

1                    **Determining the Impact of VAWT Farm**  
2                    **Configurations on Power Output**

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6                    **Abstract**

The potential for significant increases in VAWT farm performance compared to isolated turbines is well documented and could potentially allow VAWTs to compete in the Wind Energy market. In order to determine the most effective layout based upon both average turbine efficiency and efficient use of area, different configurations which have been shown to offer improved performance such as the 'School of Fish' design alongside new derivative and hybrid designs are compared and optimised using 2D URANS (Unsteady Reynold's Averaged Navier Stokes) CFD simulations. The results show that on a farm scale, the optimised staggered configuration provides the highest array power coefficient for a single wind direction, with a farm design proposed in order to achieve maximum efficiency and results showing increases in array power of up to 80%. Fluid flow mechanisms which cause this improvement are also identified.

7                    *Keywords:* Vertical Axis Wind Turbine (VAWT), 2D CFD, Wind Farm,  
8                    Power Coefficient

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9                    **Highlights**

- 10                    1. Staggered farms offer the highest performance compared to other  
11                    tested configurations  
12                    2. Power increases of  $\geq 80\%$  over isolated turbines can be found in ideal  
13                    staggered design  
14                    3. Mechanism combines Venturi effect, reduction of normal flow, and  
15                    wake contraction.

16 **Nomenclature**

17	$\lambda$	Tip Speed Ratio
18	$\rho$	Density ( $\text{Kg m}^{-2}$ )
19	$\sigma$	Solidity
20	$\tau$	Rotational Speed (Radians/sec)
21	$\tau$	Torque (Nm)
22	$b$	Interference Parameter
23	$C_{PA}$	Array Power Coefficient
24	$C_P$	Power Coefficient
25	$D$	Diameter
26	$P$	Power
27	$S$	Cross-sectional Area
28	$V$	Velocity
29	$V_\infty$	Freestream Velocity

30 **1. Introduction**

31 With the increasing development of wind energy projects, there has  
32 been consequential research into novel solutions and in some cases re-  
33 viewing older studies and revitalising them using new technology and  
34 techniques. VAWTs fall into the latter, with a considerable amount of  
35 research conducted in the 1960s and 70s until it was determined that  
36 HAWTs (Horizontal Axis Wind Turbines) were the more suitable option  
37 with higher efficiencies and lifetimes. During the 21<sup>st</sup> century however,  
38 there has been a renewed interest, particularly spurred by works which  
39 have shown significant increases in performance when VAWTs are con-  
40 sidered in the farm context [1][2][3]. Given the increasing sizes of farms,

41 the farm context is becoming a larger influence on wind farm develop-  
42 ments. This is enhanced further by the small spacing between HAWTs  
43 which is demanded by industry and government subsidies, often as little  
44 as 7 diameters between turbines [4] when studies have shown that this  
45 can cause severe detriments to farm performance, and even spacings as  
46 large as 12D result in a 40% reduction in performance [5]. In contrast, it  
47 has been shown that with good farm design, such small and even smaller  
48 spacings for VAWTs are not just viable but in fact can be desirable, po-  
49 tentially offering a magnitude increase in power per area compared to an  
50 optimised HAWT farm [6]. Though it has also been debated whether this  
51 is as valuable as it has been portrayed, given that the space between wind  
52 turbines in Onshore wind farms is often used for other purposes such as  
53 farming and so is not merely turned into dead space by the farm's exist-  
54 tence. For terrain limited farms such as offshore and roof-mounted instal-  
55 lations there may still be some merit however.

56 While much research has been conducted on VAWT farm configura-  
57 tions in the past, each piece has had its limitations. Much of the work  
58 relies on simplified point dipole models which cannot fully capture the  
59 flow [1][7]. Alternatively an experimental site is used however it could be  
60 argued that the value of a single site is limited as results will be dictated  
61 by the wind conditions during testing, which may not be representative or  
62 apply to other locations. The majority of this research also uses very low  
63 wind speeds of 3m/s which would not be applicable to industrial farms  
64 where most of the power generated will be from wind speeds in the 10m/s  
65 range [8]. Other work using CFD such as Zanforlin and Nishino [3] only  
66 considers very small numbers of turbines which prevents the results from  
67 being applied to larger farms. There are also very few comparison stud-  
68 ies, and where these exist there is a lack of cross-comparability between  
69 studies, hence this work compiles these different configurations into one  
70 methodology based upon which aspects provide the most accurate results  
71 without causing inoperable time requirements[9], and designed to be in-  
72 dustrially applicable by using a relevant wind speed.

