

Gut microbiota and colonization resistance against bacterial enteric infection

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1 Gut microbiota and colonization resistance against bacterial enteric infection

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17 Running Head: Gut microbiota, colonization resistance and infection

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51 **SUMMARY**

52 The gut microbiome is critical in providing resistance against colonization by
53 exogenous microorganisms. The mechanisms via which the gut microbiota provides
54 colonization resistance (CR) have not been fully elucidated, but include secretion of
55 antimicrobial products, nutrient competition, support of gut barrier integrity and
56 bacteriophage deployment. However, bacterial enteric infections are an important
57 cause of disease globally, indicating that microbiota-mediated CR can be disturbed,
58 and become ineffective. Changes in microbiota composition, and potential
59 subsequent disruption of CR, can be caused by various drugs, such as antibiotics,
60 proton pump inhibitors, antidiabetics and antipsychotics, thereby providing
61 opportunities for exogenous pathogens to colonize the gut and ultimately cause
62 infection. In addition, the most prevalent bacterial enteropathogens, including
63 *Clostridioides difficile*, *Salmonella enterica* serovar Typhimurium, enterohemorrhagic
64 *Escherichia coli*, *Shigella flexneri*, *Campylobacter jejuni*, *Vibrio cholerae*, *Yersinia*
65 *enterocolitica* and *Listeria monocytogenes*, can employ a wide array of mechanisms
66 to overcome colonization resistance. This review aims to summarize current
67 knowledge on how the gut microbiota can mediate colonization resistance against
68 bacterial enteric infection, and on how bacterial enteropathogens can overcome this
69 resistance.

70 **KEYWORDS** colonization resistance, bacterial enteric infection, enteric pathogens,
71 gut microbiota, microbiome, nutrient competition, mucus layer, bile acids,
72 bacteriocins, short-chain fatty acids, bacteriophages, proton-pump inhibitors,
73 metformin, antipsychotics

74 **INTRODUCTION**

75 The human gastrointestinal tract is colonized by an enormous number of microbes,
76 collectively termed gut microbiota, including bacteria, viruses, fungi, archaea and
77 protozoa. Bacteria achieve the highest cell density, estimated to be approximately
78 10^{11} bacteria/ml in the colon (1). Research has long focused on pathogenicity of
79 microbes and not on their potential beneficial roles for human health. Beneficial roles
80 include aiding in immune system maturation, production of short-chain fatty acids
81 (SCFAs), vitamin synthesis and providing a barrier against colonization with potential
82 pathogens (2). Additionally, the gut microbiota has extensive interactions with our
83 immune system and it has been associated with many immune-mediated diseases
84 both in and outside of the gut (3-5). Over the last ten years, there has been an
85 increased interest in elucidating the bidirectional relationship between gut microbiota
86 and human health and disease. This has been partly propelled by improved
87 sequencing technologies, allowing the profiling of entire microbial communities at
88 high efficiency and low costs (6).

89 Hundreds of different bacterial species inhabiting the healthy human gut have been
90 identified (7, 8). Initial studies seeking to elucidate the relationship between human
91 microbiota and health and disease were largely observational; gut microbiota
92 composition would be compared between diseased and healthy groups and
93 subsequently associated with clinical markers (9). Currently, the field is moving
94 towards more functional and mechanistic studies by including other -omics
95 techniques.

96 In healthy individuals, the gut microbiota provides protection against infection by
97 deploying multiple mechanisms including secretion of antimicrobial products, nutrient
98 competition, support of epithelial barrier integrity, bacteriophage deployment, and

99 immune activation. Together, these mechanisms contribute to resistance against
100 colonization of exogenous microorganisms (colonization resistance, CR) (10).
101 However, also in absence of a fully functional immune system, the gut microbiota
102 can provide a crucial and nonredundant protection against a potentially lethal
103 pathogen (11). This review will discuss the mechanisms used by gut microbiota to
104 provide CR, the impact of various drugs on gut microbiota and thereby CR, and the
105 strategies of specific bacterial pathogens to overcome CR and ultimately cause
106 enteric infection.

107

108 **MECHANISMS PROVIDING COLONIZATION RESISTANCE**

109 The gut microbiota produces various products with antimicrobial effects, including
110 SCFAs, secondary bile acids and bacteriocins. Each of these contribute to CR in a
111 product-specific manner. The following section describes their general mechanisms
112 of action. The contribution of the immune system in conferring CR has been
113 extensively reviewed elsewhere and is outside the scope of this review (12, 13).

114

115 **Short-chain fatty acids**

116 SCFAs are mainly produced by bacteria through fermentation of non-digestible
117 carbohydrates (Fig. 1) (14). The three main SCFAs are acetate, propionate and
118 butyrate, constituting 90-95% of the total SCFA pool (15). During homeostatic
119 conditions, butyrate is the main nutrient for enterocytes and is metabolized through
120 β -oxidation. Hereby, an anaerobic milieu inside the gut can be maintained (16).
121 SCFAs can impair bacterial growth by affecting intracellular pH and metabolic
122 functioning. SCFA concentrations have been shown to inversely relate to pH

123 throughout different regions of the gut (17). At lower pH, SCFAs are more prevalent
124 in their non-ionized form and these non-ionized acids can diffuse across the bacterial
125 membrane into the cytoplasm. Within the cytoplasm they will dissociate, resulting in
126 a build-up of anions and protons leading to a lower intracellular pH (18).
127 In presence of acetate, metabolic functioning of *Escherichia coli* could be impaired
128 by preventing biosynthesis of methionine, leading to accumulation of toxic
129 homocysteine and growth inhibition. Growth inhibition was partly relieved by
130 supplementing the growth medium with methionine, showing that this metabolic
131 dysfunction is one of the factors by which SCFAs impair bacterial growth (19).

132

133 **Bile acids**

134 Bile acids, possessing antimicrobial properties, are produced by the liver and
135 excreted in the intestinal tract to aid in the digestion of dietary lipids. After production
136 of primary bile acids in the liver, they are subsequently conjugated with glycine or
137 taurine, to increase solubility (20). These are then stored in the gallbladder, and
138 upon food intake, are released into the duodenum to increase solubilization of
139 ingested lipids. A large part of conjugated primary bile acids is reabsorbed in the
140 distal ileum (50-90%), while the remainder can be subjected to bacterial metabolism
141 in the colon (20). Here, conjugated bile acids can be deconjugated by bile salt
142 hydrolases (BSH), which are abundantly present in the gut microbiome (21).
143 Deconjugated primary bile acids can subsequently be converted into the two main
144 secondary bile acids, deoxycholic acid and lithocholic acid, by few bacteria, mostly
145 *Clostridium* species, via 7 α -dehydroxylation through a complex biochemical pathway
146 (21-23) (Fig. 1). A crucial step during the conversion is encoded by the *baiCD* gene,
147 which is found in several *Clostridium* strains, including *Clostridium scindens* (24).

148 Deoxycholic acid is bactericidal to many bacteria, including *Staphylococcus aureus*,
149 *Bacteroides thetaiotaomicron*, *Clostridioides difficile*, bifidobacteria and lactobacilli by
150 membrane disruption and subsequent leakage of cellular content (25-28).

151 The importance of bacteria for conversion of primary bile acids was demonstrated by
152 investigating bile acid profiles in germ-free mice, where no secondary bile acids
153 could be measured (29). Very few colonic bacteria, less than 0.025% of total gut
154 microbiota, are capable of performing 7 α -dehydroxylation (23, 30). One of these
155 bacteria, *C. scindens*, is associated with colonization resistance against *C. difficile*
156 through secondary bile acid production (22, 31). A follow-up *in vivo* study
157 demonstrated that *C. scindens* provided CR in the first day post infection (p.i), but
158 protection and secondary bile acid production was lost at 72 p.i (32). *C. scindens* on
159 its own was also not sufficient to inhibit *C. difficile* outgrowth in humans (33).
160 Together, these studies suggest that *C. scindens* either requires cooperation with
161 other secondary-bile acid producing bacteria or that other mechanisms were
162 involved in providing CR. The secondary bile acid lithocholic acid may exert its
163 antimicrobial effects, and potentially its effects on CR, in an indirect manner.
164 Lithocholic acid has been shown to enhance transcription for the antimicrobial
165 peptide LL-37, in gut epithelium using a HT-29 cell line (34). However, no increased
166 mRNA transcription nor protein translation of LL-37 was observed in another study
167 using a Caco2 cell line (35).

168

169 **Bacteriocins**

170 Bacteriocins are short, toxic peptides produced by specific bacterial species that can
171 inhibit colonization and growth of other species (36) (Fig. 1). Their mechanisms of
172 action are multifold and include disturbing RNA and DNA metabolism, and killing

173 cells through pore formation in the cell membrane (37-40). Bacteriocins can be
174 divided into those produced by Gram-positive bacteria, and those produced by
175 Gram-negative bacteria. Further classification of bacteriocins has been extensively
176 discussed elsewhere, (41, 42). Bacteriocins produced by Gram-positive bacteria are
177 mostly produced by lactic acid bacteria (e.g. *Lactococcus* and *Lactobacillus*) and
178 some *Streptococcus* species, and are further subdivided into three major classes on
179 the basis of the molecular weight of the bacteriocins and the presence of post-
180 translational modifications (42). Bacteriocins produced by Gram-negative bacteria,
181 mostly by *Enterobacteriaceae*, can be broadly divided into high molecular weight
182 proteins (colicins) and lower molecular weight peptides (microcins) (41).

183 The lantibiotic nisin is the best studied bacteriocin and is produced by *Lactococcus*
184 *lactis* strains. It has potent activity against many Gram-positive bacteria but has
185 much less intrinsic activity against Gram-negative organisms (43-45). By itself, nisin
186 does not induce growth inhibition of Gram-negative bacteria, since binding to lipid II
187 – the main target – is prevented by the outer bacterial membrane (46). Therefore,
188 studies have used different methods to overcome this problem by combining nisin
189 with chelating agents like EDTA, antibiotics and engineered nisin peptides (47-52).
190 These compounds can destabilize the outer membrane, allowing nisin to exert its
191 damaging effect (53, 54).

