

Modulation of resting connectivity between the mesial frontal cortex and basal ganglia

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Abstract

Background: The mesial prefrontal cortex, cingulate cortex and the ventral striatum are key nodes of the human mesial fronto-striatal circuit involved in decision-making and executive function and pathological disorders. Here we ask whether deep wide-field repetitive transcranial magnetic stimulation (rTMS) targeting the mesial prefrontal cortex (MPFC) influences resting state functional connectivity.

Methods: In Study 1, we examined functional connectivity using resting state multi-echo and independent components analysis in 154 healthy subjects to characterize default connectivity in the MPFC and mid-cingulate cortex (MCC). In Study 2, we used inhibitory, 1Hz deep rTMS with the H7-coil targeting MPFC and dorsal anterior cingulate (dACC) in a separate group of 20 healthy volunteers and examined pre- and post-TMS functional connectivity using seed-based and independent components analysis.

Results: In Study 1, we show that MPFC and MCC have distinct patterns of functional connectivity with MPFC–ventral striatum showing negative, whereas MCC–ventral striatum showing positive functional connectivity. Low-frequency rTMS decreased functional connectivity of MPFC and dACC with the ventral striatum. We further showed enhanced connectivity between MCC and ventral striatum.

Conclusions: These findings emphasize how deep inhibitory rTMS using the H7-coil can influence underlying network functional connectivity by decreasing connectivity of the targeted MPFC regions, thus potentially enhancing response inhibition and decreasing drug-cue reactivity processes relevant to addictions. The unexpected finding of enhanced default connectivity between MCC and ventral striatum may be related to the decreased influence and connectivity between the MPFC and MCC. These findings are highly relevant to the treatment of disorders relying on the mesio-prefrontal-cingulo-striatal circuit.

1 Introduction

2 Neuromodulation with magnetic stimulation is emerging as a valuable treatment alternative
3 for a wide range of psychiatric and neurologic disorders[1]. Repetitive transcranial
4 magnetic stimulation (rTMS) is a technique that can be used to apply multiple brief
5 magnetic pulses to neuronal structures, thus transiently modulating neural excitability in a
6 manner that is dependent mainly on the intensity and frequency of stimulation [2]. It is a
7 non-invasive, non-pharmacological, and safe treatment, in which abnormal communication
8 within neuronal networks can be entrained and modified. Depending on the target, the
9 depth at which stimulation occurs appears to be a crucial factor underlying potential
10 therapeutic efficacy in certain disorders, such as major depressive disorder[3; 4; 5]. In this
11 study, we investigate the modulation of resting neural activity in mesial prefrontal-striatal
12 circuits in healthy subjects by inhibitory deep wide-field stimulation with an Heschl (H-)7
13 coil[6; 7].

14 Fronto-striatal circuits are critical for the processing of reward, anticipation of outcomes,
15 and behavioral control[8; 9; 10; 11]. Latent neural network organization and behavioral
16 mechanisms in humans can be explored with resting state functional magnetic resonance
17 imaging (fMRI) connectivity (rsFC), a method that measures the synchronization between
18 intrinsic low-frequency fluctuations of brain regions in the absence of any specific task[12;
19 13; 14]. Since the connections identified at rest closely mirror anatomical connections[15]
20 and predict brain activations associated with behavioral performance[16], rsFC is an
21 important tool for characterizing in vivo circuit-level dynamics, which may support
22 particular behavioral responses[17; 18].

23 Studies of substance use disorders have revealed the critical role of fronto-striatal circuits,
24 highlighting large scale disruptions in functional connectivity between the mesolimbic

25 reward system and cortical regions involved in decision making and executive function (e.g.
26 ventromedial prefrontal cortex, dorsolateral prefrontal cortex)[19; 20; 21; 22; 23; 24; 25;
27 26; 27]. In particular, altered rsFC between the dorsal and ventral mesial prefrontal cortex
28 (d/vMPFC), anterior cingulate cortex (ACC) and ventral striatum (VS) is most consistently
29 observed across disorders of addiction such as cocaine[28], heroin[29], nicotine[30; 31; 32;
30 33], and even internet addiction[32; 34; 35], but also in obsessive-compulsive disorder
31 (OCD)[34]. Furthermore, vMPFC activity seems to be tightly linked to dMPFC activity[36;
32 37]. Thus, understanding whether and how deep rTMS targeting the MPFC influences the
33 connected networks is critical to its potential clinical efficacy.