73 Several major farm designs exist, with the baseline being the grid for-  
74 mation. Alongside this there are configurations which are reported to im-  
75 prove performance, these include the Staggered, School of Fish, and 2 and  
76 3 turbine Clustered designs as shown in figure 1. While combining the re-

77 sults of previous literature suggests that a 3 turbine cluster design should  
78 be the highest performing, it ignores that the 3 turbine cluster is a special  
79 case of the Staggered design with a less efficient use of space due to the  
80 larger distance between clusters, and so it is necessary to question whether  
81 other special cases exist. Given the mechanisms of fluid flow determined  
82 by Zanforlin [3], it is strongly suggested that the high turbine density used  
83 by the clustered design can also be applied to the staggered design, cre-  
84 ating an even more efficient array. Genetic algorithm led designs are also  
85 reported to improve performance in HAWTs [10] and VAWTs [11] how-  
86 ever due to the high computational complexity this was not possible to  
87 implement when combined with the also computationally complex CFD  
88 methodology used.

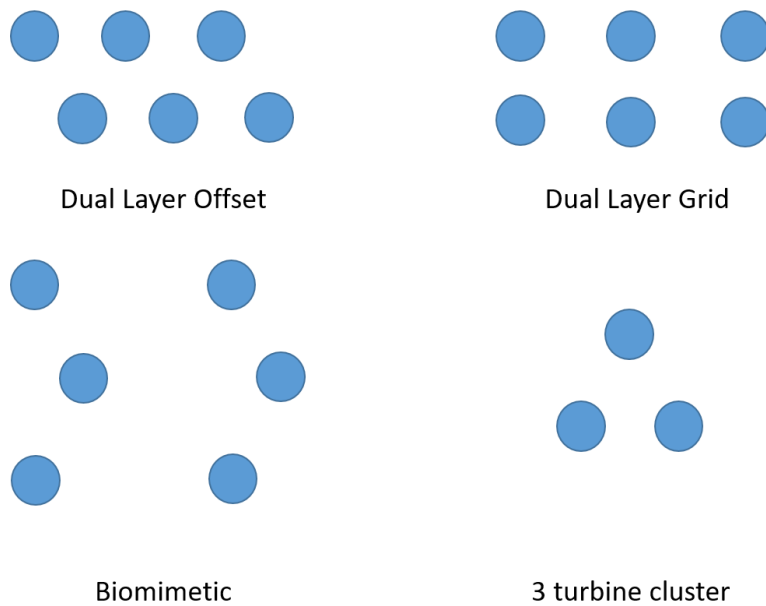


Figure 1: Examples of Configurations for a 6 Turbine Farm

89 The turbine design considered was a genetic algorithm optimised de-  
90 sign by Carrigan using a NACA0023.7 aerofoil with 3 blades and a very  
91 high solidity of 0.883 [12]. As a fully dynamic system was used rather  
92 than a fixed tip-speed ratio as in most other studies, the high solidity al-  
93 lowed for a self-starting turbine [13]. While the high solidity decreases

94 off-design performance in exchange for higher efficiency [14], this is off-  
 95 set by the higher thickness of the aerofoil which increases performance  
 96 in this area [13]. While such a high solidity is often considered as inap-  
 97 plicable to the real world, there are examples of commercially available  
 98 turbines with even higher solidity values [15]. For sake of comparison,  
 99 a more typical solidity turbine with  $\sigma=0.120$  was also produced in order  
 100 to consider any possible effects on the results. The system was chosen to  
 101 use a chord length of 1m and diameter of 3.4m, which corresponded to  
 102 a 10m blade length and VAWT height in the 2D CFD, as this provided a  
 103 good intermediary between smaller scale VAWTs, such as the Windspire,  
 104 and industrial scale turbines. For the low solidity turbine, the same aero-  
 105 foil and measurements were used with the exception of a chord length of  
 106 0.136m. Using this turbine geometry, a set of farm geometries were pro-  
 107 duced so that their performances could be analysed using CFD.