192 Several *in vivo* models have confirmed the potency of bacteriocins in providing CR.
193 *Lactobacillus salivarius* UCC 118, which produces the bacteriocin Abp118, was able
194 to significantly protect mice from infection by direct killing of *Listeria monocytogenes*,
195 while an UCC 118 mutant could not, confirming the protective role of Abp118 against
196 this food-borne pathogen (55).

197 Another example is *Bacillus thuringiensis* DPC 6431, which produces the bacteriocin
198 thuricin (36). Thuricin targets several *C. difficile* strains, including the highly virulent
199 PCR ribotype 027. *In vitro*, its activity was more potent than metronidazole, the
200 common treatment for *C. difficile* infection (56). In a colon model system,
201 metronidazole, vancomycin and thuricin all effectively reduced *C. difficile* levels.
202 However, thuricin has the advantage of conserving gut microbiota composition. This
203 is highly relevant, as a disturbed microbiota is associated with increased
204 susceptibility to infection (57, 58).

205 *Enterobacteriaceae* members can produce specific bacteriocins called colicins and
206 one example, colicin F_Y, is encoded by the *Yersinia frederiksenii* Y27601 plasmid.
207 Recombinant *E. coli* strains, capable of producing colicin F_Y, were shown to be highly
208 effective against *Yersinia enterocolitica in vitro* (59). *In vivo* experiments were
209 performed by first administering the recombinant *E. coli* strains, after which mice
210 were infected with *Y. enterocolitica*. In mice with a normal gut microbiota the
211 recombinant strains did not inhibit *Y. enterocolitica* infection, while infection was
212 effectively reduced in mice pre-treated with streptomycin (59). This was most
213 probably the result of increased colonization capacity of recombinant *E. coli* in the
214 inflamed gut, while the normal gut microbiota provided sufficient CR to prevent *E.*
215 *coli* colonization (59).

216 Microcins are also produced by *Enterobacteriaceae*, but differ from colicins in
217 several ways (60). For example, microcins are of much smaller size (<10 kDa) and
218 microcin production is not lethal to the producing bacterium, in contrast to colicin
219 production (60). *E. coli* Nissle 1917, capable of producing microcin M and microcin
220 H47, could significantly inhibit *Salmonella enterica* serovar Typhimurium *in vitro* and
221 *in vivo* (61). This inhibition was however only seen during intestinal inflammation,

222 during which *S. Typhimurium* expresses siderophores to scavenge iron from an iron-
223 depleted environment. As microcins are able to conjugate to siderophores and *S.*
224 *Typhimurium* takes up the siderophore during iron scavenging, microcins are
225 introduced into the bacterial cell in a Trojan-horse like manner (62).

226 *In silico* identification of bacteriocin gene clusters shows that much remains to be
227 discovered in this area, as 74 clusters were identified in the gut microbiota (63). Not
228 all of these clusters may be active *in vivo*, but it illustrates the potential relevance of
229 bacteriocin production by the gut microbiota to provide colonization resistance.

230

231 **Nutrient competition**

232 Bacteria have to compete for nutrients present in the gut. This is especially relevant
233 for bacterial strains belonging to the same species, as they will often require similar
234 nutrients. The importance of nutrient competition in providing CR has been shown in
235 multiple studies using multiple *E. coli* strains (64-67). Indigenous *E. coli* strains
236 compete with pathogenic *E. coli* O157:H7 for the amino acid proline (64). In fecal
237 suspensions, depletion of the proline pool by high-proline-utilizing *E. coli* strains
238 inhibited growth of pathogenic *E. coli*. This inhibition could be reversed by adding
239 proline to the medium, thereby confirming nutrient competition between the strains
240 (64). In addition to amino acids, different *E. coli* strains use distinct sugars present in
241 the intestinal mucus (65). When two commensal *E. coli* strains were present in the
242 mouse gut that together utilize the same sugars as *E. coli* O157:H7, *E. coli* O157:H7
243 was unable to colonize after it was administered to these mice. However, *E. coli*
244 O157:H7 successfully colonized when only one of these commensals was present.
245 This indicated that the two commensals complement each other to sufficiently
246 deplete all sugars used by this pathogenic *E. coli* strain (66). Nutrient competition is

247 not limited to macronutrients, but can extend to micronutrients such as iron. *S.*
248 *Typhimurium* is known to take up large amounts of iron from the inflamed gut during
249 infection (67). Upon a single administration of the probiotic *E. coli* Nissle 1917, which
250 was proposed to scavenge iron very efficiently, *S. Typhimurium* levels were reduced
251 more than two log-fold during infection via the limitation of iron availability.
252 Administration of *E. coli* Nissle 1917 prior to infection with *S. Typhimurium* led to a
253 445-fold lower colonization (67).

254 Finally, genome-scale metabolic models have been used to reconstruct microbiome-
255 wide metabolic networks, which could partly predict which species utilize specific
256 compounds from their environment (68). These models have been used to study
257 nutrient utilization by *C. difficile*, which will be described in the section on this
258 organism below.

259 Together, these studies show that colonization resistance by nutrient competition is
260 most effective when microbiota take up key nutrients that are required by the
261 pathogen (Fig. 1). Future strategies could therefore aim at administering probiotic
262 strains that are able to outcompete pathogens for specific nutrients. This is
263 especially relevant at times of gut microbiota disturbances, e.g. during and following
264 an antibiotic treatment, as this is the time window where it is easiest for exogenous
265 bacteria to colonize the GI tract.

266

267 **Mucus layers**

268 The gut barrier consists of the inner and outer mucus layer, the epithelial barrier and
269 its related immune barrier. It is out of the scope of this review to discuss the full
270 immunological characteristics of the epithelial barrier, the highly complex host-
271 microbe interactions occurring at the mucus layer and host-associated genetic

272 polymorphisms associated with mucus layer composition, as these have been
273 extensively described elsewhere (12, 13, 69, 70). Instead, a general description with
274 various examples of how the mucus layer provides CR will be given.

275 The inner mucus layer is impenetrable and firmly attached to the epithelium, forming
276 a physical barrier for bacteria thereby preventing direct interaction with the epithelial
277 layer and a potential inflammatory response (71, 72). Commensal gut microbes
278 reside and metabolize nutrients in the nonattached outer mucus layer. Thinning of
279 the mucus layer leads to an increased susceptibility for pathogen colonization, which
280 can result from a Western-style diet deficient in microbiota-accessible-carbohydrates
281 (MACs) (58). When MACs were scarce, mucus-degrading bacteria (*Akkermansia*
282 *muciniphila* and *Bacteroides caccae*) fed on the outer mucus layer in a gnotobiotic
283 mouse model, resulting in closer proximity of bacteria to the epithelial layer (58). The
284 host adapts by increasing *muc2* expression, the main producer of intestinal mucin
285 glycans, but fails to sufficiently do so. Inner mucus layer damage could however be
286 reversed by administration of *Bifidobacterium longum*, perhaps due to stimulation of
287 mucus generation (73).

288 The composition of the microbiota is thus a contributing factor to the integrity of the
289 mucus barrier. Genetically identical mice housed in different rooms at the same
290 facility showed a distinct microbiota composition, with one group of mice showing a
291 more penetrable barrier (74). When FMT was performed on germ-free mice, they
292 displayed the same barrier function as their respective donor. No specific microbes
293 were identified to be responsible for the change in observed barrier function (74).

294 In conclusion, the mucus layers provide a first barrier of defense against colonization
295 of exogenous microorganisms. Diet has been shown to be an important factor for

296 proper functioning of this layer, suggesting that dietary intervention, or specific pro-
297 and prebiotics, may be a future therapeutic option.

298

299 **Bacteriophages**

300 Bacteriophages are the most abundant microorganisms on our planet and are also
301 highly present in the human gut (75, 76). Bacteriophages have been proposed as
302 potential alternatives to antibiotics, as they are highly specific, only targeting a single
303 or a few bacterial strains thereby minimizing the impact on commensal members of
304 the microbiota (75, 77) (Fig. 1). Their complex interactions in the intestine with both
305 host immunity and bacterial inhabitants are starting to be explored, but much
306 remains to be elucidated (76). Here, we will focus on their relationship with bacterial
307 enteropathogens.

308 *Vibrio cholerae* infection could be controlled using a prophylactic phage cocktail in
309 mice and rabbits (78). This prophylactic cocktail killed *V. cholerae in vitro*, reduced
310 colonization of *V. cholerae* in the mouse gut and prevented cholera-like diarrhea in
311 rabbits. Importantly, the authors suggest that the concentration of phages in the gut
312 is an important criterion for successful prevention of infection, as timing between
313 phage cocktail administration and *V. cholerae* inoculation was associated with
314 treatment outcome (78). Similar findings have been demonstrated for *Campylobacter*
315 *jejuni* colonization in chickens, where a phage cocktail reduced *C. jejuni* levels
316 several orders of magnitude (79).

317 Bacteriophages can also confer a competitive advantage for commensals.
318 *Enterococcus faecalis* V583 harbors phages that infect and kill other *E. faecalis*
319 strains, thereby creating a niche for *E. faecalis* V583 (80).

320 Phages play an important role in excluding specific gut bacteria and can thereby
321 contribute to CR. Therapeutic use in humans is not yet performed at a wide scale in
322 the Western world, as sufficient evidence for their safety and efficacy is still lacking
323 (81). However, recent case reports indicate that bacteriophage treatment has definite
324 future potential for treating multi-drug resistant bacteria (82, 83).

325

326 **EFFECTS OF VARIOUS NON-ANTIBIOTIC DRUGS ON GUT COLONIZATION** 327 **RESISTANCE**

328 Antibiotics are long known for their deleterious effect on gut microbiota. Recently,
329 various other drugs have come to attention for their impact on our microbial
330 ecosystem. As effects of antibiotics have been extensively reviewed elsewhere (84,
331 85), the focus in the current review will be on non-antibiotic drugs, namely proton-
332 pump inhibitors (PPIs), antidiabetics and antipsychotics.

333

334 **Proton-pump inhibitors**

335 PPIs inhibit gastric acid production and are among the most prescribed drugs in
336 Western countries (86). A significant association between long-term use of PPIs and
337 the risk on several bacterial enteric infections has been demonstrated in multiple
338 systematic reviews (87-90).

