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Perceived pain extent is not associated with physical, psychological, or psychophysical outcomes in women with Carpal Tunnel Syndrome

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1	Title Page
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3	Perceived Pain Extent is not associated with Physical, Psychological or
4	Psychophysical Outcomes in Women with Carpal Tunnel Syndrome
5	
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34 Abstract

Objective: Our aims were; 1, to investigate whether perceived pain extent, assessed from the pain drawing, relates to clinical, psychological and psychophysical outcomes in women with CTS; 2, to assess differences in pain extent depending on the presence of median or extra-median symptoms; and, 3, to investigate differences in pain extent according to severity (minimal, moderate or severe) or laterality (unilateral or bilateral) of CTS.

40 Methods: One hundred and forty (n=140) women with CTS completed pain drawings which were subsequently digitized allowing pain extent to be calculated. Clinical features including pain 41 42 intensity (Numerical Pain Rating Scale, 0-10) and disability (Boston Carpal Tunnel Questionnaire), psychological features including depression (Beck Depression Inventory-BDI), and psychophysical 43 44 (pressure pain and thermal pain thresholds) variables were assessed. Spearman rho correlation 45 coefficients were used to reveal the correlations between pain extent and other outcomes. Differences in pain extent according to severity (minimal, moderate, severe) or laterality (unilateral, 46 47 bilateral), and the presence of extra-median symptoms were also evaluated.

Results: No significant associations were identified between pain extent and clinical, psychological
or psychophysical outcomes. Women with extra-median symptoms (88%) exhibited larger (P<.001)
pain extent (total: 24.2±13.5%) than those women with median symptoms (12%, total: 12.2±6.9%).
Pain extent was not significantly different depending on the severity or laterality of the symptoms. **Conclusions**: Pain extent in the upper extremity was not associated with clinical, psychological or
psychophysical variables and was not related to the severity or laterality of the symptoms in women
with CTS.

55 Key words: carpal tunnel syndrome, pain area, pressure pain, sensitization.

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Perceived Pain Extent is not associated with Physical, Psychological or Psychophysical Outcomes in Women with Carpal Tunnel Syndrome

90 Introduction

Carpal tunnel syndrome (CTS) is the most common nerve entrapment of the upper extremity
with a prevalence rate ranging from 6.3% to 11.7% depending on the diagnostic criteria applied (1).
Women are more frequently affected by CTS than men (ratio 2:1) (2). The societal burden of CTS
is substantial since patients often take time off from their work (3). The overall cost associated with
CTS in the United States of America alone exceeds \$2 billion annually (4).

Although CTS is traditionally considered as a peripheral neuropathy of the median nerve at 96 the carpal tunnel, increasing evidence suggests that CTS is a heterogeneous pain disorder involving 97 98 central and peripheral sensitization mechanisms (5). Some studies have reported that women with 99 CTS exhibit widespread pressure pain hypersensitivity (6), bilateral thermal pain hyperalgesia (7), 100 and enhanced wind-up in extra-median nerve territories (8) as manifestations of altered nociceptive 101 processing. These sensory deficits are not associated with electrodiagnostic findings suggesting that 102 sensitization is not related to the damage of the median nerve but rather can be present from the 103 onset of the condition (9). A recent study confirming the hypothesis of altered central pain processing in CTS has shown that patients not only exhibit increased pain facilitation but that they 104 105 also have reduced endogenous pain inhibition (10). Nevertheless, other studies have not found 106 widespread sensory changes in patients with CTS without concomitant neck pain suggesting that 107 there might be subgroups of subjects with CTS with varying levels of sensitivity (11). This 108 hypothesis was supported by a recent study identifying a subgroup of women with CTS exhibiting 109 higher pain sensitivity (12).

Pain drawings capture a graphic representation of the location and distribution of symptoms in people with pain by asking them to draw where they perceive their pain on a body chart. It is accepted that an expanded distribution of pain represents a clinical sign of central sensitization (13). In fact, some studies have reported the presence of pain spreading to extra-median areas (14) and to the upper extremity (15) as a potential manifestation of central sensitization in patients with CTS. Importantly, spreading of pain symptoms is not associated with the results of nerve conduction studies (16).