34 In Study 1, we first assess rsFC between MPFC and striatum in a relatively large sample of
35 healthy controls. In Study 2, we then ask whether inhibitory deep wide-field stimulation
36 with an H7-coil positioned over the MPFC (which, given the non-focal nature of the H7-
37 coil[38; 39], we have defined here as supplementary motor area (SMA), preSMA, and
38 dMPFC) influences rsFC with VS in a separate group of healthy controls. We focused on VS
39 given its aberrant rsFC observed in pathological disorders as well as in our findings in Study
40 1 of negative connectivity of MPFC with VS and positive connectivity of mid-cingulate with
41 VS. We hypothesize that low-frequency inhibitory rTMS will decrease rsFC of the MPFC
42 with VS.

43

44 **Methods and Materials**

45 **Protocol design and participants**

46 In Study 1, seed to whole brain intrinsic rsFC was examined for the mesial PFC (SMA, pre-
47 SMA and dMPFC) and the mid-cingulate. For intrinsic baseline mapping, blood-oxygenation
48 level dependent (BOLD) fMRI data was collected during rest (10 minutes, eyes open,

49 watching white fixation cross on black screen) from 154 healthy volunteers (71 females;
50 age 31 ± 13 years) at the Wolfson Brain Imaging Centre, University of Cambridge, UK, with a
51 Siemens Tim Trio 3T scanner and 32-channel head coil.

52 In Study 2, we used inhibitory, 1Hz rTMS deep wide-field stimulation with an H7-coil
53 targeting the mesial PFC. In order to examine the effects of rTMS on neural fluctuations, we
54 used both ROI-to-ROI analyses and confirmed findings with independent component
55 analysis (ICA). Resting state fMRI data (10 minutes, eyes open, watching white fixation
56 cross) was collected immediately before and after rTMS (average time between rTMS end
57 and EPI sequence was 285 ± 27 seconds) in a separate group of 20 healthy volunteers (15
58 females; age 36 ± 12 years) at the National Institutes of Health (Bethesda, MD, USA) core
59 fMRI Facility, with a Siemens Skyra 3T scanner and 32-channel head coil.

60 All subjects provided informed written consent. This study was approved by the Research
61 Ethics Committee of the University of Cambridge and the Institutional Review Board of the
62 National Institutes of Health.

63 **Transcranial magnetic stimulation with the H-coil (Study 2)**

64 To modulate the excitability of deep frontal areas in Study 2, we used a H7-coil type 7
65 (H7-coil). Its design aims at stimulating frontal brain regions (i.e., the PFC) and reaching
66 deep brain regions without increasing the electric field levels in the more superficial
67 cortical regions [6; 40]. Deep TMS using other coils (e.g. classical double-cone coil) can be
68 uncomfortable due to excessive stimulation of superficial structures and painful muscular
69 contractions. The frames of the inner rim of H7-coil are also flexible to accommodate a
70 variety of human skull shapes and allow a comfortable and closer fit of the coils to the scalp
71 (Supplementary Figure S1).

72 We first found the hotspot and determined the active motor threshold (AMT) of the *Tibialis*
73 *anterior muscle*, as an area situated medially at a depth similar to our regions of interest

