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### Paper:

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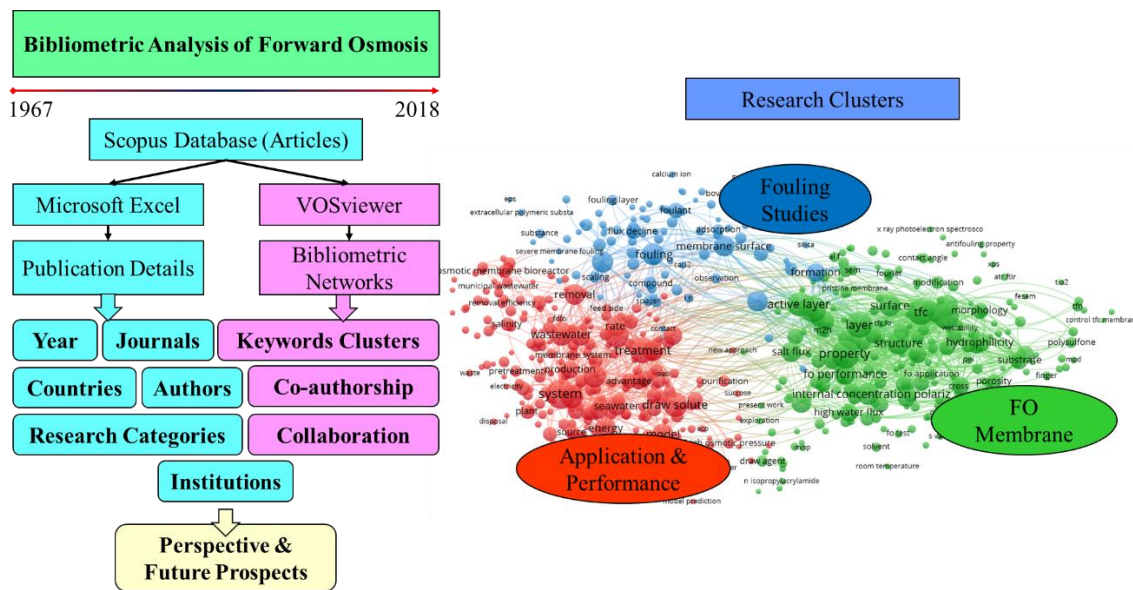
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24 **Graphical Abstract**

25

26

27 **Abstract**

28 Issues of water scarcity and water security have driven the rapid development of  
 29 various technologies to ensure water sustainability. The forward osmosis (FO)  
 30 membrane process has been widely recognized as one of the more promising  
 31 technologies to play an important role in alleviating the issues of water  
 32 sustainability. Extensive research has been carried out worldwide to explore the  
 33 potential of FO in desalination, water and wastewater treatment and reclamation.  
 34 It is of the utmost importance to understand the topics of interest and research  
 35 trends to further advance the development of FO process technology. In this study,  
 36 a bibliometric analysis based on the Scopus database was carried out to identify  
 37 and understand the global research trends of FO process based on 6 main  
 38 analyses: basic growth trends, journals, countries, institutions, authors, and  
 39 keywords. A total of 1462 article records published between 1967-2018 were

40 extracted from Scopus and used as the raw data for bibliometric analysis using  
41 VOSviewer software. The total number of FO articles has sharply increased since  
42 2009 and stabilized at around 250 publications in the past three years. The  
43 increase could be associated with the breakthrough in FO membrane science,  
44 where the contributions were from the 5 most productive countries: USA, China,  
45 Singapore, Australia, and South Korea. FO research started to diversify after the  
46 appearance of commercial FO membranes with improved characteristics, enabling  
47 the researchers to employ them for various application studies. Keywords analysis  
48 showed that the main directions of FO research could be categorized into three  
49 clusters: application of FO, membrane fouling study, and FO membrane synthesis.  
50 These bibliometric results provide a valuable reference and information on current  
51 research directions of FO for researchers and industry practitioners who are  
52 interested in FO technology.

53

54 **Keywords: Bibliometric Analysis; Forward Osmosis; VOSviewer; Water**  
55 **Treatment; Desalination**

56

## 57 **Introduction**

58 Water is one of the most precious natural resources, essential for sustaining life  
59 on earth. Without a sufficient and consistent supply of clean water, anthropogenic  
60 activities will be disrupted and socio-economic development will come to a  
61 standstill. However, increased demand for clean water from the rapid growth of  
62 human population, accelerated industrialization and urbanization and intensive

63 agriculture activities, coupled with increased risk from climate change have  
64 significantly shrunk the limited freshwater resources [1,2]. In addition, the situation  
65 has been exacerbated by the disposal and released of hazardous pollutants,  
66 leading to contamination of water resources. All these incidents have led to water  
67 scarcity crises in various regions of the world. The consequences of water pollution  
68 and overexploitation of water resources are increasingly critical and putting lives  
69 and livelihoods at risk and reducing socio-economic growth in many countries [3].

70

71 The United Nations has encouraged many countries to set the production of clean  
72 water as a primary national agenda that needs to be addressed urgently [4].

73 Concurrently, many researchers have been involved in various areas of water  
74 research with the aim to identify the most technically- and economically-feasible  
75 processes to produce clean water for consumption. Over the past few decades,  
76 technological advancement has revolutionized the water industry with the  
77 emergence of several technologies capable of producing clean water from various  
78 water resources (including unconventional water sources such as contaminated  
79 water, wastewater and seawater). Among these technologies are adsorption,  
80 membrane separation, advanced oxidation processes and biological processes  
81 [5–7]. The development of water and wastewater treatment processes have even  
82 extended into the hybridization and integration of several technologies into more  
83 compact systems, as can be found in past publications [8–11].

84

85 Membrane technology has become one of the major technologies used in various  
86 water and wastewater treatment processes due to its efficient removal of  
87 contaminants from water bodies. For instance, reverse osmosis (RO) has been  
88 widely used to extract clean water from seawater [2,12]. Whilst RO is efficient at  
89 removing almost all impurities and contaminants in the water, its use in producing  
90 clean water poses several challenges. The operation of RO requires high hydraulic  
91 pressure, which increases the energy consumption and fouling of the membrane  
92 [13]. Although the advance of technology has significantly reduced the energy  
93 consumption and cost of the RO-based process, it is still energy- and cost-  
94 intensive [14]. This can become an economic burden for developing and under-  
95 developed countries to adopt membrane technology to address water scarcity  
96 issues. Hence, an alternative technology with lower energy consumption and costs  
97 for clean water production should be explored.

98

#### 99 Forward Osmosis Membrane Process

100 Forward osmosis (FO) is an emerging membrane separation technology that has  
101 gained much attention for many applications in the past few years. Unlike the RO  
102 process that needs external pressure to function, FO is driven by the osmotic  
103 pressure difference between a concentrated draw solution (DS) and a diluted feed  
104 solution (FS) across a semipermeable membrane [2,15]. It has been reported that  
105 FO has very high water recovery, lower membrane fouling propensity and greater  
106 energy efficiency than the RO membrane process [16–19]. Due to these reasons,  
107 FO has attracted attention from both academic researchers and industrial

108 practitioners [20]. FO has been applied to various areas including food processing,  
109 wastewater treatment, desalination and power generation [2,11,21,22].

110

111 Despite the high potential shown by the FO process, there remains several  
112 challenges that need to be overcome for successful commercial implementation of  
113 this technology. Some of the problems frequently encountered in FO processes  
114 include high reverse solute flux, concentration polarization (internal and external),  
115 weak membrane mechanical strength, low membrane flux, and intensive energy  
116 consumption for the regeneration of DS and recovery of water from DS [23]. These  
117 challenges must be resolved in order for the FO process to be truly competitive  
118 compared to other technologies and to be attractive to industry. The key aspects  
119 towards successful FO process are the improvement of energy efficiency of the  
120 whole process (FO and DS), membrane properties (water flux, antifouling,  
121 concentration polarization and reverse solute flux), draw solutes (osmotic pressure,  
122 regeneration and recoverability) and the integration or hybridization of FO with  
123 other technologies [20,24]. All these key aspects are interrelated and improvement  
124 in one aspect might not be an accurate indication on whether the FO process is  
125 more competitive or not. Hence, it is difficult to draw a comprehensive conclusion  
126 on the feasibility of the FO process for a particular application without looking at  
127 the larger picture that encompasses all the key aspects.

128

129 Extensive research has been conducted to resolve the challenges encountered by  
130 FO process. At the same time, advances in technology have resulted in improved

131 performance of the FO process in the areas of FO membranes, draw solution,  
 132 application and modeling. This can be seen from the publication of several review  
 133 papers that have summarized the state of the art of FO technology. Table 1 shows  
 134 the Top 20 review papers, in terms of numbers of citations, on FO of the past few  
 135 years. To allow for the time required to build up the citation number, Table 2  
 136 tabulates the FO review papers that have been published from 2017 onwards. As  
 137 can be seen from Table 1, most of the highly cited review papers discussed the  
 138 application of the FO process in various industries, indicating that FO technology  
 139 has drawn tremendous attention from researchers working in various applications.  
 140 The applications of the FO process range from wastewater treatment to food  
 141 manufacturing industries and desalination. On top of this, the highly cited FO  
 142 review papers also focused on the topics related to the synthesis and fabrication  
 143 of better performing FO membrane and the exploratory studies of draw solutes  
 144 with better recoverability and easier regeneration. This trend aligns well with the  
 145 interest of researchers to resolve the two major challenges that are currently  
 146 impeding the performance of FO process.

