance would be planned for these 12 OWT. For visual representation these failures are marked by symbol 'X' in Table 4. If cost of manpower is £30/hr and the trip requires 10 staff members to work 2 shifts in a day (8 hours each) for 5 days, transportation costs £10,000/day, the cost of training is £10,000 and the overall cost of repairing failures, including spares is £75,000, the overall cost of this maintenance will be £159,000. Now assuming that some failures were predicted (marked as 'Y' in Table 4) whose maintenance cost was of the value £12,000 and which left to itself for a later date would require an offshore visit of 5 staff members for 3 days and cost £45,000 to repair, the cost of this additional maintenance would be £71200(assuming use of smaller offshore vessel costing £5,000/day and training cost £4000). However if such failures were predicted, and included in the first maintenance, the savings made will be £59,200. If such failures were to result in downtime of OWT in a wind farm that leads to revenue losses amounting to £45,000, the overall savings made by failure prediction would equal to £104,200. This is a significant amount to be saved by just investing £12,000 additional amount in latest maintenance.

In real case scenario, there are many other avenues and conditions, and associated cost factors that need to be considered while deciding and designing a maintenance plan for wind turbines. As the number of factors involved in this work is numerous, the next section discusses about design of the database of a software tool that can assist in performing such tasks.

		e	_			_	_	_	_			_		
		Shaft Bearing										>		
		Silent Block			×									
Ш	Structural & Mechanical	gnisuoH		×								×		
Ш		Rear Bearing	>-											>-
Ш		Front Bearing						×	>-			>		>
		Encoder		7			>							
	Sensor	Wattmeter							×					
Þ		Temperature						>-		Г		×		
nera	Rotor	gniA qil2		Г						Г		Г		
n Ge		Controller					×			Г		Г		
Failure in Generator		Exciter	Г	Г	×				Г	×		×		
Ē		Commutator								>-		>		
		Filter	Г			7			7			7		
Ш	Lubricating System	əsoH			×					Г				×
	Lubricating	Seal										×		
		dwnd	×											
	Cooling	əsoH	Г			×				Г				
		Filter										Г		
Ш		Cooling Fan		Г				×		Г				
П	Sensors	Oil Level		>-										
		Debris										Г		×
		Pressure		×								Г		
		Temperature	Г	Г			Г	Г	Г	Г		Г	×	Г
		dwnd			>	×								
	System	Seal							7			Г	×	×
	Lubricating	Filter			7				7				×	>
×		Hose	Г	Г		×				Г			Г	
eart		BuitnuoM	Г	Г			Г	Г	Г	Г	×	Г		Г
Failures in Gearbox	gnisuoH	Sase	Г					×						×
ilire		Buidend								Г	>	×		
൲		Gear			×									
Ш	Gear	Shaft	Г	>-							>			
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	Cooling System	Hose		>-	7						×	Г		
		Coil												
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	Bearing	Carrier		×					>-	Г		Г		>
Ш		Planet				>								
	ת ום	wo	ξĄ	A6	A8	A15	A19	A22	A23	A35	A39	A42	A46	A49
				\perp	L		Ľ	Ľ	Ľ	Ľ	Ľ		L`	Ľ

able 4 Depicts component level failures in an Offshore Wind Farm (X = observed failures, Y = anticipated failures, Z = requires component change)

SECTION B

B1. Introduction

It was discussed in Section 1 that there is need of a dedicated software tool to assist with the planning aspect of wind turbine maintenance. A key prerequisite of such a tool is a robust design of its database to store varied types of data and information, in various formats, of various sizes, for different purposes and for both online and offline data. Hence it is important that such a database be designed with care and meets all requirements of software part of the tool. A database is a combination of physical space and a database software that can assist in managing (insert, update, delete, format, etc.) the data stored in such locations. Such database software in association with a software program builds a software tool.

According to Cambridge dictionary "Relational Database is a computer database that allows the user to find and organise data in many different ways" 101. A Relational Databases (RDB), a database software, divides the physical space into many tables with columns and rows to store data of various formats. These tables and their rows and columns are related to each other by a relationship and hence the term relational database.

