# Helminths and microbes within the vertebrate gut – not all studies are created equal

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 The multifaceted interactions occurring between gastrointestinal (GI) parasitic helminths and the host gut microbiota are emerging as a key area of study within the broader research domain of host-pathogen relationships. Over the past few years, a wealth of investigations has demonstrated that GI helminths interact with the host gut flora, and that such interactions result in modifications of the host immune and metabolic statuses. Nevertheless, whilst selected changes in gut microbial composition are consistently observed in response to GI helminth infections across several host-parasite systems, research in this area to date is largely characterised by inconsistent findings. These discrepancies are particularly evident when data from studies of GI helminth-microbiota interactions conducted in humans from parasite-endemic regions are compared. In this review, we provide an overview of the main sources of variance that affect investigations on human-helminth-gut microbiota interactions and propose a series of methodological approaches that, whilst accounting for the inevitable constraints of human fieldwork, are aimed at minimising confounding factors and draw biologically meaningful interpretations from highly variable datasets.

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## 1. INTRODUCTION

A plethora of experimental evidence supports a key role of infections by gastrointestinal (GI) helminth parasites in shaping the composition of the vertebrate gut microbiota, with significant implications for local and systemic host immunity (reviewed by Brosschot and Reynolds, 2018). For instance, recent studies have partly attributed the parasite-associated qualitative and/or quantitative alterations to host GI microbial profiles to the ability of GI helminths to stimulate the initial onset of T-regulatory (Treg) immune responses (cf. Cantacessi et al. 2014; Reynolds et al. 2014; Giacomin et al. 2015, 2016; Zaiss et al. 2016). On the other hand, other studies have reported associations between acute helminth infections and gut microbiota imbalances (= dysbiosis) characterised by significant expansion of populations of putative pro-inflammatory bacteria (e.g. Rausch et al. 2013; Jenkins et al. 2018a; Schneeberger et al. 2018a); these observations have lent credit to the hypothesis that helminth-associated alterations of gut microbiota composition may lead to both localised and systemic consequences for the host organism, that include immunopathology and exacerbated malnutrition in at-risk subjects from parasite-endemic areas (reviewed by Glendinning et al. 2014; Houlden et al. 2015; Cattadori et al. 2016). Over the past decade, newly acquired knowledge of the impact that GI helminth infections exert on the vertebrate gut microbial composition and metabolism has contributed to a better understanding of parasite systems biology and host-pathogen interactions (reviewed by Peachey et al. 2017; Leung et al. 2018; Rapin and Harris et al. 2018), and has been proposed as a first step towards the identification and development of novel strategies of parasite control based on the targeted manipulation of the host gut microbiota (cf. Peachey et al. 2017). Nevertheless, for humans in particular, progress in this field of research is greatly impaired by the impact of several confounding factors that inevitably affect studies conducted in naturally infected individuals (Mutapi, 2015; Chabé et al. 2017). In this review, we summarise current knowledge of GI helminth-microbiome interactions in humans under natural conditions of infection, identify similarities and differences between datasets and provide an overview of the confounding factors that may affect the interpretation of findings.

## 73 2. HUMAN-HELMINTH-GUT MICROBIOTA INTERACTIONS IN REAL-WORLD

## SCENARIOS

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In endemic areas for helminthiases, the vast majority of infected individuals harbour multiple helminth species, often occupying different niches of the host organism (Hotez et al. 2010). Whilst polyparasitism is often regarded as a major confounding factor in investigations of parasite-microbiota interactions conducted in humans under natural conditions of infection (Cooper et al. 2013; Jenkins et al. 2017; Martin et al. 2018; Rosa et al. 2018), findings from these studies are key to assessing the impact that GI helminths exert on gut microbiota homeostasis in a 'real-world' scenario. Nevertheless, several factors should be considered when interpreting results obtained from individuals infected by multiple helminth species. First, anthropometric (e.g. age and gender) and anthropologic variables (e.g. ethnicity, diet and occupation) are well known to profoundly impact the 'baseline' composition of the human gut microbiota (Sekirov et al. 2010; Yatsunenko et al. 2012) (cf. Fig. 1); therefore, the enrolment of large cohorts of individuals is often necessary in order to achieve sufficient statistical power and avoid uninformative and/or misleading results (Kelly et al. 2015). However, in many studies, the number of individuals enrolled and samples analysed is inevitably dictated by logistical and financial constraints. In these instances, population-related variables that impact gut microbiota composition may contribute substantially to inconsistencies among findings from different studies (cf. Fig. 1). For instance, a negative association between colonisation by the whipworm *Trichuris* trichiura and the abundance of bacteria belonging to the genus Prevotella in the faeces of infected individuals has been reported in two separate studies conducted in Malaysia (Lee et al. 2014; Ranaman et al. 2016), while other studies conducted in Ecuador, and Liberia and Indonesia, respectively, have failed to identify significant variations in faecal populations of *Prevotella* in individuals either solely infected by T. trichiura or co-infected with other species of soiltransmitted helminths (STHs) (Cooper et al. 2013; Martin et al. 2015; Rosa et al. 2018). In addition, whilst Rosa and co-authors (2018) detected several distinctive features in the gut

microbial profiles of helminth-harbouring individuals that were specifically associated to single

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infections with the hookworm Necator americanus, the roundworm Ascaris lumbricoides or T. trichiura, such features were inconsistent between two independent cohorts of helminth-infected volunteers from Liberia and Indonesia; this discrepancy suggests that other yet undetermined environmental factors may contribute to qualitative and quantitative alterations of the gut microbial profiles of helminth-infected individuals from different geographical areas. In contrast, an association between the abundance of selected bacterial taxa and infections by one or more STHs could be consistently detected in samples from both Liberian and Indonesian cohorts (Rosa et al. 2018). These taxa included bacteria belonging to the genera Olsenella and Allobaculum, which were expanded in the gut microbiota of helminth-infected individuals when compared to that of uninfected controls. To the best of our knowledge, the study by Rosa et al. (2018) was the first to report a link between infections by STHs and the abundance of these bacterial genera in the human gut. Interestingly, in mice suffering from metabolic syndrome, administration of probiotics was followed by expansion of populations of Olsenella and/or Allobaculum, and a reduction in systemic and/or local gut inflammatory responses (Wang et al. 2015). Moreover, Allobaculum spp. are putative producers of anti-inflammatory short-chain fatty acids (Greetham et al. 2004), and are severely reduced in the gut of mice genetically predisposed to spontaneous colitis (Pérez-Muñoz et al. 2014). This knowledge led Rosa et al. (2018) to hypothesize that these bacteria may play a yet undetermined role in the anti-inflammatory properties of parasitic helminths, and reinforce the proposition that the interactions between hosts, parasites and gut microbiota are multidirectional and should be approached in a holistic manner (e.g. Cortés et al. 2018; Leung et al. 2018). Interestingly, in contrast to evidence acquired in human hosts, a negative association between the genus Allobaculum and colonisation by GI helminths has been observed in a mouse model of chronic trichuriasis (Holm et al. 2015), in which Th1-mediated immune responses are dominant (reviewed by Cliffe and Grencis, 2004), as well as in mice with patent infection by the blood fluke Schistosoma mansoni (Jenkins et al. 2018a), in which migrating eggs are responsible for the onset of marked Th2-mediated inflammatory responses (reviewed by Pearce and MacDonald, 2002). The immune-molecular mechanisms via which members of the genus Allobaculum may regulate local and systemic inflammation are still unclear (Greetham et

