1 Investigation into Reversed Phase Chromatography Peptide Separation Systems Part II: An

2 Evaluation of the Robustness of a Protocol for Column Characterisation

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10 Abstract

- 11 The robustness of the Peptide Reversed Phase Chromatography (RPC) Column Characterisation
- 12 Protocol was evaluated using reduced factorial design, to ascertain the degree of control required
- 13 for parameters including temperature, flow rate, dwell volume, a systematic shift in the gradient,
- 14 amount of formic acid in the aqueous and organic, pH of the ammonium formate and amount of
- 15 acetonitrile in the strong solvent (%MeCN). All levels were deemed acceptable within reasonable
- 16 tolerances except the %MeCN in the strong solvent, where a loss of MeCN resulted in an
- 17 unacceptable variation. Mitigations have been introduced to ensure the integrity of the data to
- allow RPC columns to be characterised using peptides as probes, with the definitive protocol
- 19 described. In addition, the instrument and column batch to batch variability were assessed with
- 20 good reproducibility.

21

22 Keywords

Robustness; Factorial Design; Peptides; Column Characterisation; RPC Stationary Phases; Principal
 Component Analysis

25

26 1 Introduction

The selection of appropriate stationary phases is a key element of method development, however, with the plethora of phases available from many different column manufacturers, it is difficult to make a rational decision as to which column to start with. For small molecules, there are various strategies including the Tanaka and its extended protocol, Snyder's Hydrophobic Subtraction Model and Lesellier's Linear Subtraction Energy Relationships (LSER) which have characterised reversed phase (RP) stationary phases, with the results available in databases which are free to access [1-5]. These databases allow the end user to establish which phases are chromatographically different for a diverse range of phases used for method development purposes, or alternatively, select phases
which are chromatographically similar, in order to select "back-up" columns.

36 Until recently, there has not been a corresponding characterisation protocol for RP columns suitable 37 for peptide separations, however, the Peptide RPC Column Characterisation Protocol was developed 38 to address this deficiency [6]. A range of 26 specifically designed peptides with different physico-39 chemical properties were synthesised to assess prominent interactions such as hydrophobicity, 40 hydrogen bonding, electrostatic interactions and aromatic character as well as reflect typical paths 41 for degradation of peptides. These 26 peptides were evaluated on 14 stationary phases that 42 possessed different properties based on prior knowledge of the column chemistry using gradient chromatography at low and intermediate pH. Selectivity was measured using the difference in 43 44 normalised retention (Δt_a^*) , where a total of 66 delta values were produced to probe different types 45 of interactions which were critically assessed using principal component analysis (PCA). The mobile 46 phases selected were formic acid (~pH 2.5) and ammonium formate at (~pH 6.45, native pH) to 47 evaluate the purer interactions of the stationary phase. The rationale for mobile phase selection can 48 be observed in reference 6. The stationary phases were categorised into three distinct groupings to 49 describe the prominent interactions; neutral (phases which possess a high degree of ligand density 50 and / or end capping), negative / polar (phases which either contain a negatively charged moiety in 51 the ligand, capable of silanophilic interactions due to a light bonding / lack of end capping, or functionalities which are capable of forming polar interactions such as hydrogen bonding) and 52 53 positive character(phases which contain a positively charged moiety). The total of peptides required 54 was reduced from 26 to 11 which generated 11 delta values to describe changes in positive / 55 negative charge, hydrophobicity, phenolic character, aromatic character as well as steric changes, 56 racemisation, deamidation and oxidation (Table 1 and Table 2). A smaller study was conducted to 57 observe the effect of TFA instead of formic acid as the low pH additive, as TFA is quite frequently 58 used for peptide analysis. The resulting data caused the distinct categories produced via the formic 59 acid / ammonium formate biplot to lose their structure which suggests a loss of information when 60 using TFA. This is as hypothesised as TFA acts as an ion pair therefore the character of the stationary 61 phase is of less importance, thus emphasising the necessity to conduct a column characterisation 62 protocol using an appropriate additive like formic acid. An iterative study was conducted which appraised the 66 delta values to determine if the total number could be reduced whilst still fully 63 64 describing the stationary phases.

In order for the Peptide RPC Column Characterisation protocol to be truly valuable, the robustness
must be considered [7]. Robustness is defined by the ICH guidelines as "a measure of its capacity to
remain unaffected by small but deliberate variations in method parameters and provides an

68 indication of its reliability during normal usage" [8,9]. The robustness of the protocol was assessed 69 using a reduced factorial design often referred to as a DoE (design of experiments), which is one of 70 the most commonly used tools for the assessment of robustness both within academia and the 71 pharmaceutical industry. In this methodology, small systematic changes reflecting the expected 72 experimental errors associated with the procedure are explored [9-11]. Alternative methods such as 73 one factor at a time (OFAT) could be used, which looks to vary one variable whilst maintaining all 74 other parameters of interest. However, it can often prove more resource intensive (i.e. time, 75 materials etc) and can fail to estimate the interaction between different variables. A considerable 76 amount of information can be derived from DoE with statistical significance, which cannot be 77 obtained via the OFAT approach [12]. The 11 peptide delta values were used as responses to create 78 the DoE models which highlighted the degree of deviation the systematic changes created from the 79 nominal centre point i.e. the original method conditions. This data informs what variable(s) are 80 statistically significant and their practical relevance on the result, thus highlighting what operating 81 parameters must be carefully controlled in order to maintain a robust methodology. It is integral 82 that the robustness of the procedure be considered before a larger set of stationary phases are 83 evaluated which can be included into a column characterisation database for individuals to rationally 84 select stationary phases for peptide / protein separations. A similar approach was used to assess the 85 robustness of the Tanaka and extended protocols in order to ensure the integrity of the column 86 database [10].

87 This paper aims to gain a greater understanding of the crucial factors which could impact on method 88 robustness, which not only includes performing a DoE on LC parameters used in the protocol, but 89 also establishes the robustness of mobile phase pH switching and sample load. Finally, the practical 90 operating limits of the LC parameters used in the protocol will be established in order to maintain 91 acceptable repeatability and reliability of the integrity of the peptide-based column characterisation 92 database. This paper is part of a series of articles which are designed to interrogate the factors which 93 influence the peptide separation system, where firstly the stationary phases were evaluated before 94 investigating the effect of different mobile phase additives to aid the chromatographer in making 95 rational decisions relating to their chromatographic separation.