Relational Database Management System (RDBMS) is a software tool that assists in handling database structure and its data using commands, like select, insert, delete, update, create table, drop table, where, join, etc. Information about RDB and RDBMS can be obtained from Microsoft SQL Server 2008 Database Development training kit or any book on similar topic. An example of a table containing columns and rows is shown in Figure 6. The table contains anonymised information about two wind farms, their location, turbine model, year of manufacture, installation date and Operator Company. If the name of table was "WindFarm Details", and it was required to obtain information about wind turbine models in the WindFarm of name "WF Sample 1", the following RDBMS command can be used to obtain the result.

COMMAND

SELECT Turbine Model FROM WindFarm Details WHERE WindFarm = 'WF Sample 1'

OUTPUT Model 19 Model 17 Model 19

Model 22

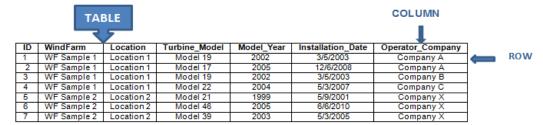


Figure 6 Sample figure illustrating table, column and row architecture of a relational database.

RDB provides the facility of joining multiple tables using a unique column name. Such a linkage of two tables is shown in Figure 7. It is seen that when two tables are linked by unique column name, information between these tables can be shared. For example Fischer Sam, who is an Inspection Engineer and earns £34,600 (from first table) has a degree in Instrumentation Engineering and is HSE trained with Project Management experience (from second table). Although it is possible to combine tables, working with large tables are time consuming, takes more processing time of computer and can bottleneck the database. Hence tables with many columns are often divided into smaller tables containing fewer columns using a method called Normalisation without losing any information 102,103 and is normally performed for any large databases. This work has designed a 3rd Order Normalised database for use in SQL database software environment.

es		Emp_Code	Emp_FName	Emp_LName	Salar	y Position	7		
lable		025	John	Kerry	40,00	0 Wind Farm Manager	1		
<u>e</u>	7	095	Fischer	Sam	34,60	0 InspectionEngineer	7		
<u>.</u>	' [128	Preet	Singh	33,65	Monitoring Specialist	1		
. ≥ 1	. [112	Samual	Jackson	58,00	0 Head Inspections			
ing of		Emp_Code	Q1			Q2	Q3	Q4	Q5
Linki		025	Degree Power Engineering			Post Graduate Electrical Engineering	MBA (Services)	HSE Trained	Project Mgmt.
		095	Degree Instrun	nentation Engine	ering	HSE Trained	Project Mgmt.		
		128		nics Engineerin	g	Six Sigma Qualified			
		112	PhD Electrical	Engineering		MBA (Marketing)	HSE Trained	PMP	APMP

Figure 7 Figure shows linking of two Tables so that information between them can be shared

B2. Database architecture for software package

The database of the software tool planned for wind turbines, Enterprise Resource Planning Software for Offshore Wind Turbines Maintenance (ERP-OWTM) has been divided into 3 distinct parts on purpose. All the parts contain a 3rd order Normalised database for data storage. This is shown in Figure 8. In Database Section A, identification of all wind turbine components (uniquely identified by special codes), their monitored data and their conditions are identified and stored. The status of component health and failures are recorded as unique codes. In Database Section B, data about inventory, spares, service standards, HSE regulations, finance, etc. are stored for ready reference by software program. The software program modules use these data to plan maintenance. In Database Section C, processed data or information is stored. For example, a software program module that caters to a query of estimating the time till next maintenance would use data from Section A and Section B, and save its output result in Database Section C. The result of these queries can be referenced at a later date. Similarly, a program module that stores information for HSE compliance about number of accidents and deaths during maintenance execution, and any special hazards encountered during work etc. would reference Section A and Section B data to generate a result that it would store in Section C.

