

## RVC OPEN ACCESS REPOSITORY – COPYRIGHT NOTICE

This author's accepted manuscript may be used for non-commercial purposes in accordance with [Wiley Terms and Conditions for Self-Archiving](#).

The full details of the published version of the article are as follows:

TITLE: Application of an equine composite pain scale and its association with plasma adrenocorticotrophic hormone concentrations and serum cortisol concentrations in horses with colic

AUTHORS: Lawson, A L; Opie, R R; Stevens, K B; Knowles, E J; Mair, T S

JOURNAL: EQUINE VETERINARY EDUCATION

PUBLISHER: Wiley

PUBLICATION DATE: 23 July 2019

DOI: <https://doi.org/10.1111/eve.13143>

1 **Application of an Equine Composite Pain Scale and its association with plasma**  
2 **adrenocorticotrophic hormone concentrations and serum cortisol concentrations in horses with**  
3 **colic.**

4 **Running title: Application of a pain scale and its association with stress hormones.**

5 A. L. Lawson<sup>\*a,b</sup>, R. R. Opie<sup>a</sup>, K. B. Stevens<sup>c</sup>, E. J. Knowles<sup>a</sup> and T. S. Mair<sup>a</sup>

6 <sup>a</sup> *Bell Equine Veterinary Clinic, Butchers Lane, Mereworth, Kent, ME18 5GS, UK*

7 <sup>b</sup> *Institute of Veterinary Science, University of Liverpool, Leahurst campus, Neston, CH64 7TE, UK*

8 <sup>c</sup> *Veterinary Epidemiology, Economics and Public Health Group, Dept. of Pathobiology & Population*  
9 *Sciences, Royal Veterinary College, Hertfordshire, AL9 7TA, UK*

10

11 \* Correspondence email: [aprillilylawson@gmail.com](mailto:aprillilylawson@gmail.com)

12 **Summary**

13 This study assessed the application of a modified equine composite pain scale (CPS) and identified the  
14 inter-observer reliability. Associations between CPS scores and the measured concentrations of serum  
15 cortisol ([cortisol]) and plasma adrenocorticotrophic hormone ([ACTH]) in horses presenting with colic  
16 were determined. The study design was prospective, uni-centred and observational. The inter-observer  
17 reliability of the adapted CPS was determined for 59 horses hospitalised for a variety of conditions. The  
18 associations between CPS, ACTH and cortisol were assessed in a further 49 horses admitted for  
19 medical or surgical colic. During hospitalisation blood samples were obtained each morning and  
20 analysed for serum [cortisol] and plasma [ACTH]. Horses were pain scored using the adapted CPS  
21 score. Data from the most painful time point (n=48 horses; n=48 [cortisol]; n=44 [ACTH]) and all data  
22 time points (n=49 horses and n=133 time points) were used for analysis of association between  
23 [cortisol], [ACTH] and CPS score. The CPS score inter-observer reliability was excellent (n=59 horses;  
24 n=102 pain scores; weighted kappa 0.863;). CPS score and [cortisol] were positively associated at the  
25 most painful time point ( $P<0.001$ ) and at all data time points ( $P<0.001$ ). No significant association was  
26 found between CPS score and [ACTH]. [ACTH] was associated with [cortisol] ( $P=0.034$ ) when all time  
27 points were analysed but not when only the most painful point was analysed. The significant correlation  
28 identified between CPS score and [cortisol] in medical and surgical colic cases provides physiological  
29 validation of pain scores as a marker of underlying stress in horses with colic.

30

31 **Keywords:** horse; composite pain scale; pain; adrenocorticotrophic hormone; cortisol

32

### 33 Introduction

34 Accurate pain evaluation is a prerequisite to furthering equine welfare, and the development of  
35 pain assessment through pain scoring has been a recent area of active research (de Grauw and van  
36 Loon 2016). However, pain assessment poses many challenges in animals, including horses, which  
37 are prey and nonverbal animals that have breed and individual variations. Numerous pain-associated  
38 parameters have been identified including behavioural, endocrine and physiological indicators  
39 (Raekallio *et al.* 1997; Price *et al.* 2003; Pritchett *et al.* 2003; Sellon *et al.* 2004; Bussi eres *et al.* 2008;  
40 Lindegaard *et al.* 2009; Graubner *et al.* 2011; Pader *et al.* 2011; Glerup *et al.* 2015; de Grauw and van  
41 Loon 2016), however a single indicator of pain has not been established. This is to be expected since  
42 pain is a complex, multidimensional experience that elicits physiological, emotional and behavioural  
43 alterations.

44

45 Specific pain scoring systems have utilised the inclusion of multiple pain-associated  
46 parameters. These take the form of composite pain scales (CPS), and include the measurements of  
47 selected 'items' that may include interactive, behavioural and physiologic parameters (Bussi eres *et al.*  
48 2008; Graubner *et al.* 2011; van Loon *et al.* 2010; van Loon *et al.* 2014). CPSs are multi-factorial scales  
49 where the measured 'items' are scored according to a simple descriptive scale, and these scores are  
50 then combined to generate a CPS score. All published studies describing various different CPS systems  
51 in the horse have demonstrated an excellent inter-observer reliability (Bussi eres *et al.* 2008; van Loon  
52 *et al.* 2010; Graubner *et al.* 2011; van Loon *et al.* 2014; van Loon and VanDierendonck 2015;  
53 VanDierendonck and van Loon 2016). A CPS designed for general use in an equine hospital setting  
54 was recently proposed; this included numerous observational and interactive behavioural indicators,  
55 however physiological parameters were omitted, primarily for ease and speed of achieving the pain  
56 score results (Glerup and Lindegaard 2016).

57

58 The stress response is well recognised to broadly influence the hypothalamic-pituitary-adrenal  
59 (HPA) axis and sympathoadrenomedulla pathway resulting in the release of 'stress hormones', such as  
60 ACTH-cortisol and catecholamines (e.g. epinephrine, norepinephrine and dopamine), respectively.  
61 Stress can be elicited not only by pain, but also distress and physiological stress; therefore, alteration

62 in concentrations of these hormones may not simply reflect pain (Ashley *et al.* 2005). The interaction  
63 between the pathophysiology of a range of conditions and the endocrine response has been discussed  
64 in numerous publications, but remains poorly defined (MaCarthy *et al.* 1993; Rietmann *et al.* 2004).  
65 Serum cortisol concentrations have been shown to correlate with pain, as assessed by a numerical  
66 rating scale, in horses following exploratory celiotomy for colic (Pritchett *et al.* 2003; Sellon *et al.* 2004)  
67 and as assessed by a CPS in horses with experimental synovitis (Bussières *et al.* 2008); in these  
68 studies, soft tissue damage had been sustained. Although, a correlation does not necessarily reflect a  
69 causal relationship, serum [cortisol] is one of only a very few objective physiological markers that has  
70 been utilised when assessing the physiological stress response in numerous species.

71

72 The aims of the present study were: 1) To modify and apply the pain scale of Gleerup and  
73 Lindegaard (2016) to include physiological parameters. This pain scale was chosen as it combines and  
74 weights indicators of pain obtained from earlier studies. 2) To assess its wide-scale application within a  
75 hospital setting by determining the inter-observer reliability. 3) To determine any associations between  
76 [cortisol] and [ACTH] and the applied CPS scores in horses with colic.