96

97 2 Experimental

98 2.1 Chemicals, Reagents and Instrumentation

All chemicals used are described in reference 6. Unless otherwise stated all LC separations were

100 performed on a Shimadzu Nexera X2 UHPLC system where modules were previously described [6].

The base sequence for each peptide can be located in Table 2, and further description of the peptideprobes described in reference 6.

103

104 2.2 Factorial Design

105 The Peptide RPC Column Characterisation protocol conditions were described in reference 6. The 106 new test mixtures with each specific column load can be found in Table 3. Each test mixture 107 contained the two peptides required to calculate a precise delta value. This sometimes meant that there was duplication of peptides in multiple test mixtures. This was to ensure the delta values were 108 109 well described and increase method robustness as small degrees of retention drift could impact on 110 subtle interactions such as racemisation. The peptides selected were based on the iterative process 111 of elimination conducted within reference 6, and contain both subtle and more significant changes 112 to evaluate common degradation and specific interactions to describe the column for 113 characterisation. The delta values, which are a measure of the selectivity in gradient elution, are

- 114 used as the input for the DoE.
- 115 The factors evaluated in the DoE were summarised in Table 4, including the different ±1 levels. The
- 116 factors investigated were selected as the most likely sources of error, where the levels were
- ascertained to reflect the random variation one could expect (i.e. at least 3x the expected standard
- deviation). Error propagation calculations based on instrument specifications and qualification data
- 119 were employed in the estimation of standard deviation [13].
- 120 Modde Pro software (see Section 2.4) was employed to create and evaluate reduced factorial
- designs, which utilised eight methods with various +1 or -1 levels for the different variables, and
- three repeat methods for the central nominal conditions to ascertain the reproducibility of the
- 123 procedure (Tables 5 and 6 for formic acid and ammonium formate gradients, respectively). Different
- batches of solvents were produced for the nominal conditions. The software created a random run
- 125 order to remove any bias within the results.
- 126

127 2.3 Instrument Variability

- 128 Instrumental variability was compared between three additional LC instruments; Waters H-Class,
- 129 Waters I-Class (Milford, Ma, USA) and Agilent 1290 binary system (Waldbronn, Germany) using the
- same batches of mobile and stationary phase. The dwell volumes varied between 300 and 700 μ L.
- 131 The Waters instruments were controlled by Empower 3 (Feature release 3) whilst the Agilent
- 132 configuration was controlled by OpenLab CDS (Chemstation C.01.07 SR4).

133

134 2.4 Stationary Phases

- 135 The Peptide RPC Column Characterisation protocol was developed using 14 diverse stationary phases [6] and a standardised column format of 150 x 2.1 mm. All columns were new as supplied by 136 137 the manufacturer. A Phenomenex Kinetex Evo C18 (150 x 2.1 mm, 100 Å, 2.6 μm) was used for the 138 reduced factorial design experiments whilst the instrument variation was performed on a Waters Acquity HSS C18 (150 x 2.1 mm, 130 Å, 1.8 μm). Column batch to batch variability was assessed on 139 140 six different batches of Ascentis Express C18 with six differing base silicas and four differing silanes (150 x 2.1 mm, 90 Å, 2.7 μm). Loading studies were performed on a Phenomenex Kinetex C18 (150 x 141 2.1 mm, 100 Å, 2.6 μ m). The peak apex of a water injection was used as the dead time marker for 142 143 each column [14].
- 144

145 **2.5 Software and Calculations**

146 Principal Component Analysis (PCA) was performed using SIMCA (Version 14.1, Umetrics, Umeå,

147 Sweden) and Origin (Version OriginPro 2016, OriginLab, Northampton, MA, USA). The variables

148 within the PCA were all autoscaled, in order to give each variable the same importance. Reduced

149 factorial design was performed using Modde Pro (Version 12.0.1. Umetrics, Umeå, Sweden). The net

charges of the peptide probes were calculated at both pH 2.5 and 6.45 using General Protein/Mass

151 Analysis for Windows (GPMAW) software (Version 9.51, Lighthouse Data, Odense, Denmark).

152

153 **3 Results and Discussion**

154 **3.1 Principle Component Analysis and Factorial Design**

155 The robustness of each delta value (Table 4) was assessed by fitting a first order polynomial model to

the data obtained for the formic acid and ammonium formate designs (Table 5 and 6). The typical

157 Δt_g and Δt_g^* values obtained on the Kinetex Evo C18 can be seen in Table 1 under the nominal centre

- 158 point conditions.
- 159 The quality of the model is measured using a regression coefficient from fitting the model (R2) and
- 160 one from a cross validation of the model (Q2) [11,15]. For a good model both values are close to 1.
- 161 However, in a robustness evaluation an ideal outcome would be a poor model, i.e. low R2 and Q2
- values. The effect of a ±1 change of the different factors should, for a robust methodology,
- 163 correspond to the experimental noise at nominal conditions.

164 The average R2 and Q2 for the delta values in formic acid were 0.761 (standard deviation SD 0.208)

- and -0.133 (SD 0.151), respectively, whilst for the ammonium formate delta values, R2 and Q2
- 166 measured 0.750 (SD 0.080) and -0.200 (SD 0.000), respectively. The centre point experiments (N9-
- 167 11) provide an indication into the reproducibility of the procedure, where the average difference for
- the delta probes was 0.001 (ranged from 0.000 to 0.004 for the relevant delta values). The result
- 169 gives an early indication that the Peptide RPC Column Characterisation protocol should be robust.
- 170 The method robustness can be evaluated further by comparing coefficient plots which evaluates the
- individual effect of each parameter on the robustness (Fig. 1 and 2). Each variable was scaled and
- 172 centred in the coefficient plots, so that they were comparable. The height of the bar gives the
- degree of the effect whilst the error bar indicates the 95% confidence interval which highlights
- 174 statistically significant parameters when the error bar does not cross zero.
- 175

176 **3.1.1 Temperature**

177 Temperature had no discernible effect on any of the responses in ammonium formate and the 178 majority in formic acid, however, there was a statistical response for $\Delta(8a,1)$ and $\Delta(16,13)$ in formic 179 acid. The height of the bars however would indicate that the practical significance on these two 180 delta results would be minimal. Although for these probes it has a minimal effect, it is recommended 181 that the actual temperature of the column is determined, as it is known that the column oven design 182 and even column position within the oven can change the actual temperature within the column.

