



## Fine-scale hydrodynamic metrics underlying predator occupancy patterns in tidal stream environments

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1 **Fine-scale hydrodynamic metrics underlying predator occupancy patterns in**  
2 **tidal stream environments**

3

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## 26 Abstract

27 Whilst the development of the tidal stream industry will help meet marine renewable energy (MRE)  
28 targets, the potential impacts on mobile marine predators using these highly dynamic environments  
29 need consideration. Environmental impact assessments (EIAs) required for potential MRE sites  
30 generally involve site-specific animal density estimates obtained from lengthy and costly surveys.  
31 Recent studies indicate that whilst large-scale tidal forcing is predictable, local hydrodynamics are  
32 variable and often result in spatio-temporal patchiness of marine predators. Therefore,  
33 understanding how fine-scale hydrodynamics influence animal distribution patterns could inform the  
34 placing of devices to reduce collision and displacement risks. Quantifying distributions requires  
35 animal at-sea locations and the concurrent collection of high-resolution hydrodynamic  
36 measurements. As the latter are routinely collected during tidal resource characterization at  
37 potential MRE sites, there is an untapped opportunity to efficiently collect information on the  
38 former to improve EIAs. Here we describe a survey approach that uses vessel-mounted ADCP  
39 (Acoustic Doppler current profiler) transects in combination with marine mammal surveys to collect  
40 high-resolution and concurrent hydrodynamic data in relation to pinniped (harbour seals *Phoca*  
41 *vitulina*, grey seals *Halichoerus grypus*) at-sea occupancy patterns within an energetic tidal channel  
42 (peak current magnitudes  $>4.5\text{ms}^{-1}$ ). We identified novel ADCP-derived fine-scale hydrodynamic  
43 metrics that could have ecological relevance for seals using these habitats. We show that our local  
44 acoustic backscattering strength metric (an indicator for macro-turbulence) had the highest  
45 influence on seal encounters. During peak flows, pinnipeds avoided the mid-channel characterized  
46 by extreme backscatter. At-sea occupancy further corresponded with the increased shear and eddies  
47 that are strong relative to the mean flows found at the edges of the channel. Our approach,  
48 providing oceanographic context to animal habitat use through combined survey methodologies,  
49 enhances environmental management of potential MRE sites. The cost-effective collection of such  
50 data and the application of our metrics could streamline the EIA process in the early stages of the  
51 consenting process.

52

53 **Keywords:** Acoustic Doppler current profiler, acoustic backscatter, physical drivers, environmental  
54 impact assessment, marine renewable energy, pinniped

## 55        **1. Introduction**

56            The global drive towards marine renewable energy (MRE) extraction has led to a rapid  
57 increase in planned tidal turbine installations in coastal areas experiencing high ( $>2\text{ms}^{-1}$ ) current  
58 speeds (Fraenkel 2004). Whilst the exploitation of tidal stream energy will help reach renewable  
59 energy targets, the potential impacts on animals using these habitats must be considered in  
60 recognition of marine licensing and legislation. A variety of mobile marine predators (cetaceans,  
61 pinnipeds, seabirds) exploit tidally energetic environments for foraging opportunities (Benjamins et  
62 al., 2015a). However, there is still a large degree of uncertainty surrounding interactions between  
63 predators and tidal devices. A range of potential impacts have been identified including collisions  
64 with moving components, displacement from foraging areas and changes in foraging efficiency and  
65 locomotive costs due to possible alteration of flow fields around array installations (Shields et al.  
66 2011; Fox et al., 2017). To protect against these risks, environmental impact assessments (EIA) are  
67 generally required in the consenting process, where potential risks are identified, and mitigation  
68 measures established before developments commence. In many parts of the world, developers are  
69 tasked by regulators to undertake marine mammal site characterizations (e.g. boat-, plane- or shore-  
70 based surveys) as part of EIAs (Wilson et al., 2007; Savidge et al., 2014). These surveys are aimed at  
71 generating baseline data of marine mammal presence to eventually derive site-specific absolute  
72 abundance or density estimates (Benjamins et al., 2015b). However, despite the high cost and time  
73 involved in these surveys, the density estimates generally have high levels of uncertainty due to the  
74 complexity of ecological systems (Harwood and Stokes, 2003).

75            A different approach is to understand how animals use these energetic tidal environments  
76 in relation to hydrodynamic forcing and fine-scale variations in vertical profiles. Flow regimes are not  
77 homogenous in tidal environments and vary owing to the occurrence of fine-scale, tidally-driven or  
78 bathymetry-induced physical processes, including shear boundaries, eddies and boils (Nimmo-Smith  
79 et al., 1999; Evans et al., 2013; Jones et al., 2014; Kregting et al., 2016). This heterogeneity creates  
80 spatial and temporal variation in the distribution of species, with marine predators regularly

81 associated with certain tidal velocities and physical processes (Johnston et al., 2005; Embling et al.,  
82 2012; Jones et al., 2014; Waggitt et al., 2016a; Waggitt et al., 2016b; Benjamins et al., 2016;  
83 Benjamins *et al.*, 2017). Therefore, quantifying spatio-temporal variation in animal site usage in  
84 relation to hydrodynamic features may help to identify which and when areas may be used (Zamon  
85 2001; Waggitt & Scott 2014; Benjamins et al., 2015b; Hastie et al., 2016; Waggitt et al., 2017a). This  
86 can provide developers with valuable information prior to array installation to reduce the risk of  
87 collision and displacement. This information can also predict changes in distributions caused by  
88 potential alterations in the hydrodynamic regime around installations (Shields et al. 2011).

89 Tidal resource characterization generally marks the early stages of an MRE project to  
90 quantify the physical properties of the site, estimate potential energy generation and evaluate the  
91 placing of devices (Polagye & Thomson 2013). Acoustic Doppler current profilers (ADCPs) are  
92 instruments designed to measure current velocities (speed and direction) and flow structures  
93 throughout the water column and are widely used during MRE resource characterization to capture  
94 the local flow dynamics over a range of spatial and temporal scales (Evans et al., 2013). ADCPs can  
95 either be bottom-mounted to measure a flow's temporal variation at a specific location (Lu & Lueck  
96 1999), or vessel-mounted to infer spatial variation in velocities across a site (Simpson et al., 1990).  
97 To capture fine-scale spatial heterogeneity, it has been demonstrated that vessel-mounted ADCP  
98 surveys can provide valuable means to characterize tidal energy sites, overcoming the need to  
99 deploy high-resolution grids of moored ADCPs across a site (Fong & Monismith 2004; Epler et al.,  
100 2010; Evans et al., 2013; Goddijn-Murphy et al., 2013; Savidge et al., 2014).

101 With extensive resources allocated towards MRE resource characterization, there currently  
102 appears to be an untapped resource for combined survey methodologies that could be adapted for  
103 EIAs to assess risks to marine mammals. Specifically, vessel-mounted ADCP surveys could provide a  
104 platform to collect fine-scale data on marine mammal at-sea distribution patterns in relation to  
105 concurrently measured tidally-induced physical features.

106           In this study, we aimed to combine surveys of at-sea occupancy patterns of two pinniped  
107 species known to exhibit tidal patterns in their distributions (harbour seals *Phoca vitulina* and grey  
108 seals *Halichoerus grypus*) in relation to concurrently collected, high-resolution hydrodynamic data.  
109 The study was performed in a highly dynamic, restricted tidal channel located in Strangford Lough,  
110 Northern Ireland. Characterized by depth-averaged current magnitudes exceeding  $4\text{ms}^{-1}$  during  
111 spring tides, the channel is frequently exploited as a tidal turbine test site (Jeffcoate et al., 2016).

112           Using vessel-mounted ADCP transects, data were collected during a spring and neap tidal  
113 cycle to fulfil two main objectives. Firstly, we sought to measure high-resolution spatial and  
114 temporal variations in hydrodynamic conditions to visualize and quantify novel fine-scale metrics of  
115 physical processes to provide oceanographic context to animal site usage (macro-turbulence, eddies  
116 and shear). Secondly, to test the ecological relevance of these metrics by comparing their  
117 explanatory power to inform at-sea pinniped distributions to that of more commonly-used  
118 measurements in tidal stream environments (current magnitude, depth and time to high water).