## 108 2. Methodology and Validation

### 109 2.1. Model Set-up

Table 1: CFD Solver Settings

Time	Transient
2D Space	Planar
Pressure-Velocity Coupling	PISO
Gradient	Least Squares Cell Based
Pressure	Second Order
Momentum	Second Order Upwind
Turbulent Kinetic Energy	QUICK
Specific Dissipation Rate	Second Order Upwind
Intermittency	Second Order Upwind
Momentum Thickness Re	Second Order Upwind
Transient Formulation	Second Order Implicit

110 All simulations completed for this study were performed in ANSYS  
 111 Fluent 18.2. Previous literature by Lanzafame and Danao has shown that  
 112 the Transition SST turbulence model is a core requirement for achieving  
 113 the most accurate results for VAWT performance when using URANS for  
 114 2D CFD [9][16], outperforming SST k-  $\omega$  as used in many other studies

115 [27][17][18]. A Pressure based solver was used and all other settings are  
116 described as in table 1.  
117

## 118 2.2. Boundary Conditions

Table 2: Boundary Condition Settings

Condition	Settings
<b>Inlet</b>	Velocity inlet with a velocity of 10 m/s. Turbulent Intensity and Turbulent Viscosity Ratio remained at their defaults of 5% and 10 respectively.
<b>Outlet</b>	Pressure outlet with a gauge pressure of 0 Pa as there is no forced pressure differential across the system.
<b>Symmetry</b>	Applied to side walls
<b>Domain</b>	Air at default settings (Sea Level).
<b>Walls</b>	The blades were modelled as rigid bodies as the Fluid-Structural interactions would be insignificant.

## 119 2.3. Meshing

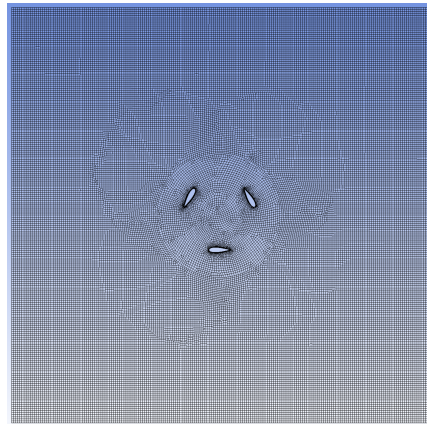
120 Production of a good mesh is essential for an accurate and functional  
121 simulation as a coarse mesh can provide inaccurate results and a mesh  
122 with highly skewed cells can cause the results to become divergent.

123 The ‘Proximity and Curvature’ size function was chosen in order to  
124 ensure a high mesh density around the blades of the turbine. Relevance  
125 centre was set to medium, and ‘Faces and Edges’ was chosen as the Prox-  
126 imity Size Function Sources.

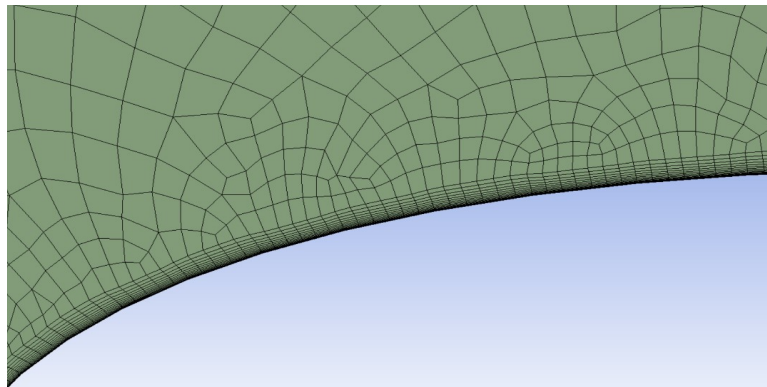
127 Global maximum face size was chosen to be 0.10m and an additional  
128 maximum face sizing of 0.04m was applied to the rotating core regions.  
129 An element size of 0.01m was also applied to the blade surfaces, with this  
130 set to 0.001m instead for the low solidity turbine to approximately match  
131 the same number of surface elements.