77

## 78 **Materials and method**

79 Informed owner consent was obtained for inclusion in the study. The study was approved and  
80 conducted in accordance with the hospitals' Ethical Review Committee.

81

### 82 ***Part 1: Inter-observer reliability of CPS scores in horses***

#### 83 *Animals*

84 In this first part of the study, fifty-nine horses with a range of conditions admitted to the  
85 hospital were included, and a total of 102 pain scores were performed. Pre-weaned foals and  
86 donkeys were excluded.

87

#### 88 *CPS and pain scoring*

89 The CPS (Table 1) was adapted from the scale developed by Gleerup and Lindegaard  
90 (2016). The adaptations were applied following a pilot study. Physiological parameters (heart rate and

91 respiratory rate) were incorporated into the CPS, and the recommended 2-minute observation period  
92 (Gleerup and Lindegaard 2016) was increased to 10-minutes (Bussi eres *et al.* 2008) to account for  
93 cases where there had been disruption or increased activity around the stable that might have  
94 distracted the horse. This was concluded during the pilot study since a 2-minute observation period  
95 was considered too short to establish an accurate pain score from many patients; many horses were  
96 initially distracted by the observer and would take several minutes to become disinterested in the  
97 observer and return to displaying their previous behaviours.

98

99 CPS scores were performed either at approximately 8am or 4pm. The pain scoring was  
100 initially carried out from outside the stable; the observers would then enter the stable for the  
101 interactive aspect of the pain scoring (e.g. to enter the stable to take physiological measurements).  
102 Horses were observed for the recommended 10 consecutive minutes before scores were decided and  
103 recorded. The same two observers scored patients at the same time, but were blinded to each other.  
104 However, the observers were not blinded to the condition of the horse. The observers were members  
105 of the equine nursing team.

106

## 107 ***Part 2: Association between CPS scores, [ACTH] and [cortisol] in horses admitted with colic***

### 108 *Animals*

109 In this second part of the study, forty-nine horses admitted for medical colic (i.e. medically  
110 managed) (n=29) or surgical colic (i.e. required surgery) (n=20) (mid-October to mid-May) were  
111 included.

112

### 113 *Sample collection and pain scoring*

114 During hospitalisation blood samples were obtained each morning (for clinical purposes) by  
115 jugular venepuncture or drawn from an intravenous catheter. Surplus serum and plasma were used for  
116 analysis of cortisol and ACTH respectively. Blood samples were taken into plain and  
117 ethylenediaminetetraacetic acid (EDTA) vacutainers and immediately cooled, followed by centrifugation  
118 (Clinspin 642E horizon 2000g/3800rpm, Woodley Equipment Company Ltd) for serum/ plasma  
119 extraction. Samples were stored for up to 2 weeks (-20 C) prior to analysis. There was a lag time

120 between pain scoring and blood sampling of between 0.5-2.5 hours. No medication was administered  
121 between the pain scoring and blood sampling time period. The pain scores were not all performed by  
122 the same observer and only a single observer assessed each horse, but all observers were trained to  
123 use the scale. Six observers performed the pain scoring using the CPS from the veterinary surgeon  
124 and nursing team. The most painful time point for each horse over the horse's hospital stay was  
125 determined by the horse's highest CPS score.

126

### 127 *[ACTH] and [cortisol] assay*

128 A chemiluminescent-immunoassay (Immulite 1000, Siemens Healthcare Diagnostics) using  
129 commercial adjusters/ reagents (Siemens Healthcare Diagnostics) with quality controls for ACTH  
130 (Siemens Healthcare Diagnostics) and Cortisol (Bio-Rad Laboratories Ltd), were used to measure  
131 [ACTH] (Perkins *et al.* 2002) and [cortisol] (Reimers *et al.* 1996; Gold *et al.* 2007).

132

### 133 **Statistical analysis**

134 IBM SPSS 23 was used for statistical analysis of results. Normality of distribution was tested for CPS  
135 score, [ACTH] and [cortisol] using the Shapiro-Wilk and Kolmogorov–Smirnov test. The data were not  
136 normally distributed and therefore underwent non-parametric statistical analysis. The inter-observer  
137 reliability was determined for the CPS score using the weighted kappa measure of interobserver  
138 agreement.

139 Associations between CPS score and [ACTH], CPS score and [cortisol] and, [cortisol] and [ACTH]  
140 were determined using Spearman's rho (rank correlation coefficient). Linear mixed effects regression  
141 modelling was used to test an association between statistical comparisons of CPS, [cortisol] and  
142 [ACTH] and between day of hospitalisation and [cortisol]. The first model used only the most painful  
143 time point for each horse. A second model included all time points in which the horse was included as  
144 a random effect, and the residuals were plotted to test for normality. Values with  $P \leq 0.05$  denoted  
145 significant associations.

146

## 147 **Results**

### 148 ***Part 1: Inter-observer reliability***

149 Fifty-nine horses (mean age 11.7yo; median age 11yo; age range 1 - 26yo; n=26 mares; n=30  
150 gelding; n=3 stallions) were assessed with a total of 102 pain scores (cases: 34% colic (including  
151 medically and surgically managed cases), 36% orthopaedic, 18% medical (other, non-colic), 8% soft  
152 tissue (other, non-colic), 4% dental/ sinus), which demonstrated excellent inter-observer reliability (n=59  
153 horses; n=102 pain scores; weighted kappa 0.863; (Altman 1991). The scatter plot (Fig. 1) shows CPS  
154 scores of observer 1 plotted against observer 2, with the line of equality inserted for visualization. The  
155 range of CPS scores were 0-34 for observer 1 and 0-28 for observer 2. The median CPS score for both  
156 observers was 3. Weighted kappa coefficients for the individual items that make up the CPS all  
157 demonstrated very good inter-observer reliability (Fig. 2). The pain face item demonstrated the lowest  
158 inter-observer reliability with a weighted kappa coefficient of 0.766.

159

160 Assessment of horses only admitted for colic (n=20 horses; n=35 pain scores; median age  
161 12yo; mean age 13.2yo; age range 8-22yo; 11 geldings and 9 mares) demonstrated the inter-observer  
162 reliability to be excellent (weighted kappa 0.813).

163

## 164 ***Part 2: Association between CPS scores, [cortisol] and [ACTH] for horses admitted with colic***

165 Forty-nine horses (mean age 12.9yo; median age 12yo; age range 6mo – 31yo; n=25 mares;  
166 n=21 gelding; n=3 stallions) admitted for medical (n=29) or surgical colic (n=20) between mid-October  
167 to mid-May were included in the study.

168

### 169 ***Most painful time point of horses admitted with colic***

170 The most painful time point was determined for each horse by the horse's highest CPS score  
171 and associated [ACTH] and [cortisol]; one horse was excluded from analysis because there was no  
172 clear most painful time-point identified (all CPS scores were identical). The CPS score range was 0-25  
173 (median 7). A moderate positive association was identified between CPS score and [cortisol] (n=48)  
174 with a rho=0.581 (P<0.001) (Fig. 3a). No significant association (n=44) was established between CPS  
175 score and [ACTH] (Fig. 4), or between [ACTH] and [cortisol]. Exclusion of the October samples (such  
176 that only samples taken from November to May, during the quiescent phase of seasonal ACTH  
177 secretion) did not alter the results of statistical analyses.