339 Several studies have associated PPI use with microbiota alterations that may
340 specifically predispose to *C. difficile* infection and to small intestinal bacterial
341 outgrowth (91-95). Especially taxa prevalent in oral microbiota (e.g. *Streptococcus*)
342 were associated with PPI use, likely resulting from increased gastric pH and thereby
343 allowing for colonization of these bacteria further down the gastrointestinal tract (91-

344 94). Administering PPIs to twelve healthy volunteers for four weeks did not result in
345 changes in diversity or changes in overall microbiota composition. However,
346 abundance of specific taxa associated with *C. difficile* infection and gastrointestinal
347 bacterial overgrowth increased, thereby potentially lowering colonization resistance
348 against *C. difficile* (91).

349 Results of two mouse studies suggest that the reduced bactericidal effect, due to
350 increased stomach pH, may be the most important factor for increased enteric
351 infection risk. Mice received PPIs seven days prior to infection with the murine
352 pathogen *Citrobacter rodentium*, which resulted in increased numbers of *C.*
353 *rodentium* in the cecum one hour post inoculation as compared to control mice (96).

354 Similar results were observed in another study where treatment of mice with PPIs led
355 to increased colonization of vancomycin-resistant enterococci and *Klebsiella*
356 *pneumoniae* (97). In spite of its general acceptance as a model for gut disturbances,
357 it is important to note that mice were pre-treated with clindamycin, which may limit
358 generalizability (97). This is an important issue when studying effects of PPIs, as the
359 combined use of medication in the human population complicates the study of the
360 effects of PPIs on microbiota and CR. Even though large-scale studies have
361 adjusted for cofounders to filter out the effect of PPIs on the gut microbiota, this does
362 not represent a mechanistic study where only PPIs would be administered (92, 98).

363 Therefore, more mechanistic studies investigating how PPIs increase the risk for
364 enteric infection are required. These studies should then exclusively administer PPIs
365 to healthy human volunteers or animals.

366

367 **Antidiabetics**

368 Metformin is the primary prescribed drug for treatment of type II diabetes mellitus
369 (T2DM) and mainly acts by reducing hepatic glucose production, thereby lowering
370 blood glucose levels (99). The current increase in the number of T2DM patients is
371 unprecedented and it is therefore crucial to evaluate metformin's effect on gut
372 microbiota and colonization resistance (100).

373 The microbiota of T2DM patients is, amongst other changes, characterized by a
374 depletion in butyrate-producing bacteria (101, 102). Metformin administration
375 increased both the abundance of butyrate and other SCFA-producing bacteria, as
376 well as fecal SCFA levels and may thus contribute to colonization resistance. The
377 underlying mechanisms remain unknown (101, 103).

378 Another effect of metformin has been studied in an *in vitro* model, where it was found
379 to reduce tight junction dysfunction of the gut barrier by preventing TNF- α induced
380 damage to tight junctions (104). Similar findings for improvement of tight junction
381 dysfunction were demonstrated using two *in vivo* models, one using interleukin-10
382 deficient mice and one using a colitis mouse model (105, 106). As tight junctions are
383 a critical part of epithelial barrier integrity, alleviating their impaired functioning likely
384 improves CR.

385 In conclusion, metformin may have beneficial effects on CR, as its ability to raise
386 SCFA concentrations and improved tight junction function suggests. The effects of
387 metformin on gut microbiota and CR in healthy organisms needs further evaluation.

388

389 **Antipsychotics**

390 The interest in whether antipsychotics affect gut microbiota composition and
391 colonization resistance may surge after a recent publication demonstrating that

392 antipsychotics target microbes based on their structural composition (107). This led
393 to the suggestion that antibacterial activity may not simply be a side effect of
394 antipsychotics, but can be part of their mechanism of action (107). Various
395 antipsychotics have been investigated for their antibacterial effects, of which several
396 will be highlighted here.

397 In an *in vitro* model, olanzapine has been demonstrated to completely inhibit growth
398 of two potentially pathogenic bacteria, *E. coli* and *E. faecalis* (108). Pimozide has
399 been shown to inhibit internalization of several bacteria, including *L. monocytogenes*
400 (109). An *in vitro* screening test evaluated effects of fluphenazine on 482 bacterial
401 strains, belonging to ten different genera. Growth inhibition was demonstrated in
402 multiple species, including five out of six *Bacillus spp.*, 95 out of 164 staphylococci,
403 138 out of 153 *V. cholerae* strains and several *Salmonella* species. Significant
404 protection by administering fluphenazine was shown in a mouse model infected with
405 *S. Typhimurium*, as viable cells in several organs was lower and overall survival was
406 higher as compared to controls (110).

407 Antipsychotics can also be used in combination with antibiotics, to exert a synergistic
408 antibacterial effect. Flupenthixol dihydrochloride (FD) was demonstrated to have
409 antibacterial activity, both *in vitro* and *in vivo* (111). Co-administration of FD and
410 penicillin yielded extra protection against *S. Typhimurium* as compared to singular
411 administration of either drug. (111). As antipsychotics have only recently been
412 recognized for their potential antimicrobial effects, studies have only looked at the
413 effects on pathogens. It is likely that gut commensals are also affected by these
414 drugs, but future studies will have to confirm this hypothesis.

415 Apart from their potential antibacterial effects, several antipsychotics were shown to
416 increase intestinal permeability in the distal ileum in rats, and therefore showing a

417 possibly detrimental effect on CR (112). Curiously enough, use of antidepressants
418 was associated with increased risk of *C. difficile* infection development, although no
419 underlying mechanism has been elucidated yet (113).

420 In conclusion, antipsychotics have definite antibacterial effects, but, to our
421 knowledge, no studies have yet been performed regarding their effects on
422 colonization resistance and bacterial enteric infection *in vivo*.

423

424 **COLONIZATION RESISTANCE TOWARDS SPECIFIC BACTERIAL ENTERIC** 425 **PATHOGENS**

426 Other than antibiotic resistance acquisition, enteric pathogens possess multiple
427 virulence factors to overcome CR and cause infection. Some of these factors are
428 common and apply to many bacterial species, others are organism-specific.
429 Mechanisms implicated in antibiotic resistance development include horizontal gene
430 transfer, mutational resistance and altering structure and thereby efficacy of the
431 antibiotic molecule. Full reviews describing these mechanisms in depth can be found
432 elsewhere (114, 115). Here, the main focus will be on how several of the most
433 prevalent and dangerous bacterial enteropathogens overcome the mechanisms
434 providing CR as described herein, namely secretion of antimicrobial products,
435 nutrient competition, mucus barrier integrity and bacteriophage deployment. As
436 insufficient knowledge is available on how each specific enteropathogen overcomes
437 CR by rendering bacteriophages ineffective, apart from the well-known and
438 conserved CRISPR-Cas, an overview of the currently known bacterial defense
439 mechanisms will be given at the end of this review.

440

441 ***C. difficile***

442 *C. difficile*-associated diarrhea is the most common hospital-acquired infection,
443 causing more than 450,000 diarrheal cases per year in the United States alone
444 (116). Clinical symptoms can range from self-limiting diarrhea to bloody diarrhea,
445 pseudomembranous colitis and ultimately death (117). However, also in healthy
446 individuals CR is not always successful against this opportunistic pathogen, resulting
447 in asymptomatic colonization in 2-15% of the healthy population (118). The reason
448 why some asymptomatically colonized patients do not develop infection, while others
449 do, may well be found in the gut microbiome, although no mechanisms have yet
450 been elucidated. *C. difficile* contains a pathogenicity locus with the information to
451 produce its two major toxins, TcdA and TcdB. The significance of a third toxin, called
452 binary toxin, is less clear. Toxin production in the colon is facilitated by disruption of
453 the native gut microbiota, for instance through antibiotic use (119).

454

455 Effects of SCFAs on *C. difficile* throughout its life cycle are currently unclear (120-
456 122). In an antibiotic-treated mouse model, decreased SCFA levels were associated
457 with impaired CR against *C. difficile* (120). CR was subsequently restored six weeks
458 after ending antibiotic treatment with a concomitant increase in SCFAs, probably
459 resulting from restoration of the fermentative activity of the microbiota (120).
460 Restoration of SCFA levels is also seen as an effect after fecal microbiota
461 transplantations in humans (122). However, SCFA supplementation could not induce
462 a significant decrease in *C. difficile* shedding levels up to six weeks post infection
463 (121). No study has yet investigated whether *C. difficile* possesses any mechanisms
464 by which it becomes resistant against the effects of SCFAs, which warrants further
465 research.

466

467 Compared to the effects of SCFAs, there is more clarity on the effects of bile acids
468 on *C. difficile*. Secondary bile acids are toxic to both *C. difficile* spores and vegetative
469 cells, while primary bile acids generally stimulate growth and spore germination
470 (123-125). During antibiotic treatment, conversion of primary into secondary bile
471 acids is suppressed and the reduction of secondary bile acids leads to a more
472 favorable environment for *C. difficile* (120). In addition, *C. difficile* isolates causing
473 most severe disease in mice were also the isolates that showed highest resistance
474 against lithocholic acid *in vitro* (126). A relationship between disease score and
475 deoxycholic acid could not be shown (126). Secondary bile acid resistance may be
476 strain-dependent, but further research is warranted to draw this conclusion with
477 certainty.

478

479 Intrinsic anti-bacteriocin properties have been described for *C. difficile* (127, 128).
480 Nisin can inhibit growth of vegetative cells and prevent spore germination of *C.*
481 *difficile in vitro* (44). However, this does not hold for all *C. difficile* strains, as the
482 mutant strain MC119 had normal growth in sub-lethal concentrations. It was
483 demonstrated that this resistance was at least partly due to export of nisin by an
484 ABC-transporter (127). Another identified mechanism was a net positive charge on
485 the bacterial cell surface resulting in lower efficacy of nisin, since nisin is attracted to
486 a low negative charge on the cell surface (128).

487

488 Using genome-scale metabolic models in antibiotic-treated mice, it was
489 demonstrated that *C. difficile* does not necessarily compete for specific nutrients
490 against specialized bacteria, but that it adapts to utilize a wide array of nutrients. This

491 allows for colonization of diverse microbiomes, wherein *C. difficile* is not limited to a
492 specific nutrient niche (129). A follow-up study, also using a multi-omics approach,
493 showed that *C. difficile* alters transcriptional activity of especially low abundant taxa.
494 The main genes showing decreased transcription in these low abundant taxa during
495 infection, as compared to mock infected mice, were carbohydrate-acquisition and
496 utilization genes. A possible reason for this could be that *C. difficile* attempts to
497 create its own nutrient niche to facilitate colonization (130).

