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TITLE: Retrospective evaluation of pericardial catheter placement in the management of pericardial effusion in dogs (2007–2015):18 cases

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1 **Abstract**

2 **Objective**

3 To describe the use of pericardial catheters in dogs with pericardial effusion (PE),  
4 and detail any associated adverse events.

5 **Design**

6 Retrospective study.

7 **Setting**

8 University teaching hospital.

9 **Animals**

10 Eighteen client-owned dogs that had pericardial catheters placed for pericardial  
11 fluid drainage between May 2007 and January 2015.

12 **Interventions**

13 None.

14 **Measurements and main results**

15 All pericardial catheters were placed within 5 hours of presentation, usually  
16 within 1 hour (median 72.5 minutes, range 45-300 minutes, mode 60 minutes).

17 Ten of 18 cases were sedated with butorphanol, and 4 with additional midazolam.

18 Four had pericardial catheters positioned for single drainage only and were  
19 immediately removed. The other 14 pericardial catheters remained in situ for a  
20 median of 18 hours (range 2-88 hours). Ten of the remaining 14 cases were re-

21 drained after pericardial catheter placement. The main adverse events reported  
22 were new arrhythmias in 6/18 cases, with 4 of these 6 patients being

23 administered anti-arrhythmic therapy. No infectious or functional complications

24 were reported. Ten patients were discharged, 1 died and 7 were euthanised.

25 **Conclusions**

26 Thoracic drainage catheters inserted into the pericardial space via a modified-  
27 Seldinger technique can be positioned in dogs to aid management of pericardial  
28 effusions. The main associated adverse event is arrhythmia. Minimal sedation is  
29 required for placement, and dogs tend not to require post procedural analgesia.  
30 Catheters can remain in situ for repeated drainage, potentially decreasing staffing  
31 time requirement and repeat sedation. Their use is associated with a rate of  
32 arrhythmia requiring treatment of 22%, compared to that of needle  
33 pericardiocentesis alone at 13%. They are easy to position using equipment  
34 available in many facilities.

35

## 36 **Abbreviations**

37 PE, Pericardial effusion.

38

## 39 **Keywords**

40 Tamponade, treatment, extended catheter drainage.

41

## 42 **Introduction**

43 In dogs, the pericardial space usually contains approximately 0.25ml/kg  
44 bodyweight of clear, serous fluid as lubrication between the visceral and  
45 parietal pericardium; an excess or inappropriate fluid presence is termed a  
46 pericardial effusion (PE).<sup>1,2</sup> The etiology of canine pericardial effusion is most  
47 frequently neoplastic or idiopathic, with less common causes including  
48 coagulopathy, left atrial rupture, local septic effusions and congestive heart  
49 failure.<sup>1,3-5</sup>

50 In the emergent situation, pericardial effusion can lead to cardiovascular  
51 instability involving cardiac tamponade, reduced preload and compromised  
52 cardiac output. This may necessitate drainage of fluid from the pericardial space.  
53 Needle pericardiocentesis is well described as a simple and efficacious technique  
54 for treating cardiac tamponade.<sup>1</sup> However, pericardial effusion can recur and  
55 cause clinical signs, requiring repeated drainage. Repeated pericardiocentesis  
56 has been reported to be necessary in 25-31% of cases of canine pericardial  
57 effusion, although the timescale to re-effusion is highly variable.<sup>4,6</sup> Should it occur  
58 during the same hospital visit this may increase animal stress and staffing  
59 requirements, and may necessitate further sedation in a cardiovascularly  
60 unstable patient.

61 Pericardial catheter placement and 'extended pericardial catheter  
62 drainage' is well documented in human medicine, being the standard of care for  
63 management and repeated drainage of pericardial effusions, and has been shown  
64 to prevent further fluid accumulation in both malignant and idiopathic  
65 effusions.<sup>7,8</sup> Extended pericardial catheter drainage refers to the process of  
66 continued, elective drainage of pericardial effusion by indwelling catheter every  
67 4-6 hours until the effusion is minimal in volume (25-30ml/day). This is usually  
68 for approximately 4 days. In human pericardial catheter placement, the incidences  
69 of major complications, such as myocardial or coronary artery laceration, and  
70 severe arrhythmias (usually vasovagal bradycardia) are both less than 2%.<sup>7</sup>  
71 Although over the needle central venous catheters have been recommended for  
72 single drainage previously,<sup>9</sup> there have been no studies reporting or investigating  
73 extended pericardial catheter drainage in veterinary medicine. The equipment  
74 required for pericardial catheter placement and extended drainage is readily  
75 available but there is no evidence indicating a clear advantage or disadvantage of  
76 its use or information regarding its safety.