132 Inflation was applied to the turbine blades with a maximum of 16  
133 layers allowed. This provides a finer, more structured mesh around the

134 blades, which is necessary as flow in these regions will have the greatest  
135 effect on the system. The inflation layers can be seen in figure 3.



*Figure 2: Mesh and Domain Geometry*



*Figure 3: Inflation layers along the VAWT blade surface*

136 This method resulted in some poor characteristics in the mesh with a  
137 max Aspect Ratio (AR) of 55.2, however these cells occurred in the bound-  
138 ary layer so the high AR is expected and adequate for good overall perfor-  
139 mance. Outside of the boundary layer the maximum AR was less than  
140 6.53 as shown by the mesh metrics available in ANSYS Meshing.

141 The maximum skewness produced was 0.77824 however when the mesh  
142 was imported to fluent and the “Improve quality” function was run it en-

143 abled a reduction to 0.248 which is excellent. The average Skewness was  
 144 0.06 which is excellent and  $Y^+$  values were less than 1 which is necessary  
 145 for good performance with SST models. Orthogonal quality was a mini-  
 146 mum of 0.27 which is acceptable and an average of 0.988.

### 147 2.3.1. *Dynamic Mesh*

148 The walls between the rotating cores and the rest of the control domain  
 149 were set as interfaces to allow the fluid to flow through them and thus  
 150 rotate the turbine and produce a wake downstream.

151 The Dynamic Mesh methods of Smoothing, Layering, and Remeshing  
 152 were enabled, where the Smoothing method was set to Diffusion, Layering  
 153 was left at default, and for Remeshing the minimum and maximum length  
 154 scales were set to the original value and maximum skewness was set to 0.7.

155 The rotating cores were then setup using the 6DOF (6 Degrees of Free-  
 156 dom) solver in Fluent where only one degree of freedom was allowed: ro-  
 157 tation around the  $Z$  axis.

### 158 2.4. *Power Calculations*

Carrigan [12] established a method for calculating average torque from  
 the data collected in FLUENT, where  $t_0$  is the beginning of the rotation  
 with minimum torque, and  $t_n$  is the data point before the next point of  
 minimum torque, the end of the revolution [12]:

$$\tau_{avg} = \frac{\Delta t}{2(t_n - t_0)} \left[ \tau(t_0) + 2 \sum_{i=1}^{n-1} \tau(t_i) + \tau(t_n) \right] \quad (1)$$

However during testing this tended towards zero as in steady state the  
 oscillation of torque will tend around zero as the average rotational speed  
 is no longer increasing, and a more suitable equation was devised:

$$\tau_{avg} = \frac{\Delta t}{2(t_n - t_0)} \left[ 2 \sum_{i=1}^n (\tau(t_i) - \tau(t_0)) \right] \quad (2)$$

159 The individual torque values  $\tau_i$  were recorded using the moment re-  
 160 port function in FLUENT, this produced a list of the torque values at



161 each interval which was then input into MATLAB to calculate the aver-  
 162 age power. A time step of 0.005 seconds was set during this data collec-  
 163 tion stage and this was run for at least 120 steps until 3 peaks of equal  
 164 magnitude were seen, which enabled the capture of a full revolution of  
 165 the slowest rotating turbines. The  $\omega$  values were output by FLUENT's dy-  
 166 namic mesh utility.

167 Mechanical power only on the turbine was used and losses due to fric-  
 168 tion and windup were not considered.

The power values for all turbines in the farm were then averaged to  
 produce  $P_{avg}$ . This was then divided by the power of the airflow avail-  
 able to an isolated turbine as calculated using equation 3.2 to produce the  
 Array Power Coefficient:

$$P_{avg} = \frac{1}{n} \sum_{i=1}^n \tau \omega \quad (3)$$

$$P_{wind} = \frac{1}{2} \rho V_{\infty}^3 S \quad (4)$$

$$C_{AP} = \frac{P_{avg}}{P_{wind}} \quad (5)$$

169 It is important to use the array power coefficient with  $P_{wind}$  rather than  
 170 localising to each turbine in order to ensure comparability, as the local ve-  
 171 locity will vary for following turbines due to wake effects of leading tur-  
 172 bines, and so averaging the local  $C_p$  would provide incomparable results.