118 There is preliminary evidence suggesting that enlarged pain areas are associated with more 119 severe pain, higher pressure pain hypersensitivity, higher disability or poorer psychological health 120 in different chronic pain conditions such as painful knee osteoarthritis (17,18), whiplash-associated 121 disorders (19) and fibromyalgia (20). Pain drawings may also assist clinicians in identifying people 122 with CTS exhibiting higher pain sensitivity or more severe clinical features. Zanette et al found that 123 subjects with CTS suffering from extra-median distribution of the symptoms reported higher pain 124 intensity (14); however, this study did not investigate the size of the painful area nor the presence of 125 concomitant symptoms in the upper extremity. No previous study has investigated if the size of the painful area (i.e. pain extent) is associated with clinical, psychological and psychophysiological 126 127 outcomes in CTS. Thus, the aims of this study were: 1, to examine whether pain extent, extracted 128 from pain drawings, was associated with clinical features, depression, widespread pressure pain 129 hypersensitivity, or thermal pain hyperalgesia in women with CTS; 2, to determine the presence of 130 median and extra-median symptoms from the pain drawings and to investigate the differences in 131 pain extent between individuals with median or extra-median symptoms; and, 3, to investigate the 132 differences in pain extent according to severity (minimal, moderate, severe) or laterality (unilateral 133 or bilateral) of CTS.

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136 Methods

137 **Participants**

138 Women with signs and symptoms compatible with CTS presenting at a local regional Hospital in Madrid (Spain) were screened for eligibility criteria (21). To be eligible, patients had to exhibit 139 both clinical and electrophysiological findings of CTS including pain and paresthesia in the median 140 141 nerve distribution, increasing symptoms during the night, and both a positive Tinel sign and positive Phalen sign. The electro-diagnostic examination had to reveal deficits of sensory and motor 142 143 median nerve conduction according to the American Association of Electrodiagnosis (AAEM), the American Academy of Neurology (AAN), and the American Physical Medicine and Rehabilitation 144 145 Academy (AAPM&R) guideline (22). Specifically, findings from the exam that were needed to 146 confirm the diagnosis included: 1. median nerve distal sensory latency of the index finger (>3.60 147 ms) and/or 2. median nerve distal motor latency (> 4.20 ms). Sensory and motor conduction studies of the radial and ulnar nerves were also conducted to exclude multiple neuropathy. Patients were 148 149 classified as minimal, moderate or severe CTS according to international guidelines (22).

150 Individuals were excluded if they exhibited any of the following criteria: 1. motor or sensory deficits in the ulnar or radial nerves; 2. older than 65 years; 3. previous surgery or steroid injections 151 in the hand; 4. multiple diagnoses on the upper extremity (e.g., cervical radiculopathy); 5. cervical, 152 153 shoulder, or upper extremity previous trauma; 6. any systemic disease causing CTS (e.g. diabetes 154 mellitus, thyroid disease); 7. diagnosis of fibromyalgia syndrome; 8. pregnancy; or, 9. male gender. 155 All participants signed the informed consent prior to their inclusion in the study. The local human 156 research committee (PI01223-HUFA12/14) approved the study which was conducted according to 157 the declaration of Helsinki.

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162 **Pain Drawings**

All participants were instructed to complete a pain drawing indicating their pain location 163 164 and extent on four different paper body charts of the hand and upper extremity: one reporting a palmar view of hand, one reporting a dorsal view of hand, one reporting a frontal view of the upper 165 166 extremity and the last one reporting a dorsal view of the upper extremity. The four body charts were 167 printed on an A4 sheet and participants were instructed to colour, using a pencil, every part of the body chart where they perceived pain symptoms. Participants were asked to report the pain that 168 169 they usually experienced. Subsequently pain drawings on the paper body charts were copied onto a 170 digital body chart by 2 trained operators using an image analysis software (Inkscape version 0.91). This procedure for digitizing pain drawings has shown high reliability (23,24). Pain extent was then 171 computed using custom software developed with Matlab[®] and previously tested (25). The software 172 173 calculates the amount of the pixels included on each pain drawing and any pain drawn outside of 174 the body chart borders was not included in the analysis. Calculations were based on the number of 175 pixels resulting from the size of the palmar and the dorsal view of the hand (palmar hand: 47175 176 pixels, dorsal hand: 41105 pixels) and the size of the frontal and the dorsal view of the upper 177 extremity (frontal arm: 9980 pixels, dorsal arm: 10228 pixels) separately. Pain extent was expressed 178 as the percentage of the total body chart area where the patient experienced pain (number of pixels with pain / total of pixels of the anatomical area x 100). Pain frequency maps were generated for the 179 180 four different body charts bilaterally to illustrate where pain was most frequently perceived in the 181 enrolled patients. Pain frequency maps were hence obtained by superimposing all the pain drawings 182 performed on the same body chart from all participants.