74 (Figure 1A). The AMT was defined as the lowest intensity able to evoke a motor potential
75 with an amplitude at least 200 μ V above the background EMG activity of a 10% maximal
76 voluntary contraction of the left Tibialis anterior in 5 out of 10 consecutive trials. The coil
77 was always maintained in the midline to avoid the problem of left-right anatomical and
78 functional asymmetry, on top of the unknown exact geometrical location of the maximum
79 field intensity of the H7-coil. In this way, the threshold determined for the left TA
80 corresponded to an intensity strong enough to evoke action potentials in the pyramidal
81 neurons on the mesial cortex at that depth in each individual. Repetitive TMS was delivered
82 with a biphasic magnetic stimulator (Magstim Rapid2; The Magstim Company, Whitland,
83 South West Wales, UK) with a frequency of 1Hz and at 110% AMT intensity. Nine hundred
84 pulses were administered over the MPFC, 5 cm anterior to the Tibialis anterior hot-spot, for
85 15min. By choosing this location, we assured that the maximum field would cross areas BA
86 8/9, which are located in front of the peSMA [41; 42]. When administered in accordance
87 with current international guidelines, transcranial magnetic stimulation has been shown to
88 be safe[43; 44], with few mild adverse effects, although we acknowledge that these safety
89 guidelines are derived primarily from studies using conventional figure-8 coils.
90 We used medium intensity stimulation (i.e., 110% of the active motor threshold; average
91 effective intensity 66 \pm 8% of the maximum stimulator output) of the H7-coil, which would
92 have penetrated effectively up to a depth of 3.5cm from the surface of the scalp (Figure 1B),
93 corresponding to the mesial PFC region (Figure 1C).

94 **- please insert Figure 1 here -**

95 **Resting state functional MRI**

96 The following describes the resting state acquisitions and analyses used for Study 1 and 2.
97 Acquisition Study 1: Functional images were acquired with a multi-echo echo planar
98 imaging sequence with online reconstruction (repetition time (TR), 2.47s; flip angle, 78°;

99 matrix size 64 x 64; resolution 3.0 x 3.0 x 3.0 mm; FOV, 240mm; 32 oblique slices,
100 alternating slice acquisition slice thickness 3.75mm with 10% gap; iPAT factor, 3;
101 bandwidth (BW) = 1698Hz/pixel; echo time (TE) = 12, 28, 44 and 60ms).
102 Study 2: Functional images were acquired with a multi-echo echo planar imaging sequence
103 (TR, 2.47s; flip angle, 70°; matrix size 70 x 60; in-plane resolution, 3.0mm; FOV, 210mm; 34
104 oblique slices, alternating slice acquisition slice thickness 3.0mm with 0% gap; iPAT factor,
105 3; bandwidth (BW) = 2552Hz/pixel; TE = 12, 28, 44, and 60ms).
106 For both studies, anatomical images were acquired using a T1-weighted magnetization
107 prepared rapid gradient echo (MPRAGE) sequence (76 x 240 field of view (FOV); resolution
108 1.0 x 1.0 x 1.0 mm; inversion time, 1100ms).

109 *Preprocessing*

110 The following processing and analyses apply to both resting state fMRI data unless stated
111 otherwise. To enhance signal-to-noise ratio, we used multi-echo EPI sequence and
112 independent component analysis (ICA), which allows data to be denoised for motion,
113 physiological, and scanner artifacts in a robust manner based on physical principles [45].
114 Multi-echo independent component analysis (ME-ICA v2.5 beta6; <http://afni.nimh.nih.gov>)
115 was used for data analysis and denoising. ME-ICA decomposes the functional data into
116 independent components using FastICA. BOLD percent signal changes are linearly
117 dependent on echo time (TE), a characteristic of the T2* decay. TE dependence of BOLD
118 signal is measured using the pseudo-F-statistic, Kappa, with components that scale strongly
119 with TE having high Kappa scores[46]. Non-BOLD components are TE independent and
120 measured by the pseudo-F-statistic, Rho. Components are thus categorized as BOLD or non-
121 BOLD based on their Kappa and Rho weightings, respectively. Non-BOLD components are
122 removed by projection, robustly denoising data. Each individual's denoised echo planar
123 images were coregistered to their MPRAGE and normalized to the Montreal Neurological

124 Institute (MNI) template. Spatial smoothing of the functional data was performed with a
125 Gaussian kernel (full width half-maximum = 6mm).

126 *Region of interest (ROI)-driven analysis*

127 We performed ROI-driven functional connectivity analysis using CONN-fMRI Functional
128 Connectivity toolbox[47] for Statistical Parametric Mapping SPM8
129 (<http://www.fil.ion.ucl.ac.uk/spm/software/spm8/>), using denoised, coregistered,
130 smoothed functional data. The time course for each voxel was temporally band-pass filtered
131 ($0.008 < f < 0.09$ Hz). Each individual's anatomical scan was segmented into grey matter,
132 white matter and cerebrospinal fluid. Significant principle components of the signals from
133 white matter and cerebrospinal fluid were removed.