498 However, others have found specific nutrients that may be important for *C. difficile*
499 colonization and/or outgrowth. Three highly virulent ribotypes (RT), RT017, RT027
500 and RT078, have recently been demonstrated to utilize trehalose as a nutrient
501 source (131, 132). This was confirmed in a mouse model, where mice were
502 challenged with spores of either RT027 or a non-trehalose metabolizing ribotype.
503 After trehalose administration, RT027 mice showed higher mortality in a dose-
504 dependent manner (131).

505 *C. difficile* post-antibiotic outgrowth depends partly on the production of succinate
506 and sialic acid by commensals. *B. thetaiotaomicron* is capable of metabolizing
507 polysaccharides and thereby produces sialic acid. Upon inoculation with *C. difficile*,
508 monocolonized *B. thetaiotaomicron* mice had approximately a five times higher
509 density of *C. difficile* in feces as compared to germ-free mice (133). Expression
510 levels of genes involved in sialic acid metabolism were increased in the *B.*
511 *thetaiotaomicron* model, and, as expected, a sialidase-deficient *B. thetaiotaomicron*
512 mutant led to highly reduced production of sialic acid and *C. difficile* density was
513 lower (133).

514 Density of *C. difficile* was higher in *B. thetaiotaomicron* mice fed a polysaccharide-
515 rich diet as compared to a chow diet (134). The succinate to butyrate pathway was

516 crucial for *C. difficile* expansion in *B. thetaiotaomicron* mice, as WT *C. difficile* was
517 more effective in establishing infection than a succinate-transporter deficient *C.*
518 *difficile* (134).

519 Micronutrient availability can affect virulence of *C. difficile*. High zinc levels have
520 been demonstrated to exacerbate *C. difficile* infection in mouse models (135). Mice
521 fed a high-zinc diet had higher toxin levels, higher pro-inflammatory cytokines levels
522 and increased loss of barrier function. Furthermore, it was shown that calprotectin, a
523 zinc-binding protein, was important for limiting zinc availability to *C. difficile* during
524 infection (135).

525 Together, these studies demonstrate the importance of specific nutrients used by *C.*
526 *difficile* to establish colonization and infection.

527

528 Efficient colonization of the epithelial barrier is made possible by flagella and pili
529 (136, 137). When mice were inoculated with flagellated or non-flagellated *C. difficile*
530 strains, higher levels of flagellated *C. difficile* were found in mouse cecum (136). The
531 exact destination of non-flagellated *C. difficile* remained unknown, as levels were not
532 measured in feces or in sections of the small intestine. Regarding pili, it has been
533 shown that type IV pili were not playing a role in initial colonization, but were crucial
534 for epithelial adherence and long-lasting infection (137).

535

536 **S. Typhimurium**

537 *S. Typhimurium* is a nontyphoidal *Salmonella* and an important cause of
538 gastroenteritis in humans. It was estimated that globally 3.4 million invasive
539 nontyphoidal *Salmonella* infections occur each year, of which 65.2% are attributable
540 to serovar Typhimurium (138). It mostly causes self-limiting, non-bloody diarrhea in

541 otherwise healthy individuals. However, it can lead to bloodstream infections and
542 metastatic spread with eventually death in especially infants and
543 immunocompromised individuals (138, 139). *S. Typhimurium* contains two
544 pathogenicity islands, SPI1 and SPI2. SPI1 mostly contains information for causing
545 intestinal disease and cell invasion, while SPI2 is necessary for intracellular survival
546 (140).

547

548 Effects of SCFAs on *S. Typhimurium* are not yet well defined. Butyrate and
549 propionate have been demonstrated to reduce expression of invasion genes, while
550 acetate increased their expression in *S. Typhimurium* (141, 142). However,
551 conflicting results exist. A *S. Typhimurium* knockout mutant, unable to metabolize
552 butyrate, caused less inflammation than a WT *S. Typhimurium*, suggesting that
553 butyrate is crucial for *S. Typhimurium* virulence (143). Furthermore, this study
554 demonstrated that butyrate was necessary for expression of invasion genes in
555 mouse models. In contrast, propionate inhibited *S. Typhimurium* in a dose-
556 dependent manner *in vitro*, probably due to disturbance of intracellular pH (144). In
557 an *in vivo* setting, it was demonstrated that a cocktail of propionate-producing
558 *Bacteroides* species was sufficient to mediate CR against *S. Typhimurium* (144).

559

560 *S. Typhimurium* has developed mechanisms to overcome bile acids encountered in
561 the gut. When exposed to individual bile acids at sub-lethal levels *in vitro*, it can
562 become resistant to originally lethal levels by changing gene and protein expression
563 of several virulence regulators (145, 146). In addition, it has been demonstrated that
564 a mixture of cholate and deoxycholate confers a synergistic inhibition on invasion
565 gene expression in *S. Typhimurium* (147).

566

567 Innate resistance of *S. Typhimurium* against bacteriocins produced by Gram-positive
568 bacteria is naturally conferred through its Gram-negative outer membrane (148).

569

570 Usage of nutrients produced by gut microbiota is believed to facilitate *S.*
571 *Typhimurium* outgrowth. By causing inflammation and thereby altering microbiota
572 composition, *S. Typhimurium* provides itself with a competitive advantage (149, 150).

573 Metabolic profiling in mice showed increased luminal lactate levels in the inflamed
574 gut during *S. Typhimurium* infection, which could result from a depletion in butyrate-
575 producing bacteria (149). When butyrate is scarce, enterocytes switch to glycolysis
576 with lactate as end product. Lactate is an important nutrient for *S. Typhimurium*, as
577 indicated by decreased colonization of cecal and colonic lumen by a *S. Typhimurium*
578 mutant lacking two lactate dehydrogenases (149).

579 As explained in the introduction, an anaerobic milieu is maintained in the gut during
580 homeostatic conditions. However, diffusion of oxygen from the tissue to the lumen is
581 enabled by inflammation caused by *S. Typhimurium*, which alters enterocyte
582 metabolism (151). Oxygen can then be used by *S. Typhimurium* to ferment several
583 carbohydrates through respiration (152-155).

584 In conclusion, these findings suggest that *S. Typhimurium* creates its own niche in
585 the gut by causing inflammation, subsequently shifting microbiota composition and
586 thereby nutrient availability, so that it can optimally colonize and expand.

587

588 An intact and well-functioning mucus layer is crucial for protection against *S.*
589 *Typhimurium* infection. WT mice infected with the attenuated Δ *aroA* strain, which
590 causes severe colitis, showed increased *muc2* gene expression and MUC2

591 production (156). Mortality and morbidity was high in $\Delta muc2$ mice and higher
592 numbers of the pathogen were found in their liver, ceca and close to the epithelial
593 layer (156).

594 *S. Typhimurium* may profit from mucin-degrading commensal microbiota. In a
595 gnotobiotic mouse model, complementation with mucin degrading *A. muciniphila*
596 during *S. Typhimurium* infection allowed *S. Typhimurium* to dominate the bacterial
597 community five days p.i (157). This was not caused by an absolute increase in cell
598 number, but by a decrease in other microbiota members. In addition, the
599 complementation with *A. muciniphila* led to increased inflammation, as indicated by
600 increased histopathology scores and protein and mRNA levels of pro-inflammatory
601 cytokines. Although generally considered a beneficial bacterium, *A. muciniphila*
602 exacerbated *S. Typhimurium* infection by thinning the mucus layer, thereby
603 promoting translocation of the pathogen to the epithelial layer (157).

604

605 **Enterohemorrhagic *E. coli***

606 Shiga-toxin producing *E. coli* (STEC) comprises a group of *E. coli* strains capable of
607 producing Shiga-toxins. Enterohemorrhagic *E. coli* (EHEC) is a subgroup of STEC
608 causing more severe disease, often with complications. Each year, approximately
609 100,000 people are infected by the most common EHEC serotype, O157:H7 (158).
610 Clinical presentation includes abdominal pain and bloody diarrhea which can
611 progress into toxin-mediated hemolytic uremic syndrome (159). Virulence of EHEC
612 strains is mostly encoded by Shiga toxin genes, *stx1* and *stx2*, and by locus of
613 enterocyte effacement (*lee*) genes, which are imperative for initial attachment to
614 epithelial cells (160).

615

616 At present, outcomes regarding the effects of SCFAs on EHEC are mixed (161-165).
617 LEE protein and gene expression was already enhanced at 1.25mM of butyrate,
618 while for acetate and propionate, only minor changes were detected at 20mM, with
619 acetate giving a repressive effect. In a separate growth experiment, acetate was
620 more efficient in inhibiting growth of EHEC as compared to butyrate and propionate
621 (162). Acetate was observed to have small repressive effects on EHEC in the study
622 by Nakanishi *et al.*, and this was also found by Fukuda *et al.* (162, 165). Mice fed
623 acetylated starch prior to infection showed higher fecal acetate levels and improved
624 survival rate compared to starch-fed mice (165). Acetate also prevented gut barrier
625 dysfunction as measured by transepithelial electrical resistance and prevented
626 translocation of the Shiga toxin to the basolateral side of the epithelial cells (165).
627 In Caco2 cells, EHEC epithelial adherence was 10-fold higher when grown on
628 butyrate than on acetate or propionate (162). These results indicate that butyrate
629 may be less effective in inhibiting EHEC growth and potentially colonization as
630 compared to acetate and propionate, for which the exact pathways and genes
631 involved have been elucidated (162, 163).
632 In contrast, butyrate was found to be effective against EHEC in a pig model (161).
633 Piglets given sodium butyrate two days prior to being infected with EHEC showed no
634 symptoms 24 hours p.i, while the control group developed multiple signs of disease,
635 e.g. histopathological signs of kidney damage. The sodium butyrate group did not
636 show any signs of inflammation and shed less viable cells compared to the control
637 group within 48h (161). *In vitro* assays demonstrated that butyrate enhanced
638 bacterial clearance, ultimately making the authors suggest that butyrate can be
639 developed as a new drug to treat EHEC (161).