77 This retrospective study serves to describe the use of pericardial  
78 catheters in dogs with pericardial effusion, including reported adverse events to  
79 aid assessment of whether they are beneficial in case management.

80

## 81 **Materials and methods**

82           Medical records at a veterinary teaching hospital were searched for cases  
83 of canine pericardial effusion which were managed with a pericardial catheter  
84 between May 2007 and January 2015. Animals with incomplete records were  
85 excluded from the study. Information collected included signalment, weight,  
86 whether needle pericardiocentesis had been performed prior to pericardial  
87 catheter placement, time from presentation to pericardial catheter placement,  
88 sedatives or local anesthetic drugs used to aid catheter placement, adverse  
89 events reported, presence of arrhythmias, whether arrhythmias were treated,  
90 details of repeated drainages, length of drain persistence, analgesics used post  
91 placement, final diagnosis and outcome.

92

## 93 **Statistical methods**

94           All continuous data was assessed for normality using a Shapiro-Wilk Test  
95 and descriptive data calculated as appropriate using commercially available  
96 software.<sup>a</sup>

97

## 98 **Results**

99           Ethical approval was granted by the Clinical Research Ethical Review  
100 Board (CRERB) (reference number M2016 0087). Twenty-five cases of canine  
101 pericardial effusion in which pericardial catheters were placed were identified.  
102 Seven cases were excluded due to incomplete records leaving 18 cases in the  
103 study. In the same period there were 94 additional cases of pericardial effusion  
104 managed by needle pericardiocentesis alone. The breeds represented were  
105 Labrador Retrievers (4), German shepherds (3), Golden Retrievers (3),

106 Greyhounds (2) Bull Mastiffs (2) and one each of the following breeds: Pyrenean  
107 Mountain Dog, Bull Terrier, Rottweiler, Crossbreed. The mean ( $\pm$  SD) age of  
108 dogs involved in this study was 96 ( $\pm$  30) months. Eleven males (7 neutered)  
109 and 7 females (6 neutered) were included. The mean weight ( $\pm$  SD) of the dogs  
110 was 41.8kg ( $\pm$  9.3) kg with the smallest weighing 26.7kg

111 Twelve dogs had a presumed neoplastic cause of PE based on  
112 echocardiography by a board certified cardiologist (mass lesion identified), 4  
113 had a presumed idiopathic cause (no mass lesion identified) and 2 did not  
114 undergo complete investigations prior to death or euthanasia and a cause was  
115 not determined. The majority of presumed neoplastic sites were right atrial or  
116 auricular in origin and there were no examples of iatrogenic or post-surgical  
117 effusions requiring drainage.

118 All catheters were 20cm chest tubes<sup>b</sup> placed percutaneously by a  
119 modified-Seldinger technique as follows: 1) Aseptic preparation of skin between  
120 ribs 4 and 6 over right hemithorax. 2) Peripheral cannula insertion (usually with  
121 a small skin incision made with a surgical blade and often ultrasound guided or  
122 planned) into the pericardial sac followed by removal of cannula stylet. 3) Guide  
123 wire insertion via peripheral cannula access. 4) Cannula removal and catheter  
124 positioning over guidewire. 5) Guide wire removal and securement of catheter to  
125 overlying skin with sutures. (Fig 1.) Catheters were covered with a sterile  
126 adhesive dressing and often secured with elastic tubular netting.<sup>c</sup> (Fig 1.)  
127 Tunneling of the catheter subcutaneously may not be necessary, but a slight  
128 cranially directed insertion can maintain the tube flush with the skin surface.

129 Three dogs had needle pericardiocentesis prior to re-effusion and  
130 subsequent pericardial catheter placement within 24 hours. The other 15

131 catheters were used for first time drainage. All pericardial catheters were placed  
132 within 5 hours of presentation with a median time to placement of 72.5 minutes  
133 (Range 30-300).