## 119       **2. Material and Methods**

### 120       **2.1 Study site**

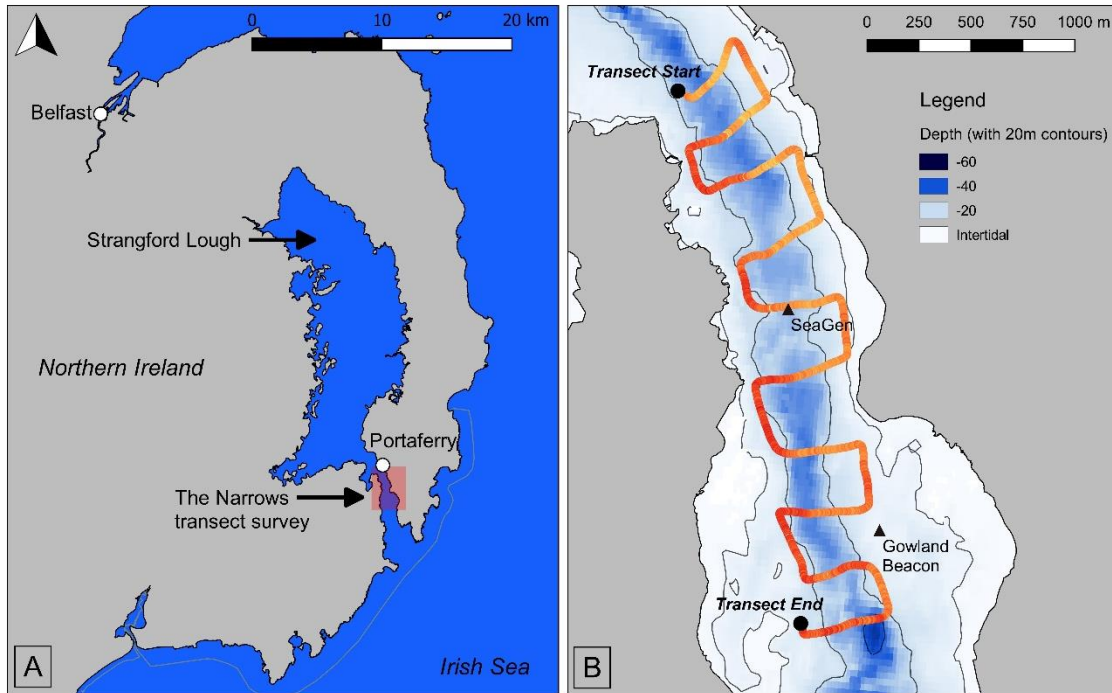
121           The survey was performed within the Narrows, a tidal channel linking Strangford Lough to  
122 the Irish Sea, located on the east coast of Northern Ireland, UK (Fig. 1). The Narrows are  
123 approximately 8km long with a minimum width of 1km and depth varying between 30-60m in the  
124 mid-channel, with kelp beds present along the edges of the channel down to approximately the 15m  
125 depth contour.

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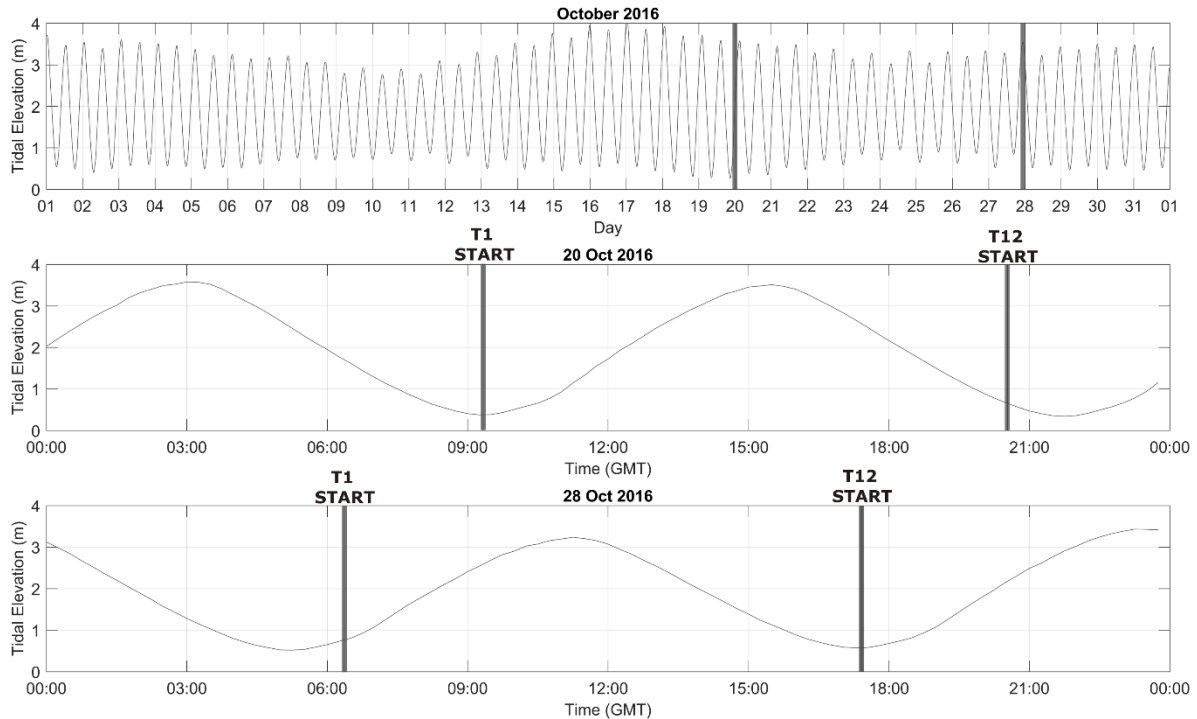


130 **Figure 1:** (A) Map showing the study area within the Narrows, a tidal channel located in Strangford Lough, Northern  
 131 Ireland, UK, highlighted by a red box. (B) Path of a representative vessel-mounted ADCP transect (Transect 1, 20 October  
 132 2016) performed within the Narrows colored by ADCP-derived sea surface temperature (°C). Note, small cross-channel  
 133 variation in temperatures (min=13.18°C, yellow; max=13.98°C, red) are visible due to different rates of advection and  
 134 vertical mixing.

135 Data were collected over two semi-diurnal tidal cycles (approximately 12 hours per cycle)  
 136 during a spring (progression from springs to neaps) and a neap (progression from neaps to springs)  
 137 tide on October 20<sup>th</sup> and 28<sup>th</sup> 2016, respectively (Fig.2). Strangford Lough is a designated Special  
 138 Area of Conservation, with the harbour seal, listed on Annex II of the EC Habitats Directive,  
 139 presenting a qualifying feature. The study was conducted following the species' breeding and  
 140 moulting season (timings vary but *P. vitulina* pupping is generally in June/July, with moulting  
 141 thereafter in July/August). During the time of the survey, the UK's first full-scale tidal turbine,  
 142 SeaGen, installed in the Narrows in 2008, was non-operational and there were no other turbines  
 143 under test within the area covered by transects.

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145



146 **Figure 2:** Tidal regime shown for the month of October 2016 from a tide gauge located at Portaferry Pier (top panel) with  
 147 lines highlighting the spring and neap tidal survey days on 20 and 28 October 2016, respectively. The middle and bottom  
 148 panel show the semi-diurnal tidal cycle during each survey, with grey lines marking the start of Transect 1 and 12 (T1; T12)  
 149 for each survey day.

150 **2.2 Transect design**

151 Repeat parallel-line transects were performed onboard a 10.5m long offshore-coded vessel  
 152 (The Cuan Shore, Cuan Marine Services Ltd) travelling at a constant vessel speed of 5 knots, during a  
 153 sea state of 0-1 and a visibility of 6-10km (Fig. 1). This setup allowed for controlled vessel movement  
 154 and comparable data acquisition conditions across surveys. Transects were run perpendicular to the  
 155 dominant flow direction and made up of square, parallel transect lines rather than zig zag lines to 1)  
 156 maximize coverage across the varying current fields, 2) better identify small-scale hydrodynamic  
 157 features along the edges of the channel, and 3) avoid an overestimation in velocity in the direction  
 158 of the boat (Fong & Monismith 2004). A total of 24 transects (240 lines) were performed where each  
 159 transect started from the same point and consisted of 10 lines (each line= $\sim$ 450 m) at  $\sim$ 300 m  
 160 spacing, covering an approximate area of 2900 m x 450 m (along-track distance= $\sim$ 7000 m). Transects



161 were repeated 12 times at hourly intervals over a tidal period starting at low water on 20 October  
162 2016 and one hour after low water on 28 October 2016 (Fig. 2).

### 163 *2.3 CTD and tidal elevation*

164 To assess stratification and the speed of sound in the Narrows, twelve conductivity-  
165 temperature-depth (CTD) profiles were collected throughout the neap survey day, 28 October 2016,  
166 using a Valeport CTD (model 602) at the start and end of every second transect in the mid-channel  
167 (Table S1, Supplementary Information). Tidal elevation data was extracted from a monitoring (>3  
168 months) tide gauge located at the Portaferry Pier in the Narrows, Strangford Lough.

### 169 *2.4 Acoustic Doppler Current Profiler (ADCP) collection and post-processing*

170 A pole-mounted RDI Workhorse Monitor broadband ADCP in bottom-tracking mode was  
171 used for the transect surveys. The ADCP was mounted on the starboard side of the vessel at 1.15m  
172 depth with its sensors checked and internal compass calibrated on the boat prior to the survey. The  
173 ADCP's operating frequency was 600kHz and it was configured to ping at 1Hz with an ensemble  
174 interval of 1 second and a vertical bin size (cell depth size of averaged data) of 1m (ambiguity  
175 velocity=3.8 m/s). An on-board differential GPS system (Hemisphere) was linked to the incoming  
176 ADCP data stream acquired with VMDas software (v. 1.46; RD Instruments, Inc.) to provide  
177 navigational information during transects.