Pain drawings involving the thumb (with or without the thenar eminence), index, and middle fingers (either palm or dorsum) were considered as median distribution; whereas symptoms involving the little and/or the ring finger were considered as an extra-median distribution. Further, any pain drawn including the upper extremity, excluding the hand, was considered as extra-median distribution.

188 Self-reported Clinical Measures

189 A 10-cm Numerical Pain Rating Scale (26) (NPRS; 0: no pain, 10: maximum pain) was used 190 to assess the average intensity of hand pain, and worst and lowest level of hand pain experienced in 191 the preceding week. The Spanish validated version (27) of the Boston Carpal Tunnel Ouestionnaire 192 (28) (BCTQ) was used to assess the hand pain related-disability. It includes a functional status scale 193 assessing the ability to perform eight common hand-related tasks, and a symptom severity scale 194 assessing pain severity, numbress and weakness at night and during the day. Each item is answered 195 on a 5-point scale (1: no complaint; 5: severe complaint) with higher scores indicating greater 196 related-disability. This questionnaire is valid, reliable, and responsive for individuals with CTS 197 (29).

198 Psychological Measures

Patients completed the Beck Depression Inventory (BDI-II) to obtain a measure of their
level of depressive symptoms. This questionnaire consists of 21-item self-reported items assessing
affective, cognitive, and somatic symptoms of depression (30). The BDI-II is easily adapted in most
clinical conditions for detecting symptoms of depression (31).

203 Psychophysical Measures

204 In the current study, we also evaluated sensitivity to pressure and thermal pain to assess 205 nociceptive gain processing. Pressure pain thresholds (PPT), the minimal amount of pressure where 206 a sense of pressure first changes to pain, were measured bilaterally with an electronic algometer (Somedic AB©, Farsta, Sweden) over the median, ulnar and radial nerves, the articular pillar of C5-207 208 C6 joint, carpal tunnel, and tibialis anterior (6). The pressure was applied at an approximate rate of 209 30 kPa/sec. Participants were instructed to press the stop switch when the sensation changed from 210 pressure to pain. The mean of three trials was calculated and used for the analysis. A 30-second rest 211 period was provided between each trial to avoid temporal summation (32). The order of the 212 assessment was randomized between participants. The reliability of algometry is high (33,34).

Thermal pain thresholds were assessed bilaterally with a Thermotest System (Somedic AB© Farsta, Sweden) over the carpal tunnel or thenar eminence (7). Patients were instructed to press a hand-controlled stop switch when the sensation changed from heat/cold to heat/cold pain (heat or cold pain threshold, HPT/CPT respectively). The mean of 3 trials at each region was calculated and used for the analysis. A rest of 5 seconds was allowed between trials. The order of the assessment was randomized. A systematic review concluded that the reliability of thermal pain thresholds on the hand is high (35).

220 Sample Size Calculation

The sample size was calculated using Ene 3.0 software (Autonomic University of Barcelona,
Spain). The sample calculation was based on detecting significant moderate correlations (r=0.3)
between the studied variables with an alpha level (α) of 0.05, and a desired power (β) of 95%. This
generated a sample size of at least 135 subjects.

225 Statistical Analysis

226 Distribution of the data was tested with the Shapiro-Wilk test and non-normally distributed 227 data were observed. Since no side-to-side differences in PPTs, HPTs or CPTs were found, the mean 228 of both sides was used in the analysis. For the first objective, Spearman's correlation coefficients were computed to reveal the associations between pain extent with all clinical, psychological and 229 psychophysical outcomes. Correlation was considered weak when $r_s < .3$, moderate when $.3 <_{s_i} r_s < .7$, 230 and strong when r_s>.7 (36). Statistical analysis was performed using R version 3.2.2. Significance 231 232 was set to α =.05 and the Bonferroni correction was applied (α -adjusted=.003) to account for 233 multiple testing (37). For the second objective, a non-parametric Wilcoxon Rank Test was used to evaluate the differences in pain extent between those with median and extra-median symptoms. 234 235 Finally, for the third objective, a non-parametric Kruskal-Wallis Test was used to evaluate the 236 differences in pain extent accordingly to severity (minimal, moderate or severe) or laterality (left, 237 right or bilateral).