## 173 2.5. Verification

### 174 2.5.1. Residuals and Convergence Criteria

175 Residuals are measures of the difference between one iteration and the  
 176 previous iteration and act as a measure of convergence. For the first 40  
 177 seconds of simulation time, the amount of time required to ensure the tur-  
 178 bines had reached steady state, the convergence criteria required residuals  
 179 to be set to  $10^{-3}$  for 6+ turbine simulations and  $10^{-4}$  for smaller farms to  
 180 ensure good accuracy while allowing for reasonable compute times. After  
 181 this the size of the time steps were reduced in order to ensure greater ac-  
 182 curacy and the residual requirement for convergence was reduced to  $10^{-4}$   
 183 for simulations of 6 turbines and above, and  $10^{-5}$  for any simulations with  
 184 fewer than 6 turbines.

185 *2.5.2. Mesh Analysis*

186 An appropriate Mesh Density, measured by cell count must be found  
187 in order to ensure a good balance between computational time and er-  
188 ror from the converged value. For a single turbine it was found that  
189 45,000 cells were sufficient to minimise error and for a 4 turbine simu-  
190 lation 160,000 cells was enough to reach the converged values. Hence it  
191 can be concluded that the meshes used should require at least 40,000 cells  
192 per turbine.

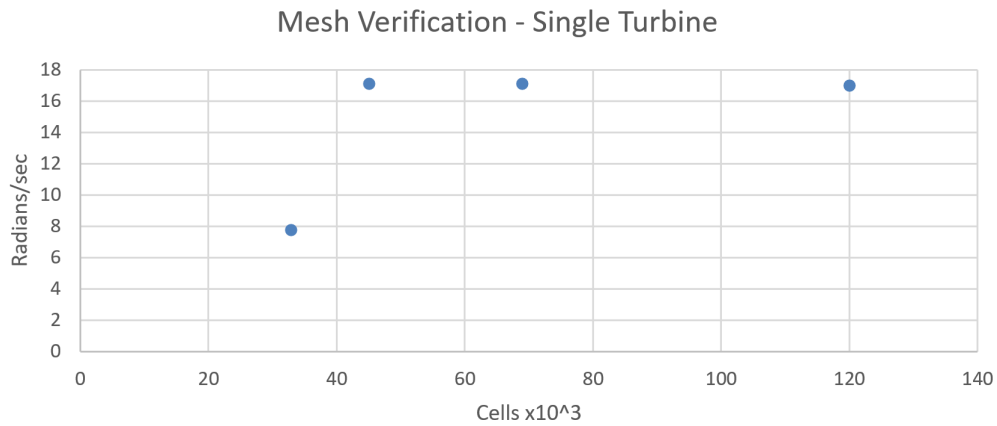


Figure 4: Single Turbine Mesh Verification - Cells Against Rotation Speed

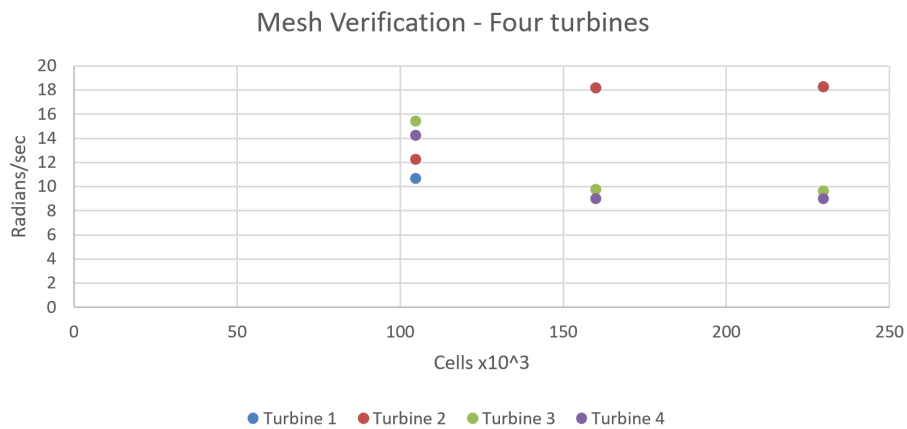


Figure 5: Four Turbines Mesh Verification - Cells Against Rotation Speed

193 The  $y^+$  values were also set to be less than 1, a requirement for good  
194 performance of the Transition SST model.