640

641 EHEC has multiple traits to fight against the potentially deleterious effects of bile
642 acids. Bile acid mixtures upregulated gene expression of the AcrAB efflux pump and
643 downregulated *ompF*, a gene encoding for an outer membrane porin (166). In
644 addition, other genes responsible for limiting penetration of bile acids through the
645 membrane (*basR* and *basS*), were upregulated, and this effect was concentration-
646 dependent. Interestingly, the bile acid mixtures did slightly downregulate *stx2* subunit
647 genes, encoding for Shiga toxin production (166).

648

649 EHEC possesses natural resistance against bacteriocins, especially nisin, through its
650 Gram-negative outer membrane, as described in the chapter on bacteriocins. Three
651 EHEC strains were screened for, amongst others, potential resistance against
652 several colicinogenic *E. coli* strains (167). *In vitro*, resistance against *E. coli* strains
653 producing a single colicin was observed, but resistance was rarely observed against
654 multiple colicins and could never be linked to acquiring a specific plasmid (167).

655

656 Nutrient competition for proline and several sugars between EHEC and commensal
657 *E. coli* strains is described in the introductory section. In addition, ethanolamine (EA),
658 a source of carbon, nitrogen and energy for EHEC, has been investigated. It was
659 demonstrated that EA could diffuse across the bacterial membrane and that the *eut*
660 genes were crucial for metabolizing EA. *Eut* sequences were absent in native
661 bacterial genomes in the bovine gut, apart from commensal *E.coli*, indicating that EA
662 provides a nutrient niche for *E. coli*. When the *eutB* gene was knocked out in
663 EDL933, it was outcompeted by commensal *E. coli* due to its inability of utilizing EA,
664 indicating its critical importance for colonization (168).

665 During further transcriptomic investigations of EA utilization, it was noticed that
666 genes involved in gluconeogenesis were upregulated if no glucose was
667 supplemented. A knockout of two genes within the gluconeogenesis pathway led to a
668 growth defect in a coculture with the wildtype (169). This is in line with a previous
669 finding that optimal usage of gluconeogenic substrates by EDL933 is important for
670 colonization (170). Since this effect was seen in a medium consisting of bovine small
671 intestinal contents, the relevance for the human gut remains unclear (169).

672 Co-culturing of EHEC with *B. thetaiotaomicron* led to an upregulation of genes
673 involved in nutrient competition in EHEC as compared to culturing EHEC alone
674 (171). In addition, presence of *B. thetaiotaomicron* resulted in upregulation of
675 multiple virulence genes including *lee*, likely due to regulation of a transcription factor
676 involved in sensing carbon metabolite concentrations in the environment (171).
677 Using a combination of *in vitro* and *in vivo* methods, Pacheco *et al.* showed that
678 fucose cleaved from mucins by *B. thetaiotaomicron* could be an important nutrient for
679 upregulating virulence and intestinal colonization of EHEC (172). Interestingly,
680 fucose sensing and subsequent regulation of virulence genes was more important
681 for successful colonization than utilization of fucose for energy. This example
682 indicates that nutrients cannot only be utilized for energy, but that they can be
683 important environmental signals for properly regulating timing of virulence (172).

684

685 Human colonoid monolayers were used to study initial colonization mechanisms of
686 EHEC (173). This study showed that EHEC disturbs the tight junctions, preferentially
687 attaches to mucus producing cells and subsequently impairs the mucus layer (173).
688 In addition, by using various *in vitro* models, it was demonstrated that the

689 metalloprotease StcE, produced by EHEC, enables degradation of MUC2 in the
690 inner mucus layer which may pave the way to the epithelial surface (174).

691

692 ***S. flexneri***

693 *Shigella* infections mostly occur in developing countries, with *S. flexneri* as the most
694 frequently found species (175). Annually, an estimated 164,000 people die of
695 shigellosis worldwide (176). Clinical presentation includes a wide variety of
696 symptoms, including severe diarrhea, possibly containing blood and mucus, and
697 abdominal pain (160). *S. flexneri* contains a virulence plasmid (pINV) which is
698 necessary for invasion of epithelial cells and intracellular survival (160).

699

700 No studies seem to have investigated resistance mechanisms of *S. flexneri* against
701 SCFAs yet. Butyrate has been investigated as a potential therapeutic agent as it
702 counteracts a putative virulence mechanism of *S. flexneri*, namely decreasing LL-37
703 expression in the gut (177, 178). By suppressing LL-37 expression *S. flexneri* is able
704 to colonize deeper into intestinal crypts (178). Butyrate was able to increase rectal
705 LL-37 expression in a subgroup of patients, which was associated with lower
706 inflammation in rectal mucosa and lower levels of pro-inflammatory cytokines (177).
707 However, butyrate treatment did not seem to impact clinical recovery (177).

708

709 The type three secretion system (T3SS) which is able to directly inject bacterial
710 protein into host cells and cause infection, is considered a key virulence factor. *S.*
711 *flexneri* T3SS can sense and bind secondary bile acid deoxycholate, which leads to
712 co-localization of protein translocators at the needle tip (179, 180). In *S. flexneri*
713 mutants lacking the needle structure, the deoxycholate-associated adhesion and

714 invasion of *S. flexneri* to host epithelial cells was diminished (181). At physiological
715 levels of bile salts, *S. flexneri* is able to grow normally *in vitro*, but at increased
716 concentrations growth is significantly reduced (182). Transcriptomics showed that
717 during exposure to physiological bile salt levels, genes involved in drug resistance
718 and virulence were upregulated, which was subsequently confirmed using RT-qPCR.
719 Deletion of a multidrug efflux pump led to sensitivity to bile salts and growth inability,
720 confirming the importance of this pump in bile salt resistance (182).

721

722 Bacteriocin resistance has not been well studied in *S. flexneri*, but downregulating
723 antimicrobial peptide production in the gut is suggested to be an important virulence
724 mechanism (183). The downregulation of LL-37 early in infection was demonstrated
725 both in gut biopsies of patients and in cell lines (183). Since protein and gene
726 expression were not downregulated to the same degree, the authors speculated that
727 there is an interference mechanism during active transcription of LL-37. Transcription
728 of other antimicrobial peptides was also downregulated, especially in the human β -
729 defensin hBD family (178, 183). It was demonstrated that *S. flexneri* shows high
730 sensitivity to LL-37 and hBD-3 peptides *in vitro* (178). This suggests that by
731 downregulating expression of antimicrobial peptides, *S. flexneri* creates an
732 environment in which it can survive and ultimately cause severe disease.

733 It is unknown how *S. flexneri* competes and utilizes nutrients in the luminal side of
734 the gut. Therefore, a short description will be given on how the bacterium rewires
735 host cell metabolism for supporting its survival after entering the host cells. These
736 findings might be translatable, and can at least provide insight in potential nutrient
737 usage of *S. flexneri* in the lumen. Using a combination of metabolomics and

738 proteomics it was demonstrated that *S. flexneri* does not alter host cell metabolism in
739 HeLa cells, but that it captures the majority of the pyruvate output (184). Pyruvate
740 was demonstrated to be a crucial carbon source for *S. flexneri* cultured on a HeLa
741 derivative, using metabolomics, transcriptomics and bacterial mutants (185). *S.*
742 *flexneri* converts pyruvate into acetate via a very quick, but energy-inefficient
743 pathway, allowing for rapid expansion of the bacterium intracellularly without rapid
744 destruction of the host cell (184).

745

746 *S. flexneri* possess special systems to alter mucus composition. Human colonoid
747 monolayers infected with *S. flexneri* showed increased extracellular release of
748 mucins (186). The increased extracellular mucins were trapped at the cell surface
749 which surprisingly favored access of *S. flexneri* to the apical surface, subsequently
750 promoting cell invasion and cell-to-cell spread (186). Furthermore, expression of
751 several genes encoding for production of mucins and mucin glycosylation patterns
752 were altered (186). Together, these results suggest that *S. flexneri* can alter the
753 mucus environment such that it can promote its own virulence.

754 ***C. jejuni***

755 *C. jejuni* is associated with food-borne gastroenteritis and is estimated to cause more
756 than 800,000 infections annually in the USA alone (187). Major clinical symptoms
757 include diarrhea (both with and without blood), fever and abdominal cramping (160).
758 In rare cases, it can give rise to the Guillain-Barré syndrome and reactive arthritis
759 (187). It is a commensal bacterium in avian species and it is not yet well understood
760 why it causes disease in humans (188).

761

762 There is a distinct lack of research on the resistance mechanisms of *C. jejuni* against
763 SCFAs, but one study found that SCFAs are important for colonization in chickens
764 (189). Acetogenesis, the conversion of pyruvate to acetate, is a crucial metabolic
765 pathway for optimal colonization of *C. jejuni*. Mutants unable to use this pathway
766 show impaired colonization and decreased expression of acetogenesis genes.
767 Upon encountering a mixture of SCFAs at physiological levels, this mutant was
768 surprisingly able to restore acetogenesis gene expression to WT levels. Therefore,
769 it was investigated whether expression of acetogenic genes differs throughout the
770 intestinal tract, as SCFAs are most abundant in distal parts of the intestine. It was
771 observed that both gene expression and *C. jejuni* levels were highest in the cecum.
772 The authors suggested that *C. jejuni* can monitor SCFA levels in the gut, so that in
773 response it can express colonization factors (189). As this is the only study
774 suggesting this hypothesis, further research is required for validation.

775

776 Results regarding bile acid resistance in *C. jejuni* are mixed, which may stem from
777 using different animal models or bile acids. A specific multidrug efflux pump,
778 CmeABC, was important for bile resistance in chickens (190). $\Delta cmeABC$ mutants
779 showed impaired growth *in vitro* and unsuccessful colonization in chicken upon
780 cholate administration, while cholate did not affect growth and colonization of the WT
781 (190). This suggests that the efflux pump is critical for proper colonization of *C. jejuni*
782 by mediating bile-acid resistance.

783 Another study elucidated the effects of secondary bile acids on *C. jejuni* (191). Upon
784 administration of deoxycholate prior to, and during, infection, mice showed
785 decreased colitis. Unexpectedly, *C. jejuni* luminal colonization levels were not

786 affected (191). In conclusion, *C. jejuni* colonization seems not to be affected by bile
787 acids, but may be important in limiting disease progression.