238 **Results**

239 Two hundred (n=200) women with diagnosis of CTS between January 2015 and June 2017 240 were screened according to the eligibility criteria. Finally, 140 (70%) women satisfied all the 241 eligibility criteria, agreed to participate, and signed the informed consent. The reasons for exclusion included: previous surgery (n=20), previous steroid injections (n=15), diabetes (n=10), whiplash 242 243 (n=5), age above 65 (n=5), pain drawings not recognised by the software (n=5). Thirty-seven (26%) reported unilateral symptoms (28 right side, 9 left side), and the remaining 103 (74%) exhibited 244 245 bilateral symptoms. Thirty-two (23%) presented minimal CTS, 62 (44%) moderate CTS and the 246 remaining 46 (33%) severe CTS. The total pain extent was $22.1 \pm 13.9\%$ across the entire group of 247 women with CTS, whereas pain extent for the hand and arm only was 25.7±16.1% and 5.8±10.6%, 248 respectively. Table 1 presents the clinical, psychological, and psychophysical measures of the 249 entire sample. No significant associations were found between the pain extent and clinical, psychological or psychophysical variables in the total sample of women of CTS, except for CPT 250 251 over the carpal tunnel and pain extent in the upper extremity (r=0.25; P=.002)

Pain frequency maps for women with CTS are illustrated in **Figure 1.** According to the pain drawings, 124 (88%) women exhibited extra-median symptoms and the remaining 16 (12%) women experienced median symptoms. Women with extra-median symptoms exhibited significantly larger (P<.001) total (24.2 \pm 13.5%) and hand (28.4 \pm 15.5%) pain extent than those with median symptoms (total: 12.2 \pm 6.9%; hand: 13.3 \pm 8.3%) as it is depicted in **Figure 2**.

The Kruskal-Wallis Test did not find significant differences for the total (F=1.396; P=.251), hand (F=1.674; P=.197) or arm (F=1.050; P=.353) pain extent between women with minimal (total: $26.1\pm14.4\%$; hand: $30.9\pm16.8\%$; arm: $5.0\pm7.0\%$), moderate (total: $21.3\pm12.4\%$; hand: $24.9\pm14.8\%$; arm: $5.2\pm10.2\%$) and severe (total: $22.7\pm14.1\%$; hand: $26.1\pm15.4\%$; arm: $7.9\pm13.1\%$) CTS. In addition, no significant differences in the total (F=.576; P=.563), hand (F=.646; P=.526), or upper extremity (F=.643; P=.527) pain extent were identified between those women with unilateral versus bilateral symptoms.

264 **Discussion**

The current study observed that the degree of pain extent was not associated with clinical, psychological or psychophysical variables in women with CTS. Additionally, no difference in pain extent was found depending on the severity or laterality of the symptoms.

268 It has been generally assumed that people with CTS should exhibit pain in the median nerve 269 area; however, we observed that around 80% of our sample experienced extra-median symptoms. In 270 fact, previous studies have reported a prevalence of extra-median symptoms in the hand or proximal 271 upper extremity pain ranging from 40% to 50% (14-16), which is a lower rate than in the current 272 study. Discrepancies in the prevalence of extra-median symptoms between the current and previous 273 studies can likely be explained by the fact that our study is the first to include pain drawings of both 274 the hand and upper extremity for describing extra-median symptoms, since Zanette et al (14) and 275 Mansiz-Kaplan et al (16) only included the hands, whereas Zanette et al (15) only considered upper 276 extremity symptoms. Further, previous studies only evaluated the presence of symptoms, but they 277 did not assess the extent of pain. Thus, this is the first study to report the extent of pain as depicted 278 by a novel method of pain drawing in a sample of women with CTS. In fact, our findings of a non-279 neuroanatomical distribution of pain in our sample of women with CTS is consistent with previous 280 observations involving spinal nerves during both experimental and clinical studies (38,39).