## 195 2.6. Domain Dimensions

### 196 2.6.1. Rotating Core

197 A rotating core was placed around the turbine to allow for rotation in  
198 the dynamic mesh, and so the VAWT will spin as a result of the airflow.  
199 The rotating core was chosen to have a 5m diameter, or 1.5D, as suggested  
200 by Rezaeiha [19].

### 201 2.6.2. Inlet Distance

202 An inlet distance of 3D giving a power coefficient of 0.252, an over-  
203 estimate of 2.5% over the converged condition, was considered sufficient.

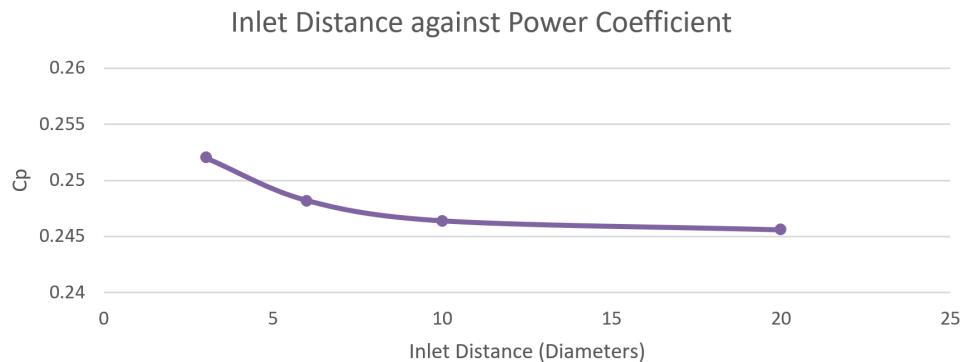


Figure 6: Effect of Inlet Distance from centre of turbine on Power Coefficient

204

### 205 2.6.3. Outlet Distance

206 It was found that an outlet distance of 6D was sufficient, giving a power  
207 coefficient of 0.254, an overestimate of 1.6% compared to the converged  
208 value.

### 209 2.6.4. Domain Width

210 Lanzafame used a width of 4D for a single turbine by using symmetry,  
211 or 2D on either side from the centre of the turbine [9]. Due to the interfer-  
212 ence caused by additional turbines a width of 6D, or 3D from the centre

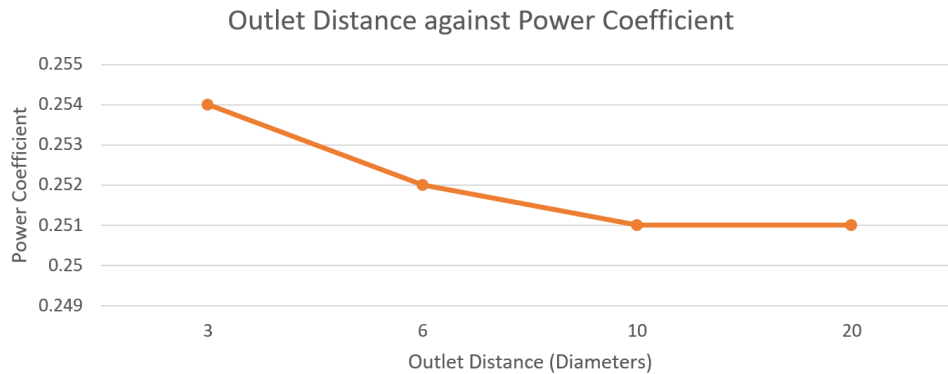


Figure 7: Effect of Outlet Distance from centre of turbine on Power Coefficient

213 of the turbine when farms were analysed, was chosen with symmetry im-  
 214 plemented.