147

148 **Table 1**

149 Top 20 highly cited FO review papers

No.	Title	Year	Journal*	Focus Area	Cited	Ref.
1	Forward osmosis: Principles,	2006	JMS	FO membrane and application	1248	[25]



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	applications, and						
	recent developments						
2	Recent developments in forward osmosis: Opportunities and challenges	2012	JMS	FO application and challenges of FO process	633	[26]	
3	Forward osmosis: Where are we now?	2015	DES	FO membrane, draw solutes, fouling and application	261	[20]	
4	Forward osmosis for application in wastewater treatment: A review	2014	WR	FO for wastewater treatment	252	[23]	
5	Emerging forward osmosis (FO) technologies and challenges ahead for clean water and clean energy applications	2012	COCE	FO membrane and draw solutes for water and energy applications	192	[17]	
6	Membrane fouling in osmotically driven	2016	JMS	FO membrane fouling	178	[27]	

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	membrane processes:					
	A review					
7	A review of draw solutes in forward osmosis process and their use in modern applications	2012	DWT	Draw solutes	134	[28]
8	Forward osmosis niches in seawater desalination and wastewater reuse	2014	WR	FO for desalination and wastewater reuse	122	[22]
9	A critical review of transport through osmotic membranes	2014	JMS	Mechanisms and models of solute transport	88	[29]
10	Rejection of trace organic compounds by forward osmosis membranes: A literature review	2014	EST	FO application in rejecting trace organic compounds	83	[30]
11	A comprehensive review of hybrid forward osmosis systems:	2016	JMS	Hybrid FO process in various applications	82	[31]

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	Performance,					
	applications	and				
	future prospects					
12	Membrane	2012	JFE	FO application in	75	[32]
	concentration of liquid			food industry		
	foods by forward					
	osmosis: Process and					
	quality view					
13	Membrane-based	2016	WR	FO application	70	[33]
	processes for			for wastewater		
	wastewater nutrient			nutrient recovery		
	recovery: Technology,					
	challenges, and future					
	direction					
14	Recent advances in	2016	PPS	FO membrane	66	[34]
	polymer and polymer			synthesis and		
	composite			fabrication		
	membranes for					
	reverse and forward					
	osmosis processes					
15	Osmotic membrane	2016	JMS	FO	for 64	[35]
	bioreactor (OMBR)			wastewater		
	technology for					

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	wastewater treatment				treatment and		
	and reclamation:				reclamation		
	Advances,						
	challenges, and						
	prospects for the						
	future						
16	Fertilizer drawn	2012	RESB	FO application	63	[36]	
	forward osmosis			for fertigation			
	desalination: The						
	concept, performance						
	and limitations for						
	fertigation						
17	A review on the	2014	JWPE	Draw solute	53	[37]	
	recovery methods of			regeneration			
	draw solutes in						
	forward osmosis						
18	What is next for	2015	SPT	Short review on	46	[38]	
	forward osmosis (FO)			FO membrane			
	and pressure retarded			and application			
	osmosis (PRO)						
19	The osmotic	2015	ESWRT	FO application	45	[39]	
	membrane bioreactor:			and draw solutes			
	A critical review						

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20 Forward osmosis: 2015 RCE FO application 34 [40]

Understanding the

hype

150 \*JMS – Journal of Membrane Science; DES – Desalination; WR – Water Research; COCE – Current Opinion  
151 in Chemical Engineering; DWT – Desalination and Water Treatment; EST – Environmental Science and  
152 Technology; JFE – Journal of Food Engineering; PPS – Progress in Polymer Science; RESB – Reviews in  
153 Environmental Science and Biotechnology; JWPE – Journal of Water Process Engineering; SPT – Separation  
154 and Purification Technology; ESWRT – Environmental Science: Water Research and Technology; RCE –  
155 Reviews in Chemical Engineering

156

157 The interest in FO technology does not stop with the highly cited review papers.  
158 Within the 2-year period 2017-2018, another 18 new review papers have been  
159 published. As expected, the three major focus areas of the review papers remain  
160 the same, which are the FO membrane, draw solutes and application of FO  
161 process. Overall, the published review papers reflect the trends of FO research  
162 and the areas that are of most concern to the research community. Unsurprisingly,  
163 the areas of interest resonate with the key aspects and challenges of the FO  
164 process. However, as the research on FO technology is growing at an accelerated  
165 rate, it is hard for the stakeholders (especially researchers and industry  
166 practitioners) in the field to read all the publications thoroughly. Therefore, on top  
167 of the review and scientific papers that have been focusing on technical findings,  
168 it is necessary to properly summarize the existing research using an appropriate  
169 method to capture the trends of FO research related to water.

170

171

172 **Table 2**

173 Review papers on FO from 2017 onwards

No.	Title	Year	Journal*	Focus Area	Ref.
1	Forward osmosis application in manufacturing industries: A short review	2018	MEM	FO application in manufacturing industries	[41]
2	Recent advance on draw solutes development in Forward Osmosis	2018	PRO	Draw solutes	[42]
3	Advances in forward osmosis membranes: Altering the sub-layer structure via recent fabrication and chemical modification approaches	2018	DES	FO membrane synthesis and fabrication	[43]
4	Salinity build-up in osmotic membrane bioreactors: Causes,	2018	BT	FO application	[44]

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	impacts, and potential cures					
5	Membranes and processes for forward osmosis-based desalination: Recent advances and future prospects	2018	DES	FO membrane synthesis and hybrid process application	[45]	
6	Osmotic's potential: An overview of draw solutes for forward osmosis	2018	DES	Draw solutes	[46]	
7	Osmotic membrane bioreactor and its hybrid systems for wastewater reuse and resource recovery: Advances, challenges, and future directions	2018	CPR	FO application	[47]	
8	Prospect of ionic liquids and deep eutectic solvents as new generation draw	2018	JWPE	Draw solutes	[48]	

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	solution in forward osmosis process					
9	An odyssey of process and engineering trends in forward osmosis	2018	ESWRT	FO	membrane, application and draw solutes	[49]
10	Understanding mass transfer through asymmetric membranes during forward osmosis: A historical perspective and critical review on measuring structural parameter with semi-empirical models and characterization approaches	2017	DES	Modeling	and characterization of forward osmosis membrane	[50]
11	A short review of membrane fouling in forward osmosis processes	2017	MEM	FO	membrane fouling	[51]
12	Studies on performances of	2017	DWT	FO	for desalination process	[52]

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- membrane, draw  
solute and modeling of  
forward osmosis  
process in desalination  
– a review
- 13 Employing forward 2017 JT Hybrid FO process for [53]  
osmosis technology potable water  
through hybrid system production  
configurations for the  
production of  
potable/pure water: A  
review
- 14 Advances in draw 2017 CEJ Draw solutes [54]  
solute for forward  
osmosis: Hybrid  
organic-inorganic  
nanoparticles and  
conventional solutes
- 15 Recent advances in 2017 DES FO membrane [55]  
forward osmosis (FO) synthesis and  
membrane: Chemical fabrication  
modifications on
-

- 
- membranes for FO processes
- 16 Forward osmosis as a 2017 JMS FO for resource [21]  
platform for resource recovery  
recovery from  
municipal wastewater -  
A critical assessment  
of the literature
- 17 Review on 2017 DES FO membrane [56]  
methodology for  
determining forward  
osmosis (FO)  
membrane  
characteristics: Water  
permeability (A), solute  
permeability (B), and  
structural parameter  
(S)
- 18 A review of forward 2017 ETR FO membrane fouling [57]  
osmosis membrane  
fouling: types,  
research methods and  
future prospects
-

174 \*MEM – Membranes; PRO – Processes; DES – Desalination; BT – Bioresource Technology; CPR – Current  
175 Pollution Reports; JWPE – Journal of Water Process Engineering; ESWRT – Environmental Science: Water  
176 Research and Technology; DWT – Desalination and Water Treatment; JT – Jurnal Teknologi; CEJ – Chemical  
177 Engineering Journal; JMS – Journal of Membrane Science; ETR – Environmental Technology Reviews

178

### 179 Bibliometric Analysis

180 To better understand the past, ongoing and future research landscape of FO  
181 technology, a quantitative analysis that provides usable and relevant statistical  
182 information is required for scientific guidance. Bibliometric analysis is an effective  
183 method that applies quantitative and statistical analysis to describe the historical  
184 progress and quantitative trends of research publications of a subject of interest  
185 [58,59]. Generally, it is a powerful tool that helps academics to explore, organize  
186 and analyse large amounts of information (such as publications, countries,  
187 institutions, authors, journals, categories and keywords) in a quantitative manner  
188 [60]. The outcomes from bibliometric analysis can help to evaluate the present  
189 situation and growth trend of a specific research field and to identify the research  
190 contributions from various countries, institutions and scholars [61,62]. The use of  
191 bibliometric analysis to analyse the trends in research has gained popularity,  
192 encompassing different disciplines such as medicine [63], biomass energy [64],  
193 environmental sciences [65], sustainable city [66], arts and humanities [67],  
194 economics [68], lean and cleaner production in manufacturing [69] and engineering  
195 [70–72]. However, to our best knowledge, even with the publication of several  
196 review papers on FO process, there is a lack of relevant statistical research-trend  
197 information on FO technology based on bibliometric analysis. Considering the

198 growing research interest on FO and its huge potential in various applications, a  
199 quantitative bibliometric study on FO technology will help in terms of advancing  
200 and providing a potential guide for current and future studies.

201

202 The aim of this study is to evaluate the extent and trend of research in FO  
203 technology based on the outputs of the academic literature database using  
204 bibliometric analysis. A comprehensive and multi-perspective summary of the  
205 research on FO was carried out by analyzing the FO-related literature published in  
206 Scopus from 1967 to 2018. These documents were evaluated based on 6 main  
207 aspects: basic growth trends analysis, journals analysis, countries analysis,  
208 institutions analysis, authors analysis, and keywords analysis. This article does not  
209 attempt to dissect the technical findings of the FO process or to distill new  
210 knowledge regarding the FO technology. Rather, we intended to investigate  
211 whether new insights might emerge when examining the academic literature  
212 database from some new perspectives.

213

#### 214 **Data Collection and Methodology**

215 The academic literature database from 1967 to 2018 was extracted from Scopus.  
216 For this bibliometric analysis, the keyword topics searched in Scopus was  
217 “Forward Osmosis” and “Direct Osmosis” where 1830 publication records were  
218 found. The term “Direct Osmosis” was included in the searching as some authors  
219 used this term in their publications, especially during the first few years when FO  
220 was being introduced and precise terminology was not yet agreed upon. Only

221 documents published in the English language were considered. The document  
222 types of the publications can be split into 5 major categories; article (1462; 80%),  
223 conference paper (155; 8%), review (105; 6%), book chapter (56; 3%), and others  
224 (52; 3%). Only the articles were used as the raw data source, as the intention of  
225 this paper was to investigate the trend of FO research and for that purpose, the  
226 citation data for journal articles were much more reliable [73]. The 1462 article  
227 records and the associated citation and bibliographical information were  
228 downloaded as the raw data source for further analysis. Each of the data (title and  
229 abstract) was read through and screened to remove redundant or irrelevant  
230 information. After the screening process, only 1384 article records were found to  
231 be sufficiently relevant. Simple statistical data, such as the number and growth of  
232 publications (according to year, journal, and country), research category of  
233 publication, and subject areas of publications were analyzed using Microsoft Excel.  
234 The bibliometric networks (i.e., keyword co-occurrence, collaboration and co-  
235 authorship networks) were interpreted and visualized by VOSviewer [74]. In  
236 addition to the bibliometric network analysis, the VOSviewer was also used to find  
237 the keywords clusters. All the compiled publications were analyzed in 6 main  
238 aspects: growth of publication number, journal and research category of  
239 publication, contribution of publication according to countries, institutions, and  
240 authors, and clustering and connection between the research keywords.