178 As part of the standard quality control procedures, ADCP data was post-processed in  
179 WinADCP (v. 1.14; RD Instruments, Inc.) using default parameters for vertical, horizontal and error  
180 velocities, percent good pings, beam correlation, and surface or bottom reflection; and data was  
181 checked for anomalous pitch and roll. True water velocities were computed by subtraction of the  
182 bottom-tracked boat velocity. Depth-averaged velocity vectors were plotted over transect lines to  
183 visualize areas of variable flow such as eddies and flow reversals. These were then quantified as  
184 'Relative Variance in Velocity' (*RelVarVel*), a bin-averaged (horizontally and vertically) velocity  
185 covariate later used in modelling. For this, within 1min-binned time intervals along a given transect,

186 the sum of the standard deviation of the depth-averaged northward and eastward velocity  
187 components was divided by mean current magnitude, resulting in a parameter describing the  
188 horizontal variance in current velocity relative to the strength of the flow at the given location. This  
189 parameter increases in variable weak flows (relatively strong eddies in areas of low currents).

190 Further, vertical shear (S) was calculated across 1m vertical intervals along each transect  
191 using the following equation:

$$192 \quad S = ((du/dz)^2 + (dv/dz)^2)^{1/2}$$

193 where  $du/dz$  and  $dv/dz$  are the vertical gradients in the east and the north velocity components,  
194 respectively.

195 High values of scattering, or echo intensity, can be associated with zooplankton, fish,  
196 suspended sediment or turbulence, such as enhanced surface bubble entrainment indicative of  
197 macro-turbulence (Brierley et al., 1998; Nimmo-Smith et al., 1999; Demer et al., 2000; Lavery et al.,  
198 2009). In tidal channels, it is likely that the scattering source is dominated by the latter. Therefore, to  
199 quantify the acoustic scattering in the water column as a metric for macro-turbulence, volume-  
200 backscattering strength ( $S_v$ , measured in decibels, dB) was calculated over a finite volume  
201 (maximum of 40 bins) from the ADCP's recorded raw echo intensity data using a working version of  
202 the sonar equation as described in Deines (1999).  $S_v$  has been evaluated separately for each bin  
203 along each of the four beams of the ADCP. For each range bin, the maximum of the four beams  
204 ( $S_{v_{max}}$ ) was taken to create depth profiles of the maximum level of scattering through the water  
205 column.

## 206 *2.5 Marine mammal transect survey design*

207 Constant effort (continuous search effort) surveys for marine mammals were conducted  
208 throughout eight of the 12 transects (omitting hours of darkness) during both survey days with  
209 resting times in between transects (when steaming back to the starting point) to avoid observer  
210 fatigue. Observations were made from the front deck of the vessel (3m above water level), capturing

211 a 300 m-wide transect on either side ( $\pm 90^\circ$ ) and ahead of the vessel. Sightings were made by the  
212 naked eye and identified to species level using binoculars when required. Time and position of  
213 sightings were recorded using a handheld GPS (Garmin GPSMAP 78). The relative bearing in degrees  
214 ( $^\circ$ ) from the vessel was noted using mounted angle boards, and radial distance to the animal was  
215 estimated by eye with the help of available landmarks and the use of rangefinder binoculars.  
216 Environmental data (sea state and visibility) were recorded at the start of each new transect or when  
217 conditions changed. A total transect distance of 117.23 km was covered during the marine mammal  
218 surveys, with an average transect length of 7.33 km (SD=322.58 m). Overall observer effort  
219 comprised 11.4 hours with an average transect duration of 43 min (SD=5.26 min). The sighting data  
220 reported in this study present a measure of relative sea usage rather than absolute numbers or  
221 densities. Further, the number of independent observations was insufficient to apply the detection  
222 function to obtain robust animal density estimates (Buckland et al. 2001; Thomas et al. 2010).

## 223 *2.6 Marine mammal data analysis*

224 Generalized additive models (GAMs: Wood 2006) with a binomial error distribution and a  
225 'logit' link function were used to quantify the relationship between the probability of encountering a  
226 seal (grey or harbour) and hydrodynamic measurements. GAMs construct a series of polynomial  
227 curves showing quantifying relationships between the response and explanatory variables, with each  
228 polynomial curve focusing upon a different range of the explanatory variable. over different ranges  
229 of the latter. These polynomial curves are joined together at a series of locations known as knots,  
230 enabling non-linear relationships between explanatory and response variables to be quantified. As  
231 non-linear relationships were expected, GAMs were preferred over Generalized Linear Models  
232 (GLM) (Wood, 2006).

233 Combinations of current direction, speed and depth indirectly detect the presence of  
234 hydrodynamic features. For instance, turbulent-structures often occur in shallow and fast-water in  
235 the wake of islands/headlands (Benjamins et al., 2015). However, the ADCP-derived measurements

236 should directly detect these hydrodynamic features. Statistical analyses investigated whether direct-  
237 measurements of turbulent structures were better at explaining variance in the presence of seals  
238 than indirect-measurements of these hydrodynamic features. Two different sets of models were  
239 performed. The first set of models consisted of three explanatory variables commonly used to  
240 explain animal distributions in tidal stream environments (e.g. Hastie et al., 2016; Waggitt et al.,  
241 2016a): time to high tide (*M2HT*), depth-averaged current magnitude (*Mag*) and depth. These  
242 explanatory variables were combined in a single model. Backwards model selection was performed  
243 thereafter, with only significant ( $p < 0.05$ ) explanatory variables retained in the final model (Zuur  
244 2010). The second set of models used novel explanatory variables derived from concurrent ADCP  
245 measurements: backscattering strength (*Sv<sub>max</sub>*), mean relative variance in velocity (*RelVarVel*) and  
246 maximum shear (*MaxS*). As these explanatory variables quantify similar hydrodynamic features,  
247 shown by strong collinearity (Fig. S1, Supplementary Information), these were modelled separately.  
248 This approach allowed the performance of each novel explanatory variable to be directly compared  
249 to the set of more conventional explanatory variables. The number of knots was constrained to 3 to  
250 avoid overfitting and test for ecologically interpretable relationships (Waggitt et al. 2016b). The  
251 exception was *M2HT* where the number of knots was constrained to 6, as more complicated  
252 relationships were expected across the ebb-flood cycle. Distance (m) travelled per minute was also  
253 included as an offset to account for differences in the area covered among samples. Further  
254 information on response and explanatory variables is provided below. GAMs were performed in R  
255 (v.3.1.1; R Core Team 2013) using the 'mgcv' package (v. 1.8-12; Woods 2017).

256         The response variable was the presence or absence of seals per minute. Rather than simply  
257 matching the timing of the sighting (*Presence*) to the ADCP 1-minute bin-averaged period, the  
258 positional data point of the sighting (corrected for distance and bearing) was taken and matched to  
259 the nearest bottom-tracked latitude/longitude waypoint along the transect (spatial rather than  
260 temporal matching). This was done by calculating the distance from the sighting to all waypoints  
261 along the track and finding the nearest distance. This approach is more robust than temporal

262 matching by extracting the physically nearest environmental parameters experienced by the seal.  
263 This provided the highest resolution match in space because seals were often seen prior to the  
264 vessel's closest point of approach to the seal's position.

265 The explanatory variables were the mean or maximum values of hydrodynamic water  
266 column measurements per minute. The mean was calculated for water depth (in meters), time to  
267 high tide (in minutes), depth-averaged current magnitude (in meters per second) and relative  
268 variance in velocity (*RelVarVel*, in meters per second); the maximum values of vertical shear and  
269 volume backscattering strength ( $S_v$  in dB re  $1\text{m}^{-1}$ ) were calculated per minute. The use of maximum  
270 rather than mean values meant that fine-scale, but prominent hydrodynamic features associated  
271 with high vertical shear and backscattering strength would be identified. All explanatory variables  
272 were modelled as non-linear terms.

273 Residuals showed no evidence of spatial or temporal autocorrelation, so additional  
274 statistical approaches to account for this (e.g. mixed effect models, general estimating equations)  
275 were deemed unnecessary. Relationships between the probability of encountering seals and each  
276 explanatory variable were then estimated from model parameters. In these estimations, the  
277 explanatory variable of interest was varied between its minimum and maximum value, whilst others  
278 were held at their mean value. An effect size was obtained by dividing the absolute difference  
279 between the minimum and maximum predicted values by the minimum predicted value. The  
280 calculation of a standardized effect size allowed the relative influence of different explanatory  
281 variables to be directly compared (Waggitt et al., 2017b). This standardized effect size was then used  
282 to compare the relative influence of explanatory variables.