178

179           The linear model showed a positive association between the highest pain score and the  
180 associated [cortisol] ( $P < 0.001$ ), but no association between the highest pain score and the associated  
181 [ACTH] ( $P = 0.234$ ), Table 2. The positive coefficient of 1.423 suggests that for every unit increase in the  
182 highest pain score on average there was a corresponding increase in [cortisol] of 1.423 pg/ml. There  
183 was no significant association between [cortisol] and [ACTH] ( $P = 0.157$ ).

184

185 *All data time points of horses admitted with colic*

186           The all data time points encompass sequential blood samples from horses taken on successive  
187 days (median CPS score 4; mean number of samples per horse 2.7; median number of samples per  
188 horse 2; range of samples per horse 1-9). The linear mixed effects model indicated a strong association  
189 between pain score and [cortisol] ( $P < 0.001$ ), but there was no significant association between pain  
190 score and [ACTH] ( $P = 0.073$ ), Table 2. A scatter plot of all data time points of pain scores and [cortisol]  
191 is displayed in Fig. 3b. There was no significant change in pain score in the days subsequent to the day  
192 of the first sample ( $P = 0.818$ ). The positive coefficient of 0.881 suggests that for every unit increase in  
193 pain score, on average [cortisol] increased by 0.881 pg/ml.

194           There was a strong positive association between [cortisol] and [ACTH] ( $P = 0.034$ ); a one-pg/ml  
195 increase in [cortisol] was accompanied by a 0.029 pg/ml increase in [ACTH]. There was a strong  
196 negative association ( $P = 0.005$ ) between days after first sample and [cortisol]; with each day further  
197 from the first day of sampling, [cortisol] decreased by 0.210 pg/ml.

198           Associations between CPS either with or without the inclusion of physiological parameters to  
199 [cortisol] were analysed to assess the benefit of their addition to the CPS originally suggested by  
200 Gleerup and Lindegaard (2016). Spearman's rho when assessing CPS (including physiological  
201 parameters) scores and [cortisol] was 0.441 ( $P < 0.001$ ). Similarly, when assessed without the  
202 physiological parameters of heart rate and respiratory rate, the CPS score and [cortisol] had a very  
203 similar but slightly lower positive Spearman's rho of 0.432 ( $P < 0.001$ ). When assessed individually both  
204 heart rate and respiratory rate demonstrated positive but weak associations (Spearman's rhos of 0.216  
205 ( $P = 0.013$ ) and 0.170 ( $P = 0.05$ ), respectively).

206

207 **Discussion**

208           The results of the present study indicate that the adaptation of Gleerup and Lindegaard (2016)'s  
209 CPS can be used reliably amongst different observers for a range of conditions, including cases of  
210 medical and surgical colic. The weighted kappa coefficient indicated excellent agreement between  
211 observers. The item within the CPS that had the lowest inter-observer reliability was the pain face; this  
212 is likely to be attributable to a degree of subjectivity. Pain scales that are based on facial expression  
213 have been developed, including the equine pain face (Gleerup *et al.* 2015), the horse grimace scale  
214 (Dalla costa *et al.* 2014) and more recently ethograms to describe facial expressions in ridden horses  
215 (Dyson *et al.* 2017; Mullard *et al.* 2017). These scales include the separate evaluation of multiple  
216 aspects of the horse's face (eyes, ears, muzzle, nostrils, mimic muscles/ chewing muscles), unlike the  
217 severity/ intensity of the pain face incorporated into the CPS proposed by Gleerup and Lindegaard  
218 (2016) and the adapted CPS used in the current study. Since there is no single indicator of pain, it  
219 would seem sensible to assume that the summation of multiple pain indicators, including the  
220 physiological parameters, will allow for more accurate recognition. To an extent this assumption is  
221 supported by the slightly stronger association between CPS and [cortisol] when the physiological  
222 parameters were included. However, the authors acknowledge that the difference was marginal and  
223 the inclusion of these parameters could be debated. Although the CPS used in this study was  
224 considered to be practical and easy to use, it has not undergone thorough validation by comparison  
225 with other published pain scales for equine acute abdominal patient (Sutton *et al.* 2013a and b; van  
226 Loon and vanDierendonck 2015).

227  
228           A positive association between the pain score and [cortisol] was identified in medical and  
229 surgical colic cases. This provides physiological validation of the CPS used in the present study as a  
230 marker of underlying stress in horses with colic. The increase in cortisol concentration when using the  
231 most painful time point was twice that when all data points were used. Whilst a linear model was fitted  
232 to these data for practical reasons a non-linear relationship between pain score and [cortisol] may exist.  
233 As the pain score increases [cortisol] increases slowly, but then a possible pain threshold is reached,  
234 resulting in a larger elevation of [cortisol]. Fig. 3a and b illustrate that such a relationship is plausible.  
235 This finding may be unsurprising as pain scores are ordinal. In contrast, no association was established

236 between CPS scores and [ACTH]. When only the most painful time point was analysed (one point per  
237 horse) [ACTH] and [cortisol] were also not associated but when all data points were included to create  
238 a larger dataset with repeated measurements from individual horses an association was found.

239

240 The cause for the lack of association between [ACTH] and [cortisol] at the most painful time  
241 points was not identified but may be the result of a lack of statistical power as an association was  
242 identified when the full dataset was included in the analysis. Alternatively, there may be physiological  
243 or pathological causes for the lack of association in the most painful situations. ACTH secretion  
244 resulting in cortisol release is a well-described physiological response of the body to any form of stress.  
245 This response induces an increase in [cortisol] through the activation the HPA axis (Alexander *et al.*  
246 1988). Critical illness and major surgery may have profound effects on the HPA and in people plasma  
247 [ACTH] may return to normal or below pre-surgical levels by the first post-operative day whilst [cortisol]  
248 remains increased (Gibbison *et al.* 2013). The adrenal glands may become sensitised to ACTH by the  
249 splanchnic nervous supply, such that the responses are greater to [ACTH] (Gibbison *et al.* 2013). In the  
250 present study the contribution of the sympathetic nervous system may have been sufficient to mask the  
251 expected normal physiological association between [ACTH] and [Cortisol]. Inflammatory mediators  
252 such as IL-6 may also sensitise the adrenal glands and in a concentration dependent manner lead to  
253 increased cortisol secretion (Salas *et al.* 1990; Gibbison *et al.* 2013). The effects of [ACTH] and  
254 [cortisol] in cases of pain and disease, such as the role and half-life have equally not been fully  
255 established in horses (Ayala *et al.* 2012). Only limited information about the half-life of cortisol in the  
256 normal horse is available and one study has identified a cortisol half-life at rest of  $1.55 \pm 0.33$  hours  
257 (Lassourd *et al.* 1996). Given the lack of evidence regarding the half-life of cortisol in the normal horse  
258 it may be difficult to determine this influence on the statistical comparisons made on clinical cases  
259 affected by disease-associated factors in this study. Unbound and biologically active cortisol is detected  
260 by the assay used in this study, however the vast majority of plasma cortisol is bound and transported  
261 associated with cortisol-binding globulin. Therefore, the results may be misrepresentative in horses with  
262 disease, pain and/ or stress that may alter the concentration of protein within serum (Alexander *et al.*  
263 1998). An apparent decoupling of ACTH and cortisol may also occur in cases of pars pituitary

264 intermedia dysfunction (PPID) and the possibility of early/mild PPID in the present study population  
265 cannot be excluded (Beech *et al.* 2011).