241

## 242 **Bibliometric analysis**

### 243 Basic Growth Trend

244 The number of FO publications per year is shown in Fig. 1. As the number of  
245 publications before the year of 2005 were insignificant in number (in the range of  
246 0 to 2), it was omitted from the graph. It is evident that most of the FO publications  
247 (accounting for 97.5% of total publications) occurred in the most recent 10 years  
248 (2009-2018). This phenomenon revealed that the research on FO technology has  
249 only recently attracted significant attention from researchers. The publication  
250 growth trend shows four interesting phases; stagnant, startup, booming and stable.  
251 The stagnant period was before the year 2005, as very low to no FO publications  
252 were reported in each of these years, though FO was first reported decades ago.  
253 From reading through the abstracts prior to 2005, it was found that the majority of  
254 the studies were on fabrication and use of cellulose acetate membrane for FO  
255 performance evaluation. The low number of publication prior to 2005 could be due  
256 to the technical challenges in fabricating high performing FO membranes, as well  
257 as in realizing the potential of FO in various applications.

258

259 After 2005, the publication numbers increased steadily until the year 2010, where  
260 the number doubled as compared to the previous year. The increasing amount of  
261 publications can be attributed to the availability of commercial FO membrane that  
262 helped to accelerate the study of the FO process (spearheaded by Hydration  
263 Technology Innovations [75]). This marked the first breakthrough for FO  
264 membrane synthesis where the cellulose triacetate FO membrane was thinner  
265 compared to the older generation (cellulose acetate) membrane [76,77].  
266 Associated with this improvement, FO process could attain higher flux and reduced

267 internal concentration polarization. The emergence of commercial FO membrane  
268 with improved properties has encouraged academics and industry practitioners to  
269 start exploring applications of FO that was infeasible with the older generation  
270 membranes.

271

272 Subsequently, the FO publications entered a booming phase, where the number  
273 increased from 37 (2010) to 238 (2016) publications per year, recording an  
274 average increase in publication rate of 29 publications per year. Such a large jump  
275 in publication number could be associated with the diversification of FO research.  
276 Prior to this, studies were mostly focusing on application evaluation. After the year  
277 2010, the nature of FO research became more diverse, where active studies were  
278 conducted on membrane synthesis, fouling, modelling and draw solutes. In  
279 addition, the number of FO research groups has also grown larger and more  
280 researchers have contributed to FO publication. During this period, a newer  
281 generation of FO membrane (thin-film composite) was introduced and brought to  
282 the market. Thin-film composite FO membrane was reported to be superior to  
283 previous FO membranes in terms of permeability and stability at broader pH  
284 ranges [78]. In 2010, Oasys Water launched the world's first polyamide-based thin-  
285 film composite FO membrane [79]. Afterwards, FO has increasingly been tested  
286 for pilot and commercial scale application. For instance, Oasys Water has shown  
287 that the incorporation of FO technology into their industrial wastewater treatment  
288 systems could treat and reclaim water from shale gas produced waters (USA),  
289 harsh coal-to-chemicals wastewaters (China), and flue gas desulfurization purge

290 water at the power plants in China's coal belt [80]. It was reported that such  
291 integrated processes could benefit the industries in term of reduced electricity and  
292 steam consumption, maximized recovery of product water, and lowered overall  
293 cost.

294

295 As well as the conventional polymer-based membranes, a biomimetic FO  
296 membrane with promising characteristics has also emerged as a potential  
297 membrane for various FO applications [81]. The incorporation of aquaporin into  
298 the biomimetic FO membrane granted it high water permeability and selectivity,  
299 which is of importance to FO applications. The key player of biomimetic FO  
300 membrane in the market is Aquaporin A/S (Denmark), who was reported to be  
301 collaborating with Darco Water Technologies Ltd (Singapore) by supplying  
302 **Aquaporin Inside®** FO membranes for a low-energy zero liquid discharge pilot  
303 system for industrial wastewater treatment [82,83].

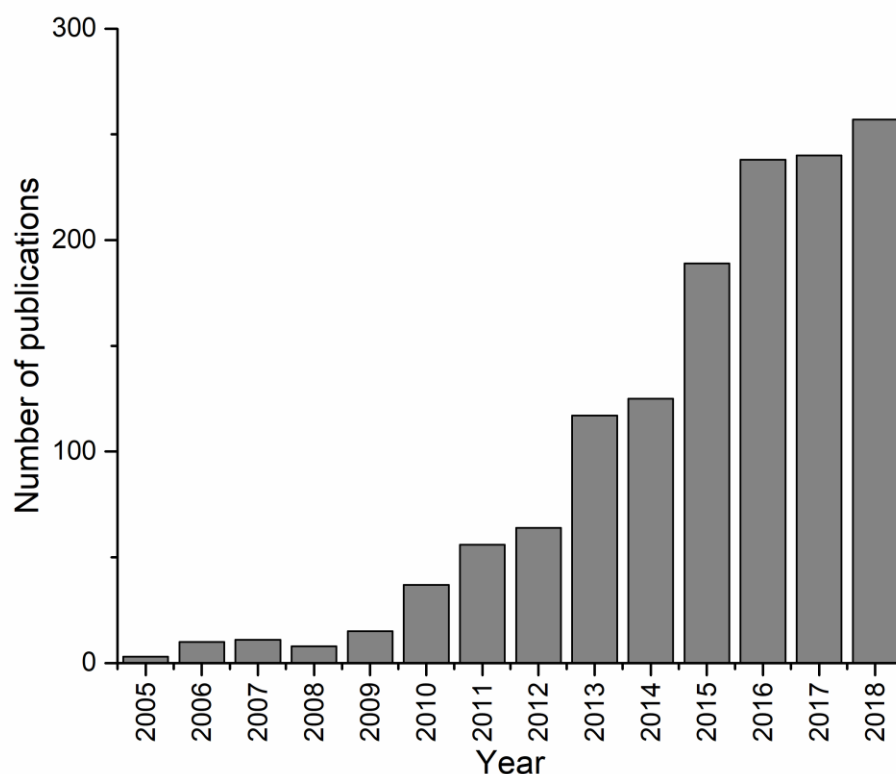
304

305 Since 2016, the FO publication figures stabilized at around 240 publications per  
306 year. The stabilization of the number of FO publications implies the technological  
307 bottleneck and saturation of FO studies faced by the research community. Overall,  
308 as can be seen in the growth trend, the evolution of publication number was closely  
309 linked with the breakthrough in the research of FO technology: advancement of  
310 membrane science, appearance of commercial FO membrane and the  
311 diversification of FO studies.

312



313



314

315 **Fig. 1.** Growth trend of FO publication (2005 – 2018).

316

### 317 Journals Analysis

318 Table 3 displays the top 10 most productive journals that account for 70.5% of total  
319 FO publications. The corresponding Impact Factors (IF) of the journals are also  
320 shown. The top 3 journals with the highest number of publications (more than 100  
321 papers each) on FO technology were Journal of Membrane Science, Desalination,  
322 and Desalination and Water Treatment. This finding was not surprising, as the FO  
323 research was aligned with the aims and scopes of the aforementioned journals

324 emphasizing on the research related to membrane, desalination and  
 325 environmental considerations.

326

327 **Table 3**

328 The top 10 most productive journals for FO publications

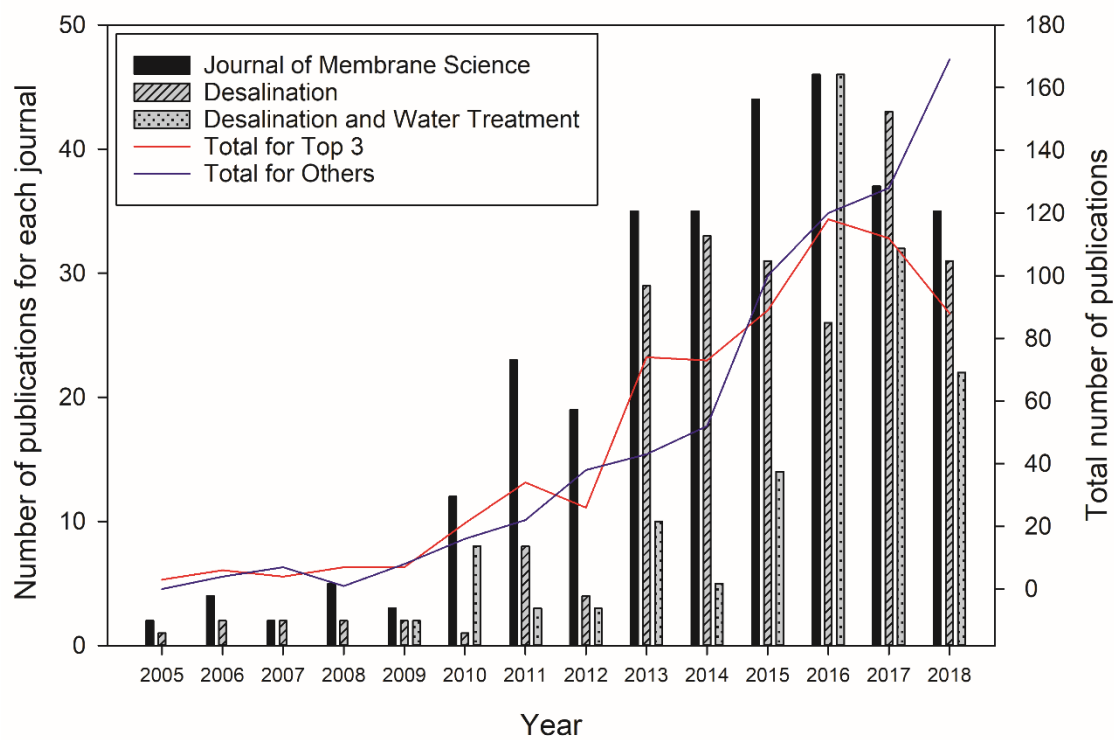
Ranking	Journal	IF*	Publication Number	Percentage (%)
1	Journal of Membrane Science	6.578	305	22.2
2	Desalination	6.603	222	16.2
3	Desalination and Water Treatment	1.383	145	10.6
4	Environmental Science and Technology	6.653	59	4.3
5	Water Research	7.051	57	4.2
6	Chemical Engineering Journal	6.735	50	3.6
7	Bioresource Technology	5.807	40	2.9

8	Separation and Purification Technology	3.927	34	2.5
9	Industrial Engineering Chemistry Research	3.141	31	2.3
10	RSC Advances	2.936	24	1.7