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128	al. 2004; Pérez-Muñoz et al. 2014; Wang et al. 2015). Nonetheless, current data showing
129	reductions in populations of Allobaculum alongside helminth-associated gut inflammation
130	supports the hypothesis raised by Rosa et al. (2018); in the future, rodent models of GI helminth
131	infections whose gut microbiota is deprived of, and subsequently recolonised with, the genus
132	Allobaculum could be exploited to investigate the potential involvement of these bacteria in
133	parasite-mediated immunomodulation.
134	Beside the intrinsic variability of the human gut microbiota, studies conducted under natural
135	conditions of helminth colonisation are likely to be affected by factors linked to the different
136	combinations of infecting species and their relative abundances. For instance, in a study
137	conducted in a cohort of Ecuadorian children, the specific features detected in the gut microbial
138	profiles of subjects co-infected with T. trichiura and A. lumbricoides could not be identified in
139	the microbiota of Trichuris-only infected individuals (Cooper et al. 2013). Similarly, selected
140	microbial features that were observed in studies conducted in human volunteers with mono-
141	specific infections with, for instance, A. lumbricoides, could not be detected in the gut microbiota
142	of subjects harbouring the same parasite alongside other helminth species (e.g. T. trichiura and
143	N. americanus) (Rosa et al. 2018), thus suggesting that a complex interplay exists between the
144	host gut and its macro- and microbiota, that might be difficult to replicate in experimental settings.
145	Furthermore, current evidence obtained from animal models of helminth infections indicates that
146	worm burdens can impact the nature and/or the magnitude of parasite-associated alterations in gut
147	microbial composition (Wu et al. 2012; Peachey et al. 2018). Nevertheless, such evidence is not
148	yet available for human infections, in which parasite burdens may range from low to very high in
149	endemic areas (Barbour and Kafetzaki, 1991; Churcher et al. 2005).
150	Another frequent constraint of investigations conducted in cohorts of human subjects with natural
151	helminth infections is the limited availability of 'genuine' negative controls, i.e. individuals from
152	the same communities of parasite-infected subjects who lack previous exposure to infections by
153	parasitic helminths. Instead, individuals with no evidence of patent helminth infections are
154	inevitably enrolled as control subjects (e.g. Cooper et al. 2013; Lee et al. 2014; Jenkins et al.

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2017; Rosa et al. 2018); nevertheless, studies in helminth-infected individuals subjected to anthelmintic treatment, as well as in primates and pigs exposed to *Trichuris* spp., have shown that parasite-associated alterations in gut microbial communities can persist, at least partly, in absence of active infections (Broadhurst et al. 2012; Wu et al. 2012; Cooper et al. 2013; Kay et al. 2015; Schneeberger et al. 2018a). These data call for caution when interpreting differences between the gut microbial profiles of helminth-infected and uninfected volunteers from the same communities. In addition, patent infections are often diagnosed using stool-based microscopic methods, that are known for their relatively low sensitivity and that may yield false negative results, e.g. in case of intermittent shedding of eggs and/or larvae (O'Connell and Nutman, 2016). Recently, Rosa et al. (2018) used quantitative real-time PCR to diagnose STH infections in individuals subjected to gut microbiota profiling, indicating that this technique may represent a robust and sensitive alternative to microscopic methods, since it provides users with the ability to semi-quantify burdens of different helminth species from minute amounts of DNA template. However, in spite of their higher sensitivity, molecular methods rely on the use of primers that selectively target the parasite species of interest, thus impairing the simultaneous detection of potential (asymptomatic or subclinical) co-infections with other helminth and/or non-helminth pathogens (O'Connell and Nutman, 2016). Indeed, the impact of protozoa on the gut microbial diversity and composition has been clearly demonstrated in humans and other vertebrates (reviewed by Chabé et al. 2017; Stensvold and van der Giezen, 2018). Furthermore, a recent study conducted in a cohort of Colombian schoolchildren reported common features in the faecal microbial composition of subjects co-infected with helminths and protozoans and mono-parasitized with the flagellate Giardia intestinalis compared to uninfected individuals (Toro-Londono et al. 2019). Whilst the mechanisms via which each group of parasites alters the host gut flora, as well as the nature of such alterations, are yet to be determined, these findings support the need to conduct additional diagnostic tests on stool samples from helminth-infected cohorts, as well as the corresponding uninfected subjects, in order to rule out the influence of concomitant bacterial, viral and/or protozoan infections that may be responsible for the changing gut microbial profiles of these individuals (cf. Chabé et al. 2017).

Nevertheless, in spite of the several confounding factors outlined above (cf. Fig. 1), observational studies in helminth endemic areas have proven useful for the identification of significant associations between parasite colonisation and the gut microbial profiles of humans under natural conditions of infection. Importantly, studies conducted in these communities provide excellent opportunities to evaluate the effect(s) that parasite removal (e.g. via the administration of broadspectrum anthelmintics) exert(s) on the gut microbiota of previously infected individuals, thus contributing cues to understand the causality of helminth-microbiota relationships.

## 3. IMPACT OF DEWORMING ON THE HUMAN GUT MICROBIOTA

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The implementation of mass drug administration programmes in endemic areas for STHs and schistosomiasis offers opportunities to elucidate potential mechanisms via which parasitic helminths modulate the host gut microbiota. For instance, qualitative and quantitative changes in gut microbial profiles that are caused by direct interactions between parasites and gut bacteria may be expected to rapidly reverse following parasite removal, whilst long-lasting alterations are likely to result from indirect interplay mediated by the host immune system (Houlden et al. 2015; Su et al. 2018). Nevertheless, such investigations are also generally constrained by the presence of several confounding factors that include not only the host- and parasite-dependent variables outlined above, but also variations linked to the use of different drugs and treatment regimes (Schneeberger et al. 2018b), as well as time of sampling post-anthelmintic treatment (Houlden et al. 2015) (Fig. 1). The latter in particular may profoundly affect findings from these studies, as the presence of tissue lesions caused by e.g. parasite feeding activity and location (e.g. bloodfeeders vs. non blood-feeders and luminal vs. tissue dwellers) are likely to influence the timespan between helminth removal and microbiome recovery (reviewed by Leung et al. 2018). Moreover, for ethical reasons, data from these experiments is often biased by the lack of placebo-treated control groups. These limitations may be at least partially responsible for the differences between findings from studies aimed at elucidating the effect of deworming on the gut microbiota of helminth-infected volunteers; notwithstanding, it is worth noting that, in instances where

209	deworming-associated changes in human gut microbial profiles were detected, these were
210	generally moderate (Ramanan et al. 2016; Martin et al. 2018; Schneeberger et al. 2018b).
211	Consistent with this, a recent study conducted on faecal samples collected from a rural community
212	in Indonesia reported that the composition of the gut microbiota of individuals repeatedly treated
213	with either albendazole or placebo (for 21 months) resembled that of samples collected from the
214	same subjects prior to treatment, rather than that of uninfected controls (Rosa et al. 2018).
215	Moreover, a parallel investigation conducted on the same cohort of individuals detected reduced
216	populations of <i>Prevotella</i> in albendazole-treated subjects in which complete deworming did not
217	occur, compared to placebo-treated individuals with patent helminth infections (Martin et al.
218	2018). Intriguingly, failure of albendazole treatment was accompanied by a dominance of T.
219	trichiura (over other helminth species) in these subjects, while placebo-treated individuals
220	maintained a diverse macrobiota (i.e. multiple helminth infections); hence, differences in the
221	composition of the GI macrobiota (i.e. species present and their relative abundances) between
222	albendazole- and placebo-treated individuals could account for variations in the composition of
223	the intestinal microflora of these subjects (Martin et al. 2018). Significant associations between
224	colonisation by T. trichiura and Prevotella abundance were not observed in the Indonesian cohort
225	(Martin et al. 2018; Rosa et al. 2018). However, negative associations between whipworm
226	infections and Prevotella abundance had been detected previously in two independent studies
227	conducted in Malaysia (Lee et al. 2014; Ramanan et al. 2016). In particular, Ramanan and co-
228	authors (2016) observed that, following albendazole treatment, expansion of Prevotella
229	populations in the human faecal microbiota was related to reduced <i>T. trichiura</i> faecal egg counts.
230	In contrast, no significant associations between helminth infection and abundance of bacteria
231	belonging to the genus Prevotella was reported in a study investigating the impact of parasite
232	colonisation and successful treatment with a combination of albendazole and ivermectin on the
233	faecal microbial profiles of a cohort of Trichuris-infected children from Ecuador (Cooper et al.
234	2013), nor in a group of helminth-infected adults from Sri Lanka treated with pyrantel pamoate
235	(Jenkins et al. 2017). Similarly, no qualitative or quantitative changes to faecal microbial