134 Ten of the 18 dogs were sedated for pericardial catheter placement with  
135 butorphanol<sup>d</sup> (median 0.2, range 0.1-0.5mg/kg) which was combined with  
136 midazolam<sup>e</sup> (0.2mg/kg) in 4 cases. Four dogs received lidocaine<sup>f</sup> local anesthesia  
137 in the cutaneous and muscle layers where the drain was to be placed, two  
138 without concurrent systemic sedation. Six cases had neither sedation nor local  
139 anesthesia documented, and no patients were fully anaesthetized.

140 Two animals (11%) were described as having ongoing bleeding into the  
141 pericardial space. Of these, one had been bleeding within the pericardium prior  
142 to or after an initial needle pericardiocentesis, having a catheter placed after a  
143 second pericardiocentesis and died hours later, with coagulopathy excluded as  
144 the cause of the PE. The other had a right atrial mass identified as the cause of  
145 the PE and was euthanized electively after 3 further large volume drainages  
146 (237ml, 265ml and 346ml within 5.5 hours) due to tamponade after the initial  
147 drainage by catheter.

148 A total of 10 dogs had arrhythmias documented during their  
149 hospitalization. As is standard procedure in this hospital, animals were  
150 monitored by continuous electrocardiogram (ECG) during and immediately post  
151 procedure, and occasionally pre-procedurally. Post-procedural ECGs were  
152 performed based on stability. In 4 cases arrhythmias were documented pre-  
153 procedurally (ventricular arrhythmias, two episodes of ventricular tachycardia  
154 and one of electrical alternans). Six of 18 cases (33%) had new arrhythmic  
155 events reported at the time of pericardial catheter placement and subsequently.



156 These were nearly exclusively ventricular arrhythmias. Ventricular premature  
157 complexes and accelerated idioventricular rhythms predominated, with  
158 ventricular tachycardia reported in 3 of these dogs and second degree  
159 atrioventricular block in one dog. Two of the 4 dogs with ventricular  
160 arrhythmias documented pre-procedurally required lidocaine bolus treatment  
161 (2mg/kg) prior to the procedure, followed by continuous rate infusions (50-  
162 80mcg/kg/min). Four of the 6 dogs with new arrhythmic events were treated  
163 with lidocaine boluses, with 2 requiring adjunctive continuous rate infusions.  
164 Two dogs with arrhythmias noted pre-catheter placement and 2 dogs with  
165 arrhythmias noted during or after placement were not treated with anti-  
166 arrhythmic therapy.

167 Six cases received post procedural analgesia (butorphanol 0.1 mg/kg or  
168 methadone<sup>s</sup> 0.1mg/kg) which was presumed to have been administered for  
169 perceived or anticipated discomfort due to the pericardial catheter.

170 Overall, 40 pericardial drainage events were performed using the  
171 pericardial catheters. Four dogs had pericardial catheters positioned for  
172 immediate drainage only which were subsequently removed (in one of these no  
173 fluid was retrieved, but it relieved the effusion and was immediately removed).  
174 The other pericardial catheters remained in situ for a median of 18 hours (Range  
175 2-88). Ten of the 14 dogs with catheters kept in situ after first drainage had  
176 repeat pericardial effusion drainage via the catheter, 7 of these due to a  
177 perceived clinical deterioration such as tachycardia or worsening arrhythmias,  
178 and 3 electively on a routine basis. Among the 7 cases re-drained out of apparent  
179 necessity, there were 12 re-drainage events.

180 Pericardial catheters were placed and removed at the clinician's  
181 discretion, but appeared to be removed due to euthanasia or stability being  
182 achieved and animals being discharged. No infectious or functional adverse  
183 events were reported.

184 Ten of the 18 cases survived to discharge, 7 were euthanized and 1 died  
185 during hospitalization. The patient that died was hemorrhaging catastrophically  
186 prior to drain placement, having had two needle pericardiocentesis events  
187 already at the QMHA.