329 \*IF were obtained from InCites Journal Citation Reports

330

331



332

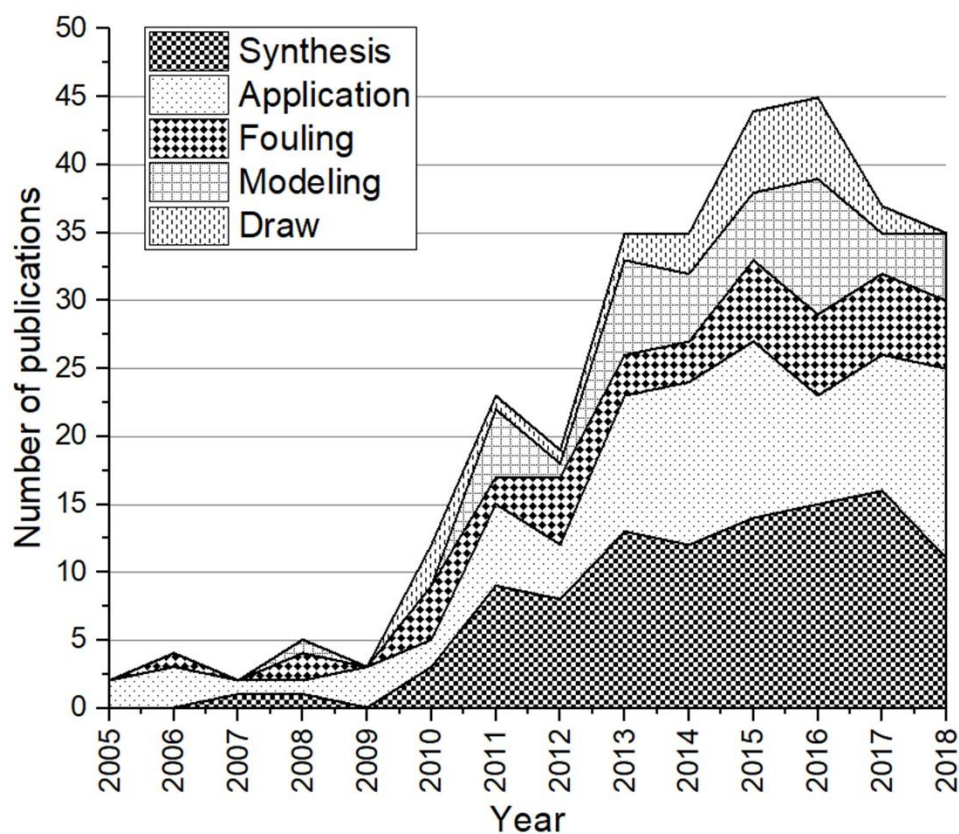
333 **Fig. 2.** Publication according to journals.

334

335 Fig. 2 depicts the growth of publications in the 3 most productive journals from the  
336 year of 2005. Publication before the year of 2005 was not considered as some of  
337 the journals had not been established and the publication number was not  
338 significant in number. It can be observed that there were three interesting growth  
339 spikes for the top 3 journals (JMS, DES and DWT). The publication output for JMS  
340 rose significantly from 3 papers in 2009 to 46 papers in 2016, with an increment  
341 rate of 5 papers per year. JMS recorded the earliest growth spike as compared to  
342 the other journals. As can be seen in Fig. 3, the increment of FO publication in  
343 JMS was mainly contributed by FO membrane synthesis and application research.  
344 In this context, synthesis refers to studies involving the fabrication of new FO  
345 membranes using novel materials or the modification of existing FO membrane for  
346 improved performance. For the application category, the main target of the studies  
347 was to explore the performance of FO technology and the potential of FO process  
348 to be used in various applications, such as wastewater treatment, desalination and  
349 concentration processes. This phenomenon indicated that the articles accepted by  
350 JMS were more to pioneer study (synthesis and application), aligned with the  
351 scope of this journal. Interestingly, publications in other aspects (fouling, modeling  
352 and draw solution) also recorded a constant number of publications from the year  
353 2014. Fouling represents FO studies which mostly emphasize fouling phenomena  
354 and associated approaches to counter fouling issues (such as cleaning and  
355 manipulation of operating conditions). On the other hand, modeling includes  
356 mathematical modeling and simulation that aims to better understand the

357 fundamental factors affecting the FO process, so as to be able to more accurately  
 358 predict the FO performance. For draw solutes, the studies involved the exploration  
 359 of different types of draw solutes to improve ease of regeneration.

360



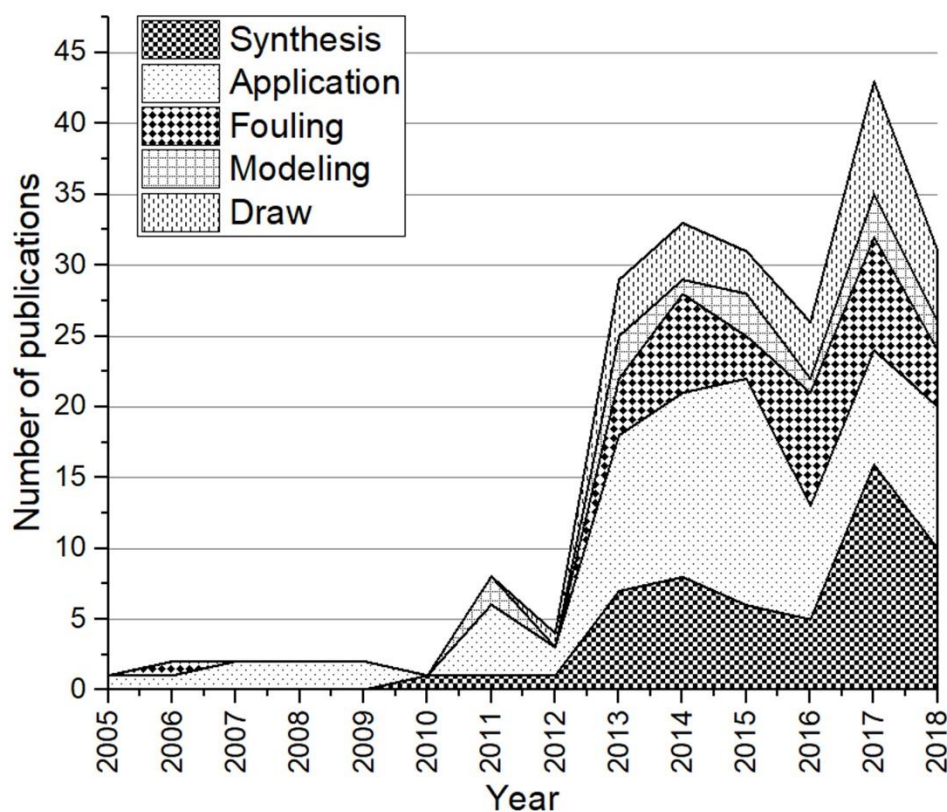
361

362 **Fig. 3.** Nature of research publication in JMS.

363

364 The second significant publication growth was presented by DES where the  
 365 number of publications increased from 4 in 2012 to 43 in 2017, observing a ten-  
 366 fold of increase within 5 years. The growth spike appeared 3 years later for DES  
 367 compared to JMS. This could be due to the FO application to desalination  
 368 processes gained popularity after the initial studies in synthesis and application

369 categories were reported in JMS and other journals prior to 2013. The research  
370 scope for articles published in DES was heavily dominated by application of FO  
371 process, as shown in Fig. 4 where it contributed a huge percentage of publication  
372 number. This trend reflected that most of the articles accepted by DES were  
373 focusing on the utilization of FO technology in processes dedicated to the field of  
374 desalination. A significant number of articles from fouling and synthesis categories  
375 have also been observed, especially after the sharp drop after 2016 on  
376 publications related to application. Even though FO has been generally known as  
377 a low-fouling process (due to its non-pressurized operating condition), the fouling  
378 issue still prevails in most of the applications. For instance, FO dewatering of  
379 activated sludge recorded 80% decline in flux after operation for 8 hours and  
380 fouling control must be taken to improve the feasibility of long-term FO operation  
381 [84], accounting for the high level of attention to this research category.



382

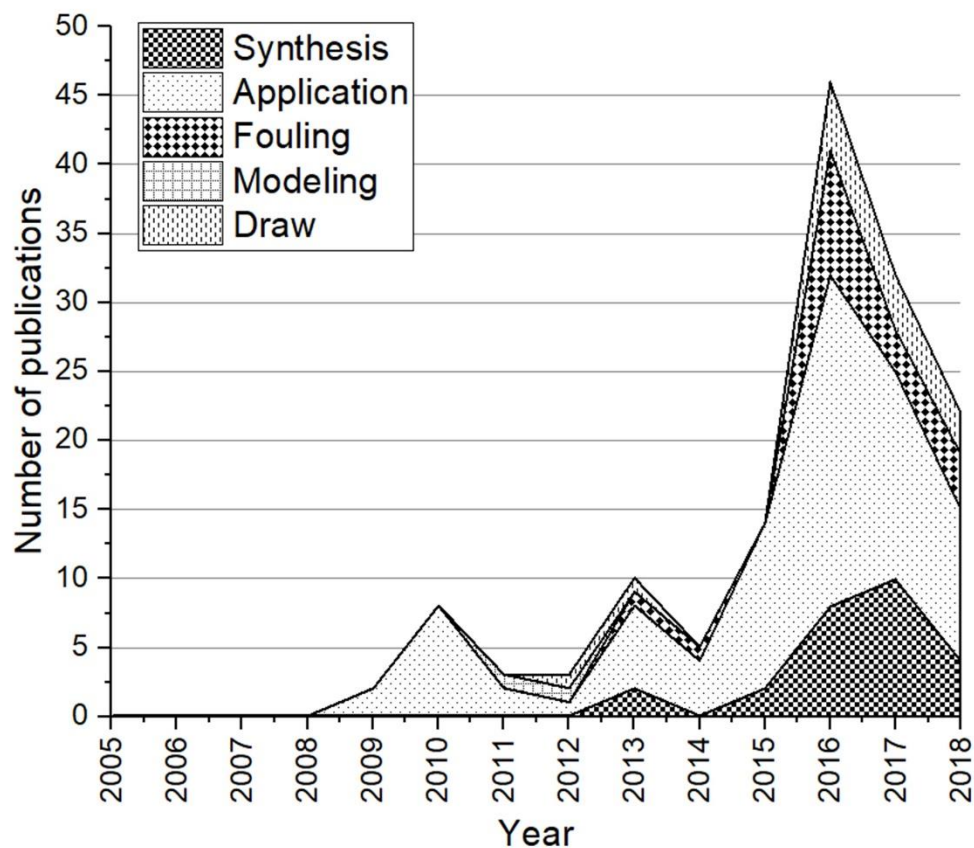
383 **Fig. 4.** Nature of research publication in DES.

384

385 Finally, the last spike in publication number was recorded by DWT, where there  
 386 was a sharp increase from 5 publications in 2014 to 46 publications in 2016. The  
 387 growth for DWT lagged 6 years behind the spike seen in JMS. This could be  
 388 attributed to the maturity of FO research, where more researchers can conduct FO  
 389 experiments due to the ready availability of commercial FO membrane and the  
 390 experience (research findings) shared by other researchers in JMS and DES. The  
 391 majority of the papers for FO in DWT fell into the category of FO application studies  
 392 (Fig. 5). This sharp rise in application category publication revealed that active  
 393 research has been done to explore the potential of FO technology in a wide variety

394 of fields, such as nutrient recovery and wastewater reclamation, on top of the  
 395 common desalination and water treatment studies.