236	composition were observed in two cohorts of schoolchildren from Côte d'Ivoire and Zimbabwe
237	infected by S. mansoni and S. haematobium, respectively, following treatment with praziquantel
238	(Kay et al. 2014; Schneeberger et al. 2018a). However, successful elimination of S. mansoni was
239	associated with a higher abundance of Fusobacterium spp. pre-treatment, as well as 24 hrs post-
240	treatment (Schneeberger et al. 2018a).
241	Whilst drug administration in endemic regions may result in effective elimination of helminth
242	infections, potential co-infecting protozoan parasites are not susceptible to anthelmintic
243	treatment; this, together with the sub-standard hygienic and sanitary conditions that generally
244	characterise these areas and that result in continuous re-exposure to infective helminth
245	developmental stages (Campbell et al. 2018), impairs the full assessment of the consequences of
246	helminth removal on the composition of the human gut microbiota. To the best of our knowledge,
247	thus far, a single study has investigated the effects of chronic infections by a GI helminth,
248	Strongyloides stercoralis, and anthelmintic treatment on the composition of the faecal microbiota
249	and metabolome of humans from a non-endemic area of Europe, where parasite transmission had
250	been interrupted (Jenkins et al. 2018b). Treatment with ivermectin resulted in compositional
251	changes of the faecal microbiota (analysed 6 months post-treatment), which partially resembled
252	that of uninfected control subjects (Jenkins et al. 2018b); in particular, alpha diversity [= a
253	measure of the number of bacterial species present in a given microbial community (richness) and
254	their relative abundance (evenness)] was reduced in the microbiota of individuals post-treatment
255	(although statistical significance was not achieved) and accompanied by expanded populations of
256	potentially pathogenic bacteria (Jenkins et al. 2018b). In addition, the faecal metabolic profiles
257	obtained from samples collected post-ivermectin treatment shared features with both those
258	obtained from samples collected pre-treatment and from uninfected controls (Jenkins et al.
259	2018b); this observation led Jenkins et al. (2018b) to hypothesise that, following parasite removal
260	and over time, both gut microbiota and metabolome may revert to the original pre-infection state.
261	Multiple factors, including but not limited to those outlined above, may contribute to the
262	discrepancies observed between the findings from this work and those that reported no or minor

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effects of anthelmintic treatment on the gut microbiome of helminth-infected humans (Cooper *et al.* 2013; Ramanan *et al.* 2016; Martin *et al.* 2018; Rosa *et al.* 2018; Schneeberger *et al.* 2018a,b). Despite the limitations outlined above, studies of GI helminth-microbiota relationships conducted in endemic areas for helminthiases have provided repeated evidence of the perturbations that parasites and anthelmintic treatment exert on the equilibrium of resident populations of gut bacteria and on gut homeostasis. However, the identification of common signatures across studies remains key to designing future experiments, e.g. in animal models of helminth infections, that may assist the elucidation of the mechanisms that underpin the interactions between GI helminths, the gut microbiota and the host immune system.

## 4. DO COMMON SIGNATURES EXIST ACROSS STUDIES OF HOST-HELMINTH-

## MICROBIOTA INTERACTIONS?

The identification of gut microbial signatures that occur reproducibly across several host-GI helminth systems is crucial for designing novel anti-helminth intervention strategies based on the manipulation of the gut microbiota (Peachey et al. 2017). Studies conducted in animal models of helminth infections are expected to assist the identification of such signatures, as well as the direct (i.e. parasite-mediated) and/or indirect (i.e. immune-mediated) mechanisms that govern helminthmicrobiota interactions (Cortés et al. 2018); nevertheless, the inconsistencies that characterise studies of helminth-microbiota relationships published to date make such a task highly challenging. Indeed, for patterns to be identified, fluctuations in selected populations of gut microbes must be interpreted in light of the physical and immunological alterations of the mucosal environment in which such alterations occur (Leung et al. 2018). For instance, expanded populations of Lactobacillaceae have been repeatedly detected following infection with several species of parasitic helminths in several host species (Reynolds et al. 2014; Duarte et al. 2015; Holm et al. 2015; Houlden et al. 2015; Cattadori et al. 2016; Jenkins et al. 2018a; Kim et al. 2018), and could thus be considered as a 'consistent alteration' in gut microbiota composition upon helminth colonisation. However, key differences exist between host-parasite pairs investigated in the studies that have reported such an outcome. Indeed, whilst populations of

Lactobacillaceae promote regulatory responses in mice infected by Heligmosomoides polygyrus
bakeri (Reynolds et al. 2014), a lack of correlation between Lactobacillaceae abundance and Treg
populations has been observed in other host-parasites systems, such as mice chronically infected
with T. muris and rabbits infected with Trichostrongylus retortaeformis, in which the expansion
of populations of gut Lactobacillaceae upon helminth infection occurs in an environment
dominated by Th1-mediated immune responses (Holm et al. 2015; Houlden et al. 2015; Cattadori
et al. 2016). These differences suggest that alternative mechanisms may regulate the
differentiation and development of adaptive immune responses in each host-parasite system
(Houlden et al. 2015), and thus that similar alterations in gut microbiota composition may result
in different consequences that are dependent on the microenvironment where these changes occur.
Notwithstanding, the interactions between hosts, helminths and the gut microbiota are likely
multifaceted and multidirectional, and therefore the potential consequences that selected
compositional changes in gut microbiota exert on host homeostasis are only one aspect of these
complex interplay. For instance, a common yet undetermined mechanism may determine the
expansion of Lactobacillaceae in the gut of helminth-infected hosts.
On the other hand, apparent 'contradictory' findings across studies may result from fundamental
differences between gut compartments under investigation. For instance, Prevotella spp. was
expanded in the abomasum and faeces of sheep infected by abomasal trichostrongyles (i.e.
Haemonchus contortus and Teladorsagia circumcincta; Li et al. 2016; Cortés et al. in
preparation), whilst the same taxa were reduced in the faeces of a range of host species, including
mice, humans and horses, infected by nematodes residing in the large intestine, i.e. <i>Trichuris</i> spp.
and cyathostomins, respectively (Lee et al. 2014; Houlden et al. 2015; Peachey et al. submitted).
It must be noted, however, that whilst increased abomasal pH favours <i>Prevotella</i> overgrowth in
the abomasum (De Nardi et al. 2016; Li et al. 2016), the same taxa are likely to be exposed to a
dramatically different microenvironment in the large intestine that may determine the contraction
of these bacterial groups. In addition, given the functional dissimilarities between the abomasal
and colonic microbiota, such alterations are expected to result in fundamentally different

outcomes for the homeostasis of each of these gut compartments (Ley et al. 2008), and hence comparisons are, in our opinion, unwarranted.

In parallel to species of bacteria with functions that may vary depending on the gut compartment, multiple taxa share the same functions in different microenvironments (Lozupone *et al.* 2012); therefore, it is plausible that, even though inconsistencies are detected across studies, these may result in similar functional alterations in the host-parasite pairs being compared. For instance, recent studies in mouse and humans infected with *S. mansoni* have reported the expansion of different genera of bacteria with pro-inflammatory functions in the gut microbiota of the respective hosts (Jenkins *et al.* 2018a; Schneeberger *et al.* 2018a). These observations lend credit to the hypothesis that the functional role of the gut microbiota in helminth infections could be far less 'diverse' than the taxonomic associations reported thus far. For this hypothesis to be confirmed or confuted, a better understanding of the function(s) of each bacterial taxon inhabiting the different gut compartments in a range of host species is needed. To this aim, the integration of metagenomic, metabolomic and metatranscriptomic technologies, alongside traditional microbiology and microscopy techniques, may assist to achieve a holistic picture of the impact of GI helminth infections on the functions of the human gut microbiota, and its significance for disease pathophysiology and overall host health (Wang *et al.* 2015).