### 215 2.7. Compliance with Betz's Law

216 Due to the nature of the work in trying to increase the farm power  
 217 coefficients to very high levels it is necessary to ensure that all turbines  
 218 comply with Betz's limit which states that a Wind Turbine cannot remove  
 219 more than 59.26% of the power from an airflow. [20]

220 This was measured by placing a horizontal line, of equal length to the  
 221 diameter of the turbine, 5m ahead of the centre of each wind turbine to  
 222 measure V1, and another line 5m behind for V2, then measuring the veloc-  
 223 ities along these lines. The velocity was then used to calculate the power  
 224 input and output from the turbine and it was found that all cases were  
 225 compliant with Betz's limit with a max value of 0.5923. Note that this  
 226 does not describe the efficiency of the turbine itself, only the power lost  
 227 from the airflow as a result of the turbine's interference.

### 228 2.8. Validation

#### 229 2.8.1. Validation of 2D model

230 The simplicity of the H-Bladed Darrieus VAWT design allows for a  
 231 2D model to be produced without compromising the model, for exam-  
 232 ple blade rotation is retained alongside the consequent wake effects. Lan-  
 233 zafame compared CFD model procedures to determine which would pro-  
 234 duce the most valid results and found that the Transition SST model pro-  
 235 vided good agreement with experimental data for a NACA 0015 based

236 H-Darrieus Turbine [9]. Other studies have also shown good agreement  
 237 which suggests the 2D model is valid across different turbine designs and  
 238 so experimental validation for the exact turbine design used is unneces-  
 239 sary [17][21][22].

240 *2.8.2. Validation of Proposed Methodology*

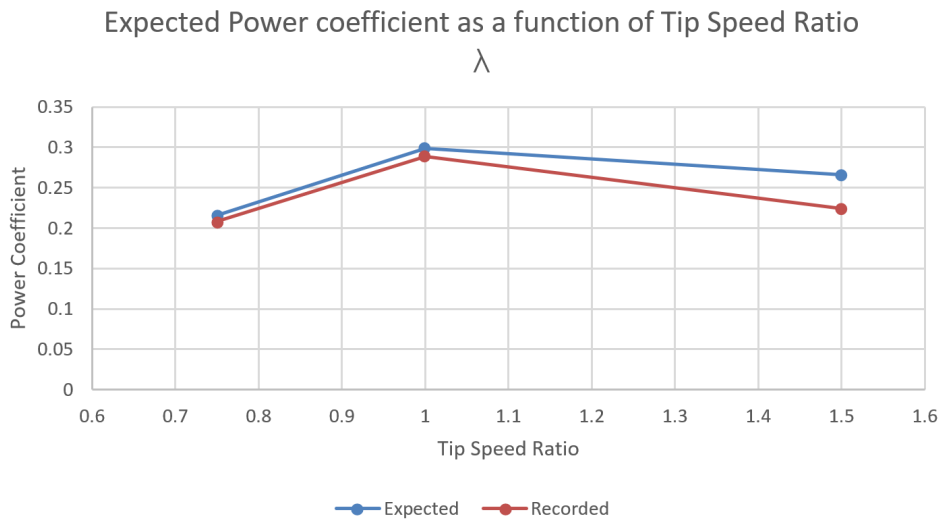


Figure 8: Comparison of tip speed ratios for the expected and recorded power coefficients

241 It is also necessary to compare the results to those found by Carrigan  
 242 [12] to ensure that they have agreement. Some difference is expected as  
 243 shown by Chowdhury [23] due to Carrigan’s use of the Spalart-Allmaras  
 244 model in contrast to the use of Transition SST for this study. Under Carri-  
 245 gan’s study, at  $\lambda=1$  it is expected that  $C_p=0.4$ , and when adjusting for this,  
 246 using the results from Chowdhury [23] and Lanzafame [9], it is found that  
 247 the Spalart-Allmaras model produces approximately a 34% overestimate  
 248 in  $C_p$  compared to Transition SST, and so  $C_p=0.299$  is expected. During  
 249 testing a value of 0.289 was found showing good agreement.