188

## 189 **Discussion**

190 This retrospective study describes the use of pericardial catheters in dogs  
191 with pericardial effusion, demonstrating an alternative to needle  
192 pericardiocentesis in this disease process, either in the first instance or in cases  
193 requiring repeated drainage. Caution should clearly be exercised before  
194 considering this procedure in the first instance without more rigorous  
195 demonstration of safety or benefit, however. The population described in this  
196 study is consistent with previous retrospective studies of canine pericardial  
197 effusion, with Golden Retrievers, German Shepherd Dogs and males apparently  
198 over-represented.<sup>4</sup> There were high numbers of presumed neoplastic aetiologies  
199 (66% of the population), with 31-68% reported previously.<sup>4,10</sup>

200 The pericardial catheters in this study were positioned easily, under  
201 minimal sedation and with occasional local anesthesia only. Six patients received  
202 no procedural sedation nor local anesthesia. This is presumed to be a function of  
203 both the retrospective nature of this study, and occasional moribund patients  
204 that may well have been drained without these drugs. There was one report of a

205 lack of retrieval of pericardial fluid after placement of the catheter, however, the  
206 effusion was relieved in this case. All other catheters were placed on the first  
207 attempt and pericardial fluid was obtained. In some cases, it appeared that  
208 pericardial catheters were placed as repeated pericardiocentesis was required (3  
209 cases); however, in other cases it was unclear why this choice was made over  
210 standard needle pericardiocentesis and it is likely there was a degree of clinician  
211 preference. Procedural length was rarely documented nor collated but in the  
212 authors' experience it takes approximately 20 minutes from skin preparation to  
213 dressing the catheter, including drainage. Previous reported use of the same  
214 equipment for management of pleural space disease documented placement  
215 times of less than 10 minutes in the vast majority of cases.<sup>11</sup>

216         No adverse events that could be definitively directly attributable to  
217 pericardial catheter placement were noted. One of the catheters failed to recover  
218 any volume of effusion and so was removed immediately but it was noted that  
219 the effusion had resolved, presumably due to pericardial penetration.

220         New ventricular arrhythmias were identified in 6 of 18 dogs (33%) at the  
221 time of pericardial drain placement, 4 requiring treatment (22%). It is not  
222 possible to state whether these arrhythmias were related to pericardial catheter  
223 placement specifically, were manifestations of the underlying disease or were  
224 secondary to pericardial stimulation which would have occurred with any fluid  
225 drainage technique. Arrhythmias are commonly reported in dogs with  
226 pericardial effusion<sup>4,6</sup> and in this study 4 of the dogs had ventricular arrhythmias  
227 reported prior to catheter placement, one of which had a needle  
228 pericardiocentesis performed previously. It is possible that arrhythmias were  
229 present prior to catheter placement but not recognized until an ECG was

230 performed during the procedure and monitored post-procedurally as is standard  
231 practice at our hospital. It could be that the cases selected for catheter placement  
232 were considered less stable resulting in closer monitoring and more consistent  
233 documentation of adverse events in a slightly more complex procedure than  
234 needle pericardiocentesis. It is also possible that the catheters themselves  
235 initiated or perpetuated the arrhythmias. In human pericardial catheter  
236 placement, the major complications are laceration and perforation of the  
237 myocardium and coronary vessels, with the frequency of these complications  
238 reduced by echocardiographic guidance, and even more by fluoroscopic  
239 guidance.<sup>7</sup>

240         A retrospective study of dogs undergoing needle pericardiocentesis  
241 reported a 13% rate of arrhythmias requiring treatment,<sup>6</sup> which is not markedly  
242 different to the rate of arrhythmias requiring treatment (22%) in this study.  
243 Given the low frequency (4/18) of treatment of new ventricular arrhythmias in  
244 dogs with pericardial catheters positioned, it may be concluded that they were  
245 often of limited clinical significance as they did not require more than lidocaine  
246 bolus (4 cases) or continuous rate infusions (2 of these 4 cases). Future attempts  
247 ought to be made to ascertain whether such arrhythmias are catheter derived  
248 and hence avoidable. No dog underwent cardiopulmonary arrest secondary to  
249 the arrhythmias noted. If treatment of these is rarely required, it might seem  
250 reasonable to tolerate their presence so long as perfusion is not compromised,  
251 and to be vigilant of their potential progression as with any ventricular  
252 arrhythmia.