134 *Study 1: Intrinsic functional connectivity mapping*

135 For intrinsic rsFC mapping in 154 healthy volunteers, ROI-to-whole brain connectivity was
136 computed for mesial PFC and mid cingulate ROI's. Connectivity maps were thresholded at
137 FWE $p < 0.05$ whole brain corrected. Both positive and negative functional connectivity was
138 examined across the whole brain. Anatomically-defined ROIs were manually created or
139 altered using MarsBaR ROI toolbox[48] for SPM (see Supplementary Methods for seed
140 definitions)

141 *Study 2: Effects of rTMS: ROI-based*

142 To address the *a priori* hypothesis, ROI-to-ROI functional connectivity was first computed
143 using Pearson's correlation between BOLD time courses for mesial PFC with ventral
144 striatum, both pre- and post-TMS. These were entered into a paired samples t-test to
145 compare between pre- and post-TMS. For the *a priori* ROI-to-ROI functional connectivity
146 analysis between the mesial PFC and VS, $p < 0.05$ was considered significant. On an
147 exploratory basis, to assess the impact of rTMS on rsFC of deeper structures such as the

148 mid-cingulate which lies immediately below the mesial PFC, ROI-to-ROI functional
149 connectivity of mesial PFC to mid cingulate and mid cingulate to VS were examined pre- and
150 post-TMS. $P < 0.025$ was considered significant (Bonferonni corrected for multiple
151 comparisons). The VS anatomical ROI has previously been used[49] and hand drawn using
152 MRICro (<http://www.cabiatl.com/micro/micro/>) based on a published definition of
153 VS[50].

154 *Effects of rTMS: Independent component analysis (Study 2)*

155 To confirm the ROI-to-ROI findings, we then conducted ICA. While ICA has been shown to
156 engender statistically similar results as seed based approaches in healthy volunteers[51],
157 ICA is a multivariate data-driven approach that requires fewer *a priori* assumptions and
158 takes into account interacting networks. Therefore, if TMS affects larger scale neural
159 networks, ICA should succeed in highlighting this. Denoised, coregistered, and smoothed
160 functional data was entered into ICA analysis using FSL MELODIC 3.14 software (FMRIB,
161 University of Oxford, UK; www.fmrib.ox.ac.uk/fsl/melodic2/index.html) that performs
162 probabilistic ICA to decompose data into independently distributed spatial maps and
163 associated time courses to identify independent component variables[52]. A high model
164 order of 40 was used as a fair compromise between under- and over-fitting[53]. Multi-
165 session temporal concatenation was used to allow computation of unique temporal
166 responses per subject/session. Comparisons between pre- and post-TMS was performed
167 using FSL dual regression for reliable and robust[54] voxel-wise comparisons using
168 nonparametric permutation testing with 5000 permutations and using threshold free
169 cluster enhancement (TFCE) controlling for multiple comparisons[55]. Group differences of
170 components that include MPFC were calculated with $p < 0.05$ thresholds.

171

172 Results

173 Baseline mapping

174 Intrinsic resting state whole brain connectivity maps for mesial PFC and mid cingulate are
175 displayed in Figure 2 and reported in Supplementary Table S1 and S2. Both positive and
176 negative functional connectivity are displayed. Mesial PFC and mid cingulate showed
177 opposite patterns of connectivity with ventral striatum: mesial PFC had negative but mid
178 cingulate had positive functional connectivity with VS.

179 **- please insert Figure 2 here -**

180 Effects of TMS

181 Focusing on our *a priori* hypothesis, we show that after rTMS, mesial PFC had reduced
182 functional connectivity with ventral striatum ($t=2.201$, $p=0.043$) (Figure 3). We then show
183 an effect on mid-cingulate functional connectivity with reduced functional connectivity
184 following rTMS between the mesial PFC and mid-cingulate ($t=4.325$, $p=0.001$) and
185 enhanced functional connectivity between mid-cingulate and VS ($t=-2.495$, $p=0.024$).