788

789 Bacteriocin resistance is not common in *C. jejuni*. Multiple *C. jejuni* (n=137) isolates
790 were screened for resistance against two anti-*Campylobacter* bacteriocins, OR-7
791 and E-760, produced by the gut inhabitants *L. salivarius* and *Enterococcus faecium*.
792 However, no isolates were found to harbor resistance (192). In a follow-up study,
793 chickens were successfully colonized with a *C. jejuni* strain prior to bacteriocin
794 treatment, with the aim of studying bacteriocin resistance. Resistance developed in
795 most chickens, but was lost upon ending bacteriocin administration, suggesting
796 resistance instability *in vivo* (193).

797

798 In contrast to most other enteric pathogens, *C. jejuni* does not metabolize
799 carbohydrates as its main energy source. It is unable to oxidize glucose, fructose,
800 galactose and several disaccharides, including lactose, maltose and trehalose,
801 resulting from the absence of 6-phosphofructokinase (194-197). Fucose could be
802 metabolized by some *C. jejuni* strains, due to the occurrence of an extra genomic
803 island (197). Main energy sources for *C. jejuni* are organic acids, including acetate,
804 and a limited number of amino acids (198-200). It is currently unclear what these
805 metabolic adaptations mean for its colonization potential, but it is possible that *C.*
806 *jejuni* occupies a unique macronutrient niche.

807 Iron regulation systems are critical for colonization and persistence of *C. jejuni*. In
808 presence of sufficient iron, transporter and acquisition genes are downregulated
809 (201). Mutants lacking genes involved in either iron acquisition or transport were
810 severely impaired in colonizing the chick gut (201). Free iron concentrations are

811 extremely low in the gut, which forces *C. jejuni* to utilize other iron sources. It was
812 demonstrated that lactoferrin and transferrin can also be used for this purpose and
813 molecular pathways have been described (202). In short, transferrin-bound iron can
814 only be utilized if it is in close proximity to the bacterial cell surface. Thereafter, it is
815 most likely that iron is freed from the bacterial cell surface proteins, transported
816 across the outer membrane and subsequently internalized by an ABC-transporter
817 (202). Additionally, both in an *in vitro* setting and in a controlled human infection
818 model with *C. jejuni* the most upregulated genes were involved in iron acquisition
819 (188, 203). These results suggest that iron regulation is maintained extremely well,
820 and that *C. jejuni* can obtain sufficient iron even in a harsh environment as the gut.

821

822 *C. jejuni* resides in the mucus layer prior to invading the epithelial cell. It can cross
823 and reside here because of its powerful flagellum, which can change in conformation
824 or rotation upon being challenged by higher viscosity (204, 205). *C. jejuni* can hereby
825 cross the mucus layer at speeds which cannot be met by other enteric pathogens,
826 and the flagellum can subsequently be used as an adhesin (205, 206).

827 Another important characteristic for *C. jejuni*'s success in crossing the mucus layer
828 is its helix-shape. In a mouse model, a WT strain or either of two rod shaped *C.*
829 *jejuni* bacteria, $\Delta pgp1$ or $\Delta pgp2$, were administered to cause infection (207). Rod-
830 shaped mutants were demonstrated to be mostly non-pathogenic, whereas the WT
831 strain caused severe inflammation. Mutants were to some extent able to colonize the
832 mucus layer, but could not cross it, explaining their non-pathogenicity (207).

833

834 ***V. cholerae***

835 *V. cholerae* is one of the first bacterial pathogens where the microbiota has been
836 considered to play an important role against infection (208). It is mainly prevalent in
837 contaminated brackish or salt water and can cause outbreaks, particularly during
838 wars and after natural disasters. In the first two years following the earthquake in
839 Haiti, 2010, more than 600,000 people were infected with *V. cholerae* serogroup O1,
840 biotype Ogawa, resulting in more than 7,000 deaths (209). The clinical course is
841 characterized by watery diarrhea, which can be so severe that it can result in
842 dehydration, hypovolemic shock and death (210). *V. cholerae* colonizes the small
843 intestine by employing the toxin-coregulated pilus, after which it can cause severe
844 infection and clinical symptoms through cholera enterotoxin production (210).

845

846 *V. cholerae* is able to utilize its acetate switch, the shift from elimination to
847 assimilation of acetate, to increase its own virulence (211). In a *Drosophila* model, it
848 was demonstrated that *crbRS* controlled the acetate switch, while *acs1* was required
849 for acetate assimilation (211). When either of these genes were knocked-out,
850 mortality decreased. Competition experiments demonstrated that WT *V. cholerae*
851 had a growth advantage over $\Delta crbS$ when WT *V. cholerae* was administered in
852 minority. This led the authors to suggest that acetate utilization may be important
853 early in infection, when low levels of *V. cholerae* cells are present (211).
854 Furthermore, acetate consumption led to dysregulation of host insulin signaling
855 pathways, ultimately leading to intestinal steatosis and increased mortality.
856 Dysregulation of host insulin signaling was not observed in $\Delta crbS$ or $\Delta acs1$, further
857 confirming the role of acetate in *V. cholerae* virulence (211).

858

859 *V. cholerae* has a master regulator, *toxT*, which can directly activate several
860 virulence factors including toxin production. Cholera toxin production was reduced by
861 97% when *V. cholerae* was grown in presence of bile, which could be reversed after
862 growing the same cells in bile-free medium for a few hours (212). *Ctx* and *tcpA*,
863 encoding for cholera toxin and the major structural unit of the toxin-coregulated pilus
864 and regulated by *toxT*, were highly repressed during bile exposure (212).
865 Additionally, motility was increased approximately 1.6-fold in presence of bile (212).
866 To elucidate which exact components of bile acids were responsible for the
867 repression of these virulence genes, bile was fractionated. It was found that several
868 unsaturated fatty acids strongly repressed *ctx* and *tcpA* and that they upregulated
869 expression of *flrA*, leading to increased motility (213). The reason for upregulation of
870 *flrA* and downregulation of *tcpA* could be that the flagellum increases the speed of
871 passing through the mucus layer, while the pilus would only slow it down. When
872 lower concentrations of bile at the epithelial surface are encountered, expression can
873 be reversed (214).

874 Two outer membrane porins, OmpU and OmpT, are directly regulated by the master
875 regulator *toxR*. Upon encountering bile acids, *ompU* and *ompT* are regulated in such
876 a way that bile acid entrance is prevented (215, 216). Furthermore, Δ *toxR* mutants
877 are more sensitive to bile acids due to changed outer membrane composition (215).
878 Recently, it was shown that *toxR* also regulates *leuO* (217). *LeuO* was demonstrated
879 to confer bile resistance independent of the two porins, although its exact resistance
880 mechanism is not yet elucidated (217).

881

882 Bacteriocin resistance in *V. cholerae* has, to our knowledge, not been studied and
883 future studies will have to reveal whether any resistance is present.

884

885 An important nutrient through which *V. cholerae* gains a competitive advantage is
886 sialic acid, a component of the mucus layer. Using streptomycin pre-treated mice
887 who were given a mutant strain defective in sialic acid transport ($\Delta siaM$), it was
888 shown that sialic acid is not required for initial colonization, but that it is important for
889 persistent colonization (218). Competition assays of the two mutant strains in mouse
890 intestine (small intestine, cecum and large intestine) showed that $\Delta siaM$ was less fit
891 to compete in each environment, further indicating the necessity of sialic acid
892 utilization for niche expansion of *V. cholerae* (218).

893 The El Tor strain may have a competitive advantage over 'classical' strains due to its
894 differential carbohydrate metabolism (219). When grown in a glucose-rich medium,
895 classical strains display a growth defect as compared to El Tor. It was observed that
896 this was due to production of organic acids through glucose metabolism, leading to
897 acidification of the medium. El Tor biotypes were found to produce acetoin, a neutral
898 compound, and decrease organic acid production. This prevented acidification of the
899 medium, leading to better growth. El Tor strains were also more successful in
900 colonizing mice, especially when extra glucose was administered. The classical
901 types were shown to be able to produce acetoin, but glucose only led to a minor
902 increase in transcription of genes necessary for acetoin production (219). These
903 studies have shown that specific metabolic pathways are used by *V. cholerae* to
904 successfully colonize the gut.

905

906 One of the first studies on how the mucus layer can potentially be crossed by *V.*
907 *cholerae* was reported almost 50 years ago (220). Here, motile and non-motile
908 strains were compared for pathogenicity after administration to mice. It was observed

909 that motile strains were almost always deadly 36 hours p.i, while most non-motile
910 strains had a mortality of under 35% (220). One hypothesis offered by the authors
911 was that together with mucinase, the flagellum could effectively pass the mucus
912 barrier (220). Specific mucin degradation mechanisms employed by *V. cholerae*
913 have been identified since, with hemagglutinin/protease (Hap), and TagA being the
914 major ones (221-225). Presence of mucins, limitation of carbon sources and bile
915 acids maximized production of Hap, while glucose could partly reverse this effect
916 (221). This may indicate that during conditions as encountered in the gut, *V.*
917 *cholerae* quickly aims to cross the mucus layer and be in close contact with the
918 epithelial cells. TagA, which is similar to StcE as described for EHEC, is also capable
919 of degrading mucin (222). In conclusion, *V. cholerae* has developed a way of
920 sensing environmental conditions, and in response to these, is able to upregulate
921 virulence factors which can degrade mucins. A simplified overview of *V. cholerae*
922 virulence factors opposing CR can be found in Fig. 2.

923

924 ***Y. enterocolitica***

925 Yersiniosis is mostly contracted through contaminated food or water with *Y.*
926 *enterocolitica*, and its prevalence is much higher in developing countries than in
927 high-income nations (160, 226). It is characterized by mild gastroenteritis, abdominal
928 pain and is usually self-limiting, though pseudo-appendicitis illnesses can occur
929 (160). Virulence is mostly conferred through presence of a 64-75 kb plasmid on
930 which several virulence genes are present, including *yadA*, which is crucial for
931 epithelial adherence (227).