266

267         There are a number of limitations of this study that should be considered, and it is necessary  
268 to assess the potential magnitude of these factors on the stress hormone concentrations recorded.  
269 Blood samples were obtained at the same time of day, under the same conditions, and the processing  
270 at the laboratory was the same for all samples. Although there was a short time lag between pain  
271 scoring and blood sampling, this time difference is a limitation given that the apparent pain levels in a  
272 horse may alter rapidly. All samples were taken in a defined time period in the morning (7:30am – 10am)  
273 to help alleviate possible differences due to circadian rhythm (Irvine and Alexander 1994). Bohák *et al.*  
274 (2013) documented the circadian rhythm of cortisol and showed greatest increase of cortisol levels to  
275 be throughout the morning (2am to 11am) with an acrophase followed by a decline after around 11am.  
276 Given the clinical setting and that the blood samples utilised were obtained for clinical purposes it was  
277 not possible for all blood samples to be taken immediately following CPS scoring. However, this  
278 variation in lag time between CPS scoring and blood sampling, as well as the specific time these were  
279 obtained, were within a defined time period and were random (not dependent on the signalment (age,  
280 breed) or type of colic (surgical or medical)). Although the inclusion of the October samples may have  
281 affected the results, it did not appear to affect the analysis of [ACTH], and the effect may be minimal  
282 since there is a steep decline in [ACTH] in October (Durham 2014). This study was uni-centre and a  
283 limited number of trained observers assessed pain using the CPS, therefore the results may differ with  
284 different demographic/ caseload and for this reason the results should be extrapolated with caution. A  
285 necessary limitation was that the observers were not blinded to the condition of the horse being  
286 assessed.

287

288         No additional medication was introduced or administered (such as a continuous rate infusion  
289 or one-off administration of medication) during the lag time between pain scoring and obtaining the  
290 associated blood sample. However, the medications that the horses received throughout the study  
291 varied. To identify the association between specific pain medication administration and how this may

292 alter the pain score as well as the associated [ACTH]/ [cortisol] was beyond the aims of this study, but  
293 is a possible area of future research.

294

295 Only adult horses were included in the study to mitigate the effect of age on hormone levels;  
296 older horses and ponies have been shown to have increases in [cortisol] (Donaldson *et al.* 2005).  
297 However, the effects of breed and gender on the stress hormone concentrations were not assessed.  
298 Variations in hormone secretion due to pulsatile release, however, were unavoidable in this clinical  
299 setting (samples could not be taken 10-30 minutes apart) (Ayala *et al.* 2012). Sub-clinical or clinical  
300 endocrine disease (such as, pituitary pars intermedia dysfunction) within the population of horses  
301 included in the study was not determined and could have confounded the accuracy of the results, in  
302 particular the assessment of associations between [ACTH] and [cortisol] and CPS.

303

304 Further study should aim to refine the CPS and the weighting of the individual items. In addition,  
305 further work should address if an association between CPS scores and [cortisol] exist in chronic  
306 diseases or orthopaedic cases, since this study has only established an association in acute, abdominal  
307 cases. The potential decoupling of [ACTH] and [cortisol] is another area that should be further explored  
308 in the context of painful conditions.

309

### 310 **Conclusion**

311 The applied CPS (Gleerup and Lindegaard 2016) has an excellent inter-observer reliability and  
312 warrants further validation. The significant association identified between pain score and [cortisol] in  
313 medical and surgical colic cases provides physiological validation of pain scores as a marker of  
314 underlying stress in horses with colic.

315

### 316 **Conflict of interest statement**

317 No competing interests have been declared.

### 318 **Ethical animal research**

319 Informed owner consent was obtained for inclusion in the study from client owned animals;  
320 this encompassed the use of surplus blood obtained for clinical purposes to be used alongside the

321 clinical records for research and publication. The study was approved and conducted in accordance  
322 with the Ethical Review Committee of Bell Equine Veterinary Clinic.

323 **Source of funding**

324 None.

325 **Prior presentation of data**

326 Preliminary results were presented as an Abstract at 'The 12th International Equine Colic  
327 Research Symposium', Kentucky, 18-20<sup>th</sup> July 2017.

328 **Acknowledgements**

329 The authors thank colleagues at Bell Equine Veterinary Clinic for performing the pain scoring  
330 and Michelle Moreton-Clack for laboratory analysis.

331 **Authorship**

332 Study design: A. Lawson, R. Opie, E. Knowles, T. Mair. Data collection and study execution: A.  
333 Lawson, R. Opie, E. Knowles, T. Mair. Data analysis and interpretation: A. Lawson, R. Opie, K.  
334 Stevens, E. Knowles, T. Mair. Preparation of the manuscript: A. Lawson, R. Opie, K. Stevens, E.  
335 Knowles, T. Mair. All authors gave their final approval of the manuscript.

336

337

338

339

340

341

342

343

344

345

346 **References**

347 Alexander, S.L., Irvine, C.H.G., Livesey, J.H. and Donald, R.A. (1988) Effect of isolation stress on  
348 concentrations of arginine vasopressin, a-melanocyte-stimulating hormone and ACTH in the  
349 pituitary venous effluent of the normal horse. *J. Endocrinol.* **116**, 325–334.