This can be achieved by injecting caprylophenone (detection at 250 nm) onto a column using a premixed mobile phase of MeCN/H₂O (45:55 w/w) to eliminate instrument to instrument %MeCN variations. The column oven temperature is changed in 5 °C intervals over the range 30-60 °C. The same column is then tested by immersing it in a water bath with 30 cm of 0.12 mm tubing prior to the column for thermostating. This is then assumed to be an accurate measure of the temperature of the column. The temperature of the water bath should be determined using a calibrated / certified thermometer with an appropriate accuracy e.g. ±0.5 °C between 30 to 60 °C.

The sample is injected at 30 to 60 °C in 5 °C intervals in order to construct a plot (retention time –
system dead time) vs. temperature plot in order to determine any deviation in temperature for the
LC system (i.e. ΔT at a certain retention time). System dead time is subtracted from the retention
time to allow for comparison between the ovens and is determined by the retention time of water
(detection at 215 nm), where the column is replaced with a union. This procedure should be
followed for any new type of column oven design, and once the actual temperature of the column is

determined, the Peptide RPC Column Characterisation Protocol can be adapted to compensate forany deviation in temperature.

198

3.1.2 Systematic Shift in the Gradient Composition

The responses in formic acid and ammonium formate were all unaffected by the systematic shift in
the gradient. This systematic shift in the gradient assumes the same degree of error will apply across
the length of the gradient.

203

204 **3.1.3 Flow rate**

A change of flow rate also corresponds to a change in gradient slope. Flow rate only presented a small statistical response for $\Delta(9,1)$ in formic acid, whilst all other responses in both ammonium formate and formic acid were unaffected. Similar to temperature, the actual practical effect of this variable would be quite minimal, thus this parameter can be assumed to be robust within the methodology.

210

211 3.1.4 Dwell Volume

Dwell volume was statistically insignificant for all responses in either mobile phase, indicating this
variable does not impact on the robustness of either the formic acid or ammonium formate
gradient. This is to a large extent due to the normalisation of the retention times which removes the
effect of dwell volume, allowing direct comparison between different instrumentation. The dwell

volume range selected for evaluation (100 - 500 μL) should cover UHPLC instrumentation.

217

218 3.1.5 Amount of Formic Acid

219 Differences in formic acid levels could impact on the robustness of the protocol as different levels 220 would result in a different pH which would affect the overall net charge on the peptides. However, 221 differences in formic acid volume were observed to be insignificant, the $\Delta(9,1)$ and $\Delta(16,13)$ values 222 exhibited a very small statistical significance but this was deemed to be of little practical relevance. It 223 is recommended though that formic acid volumes should be dispensed volumetrically from a pre-224 calibrated pipette which is checked each time a solvent is prepared to ensure the integrity of the 225 chromatographic results.

226

227 3.1.6 Ammonium Formate Stock Solution pH

The stationary phase environment at intermediate pH is somewhat unpredictable due to the range 228 229 of pK_a values for the residual silanols [16,17]. It is believed the majority of silanols should be ionised 230 at pH 6.45 (the native pH of ammonium formate), however, this uncertainty can potentially lead to a 231 greater degree of variation in results and hence can contribute to the lack of robustness. The 232 ammonium formate can also be a source of error, where the age of the buffer, its storage 233 environment and its resultant pH range were investigated. The pH was measured for 16 different 234 200 mM solutions where the average pH was 6.45 (SD 0.03). The levels in the DoE (pH 6.45 \pm 0.06) 235 were set based on a 99% CI based on triplicate determinations of the pH. The age of the ammonium 236 formate did not appear to greatly affect the overall pH of the solution, where the pH measured was 237 within the range tested in the DoE. There was, however, a change in pH based on salts which were 238 inappropriately stored, which resulted in a lower pH for a poorly capped container which indicates a 239 loss of ammonia. This could impact on the degree of silanol ionisation and hence affect retention 240 and the delta values. Ideally, the formate salt should be stored in a desiccator to reduce water 241 uptake and firmly replacing the cap should reduce the risk of ammonia loss. 242 The responses in ammonium formate were all stable within the upper and lower pH limits in the 243 DoE, with no statistical significance. The pH of the stock buffer solution should be measured using

appropriately calibrated standards to ensure the pH is within this range to ensure the integrity of the

245 protocol. It is also advised that if the ammonium formate exhibits any considerable signs of

246 hygroscopicity in addition to changes in pH then it should not be used.

To avoid microbial growth which could contaminate the LC system and potentially block the column
inlet frit, causing split peaks and higher back pressures, it is recommended to limit the storage of
stock buffer solution to 4 months at 5 °C.

250

251 **3.1.7 MeCN Composition in the B Solvent**

252 The selectivity of $\Delta(3,1)$, $\Delta(9,1)$, $\Delta(10,9)$ and $\Delta(24,13)$ were particularly susceptible to the change in

acetonitrile content in the B solvent in the ammonium formate gradients. The effect was actually

significant enough that it would have practical relevance, unlike previous variables, and thuswarranted further investigation.

256 As described in the previous publication which defined the Peptide RPC Column Characterisation

257 Protocol, PCA is used in order to visualise similarities and differences between delta values and

columns in so called biplots [3,18]. The delta values for the ammonium formate and formic acid

259 DoE's were included in a PCA to evaluate the robustness of the method on the protocols ability to

differentiate differing stationary phases from one another and to see what limits of the proposed
 MeCN levels had on the integrity of the results. As can be seen in the resulting biplot (Fig. 3), most of
 the DoE runs are clustered close to the origin except for a small subset of runs which are located
 further down in the lower left quadrant. Further evaluation indicated the subset was pulled away by

the -1 level for the MeCN content in the B solvent.