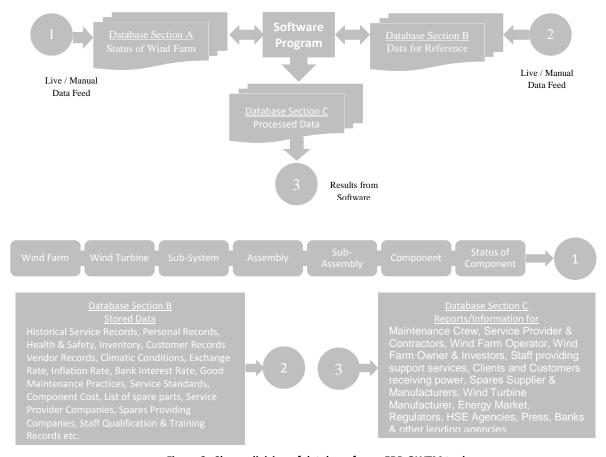


Figure 8 Shows division of database for an ERP-OWTM tool

B3. Prerequisites from physical database and its controlling software

The prerequisites for choosing a database and its controlling software for use in ERP-OWTM are many. These have been summarized in Table 5. Any database software that fulfils these requirements would be an ideal choice for fabricating Enterprise Resource Planning Software for OWT Maintenance (ERP-OWTM). In this work SQL 2008 has been used for developing a database for supporting ERP-OWTM software package as it satisfies majority of the prerequisites listed in Table 5.

Support for	Required Characteristics from Database Software
Large Volume of data	Condition Monitoring, SCADA systems very quickly generate gigabytes of data. Selected Database
Large volume of data	software should be able to support large volumes of data.
Relationship	Stored data would have relationship to each other hence the chosen database should be capable of
Relationship	simultaneously establishing and handling many relationships between data
Formats of Data	Incoming data are in various formats, hence database software should be able to support various formats
1 Offilats of Data	of data, like integer, floating number, date, picture
User Interface	Software would be used by trained and unskilled personal, so its user interface need to be simple and
Oser interface	easy to understand and navigate
Safety / Privacy	Since multiple users will logon to the database software simultaneously, hence viewing selected data need
Salety / Privacy	to be restricted by user authorisation
Delichility	Database should ensure that stored data does not become corrupt with time and hence facility should be
Reliability	there for both local and remote data backup
Commands	Common words enabled user interface would assist unskilled people easily interact with the database
Commands	using the software tool.
Storage / Retrieval	The database software should have easy and short to remember commands that does not take
Storage / Retrieval	appreciable time to execute to storage, manipulate or retrieve data
Evennion	With new modules, the size of database would increase. Hence the selected software should have a
Expansion	scalable architecture
Redundanay	Facility for identification, retrieval and archiving of long time unused, corrupt and unwanted data should be
Redundancy	present. This is essential for housekeeping.
Protection of stored Data	Accidental deletion of related data should be avoided by the database software least it would form
Protection of Stored Data	redundant sets of data
Access and Access Time	Database software should provide facility for efficient management of data so that data can be accessed in
Access and Access time	very short interval of time
Migration	Selected database should be compatible with other available databases so that in case of need, data can
Migration	be transported between different types of databases

Table 5. Characteristics of a database to be used in the development of a software tool for OWT maintenance planning

B4. A nomenclature for Wind Turbine Parts, Failures, Maintenance, Spares

This section discusses about a hierarchical naming convention to uniquely identify all wind turbine subsystems, assemblies, subassemblies and components. The proposed convention assigns and concatenates codes of different levels in a wind turbine to determine an overall code. This is shown in Figure 4. As shown, the code for a carrier bearing has been evaluated to be WDGEBC based on the combination of codes of subsystem, assembly, subassembly and the carrier bearing it. In similar terms, code for a filter in the cooling system of the generator of drive train module would be WDGNCF. Similarly all other parts can be uniquely named and identifiable.

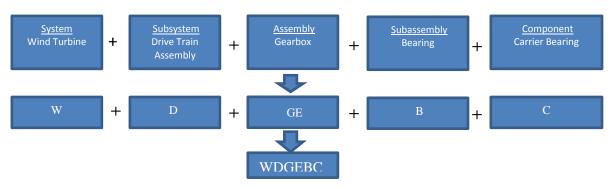


Figure 9. A hierarchical naming convention for wind turbine parts

In a wind farm where there are many wind turbines, to uniquely identify any particular wind turbine, a unique number is assigned prior to the letter 'W'. So for example in a wind farm containing 150 wind turbines, the wind turbines are identified by numbers such as 1W, 2W, ...NW, where N is number of wind turbines in the wind farm. So 56WDGEBC would be the identification code of the carrier bearing in the Gearbox of wind turbine number 56. This convention can be used to reference failures and maintenance of OWT parts. Here failure associated with any component is suffixed with _FXX. For example, 56WDGEBC_F32 would denote a failure type denoted by F32 in the database for the carrier bearing in gearbox of 56 number wind turbines. Similarly, corresponding maintenance can be represented by suffixing the component code with _MXX, where it would refer to the maintenance strategy to failure type _FXX. Spares related to a particular component are denoted by suffixing _SPXX.