186 **- please insert Figure 3 here -**

187 We conducted ICA on the resting state data pre- and post-rTMS to confirm our *a priori*
188 hypothesis and analysis. Out of 40 components, three included prominent mesial frontal
189 cortex (Figure 4 and Supplementary Table S3). Of the three mesial frontal network
190 components, dual regression revealed that one of these components (IC11) was
191 significantly decreased post-rTMS (TFCE $p=0.0360$). The IC00 included prominent dmPFC;
192 the IC11 included dmPFC, preSMA, and SMA; the IC38 included prominent anterior and mid
193 cingulate, and dmPFC. The dmPFC/ACC can be considered part of the dorsal attention
194 network.

195 **- please insert Figure 4 here -**

196

197 **Discussion**

198 We characterized the effects of deep wide-field mesial prefrontal rTMS on the resting-state
199 functional network in healthy individuals. We first mapped intrinsic functional connectivity
200 of mesial prefrontal and mid-cingulate cortical regions in a large sample of healthy
201 volunteers. We found that intrinsic functional connectivity of the mesial PFC region of
202 interest with ventral striatum was negative, whereas the intrinsic functional connectivity of
203 mid-cingulate connectivity with ventral striatum was positive. Then, we show that deep
204 wide-field inhibitory rTMS targeting the mesial PFC decreases rsFC between this broad
205 mesial PFC region and the ventral striatum. These findings were further confirmed with ICA
206 analysis, a data-driven approach. Based on the modeling of the magnetic field distribution,
207 induced-electrical field decay, and the depth of the target region stimulated, we likely also
208 inhibited directly the dorsal posterior regions of Brodmann Area 32, corresponding to
209 dorsal anterior cingulate – a fact subsequently confirmed by the ICA analysis. Inhibitory
210 rTMS also decreased functional connectivity of the ‘stopping’ network including pre-SMA,
211 right inferior frontal cortex, and ventral caudate. This is in line with previous reports, in
212 which inhibitory rTMS (including continuous theta burst stimulation) targeting the pre-
213 SMA with standard figure-of-eight coil has been shown to enhance motor response
214 inhibition [56].

215 We also found effects of deep rTMS on connectivity between deeper structures such as the
216 mid-cingulate cortex, which was unlikely to be directly stimulated with our stimulation
217 parameters: decreased rsFC between the broad mesial PFC and mid-cingulate cortex, and,
218 unexpectedly, enhanced rsFC between mid-cingulate cortex and ventral striatum. These
219 findings suggest that while deep wide-field mesial prefrontal inhibitory rTMS might directly

220 decrease the functional connectivity between the stimulated and the connected structures,
221 the decreased influence from superficial cortical regions might indirectly enhance the
222 intrinsic connectivity between remote structures (i.e., the mid-cingulate cortex and ventral
223 striatum).

224 Application of rTMS to superficial cortical regions with the strongest negative functional
225 connectivity with subgenual ACC has already been shown to be most clinically efficacious in
226 reducing depression[57]. Thus, based on the deep cortical or subcortical structure of
227 interest for a given disorder, appropriate superficial sites for rTMS can be selected based on
228 intrinsic functional connectivity strengths and patterns. Since we demonstrate in our
229 second study that there is an exaggeration of intrinsic functional connectivity strengths
230 with deep inhibitory rTMS, detailed mapping of baseline connectivity patterns will inform
231 the selection of rTMS targets with the aim to ‘normalize’ aberrant underlying functional
232 connectivity in disease states. The outcome of this modulation could be of interest in the
233 treatment of disorders relying on the mesioprefrontal-cingulo-striatal circuit.

234 The H-coil series was originally designed to have a significant impact on deep structures,
235 like the anterior cingulate cortex[6; 7]. It has been used with different degrees of success to
236 treat depression[58; 59], alcohol use disorders [60], nicotine addiction[61], and even as
237 adjunctive therapy in Parkinson’s disease[62], blepharospasm [63], and chronic migraine
238 [64]. Due to the quick drop in TMS efficacy with increasing target depth[65], it has been
239 proposed that any stimulation outside the primary motor cortex should be referenced to
240 motor cortex excitability and adjusted to the target depth[66; 67]. The original assertion
241 that the H-coil can modulate the activity of deep structures has been based mainly on
242 calculating the intensity of the induced electrical field at different depths for a given
243 stimulation intensity[40]. However, other factors can significantly influence the efficacy of
244 rTMS, including the orientation of the coil[68; 69; 70] and the configuration of the subjacent