## 5. CURRENT NEEDS AND FUTURE DIRECTIONS

Understanding the complex interactions between GI helminths and their vertebrate hosts is pivotal for advancing our knowledge of the fundamental biology of these parasites and the diseases they cause (see Peachey *et al.* 2017; Leung *et al.* 2018; Rapin and Harris *et al.* 2018 for reviews). Whilst the role of the gut microbiota in host-parasite relationships has long been overlooked, current knowledge of the key roles that resident bacteria play in host health and disease, together with recent technical advancements for microbiota profiling, have boosted research is this area. This is currently leading to increasing evidence of a role for the gut microbiota in the immune regulatory properties of helminth parasites (Cantacessi *et al.* 2014; Reynolds *et al.* 2014; Giacomin *et al.* 2015, 2016; Zaiss *et al.* 2016). In addition, data collected to date points towards

a likely role of the gut microflora in the immunopathology of selected GI helminth infections that
awaits experimental validation. Trying to untangle the relevance of particular fluctuations of
specific bacterial taxa on infection outcome is challenging; nevertheless, currently available data
suggest that low-intensity, long-term helminth infections are commonly linked to high microbial
diversity and predominance of bacteria typically associated with gut health. Conversely, high-
intensity, acute infections are often associated to gut dysbiosis, characterised by reduced alpha
diversity and an increase in pro-inflammatory and often opportunistic pathogens (Peachey et al.
2017). However, for this knowledge to be exploited in translational studies, further investigations
in both natural and experimental settings are needed to distinguish spurious results from genuine
helminth-microbiota associations (Peachey et al. 2017), and mechanistic studies in animal models
of helminth infections are necessary to dissect the causality of these relationships (cf. Cortés et
al. 2018). Importantly, minimising variations between studies is crucial to warrant meaningful
comparisons between datasets.
Whilst reducing the variability amongst samples collected from naturally helminth-infected
humans may be difficult to achieve, the enormous impact that differences in technical and
experimental approaches (from sample collection to bioinformatics and biostatistical analyses)
exert on the overall variation detected across studies can be reduced (Figs. 1 and 2; Lindgreen <i>et</i>
al. 2017; Costea et al. 2017; Golob et al. 2017). In particular, a range of bioinformatics pipelines
are available for the analysis of high-throughput amplicon and metagenomics sequence datasets
that include, e.g., different sequence-processing tools and reference databases for sequence
annotation that could yield slightly different results (Lindgreen et al. 2017; Golob et al. 2017).
For instance, the use of validated open microbiome analysis packages such Multiplexed Analysis
of Projections by Sequencing (MAPseq) (Matias Rodrigues et al. 2017) or QIIME2
(https://qiime2.org/) may assist accurate taxonomic classifications of bacterial 16S rRNA
amplicon datasets; similarly, sequence annotation should rely on the use of regularly updated
reference databases. Amongst these, SILVA (https://www.arb-silva.de/) (Quast et al. 2013)
enables sensitive annotations of bacterial rRNA sequence data (Almeida <i>et al.</i> 2018). The use of

such standardized analysis workflows and reference databases for sequence annotation might prove extremely useful to increase consistency across studies and enable researchers to identify common and/or unique features between the gut microbiota of different host-parasite systems which, in turn, might assist to better understand the mechanisms that regulate helminth-microbiota relationships.

The consequences that elucidating such mechanisms may exert on future strategies of parasite control are two-fold. First, disentangling the potential contribution of the gut flora to the pathogenesis of the infection is necessary in order to discover and develop new strategies to contrast helminth-associated pathology. Second, understanding the microbiota-dependent mechanisms by which parasitic helminths are able to modulate host immune responses and suppress inflammation may assist the discovery of novel immune-regulatory therapeutics against chronic inflammatory disorders of the GI tract that may act in synergy with helminth-based therapy (see Peachey *et al.* 2017 and Rapin and Harris, 2018 for reviews). However, in order for this new knowledge to be fully exploited in translational research, further studies that thoroughly consider inclusion/exclusion criteria for the selection of participants, include appropriate controls, and follow standardised experimental and data analysis protocols are necessary, and will allow to disentangle the potential influence of parasite-, drug- and/or population-dependent variables in each setting (Fig. 2).

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- Fig. 1 Sources of variation and confounding factors potentially impacting the outcome of studies
- of human-helminth-gut microbiota interactions in helminth-endemic regions.
- Fig. 2 Proposed approaches aimed at reducing the methodological sources of variation
- 590 surrounding investigations of human-helminth-gut microbiota interactions.



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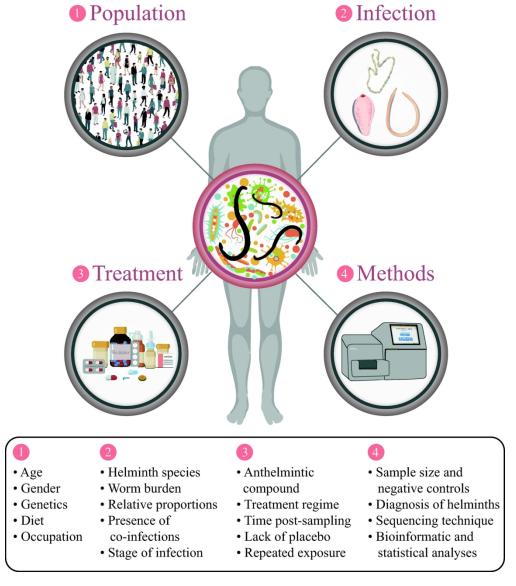


Figure 1. Sources of variation and confounding factors potentially impacting the outcome of studies of human-helminth-gut microbiota interactions in helminth-endemic regions.

158x180mm (300 x 300 DPI)

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bioinformatic and statistical

analysis of sequence data

4 Methods

#### 2 Infection Population · Balanced gender ratios • Diagnostic screening of intestinal and extra-intestinal • Delimited age ranges bacterial, viral, and/or proto-• Homogeneous socioeconomic zoan pathogens status of study subjects • Estimation of infection • Lifelong residence in the study intensities according to standarea ardised scales (WHO 2006) • Comparison of similar Absence of clinical symppopulations across different toms of gut and/or systemic geographical locations diseases • History of recent anthelmintic • Suitable diagnostic tools and/or antibiotic treatment for pathogen identification • Inclusion of placebo-treated groups · Sample size and statistical power calculation • Assessment of potential effects of treatment on the gut microbiota • Standardised protocols for sample collection, storage, Verification of infection clearance and nucleic acid extraction following anthelmintic treatment • Standardised pipelines for • Multiple samplings post-treatment

Figure 2. Proposed approaches aimed at reducing the methodological sources of variation surrounding investigations of human-helminth-gut microbiota interactions.