B5. Data types

Various types and formats of data would be made available to database of ERP-OWTM from live condition monitoring, offline inspection results, historical service records, troubleshooting manuals, etc. The database must be capable of identifying and storing such diversified formats of data. SQL 2008 offers the facility to handle different formats of data and type definition characteristic using which the data type of a column in a table can be restricted to accept only certain data format. This facility ensures that the database accepts and stores different formats and prevents unrecognised formats or unwanted data to populate the database. Thus by ensuring that only correct data format is entered in database, the database software maintains high level of integrity of the database. For example if a column in a table of the database is configured to accept date of birth of employees, that column will only accept data in the format of a date and not any arbitrary number. Similarly a column that is configured to accept only numbers to represent money, will not accept any characters as an entry. In Table 6 a list of different data types have been shown from the perspective of use in database of ERP-OWTM software package.

Data Type	Comment
varchar	Variable width character string, maximum 8,000 characters
nchar	Fixed width Unicode string, maximum 4,000 characters
bit	Allows 0,1 or null
image	Variable width binary string. Maximum 2GB
smallint	Allows whole numbers, -32,768 and 32,767
int	Allows whole number between -2.14*10 ⁹ and 2.14*10 ⁹
float	Floating precision number, -1.79*10 ³⁰⁸ to 1.79*10 ³⁰⁸
money	Monetary data from -922*10 ¹² to 922*10 ¹²
real	Floating precision number data from -3.4*10 ³⁸ to 3.4*10 ³⁸
date	Stored date only
time	Store a time only
timestamp	Stored unique number that gets updated every time a row gets created or modified
varbinary	Variable width binary string. Maximum 2GB

Table 6. Data Types and Variables used in the database

B6. Tables, Columns and Rows of ERP-OWTM

Various tables can be defined for the database of OWT maintenance software package to accommodate the diverse nature of information sources. This section shows some tables along with the names & data types of its columns. As is seen from Table 7, there are many tables containing variety of information about wind farm, wind turbine and its assemblies. For example the table by name of 'Wind Farm' contains columns that aim to collect information about the location, distance, onshore/offshore, commissioning date etc. for a wind farm such that any wind farm can be uniquely identified. Similarly, table by name of 'Wind Turbine' is designed to store information about all the wind turbines. In the table by name of 'Wind Turbine', there is a column by the name of 'Wind Farm' and is a pointer by the table by similar name so that any wind turbine can be uniquely identified to a wind farm. Similarly the table by the name of 'Wind Turbine Assembly' contains pointers to other tables which contain more detailed information about assemblies of the wind turbine. These tables are linked to the parent table 'Wind Turbine Assembly' using a pointer of 'WT ID'. Such design of the database ensures that only limited number of information is contained within any table to avoid data duplication and reduces chances of database corruption.