350

- 351 Alexander, S.L. and Irvine, C.H. (1998) The effect of social stress on adrenal axis activity in horses:  
352 the importance of monitoring corticosteroid-binding globulin capacity. *J. Endocrinol.* **157**(3),  
353 425-432.  
354
- 355 Altman, D. G. (1991) Practical statistics for medical research. London: Chapman and Hall.  
356
- 357 Ashley, F.H., Waterman-Pearson, A.E. and Whay, H.R. (2005) Behavioural assessment of pain in  
358 horses and donkeys: Application to clinical practice and future studies. *Equine Vet. J.* **37**,  
359 565–575.  
360
- 361 Ayala, I., Martos, N.F., Silvan, G., Gutierrez-Panizo, C., Clavel, J.G. and Illera, J.C. (2012) Cortisol,  
362 adrenocorticotrophic hormone, serotonin, adrenaline and noradrenaline serum concentrations  
363 in relation to disease and stress in the horse. *Res.Vet. Sci.* **93**, 103-107.  
364
- 365 Beech, J., Boston, R. and Lindborg, S. (2011) Comparison of cortisol and ACTH responses after  
366 administration of thyrotropin releasing hormone in normal horses and those with pituitary pars  
367 intermedia dysfunction. *J. Vet. Intern. Med.* **25**, 1431-1438.  
368
- 369 Bohák, Z., Szabó, F., Beckers, J.F., de Sousa, N.M., Kutasi, O., Nagy, K. and Szenci, O. (2013)  
370 Monitoring the circadian rhythm of serum and salivary cortisol concentrations in the horse.  
371 *Domest. Anim, Endocrinol.* **45**, 38–42.  
372
- 373 Bussièeres, G., Jacques, C., Lainay, O., Beauchamp, G., Leblond, A., Cadoré, J.-L., Desmaizières, L.-  
374 M., Cuvelliez, S.G. and Troncy, E. (2008) Development of a composite orthopaedic pain scale  
375 in horses. *Res. Vet. Sci.* **85**, 294–306.  
376
- 377 Dalla Costa, E., Minero, M., Lebelt, D., Stucke, D., Canali, E. and Leach, M.C. (2014) Development of  
378 the horse grimace scale (HGS) as a pain assessment tool in horses undergoing routine  
379 castration. *PLoS ONE* **9**, e92281.  
380
- 381 de Grauw, J.C. and van Loon, J.P. (2016) Systematic pain assessment in horses. *Vet. J.* **209**, 14–22.  
382
- 383 Donaldson, M.T., McDonnell, S.M., Schanbacher, B.J., Lamb, S.V., McFarlane, D. and Beech, J.  
384 (2005) Variation in plasma adrenocorticotrophic hormone concentration and dexamethasone  
385 suppression test results with season, age, and sex in healthy ponies and horses. *J. Vet.*  
386 *Intern. Med.* **19**, 217–222.  
387
- 388 Durham, A., 2014. Further Observations of Seasonality of Pars Intermedia Secretory Function in  
389 30,000 Horses and Ponies. Proceedings of Dorothy Russell Havemeyer Foundation Equine  
390 Geriatric Workshop II 3rd Equine Endocrine Summit, Middleburg, Virginia, 17th-20th  
391 November 2014 pp. 11-12.  
392
- 393 Dyson, S., Berger, J.M., Ellis, A.D. and Mullard, J. (2017) Can the presence of musculoskeletal pain  
394 be determined from the facial expressions of ridden horses (FEReq)? *J. Vet. Behav.* **19**, 78-  
395 89.  
396
- 397 Gibbison, B., Angelini, G.D. and Lightman, S.L. (2013) Dynamic output and control of the.  
398 hypothalamic-pituitary-adrenal axis in critical illness and major surgery. *Br. J. Anaesth.* **111**,  
399 347-360.  
400
- 401 Gleerup, K.B., Forkman, B., Lindegaard, C. and Andersen, P.H. (2015) An equine pain face. *Vet.*  
402 *Anaesth. Analg.* **42**, 103–114.  
403
- 404 Gleerup, K.B. and Lindegaard, C. (2016) Recognition and quantification of pain in horses: A tutorial  
405 review. *Equine Vet. Ed.* **28**, 47-57.  
406

- 407 Gold, J.R., Divers, T.J., Barton, M.H., Lamb, S.V., Place, N.J., Mohammed, H.O. and Bain, F.T.  
 408 (2007) Plasma Adrenocorticotropin, Cortisol, and Adrenocorticotropin/ Cortisol Ratios in  
 409 Septic and Normal-Term Foals. *J. Vet. Intern. Med.* **21**, 791–796.  
 410
- 411 Graubner, C., Gerber, V., Doherr, M. and Spadavecchia, C. (2011) Clinical application and reliability  
 412 of a post abdominal surgery pain assessment scale (PASPAS) in horses. *Vet. J.* **188**, 178–  
 413 183.  
 414
- 415 Irvine, C.H.G. and Alexander, S.L. (1994) Factors affecting the circadian rhythm in plasma cortisol  
 416 concentrations in the horse. *Domest. Anim. Endocrinol.* **11**, 227– 238.  
 417
- 418 Lassourd, V., Gayrard, V., Laroute, V., Alvinerie, M., Benard, P., Courtot, D. and Toutain, P.L. (1996)  
 419 Cortisol disposition and production rate in horses during rest and exercise. *Am. J. Physiol.*  
 420 *Regul. Integr. Comp. Physiol.* **271**, R25-R33.  
 421
- 422 Lindegaard, C., Vaabengaard, D., Christophersen, M.T., Ekstrøm, C.T. and Fjeldborg, J. (2009)  
 423 Evaluation of pain and inflammation associated with hot iron branding and microchip  
 424 transponder injection in horses. *Am. J. Vet. Res.* **70**, 840-847.  
 425
- 426 McCarthy, R.N., Jeffcot, L.B. and Clarke, I.J. (1993) Preliminary studies on the use of plasma beta-  
 427 endorphin in horses, as an indicator of stress and pain. *J. Equine Vet. Sci.* **13**, 216–219.  
 428
- 429 Mullard, J., Berger, J.M., Ellis, A.D. and Dyson, S. (2017) Development of an ethogram to describe  
 430 facial expressions in ridden horses (FEReq). *J. Vet. Behav.* **18**, 7-12.  
 431
- 432 Pader, K., Freeman, J., Constable, P.D., Wu, C.C., Snyder, P.W. and Lescunt, T.B. (2011)  
 433 Comparison of transvaginal natural orifice transluminal endoscopic surgery (NOTESR) and  
 434 laparoscopy for elective bilateral ovariectomy in standing mares. *Vet. Surg.* **40**, 998–1008.  
 435
- 436 Perkins, G.A., Lamb, S., Erb, H.N., Schanbacher, B., Nydam, D.V. and Divers, T.J. (2002) Plasma  
 437 adrenocorticotropin (ACTH) concentrations and clinical response in horses treated for equine  
 438 Cushing's disease with cyproheptadine or pergolide. *Equine Vet. J.* **34**, 679-685.  
 439
- 440 Price, J., Catriona, S., Welsh, E.M. and Waran, N.K. (2003) Preliminary evaluation of a behaviour-  
 441 based system for assessment of post-operative pain in horses following arthroscopic surgery.  
 442 *Vet. Anaesth. Analg.* **30**, 124–137.  
 443
- 444 Pritchett, L.C., Ulibarri, C., Roberts, M.C., Schneider, R.K. and Sellon, D.C. (2003) Identification of  
 445 potential physiological and behavioral indicators of postoperative pain in horses after  
 446 exploratory celiotomy for colic. *Appl. Anim. Behav. Sci.* **80**, 31–43.  
 447
- 448 Raekallio, M., Taylor, P.M. and Bennett, R.C. (1997) Preliminary investigations of pain and analgesia  
 449 assessment in horses administered phenylbutazone or placebo after arthroscopic surgery.  
 450 *Vet. Surg.* **26**, 150–155.  
 451
- 452 Reimers, T.J., Salerno, V.J. and Lamb, S.V. (1996) Validation and application of solid-phase  
 453 chemiluminescent immunoassays for diagnosis of endocrine diseases in animals. *Comp.*  
 454 *Haematol. Int.* **6**, 170–175.  
 455
- 456 Rietmann, T.R., Stauffacher, M., Bernasconi, P., Auer, J.A. and Weishaupt, M.A. (2004) The  
 457 association between heart rate, heart rate variability, endocrine and behavioural pain  
 458 measures in horses suffering from laminitis. *J. Vet. Med. A. Physiol. Pathol. Clin. Med.* **51**,  
 459 218–225.  
 460
- 461 Salas, M.A., Evans, S.W., Levell, M.J. and Whicher, J.T. (1990) Interleukin-6 and ACTH act  
 462 synergistically to stimulate the release of corticosterone from adrenal gland cells. *J. Clin. Exp.*  
 463 *Immunol.* **79**, 470-473.