### 283 **3. Results**

#### 284 *3.1 Hydrodynamics*

285 Contour plots of an entire transect during minimum flow velocities (slack low water, transect  
286 1; Fig. 3a) and as a comparison, at times of maximum flow velocities (peak flood, transect 4; Fig. 3b),  
287 are given for two representative time-series during the spring tide survey. Throughout the ADCP

288 transects, higher current speeds were recorded during the flooding tide compared to the ebbing  
289 tide, as well as during the spring survey compared to the neap survey. This is in accordance with the  
290 asymmetry of the tidal cycle (shorter flood, and longer ebb periods, respectively) and hydrodynamic  
291 model predictions (Kregting & Elsäßer 2014). Generally, strongest flow velocities were recorded in  
292 the central parts of the channel compared to the edges, with pronounced fine-scale variability in  
293 tidal velocity, shear and acoustic backscatter (a metric for macro-turbulence) as a response to the  
294 channel's bathymetry (Fig. 3b). Patches of high vertical shear were found near the bed in the central  
295 part of the channel associated with the strongest flows there, but also in regions of rapidly changing  
296 bathymetry towards the sides of the main channel, over the 20m depth contour.

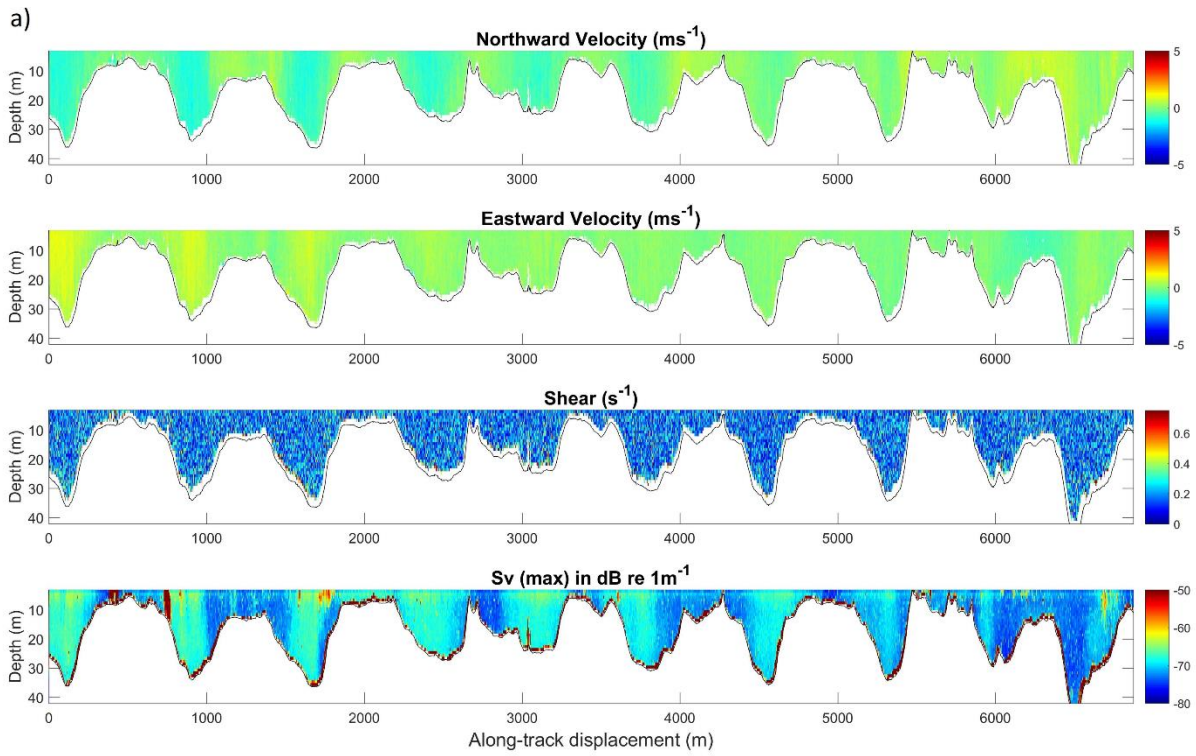
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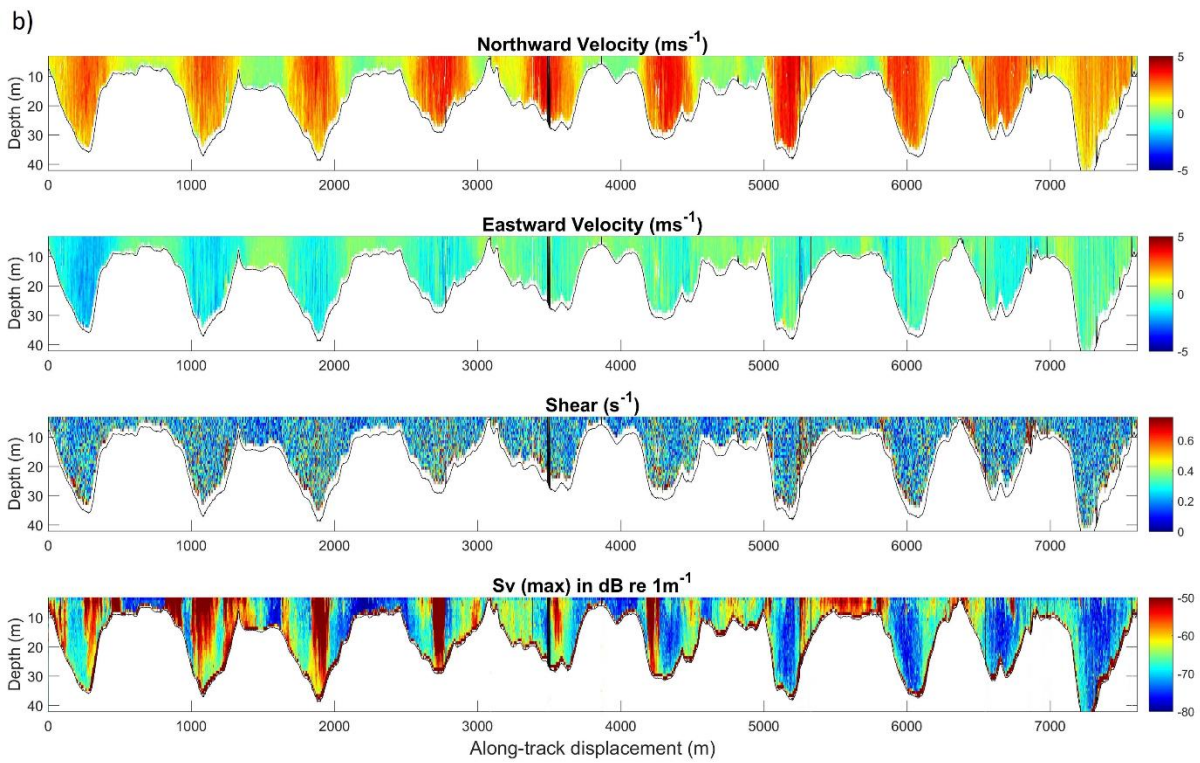
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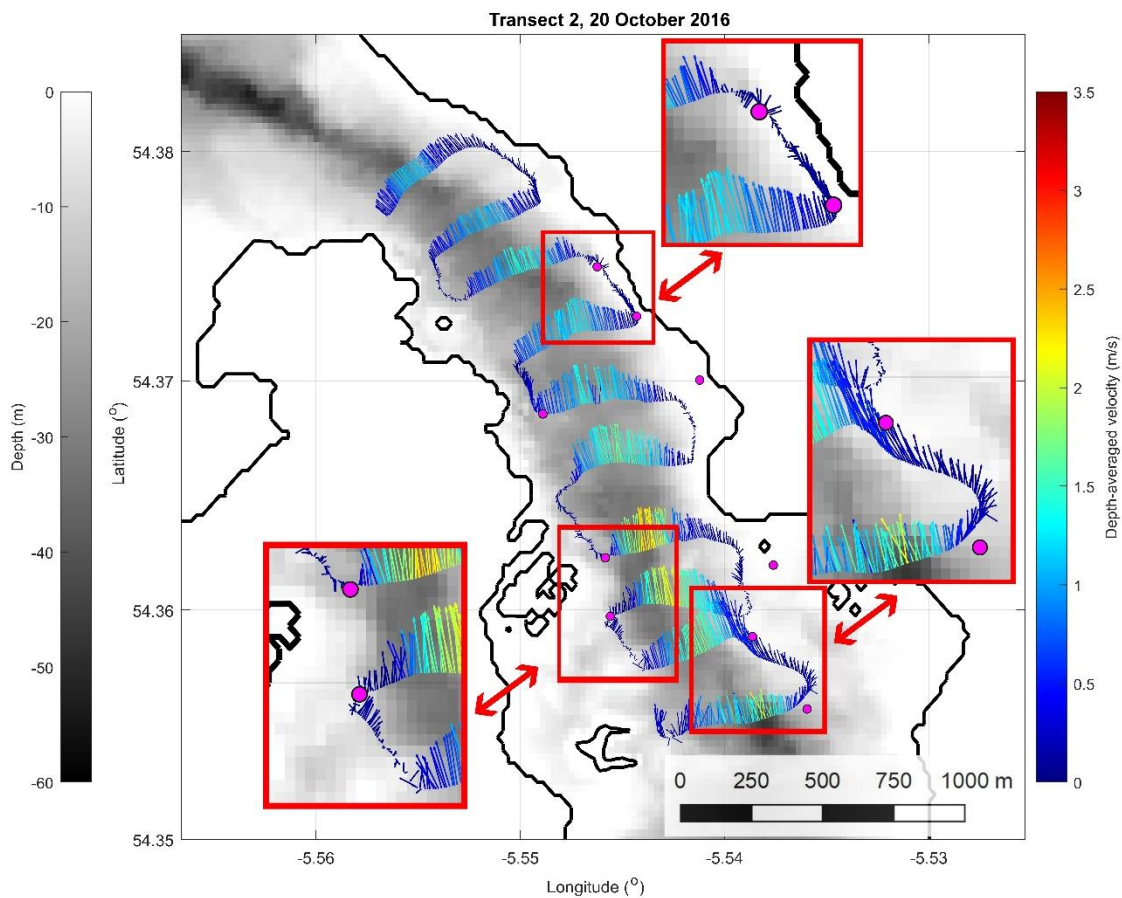


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303 **Figure 3:** Vertical sections recorded by the ADCP along an entire transect during low water slack (a; Transect 1, spring tidal  
 304 survey on 20 October 2016, 41min duration) and peak flood tide (b; Transect 4, spring tidal survey on 20 October 2016,  
 305 48min duration), respectively. Note, the black vertical line at 3500m along-track distance indicates short-term data loss.