(longitudinal assessment of varia-

Treatment

tions)

163x164mm (300 x 300 DPI)

1 Invited review 2 Helminths and microbes within the vertebrate gut – 3 not all studies are created equal 4 5 6 Alba Cortés<sup>1</sup>, Laura E. Peachey <sup>1,2</sup>, Timothy P. Jenkins<sup>1</sup>, Riccardo Scotti<sup>1</sup> and Cinzia Cantacessi<sup>1</sup> 7 8 <sup>1</sup>Department of Veterinary Medicine, University of Cambridge, Madingley Road CB3 0ES, 9 Cambridge, United Kingdom 10 <sup>2</sup>Bristol Veterinary School, Faculty of Health Sciences, University of Bristol, Langford House, 11 Langford, BS40 5DU, Bristol, United Kingdom 12 13 14 Running title: Helminth-microbiota interactions in the human gut 15 16 17 **Corresponding author:** 18 Cinzia Cantacessi Department of Veterinary Medicine, University of Cambridge, Madingley Road CB3 0ES, 19 20 Cambridge, United Kingdom Tel. +44 (0) 1223 760541 21 Co Political Fax. +44 (0)1223 337610 22 23 E-mail: cc779@cam.ac.uk 24 25 4,<u>648</u>421 words 26 27 28

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 The multifaceted interactions occurring between gastrointestinal (GI) parasitic helminths and the host gut microbiota are emerging as a key area of study within the broader research domain of host-pathogen relationships. Over the past few years, a wealth of investigations has demonstrated that GI helminths interact with the host gut flora, and that such interactions result in modifications of the host immune and metabolic statuses. Nevertheless, whilst selected changes in gut microbial composition are consistently observed in response to GI helminth infections across several host-parasite systems, research in this area to date is largely characterised by inconsistent findings. These discrepancies are particularly evident when data from studies of GI helminth-microbiota interactions conducted in humans from parasite-endemic regions are compared. In this review, we provide an overview of the main sources of variance that affect investigations on human-helminth-gut microbiota interactions and propose a series of methodological approaches that, whilst accounting for taking into account the inevitable constraints of human fieldwork, are aimed at minimising confounding factors and draw biologically meaningful interpretations from highly variable datasets.

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## 1. INTRODUCTION

A plethora of experimental evidence supports a key role of infections by gastrointestinal (GI) helminth parasites in shaping the composition of the vertebrate gut microbiota, with significant implications for local and systemic host immunity (reviewed by Brosschot and Reynolds, 2018). For instance, recent studies have partly attributed the parasite-associated qualitative and/or quantitative alterations to host GI microbial profiles to the ability of GI helminths to stimulate the initiate-initial the-onset of T-regulatory (Treg) immune mechanisms responses, which result in down-regulation of inflammatory responses and establishment of chronic infections, to helminthparasite-associated qualitative and/or quantitative alterations to GI microbial profiles the ability to initiate the onset of T-regulatory (Treg) immune mechanisms, that result in downregulation of inflammatory responses and establishment of chronic infections (cf. Cantacessi et al. 2014; Reynolds et al. 2014; Giacomin et al. 2015, 2016; Zaiss et al. 2016). On the other hand, other studies have reported associations between acute helminth infections and gut microbiome microbiota imbalances (= dysbiosis) characterised by that involve significant expansion of populations of putative pro-inflammatory bacteria (e.g. Rausch et al. 2013; Jenkins et al. 2018a; Schneeberger et al. 2018a); these observations have, thus lending lent credit to the hypothesis that helminth-associated alterations of gut microbiota compositionme may lead to both localised and systemic consequences for the host organism, that includeing immunopathology and (e.g. Rausch et al. 2013; Jenkins et al. 2018a; Schneeberger et al. 2018a), and as well as exacerbated malnutrition in at-risk subjects from parasite-endemic areas (reviewed by Glendinning et al. 2014; Houlden et al. 2015; Cattadori et al. 2016). Over the past decade, newly acquired knowledge of the impact that GI helminth infections exert on the vertebrate gut microbialome composition and metabolism has contributed to a better understanding of parasite systems biology and host-pathogen interactions (reviewed by Peachey et al. 2017; Leung et al. 2018; Rapin and Harris et al. 2018), and has been proposed as a first step towards the identification and development of novel strategies of parasite control based on the targeted manipulation of the host gut microbiota (cf. Peachey et al. 2017). Nevertheless, for humans in particular, progress in this field of research is greatly impaired by the impact of several

confounding factors that inevitably affect studies conducted in naturally infected individuals

(Mutapi, 2015; Chabé *et al.* 2017). In this review, we summarise current knowledge of GI

helminth-microbiome interactions in humans under natural conditions of infection, identify

similarities and differences between datasets and provide an overview of the confounding factors

that may affect the interpretation of findings.

## 2. HUMAN-HELMINTH-GUT MICROBIOTA INTERACTIONS IN REAL-WORLD

## 79 SCENARIOS

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In endemic areas for helminthiases, the vast majority of infected individuals harbour multiple helminth species, often occupying different niches of the host organism (Hotez et al. 2010). Whilst polyparasitism is often regarded as a major confounding factor in investigations of parasite-microbiota interactions conducted in humans under natural conditions of infection (Cooper et al. 2013; Jenkins et al. 2017; Martin et al. 2018; Rosa et al. 2018), findings from these studies are key to assessing the impact that GI helminths exert on gut microbiota homeostasis in a 'real-world' scenario. Nevertheless, several factors should be considered when interpreting results obtained from individuals infected by multiple helminth species. First, anthropometric (e.g. age and gender) and anthropologic variables (e.g. ethnicity, diet and occupation) are well known to profoundly impact the 'baseline' composition of the human gut microbiotame (Sekirov et al. 2010; Yatsunenko et al. 2012) (cf. Fig. 1); therefore, the enrolment of large cohorts of individuals is often necessary in order to achieve sufficient statistical power and avoid uninformative and/or misleading results (Kelly et al. 2015). However, in many studies, the number of individuals enrolled and samples analysed is inevitably dictated by logistical and financial constraints. In these instances, population-related variables that impact gut microbiota composition may contribute substantially to inconsistencies among findings from different studies (cf. Fig. 1). For instance, a negative association between colonisation by the whipworm *Trichuris* trichiura and the abundance of bacteria belonging to the genus Prevotella in the faeces of infected individuals has been reported in two separate studies conducted in Malaysia (Lee et al. 2014; Ranaman et al. 2016), while other studies conducted in Ecuador, and Liberia and Indonesia,

100	respectively, have failed to identify significant variations in faecal populations of Prevotella in
101	individuals either solely infected by T. trichiura or co-infected with other species of soil-
102	transmitted helminths (STHs) (Cooper et al. 2013; Martin et al. 2015; Rosa et al. 2018).
103	In addition, whilst Rosa and co-authors (2018) detected several distinctive features in the gut
104	microbial profiles of helminth-harbouring individuals that were specifically associated to single
105	infections with the hookworm $Necator\ americanus$ , the roundworm $Ascaris\ lumbricoides$ or $T$ .
106	trichiura, such features were inconsistent between two independent cohorts of helminth-infected
107	volunteers from Liberia and Indonesia, respectively; this discrepancy suggests that other yet
108	undetermined environmental factors may contribute to qualitative and quantitative alterations of
109	the gut microbial profiles of helminth-infected individuals from different geographical areas. In
110	contrast, an association between the abundance of selected bacterial taxa and infections by one or
111	more STHs could be consistently detected in samples from both Liberian and Indonesian cohorts
112	(Rosa et al. 2018). These taxa included bacteria belonging to the genera Olsenella and
113	Allobaculum, which were expanded in the gut microbiota of helminth-infected individuals when
114	compared to that of uninfected controls. To the best of our knowledge, the study by Rosa et al.
115	(2018) was the first to report a link between infections by STHs and the abundance of these
116	bacterial genera in the human gut. Interestingly, in mice suffering from metabolic syndrome,
117	administration of probiotics was followed by expansion of populations of Olsenella and/or
118	Allobaculum, and a reduction in systemic and/or local gut inflammatory responses (Wang et al.
119	2015). Moreover, <i>Allobaculum</i> spp. are putative producers of anti-inflammatory short-chain fatty
120	acids (Greetham et al. 2004), and are severely reduced in the gut of mice genetically predisposed
121	to spontaneous colitis (Pérez-Muñoz et al. 2014). This knowledge led Rosa et al. (2018) to
122	hypothesize that these bacteria may play a yet undetermined role in the anti-inflammatory
123	properties of parasitic helminths, and reinforce the proposition that the interactions between thus
124	underpinning the general idea that hosts, -parasites and -gut microbiota are interactions are
125	multidirectional and should be approached in from a holistic perspective manner (e.g. Cortés et
126	al. 2018; Leung et al. 2018). Interestingly, iIn contrast to evidence acquired in human hosts, a