464  
465 Sellon, D.C., Roberts, M.C., Blikslager, A.T., Ulibarri, C. and Papich, M.G. (2004) Effects of  
466 continuous rate intravenous infusion of butorphanol on physiologic and outcome variables in  
467 horses after celiotomy. *J. Vet. Intern. Med.* **18**, 555–563.  
468  
469 Sutton, G.A., Paltiel, O., Soffer, M. and Turner, D. (2013a) Validation of two behaviour-based pain  
470 scales for horses with acute colic. *Vet. J.* **197**(3), 646-650.  
471  
472 Sutton, G.A., Dahan, R., Turner, D. and Paltiel, O. (2013b) A behaviour-based pain scale for horses  
473 with acute colic: scale construction. *Vet. J.* **196**(3), 394-401.  
474  
475 van Loon, J.P., Back, W., Hellebrekers, L.J. and René van Weeren, P. (2010) Application of a  
476 Composite Pain Scale to Objectively Monitor Horses with Somatic and Visceral Pain under  
477 Hospital Conditions. *J. Equine Vet. Sci.* **30**, 641–649.  
478  
479 van Loon, J.P., Jonckheer-Sheehy, V.S., Back, W., Rene van Weeren, P. and Hellebrekers, L.J.  
480 (2014) Monitoring equine visceral pain with a composite pain scale score and correlation with  
481 survival after emergency gastrointestinal surgery. *Vet. J.* **200**, 109–115.  
482  
483 van Loon, J.P. and VanDierendonck, M.C. (2015) Monitoring acute equine visceral pain with the  
484 Equine Utrecht University Scale for Composite Pain Assessment (EQUUS-COMPASS) and  
485 the Equine Utrecht University Scale for Facial Assessment of Pain (EQUUS-FAP): a scale-  
486 construction study. *Vet. J.* **206**(3), 356-364.  
487  
488 VanDierendonck, M.C. and van Loon, J.P. (2016) Monitoring acute equine visceral pain with the  
489 equine Utrecht University scale for composite pain assessment (EQUUS-COMPASS) and the  
490 equine Utrecht University scale for facial assessment of pain (EQUUS-FAP): a validation  
491 study. *Vet. J.* **216**, 175-177.  
492  
493  
494

495 **Table 1:** The applied Equine CPS adapted from Gleerup and Lindegaard (2016). Each measured item  
 496 has a simple descriptive scale that is weighted numerically and the score for each item is combined to  
 497 obtain the CPS score.

498

Type of Measurement	Score 0-4				
	0	1	2	3	4
<b>Pain Face</b>	No pain face	Pain face occasionally present	Pain face present	Intense pain face	
<b>Gross Pain Behaviour</b>	None		Occasional	Often	Continuous
<b>Activity Levels</b>	Exploring, attention to surroundings or resting	No movement		Restless	Depressed
<b>Location in stable</b>	At the door	Standing in the middle facing the door	Standing in the middle facing the sides	Standing in the middle facing the back or at the back	
<b>Posture</b>	Normal posture and weight bearing	Foot intermittent off the ground/occasional weight shift	Pinched/tucked up	Continuously taking foot off ground and trying to replace it	No weight bearing/abnormal weight distribution
<b>Head Position</b>	Foraging or high	Level of withers	Below withers		
<b>Attention to area</b>	Does not pay attention to painful area		Brief Attention to painful area		Continuous attention to painful area
<b>Interaction</b>	Looks at observer and moves towards observer	Looks at observer but does not move	Does not look at observer or moves away	Does not move, not reacting/introverted	
<b>Response to food</b>	Takes food with no hesitation	Takes Food with hesitation	Looks at food	No response to food	
<b>Breathing Rate (breaths per minute)</b>	<20		20+		40+
<b>Heart Rate (beats per minute)</b>	<40	40-43	44-47	48-52	52+

499

500

501 **Table 2**

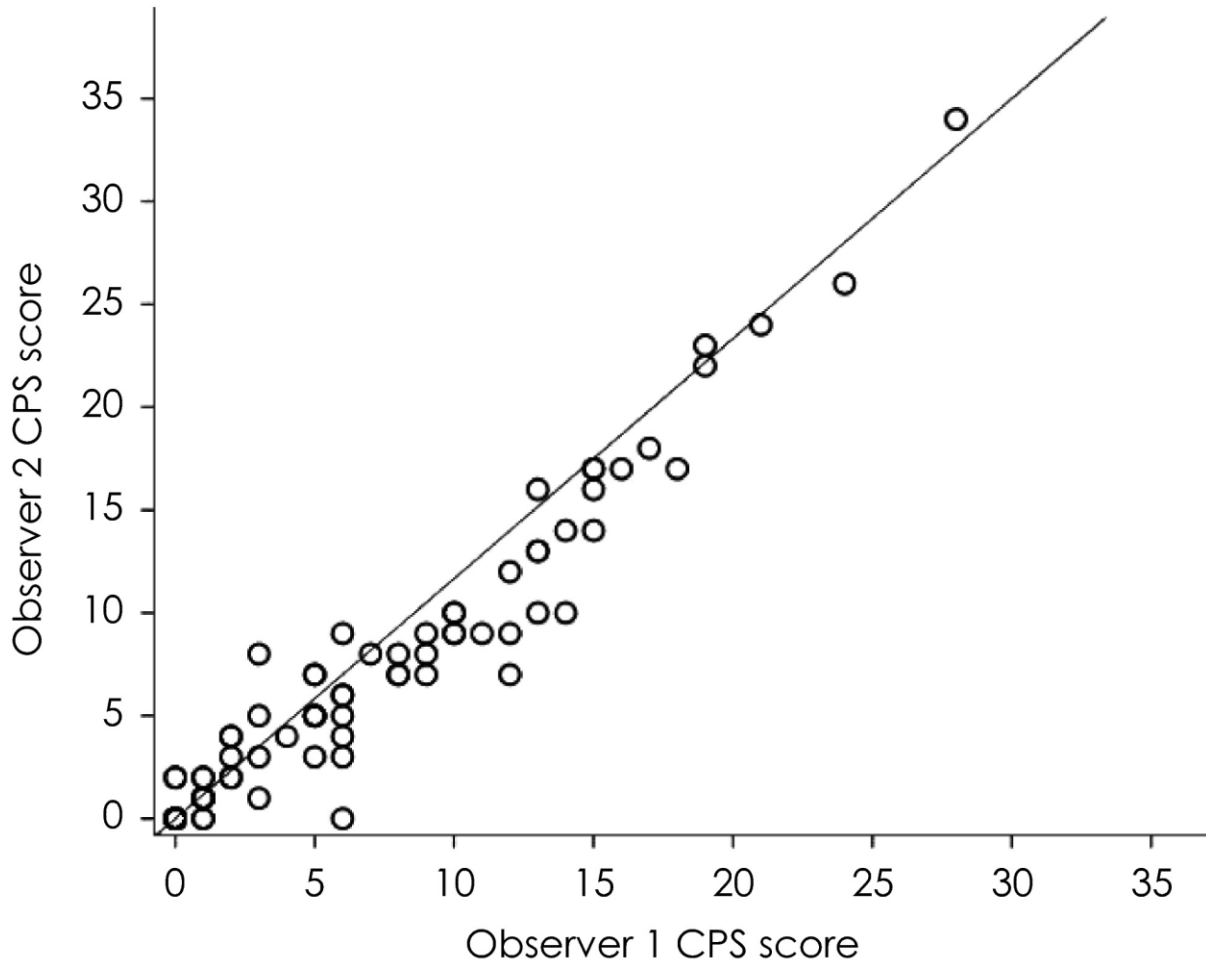
502 Linear mixed effects regression model results for the statistical comparisons; these include pain  
 503 score, [cortisol], [ACTH] and time from 1<sup>st</sup> sample. The most painful time point was determined for  
 504 each horse by the horse's highest CPS score and associated [ACTH] and [cortisol]. The all data time  
 505 points encompass sequential blood samples from horses taken on successive days.  
 506 <sup>a</sup> SE, Standard error; <sup>b</sup> 95% CI, 95% Confidence interval; \* denotes statistical significance of P<0.05.  
 507 Results are to three decimal places.