306 The distribution of backscatter ( $Sv_{max}$ ) was even more variable between low and high flow  
 307 condition. For instance, during periods of weak flow, higher values of  $Sv_{max}$  were typically associated  
 308 with the central channel indicating residual turbulence may have held material in the water column,  
 309 such as micro-bubbles entrained from the surface and sediment re-suspended from the bed. During  
 310 peak flow, regions of extremely high  $Sv_{max}$  were found extending from the surface down towards the  
 311 seabed in the central part of the channel, supporting our assertion that Sv is an indicator of macro-  
 312 turbulence (entrained air) rather than plankton or fish.



313 **Figure 4:** Typical plot of depth-averaged velocity vectors (current direction indicated by vectors and velocity magnitude by  
 314 color; see color bar) during flooding tide (Transect 2; spring tidal survey on 20 October 2016). Fastest flows are observed in  
 315 the mid-channel with fine-scale hydrodynamic features, such as eddies, visible around the edges of the channel (red  
 316 inserts). Filled dots mark seal sightings corrected for range and bearing.

317 There was a clear rectilinear flow pattern in the channel with flow vectors aligned with the  
 318 mean longitudinal direction of the central part of the channel. However, there was more variability  
 319 in the direction of the weaker flows in the shallow areas of the channel. This became apparent when



320 visualizing velocity vectors showing local flow reversals towards the edges of the channel (Fig. 4).  
321 These reversals correspond to the presence of eddies and other turbulent features being more  
322 pronounced, relative to the magnitude of the local mean flow, in these shallow areas. Finally, there  
323 was no evidence for vertical stratification due to temperature ( $T^{\circ}\text{C} = 13.53$  (SD=0.14) or salinity  
324 (34.15 PSU (SD=0.13) in the mid-channel as identified by the CTD stations.

## 325 *3.2 Seal at-sea distribution*

### 326 **3.2.1 Observational Surveys**

327 The total number of seal sightings was 34 (23 grey and 11 harbour seals) during the spring  
328 and 18 (14 grey and 4 harbour seals) during the neap tidal survey, respectively, totaling 52 sightings.  
329 Overall, the mean number of seals sighted during each transect was 3.3 (SD=2.1), ranging from 0-9  
330 observations. The spatio-temporal distribution and numbers of seal sightings is shown in Figure 5  
331 and Table 1, while the spatial distribution of selected, ADCP-derived variables is plotted in Figure 6.

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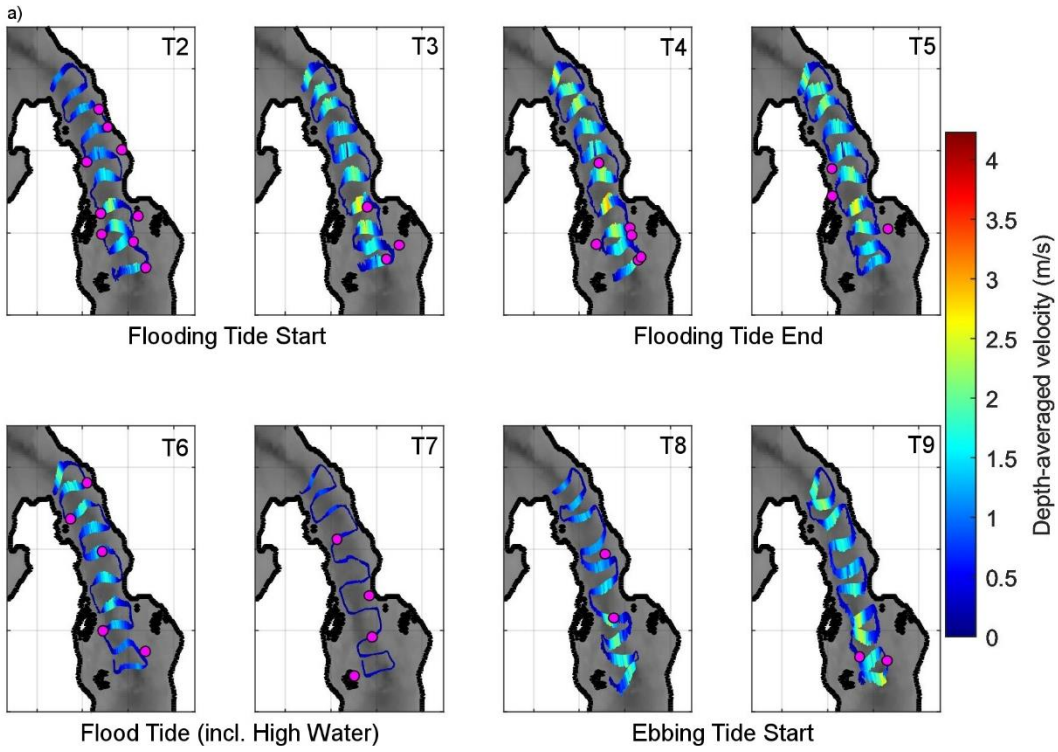
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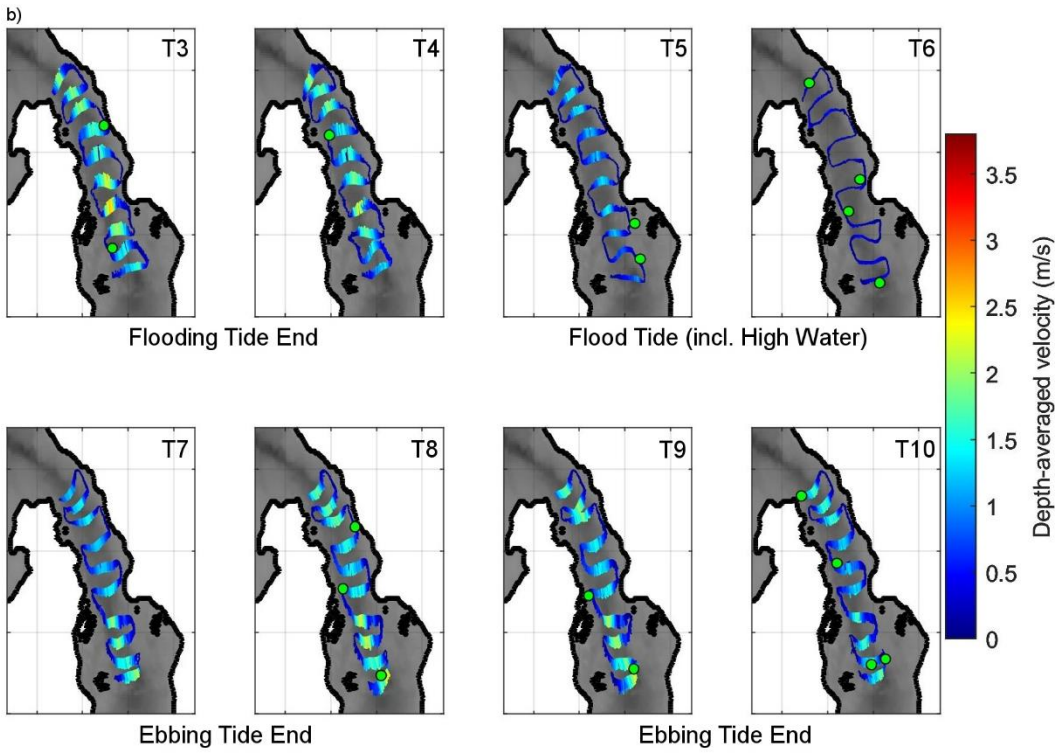
340 **Table 1:** Detailed transect information during both the spring (20 October 2016) and neap (28 October 2016) tidal survey,  
 341 in the Strangford Narrows. Sea State (=0-1) and Visibility (6-10km) were constant for all transects. Transects used  
 342 for pinniped observations are highlighted in bold. Approximate tidal state is indicated with hours after low water  
 343 (LW) and high water (HW).