932

933 Resistance of *Y. enterocolitica* against antibacterial compounds has not been much
934 studied. One study investigated effects of SCFAs on *Y. enterocolitica* at 4°C,
935 including acetic acid and propionic acid. *Y. enterocolitica* was less sensitive to acetic
936 acid when cultured anaerobically as compared to anaerobic culturing. Propionic acid
937 was similarly effective in inhibiting growth with both culture methods (228). Even
938 though conditions like 4°C are not representative for the intestinal environment, this
939 study might provide some initial clues on the effects of SCFAs on *Y. enterocolitica*. It
940 is clear that more research is required to further elucidate potential resistance
941 mechanisms.

942

943 *OmpR*, a transcriptional regulator in *Y. enterocolitica*, is probably able to upregulate
944 expression of the AcrAB-TolC efflux pump, which, in turn, is regulated by two
945 components of the efflux pump, *acrR* and *acrAB* (229). A mixture of bile acids, but
946 not the secondary bile acid deoxycholate, was found to be the strongest inducer of
947 *acrR* and *acrAB* (229). Whether the upregulation of these efflux pump components
948 contributes to bile acid resistance, remains to be elucidated.

949

950 Bacteriocin resistance is so far mostly unknown in *Y. enterocolitica*. WA-314 and
951 8081 are both 1B:O8 strains that are highly infective in murine models (230). WA-
952 314 possesses a putative colicin cluster for colicin production, but no expression was
953 observed in a spot-on-lawn assay with 8081 and the colicin-sensitive *E. coli* K12
954 (230). It is likely that no specific resistance against colicin is present, as colicin has
955 been shown to effectively inhibit *Y. enterocolitica* infections *in vivo* (59).

956

957 Like most other enteric pathogens, *Y. enterocolitica* has sophisticated systems to
958 acquire sufficient iron. Using these systems, *Y. enterocolitica* may be more efficient
959 at scavenging iron than commensal members, thereby providing itself with a
960 competitive advantage. *Y. enterocolitica* expresses yersiniabactin, *ybt*, a highly
961 efficient siderophore and a crucial component for lethality in mouse models (231,
962 232). The exact mechanisms for iron uptake and transport have been extensively
963 reviewed elsewhere (233). Proteomics analysis revealed that *Y. enterocolitica*
964 serovar 1A, whose pathogenic role is unclear, uses different proteins to successfully
965 scavenge iron, as it lacks the Ybt protein (234).

966 *Y. enterocolitica* is the only pathogenic *Yersinia* species which can metabolize
967 sucrose, cellobiose, indole, sorbose and inositol (235). Additionally, it can degrade
968 EA and 1,2-PD by using tetrathionate as a terminal electron acceptor (235).

969

970 Mucus layer invasion and adherence of *Y. enterocolitica* have been elucidated in
971 great detail several decades ago (236-240). The YadA protein is used for initial
972 attachment to the mucus (240). The preferential binding site on mucins is their
973 carbohydrate moiety, but binding to mucin proteins is also possible under specific
974 conditions (238). *Y. enterocolitica* uses a plasmid, pYV, with mucin-degradation
975 enzymes to thin the mucus layer, facilitating crossing of the mucus layer (237, 240).

976 *Y. enterocolitica* containing the pYV plasmid is not only able to successfully invade
977 and degrade the mucus layer, but is also highly efficient in multiplying in this
978 environment (240). After interacting with the mucus layer, its bacterial cell surface
979 was altered so that *Y. enterocolitica* became less efficient in colonizing the brush
980 border (240). This may be a host response mechanism to prevent *Y. enterocolitica*
981 invasion in deeper tissues. In a rabbit infection model, persistent goblet cell

982 hyperplasia and increased mucin secretion was observed throughout the small
983 intestine over 14 days (236). The extent of hyperplasia was associated with severity
984 of mucosal damage, indicating a compensatory mechanism. Mucin composition
985 changed in infected rabbits, with a decrease in sialic acid and an increase in sulfate
986 (236).

987

988 ***L. monocytogenes***

989 *L. monocytogenes* causes listeriosis, a food-borne disease. Listeriosis is not highly
990 prevalent, with an estimated 23,150 people infected in 2010 worldwide, but has a
991 high mortality rate of 20-30% (241). The most common syndrome is febrile
992 gastroenteritis, but complications can develop, such as bacterial sepsis and
993 meningitis (241). This is especially relevant for vulnerable patient groups, such as
994 immunocompromised individuals, neonates and fetuses (242). Virulence genes are
995 present on an 8.2-kb pathogenicity island, which includes internalin genes necessary
996 for invading host cells (243).

997

998 Culturing *L. monocytogenes* in presence of high levels of butyrate leads to
999 incorporation of more straight-chain fatty acids in the membrane (244, 245). This is
1000 not a natural state for *L. monocytogenes*, as normally its membrane consists for a
1001 very high percentage of branched-chain fatty acids. When subsequently exposed to
1002 LL-37, it displays a survival defect as compared to bacteria not grown in presence of
1003 butyrate (244). It was not elucidated whether this survival defect was due to
1004 increased stress, altered membrane composition or differentially regulated virulence
1005 factors. Effects of propionate on *L. monocytogenes* growth, metabolism and
1006 virulence factor expression are dependent on temperature, oxygen availability and

1007 pH (246). Therefore, it is not possible to ascribe a general function to propionate in
1008 relation to *L. monocytogenes*.

1009

1010 *L. monocytogenes* possesses several bile acid resistance mechanisms, and *in vitro*
1011 transcriptome and proteome analyses have provided insight into these.
1012 Transcriptomics analysis revealed that in response to cholic acid, amongst others,
1013 two efflux pumps were upregulated, *mdrM* and *mdrT* (247). BrtA was shown to
1014 regulate expression of the efflux pumps, and to be able to sense bile acid levels.
1015 Bacterial abundance was determined in multiple organs of mice infected with
1016 knockout strains of either efflux pump, but not in the intestine (247). Proteomic
1017 analyses found many changes in response to bile salts and included proteins
1018 associated with efflux pumps, metabolism and DNA repair (248).

1019 Bile salt hydrolases (BSH) are another way of combatting encountered bile acids. It
1020 was demonstrated that all *Listeria* species which infect mammals showed BSH
1021 enzyme activity. BSH was crucial during infection of guinea pigs, demonstrated by
1022 the decreased ability of Δbsh to cause a persistent infection (249).

1023 At decreased pH levels, e.g. in the duodenum, bile salts are more acidic and show
1024 higher toxicity (250). However, this toxicity seems to be strain-dependent (251). The
1025 strain responsible for a 2011 outbreak even displayed higher bile resistance at pH
1026 5.5 than at 7.0, further indicating that bile susceptibility may be strain-dependent
1027 (251).

1028 As discussed in the introductory section on bacteriocins, the Abp118 bacteriocin
1029 produced by *L. salivarius*, protected mice from *L. monocytogenes* infection (55).

1030 However, several bacteriocins have been shown ineffective against *L.*
1031 *monocytogenes* and responsible mechanisms have been partly elucidated. Innate

1032 nisin resistance has been associated with multiple loci (252). One crucial gene was
1033 *anrB*, encoding for a permease in an ABC transporter. Loss of this gene resulted in
1034 high sensitivity, not only to nisin, but also to several other bacteriocins (252). The
1035 mannose phosphotransferase system (Man-PTS), encoded by *mptACD*, is a main
1036 sugar uptake system and two of its outer membrane proteins, IIC and IID, can serve
1037 as a class II bacteriocin receptor (253). In natural resistant and spontaneous
1038 resistant strains, a reduced expression of *mptC* and *mptD* was observed, although
1039 this could not be linked to receptor mutations (254). The *mpt* operon is partly
1040 regulated by *manR*, and a *manR* mutant did not show any activation of the *mpt*
1041 operon (255). Development of bacteriocin resistance was to some extent dependent
1042 on available carbohydrates (256). Several sugar sources impaired growth of *L.*
1043 *monocytogenes* when exposed to bacteriocin leucocin A. Increased sensitivity to
1044 leucocin A was hypothesized to relate to sugar uptake by Man-PTS. When specific
1045 sugars are present, cells may not downregulate this system even in presence of
1046 bacteriocins, which possibly allows leucocin A to use the Man-PTS as a docking
1047 molecule (256). Not only does *L. monocytogenes* display bacteriocin resistance, it
1048 also produces a bacteriocin, Lysteriolysin S, which modifies the gut microbiota such
1049 that intestinal colonization is promoted (257). *Allobaculum* and *Alloprevotella*, genera
1050 known to contain SCFA-producing strains, were significantly decreased in mice
1051 treated with Lysteriolysin S. *L. monocytogenes* strains unable to produce
1052 Lysteriolysin S were impaired in competing with native gut microbiota and colonized
1053 less efficiently (257).

1054

1055 Most reports about metabolic adaptations of *L. monocytogenes* have logically
1056 described intracytosolic adaptations, as *L. monocytogenes* replicates intracellularly

1057 (258). Limited information is available on nutrient competition of *L. monocytogenes*
1058 inside the lumen. Comparison of genome sequences between colonizing *Listeria* and
1059 non-colonizing *Listeria* led to identification of, amongst others, a vitamin B12-
1060 dependent 1,2-propanediol (1,2-PD) degradation pathway in colonizing *Listeria*,
1061 dependent on the *pduD* gene (259). Mice were co-infected with a $\Delta pduD$ strain and a
1062 WT strain. Within 3 hours after feeding, a large amount of the $\Delta pduD$ was shed in
1063 feces and 21 hours later the number of viable cells decreased significantly. At ten
1064 days p.i, the $\Delta pduD$ strain was completely cleared, while the WT strain shed for up to
1065 four more days. This indicates that the ability to degrade 1,2-PD offers *L.*
1066 *monocytogenes* a distinct competitive advantage (259).

1067

1068 Multiple adhesins and internalins have been characterized which facilitate *L.*
1069 *monocytogenes* retention in the mucus layer (260-263). InlB, InlC, InlL and InlJ were
1070 demonstrated to bind to MUC2, but not to epithelial cell surface MUC1 (262, 263).
1071 Histopathological analysis of a listeriosis rat model revealed that *L. monocytogenes*
1072 was present in the mucus layer after less than 3 hours p.i (261). At this time point,
1073 very few *L. monocytogenes* were present on the epithelial cells (261).