253         Two dogs (11%) were described as having ongoing bleeding. Both were  
254 considered cardiovascularly unstable on presentation and one had a right atrial

255 mass identified as the cause of the effusion. This dog had a pericardial catheter  
256 positioned in the first instance and it is impossible to conclude whether the  
257 catheter placement or right atrial mass was responsible for ongoing bleeding.  
258 The other died without a diagnosis being achieved, but the catheter was  
259 positioned due to immediate re-effusion post needle pericardiocentesis and  
260 hence the continued bleeding was either a function of the underlying disease or a  
261 previous pericardiocentesis. A coagulopathy was excluded. It is impossible to  
262 exclude pericardial catheter placement as a cause of ongoing bleeding in this  
263 case, but there was no suggestion of concerns for this in the clinical notes. Other  
264 explanations would include relieving the pericardial pressure and potentiating  
265 ongoing bleeding from an undiagnosed tumor. Adverse events other than  
266 arrhythmias described in a retrospective study of needle pericardiocentesis  
267 included ongoing bleeding in 3 of 85 cases (all of which had neoplasia as a cause  
268 of PE) and cardiopulmonary arrest in 4 of 85 cases.<sup>6</sup>

269         In the setting that repeat pericardiocentesis may be required with  
270 urgency, if there are no significant contraindications to maintaining a pericardial  
271 catheter in place, such as local pyoderma, then having one present carries  
272 obvious advantages. In people, extended pericardial catheter drainage is  
273 associated with a reduction in the recurrence of idiopathic and postoperative  
274 effusions by 44-77%.<sup>12,13</sup> They are associated with a lack of malignant pericardial  
275 effusion recurrence also.<sup>14</sup> The mechanism of this is postulated to be in  
276 fenestration of the pericardium by persistence of the catheter. In one study of  
277 pericardiocentesis in dogs, 29% of patients required repeated pericardiocentesis  
278 and based on the human literature, preventing recurrence of pericardial

279 effusions is a potentially unrecognized benefit of extended pericardial catheter  
280 drainage in veterinary medicine.<sup>6</sup>

281         In this study the length of time the pericardial catheter remained in situ  
282 appeared to be at the clinician's discretion. Four catheters were placed solely for  
283 immediate pericardiocentesis prior to removal, suggesting they were placed as  
284 the clinician preferred this technique to standard pericardiocentesis. No  
285 catheters were removed due to documented complications. Specific reasons for  
286 removal were not possible to determine and this is a limitation of the study,  
287 although they appeared to serve their purpose well and be removed pending  
288 discharge from the hospital or euthanasia. In human medicine where extended  
289 pericardial catheter drainage is utilized, they are drained every 4-6 hours or as  
290 necessary until fluid accumulation is less than 25-30 ml/day.<sup>15</sup>

291         Many of the indications for pericardiocentesis in human medicine arise  
292 after cardiothoracic surgery, or ventricle perforation during catheter assisted  
293 procedures such as pacemaker placement, valvuloplasty or pulmonary artery  
294 catheterization, with "primary" malignancy related effusions still predominating.  
295 It is possible that with increasing interventional radiology and cardiothoracic  
296 surgery procedures being performed in veterinary medicine, pericardial catheter  
297 drainage may be increasingly required post-procedurally and post-surgically.

298         This study is limited by its retrospective nature and also by the fairly  
299 small numbers of animals described. There was also no clear reasoning  
300 described in the records why pericardial catheters were placed rather than  
301 performance of needle pericardiocentesis, with 15 of 18 being used for first time  
302 drainage. It is therefore assumed that catheters were placed at the clinician's

303 discretion as no protocol, outlining clear indications, currently exists for their  
304 use at this teaching hospital but this cannot be definitively stated.

305         In conclusion, this study demonstrates that pericardial catheters can be  
306 placed to allow drainage of pericardial effusion, which can then be repeated if  
307 necessary. No adverse events were noted which could definitively be attributed  
308 to the catheter placement, but concurrent ventricular arrhythmias were seen.  
309 The advantage of placement of these catheters is that repeated drainage of  
310 effusion can be performed by a suitably qualified person (veterinarian or  
311 technician) alone, and that this can be performed without the stress and  
312 potential complications of repeated needle pericardiocentesis. It also introduces  
313 the concept of extended pericardial catheter drainage which may offer further  
314 advantages. Although not evaluated in this study, it is possible that procedural  
315 time is slightly longer than needle pericardiocentesis and likely that cost would  
316 be higher. Efficacy and safety of pericardial catheter use and extended  
317 pericardial catheter drainage would best be assessed with a prospective study.