Date	Transect number	Transect start time (GMT)	Transect end time (GMT)	Transect Duration (min)	Observer Effort	# Seal Encounters	Tidal State (approx.)
20-10-16	1	9:20	10:01	0:41	OFF	NA	LW
20-10-16	<b>2</b>	<b>10:20</b>	<b>11:09</b>	<b>0:49</b>	<b>ON</b>	<b>9</b>	<b>LW +1</b>
20-10-16	<b>3</b>	<b>11:20</b>	<b>12:08</b>	<b>0:48</b>	<b>ON</b>	<b>3</b>	<b>LW +2</b>
20-10-16	<b>4</b>	<b>12:20</b>	<b>13:07</b>	<b>0:47</b>	<b>ON</b>	<b>6</b>	<b>LW +3</b>
20-10-16	<b>5</b>	<b>13:22</b>	<b>14:04</b>	<b>0:42</b>	<b>ON</b>	<b>3</b>	<b>LW +4</b>
20-10-16	<b>6</b>	<b>14:20</b>	<b>15:06</b>	<b>0:46</b>	<b>ON</b>	<b>5</b>	<b>LW +5</b>
20-10-16	<b>7</b>	<b>15:22</b>	<b>16:02</b>	<b>0:40</b>	<b>ON</b>	<b>4</b>	<b>HW</b>
20-10-16	<b>8</b>	<b>16:21</b>	<b>17:03</b>	<b>0:42</b>	<b>ON</b>	<b>2</b>	<b>HW +1</b>
20-10-16	<b>9</b>	<b>17:19</b>	<b>18:13</b>	<b>0:54</b>	<b>ON</b>	<b>2</b>	<b>HW +2</b>
20-10-16	10	18:35	19:21	0:46	OFF	NA	HW +3
20-10-16	11	19:35	20:17	0:42	OFF	NA	HW +4
20-10-16	12	20:32	21:13	0:41	OFF	NA	HW +5
28-10-16	1	6:22	7:10	0:48	OFF	NA	LW +1
28-10-16	2	7:21	8:04	0:43	OFF	NA	LW +2
28-10-16	<b>3</b>	<b>8:23</b>	<b>9:02</b>	<b>0:39</b>	<b>ON</b>	<b>2</b>	<b>LW +3</b>
28-10-16	<b>4</b>	<b>9:20</b>	<b>10:02</b>	<b>0:42</b>	<b>ON</b>	<b>1</b>	<b>LW +4</b>
28-10-16	<b>5</b>	<b>10:20</b>	<b>11:02</b>	<b>0:42</b>	<b>ON</b>	<b>2</b>	<b>LW +5</b>
28-10-16	<b>6</b>	<b>11:20</b>	<b>11:59</b>	<b>0:39</b>	<b>ON</b>	<b>4</b>	<b>HW</b>
28-10-16	<b>7</b>	<b>12:20</b>	<b>13:00</b>	<b>0:40</b>	<b>ON</b>	<b>0</b>	<b>HW +1</b>
28-10-16	<b>8</b>	<b>13:31</b>	<b>14:16</b>	<b>0:45</b>	<b>ON</b>	<b>3</b>	<b>HW +2</b>
28-10-16	<b>9</b>	<b>14:38</b>	<b>15:17</b>	<b>0:39</b>	<b>ON</b>	<b>2</b>	<b>HW +3</b>
28-10-16	<b>10</b>	<b>15:40</b>	<b>16:14</b>	<b>0:34</b>	<b>ON</b>	<b>4</b>	<b>HW +4</b>
28-10-16	11	16:35	17:08	0:33	OFF	NA	HW +5
28-10-16	12	17:25	18:10	0:45	OFF	NA	LW

344

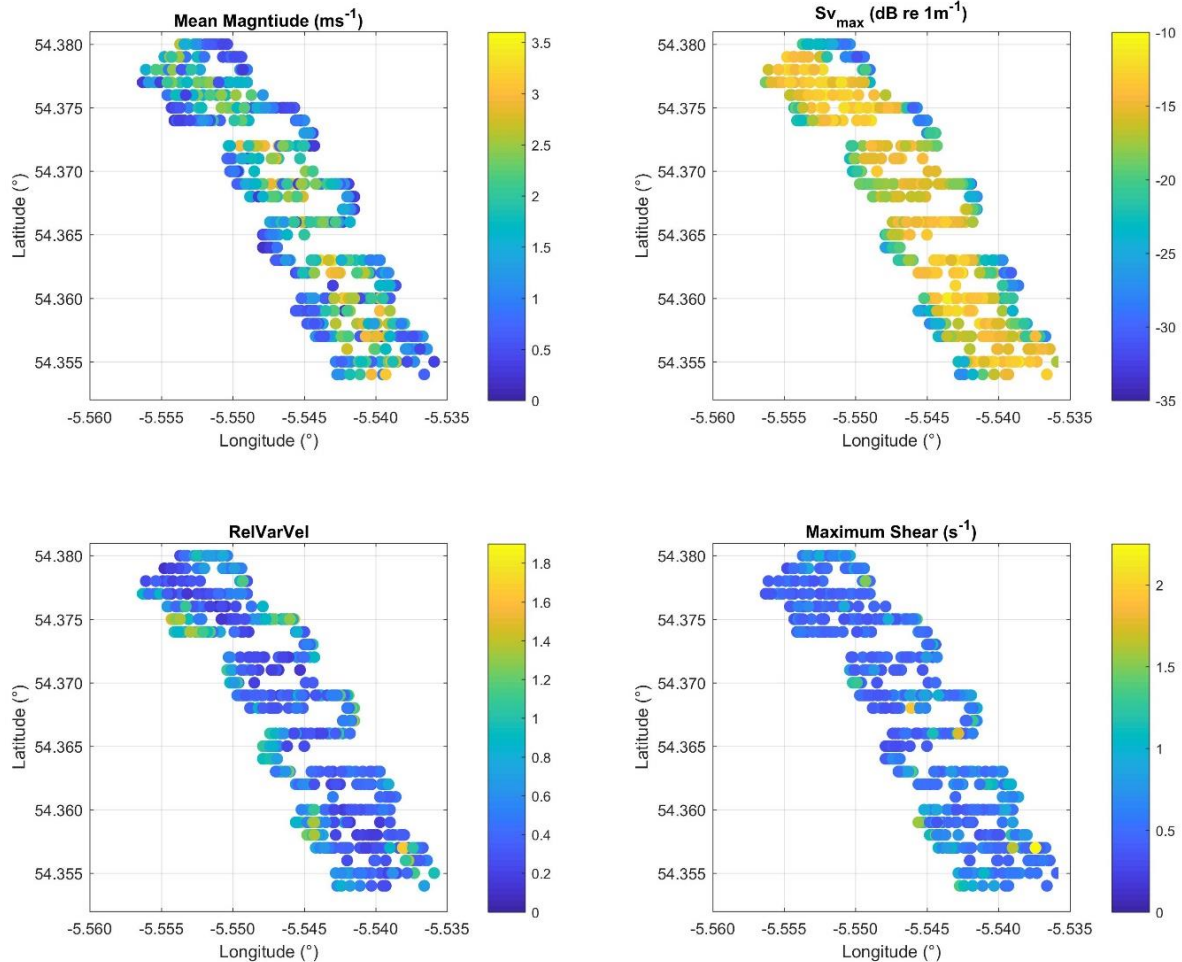


345



346

347 **Figure 5:** Depth-averaged velocity vectors (current direction and strength) and seal sightings corrected for distance and  
 348 bearing during transects of the spring (20 October 2016) (a) and neap (28 October 2016) (b) tidal survey, respectively.