245 and/or target cortex[71; 72; 73; 74; 75], as well as the secondary electrical fields generated
246 at the boundary between the cerebrospinal fluid and the gray matter [76]. Subsequent
247 studies of the distribution of the magnetic field generated by the H-coil revealed that the
248 largest field intensity variation and hence, the functional effect covers first the mesial
249 neuronal structures in close proximity to the coil, i.e., superior MF areas, like dMPFC, pre-
250 SMA, SMA[40; 77; 78; 79], and only secondarily deeper structures such as the cingulate
251 cortex if stimulation intensity is high enough[7; 40]. In order to reach the stimulation
252 threshold of neurons, a total field of 30–100 V/m is needed, depending on the neurons [80].
253 Since focal coils, like flat 8-shaped or double-cone coils, produce very strong fields that
254 decay fast as a function of distance, 500 V/m would be induced at 1 cm depth (i.e. scalp) for
255 50 V/m at 5cm, which would be very uncomfortable due to superficial muscle contraction
256 under the stimulated site[6]. According to our simulations (Figure 1B) using a spherical
257 head model, the structure of the H7-coil induces only 150V/m at 1cm in the same
258 conditions, albeit at the cost of focality, making it more tolerable. In this study, we used
259 medium intensity stimulation (i.e., 110% of the active motor threshold; average effective
260 intensity $66\pm 8\%$ of the maximum stimulator output), which would have stimulated a region
261 of interest corresponding to the mesial PFC. This allowed us to influence directly the output
262 of these areas and indirectly the activity of functionally linked structures[81; 82; 83; 84; 85;
263 86]. Based on the simulated model of the target and depth reached using our stimulation
264 parameters, we likely directly stimulated down to dorsal posterior regions of Brodmann Area
265 32 corresponding to dorsal anterior cingulate. However, it is unlikely that we directly
266 stimulated the mid-cingulate; thus any change in connectivity observed in the mid-cingulate
267 would likely be an indirect effect via changing the functional output of connected areas.
268 Here, we extend the understanding of the effects of magnetic stimulation over the middle
269 frontal areas, following previous TMS studies investigating more superficial stimulation of

270 the lateral frontal areas[57; 87; 88; 89]. Subsequent studies are indicated to investigate the
271 influence of higher intensities and higher frequencies[90] on rsFC of frontal superficial and
272 deep structures, when applied with coils designed to reach broader regions. The magnetic
273 field generated by an H7-coil is covering a much wider area of the frontal lobe, but as with
274 the classical double-cone coil, which has a similar shape but smaller, the magnetic field
275 generated at the edges of the coil is assumed to be non-focal and weak enough as not to
276 induce a meaningful neuronal depolarization.

277 We delivered magnetic pulses at 1Hz for 15 minutes. This frequency can induce a long term
278 depression (LTD)-like effect in the targeted neuronal networks that outlasts the stimulation
279 for a sufficient duration to assess the influence on resting-state fMRI[91; 92; 93; 94]. By
280 using low stimulation intensities, we effectively depressed the excitability of the superior
281 mesial prefrontal areas and possibly also the dorsal posterior region of Brodmann Area 32
282 corresponding to dorsal anterior cingulate cortex. An LTD-like effect would thus decrease
283 neuronal excitability in the mesial PFC, rendering it less responsive to incoming
284 information. Decreased responsiveness would functionally decouple this region from both
285 neighboring and deeper structures. Indeed, we found reduced functional connectivity of the
286 broad mesial PFC with mid-cingulate, and between the broad mesial PFC and ventral
287 striatum, with ICA confirming decreases in the network including mesial PFC, dorsal
288 anterior cingulate and ventral caudate/ventral striatum. Since the fronto-striatal network
289 relies on a dynamic equilibrium between its different parts[11; 95; 96], functionally
290 “nudging” one part should entrain a reconfiguration of all functional connections, including
291 functional connectivity between remote regions receiving projections from the stimulated
292 region. This seems to be the case in our study: we found increased functional connectivity
293 between the mid-cingulate area and ventral striatum after inhibiting the mesial PFC.