<b>Statistical comparisons</b>		<b>Most painful time point</b>	<b>All data time points</b>
Pain score and [cortisol]	P value	P<0.001*	P<0.001*
	Coefficient	1.423	0.881
	SE <sup>a</sup>	0.297	0.159
	Z score	4.80	5.53
	95% CI <sup>b</sup>	0.842 to 2.004	0.569 to 1.193
Pain score and [ACTH]	P value	P=0.234	P=0.073
	Coefficient	0.041	0.046
	SE <sup>a</sup>	0.034	0.026
	Z score	1.19	1.79
	95% CI <sup>b</sup>	-0.0262 to 0.107	-0.004 to 0.096
[Cortisol] and [ACTH]	P value	P=0.157	P=0.034*
	Coefficient	0.024	0.029
	SE <sup>a</sup>	0.017	0.014
	Z score	1.41	2.12
	95% CI <sup>b</sup>	-0.009 to 0.057	0.0021 to 0.056
Days from 1 <sup>st</sup> sample and [cortisol]	P value	N/A	P=0.005*
	Coefficient		-0.21
	SE <sup>a</sup>		0.075
	Z score		-2.8
	95% CI <sup>b</sup>		-0.357 to -0.063

508

509 **Figure legends**

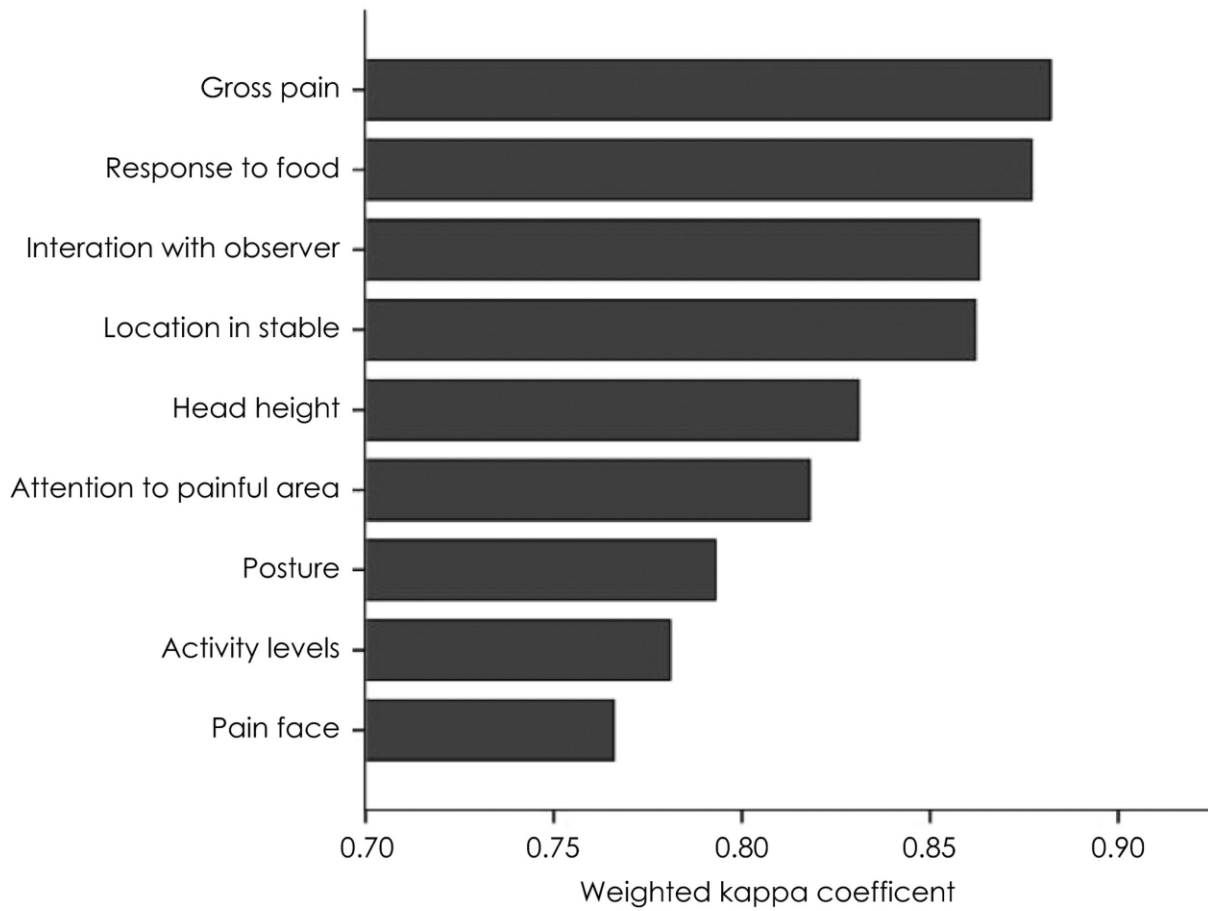
510 **Figure 1:** Scatter plot graph of the CPS determined for each horse comparing the scores between  
511 observer 1 against observer 2 with the line of equality inserted for visualization.



512

513

514 **Figure 2:** Bar graph displaying the weighted kappa coefficient for the individual observational items  
515 comprised in the CPS to assess observer agreement for each item in the pain scale between the  
516 observer 1 and observer 2.