Wind Farm	Data	Wind Turbine Assembly	Data	Generator	Data	Frequency C	Data
Wind Farm Name	char	WT ID	Int	WT ID	Int	WTID	Int
Farm ID	Varchar	Main Shaft Set	Char	Generator SN	Int	Module FC SN	Int
Country	Char	Gearbox	char	Generator ID	Int	FC ID	Int
Location	Char	Generator	Char	Manufacturer	Char	Manufacturer	Char
Onshore/Offshore	Char	Auxiliary Electrical	Char	Model	Char	Model	Char
Distance (KM)	smallint	Control & Communication	Char	Туре	Char	Туре	Char
Power Rating (MW)	smallint	Frequency Converter	char	Rating	Int	Rating	Int
Farm Developer	Char	Gearbox	Data	Installation	Date	Installation	Date
Address/Phone	Char	WTID	Int	Last Service	Date	Last Service	Date
Commission Date	Date	Gearbox SN	Int	Last Overhaul	Date	Last Overhaul	Date
Warranty (Years)	Smallint	Manufacturer	Char	Main Shaft	Data	AE Comp Parts	Data
Warranty Expiration	Date	Model	Char	WT ID	Int	Module ID	int
AMC Company	Char	Type	Char	Main Shaft SN	Int	Transformer	char
AMC Period (Years)	smallint	Rating	Char	Main Shaft ID	Int	Circuit Break.	char
AMC Start Date	Date	Installation Date	Date	Manufacturer	Char	Cabinet	char
AMC End Date	Date	Last Service	Date	Model	Char	Fan	char
Wind Turbine	Data	Last Overhaul	Date	Туре	Char	Fuse	char
Wind Farm Name	Char	Aux Electrical System	Data	Rating	Int	Prot. Relay	char
Wind Turbine Name	Char	WTID	int	Installation	Date	Light	char
WT ID	Int	Module SN	int	Last Service	Date	Mech Switch	char
Manufacturer	Char	Module ID	Int	Last Overhaul	Date	Pushbutton	char
Model	Char	Serial Number	Char	Control Com.	Data	Relay	char
Serial Number	Int	Manufacturer	Char	WT ID	Int	Space Heater	char
Installation Date	date	Model	Char	Module SN	Int	Surge Arrest.	char
Cut-in Speed	float	Туре	Char	Module ID	Int	Thermal Prot.	char
Cut-out Speed	Float	Voltage Rating	Int	Manufacturer	Char	UPS	char
Swept Area	Float	Current Rating	Int	Model	Char	Cont Comm Parts	Data
Rated Power	float	Installation Date	Date	Туре	Char	Module ID	Int
Gearbox Parts	Data	Last Service	Date	Rating	Int	Breaker	char
GB SN	int	Last Overhaul	date	Installation	Date	Temp Sensor	char
C Bearing	char	Generator Parts	Data	Last Service	Date	Cable	char
P Bearing	char	GN SN	int	Last Overhaul	Date	Contactor	char
S Bearing	char	Filter	char	Freq Conv Parts	Data	Digital I/O	char
Hose	char	Hose	char	FC ID	int	Bus Master	char
Pump	char	Pump	char	CC Eller	char	Frequency	char
	Cildi	runp	Clidi	CC Filter	Cilai		
Coil	char	Commutator	char	Main Shaft Parts	Data	Condition Cab	char
Coil Hollow Shaft							char char
	char	Commutator	char	Main Shaft Parts	Data	Condition Cab	
Hollow Shaft	char char	Commutator Exciter	char char	Main Shaft Parts MS SN	Data int	Condition Cab Data Logger	char
Hollow Shaft Bushing	char char char	Commutator Exciter Resistance Controller	char char char	Main Shaft Parts MS SN Coupling	Data int char	Condition Cab Data Logger Sensor	char char
Hollow Shaft Bushing Case	char char char char	Commutator Exciter Resistance Controller Slip Ring	char char char char	Main Shaft Parts MS SN Coupling Rotor Lock	Data int char char	Condition Cab Data Logger Sensor Power Supply	char char char
Hollow Shaft Bushing Case Mounting	char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature	char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft	Data int char char char	Condition Cab Data Logger Sensor Power Supply CPU	char char char char
Hollow Shaft Bushing Case Mounting Hose	char char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature Encoder	char char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft Shaft	Data int char char char char	Condition Cab Data Logger Sensor Power Supply CPU Comm Bus	char char char char char
Hollow Shaft Bushing Case Mounting Hose Primary Filter	char char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature Encoder Wattmeter	char char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft Shaft Axial Bearing	int char char char char char char	Condition Cab Data Logger Sensor Power Supply CPU Comm Bus Closed Loop	char char char char char char