negative association between the genus Allobaculum and colonisation by GI helminths has been
observed in a mouse model of chronic trichuriasismice chronically infected with <i>T. muris</i> (Holm
et al. 2015), in which is featured by a dominant Th1-mediated immune responses are dominant
(reviewed by Cliffe and Grencis, 2004), as well as in mice and with patent infection by the blood
fluke Schistosoma mansoni (Jenkins et al. 2018a), in which migrating eggs are responsible for the
onset of marked Th2-mediated inflammatory responses are elicited to migrating eggs (reviewed
by Pearce and MacDonald, 2002). The immune-molecular mechanisms through via which
members of the genus Allobaculum may regulate local and systemic inflammation are yet to be
elucidatedstill unclear (Greetham et al. 2004; Pérez-Muñoz et al. 2014; Wang et al. 2015).
Nonetheless, current data experimental evidence on showing concomitant reductions in
populations of Allobaculum andalongside helminth-associated gut inflammation supports seems
consistent with the hypothesis of raised by Rosa et al. (2018); in the future, suggesting that
laboratory-rodent models of GI helminthiasis-helminth infections whose gut microbiota is
deprived of, and subsequently recolonised with, the genus Allobaculum could be exploited to
investigate the potential involvement of these bacteria in the parasite-mediated
immunomodulation mediated by helminth parasites (e.g. via exogenous recolonization with
<u>Allobaculum spp.</u> ). Notably, both models of helminth infection are characterised by the occurrence
of severe intestinal inflammation involving different populations of T CD4+ cells (i.e. Th1 and
Th2, respectively; Pearce and MacDonald, 2002; Cliffe and Grencis, 2004), and therefore, the
observed reduction in populations of Allobaculum in these systems supports the immune
regulatory role for this bacterial genus.
Beside the intrinsic variability of the human gut microbiota, studies conducted under natural
conditions of helminth colonisation are likely to be affected by factors linked to the different
combinations of infecting species and their relative abundances. For instance, in a study
conducted in a cohort of Ecuadorian children, the specific features detected in the gut microbial
profiles of subjects co-infected with T. trichiura and A. lumbricoides could not be identified in
the microbiota of <i>Trichuris</i> -only infected individuals (Cooper <i>et al.</i> 2013). Similarly, selected

microbial features that were observed in studies conducted in human volunteers with monospecific infections with, for instance, *A. lumbricoides*, could not be detected in the gut microbiota of subjects harbouring the same parasite alongside other helminth species (e.g. *T. trichiura* and *N. americanus*) (Rosa *et al.* 2018), thus suggesting that a complex interplay exists between the host gut and its macro- and microbiota, that might be difficult to replicate in experimental settings. Furthermore, current evidence obtained from animal models of helminth infections indicates that worm burdens can impact the nature and/or the magnitude of parasite-associated alterations in gut microbial composition (Wu *et al.* 2012; Peachey *et al.* 2018) revertheless Nevertheless, such evidence is not yet available for human infections, in which whose burdens parasite burdens in endemic areas may range from low to very high due to overdispersion of parasite loads in endemic areas (Barbour and Kafetzaki, 1991; Churcher *et al.* 2005) and, therefore, are likely to be an important confounding factor for studies of parasite microbiota interactions in naturally infected individuals.

Another frequent constraint of investigations conducted in cohorts of human subjects with natural

helminth infections is the limited availability of 'genuine' negative controls, i.e. individuals from the same communities of parasite-infected subjects who lack previous exposure to infections by parasitic helminths. Instead, individuals with no evidence of patent helminth infections are inevitably enrolled as control subjects (e.g. Cooper et al. 2013; Lee et al. 2014; Jenkins et al. 2017; Rosa et al. 2018); nevertheless, studies in helminth-infected individuals subjected to anthelmintic treatment, as well as in primates and pigs exposed to *Trichuris* spp., have shown that parasite-associated alterations in the gut microbial communities can persist, at least partlyially, in absence of active infections (Broadhurst et al. 2012; Wu et al. 2012; Cooper et al. 2013; Kay et al. 2015; Schneeberger et al. 2018a). These data call for caution when interpreting differences between the gut microbial profiles of helminth-infected and uninfected volunteers from the same communities. In addition, patent infections are often diagnosed using stool-based microscopic methods, that are known for their relatively low sensitivity and that may yield false negative results, e.g. in case of intermittent shedding of eggs and/or larvae (O'Connell and Nutman, 2016).

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Recently, Rosa et al. (2018) used quantitative real-time PCR to diagnose STH infections in individuals subjected to gut microbiotame profiling, indicating that this technique may represent a robust and sensitive alternative to microscopic methods, since it provides users with the ability to semi-quantify burdens of different helminth species from minute amounts of DNA template. However, in spite of their higher sensitivity, molecular methods rely on the use of primers that selectively target the parasite species of interest, thus impairing the simultaneous detection of potential (asymptomatic or subclinical) co-infections with other helminth and/or non-helminth pathogens (O'Connell and Nutman, 2016). Indeed, the impact of protozoa on the gut microbial diversity and composition has been clearly demonstrated in humans and other vertebrates (reviewed by Chabé et al. 2017; Stensvold and van der Giezen, 2018). Furthermore, a recent study conducted in a cohort of Colombian schoolchildren reported common features in the faecal microbial composition of subjects co-infected with helminths and protozoans and monoparasitized with the flagellate Giardia intestinalis compared to uninfected individuals (Toro-Londono et al. 2019). Whilst the mechanisms via which each group of parasites alters the host gut flora, as well as the nature of such alterations, are yet to be determined, these findings support the need to conduct additional diagnostic tests on stool samples from helminth-infected cohorts, as well as the corresponding uninfected subjects, in order to rule out the influence of concomitant bacterial, viral and/or protozoan infections that may be responsible for the changing gut microbial profiles of these individuals (cf. Chabé et al. 2017). Nevertheless, in spite of the several confounding factors outlined above (cf. Fig. 1), observational studies in helminth endemic areas have proven useful for the identification of significant associations between parasite colonisation and the gut microbial profiles of humans under natural conditions of infection. Importantly, studies conducted in these communities provide excellent opportunities to evaluate the effect(s) that parasite removal (e.g. via the administration of broadspectrum anthelmintics) exert(s) on the gut microbiota of previously infected individuals, thus contributing cues to understand the causality of helminth-microbiota relationships.