294 The outcome of this modulation could be of interest in treatment of disorders relying on the
295 mesioprefrontal-cingulo-striatal circuit. In healthy humans, this circuit is involved in
296 cognitive and emotional control, error and conflict monitoring[97; 98; 99], response
297 inhibition[100], and positive and negative prediction error and anticipation[101; 102; 103].
298 Abnormal cortico-ventro striatal hyperconnectivity has been OCD[104; 105; 106] and
299 addictions (for a review see[107]). In disorders of addiction, decreased functional
300 connectivity between the ventral striatum and the cingulate cortex bilaterally is commonly
301 observed[29; 32], with enhanced dorsal cingulate and ventral striatal activity in the context
302 of drug cues[108]. Numerous targets had been proposed for invasive deep brain stimulation
303 aimed at correcting these imbalances, including the anterior limb of the internal
304 capsule[109], subthalamic nucleus[110], and ventral striatum/nucleus accumbens[111]. In
305 order to avoid the risks of an invasive procedure, studies have explored stimulating other
306 nodes of these networks that are accessible to TMS at the surface of the brain. Stimulation of
307 the dorsolateral prefrontal cortex, is (arguably[58; 59]) successful in treatment-resistant
308 major depressive disorder[4; 112], with modest results in OCD[113]. On the other hand,
309 stimulation of the dorso-medial prefrontal cortex [114] or preSMA/SMA complex[115; 116;
310 117] seems slightly more encouraging. Notably, there is no gold standard yet for the
311 frequencies to be used. The stimulation frequencies used thus far in most studies cover a
312 wide range including continuous delivery at 1Hz, or intermittently at 10 or 18Hz in 5s trains
313 separated by breaks of 10s. While 1Hz stimulation is known to induce LTD-like effects, the
314 mechanism of action and the eventual outcome of other multiple medium-frequency trains
315 is still open to debate and investigation[118; 119].

316 Wide inhibitory stimulation of the dorso-mesial areas of the frontal lobe might have both
317 clinical and mechanistic benefit. Wider superficial stimulation has a clear clinical benefit
318 allowing a reduction in the intensity of the stimulation with deeper stimulation, thus

319 increasing patients' comfort and adherence by decreasing superficial muscle contraction,
320 and minimizing risks. Aberrant activity in networks in psychiatric disorders may affect
321 broader regions that can be targeted via wide inhibitory stimulation. We show that
322 stimulation that is both wide and deep is associated with decreased connectivity between
323 the mesial prefrontal areas and deeper structures (like the mid-cingulate areas and ventral
324 striatum), with possibly a secondary effect of increasing connectivity between cingulate and
325 ventral striatum. Wider stimulation will also have a broader effect on multiple neural
326 regions, impacting a wide range of cognitive functions. Using the H7-coil with inhibitory
327 rTMS is thus consistent with both inhibition of the pre-SMA shown to enhance motor
328 response inhibition[56] and decreased dorsal cingulate activity associated with drug cue
329 reactivity[108]. Therefore, the H7-coil has the capacity to both enhance the response
330 inhibition associated with the stopping network in disorders of addiction, and decrease
331 drug cue reactivity associated with the dorsal cingulate and ventral striatum. However, it is
332 unclear whether decreasing dorsal cingulate activity across all conditions would be the
333 optimal approach, as resting state functional connectivity between cingulate and ventral
334 striatal regions are commonly decreased in disorders of addiction. Further studies
335 investigating a state-specific effect of rTMS may be relevant with pairing H-coil stimulation
336 with drug cues with or without concurrent response inhibition. It also remains to be
337 established whether our findings are specific to wide-field deep rTMS or whether focal deep
338 rTMS (which is be more difficult to tolerate) would show similar rsFC pattern changes
339 within cingulate regions.