265 A loss of MeCN could possibly be expected by evaporation of solvent in the mobile phase reservoirs 266 over a period of time. An evaporation study was therefore performed to ascertain what could be 267 reasonably lost via the LC solvent caps. An Agilent Valve cap (Waldbronn, Germany) and a SCAT 268 safety cap (Mörfelden-Walldorf, Germany) were compared against a closed cap used for solvent 269 storage. There was 0.00% loss in weight for the closed cap over 30 days, suggesting acetonitrile is 270 not lost during storage, however, it was calculated that losses of 0.04 and 0.03% for the Agilent and 271 SCAT caps, respectively, could be expected per day, which could prove practically problematic. 272 In order to combat this, the approach was taken to change the B solvent from 20 mM ammonium 273 formate in MeCN/ H_2O (80:20 w/w) to 100% MeCN. The gradient was adjusted accordingly to achieve 274 the same volume fraction of MeCN and compared against the original method, with similar

chromatographic results, regardless of the reduced buffer concentration in the B solvent (the

ammonium formate concentration is reduced from 18 to 12 mM during the part of the gradient

277 where peptides typically elute).

278

279 3.2 Instrument Variability

The ability to successfully translate LC methodologies between differing LC instrumentation is extremely important for the widespread acceptance of the protocol. This is particularly important with respect to gradient chromatography where the contribution of column volume and dwell volume has been shown to cause considerable selectivity differences [19].

The three peptide mixtures were injected onto an Acquity HSS C18 column on three different
instruments, Agilent 1290, Waters Acquity H- and I-class ranging in dwell volume between 300 and
700 µL, which was larger than the range assessed within the DoE. The same batches of mobile
phases and column were used on all the comparisons to remove any variation attributed to mobile
phase preparation differences and understand the instrument contribution to the study.
The delta values were recorded for each instrument and compared, after which the data was
analysed by PCA and placed in the biplot (Fig. 3). The three instruments are circled within a 95%

- 291 confidence limit. This variation is comparable to what can be expected from the DoE thus indicating
- results obtained on different type of instruments should be comparable.
- 293

3.3 Column Batch to Batch Variability

295 The column batch to batch variability was assessed using six Ascentis Express C18 columns. All

- columns differed by silica, whilst three columns contained the same batch of silane with three
- additional silane batches used for the remaining columns.
- All batch to batch columns were tested using the new protocol with the reduced number of probes
- (i.e. removal of probes susceptible to changes in MeCN) on the same occasion and mobile phases toremove their contribution to any variability.
- 301 The batch to batch observations can be seen encircled within the biplot (Fig. 4) where the scatter
- 302 seen is due to the batch to batch variation as the data was collected on the same day using the same
- instrument and solvents to eliminate their contribution from the results. The results are also in
- 304 keeping with previous batch to batch studies performed on other columns using various protocols
- 305 [9,20-26]. This highlights that any deviation between columns within the biplot is caused by
- 306 selectivity differences, thus it is feasible to distinguish stationary phases which are
- 307 chromatographically similar or dissimilar using this approach.
- 308

309 3.4 Slow Equilibration

- 310 Slow equilibration of certain RP materials when changing from intermediate to low pH has been well
- documented by Snyder *et al.* It is exhibited by a steady retention time drift on changing the mobile
- 312 phase pH. It is believed that approximately 40% of all commercially available stationary phases
- exhibited some form of slow equilibration [27]. The exact mechanism for this phenomenon is not
- known, but it is speculated that with the advent of modern silica with low surface charge, changes in
- 315 pH can require significant time to re-equilibrate which is displayed as retention drift for ionisable
- 316 species.
- A selection of C18 type phases were assessed using the peptides as probes to determine any
- practical constraints for the Peptide RPC Column Characterisation protocol, which utilises both lowand intermediate pH.
- 320 The peptide test mixture was repeatedly injected on a C18 stationary phase using the formic acid
- 321 gradient conditions with consistent results (Fig. 5(A)). The phase was then exposed to the
- ammonium formate gradient conditions, which saw quick equilibration of the peptide mixture within

- duplicate injections, suggesting consistent results can be achieved when moving from low tointermediate conditions.
- 325 However, when the same column was then re-exposed to the formic acid gradient, it failed to yield
- 326 results comparable to those prior to exposure to intermediate pH (slow reduction in retention see
- 327 Fig. 5(B)). The retention times for all peaks had increased, but were consistently decreasing in
- 328 retention between injections.
- 329 Literature has suggested static equilibration can restore a column which exhibits slow equilibration
- 330 [27-29], however, even an overnight static equilibration in low pH conditions failed to restore this
- 331 stationary phase to its original chromatographic retentivity (Fig. 5(C)).
- 332 This phenomenon is not exclusive to just formic acid, but between any switch from intermediate or
- high pH to low pH for ionisable species. The issue would also not be corrected with the use of TFA, as
- the ion pairing effect of this additive would mean dedicated columns would be required which
- would be impractical for column characterisation [30]. Although there are stationary phases which
- have been devised to combat this slow equilibration issue such as the Acquity CSH range of phases
- 337 [31], there are a number of commercially available columns which do exhibit this phenomenon. As
- 338 such, the decision was made to characterise each stationary phase initially using the formic acid
- 339 gradient, before testing at intermediate pH using ammonium formate in order to avoid any
- 340 detrimental retention drifts or the necessity for excessive equilibration times.
- 341

342 3.5 Loadability

- 343 Chromatographic efficiency can be highly susceptible to analyte overloading which contributes to
- poor peak shape, especially for ionisable species. The permissible load before chromatographic
- 345 performance is affected is often substantially lower for peptides and protein separations [31]. A
- 346 significant amount of literature is available on the subject of loadability of basic species, and
- 347 rationalising overloading effects [32-34].
- A stock solution of [L-Asp3]-Bovine GLP-2 (1-15) (1 mg/mL) underwent a series of dilutions using
- 349 DMSO/H₂O (80:20 v/v). Each solution was reproducibly injected onto the Kinetex Evo C18 (150 x 2.1
- mm, 2.6 μm) using the formic acid gradient chromatographic conditions. The low ionic strength of
- formic acid is a worst-case scenario; thus, it was selected to observe the effects of loadability andoverloading.
- 353 Eight dilutions were made in total (four shown for simplicity in Fig. 6) with the resulting
- 354 chromatograms overlaid. The hydrophilic peptide, which has a net charge of +1.2 at pH 2.5,