396



397

398 **Fig. 5.** Nature of research publication in DWT.

399

400 The variation of research scope and publication trends of the three major journals  
 401 in this area indicates that the initial FO studies were more inclined towards the  
 402 exploration and synthesis of FO membranes, as shown by the first growth spike in  
 403 JMS. This also shows that the publication in JMS was more to pioneering studies,  
 404 as without the experience of FO membrane synthesis, other types of research  
 405 works would have been difficult to proceed. The development of FO membranes



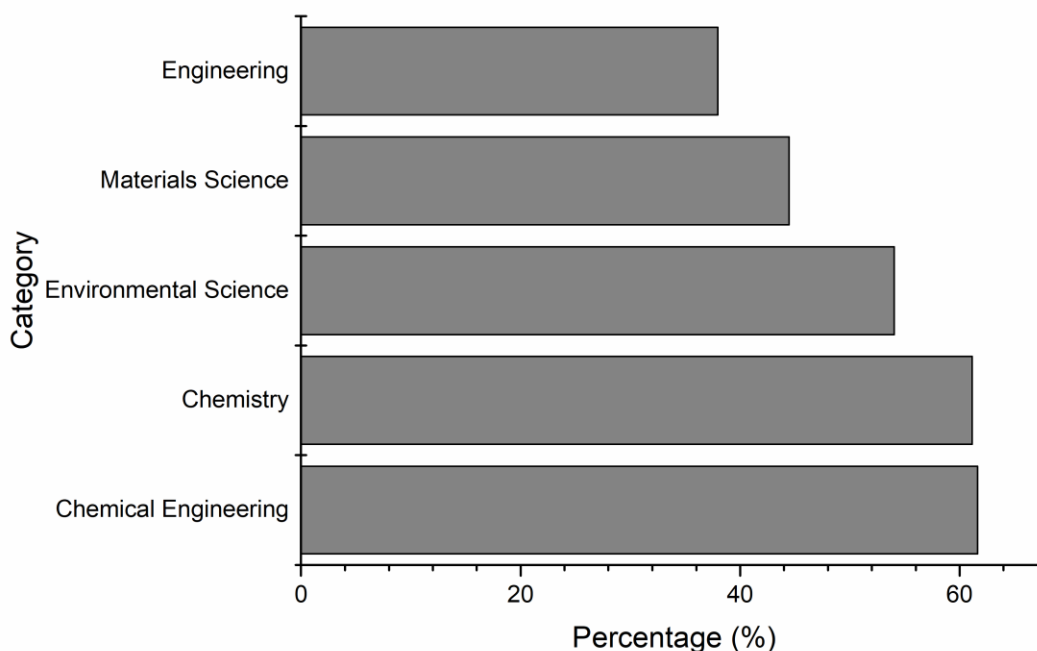
406 has encouraged other researchers to venture into other areas of research and led  
407 to the subsequent growth spikes in DES and DWT, where the researchers were  
408 more willing to explore other areas of FO studies. This resulted in the diversification  
409 of FO research, as the publications in other FO research areas increased  
410 considerably. However, the trend showed that the number of publications in these  
411 journals declined after the year of 2016-2017. This could be due to the fact that the  
412 maturity of FO technology (in terms of research and development at the lab scale)  
413 had reached a plateau stage. Even though the total number of FO publications in  
414 the top 3 journals has been a declining trend since 2016, the overall number of  
415 publications still recorded a slight increase to 257 in 2018. From Fig. 2, it can be  
416 seen that the drop of publication in the top 3 journals was offset by the sharp  
417 increase of publication in other journals.

418

419 The research categories of the FO publications are shown in Fig. 6. Out of 18  
420 research categories identified from Scopus, only 5 were included (with percentage  
421 of share more than 10%). It has to be noted that some publications fell in more  
422 than one category, resulting a percentage summation of more than 100%. Five of  
423 the most common research areas were Chemical Engineering, Chemistry,  
424 Environmental Science, Materials Science, and Engineering, where the number of  
425 publications that fell in these categories was more than 500. The results are in  
426 agreement with the bibliometric analysis done on the similar fields (membrane  
427 technology and water treatment), where the top research areas were related to  
428 Chemical Engineering, Chemistry and Environmental Science [71,72]. The major

429 research categories identified in Fig. 6 can be linked with the publication nature in  
430 the journals aforementioned. All the FO studies required the knowledge and skills  
431 that fall under the top 5 research categories.

432



433

434 **Fig. 6.** Most popular research categories for all publications.

435

436 Table 4 shows the top 15 highly cited FO publications from the year 2005 to 2018.  
437 All the highly cited articles were published prior to 2010, since publications require  
438 a certain period of time to build up the citation number. As shown in the table, the  
439 nature of the highly cited articles concentrated on the fouling issue. This is an  
440 interesting observation as generally the FO process is known to have a low fouling  
441 propensity. Yet, the highly cited articles mainly came from this fouling category,

442 which is an indication that fouling is still a major challenge to the application of FO,  
 443 similar to other membrane processes.

444

445 **Table 4**

446 The top 15 cited publications

No.	Title	Year	Journal	Cited	Nature of study	Ref.
1	Influence of concentrative and dilutive internal concentration polarization on flux behavior in forward osmosis	2006	JMS	683	Fouling	[85]
2	A novel ammonia-carbon dioxide forward (direct) osmosis desalination process	2005	DES	632	Application	[76]
3	Desalination by ammonia-carbon dioxide forward osmosis: Influence of draw and feed solution	2006	JMS	552	Draw Solution	[86]

---

	concentrations	on					
	process performance						
4	High performance thin-film composite forward osmosis membrane	2010	EST	536	Synthesis	[87]	
5	The forward osmosis membrane bioreactor: A low fouling alternative to MBR processes	2009	DES	491	Application	[88]	
6	Organic fouling of forward osmosis membranes: Fouling reversibility and cleaning without chemical reagents	2010	JMS	490	Fouling	[89]	
7	Coupled effects of internal concentration polarization and fouling on flux behavior of forward osmosis membranes during humic acid filtration	2010	JMS	435	Fouling	[90]	

---

---

8	Chemical and physical aspects of organic fouling of forward osmosis membranes	2008	JMS	387	Fouling	[77]
9	Comparison of fouling behavior in forward osmosis (FO) and reverse osmosis (RO)	2010	JMS	376	Fouling	[91]
10	Internal concentration polarization in forward osmosis: role of membrane orientation	2006	DES	369	Application	[92]
11	Reverse draw solute permeation in forward osmosis: Modeling and experiments	2010	EST	358	Fouling; Modeling	[93]
11	Membrane fouling and process performance of forward osmosis membranes on activated sludge	2008	JMS	358	Fouling	[94]

---

---

13	Characterization of novel forward osmosis hollow fiber membranes	2010	JMS	356	Synthesis	[95]
14	Selection of inorganic-based draw solutions for forward osmosis applications	2010	JMS	348	Draw Solution	[96]
14	Forward osmosis for concentration of anaerobic digester centrate	2007	WR	348	Application	[97]
14	Energy requirements of ammonia-carbon dioxide forward osmosis desalination	2007	DES	348	Application	[98]

---

447

448 Countries Analysis

449 The Scopus records indicate that there were 59 different countries that contributed  
450 to the FO publication records. Table 5 presents the top 20 most productive  
451 countries in FO research. The top 5 countries which contributed more than 200  
452 publications were China (326), United States (325), Singapore (247), Australia  
453 (228) and South Korea (215). These data should not be misunderstood as single

454 publications since a publication can be related to more than one country due to the  
 455 collaboration between the researchers and institutions from different regions.

456

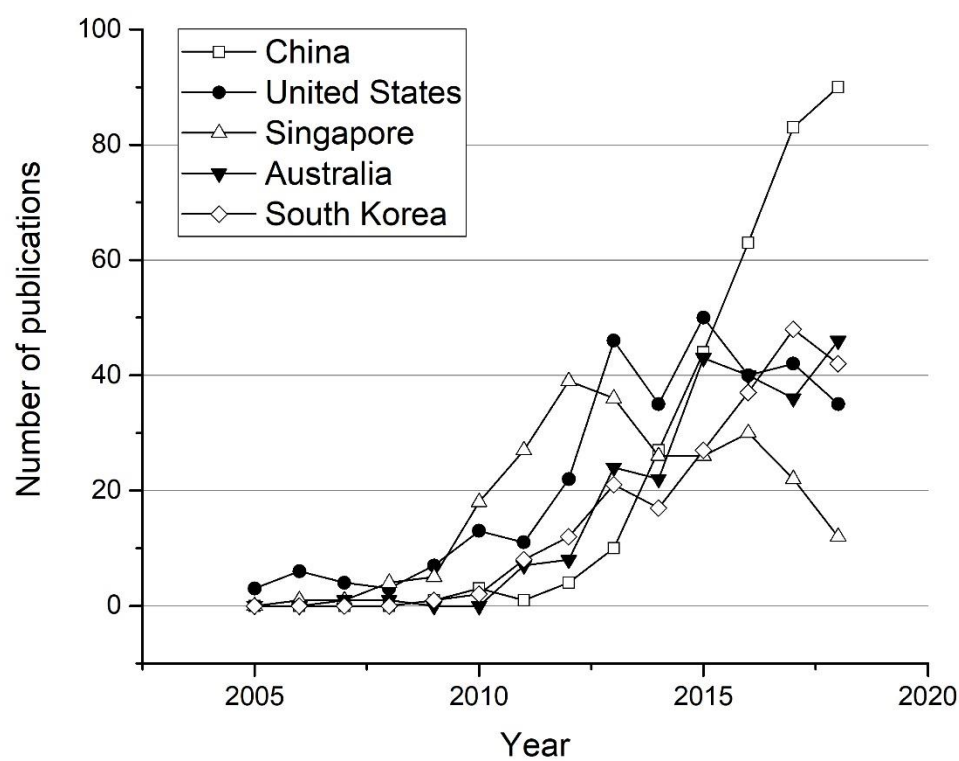
457 **Table 5**

458 The top 20 most productive countries in FO research

Ranking	Country	Number of publications
1	China	326
2	United States	325
3	Singapore	247
4	Australia	228
5	South Korea	215
6	Saudi Arabia	80
7	United Kingdom	53
8	Iran	52
9	India	48
10	Japan	41
11	Qatar	35
12	Malaysia	33
13	Spain	32
14	Canada	29
15	Netherlands	29

16	Belgium	28
17	Taiwan	25
18	Denmark	23
19	Hong Kong	23
20	Egypt	21

459



460

461 **Fig. 7.** Growth trends of the publication number from the top 10 most productive  
 462 countries.