281 Larger pain extent (13) and widespread pressure hypersensitivity (6,9) have been associated 282 with stronger levels of sensitization. In the current study, pain extent in women with CTS with 283 extra-median symptoms was larger than that in women with just median symptoms. These findings 284 would agree with the hypothesis that the presence of extra-median symptoms (14-16) and larger pain areas (13) are clinical manifestations of central sensitization. The presence of central 285 286 sensitization is supported by neuro-physiological studies investigating different quantitative sensory 287 tests in individuals with CTS (5-10). Current results further support spreading of pain as a potential 288 manifestation of sensitization mechanisms in women with CTS. Nevertheless, it should be noted 289 that we did not find any significant association between pain extent and psychophysical outcomes

290 of sensitization, i.e., widespread pressure pain or thermal pain hypersensitivity (except CPT over 291 the carpal tunnel). Current findings would agree with the results previously found in some primary 292 headaches such as migraine (40) or tension-type headache (41) but contrast with those previously 293 reported in painful knee osteoarthritis (18) where larger pain extent was associated with higher 294 pressure pain sensitivity. Similarly, the current study did not find any significant association 295 between pain extent and clinical, psychological, and related-disability outcomes in women with 296 CTS which is also in disagreement with previous findings found on painful knee osteoarthritis (18), 297 whiplash-associated disorders (19), and fibromyalgia (20) where larger pain extent was associated 298 with higher intensity of pain or worse psychological variables. Previous studies suggest the 299 relevance of pain drawing as an adjunct variable for evaluating patients; however, expanded pain 300 drawings seem to be not always associated with psychological state (42), as in the current study.

301 One potential explanation for the discrepancy between studies may be related to the fact that 302 knee osteoarthritis or whiplash-associated disorders are most typically nociceptive pain disorders 303 whereas CTS is a neuropathic pain condition. It has been postulated that central sensitization 304 progressively develops in musculoskeletal pain conditions such as painful knee osteoarthritis (18); 305 whereas it seems that central sensitization is present in individuals with CTS from the beginning of 306 their symptoms independently of electrodiagnostic findings (9). This hypothesis is supported by the 307 results from the current study since pain extent or the presence of extra-median symptoms was not 308 significantly different between women with minimal, moderate or severe CTS or between those 309 with unilateral/bilateral symptoms. Since the electro-diagnostic examination classified women with 310 CTS according to nerve conduction impairments; our results would support the well-known notion 311 that pain features/manifestations are not correlated with potential nerve damage due to compression, 312 even in case of a neurological condition and when considering the pain extent. Further, this 313 assumption would explain the results previously found in women with fibromyalgia syndrome, a 314 condition with a neuropathic component (43), where widespread pressure or thermal hyperalgesia 315 was not associated with pain extent (20). Interestingly, in women with fibromyalgia syndrome (20)

and in those with CTS, pain extent was poorly associated with cold pain thresholds, a feature of neuropathic pain (44). It is possible that the association between pain extent and clinical, psychological or psychophysical measures is more complex in neuropathic pain conditions than in musculoskeletal pain disorders, or that pain extent would represent a different component of the pain spectrum in these conditions.

Finally, we should recognize that the current study has some potential limitations. First, we 321 only included women with CTS recruited from one urban hospital. Therefore, our results should not 322 323 be extrapolated to men with CTS. Multi-centre studies including men and women with CTS from 324 the general population would help to strengthen the results. Second, we used sensitivity to pressure or thermal pain and pain extent for investigating central sensitization. We do not know if the use of 325 326 dynamic, e.g., wind up or conditioned pain modulation, or self-reported, e.g., central sensitization 327 inventory (CSI), outcomes would lead to different results. Finally, the role of other psychological 328 variables, e.g., anxiety, sleep disorders was not either considered. Future studies evaluating the role 329 of pain extent in the clinical evolution or as prognostic factor of treatment in CTS are needed.

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331 Conclusions

This study used a reliable procedure to quantify pain extent in women with CTS and found that almost 80% of the patients exhibit extra-median symptoms. Pain extent was not associated with clinical, psychological or psychophysical variables in women with CTS and was not significantly different depending on the severity or laterality of the symptoms. Further research is needed to determine the role of pain extent in the clinical course and treatment prognosis of pain conditions.

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461	Legend of Figures
462	Figure 1: Pain frequency maps generated by superimposing the pain drawings of all women with
463	carpal tunnel syndrome (n=140). The colour bar represents the frequency of coloured areas. Dark
464	red indicates the most frequently reported area of pain
465	Figure 2: Pain frequency maps generated by superimposing the pain drawings of women with
466	carpal tunnel syndrome with median (n=16) or extra-median (n=124) symptoms. The colour bar
467	represents the frequency of coloured areas. Dark red indicates the most frequently reported area of
468	pain.