355 displayed the characteristic "shark fin" peak shape with increased load for a positively charged 356 species in acidic conditions i.e. a typical right-angled front and extreme tailing. The apex of the peak 357 is used to measure the retention time decrease with increased load on the column and as such, 358 would affect the normalised retention times used to calculate delta values. The degree to which this 359 effect occurs could be different for each peptide, hence the load for each peptide must be well 360 described in order to maintain consistent delta values. The peak shape for this study is not of critical concern as it is the retention time which must be consistent, hence the necessity to keep the load 361 362 constant. When devising chromatographic methods, it would be crucial to select mobile phases 363 which can provide better peak shape, with the biopharmaceutical industry typically utilising 364 phosphate salt based systems.

The loading profile could also be different depending on the type of stationary phase used. For example, the Acquity CSH range of stationary phases were optimised to provide improved peak shape and efficiency for basic species to provide linear isotherms, rather than typical Langmuir isotherm (Fig. 6). Similar to the slow equilibration effect, overloading behaviour is thought to be due to variations in the surface charge, where the balanced surface charge of the CSH range counteracts that issue to produce symmetrical, efficient peaks [31].

371 The sample solubility also is critical when it comes to sample load. The net charge of the hydrophilic

peptides at pH 2.5 and 6.5 are +1.1 to +1.2 and -4.7 to -3.7, respectively. The hydrophobic peptides

have a net charge of +2.2 at pH 2.5, whilst at pH 6.5 the net charge is 0.0. A pI of 0.0 may highlight

potential solubility problems and the possibility of precipitation and clogging of the inlet frit.

375 Pressure increases and decreased column performance were observed after prolonged exposure to

intermediate pH conditions. Replacement of the inlet frit and scanning electron microscopy proved

that particulates had been deposited onto the frit. Hence, in order to minimise the likelihood of this

happening the load of the peptides was reduced and inline filters installed between the injector andthe column.

380

381 **3.6 Mitigating Action: Adjustment of the Peptide RPC Column Characterisation Protocol**

382 The ideal scenario from the reduced factorial design is to find that none of the parameters assessed

383 are statistically or practically relevant. However, if there are parameters which are practically

relevant, mitigation must be put in place to reduce the effect.

385 The tolerances for the systematic shift in the gradient, the flow rate and the dwell volume in both

the formic acid and ammonium formate DoE's, and the pH of ammonium formate were all

387 acceptable. Despite being deemed statistically significant, the practical relevance of the temperature

- limits in both DoE's, and the % formic acid in both the aqueous and organic was negligible, thus the
 method for characterisation using formic acid can be considered robust within the stated limits.
- 390 The concentration of acetonitrile in the B solvent for the ammonium formate gradient, however, has
- 391 been shown to be a statistically significant result with practical implications. The decrease in
- 392 concentration had ramifications on four of the six delta values, leading to the removal of the
- 393 sensitive $\Delta(3,1)$, $\Delta(9,1)$ and $\Delta(10,9)$ probes from the characterisation. The removed delta values were
- 394 probes for racemisation, which was still represented by $\Delta(9,1)$ and $\Delta(14,13)$ in formic acid. In
- addition, the integrity of the score plot was also assessed without the affected probes, with
- 396 consistent results for the stationary phases.
- 397 It is believed the robustness will actually be significantly better than shown in this study since the
- 398 mitigations introduced (Table 7) will reduce variation further. The updated Peptide RPC Column
- 399 Characterisation protocol is described in Appendix I.
- 400

401 4 Conclusion

- 402 The robustness of the Peptide RPC Column Characterisation protocol was assessed using reduced
- 403 factorial design and PCA, with various factors systematically altered to deduce the impact on subtle
- 404 changes to the protocol. The results indicate the formic acid gradient can be seen to provide robust
- 405 results within the given tolerances of this study. The ammonium formate gradient, however,
- 406 required mitigation to improve robustness in regards to the concentration of acetonitrile in the B
- 407 solvent. All other parameters assessed did not influence the robustness.
- 408 The sample load for the columns was also determined and the potential impact on switching
- 409 between low and intermediate pH for certain commercially available stationary phases was
- evaluated. Both studies had ramifications for the protocol and mitigation was put in place to addressboth phenomena.
- 412 In addition, the instrument variability on three different LC configurations and column batch to
- 413 batch variability was assessed to ascertain the degree of variability which could be expected. Both
- 414 the LC and column variability were minimal and highlights that the differences between stationary
- 415 phases observed using the Peptide RPC Column Characterisation protocol are caused by
- 416 chromatographic selectivity differences, rather than random error.
- 417 A number of modifications were suggested in order to improve robustness, thus moving forward,
- the results should provide even greater reliability and reproducibility than shown in this study. This
- 419 will offer greater confidence in characterising different stationary phases using peptides as probes

- 420 and distinguishing their selectivity differences, thus allowing complementary stationary phases to be
- 421 selected for method development or similar columns for back-up methods.

422

423 **5 Acknowledgments**

- 424 With thanks to Shimadzu for the supply of the Nexera X2 instrument and mass spectrometer and to
- 425 Agilent, Fortis, Phenomenex, Supelco and Waters for the supply of stationary phases. Finally, special
- 426 thanks to Waters for performing the SEM experiments.