463

464 The growth trends of the publication number from the top 5 most productive  
 465 countries are shown in Fig. 7. It can be seen that the researchers in USA were the



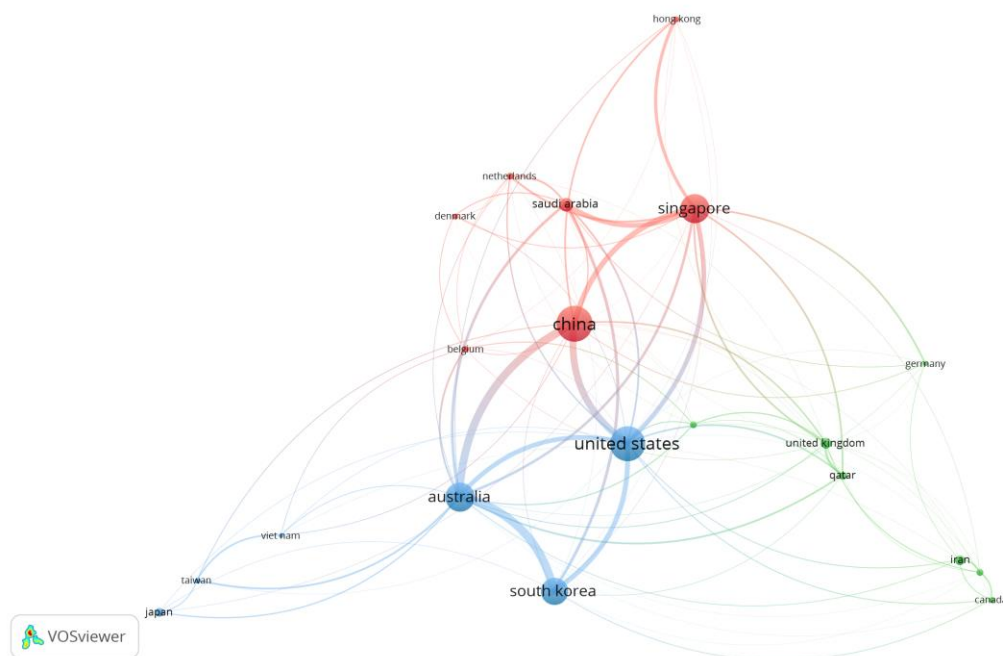
466 pioneers in FO research as the FO publication in the early period was dominated  
467 by USA. Two years after that, Singapore researchers started publishing in the FO  
468 filed. Their publication numbers increased rapidly, overtaking USA after 2009. This  
469 could be attributed to the strong support from the Singapore government in water  
470 research and development. With limited surface water resources, Singapore has  
471 sought to obtain clean water from other sources, such as wastewater and seawater  
472 using advanced membrane technology. This strategy was implemented with  
473 intensive water-related research and development led by Singapore's National  
474 Water Agency – PUB, through the Environment and Water Industry Programme  
475 Office (EWI). EWI coordinates the research collaboration between the government  
476 agencies, industries and academic institutes. Through this coordination and  
477 research link, a collective value of S\$453 million (funding from National Research  
478 Foundation, PUB and in-kind contribution from collaborators) has been pumped in  
479 for water-related research and development, involving 613 projects and  
480 collaborations with 27 countries [99]. Among the key areas of research were  
481 membrane technologies for wastewater reclamation and desalination. The intense  
482 funding and support ever since 2004 has helped to boost the FO research and  
483 increase the number of FO publications. However, the momentum did not last long  
484 where the number of publication gradually decreased after 2012.

485

486 The FO publications of China, South Korea and Australia started to increase after  
487 the year of 2010. China is especially of note as the number of their FO publications  
488 increased abruptly from 10 (2013) to 90 (2018) in a short period of time and

489 maintained position as the most productive countries after 2015. This phenomenon  
490 was also observed in ceramic membrane research, where China recorded a sharp  
491 increase in publication after 2014 [71]. The reason behind this increasing trend in  
492 publications was that the Chinese government has introduced a series of policies  
493 to support water and membrane research. Water scarcity and pollution have been  
494 a major threat to China's continuous and sustainable development. The Chinese  
495 central government has intensified efforts to control and remedy water pollution by  
496 the introduction of the Water Pollution Prevention and Control Action Plan in 2015  
497 [100]. With such an action plan, the local stakeholders have to ensure that the  
498 handling of wastewater complies with stringent regulations. Since FO is one of the  
499 membrane technologies that could be used to resolve issues associated with  
500 wastewater management, it has received tremendous research attention from  
501 Chinese researchers, leading to a sharp increase in the number of FO publications  
502 originating in China.

503



504

505 **Fig. 8.** Collaboration network between the top 20 productive countries in FO  
 506 publication.

507

508 Fig. 8 shows the collaboration network between the top 20 most productive  
 509 countries in FO research. Each country is represented by a circle and the curves  
 510 connecting the circles are the publication in collaboration between the two linked  
 511 countries. The size of the circle was determined by the number of FO publications  
 512 produced by that particular country, whereas the thickness of the curves  
 513 connecting the circles was proportional to the strength of collaborations between  
 514 the two countries in co-author publication. The color of the circles indicates the  
 515 research cluster to which the countries belong. As can be seen in Fig. 8, the size  
 516 of the few larger circles was resonant with the top 5 productive countries (China,  
 517 United States, Singapore, Australia, and South Korea). The collaboration strength

518 between the countries was both represented by the thickness of link in Fig. 8 and  
 519 scores in Table 6. The link referred to the number of countries a particular country  
 520 was connected in term of co-author publication. All the countries displayed  
 521 extensive collaboration network, with United States and Australia recording the  
 522 highest number (18 links) of collaboration partners (country). In terms of  
 523 collaboration strength (represented by the thickness of the curve connecting the  
 524 countries and data in Table 6), Australia has the highest link strength at 160. This  
 525 indicated that the research collaboration between the Australia and its  
 526 collaborators was strong and more extensive.

527

528 **Table 6**

529 Collaboration link and strength in the top 5 productive countries

No.	Country	Link	Total Link	Number of	Norm. Citation
			Strength	Citation	Score
1	United States	18	124	18939	413.4
2	China	16	122	5108	348.23
3	Singapore	14	118	13594	285.05
4	Australia	18	160	6057	267.89
5	South Korea	11	84	3597	156.30

530

531 The number of citations and normalized citation scores were used to examine the  
 532 quality of the FO research conducted according to country. As can be seen in Table  
 533 6, the United States received the highest number of citations at 18939, followed by

534 Singapore at 13594 citations. Such a high number of citations could be attributed  
535 to the large number of FO publications at a very early stage (Fig. 7) that eventually  
536 became the guidance and reference source for other researchers. Furthermore,  
537 the normalized number of citations received by the United States (413.4) was also  
538 far ahead of the other countries. This signified the fundamental nature of the FO  
539 research in the United States which subsequently was cited highly. Though the  
540 number of FO publications from China was on a par with the United States, the  
541 number of citations lagged far behind the United States. One of the reasons could  
542 be due to the fact that most of China's FO publications were quite recent (304  
543 articles were published in the past 5 years) and therefore received less attention  
544 among the researchers.

545

#### 546 Institutions Analysis

547 The FO publication records are from 160 different institutions. The top 10 most  
548 productive institutions, in terms of publication numbers, are displayed in Table 7.  
549 The three institutes that published more than 100 papers are the National  
550 University of Singapore (153; Singapore), Nanyang Technological University (126;  
551 Singapore) and University of Technology Sydney (122; Australia), indicating their  
552 strength in producing FO research publications. It should be cautioned that the  
553 data are non-exclusive, as a publication can be related to more than one institution  
554 due to the collaboration between the researchers and institutions.

555

556 The presence of research centres in the particular institute was explored. The  
557 establishment of a water- and membrane-related research centre would likely  
558 become the hub where the research funding will be channeled and the pool for  
559 talented researchers. With a critical mass of quality researchers and sufficient  
560 funding, FO studies of various natures (fundamental to application) can be  
561 conducted. This will help the institution to perform well in research, be it findings  
562 or publications. For instance, NUS Environmental Research Institute was focusing  
563 on research related to environmental issues and offering measures to tackle water-  
564 related problems via interdisciplinary approaches [101]. FO process has also  
565 benefited from the presence of this research institute as it falls under membrane  
566 technology, which is one of the key research field in the centre. NUS Faculty of  
567 Engineering also listed water as the core engineering research, with membranes  
568 as the key research technology [102].

569

570 To further strengthen and promote the membrane-related research, Membrane  
571 Science and Technology Consortium (MSTC) has been established as an umbrella  
572 organization under NUS [103]. Similarly, NTU also has established a membrane-  
573 based research centre, known as Singapore Membrane Technology Centre  
574 (SMTC) under the Nanyang Environment and Water Research Institute [104]. The  
575 presence of these two membrane-based research centres has resulted in the two  
576 universities becoming world leaders in membrane technology. Subsequently, it is  
577 not surprising that NUS and NTU featured as the top two productive institutions in  
578 terms of FO research publications. The rest of the high-performing institution also

579 shared a similar strategy, such as the establishment of the Centre for Technology  
 580 in Water and Wastewater (UTS), Advanced Membranes and Porous Materials  
 581 Center (KAUST), and Water Desalination and Reuse Center (KAUST) [105–107].

582

583 **Table 7**

584 The top 15 most productive institutions in FO research

Ranking	Institution	Country	Number
1	National University of Singapore (NUS)	Singapore	124
2	Nanyang Technological University (NTU)	Singapore	112
3	University of Technology Sydney (UTS)	Australia	100
4	Yale University	USA	69
5	King Abdullah University of Science and Technology	Kingdom of Saudi Arabia	67
6	Korea University	South Korea	65

---

7	Chinese Academy of Sciences	China	64
8	University of Wollongong	Australia	39
9	University of Connecticut	USA	37
10	Gwangju Institute of Science and Technology	South Korea	33

---

585

586 Authors Analysis

587 The top 10 most productive authors, in terms of numbers of publications in peer  
588 reviewed journals, in FO research are tabulated in Table 8. It can be seen that  
589 Australia and Singapore featured the greatest number of most prolific authors, with  
590 3 top prolific authors currently affiliated to each country. Interestingly, currently the  
591 Australian authors are working in the same university (University of Technology,  
592 Sydney). Nanyang Technological University also has 2 prolific authors working on  
593 FO research. Overall, the current affiliation of this group of researchers tallied well  
594 with the most productive institutions.