340 This study is not without limitations. While we did not have a sham control, we note that
341 our findings revealed both increases and decreases in connectivity – suggesting that an
342 order effect is unlikely to account for these observations. [It is also technically impossible to](#)
343 [achieve a realistic sham with the H-coil, since the real stimulation evokes a specific,](#)

344 unconfoundable small contraction of the anterior belly of the occipitofrontal muscle. The
345 localization of the peak stimulus effect is also more difficult with the H-coil, since the coils'
346 positions inside the helmet are flexible and the precise technical characteristics of the coils
347 are proprietary to the company. We do present, however, an X-ray of the coil structure and
348 the geometrical approximation of the coil used in the modeling of the magnetic field
349 penetration depth (Supplemental Figure 1). Subsequent studies testing higher frequencies
350 and/or intensities are indicated, as well as repeated stimulation sessions (over minimum 4
351 weeks) in preparation for clinical trials.

352 We highlight that non-invasive wide and deep inhibitory brain stimulation appears to
353 decrease the underlying functional connectivity of regions immediately within the
354 stimulation zone while enhancing functional connectivity of deeper structures such as mid-
355 cingulate to ventral striatum. This unexpected finding might be related to the decreased
356 influence from superficial cortical regions via decreased cortico-cortical connectivity. A
357 deep wide-field coil allows both greater tolerability and the capacity to influence multiple
358 relevant neural regions and cognitive functions. These dissociable findings may be relevant
359 particularly to disorders of addiction and OCD, and have implications for designing
360 interventional deep rTMS studies.

361

362

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368

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381 Dr. Hallett may accrue revenue on US Patent #7,407,478 (Issued: August 5, 2008): Coil for
382 Magnetic Stimulation and methods for using the same (H-coil). He has received license fee
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- 836

837 **Figure Legends**

838 **Figure 1.** Stimulation paradigm. (A) Schematic representation of the movement of the
839 projection of the geometric center of the H7 coil 5 cm in front of the empirically found hot-
840 spot for the left *Tibialis anterior* muscle [41; 42]. The points represent an ideal (not
841 neuronavigated) center of the interior of the H7 helmet. (B) Estimation of the induced
842 electrical field intensity with distance from the coil for stimulation at 110% of the active
843 motor threshold (AMT) – our intensity of choice, and 120% AMT and 110% resting motor
844 threshold – higher intensities distribution modeled for comparison. The dotted line
845 represents the theoretical intensity of the induced electrical field for AMT. (C) Sagittal
846 section showing the area in the dorso-mesial prefrontal cortex found at an equivalent depth
847 to the *Tibialis anterior* motor representation.

848

849 **Figure 2.** Intrinsic resting state connectivity maps for mesial prefrontal cortex (PFC) and
850 mid cingulate cortex seeds to whole brain in healthy controls. Positive (yellow-red) and
851 negative (green-blue) functional connectivity are displayed. The rectangular insets at $y=8$
852 highlighting differences in direction of connectivity of the striatum are shown for the mesial
853 PFC (bottom row, left) and mid cingulate (bottom row, right). Coronal images (y -values
854 shown above image) are thresholded at whole brain family wise error corrected $p < 0.05$ on
855 a standard MNI template.

856

857 **Figure 3.** Effects of repetitive transcranial magnetic stimulation (rTMS) on intrinsic
858 functional connectivity in healthy controls. Functional connectivity is schematically
859 illustrated at baseline (i.e. pre-rTMS; top left) and post-rTMS (bottom left); pre- and post-
860 rTMS effects on seed-to-seed functional connectivity are shown in the bar graphs. After
861 rTMS, functional connectivity between mesial prefrontal cortex (mPFC) and ventral

862 striatum (VS), and between mPFC and mid cingulate cortex (MCC) was reduced, while
863 functional connectivity between MCC and VS was increased (the thickness of the arrows
864 correspond to strength, and color to direction: red – positive connectivity, blue – negative
865 connectivity). Error bars are shown as standard error of the mean. * $p < 0.05$, ** $p = 0.001$

866

867 **Figure 4.** Functional connectivity at rest between different regions of interest explored with
868 independent component analysis pre- and post-rTMS. Three components included
869 prominent mesial-frontal cortex (IC00, IC11 and IC38). The insert shows IC11, which
870 included supplementary motor area (SMA), pre-SMA, dorsomedial prefrontal cortex/dorsal
871 cingulate, and ventral caudate/striatum, and bilateral inferior frontal cortices was
872 significantly decreased post-rTMS. * $p < 0.05$