427

428

429 Appendix I: Description of the Peptide RPC Column Characterisation Protocol for 150 x 2.1 mm

430 Column Formats

431 If different column dimensions are employed, it is recommended that the user employs method translation tools [19].

| Parameter | Protocol | |
|-------------------------|---|---------------|
| Mobile Phase | A1: 0.1% (±0.005%) v | /v formic aci |
| | B1: 0.1% (±0.005%) v | /v formic aci |
| | acetonitrile | |
| | | |
| | A2: 20 MM AMMONIC | um formate il |
| | Solution to 900.0 g (± | tu.ui g) wate |
| | B2: Acetonitrile | |
| Stock Buffer | 200 mM Ammonium | formate pH |
| | measure the pH usin | g an appropr |
| Gradient | Time | %B |
| | 0.0 | 4.5 |
| | 40.0 | 50.0 |
| | 42.0 | 50.0 |
| | 42.0 | 20.0 A 5 |
| | 54 1 | 4.5 4 5 |
| Flow Rate | $0.3 \text{ mL/min } (\pm 0.005 \text{ r})$ | mL/min) |
| | 5.5 mz/mm (±0.005 f | |
| Column Oven Temperature | 40 °C (±2 °C) | |
| Autosampler Temperature | Recommend 10 °C | |
| Wavelength | 215 nm Ref 360 nm (| band width 8 |
| MS | Selected Ion Monitor | ring (z=2) |
| Dwell Volume | 100 – 500 μL | |
| Sample Concentration & | 0.25 mg/mL in DMSC | D/H₂O (80:20 |
| Diluent | | |

432

433 The run order is of great importance and should be first assessed at low pH then intermediate pH.

| Test | Peptide | Dontido | Patienale | | Lood (ug) |
|---------|---------|------------------------------------|-------------------------------|------|-----------|
| Mixture | Number | Peplide | Rationale | my z | Load (µg) |
| | 1 | Bovine GLP-2 (1-15) | Original sequence | 820 | 0.250 |
| | 8a | [Met(O)10]-Bovine GLP-2 (1-15) | Oxidation | 828 | 0.250 |
| TM1 | 9 | [L-Asp11]-Bovine GLP-2 (1-15) | Deamidation / Negative charge | 820 | 0.125 |
| | 13 | Bovine GLP-2 (16-33) | Original sequence | 1069 | 0.250 |
| | 15 | [lle26,Leu27]-Bovine GLP-2 (16-33) | Switch in AA sequence | 1069 | 0.075 |
| | 8a | [Met(O10]-Bovine GLP-2 (1-15) | Oxidation | 828 | 0.250 |
| TN 42 | 13 | Bovine GLP-2 (16-33) | Original sequence | 1069 | 0.250 |
| TIVIZ | 15 | [lle26,Leu27]-Bovine GLP-2 (16-33) | Switch in AA sequence | 1069 | 0.075 |
| | 24 | [Tyr26]-Bovine GLP-2 (16-33) | Phenolic effect | 1076 | 0.125 |

| | 26 | [Lys26]-Bovine GLP-2 (16-33) | Positive charge | 1094 | 0.250 |
|-----|----|--------------------------------------|---------------------------------------|------|-------|
| | 8a | [Met(O)10]-Bovine GLP-2 (1-15) | Oxidation | 828 | 0.250 |
| | 13 | Bovine GLP-2 (16-33) | Original sequence | 1069 | 0.250 |
| TM3 | 14 | [D-Ser16]-Bovine GLP-2 (16-33) | Racemisation | 1069 | 0.125 |
| | 15 | [Ile26,Leu27]-Bovine GLP-2 (16-33) | Switch in AA sequence | 1069 | 0.075 |
| | 16 | [L-Asp21,Gly22]-Bovine GLP-2 (16-33) | Loss of aromatic group / Racemisation | 1024 | 0.125 |

| Test Mixture | Delta | Measured in Formic Acid | Measured in Ammonium Formate |
|--------------|----------|-------------------------|------------------------------|
| | ∆(8a,1) | \checkmark | |
| TM1 | Δ(9,1) | \checkmark | |
| | Δ(15,13) | | \checkmark |
| TM2 | ∆(24,13) | | \checkmark |
| TIVIZ | Δ(26,13) | \checkmark | \checkmark |
| TM2 | Δ(14,13) | \checkmark | |
| 11013 | Δ(16,13) | \checkmark | |

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- 526

527 7 Figure Captions

- 528 Fig. 1. Coefficient plots for each delta response for the different variables based on formic acid data.
- Fig. 2. Coefficient plots for each response for the different variables based on ammonium formatedata.
- 531 Fig. 3. A biplot of the columns used to develop the Peptide RPC Column Characterisation protocol in
- addition to the instrument variability (yellow triangles) and the robustness (light blue diamonds)
- results to assess the variability of the protocols using the 11 delta value responses.
- Fig. 4. Biplot of the batch to batch reproducibility performed on six Ascentis Express C18 columns on
- 535 the reduced number of delta values, indicating the variability which could be expected between
- 536 different batches of silica and silanes. Fig. 4 is mirrored in comparison to Fig. 3 due to one of the
- 537 features of PCA where a very small difference in data often result in an axis being mirrored. The
- 538 pattern and groupings are, however, the same in both figures.
- 539 Fig. 5. Chromatograms demonstrating the effect of slow equilibration. (A) the original chromatogram
- 540 in formic acid prior to exposure to ammonium formate at intermediate pH, (B) re-evaluation of the
- 541 same column in formic acid after exposure to intermediate pH (C) re-evaluation after a static
- equilibration in formic acid to attempt to restore the original chromatography
- 543 Fig. 6. Overlaid peak profile of the hydrophilic peptide [D-Asp3]-Bovine GLP-2 (1-15) of differing
- 545 characteristic Langmuir's isotherm with significant peak tailing with increased sample load

The peptides used to calculate the delta values in (A) formic acid and (B) ammonium formate, with the accompanying rationale and typical Δt_g and Δt_g^* for the Kinetex Evo C18 under the nominal conditions