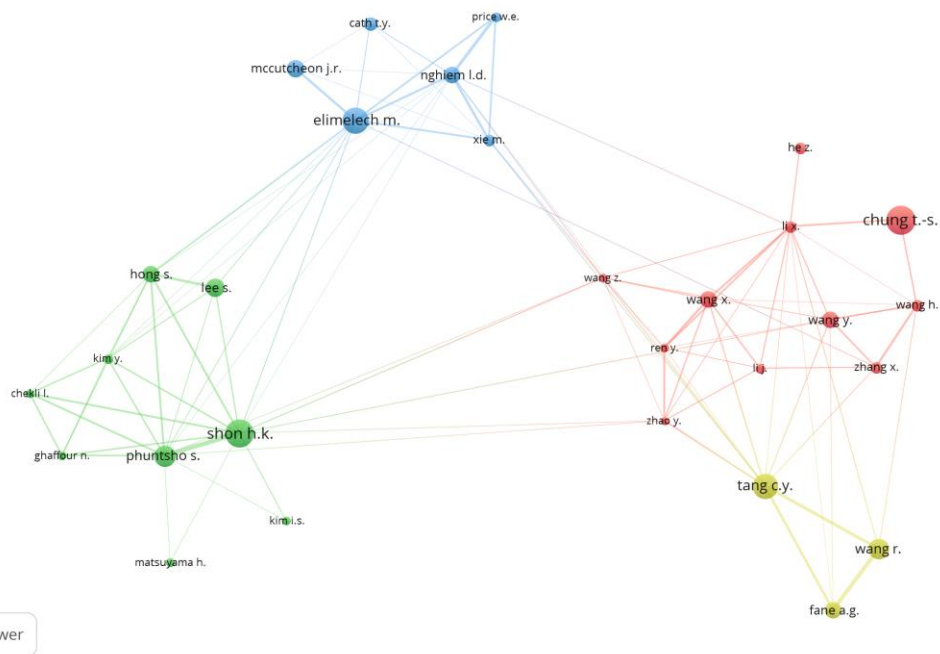
595

596 **Table 8**

597 The top 10 most productive authors in FO research



Ranking	Author	Current Affiliation	Publications
1	Chung, Tai Shung Neal	National University of Singapore (Singapore)	79
2	Shon, Hokyong	University of Technology Sydney (Australia)	66
3	Tang, Chuyang Y.	The University of Hong Kong (Hong Kong)	62
4	Elimelech, Menachem	Yale University (USA)	55
5	Phuntsho, Sherub P.	University of Technology Sydney (Australia)	52
6	Wang, Rong	Nanyang Technological University (Singapore)	49
7	McCutcheon, Jeffrey R.	University of Connecticut (USA)	43
8	Fane, A.G. (Tony)	Nanyang Technological University (Singapore)	41
9	Nghiem, L.D.	University of Technology Sydney (Australia)	40
10	Hong, Seungkwan	Korea University (South Korea)	39



599

600 **Fig. 9.** Collaboration network between the top 30 productive authors in FO  
601 publication.

602

603 To better understand the collaboration network between the researchers, the  
604 connection between the top 30 researchers was considered (Fig. 9). It can be seen  
605 that the researchers can be separated into four clusters, sorted according to the  
606 intensity of co-authorship occurrence. Researchers within the same cluster have  
607 stronger research collaboration strength and share more similar publications with  
608 the researchers within that particular cluster. The details of collaboration strength  
609 and citation are shown in Table 9. The term 'link' refers to the number of authors  
610 a particular author is connected to. It has to be noted that this collaboration network  
611 is limited to the top 30 productive researchers to facilitate the analysis of clustering.  
612 In other words, the link strength shown for each author in Table 9 did not reflect

613 the real connection the author has, since the rest of the researchers are not  
 614 included. The citation information indicated the number of times the articles under  
 615 an author was being cited. As expected, the pioneer of FO research, Menachem  
 616 Elimelech, recorded the highest number of citations and normalized citations.

617

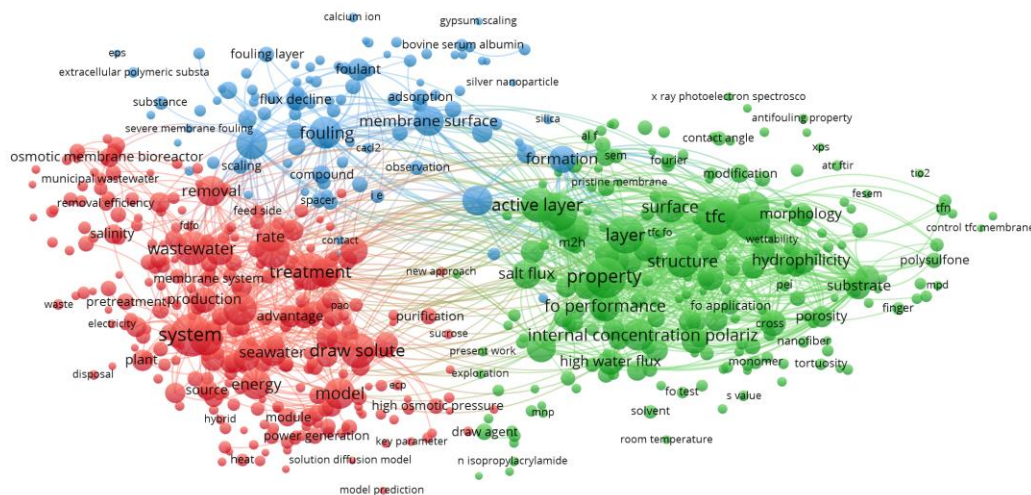
618 **Table 9**

619 Link strength and citation details of the top 10 productive authors in FO research

No.	Author	Link	Total link strength	Citation	Normalized citation
1	Chung, Tai Shung Neal	2	8	5154	95.14
2	Shon, Hokyong	13	54	1326	70.84
3	Tang, Chuyang Y.	11	35	4544	87.08
4	Elimelech, Menachem	11	34	9151	135.33
5	Phuntsho, Sherub P.	12	50	1119	63.08
6	Wang, Rong	4	39	3293	58.12
7	McCutcheon, Jeffrey R.	4	9	4447	60.14

8	Fane, A.G. (Tony)	4	34	3042	47.47
9	Nghiem, L.D.	12	34	1804	76.23
10	Hong, Seungkwan	8	26	1625	48.57

620

621 Keywords Analysis

622

623 **Fig. 10.** Co-occurrence network of the author keywords.

624

625 A total of 22881 author keywords were recorded, among which 804 keywords have  
626 been used more than 10 times. Further analysis and simplification was done using  
627 VOSViewer to generate the keyword co-occurrence network that shows the  
628 connection and importance (weightage) of 600 most relevant keywords as

629 displayed in Fig. 10. Each keyword is represented by a circle where the size  
630 indicates the number of occurrences of a particular keyword. The connection  
631 between the circles (keywords) is revealed by the curves connecting the circles.  
632 As can be seen in Fig. 10, the keywords can be classified into three Clusters;  
633 Cluster 1, 2, and 3 are shown in red, blue, and green color, respectively. The more  
634 relevant the keywords, the closer are the circles in the cluster.

635

636 Generally, Cluster 1 contains the keywords related to the application and  
637 performance study of FO process, as can be seen by the few representative words  
638 such as “system”, “treatment”, “wastewater”, “seawater”, “removal”, and “draw  
639 solute”. Keywords in Cluster 2 are more to membrane fouling study, clearly  
640 indicated by the “fouling”, “foulant”, “flux decline”, “membrane fouling”, and  
641 “membrane surface” keywords. Lastly, Cluster 3 focuses more on FO membrane,  
642 where the keywords such as “layer”, “property”, “hydrophilicity”, “thin film  
643 composite”, “surface”, and “modification” demonstrate the study related to FO  
644 membrane characterization and fabrication. The presence of these three clusters  
645 reveals the major FO research fields that are currently ongoing worldwide.

646

647 As illustrated in Fig. 3-5, a huge portion of FO publications in the top 3 journals  
648 were contributed by FO application-type articles. This categorization was  
649 supported by the high occurrence of keywords related to the study of FO  
650 performance and application, as in Cluster 1. For instance, extensive publications  
651 have shown the potential of the FO process for wastewater treatment [108],

652 nutrient concentration [109,110], resource recovery [111–113], food concentration  
653 [114], produced water remediation [115], and desalination [116]. All these topics  
654 have appeared as keywords in Fig. 10. Apart from reporting on the FO system  
655 performance, modeling and simulation of FO process have also been employed to  
656 predict and understand the FO process [117,118]. The keyword “model” is closely  
657 connected to “draw solute”, “simulation result”, “system”, and “treatment” in Cluster  
658 1, as well as few main keywords in Cluster 2, such as “property”, “water  
659 permeability”, and “internal concentration polarization”. Such linkage shows the  
660 existence of FO studies where researchers utilized modeling to understand the  
661 influence of FO membrane properties on the performance [119]. This category of  
662 study would close the gap between FO process and membrane synthesis groups  
663 and offer better understanding of how the FO membrane will behave in various  
664 applications.

665

666 One observation is that the keywords related to draw solution are located near the  
667 crowded center of Cluster 1 (indicating its significance in FO research). Draw  
668 solution has been generally recognized as a major obstacle for FO process to be  
669 economically feasible, as the regeneration of draw solution would require high  
670 consumption of energy, unless regeneration is not required, as in the case of  
671 fertigation [120,121]. The co-occurrence network shows that “draw solute” is  
672 connected to keywords on FO process performance in Cluster 1, “membrane  
673 fouling” in Cluster 2, and “salt flux” and “high water flux” in Cluster 3. This linkage  
674 reveals the influence the type of draw solute would have on the FO performance.

675 Certain types of draw solutes resulted in high reverse salt flux that deteriorated the  
676 FO performance, while it has also been observed that some draw solutes would  
677 accelerate the FO membrane fouling propensity [122]. This signifies the need to  
678 select the proper draw solute to avoid unnecessary drawbacks in FO performance.

679

680 Even though FO has been frequently reported as a low fouling membrane process,  
681 the keywords provided by the authors suggest that FO fouling is a popular study  
682 topic among the researchers. Since FO process has been mostly tested on  
683 solutions (especially wastewater) containing organic substances, organic fouling  
684 (where the organic foulants deposited on the membrane surface) was the most  
685 frequently encountered issue in FO operation [123]. Fouling problems would  
686 degrade the FO performance and incur extra costs due to frequent cleaning and  
687 membrane replacement [124]. Though many articles reported that FO membrane  
688 fouling was mostly reversible, the flux recovered after each cycle of cleaning  
689 normally did not reach the preceding flux level. Subsequent operation and cleaning  
690 cycles would eventually reduce the flux to an unacceptable and impractical level.  
691 Hence, as in the case for other membrane processes, the incorporation of a certain  
692 level of feed solution pretreatment might be necessary to reduce the FO fouling  
693 propensity.