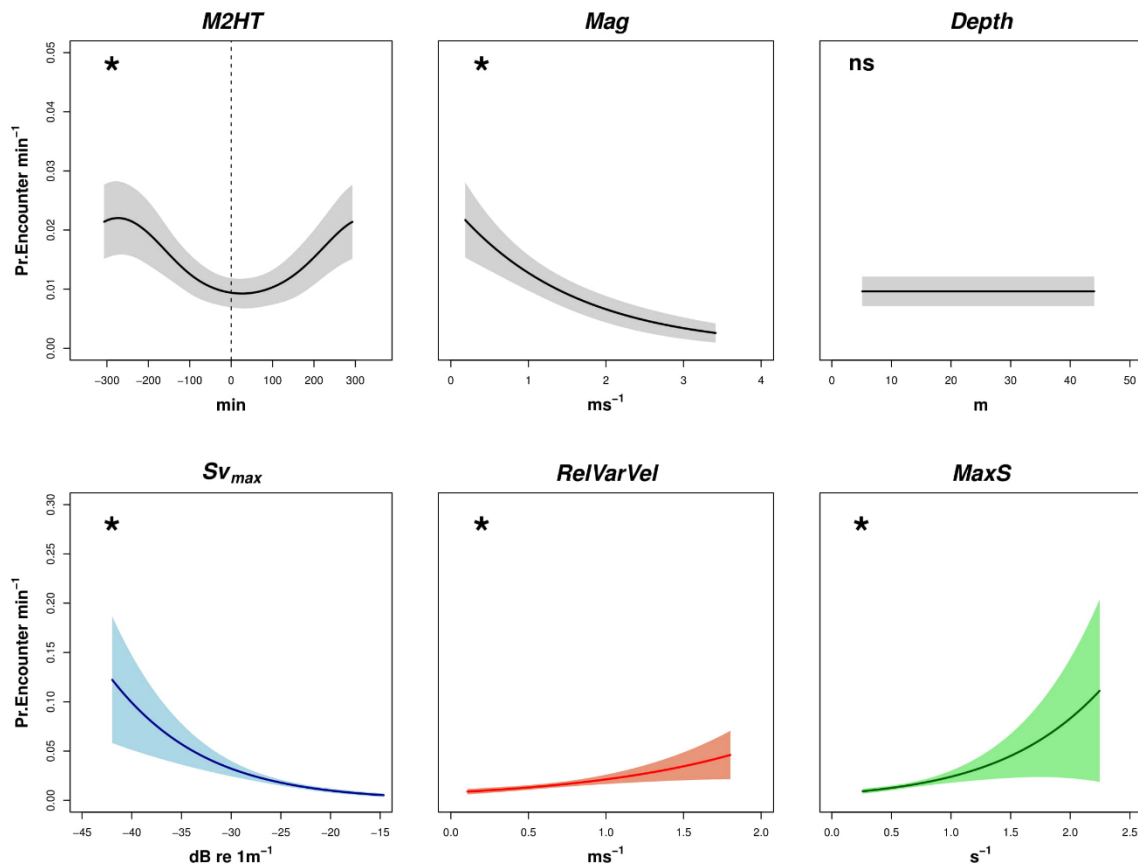
349

350 **Figure 6:** Plots of the spatial patterns of the dynamic variables applied in GAM models derived from concurrent ADCP  
 351 measurements along all transects.

352 **3.2.2 Commonly-used Explanatory Variables:**

353 Significant relationships were only seen with *M2HT* ( $df = 1.46, \chi^2 = 4.95, p = 0.03$ ) and *Mag* ( $df =$   
 354  $1, \chi^2 = 7.96, p < 0.01$ ), although the relative influence of these factors differed. The probability of  
 355 encountering seals per minute showed a relatively strong and negative relationship with *Mag*, with  
 356 effect sizes indicating that encounters were 7.35 times more likely in the slowest currents (Fig. 7).  
 357 Whereas across all effort transects, current magnitude values ranged from  $0.19 - 3.41 \text{ms}^{-1}$ , seals  
 358 were encountered, on average, in magnitude fields of  $1.15 \text{ms}^{-1}$  ( $SD=0.75$ ) (Fig. S2, Supplementary  
 359 Information). This further corresponds to the majority (77%) of seal encounters associated with the  
 360 edges of the channel along the 20m depth contour (Fig. S3, Supplementary Information),  
 361 characterized by weaker flow velocities compared to the mid-channel (Fig. 5). During slack water,

362 seals were also observed in the mid-channel. A weaker relationship was seen with *M2HT*, with  
 363 effect size values showing that encounters were 1.38 times more likely during the start of the flood  
 364 tide (Fig. 7).



365  
 366 **Figure 7:** Modelled relationships between the probability of detecting seals per minute and environmental variables.  
 367 Relationships (standard errors are indicated by shading around lines) were estimated using generalised additive models  
 368 (GAMs). Different colours indicate different models. Minutes to High Tide (*M2HT*), depth-averaged current magnitude  
 369 (*Mag*) and depth were modelled together in a single multivariate model. Maximum backscatter (*Sv<sub>max</sub>*), mean relative  
 370 variance in velocity (*RelVarVel*) and maximum shear (*MaxS*) were modelled separately in three univariate models. The  
 371 statistical significance (\*) or insignificance (ns) are indicated for each model.

### 372 **3.2.3 Novel Explanatory Variables**

373 Whilst all explanatory variables were significant (*MaxS* df = 1,  $\chi^2 = 5.13$ , p = 0.24; *RelVarVel* df =  
 374 1,  $\chi^2 = 4.46$ , p = 0.35; *Sv<sub>max</sub>* df=1,  $\chi^2 = 13.6$ , p < 0.01), large differences in effect sizes were seen.  
 375 *Sv<sub>max</sub>* had the highest influence: the probability of encountering seals was 22.23 times more likely  
 376 in areas characterized by weaker acoustic backscatter (more negative values) (Fig. 7),

377 corresponding to the edges of the channel (Fig. 3&6). *MaxS* had the second highest influence: the  
378 probability of encountering seals was 11.04 times more likely during times of maximum vertical  
379 shear (Fig. 7). Finally, *RelVarVel* had the lowest influence: the probability of encountering seals was  
380 4.20 times more likely in areas characterized by eddies, prevalent along the edges of the channel  
381 (Figs 6&7).

#### 382 **4. Discussion**

383 This is the first study to explore at-sea pinniped distribution patterns with concurrently  
384 collected fine-scale oceanographic measurements within a tidal channel. We (1) used vessel-  
385 mounted ADCP transects to characterize spatial and temporal variations in hydrodynamics within a  
386 tidal channel; (2) identified several hydrodynamic metrics (macro-turbulence '*Sv<sub>max</sub>*', eddies  
387 '*RelVarVel*', and shear '*MaxS*') which could have ecological relevance for mobile predators using  
388 these dynamic habitats and (3) showed that one of these metrics (*Sv<sub>max</sub>*) had more influence than a  
389 combination of more commonly-used variables (current magnitude ('*Mag*'), time to high water  
390 ('*M2HW*') and depth) to detect predator associations. In combination, these results demonstrate the  
391 efficacy of our survey approach to enhance environmental management at potential MRE sites by  
392 showing the influence of tide-topographic processes on marine predator occupancy patterns.

393 The methodological approach described in this study, combining boat-based marine  
394 mammal surveys with concurrent fine-scale ADCP measurements, is novel within energetic sites.  
395 Comparative approaches combined boat-based predator surveys with moored ADCP data (Scott et  
396 al., 2013), linked sightings with spatially-averaged ADCP data (IJseldijk et al., 2015), or used land-  
397 based visual surveys combined with ADCP transects (Jones et al., 2014). This is not only the first  
398 study of its kind on pinnipeds, but it also investigates concurrent tidal dynamics and processes on  
399 comparable spatial scales to the sightings. Our approach may be highly informative within tidal  
400 energy sites with only little available baseline data but could equally be adopted for other predator  
401 distribution studies. For, instance, the fine-scale characterization and visualization of water column  
402 characteristics and the quantification of physical structures through metrics could provide

403 oceanographic context to telemetry studies or passive and active surveys to gain a more in-depth  
404 ecological understanding of animal distributions.

#### 405 *4.1 Hydrodynamic forcing in the main channel*

406 Tidal streams are highly dynamic environments and the longevity and predictability of  
407 hydrodynamic features can vary substantially, from fine spatio-temporal scales of meters and  
408 seconds (e.g. bathymetry induced kolk-boils, back-eddies) to larger scale (kilometers and hours)  
409 tidally-induced water movements. Here, we describe evidence of ADCP-derived metrics potentially  
410 influencing seal distribution in the Narrows. The following discussion focusses on the underlying  
411 hydrodynamics and physical structures arising during peak flows and provides an indication of the  
412 ecological significance of seal occupancy patterns observed.

413 During periods of peak flow, seal sightings in the Narrows were concentrated along the  
414 periphery of the highest flows, thereby avoiding the mid-channel and showing a preference for the  
415 edges of the tidal stream (Figs. 4,5 and 7). The main flow in the mid-channel showed the highest  
416 current speeds (depth-averaged current magnitude exceeded  $4.5 \text{ ms}^{-1}$ , see Figs. S4a and S4b,  
417 Supplementary Information) and was dominated by macro-turbulence as evidenced by the increased  
418 acoustic backscatter in this region during peak flow (Fig. 3b, and Fig. S5, Supplementary  
419 Information). These regions of high backscatter are most likely clouds of micro-bubbles entrained at  
420 the surface by coherent turbulent structures or “boils” and distributed throughout the water column  
421 by strong turbulent mixing (Nimmo-Smith et al., 1999).

422 Marine predators performing pursuit diving of their prey are likely to forage in environments  
423 where controlled diving can be maintained while maximizing foraging efficiency, limiting extensive  
424 usage of the fastest-flowing currents within a tidal stream (Ladd et al., 2005; Waggitt et al., 2016a).  
425 Pinnipeds mostly forage by pursuing benthic or pelagic fish with an average maximum bottom swim  
426 speed for harbour seals of  $2.16 (\pm 0.62) \text{ ms}^{-1}$  (Lesage et al. 1999). Flows in the mid-channel exceeded  
427 these swimming speeds by a factor of two, imposing a metabolic cost associated with maintaining or

428 re-gaining control following burst dives in faster flows (unless travelling passively or “bottling” with  
429 the current). In addition to the high current speeds, acoustic backscatter in the mid-channel,  
430 including macro-turbulence extending down from the surface and sediment re-suspension near the  
431 bed, could impair visual and auditory predatory cues. Therefore, foraging excursions into areas of  
432 strong mixing are likely to be temporally limited, restricting sightings in the mid-channel in this  
433 study. However, when comparing our observations with previous harbour seal telemetry studies in  
434 the Narrows, patterns are in accordance. It was found that seals preferentially transited the mid-  
435 channel during periods of slack tide (Sparling et al., 2017), and the spatial concentration of dive  
436 density in the mid-channel was limited to periods when flow speeds were less than  $1 \text{ ms}^{-1}$  (Wood et  
437 al. 2016). Finally, the presence of seals in the mid-channel during slack water indicates that depth is  
438 not a driver of seal distribution patterns within the Narrows (Fig. 7).