(A)

| Change | Delta | Peptide Number | Peptide | Rationale | Δt_g | ∆t _g * |
|-----------------------------------|-------------|----------------|--------------------------------|--|--------------|-------------------|
| $[Met10] \rightarrow [Met(0)10]$ | Λ(8a,1) | 8a | [Met(O)10]-Bovine GLP-2 (1-15) | Oxidation | -3.840 | -0.286 |
| [| 2(00)2) | 1 | [Met10]-Bovine GLP-2 (1-15) | endation | | 01200 |
| [Acn11] \ [Acn11] | A(0,1) | 9 | [L-Asp11]-Bovine GLP-2 (1-15) | Increase in pagative charge | 0 720 | 0.054 |
| $[L-ASN11] \rightarrow [L-ASP11]$ | Δ(9,1) | 1 | [L-Asn11]-Bovine GLP-2 (1-15) | increase in negative charge | 0.729 | 0.054 |
| | A/1 A 1 2 \ | 14 | [D-Ser16]-Bovine GLP-2 (16-33) | Charles an anniantion | 0 171 | 0.012 |
| $[L-Ser16] \rightarrow [D-Ser16]$ | Δ(14,13) | 13 | [L-Ser16]-Bovine GLP-2 (16-33) | Steric - racemisation | 0.171 | 0.013 |
| | A/1C 12) | 16 | [Gly22]-Bovine GLP-2 (16-33) | Aromatic – removal of | F 202 | 0.205 |
| $[PREZZ] \rightarrow [GIYZZ]$ | Δ(16,13) | 13 | [Phe22]-Bovine GLP-2 (16-33) | aromatic group | -5.302 | -0.395 |
| | A/26 42) | 26 | [Lys26]-Bovine GLP-2 (16-33) | to construct to constitution of the second | 7.644 | 0.566 |
| $[Leu2b] \rightarrow [Lys2b]$ | Δ(26,13) | 13 | [Leu26]-Bovine GLP-2 (16-33) | increase in positive charge | -7.611 | -0.566 |

(B)

| Change | Delta | Peptide Number | Peptide | Rationale | Δtg | Δt_g^* |
|---|---------------|----------------|------------------------------------|-----------------------------|---------|----------------|
| [Acp2] -> [D Acp2] | A(2 1) | 3 | [D-Asp3]-Bovine GLP-2 (1-15) | Storic recomisation | 0.064 | 0 002 |
| [[-Ash2] -> [D-Ash2] | Δ(3,1) | 1 | [L-Asp3]-Bovine GLP-2 (1-15) | | 0.004 | 0.003 |
| [Acn11] -> [Acn11] | A(0 1) | 9 | [L-Asp11]-Bovine GLP-2 (1-15) | Increase in pogative charge | 1 200 | 0.063 |
| [L-ASIII] -> [L-ASPII] | $\Delta(9,1)$ | 1 | [L-Asn11]-Bovine GLP-2 (1-15) | increase in negative charge | 1.599 | 0.005 |
| $[1 \land cp11] \rightarrow [D \land cp11]$ | A(10.0) | 10 | [D-Asp11]-Bovine GLP-2 (1-15) | Storic recomisation | 0 5 9 1 | 0.026 |
| [L-ASPII] -> [D-ASPII] | Δ(10,9) | 9 | [L-Asp11]-Bovine GLP-2 (1-15) | | -0.581 | -0.020 |
| [Lou26 Ho27] -> [Ho26 Lou27] | A/1E 12) | 15 | [Ile26,Leu27]-Bovine GLP-2 (16-33) | Steric – switch in amino | 1 052 | 0.047 |
| | Δ(15,15) | 13 | [Leu26,Ile27]-Bovine GLP-2 (16-33) | acid sequence | 1.055 | 0.047 |
| $[1 \circ u^2 6] \rightarrow [T_v u^2 6]$ | A(21 12) | 24 | [Tyr26]-Bovine GLP-2 (16-33) | Aromatic and phenolic – | 2 050 | 0 170 |
| | Δ(24,15) | 13 | [Leu26]-Bovine GLP-2 (16-33) | addition of phenolic group | -3.959 | -0.179 |
| $[1 \circ u^2 6] \rightarrow [1 \circ u^2 6]$ | A(26 12) | 26 | [Lys26]-Bovine GLP-2 (16-33) | Increase in positive charge | 6 526 | 0.205 |
| | ۵(20,13) | 13 | [Leu26]-Bovine GLP-2 (16-33) | increase in positive charge | -0.520 | -0.295 |

Peptide sequence of Bovine GLP-2

| Amino Acid # | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 | 31 | 32 | 33 |
|--------------|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Bovine GLP-2 | н | А | D | G | S | F | S | D | Е | М | N | Т | V | L | D | S | L | A | Т | R | D | F | I | N | w | L | I | Q | Т | К | I | Т | D |

The peptide test mixtures, rationale and sample load

| Test | Peptide | A | | | |
|--------------|---------|--------------------------------------|--|-----------|--|
| Mixture | Number | Peptide | Rationale | LUau (µg) | |
| | 1 | Bovine GLP-2 (1-15) | Original sequence | 0.250 | |
| | 8a | [Met(O)10]-Bovine GLP-2 (1-15) | Oxidation | 0.250 | |
| | 9 | [L-Asp11]-Bovine GLP-2 (1-15) | Deamidation / Negative charge | 0.125 | |
| TM1 | 13 | Bovine GLP-2 (16-33) | Original sequence | 0.250 | |
| | 15 | [lle26,Leu27]-Bovine GLP-2 (16-33) | Switch in AA sequence | 0.075 | |
| | 16 | [L-Asp21,Gly22]-Bovine GLP-2 (16-33) | Loss of aromatic group / Racemisation | 0.125 | |
| | 24 | [Tyr26]-Bovine GLP-2 (16-33) | Aromatic / Phenolic effect | 0.125 | |
| | 8a | [Met(O10]-Bovine GLP-2 (1-15) | Oxidation | 0.250 | |
| | 9 | [L-Asp11]-Bovine GLP-2 (1-15) | Deamidation / Negative charge | 0.125 | |
| TN 40 | 10 | [D-Asp11]-Bovine GLP-2 (1-15) | Deamidation / Racemisation / Negative charge | 0.250 | |
| TIVIZ | 13 | Bovine GLP-2 (16-33) | Original sequence | 0.250 | |
| | 15 | [lle26,Leu27]-Bovine GLP-2 (16-33) | Switch in AA sequence | 0.075 | |
| | 26 | [Lys26]-Bovine GLP-2 (16-33) | Positive charge | 0.250 | |
| | 1 | Bovine GLP-2 (1-15) | Original sequence | 0.250 | |
| | 3 | [D-Asp3]-Bovine GLP-2 (1-15) | Racemisation | 0.125 | |
| TN 40 | 8a | [Met(O)10]-Bovine GLP-2 (1-15) | Oxidation | 0.250 | |
| 11013 | 13 | Bovine GLP-2 (16-33) | Original sequence | 0.250 | |
| | 14 | [D-Ser16]-Bovine GLP-2 (16-33) | Racemisation | 0.125 | |
| | 15 | [lle26,Leu27]-Bovine GLP-2 (16-33) | Switch in AA sequence | 0.075 | |