694

695 The success of membrane technology lies in the characteristics and properties of  
696 the membrane. Without a suitable membrane, the technology would not be able to  
697 develop. Such is the case for reverse osmosis and it is equally as true for the FO

698 process. As analyzed above, the booming of FO publications could be attributed  
699 to the breakthrough in FO membrane synthesis that led to the presence of the first  
700 commercial FO membrane in 2006. Moving forward, active research has been  
701 conducted on the synthesis and modification of FO membranes to obtain a better  
702 performing membrane with desirable characteristics. The characteristics and  
703 properties focused by the researchers were membrane morphology and structure,  
704 water flux, reverse salt flux, rejection capability, and antifouling and anti-biofouling,  
705 as shown by the keywords in Fig. 10 [125–129]. In recent years, thin film composite  
706 (TFC) FO membrane has emerged as a popular type of FO membrane for  
707 synthesis and fabrication studies. Various types of TFC FO have been synthesized  
708 and generally it has been reported that marked improvements in fouling resistance  
709 and water flux have been achieved [125,127,129]. Just like the emerging of  
710 reverse osmosis as the main membrane technology associated with the TFC  
711 reverse osmosis membrane, the presence of better performing FO membrane was  
712 expected to further increase the application potential of FO process in various  
713 industries.

714

### 715 **Perspective & Future Prospects**

716 The healthy growth in the number of publications on FO over the last ten years  
717 indicated that FO technology has come to be an important technology for  
718 membrane processes. While the number of publications has stabilized over the  
719 last few years, the trend of research on FO is expected to continue to mature  
720 especially in these five main aspects:



721

722 **(i) fabrication of new FO membrane using novel materials or the**  
723 **modification on existing FO membrane for improved performance**

724 Unlike other pressure-driven membranes, both sides of FO membrane (active  
725 layer and support layer) have significant influences on the FO performance.

726 The active side has been commonly oriented towards the feed solution while  
727 the support side normally faces the draw solution. This signifies the  
728 challenges in the fabrication of FO membrane as one has to fine-tune proper  
729 formulation and modification to get the desired properties on the two sides.

730 Future membrane science research should work on the modification of both  
731 sides (as majority of existing studies only focus on one side, the consequence  
732 of improvement on either side has on the other side remains unclear).

733 Incorporation of nanomaterials in synthesizing FO membrane has shown  
734 encouraging outcomes where it leads to the improvement of membrane  
735 properties. Various types of nanomaterials ranging from organic to inorganic  
736 to biomimetic have been extensively incorporated during the synthesis of FO  
737 membrane. However, the compatibility, stability, and feasibility of these  
738 nanocomposite FO membranes still remain a challenge. Apart from thin film  
739 composite FO membrane, the only commercial membrane with  
740 nanomaterials is Aquaporin. Other nanoparticle-incorporated FO membranes  
741 are still at lab-scale, despite numerous articles reporting improved properties.

742 One should not fall into the fallacy of incorporating different nanoparticles in  
743 FO membrane synthesis. Such study will not contribute significant

744 advancement to FO membrane science. Also, multifunctional nanomaterials  
745 have shown an increasing research trend and it could be that some  
746 alternative materials could be incorporated in the FO membrane synthesis.  
747 The multifunctional properties may solve the issue of compatibility and  
748 stability while at the same time bring more improvement to the membrane.

749

750 **(ii) development of novel FO process (integrated and hybrid FO process)**  
751 **to be used in various applications, such as wastewater treatment,**  
752 **desalination and concentration processes**

753 The working principles of the FO process have made it challenging to be an  
754 individual unit operation employed in any processes. Hence, to harness the  
755 full potential of FO process, it can be integrated/hybridized with other  
756 advanced treatment processes. Past studies have shown that the overall  
757 performance of a particular process (such as anaerobic treatment and  
758 produced water remediation) has been improved with the incorporation of FO  
759 process. FO can also be employed as a pretreatment before thermal  
760 desalination processes to improve the overall water recovery rate. The  
761 working principle of the FO process enables it to utilize the desalination brine  
762 solution as a draw solution, where it will be diluted and subsequently reduce  
763 the scaling propensity of the thermal desalination process. This shows a  
764 promising research pathway for FO researchers. Having said that, the  
765 integration/hybridization expands the role of FO process from only focusing  
766 on water related processes to supporting other type of applications, such as

767 energy (biogas), crystallization, and fertilizer. Such a change of paradigm will  
768 offer another perspective to other researchers and industries, where they will  
769 be more convincing on the role of FO process in non-water-based application.  
770 This will be a healthy development to encourage more people to explore the  
771 potential of FO in various application.

772

773 **(iii) analysis of fouling phenomena and the associated approaches to**  
774 **counter the fouling issues (such as cleaning and manipulation of**  
775 **operating conditions)**

776 Though the FO process is generally known as a low fouling process due to  
777 its low-pressure operation, fouling issues still inevitably present in the FO  
778 process. This is due to the fact that FO has been frequently processing low-  
779 quality feed water that contains a lot of suspended solids and impurities. The  
780 presence of these impurities could easily block the membrane surface and  
781 gradually reduce the flux. In other pressure-driven membrane processes, the  
782 feed water quality has to be refined to ensure the membrane processes can  
783 perform as desired. One of the commonly adopted approaches is to have  
784 proper pretreatment prior to the membrane process. Such lessons can be  
785 adopted for the FO process, where researchers should consider improving  
786 the feed water quality by integrating the FO process with a proper  
787 pretreatment. The influence of pretreatment prior to the FO on the overall  
788 performance has not yet been widely studied. This, together with the  
789 feasibility of having a pretreatment stage and the overall benefits (cost

790 associated with cleaning frequency and cost of membrane replacement)  
791 should be evaluated. Concentration polarization (especially internal  
792 concentration polarization) has been a major limiting factor that results in a  
793 discrepancy in the observed and promised performance of FO process.  
794 Considering that internal concentration polarization is the crucial limiting  
795 factor in any FO process, mitigation approaches such as improving the  
796 intrinsic properties of the FO membrane and external measures preventing  
797 and disrupting concentration polarization and fouling should be explored.

798

799 **(iv) modeling including mathematical modeling and simulation that aim to**  
800 **better understand the fundamental factors affecting the FO process and**  
801 **be able to more accurately predict the FO performance**

802 Based on the publication data presented above, the work on modelling of FO  
803 membrane processes have been quite limited. Generally, modelling of  
804 membrane processes are focusing on (i) mass transport across the  
805 membranes, and (ii) process modelling for predictive purposes. The majority  
806 of the FO models for mass transport have been based solution-diffusion (SD)  
807 and convection-diffusion equations. Most of these models, however, have  
808 neglected fouling effects since the phenomena is quite complex. Some  
809 models have managed to incorporate the internal and external concentration  
810 polarization (ICP and ECP) into the equations. The main challenge for the  
811 future of FO modeling must be to gain further understanding of the  
812 fundamental phenomena occurring during the FO process in order to find

813 answers to the questions posed above. Once the models are accurate  
814 enough, the process modelling can be developed through simplified models  
815 and design methodologies which can be helpful for non-specialist scientists  
816 and engineers. Advanced modelling method such as computational fluid  
817 dynamics (CFD) can also be used to help elucidate the fundamental behavior  
818 and hydrodynamics inside the FO membrane module.

819

820 **(v) exploration of different types of draw solutes with ease of regeneration**  
821 **to be employed in FO process**

822 As the driving force for the FO process is the difference in chemical potential  
823 between the draw and feed solutions, much recent and ongoing research has  
824 focused on finding novel draw solutes. The ideal draw solute should be  
825 capable of generating high osmotic pressures, show low membrane flux, and  
826 be capable of being regenerated easily. As potentially the major energy use  
827 in FO operations is in the regeneration of the draw solute, most research has  
828 focused on this step, either with novel solutes or novel low energy processes.  
829 State of the art draw solutes have included polyelectrolytes, responsive  
830 hydrogels, and nanoparticle-based systems. These are often large molecules  
831 or particles which can be re-concentrated using high flow filtration systems,  
832 such as ultrafiltration, or by changing their physical properties to allow  
833 recovery by other means. However, as re-concentration requires the osmotic  
834 potential of the draw solution to retain its original value, there is likely to be a  
835 minimum energy needed to re-concentrate any diluted draw solution. As this

836 energy requirement may push the theoretical energy needed for the  
837 combined FO/draw solution regeneration system to greater than the energy  
838 consumption of a rival technology, such as reverse osmosis, this may render  
839 many of these alternative draw solution technologies as dead ends, outside  
840 of niche requirements. Alternatively, research has been carried out to find  
841 situations where the draw regeneration process itself may be low energy. This  
842 has included the use of fertilizers or soil treatments, where the diluted draw  
843 solution can be used for other applications, such as fertigation, without a  
844 regeneration step at all. Membrane distillation may be used where either  
845 waste heat is available or cheap renewable energy, such as solar heaters,  
846 are practicable, removing much of the energy costs for draw re-concentration.  
847 Such avenues may provide a route to industrial applicability for FO, but may  
848 be restricted to specific situations.

849

850 Apart from the above areas, there is also a strong need for larger scale studies of  
851 FO processes dealing with real in-field applications. Such studies should be carried  
852 out at the pilot scale or larger scale and long-term operational data should be  
853 obtained to ascertain the viability and profitability of the process. Only under such  
854 conditions, the fouling control and mitigation as well as membrane susceptibility to  
855 complex feed materials can be understood. Such studies will lead towards a more  
856 sustainable and successful FO membrane operation which can help to decide the  
857 commercial viability of the processes. In order for FO to be successfully applied at  
858 a commercial scale, the role of government is very important in providing the

859 impetus through appropriate incentives and policy that can push the technology  
860 forward.

861

## 862 **Conclusions**

863 Based on the bibliometric analysis, the progress on FO research has been  
864 tremendous over the last 10 years with a growth ratio of 17 times from 2009 to  
865 2018. The exponential growth is contributed by researchers from 59 different  
866 countries with China and United States emerging as the countries that have  
867 contributed most to research on FO. The initial impetus for the growth in FO  
868 research was started in 2009-2010 which can be attributed to the availability of  
869 commercial FO membrane that helped to accelerate the study on FO process.  
870 Since then, FO research has been expanded to cover various areas including  
871 various types of applications, fouling studies, novel draw solutes and modelling.  
872 Subsequently, more study on the following research areas can be conducted:  
873 synthesis of FO membrane using novel materials or the modification on existing  
874 FO membrane for improved performance; development of novel integrated/hybrid  
875 FO process to be used in various applications; analysis of fouling phenomena and  
876 associated approaches to counter the fouling issues; mathematical modelling and  
877 simulation to better understand the fundamental factors affecting the FO process  
878 and for performance prediction; and exploration of different types of draw solutes  
879 with ease of regeneration. Future works should continue in these areas as well as  
880 in demonstrating the commercial viability of the FO membrane processes.

881

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