#### 439 *4.2 Fine-scale physical features at the edges of the channel*

440 Pinniped at-sea distribution was largely associated with the edges of the tidal channel (Fig.  
441 5). The probability of encountering seals was estimated to be 22.23 times more likely in these areas  
442 characterized by weaker levels of macro-turbulence (Fig. 7). The edges were further associated with  
443 eddies, minimum current speeds and a higher variation in vertical shear (Figs 4 & 6). All these  
444 dynamic metrics increased the probability of seal encounters (Fig. 7), justifying further discussion on  
445 the hydrodynamic forcing potentially underlying edge-associations.

446 Seal occupancy patterns during periods of fast flows observed in this study show a high  
447 degree of similarity to seal telemetry findings from the Narrows as noted above. During peak flows  
448 (current speeds  $>2\text{ms}^{-1}$ ), it was shown that seal dive density was concentrated at the edges of the  
449 channel, on the periphery of the highest flows (Wood et al., 2016). The apparent use of low-energy  
450 environments neighboring fast flows is in accordance with marine mammal use of tidal streams  
451 elsewhere. At the Scottish West Coast, harbour porpoises *Phocoena phocoena* have been found to  
452 spend most of their time in relatively low-energy environments adjacent to the narrow, turbulent



453 strait of the Gulf of Corryvreckan (Benjamins et al., 2016). Lower current speeds and local flow  
454 reversals corresponding to the presence of eddies in the shallow areas of the Narrows may also  
455 increase foraging success. For instance, in the Bay of Fundy tidal stream, Canada, cetaceans  
456 *Balaenoptera physalus* and *B. acutorostrata* exhibited a consistent preference for eddy fields  
457 suggesting preferred foraging along predictably occurring island wake features to exploit prey  
458 aggregations retained among these eddies (Johnston et al., 2005; Johnston & Read 2007).

459         Seals in this study showed a preference for areas of maximum vertical shear, where there is  
460 the largest difference between the fast-moving surface and slower near-bed flows, such as near the  
461 edges of the tidal stream (Fig. 7). The increased vertical shear associated with the shallow edges of  
462 the mid-channel may result in a combination of both prey being less likely to cross these areas (Čada  
463 et al. 2006), and seals being able to make use of aggregated prey in these areas while maintaining  
464 controlled diving (Johnston et al. 2005).

465         In addition, increased seabed roughness along the edges of the Narrows' mid-channel,  
466 associated with bedrock reef and extended kelp beds, may have also influenced seal presence along  
467 the 20m depth contour (Fig. 1). Rocky reefs or the presence of macro-algae and resulting habitat  
468 complexity are often correlated with higher prey densities (Levin 1991), suitable for benthic  
469 foraging.

#### 470 *4.3 ADCP-derived acoustic backscatter and future directions*

471         ADCPs can determine the intensity of received echoes (total acoustic backscattering or echo  
472 intensity) over a finite volume which can be converted to mean-volume backscattering strength ( $S_v$   
473 in dB; a logarithmic measure of scattering intensity) (Brierley et al. 1998). Such ADCP-derived  
474 quantifications of echo intensity can give information on mid-water, bio-physical targets such as the  
475 presence of dense scattering layers, including zooplankton and/or fish (Brierley et al. 1998; Deines  
476 1999; Demer et al. 2000; Zedel & Cyr-Racine 2009). Applying Deines' (1999) equation, backscatter  
477 calculations accounted for time-varying gain, absorption loss, transmit pulse length and beam-

478 specific sensitivity coefficients, making it a more robust measure compared to raw echo intensity  
479 which can be more easily extracted from ADCP data. In this study, backscatter ( $Sv_{max}$ ) was used as an  
480 indicator for surface bubble entrainment resulting from macro-turbulence as well as sediment  
481 resuspension near the bed. The scattering could not be identified as being of biological origin in the  
482 absence of acoustic multi-frequency techniques, although the backscatter patterns were more  
483 characteristic of entrained bubbles. Understanding foraging opportunities for top predators in such  
484 dynamic environments requires the assessment of real-time prey landscapes and the reliable  
485 isolation of biological targets in highly turbulent environments (Lavery et al. 2009; Fraser et al.,  
486 2017).

487         Additionally, prey behavior may be similarly influenced by hydrodynamic forcing. High  
488 current speeds largely exceeding most fish cruising swim speeds (generally not exceeding  $2 \text{ ms}^{-1}$ ;  
489 Videler & Wardle 1991) in the mid-channel during peak flows may equally impose a metabolic cost  
490 to prey unless they actively seek the channel as a means of transport. Further, strong vertical  
491 turbulent conditions and high flows in the mid-channel could provide a mechanism to disorient prey  
492 and may impact on school cohesion (Zamon 2001; Liao 2007; Robinson et al. 2007). This would  
493 increase vulnerability to predators as harbour seal foraging success on schooling fish has been  
494 shown to be increased following school break-up, when a small group or a single prey item is  
495 separated, thus avoiding the confusion effect of the school (Kilian et al. 2015). Finally, patches of  
496 high vertical shear were found near the bed in the central part of the channel and fish may also  
497 avoid this part of the tidal stream during strong flows to avoid vertical displacement as sudden  
498 changes in pressure may lead to barotrauma-related injuries (Brown et al. 2009).

499         Future studies in the Narrows would highly benefit from the collection of multi-frequency  
500 acoustic backscatter data (e.g. EK 80/AZFP echosounder data) to help distinguish physical from  
501 biological sources of scattering (using dB difference techniques) and to capture spatio-temporal  
502 patterns in prey variability. The combination of hydroacoustic tools (ADCPs and calibrated  
503 echosounders) could help explore the mechanisms underlying prey behavior in the Lough following

504 the extraction of fish and water velocities (Zedel & Cyr-Racine 2009) to eventually understand the  
505 entire suite of bio-physical drivers underlying seal habitat use in the Narrows.

#### 506 *4.4 Conclusion and implications*

507 The novelty of our approach lies in the determination of fine-scale physical metrics  
508 influencing predator occupancy patterns previously not considered in these extremely energetic  
509 habitats. The use of ADCPs in combination with other survey methodologies is still inadequately  
510 represented given its potential value in understanding a species' behavioral ecology. Our approach  
511 can be adapted to other tidal energy sites, specifically where baseline data is limited, to inform the  
512 environmental impact process from the start. It appears to be one of the most practical and cost-  
513 effective approaches to streamline the EIA process in the early stages of the consenting process.  
514 Baseline studies of this kind are necessary to detect trends and to determine the spatial scales at  
515 which to further investigate more detailed predator behavior within these energetic environments.

516 Developers tasked with undertaking a series of marine mammal sighting surveys as part of  
517 EIAs at MRE sites may adopt this methodological approach. Repeat-transect surveys of this kind  
518 allow to simultaneously inform hydrodynamic and marine mammal site characterization, therefore  
519 maximizing resources (data quality vs survey costs) allocated to EIAs. An increased number of  
520 transects (ideally during different times of the year) could improve the resolution often required for  
521 EIAs, such as applying the detection function to obtain animal density and abundance estimates,  
522 whilst also providing more detailed information on seasonal variability in flows and predator  
523 associations.

524 Our results showed that on average, seals were encountered in current magnitude fields of  
525  $1.15 \text{ ms}^{-1}$  ( $SD=0.75$ ) and avoided areas of extreme acoustic backscatter, such as the mid-channel  
526 during peak flows. This may have implications for tidal energy site selection as turbines are generally  
527 placed within more predictable environments characterized by fast ( $>2 \text{ ms}^{-1}$ ), uni-directional flows  
528 and lower degrees of terrain ruggedness (to reduce bathymetry-induced turbulence and issues with

529 foundation deployment). Seals sighted in the mid-channel, areas where such characteristics would  
530 be fulfilled, were highest during slack water when tidal turbines are less likely to be operating at full  
531 capacity (or at all) and therefore risk from interactions (e.g. collision) is deemed to be low.

532

### 533 **Authors contributions**

534 LL and LK conceived the ideas and designed the study; LK coordinated data acquisition and managed  
535 the project. LL collected the data and LL, WAMNS and JJW performed the analysis and interpreted  
536 the results. LL drafted the manuscript. All authors contributed critically to the drafts and gave final  
537 approval for publication.

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547 Andrew S. Brierley for advice on backscatter calculations. The authors declare no conflict of interest.

### 548 **Data accessibility**

549 The data used in this manuscript will be deposited in the Dryad Digital Repository.

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