## 3. IMPACT OF DEWORMING ON THE HUMAN GUT MICROBIOTA

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The implementation of mass drug administration programmes in endemic areas for STHs and schistosomiasis offers opportunities to elucidate potential mechanisms via which parasitic helminths modulate the host gut microbiota. For instance, qualitative and quantitative changes in gut microbial profiles that are caused by direct interactions between parasites and gut bacteria may be expected to rapidly reverse following parasite removal, whilst long-lasting alterations are likely to result from indirect interplay mediated by the host immune system (Houlden et al. 2015; Su et al. 2018). Nevertheless, such investigations are also generally constrained by the presence of several confounding factors that include not only the host- and parasite-dependent variables outlined above, but also variations linked to the use of different drugs and treatment regimes (Schneeberger et al. 2018b), as well as time of sampling post-anthelmintic treatment (Houlden et al. 2015) (Fig. 1). The latter in particular may profoundly affect findings from these studies, as the presence of tissue lesions caused by e.g. parasite feeding activity and location (e.g. bloodfeeders vs. non blood-feeders and luminal vs. tissue dwellers) are likely to influence the timespan between helminth removal and microbiome recovery (reviewed by Leung et al. 2018). Moreover, for ethical reasons, data from these experiments is often biased by the lack of placebo-treated control groups. These limitations may be at least partially responsible for the differences between findings from studies aimed to elucidateat elucidating the effect of deworming on the gut microbiota of helminth-infected volunteers; notwithstanding, it is worth noting that, in instances where deworming-associated changes in human gut microbial profiles were detected, these were generally moderate (Ramanan et al. 2016; Martin et al. 2018; Schneeberger et al. 2018b). Consistent with this, a recent study conducted on faecal samples collected from a rural community in Indonesia reported that the composition of the gut microbiotame of individuals repeatedly treated with either albendazole or placebo (for 21 months) resembled that of samples collected from the same subjects prior to treatment, rather than that of uninfected controls (Rosa et al. 2018). Moreover, a parallel investigation conducted on the same cohort of individuals detected reduced populations of *Prevotella* in albendazole-treated subjects in which complete deworming did not occur, compared to placebo-treated individuals with patent helminth infections (Martin et

al. 2018). Intriguingly, failure of albendazole treatment was accompanied by a dominance of T.
trichiura (over other helminth species) in these subjects, while placebo-treated individuals
maintained a diverse macrobiota (i.e. multiple helminth infections); hence, differences in the
composition of the GI macrobiota (i.e. species present and their relative abundances) between
albendazole- and placebo-treated individuals could account for variations in the composition of
the intestinal microflora of these subjects (Martin et al. 2018). Significant associations between
colonisation by T. trichiura and Prevotella abundance were not observed in the Indonesian cohort
(Martin et al. 2018; Rosa et al. 2018). However, negative associations between whipworm
infections and Prevotella abundance had been detected previously in two independent studies
conducted in Malaysia (Lee et al. 2014; Ramanan et al. 2016). In particular, Ramanan and co-
authors (2016) observed that, following albendazole treatment, expansion of Prevotella
populations in the human faecal microbiota was related to reduced <i>T. trichiura</i> faecal egg counts.
In contrast, no significant associations between helminth infection and abundance of bacteria
belonging to the genus Prevotella was reported in a study investigating the impact of parasite
colonisation and effective successful treatment with a combination of albendazole and ivermecting
treatment on the faecal microbial profiles of a cohort of Trichuris-infected children from Ecuador
(Cooper et al. 2013), nor in a group of helminth-infected adults from Sri Lanka treated with
pyrantel pamoate (Jenkins et al. 2017). Similarly, no qualitative or quantitative changes to faecal
microbial composition were observed in two cohorts of schoolchildren from Côte d'Ivoire and
Zimbabwe infected by S. mansoni and S. haematobium, respectively, following treatment with
praziquantel (Kay et al. 2014; Schneeberger et al. 2018a). However, successful elimination of S.
mansoni was associated with a higher abundance of Fusobacterium spp. pre-treatment, as well as
24 hrs post-treatment (Schneeberger et al. 2018a).
Whilst drug administration in endemic regions may result in effective elimination of helminth
infections, potential co-infecting protozoan parasites are not susceptible to anthelmintic
treatment; this, together with the sub-standard hygienic and sanitary conditions that generally
characterise these areas and that result in continuous re-exposure to infective helminth

thus far, a single study has investigated the effects of chronic infections by a GI helminth, Strongyloides stercoralis, and anthelmintic treatment on the composition of the faecal microbiotame and metabolome of humans from a non-endemic area of Europe, where parasite transmission had been interrupted (Jenkins et al. 2018b). Treatment with ivermectin resulted in compositional changes of the faecal microbiota (analysed 6 months post-treatment), which partially resembled that of uninfected control subjects (Jenkins et al. 2018b); in particular, alpha diversity [= a measure of the number of bacterial species present in a given microbial community (richness) and their relative abundance (evenness)] was reduced in the microbiota of the former group of dewormed individuals post-treatment (although statistical significance was not achieved) and accompanied by expanded populations of potentially pathogenic bacteria (Jenkins et al. 2018b). In addition, the faecal metabolic profiles obtained from samples collected post-ivermectin treatment shared features with both appeared to fall somewhere in between those obtained from samples collected pre-treatment as well as from and from uninfected controls (Jenkins et al. 2018b); this observation led Jenkins et al. (2018b); to hypothesise that, thus supporting the notion that, following parasite removal and over time suggesting a (direct and/or indirect) effect of parasite infection and removal on both gut microbiotame and metabolome may revert to the original a-pre-infection state. Multiple factors, including but not limited to those outlined above, may contribute to the discrepancies observed between the findings from this work and those that reported no or minor effects of anthelmintic treatment on the gut microbiome of helminth-infected humans (Cooper et al. 2013; Ramanan et al. 2016; Martin et al. 2018; Rosa et al. 2018; Schneeberger et al. 2018a,b).  Despite the limitations outlined above, studies of GI helminth-microbiota relationships conducted in endemic areas for helmin	developmental stages (Campbell et al. 2018), impairs the full assessment of the consequences of
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in endemic areas for helminthiases have provided repeated evidence of the perturbations that parasites and anthelmintic treatment exert on the equilibrium of resident populations of gut	Despite the limitations outlined above, studies of GI helminth-microbiota relationships conducted
parasites and anthelmintic treatment exert on the equilibrium of resident populations of gut	
bacteria and on gut homeostasis. However, the identification of common signatures across studies	bacteria and on gut homeostasis. However, the identification of common signatures across studies

remains key to designing future experiments, e.g. in animal models of helminth infections, that may assist the elucidation of the mechanisms that underpin the interactions between GI helminths, the gut microbiota and the host immune system.

## 4. DO COMMON SIGNATURES EXIST ACROSS STUDIES OF HOST-HELMINTH-

## MICROBIOTA INTERACTIONS?

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The identification of gut microbial signatures that occur reproducibly across several host-GI helminth systems is crucial for designing novel anti-helminth intervention strategies based on the manipulation of the gut microbiota (Peachey et al. 2017). Studies conducted in animal models of helminth infections are expected to assist the identification of such signatures, as well as the direct (i.e. parasite-mediated) and/or indirect (i.e. immune-mediated) mechanisms that govern helminthmicrobiota interactions (Cortés et al. 2018); nevertheless, the inconsistencies that characterise studies of helminth-microbiota relationships published to date make such a task highly challenging. Indeed, for patterns to be identified, fluctuations in selected populations of gut microbes must be interpreted in light of the physical and immunological alterations of the mucosal environment in which such alterations occur (Leung et al. 2018). For instance, expanded populations of Lactobacillaceae have been repeatedly detected following infection with several species of parasitic helminths in several host species (Reynolds et al. 2014; Duarte et al. 2015; Holm et al. 2015; Houlden et al. 2015; Cattadori et al. 2016; Jenkins et al. 2018a; Kim et al. 2018), and could thus be considered as a 'consistent alteration' in gut microbiota composition upon helminth colonisation. However, key differences exist between host-parasite pairs investigated in the studies that have reported such an outcome. Indeed, whilst populations of Lactobacillaceae promote regulatory responses in mice infected by Heligmosomoides polygyrus bakeri (Reynolds et al. 2014), a lack of correlation between Lactobacillaceae abundance and Treg populations has been observed in other host-parasites systems, such as mice chronically infected with T. muris and rabbits infected with Trichostrongylus retortaeformis, in which the expansion of populations of gut Lactobacillaceae upon helminth infection occurs in an environment dominated by Th1-mediated immune responses (Holm et al. 2015; Houlden et al. 2015; Cattadori