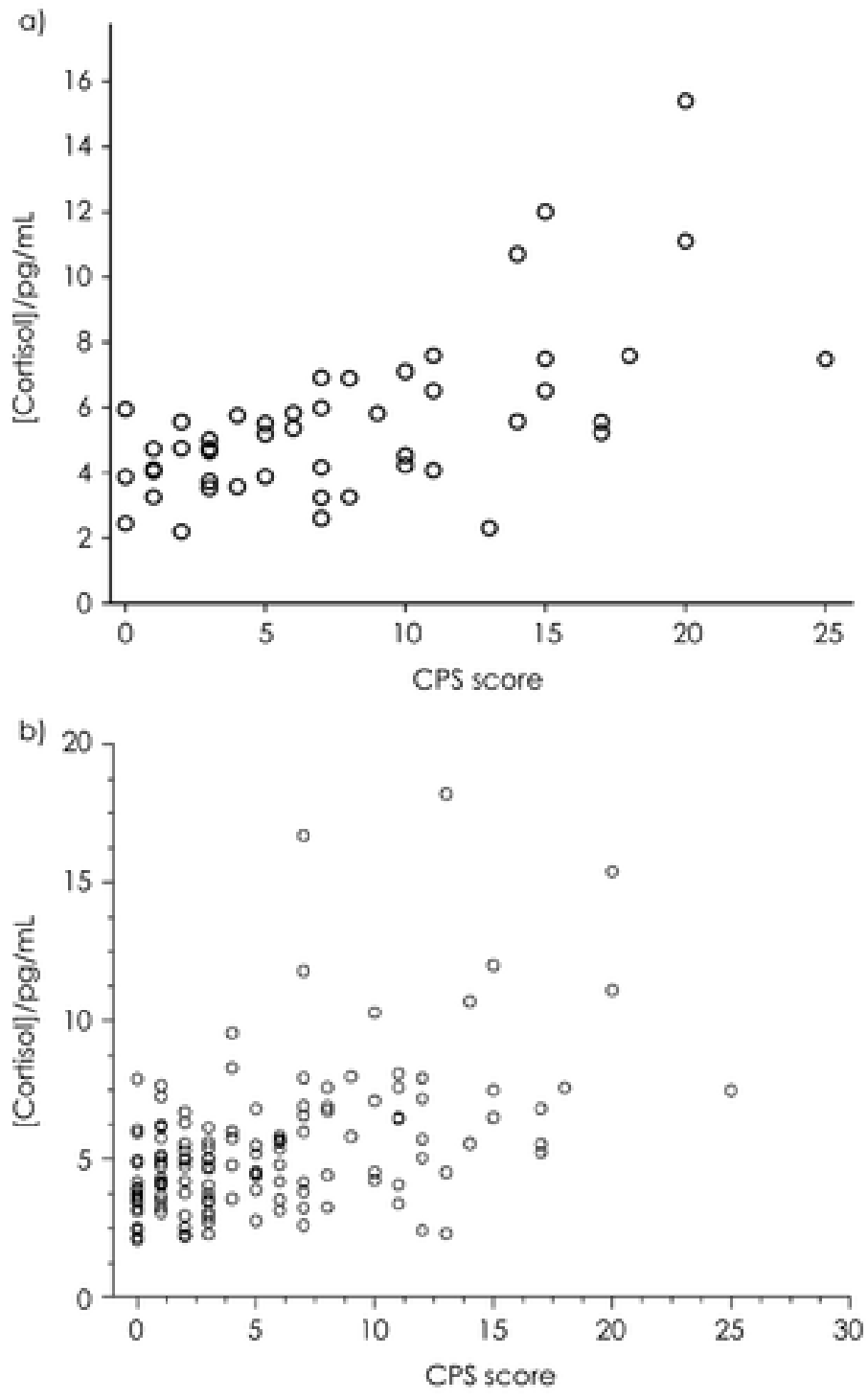


517

518

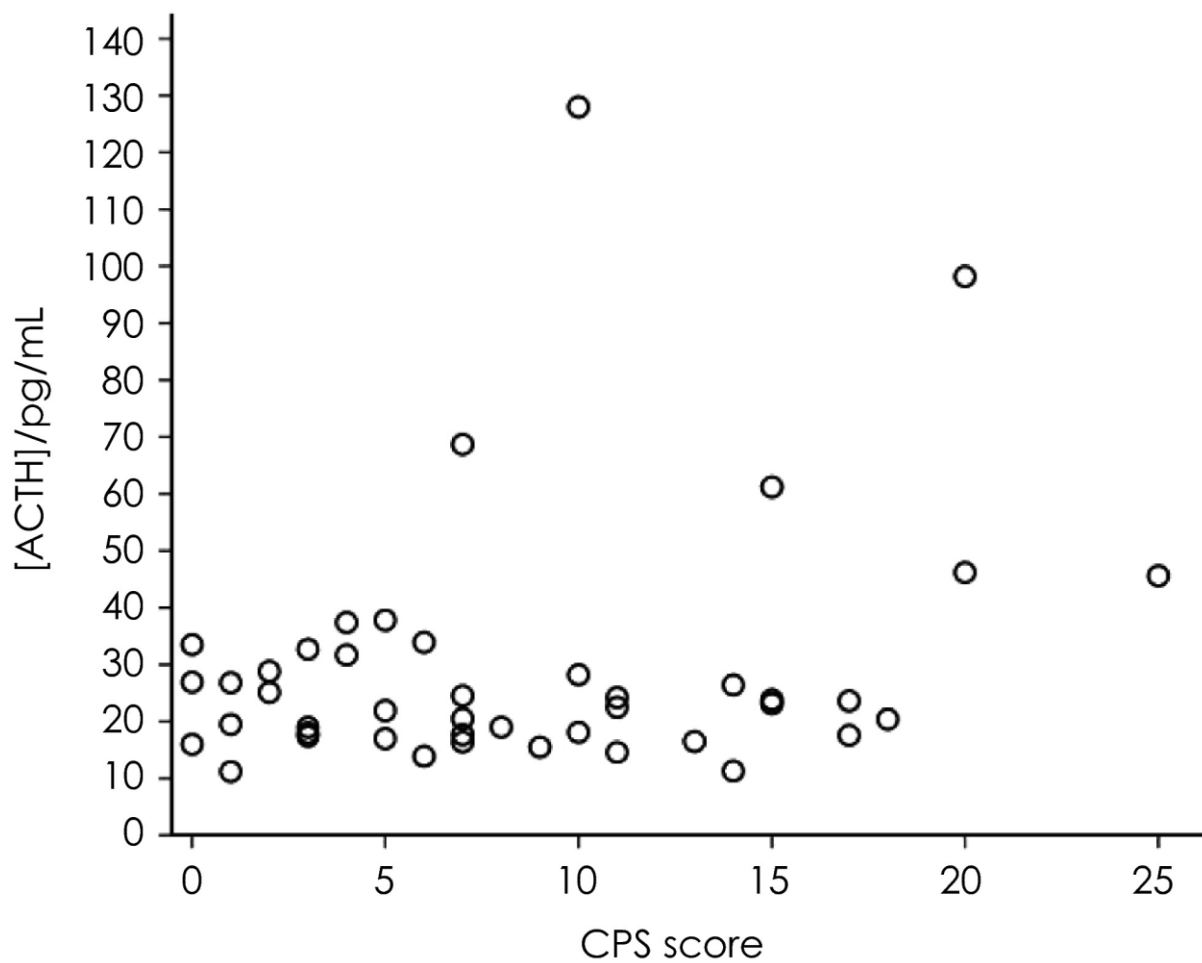
519 **Figure 3a:** Scatter plot graph of CPS score (most painful time point) against [cortisol] (n=48)  
520 demonstrating a positive association ( $\rho=0.581$ ;  $P<0.001$ ).

521 **Figure 3b:** Scatter plot graph of CPS score (all data time points) against [cortisol] (n=49, 133 samples)  
522 demonstrating a positive association ( $P<0.001$ ).



523

524 **Figure 4:** Scatter plot graph of CPS score (most painful time point) against [ACTH] (n=44)  
525 demonstrating no association.



526

527