318

319 **Footnotes**

320 <sup>a</sup> IBM SPSS Statistics, Version 22, New York, USA

321 <sup>b</sup> 14ga x 20cm (8in) Catheter fenestrated up to 8cm mark, MILA International Inc.

322 Medical Instrumentation for Animals, Kentucky, USA

323 <sup>c</sup> Colorline Surgifix, elastic tubular netting, FRA production, Dusino San Michele,

324 Italy

325 <sup>d</sup> Alvegesic vet. 10mg/ml, Dechra, Shrewsbury, UK

326 <sup>e</sup> Hypnovel 10mg/2ml, Roche Products Limited, Welwyn, UK

327 <sup>f</sup> 2% Lidocaine, Braun Melsungen, Melsungen, Germany

328 <sup>g</sup> Comfortan 10mg/ml, Dechra, Shrewsbury, UK

329



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372 452

373 **Figure Legends**

374 Figure 1. Pericardial catheter placement.

375 A MILA® chest tube was used in all cases. An aseptic technique is used

376 throughout.

377 A. Kit includes large bore peripheral IV cannula for access, guide wire, chest tube,

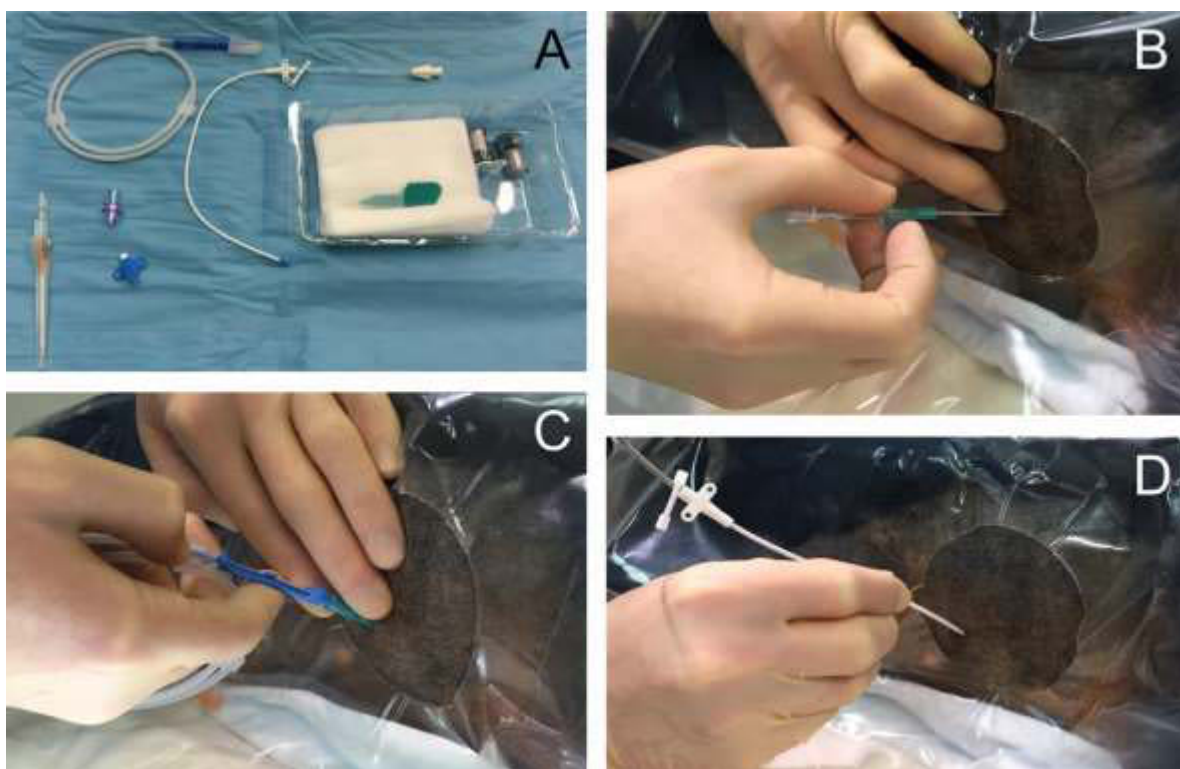
378 clamps and bungs.

379 B. IV cannula secures access into the pericardial space.

380 C. Guide wire is passed into the pericardial space through the cannula.

381 D. Tube is threaded into position by Seldinger technique and secured to skin

382 surface.



383

384