et al. 2016). These differences suggest that alternative mechanisms may regulate the
differentiation and development of adaptive immune responses in each host-parasite system
(Houlden et al. 2015), and thus that similar alterations in gut microbiota composition may result
in different consequences that are dependent on the microenvironment where these changes occur.
Notwithstanding, the interactions between hosts, helminths and the gut microbiota are likely
multifaceted and multidirectional, and therefore the potential consequences that selected
compositional changes in gut microbiota exert on host homeostasis are only one aspect of these
complex interplay. For instance, a common yet undetermined mechanism may determine the
expansion of Lactobacillaceae in the gut of helminth-infected hosts.
On the other hand, apparent 'contradictory' findings across studies may result from fundamental
differences between gut compartments under investigation. For instance, Prevotella spp. was
expanded in the abomasum and faeces of sheep infected by abomasal trichostrongyles (i.e.
Haemonchus contortus and Teladorsagia circumcincta; Li et al. 2016; Cortés et al. in
preparation), whilst the same taxa were reduced in the faeces of a range of host species, including
mice, humans and horses, infected by nematodes residing in the large intestine, i.e. <i>Trichuris</i> spp.
and cyathostomins, respectively (Lee et al. 2014; Houlden et al. 2015; Peachey et al. submitted).
It must be noted, however, that whilst increased abomasal pH favours Prevotella overgrowth in
the abomasum (De Nardi et al. 2016; Li et al. 2016), the same taxa are likely to be exposed to a
dramatically different microenvironment in the large intestine that may determine the contraction
of these <u>bacterial taxagroups</u> . In addition, given the functional dissimilarities between the
abomasal and colonic microbiota, such alterations are expected to result in fundamentally
different outcomes for the homeostasis of each of these gut compartments (Ley et al. 2008), and
hence comparisons are, in our opinion, unwarranted.
In parallel to species of bacteria with functions that may vary depending on the gut compartment,
multiple taxa share the same functions in different microenvironments (Lozupone et al. 2012);
therefore, it is plausible that, even though inconsistencies are detected across studies, these may
result in similar functional alterations in the host-parasite pairs being compared. For instance,

recent studies in mouse and humans infected with *S. mansoni* have reported the expansion of different genera of bacteria with pro-inflammatory functions in the gut microbiota of the respective hosts (Jenkins *et al.* 2018a; Schneeberger *et al.* 2018a). These observations lend credit to the hypothesis that the functional role of the gut microbiota in helminth infections could be far less 'diverse' than the taxonomic associations reported thus far. For this hypothesis to be confirmed or confuted, a better understanding of the function(s) of each bacterial taxon inhabiting the different gut compartments in a range of host species is needed. To this aim, the integration of metagenomic, metabolomic and metatranscriptomic technologies, alongside traditional microbiology and microscopy techniques, may assist to achieve a holistic picture of the impact of GI helminth infections on the functions of the human gut microbiota, and its significance for disease pathophysiology and overall host health (Wang *et al.* 2015).

## 5. CURRENT NEEDS AND FUTURE DIRECTIONS

Understanding the complex interactions between GI helminths and their vertebrate hosts is pivotal for advancing our knowledge of the fundamental biology of these parasites and the diseases they cause (see Peachey et al. 2017; Leung et al. 2018; Rapin and Harris et al. 2018 for reviews). Whilst the role of the gut microbiota in host-parasite relationships has long been overlooked, current knowledge of the key roles that resident bacteria play in host health and disease, together with recent technical advancements for microbiota profiling, have boosted research is this area. This is currently leading to increasing evidence of an active involvement of the gut microbiota in the immunopathology of GI helminth infections (e.g. Rausch et al. 2013; Jenkins et al. 2018a; Schneeberger et al. 2018a). Furthermore, several studies support a role for the gut microbiota in the immune regulatory properties of helminth parasites (Cantacessi et al. 2014; Reynolds et al. 2014; Giacomin et al. 2015, 2016; Zaiss et al. 2016). FurthermoreIn addition, data collected to date points towards a likely role whether certain members of the gut microflora in are actively involved in the immunopathology of particular selected GI helminth infections that awaits experimental validationis a currently outstanding question that awaits for a response. Indeed, whilst tTrying to untangle the relevance of particular fluctuations of specific bacterial taxa on

infection outcome is challenging: nevertheless,, currently available data suggest that low-
intensity, long-term helminth infections are commonly linked to high microbial diversity and
predominance of bacteria typically associated with gut health.; Ceonversely, high-intensity, acute
infections are often associated to gut dysbiosis, characterised by reduced alpha diversity and an
increase in pro-inflammatory and often opportunistic pathogens (Peachey et al. 2017). However,
for this knowledge to be exploited in translational studies, further investigations in both natural
and experimental settings are needed to distinguish spurious results from genuine helminth-
microbiota associations (Peachey et al. 2017), and mechanistic studies in animal models of
helminth infections are necessary to dissect the causality of these relationships (cf. Cortés et al.
2018). Importantly, minimising variations between studies is crucial to warrant meaningful
comparisons between datasets.
Whilst reducing the variability amongst samples collected from naturally helminth-infected
humans may be difficult to achieve, the enormous impact that differences in technical and
experimental approaches (from sample collection to bioinformatics and biostatistical analyseis)
exert on the overall variation detected across studies can be reduced (Figs. 1 and 2; Lindgreen et
al. 2017; Costea et al. 2017; Golob et al. 2017). In particular, a range of bioinformatics pipelines
are available for the analysis of high-throughput amplicon and metagenomics sequence datasets
that include, e.g., different sequence-processing tools and reference databases for sequence
annotation that could yield slightly different results (Lindgreen et al. 2017; Golob et al. 2017).
For instance, the use of validated open microbiome analysis packages such Multiplexed Analysis
of Projections by Sequencing (MAPseq) (Matias Rodrigues et al. 2017) or QIIME2
(https://qiime2.org/) taxonomy classification of 16S amplicon datasets, for instance, current
trends indicate that optimised approaches should rely on open microbiome analysis packages such
Multiplexed Analysis of Projections by Sequencing (MAPseq) (Matias Rodrigues et al. 2017) or
QIIME2 (https://qiime2.org/), which have proven fast, accurate and specific in predicting
taxonomic affiliations, may assist accurate taxonomic classifications of bacterial 16S rRNA
amplicon datasets: similarly sequence annotation should rely on the use of and the usage of

comprehensive, as well as regularly updated reference databases. Amongst these, , e.g. SILVA
(https://www.arb-silva.de/) (Quast et al. 2013), that enables a sensitive annotations of bacterial
rRNA sequence data (Almeida et al. 2018). Thus, tThe use of such standardized analysis
workflows and continuously updated reference databases for sequence annotation might prove
extremely useful to increase consistency across studies and enable researchers to identify common
and/or unique features between the gut microbiota of different host-parasite systems which, in
turn, might assist to better understand the mechanisms that regulate helminth-microbiota
relationships.
The consequences that elucidating such mechanisms may exert on future strategies of parasite
control are two-fold. First, disentangling the potential contribution of the gut flora to the
pathogenesis of the infection is necessary in order to discover and develop new strategies to
contrast helminth-associated pathology. Second, understanding the microbiota-dependent
mechanisms by which parasitic helminths are able to modulate host immune responses and
suppress inflammation may assist the discovery of novel immune-regulatory therapeutics against
chronic inflammatory disorders of the GI tract that may act in synergy with helminth-based
therapy (see Peachey et al. 2017 and Rapin and Harris, 2018 for reviews). However, in order for
this new knowledge to be fully exploited in translational research, further studies that thoroughly
consider inclusion/exclusion criteria for the selection of participants, include appropriate controls,
and follow standardised experimental and data analysis protocols, are necessary, thus allowing and
will allow to disentangle the potential influence of parasite-, drug- and/or population-dependent
variables in each setting (Fig. 2), are necessary.

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- 616 FIGURE LEGENDS
- Fig. 1 Sources of variation and confounding factors potentially impacting the outcome of studies
- of human-helminth-gut microbiota interactions in helminth-endemic regions.
- 619 Fig. 2 Proposed approaches aimed at reducing the methodological sources of variation
- surrounding investigations of human-helminth-gut microbiota interactions.