Operating parameters investigated in the DoE, including the nominal conditions (0 level) and the expected deviation (±1 levels)

| Parameter | -1 Level | 0 Level | +1 Level |
|--|----------|---------|----------|
| Column Temperature (°C) ¹ | 38 | 40 | 42 |
| Flow Rate (mL/min) ¹ | 0.295 | 0.300 | 0.305 |
| Systematic Shift in Gradient (%B) ¹ | -0.4 | 0.0 | +0.4 |
| Dwell Volume (μL)¹ | 100 | 300 | 500 |
| Volume Formic Acid in Aqueous (% $v/v)^2$ | 0.095 | 0.100 | 0.105 |
| Volume Formic Acid in Organic (% v/v) ² | 0.095 | 0.100 | 0.105 |
| pH of Ammonium Formate ³ | 6.39 | 6.45 | 6.51 |
| MeCN Composition in B (%) ³ | 79.9 | 80.0 | 80.1 |

¹ Measured using both the formic acid and ammonium formate gradients

² Measured in just the formic acid gradient

³ Measured in just the ammonium formate gradient

| Experiment Name | Column Temperature (°C) | Systematic Shift in Gradient (%B) | Flow Rate (mL/min) | Volume of Formic Acid in Aqueous (% v/v) | Volume of Formic Acid in Organic (% v/v) | Dwell Volume (μL) | | |
|--------------------|-------------------------------|---|-----------------------|--|--|----------------------|--|--|
| N1 | - | - | - | + | + | + | | |
| N2 | + | - | - | - | - | + | | |
| N3 | - | + | - | - | + | - | | |
| N4 | + | + | - | + | - | - | | |
| N5 | - | - | + | + | - | - | | |
| N6 | + | - | + | - | + | - | | |
| N7 | - | + | + | - | - | + | | |
| N8 | + | + | + | + | + | + | | |
| N9 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| N10 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| N11 | 0 | 0 | 0 | 0 | 0 | 0 | | |

The DoE design for the formic acid gradient, including the different experimental levels. N1-8 vary the ±1 levels whilst N9-11 are the nominal central conditions used to assess reproducibility

Column Systematic pH of Stock MeCN Flow Rate Dwell Volume Experiment Composition in B Temperature Shift in Ammonium (mL/min) (µL) Name Gradient (%B) (°C) (%) **Formate Solution** N1 --+ + + -N2 + --_ -+ N3 -+ -_ + -N4 + + + ---N5 _ -+ + -N6 + + + ---N7 -+ + --+ N8 + + + + + + N9 0 0 0 0 0 0 N10 0 0 0 0 0 0 N11 0 0 0 0 0 0

The DoE design for the ammonium formate gradient, including the different experimental levels. N1-8 vary the ±1 levels whilst N9-11 are the nominal central conditions used to assess reproducibility

Mitigation to increase the robustness and reliability of the Peptide RPC Column Characterisation protocol, including the rationale for each action

| Mitigating Action | Rationale |
|---|---|
| Prepare solvents by weight rather than volume | There are greater errors associated with glassware, thus more reproducible mobile phases can be prepared by weight. |
| Assess the accuracy of the pipette before each use | To ensure the pipette can accurately dispense formic acid. |
| Measure the pH of the stock ammonium formate solution (6.39-6.51). | The salt container should be carefully capped to avoid loss of ammonia which can result in a lower pH. In addition, measures should be put in place to reduce the effect of hygroscopicity (i.e. use a desiccator, avoid using salt which has significant clump formation). |
| Use 100% MeCN instead of 20 mM ammonium formate in MeCN/H ₂ O (80:20 w/w) in the B solvent combined with a corresponding change in gradient slope. | The loss of acetonitrile in the B solvent causes significant differences for certain delta values. Changing to 100% MeCN addresses this problem. Even with the change in ammonium formate concentration, the results are still better with this change. |
| Remove $\Delta(3,1)$, $\Delta(9,1)$ and $\Delta(10,9)$ measured in ammonium formate | Improve the robustness of the procedure as they were sensitive to changes in MeCN. Although these delta values had some influence within the loading plot, they can be removed with minimal effect on the score plot and the remaining probes cover the range of interactions which should be investigated. |
| Use reference peptides in each test mixture | Allows retention times to be normalised for direct comparison between different batches of solvent, different analysts and removes the contribution from the dwell volume and column volume. |
| Each test mixture should contain the two peptides used to create the delta value | Removes any random injection to injection variation of retention time in addition to fluctuations in temperature or mobile phase composition. |
| Characterise the stationary phase in formic acid prior to ammonium formate | Removes the effect of slow equilibration and retention drifts. |
| Use a specific load for each peptide | Changing the load on the column can cause changes in retention which will impact on the delta value produced. |
| Assess the actual temperature of the column | Column oven designs can create as much as ±5 °C difference [35,36], which can impact significantly on selectivity. Obtaining the actual temperature of the oven enables the end-user to adjust the temperature appropriately for direct comparisons of different column oven designs. |

| Solvent bottles should be stored correctly with a cap and stored at 5 °C. When stored on the system, a good vapour valve should be installed | Storage of capped solvent bottles in the fridge reduces microbial growth and evaporation, whilst the vapour valve prevents dust / microbes entering the chromatographic system and acetonitrile losses. |
|--|---|
| Reduce load of the peptides and add an inline filter to induce mixing and trapping of particles prior to column | The hydrophobic peptides have a pl of 0.0 in ammonium formate at pH 6.45, which could cause solubility issues such as precipitation on the frit at the head of the column. This can cause bad peak shapes, increased pressures and reduced column lifetime. By reducing the load and introducing an inline filter, it will reduce the risk for precipitation and increase the robustness of the protocol. |
| Use a reference column to act as a system suitability test | This provides a baseline for the instrument to detect any differences in any asymmetrical shifts in the gradient (as well as other problems). |