Hollow Shaft Bushing Case Mounting Hose Primary Filter Pump Seal	char char char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature Encoder Wattmeter Front Bearing	char char char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft Shaft Axial Bearing Compr Coupler Connect Plate	int char char char char char char char char	Condition Cab Data Logger Sensor Power Supply CPU Comm Bus Closed Loop Emerg Button	char char char char char char
Hollow Shaft Bushing Case Mounting Hose Primary Filter Pump	char char char char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature Encoder Wattmeter Front Bearing Housing	char char char char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft Shaft Axial Bearing Compr Coupler	int char char char char char char char char	Condition Cab Data Logger Sensor Power Supply CPU Comm Bus Closed Loop Emerg Button Max Spe. itch	char char char char char char char
Hollow Shaft Bushing Case Mounting Hose Primary Filter Pump Seal Secondary Filter	char char char char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature Encoder Wattmeter Front Bearing Housing Rear Bearing	char char char char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft Shaft Axial Bearing Compr Coupler Connect Plate Bearing Seal	Data int char char char char char char char char	Condition Cab Data Logger Sensor Power Supply CPU Comm Bus Closed Loop Emerg Button Max Spe. itch Power Switch	char char char char char char char char
Hollow Shaft Bushing Case Mounting Hose Primary Filter Pump Seal Secondary Filter Debris Sensor	char char char char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature Encoder Wattmeter Front Bearing Housing Rear Bearing Shaft Bearing	char char char char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft Shaft Axial Bearing Compr Coupler Connect Plate Bearing Seal Main Shaft	Data int char char char char char char char char	Condition Cab Data Logger Sensor Power Supply CPU Comm Bus Closed Loop Emerg Button Max Spe. itch Power Switch	char char char char char char char char
Hollow Shaft Bushing Case Mounting Hose Primary Filter Pump Seal Secondary Filter Debris Sensor Pressure Sensor	char char char char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature Encoder Wattmeter Front Bearing Housing Rear Bearing Shaft Bearing Silent Block	char char char char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft Shaft Axial Bearing Compr Coupler Connect Plate Bearing Seal Main Shaft Radial Bearing	Data int char char char char char char char char	Condition Cab Data Logger Sensor Power Supply CPU Comm Bus Closed Loop Emerg Button Max Spe. itch Power Switch	char char char char char char char char
Hollow Shaft Bushing Case Mounting Hose Primary Filter Pump Seal Secondary Filter Debris Sensor Pressure Sensor	char char char char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature Encoder Wattmeter Front Bearing Housing Rear Bearing Shaft Bearing Silent Block	char char char char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft Shaft Axial Bearing Compr Coupler Connect Plate Bearing Seal Main Shaft Radial Bearing Rotor Lock	Data int char char char char char char char char	Condition Cab Data Logger Sensor Power Supply CPU Comm Bus Closed Loop Emerg Button Max Spe. itch Power Switch	char char char char char char char char
Hollow Shaft Bushing Case Mounting Hose Primary Filter Pump Seal Secondary Filter Debris Sensor Pressure Sensor	char char char char char char char char	Commutator Exciter Resistance Controller Slip Ring Core Temperature Encoder Wattmeter Front Bearing Housing Rear Bearing Shaft Bearing Silent Block	char char char char char char char char	Main Shaft Parts MS SN Coupling Rotor Lock Trans Shaft Shaft Axial Bearing Compr Coupler Connect Plate Bearing Seal Main Shaft Radial Bearing Rotor Lock Slip Ring	Data int char char char char char char char char	Condition Cab Data Logger Sensor Power Supply CPU Comm Bus Closed Loop Emerg Button Max Spe. itch Power Switch	char char char char char char char char