1074

1075 **Bacterial defense mechanisms against bacteriophages**

1076 As research investigating how each enteric pathogen overcomes CR by rendering
1077 bacteriophages ineffective is still in its infancy, this general section will describe the
1078 most employed resistance mechanisms. The bacteriophage infectious cycle involves
1079 a lytic and a lysogenic cycle. Phages have to bind to a receptor on the bacterial
1080 surface to be able to insert their genomic material, usually DNA, into the bacterial
1081 cytoplasm and subsequently circularize their DNA (264). Here, lysogenic and lytic

1082 bacteriophages' mechanisms start to branch (Fig. 3). Lytic phages start DNA
1083 replication, assemble their proteins and pack their DNA into the typical
1084 bacteriophage shape with a capsid head and tail. After sufficient replication, phages
1085 use lytic enzymes to form holes in the bacterial cell membrane, eventually leading to
1086 lysis of the cell and phage spreading. Lysogenic phages integrate their DNA in the
1087 bacterial chromosome and become prophages. Reproduction is then ensured
1088 through vertical transmission, and upon induction, prophages can also enter the lytic
1089 cycle (265) (Fig. 3). In general, factors that induce the lytic phase are compounds or
1090 conditions with bactericidal effects, e.g. a DNA damaging-agent (266).

1091

1092 The first step for preventing bacteriophage infection is to prevent surface receptor
1093 recognition. Outer membrane vesicles are produced by Gram-negative bacteria and
1094 have several functions, including interbacterial communication (267). They have
1095 highly similar surface composition as the bacterium and may thereby serve as
1096 decoys for attacking phages (268) (Fig. 3). Indeed, *V. cholerae* outer membrane
1097 vesicles were shown to neutralize a *V. cholerae* specific phage in a dose-dependent
1098 manner (Fig. 2) (268). This effect was only seen when the O1 antigen, the
1099 bacteriophage target on *V. cholerae*, was included in the outer membrane vesicle
1100 structure (268).

1101 *V. cholerae* possesses another mechanism to prevent O1 phage receptor
1102 recognition (269) (Fig. 3). Two genes necessary for O1 biosynthesis were shown to
1103 use phase variation to induce variation in the O1 antigen composition (269). Mutants
1104 using phase variation were resistant to the O1 antigen phage, but displayed impaired
1105 colonization in a mouse model (269). As the O1 antigen is an important virulence

1106 factor, e.g. for immune evasion, this demonstrates that enteric pathogens constantly
1107 have to deal with multiple CR mechanisms (269).

1108

1109 The second step in phage infection is injection of its DNA, and this can be prevented
1110 by superinfection exclusion systems which are mostly coded by prophages (Fig. 3).

1111 The *E. coli* prophage HK97 encodes for gp15, a probable inner transmembrane
1112 protein (270). Remarkably, HK97 gp15 has putative homologues resembling the
1113 YebO protein family in many *Enterobacteriaceae* (270). GP15 prevented DNA
1114 injection into the bacterial cytoplasm by preventing proper formation of a complex
1115 consisting of an inner membrane glucose transporter and part of the tape measure
1116 protein (270, 271). This example illustrates how bacteria can incorporate phage DNA
1117 to prevent itself against future phage attacks.

1118

1119 DNA replication can be prevented by restriction-modification systems (Fig. 3). These
1120 systems consist of a methyltransferase and a restriction endonuclease. Exogenous
1121 DNA is not tagged by this methyltransferase, while 'self' DNA does get tagged (272,
1122 273). Subsequently, non-tagged DNA can be cleaved. This system is viewed as a
1123 primitive innate bacterial defense system. However, it was found that this system is
1124 not perfect, as these restriction-modification systems can also attack self-DNA (274).

1125

1126 Currently, many groups are actively investigating the adaptive bacterial immune
1127 system CRISPR-Cas and this has been extensively reviewed elsewhere (275, 276).

1128 CRISPR-Cas is present in about 45% of sequenced bacterial genomes, although it is
1129 unknown if its prevalence is similar in gut bacteria (277, 278). In short, it consists of
1130 CRISPR arrays, sets of short repetitive DNA elements with variable DNA sequences

1131 (spacers) separating the repetitive DNA sets, and of an operon of CRISPR
1132 associated genes (Cas). Spacers are pieces of foreign DNA, derived from
1133 bacteriophage DNA or other mobile genetic elements such as plasmids. The defense
1134 mechanism consists of adaptation followed by expression and interference. During
1135 adaptation, Cas proteins can recognize foreign phage DNA and integrate a piece of
1136 this DNA as a new spacer into the CRISPR array. This allows the bacterium to build
1137 an immunological memory of all phages it previously encountered. The expression
1138 response entails transcription of the CRISPR array, followed by processing into
1139 smaller RNA pieces (crRNAs). CrRNAs consist of two outer parts of repeated DNA
1140 sequences, with a spacer in between. To form the eventual Cas-crRNA complex,
1141 crRNAs are combined with at least one Cas protein. This complex then travels
1142 through the bacterial cell and when it identifies a complementary DNA sequence,
1143 representative for the previously encountered bacteriophage, it cleaves and
1144 degrades this foreign DNA.

1145

1146 In 2015, a novel phage resistance system was discovered, called bacteriophage
1147 exclusion (BREX) (279). BREX is able to block DNA replication, but does not prevent
1148 bacteriophage attachment to the bacterium (Fig. 3). It also uses methylation as
1149 guidance to identify self and exogenous DNA, but is different from restriction-
1150 modification systems as it does not cleave exogenous DNA (279). Almost 10% of all
1151 bacterial genomes sequenced were found to have this BREX, suggesting that it is
1152 quite a conserved defense mechanism against bacteriophages (279). In spite of this
1153 promising defense mechanism, no further papers have been released regarding
1154 BREX functioning in e.g. pathogenic bacteria.

1155

1156 Bacterial cells can perform an apoptosis-like action called abortive infection, resulting
1157 in death of the infected cell and hereby protecting surrounding bacterial cells (280)
1158 (Fig. 3). These systems have not been much elucidated for enteric pathogens at a
1159 molecular level, though, relevance of this system has been shown for the gut
1160 bacteria *S. dysenteriae* and *E. coli* (281, 282). The abortive infection systems are
1161 best studied in *L. lactis*, a bacterium widely used in production of fermented foods
1162 (283).

1163

1164 **CONCLUDING REMARKS**

1165 Currently, bacterial enteric infections still cause a heavy disease burden worldwide.
1166 For many bacterial pathogens, the virulence factors involved in infection are
1167 understood, but less is known concerning the failure of gut microbiota to provide
1168 colonization resistance against these enteropathogens. A more comprehensive
1169 understanding of why the microbiota fail to confer sufficient CR could lead to
1170 development of specific therapies aiming to restore CR. It is likely that not a single
1171 bacterium will be used as the 'holy grail' to restore CR, but that bacterial consortia
1172 with complementary functions will be used instead. This would be preferable over the
1173 currently often used FMT, where it is not well known what exact components are
1174 transferred to the patient. One could imagine that these consortia could not only be
1175 used to treat existing infections, but that they could also be administered
1176 prophylactically in susceptible patient groups. In addition, more attention has recently
1177 been given to several drugs that were previously not linked to gut health for their
1178 potentially disturbing effect on gut microbiota and perhaps CR. In conclusion, we
1179 reviewed many of the latest insights in the rapidly evolving fields of gut microbiota,

1180 colonization resistance and bacterial enteric infection. We are looking forward to the
1181 coming years, where undoubtedly more knowledge will be gained on gut microbiota
1182 and CR, ultimately leading to more microbiota-based therapies.

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1195

1196

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1979

1980 **FIGURE LEGENDS**

1981 **FIG 1** Outline of gut microbiota-mediated colonization resistance mechanisms. Fiber
1982 obtained from the diet is fermented by gut microbiota into short-chain fatty acids
1983 (SCFAs). Bacteriocin producers produce bacteriocins capable of targeting a specific
1984 pathogen. Primary bile acids can be converted by a very select group of gut
1985 microbiota into secondary bile acids, which generally have antagonistic properties
1986 against pathogens. Nutrient competition of native microbiota can limit access to
1987 nutrients for a pathogen. Specific organisms can use SCFAs, bacteriocins and
1988 primary bile acids to increase their virulence, as will be discussed in later sections.

1989

1990 **Fig 2** *Vibrio cholerae* uses a wide array of mechanisms to overcome CR. First, it
1991 employs its acetate switch to use acetate for upregulating its own virulence.
1992 Bacteriocin resistance remains to be mostly elucidated. To protect itself from
1993 bacteriophages, *V. cholerae* produces outer membrane vesicles (OMVs) which act
1994 as a decoy binding site for the attacking phages (see section: Bacterial defense
1995 mechanisms against bacteriophages). Regulation of outer membrane porins is such
1996 that they prevent entry of bile acids when they are encountered. By employing
1997 specific mucin-degrading enzymes, *V. cholerae* releases sialic acid and
1998 subsequently metabolizes it.

1999

2000 **Fig 3** Lytic and lysogenic bacteriophage infection cycle with bacterial defense
2001 mechanisms. The first two steps (1 and 2) of infection are identical for the lytic and
2002 lysogenic cycle, namely phage binding followed by DNA insertion and DNA
2003 circularization. The lysogenic cycle then branches off by integrating its DNA into the
2004 bacterial chromosome and becoming prophage, thereby ensuring its replication (3b).

2005 Only upon encountering induction factors will the prophage leave the bacterial
2006 chromosome, after which it can enter the lytic cycle (4b and 5b). In the lytic cycle,
2007 phage DNA and protein is replicated and subsequently assembled into full phages
2008 (3a and 4a). The phages then lyse the bacterial cell, are released and can infect
2009 other bacteria (5a). Bacteria possess multiple mechanisms to prevent killing by
2010 bacteriophages, starting with blocking attachment. This can be achieved through
2011 phase variation or production of OMVs. After phage DNA entry, CRISPR-Cas can
2012 recognize this foreign DNA and degrade it. Phage DNA and protein replication can
2013 be prevented by BREX and restriction modification systems, while full phage
2014 assembly can be prevented by abortive infection.

2015