Table7. Wind Farm, Wind Turbine and its assembly description

B7. Defining a Wind Farm

This section shows how information about a wind farm is laid out in the database such that it characterises all of its wind turbines and their different assemblies, sub-assemblies and components in a unique fashion. This has been shown in Figure 10. As can be seen from Figure 10, wind farms, WF A, WF B and WF C, individually point to wind turbines that are present in their wind farm, while those wind turbines point to its individual assemblies which then point to subassemblies and components. Finally at the component level a failure code can be assigned corresponding to the failure that may have occurred. By default all components are assigned a No Failure code, which is updated in the event a failure is encountered. This information is then taken further to analyse and plan maintenance. If a spare would be required for this maintenance work, then the failure code would indicate this and hence a reference would be made to the maintenance database and spares inventory. When failure codes are determined, all necessary resources can be collected using references to their individual databases. This has also been shown in Figure 10 where manpower and transport are linked to unique failures.

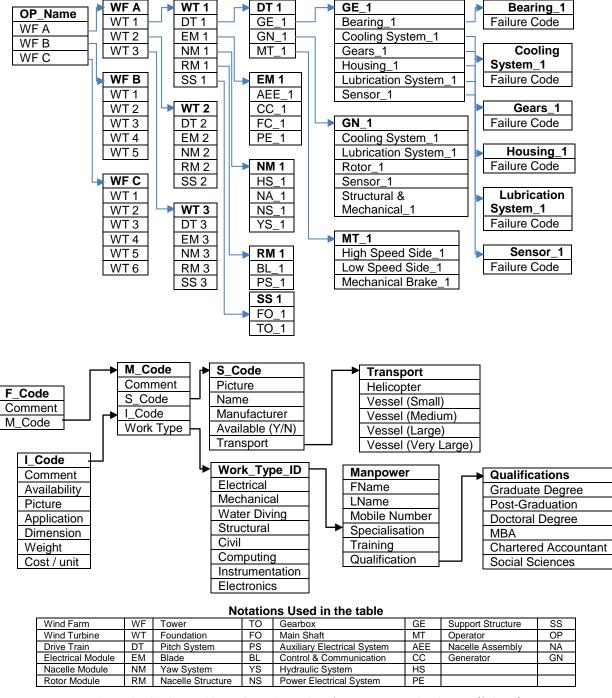


Figure 10. Shows the database tables and its relationships for a component level status (failures) in an OWT

B8. Overall Outlay of the Database

An overall design framework of the ERP-OWTM has been shown in Figure 11 that provides guidelines for the design of database and interrelation between them. Any individual block in the figure is an outcome of a interrelated database modules. It also provides an indication to various performance indicators that are useful to measure the success or failure of a maintenance regime. In Figure 11 maintenance planning for only wind turbine gearbox has been shown but it can be extended to include other components as well.

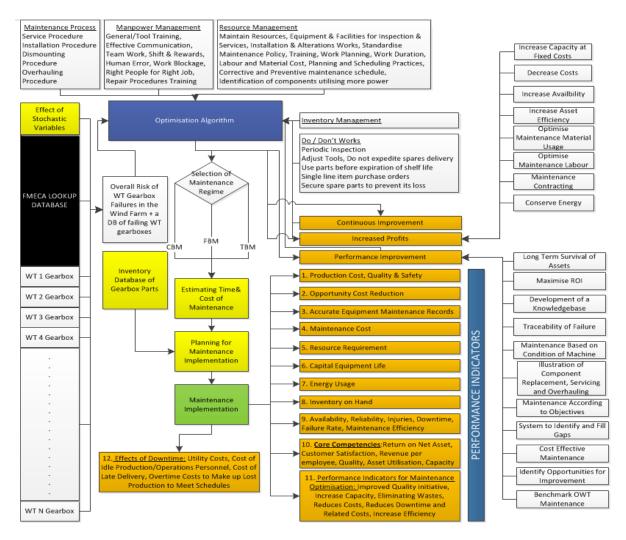


Figure 11 Schematic diagram of database layout and software framework for the design of ERP-OWTM

2. Conclusion

The ability to predict failure has a profound effect maintenance planning and its costs. Failures in machines, like wind turbines can be interrelated by their root causes, dependences on other failures and operating conditions. In this work such dependency between failures and root causes were studied and it is found that such information could be used to plan a Condition Based Maintenance and make savings on spares, transportation and manpower. In fact, use of condition monitoring information in itself bypasses many complexities which statistical methods have faced since long time, like incorporating effect of weather conditions on OWT failure. However this work is fundamental and there is a need for more information about failure to make this model more accurate. This work also looked into the design and development of a database that would support a software tool for management of wind turbine maintenance. Design of a database is fundamental and an important step in the development of any software tool especially for projects that are developed from inception and those which are built for large applications. It is intended that database designed in this work will reduce the amount of software code that would otherwise have been required. Whilst it is difficult to source data related to wind turbine, especially for OWT, this work makes use of some dummy data to test the outputs from the database. However it is expected that many additional modules would be incorporated with time in the database, which would then increase the size of the database. The 3 module structure developed for the database (Section B2) would be effective in incorporating all such modular expansions. Further with new information, many columns and rows would need to be modified but as all the tables have been normalised, any negative effect on database structure would be minimal.

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