250 The setups for both studies vary in ways other than just the turbulence  
 251 model, for example Chowdhury assesses  $\lambda=3.2$  [23] while Lanzafame con-  
 252 siders from 0.5 to 1.5 [9], so this explains the difference between the tested  
 253 value and the experimental result. A comparison between the expected  
 254 and recorded results is shown in figure 8. It can be seen that there is good

255 agreement at lower tip speed ratios however at higher tip speed ratios they  
256 begin to diverge. As Lanzafame's study showed a greater overestimate be-  
257 tween the Transition SST model and the experimental results at higher tip  
258 ratios, this is a good result as this showing demonstrates that the expected  
259 overestimate will be reduced compared to expectations.

### 260 3. Results

#### 261 3.1. Flow Visualisation

262 By inspecting the dynamic pressure and velocity contour maps of the  
263 farm it is possible to visualise how these results have come to occur. As  
264 shown in figure 9, to the sides of the turbine wakes are regions of increased  
265 wind speed. This allows for the following turbines which are placed in-  
266 side these regions of high dynamic pressure to have a much greater power  
267 output while not breaking Betz's limit.

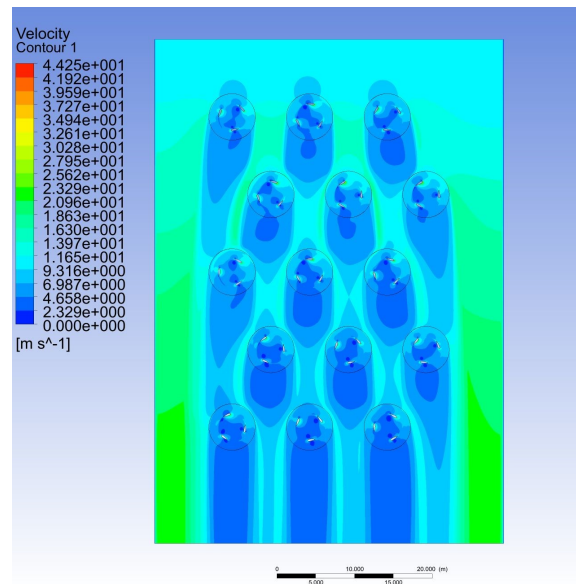


Figure 9: Velocity contour map for a 3x5 farm with spacing  $x=5, y=10$

268 In the simulation run to produce figure 9 it was found that the abso-  
269 lute wind speed in these regions was 14.5m/s on average across a 1D long  
270 line compared to the 10m/s inlet condition. Due to the cubic relationship

271 between velocity and power this results in a 205% increase in power in  
 272 the airflow in the path of the turbine, hence why for the optimised farm  
 273 design it was possible to find turbines in the second row with as much as  
 274 a 181% increase in power output over the baseline isolated turbine. It can  
 275 also be seen in figure 9 that these high velocity regions exist in following  
 276 rows, allowing for further following turbines to receive a power boost also.

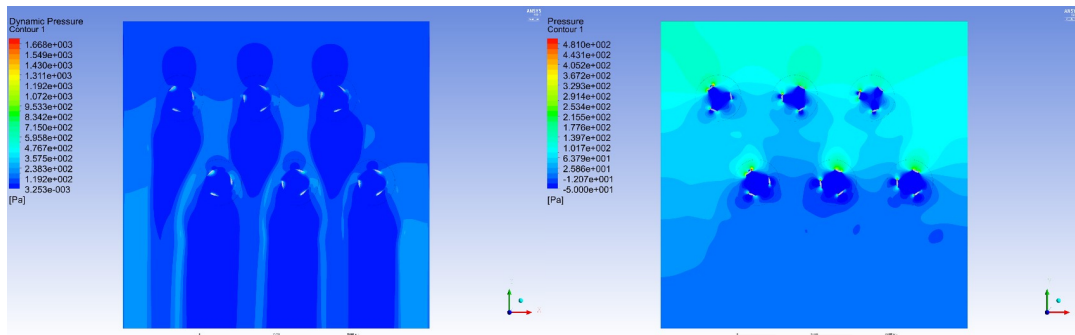


Figure 10: Dynamic and Static Pressure Contour maps for the Optimised Farm

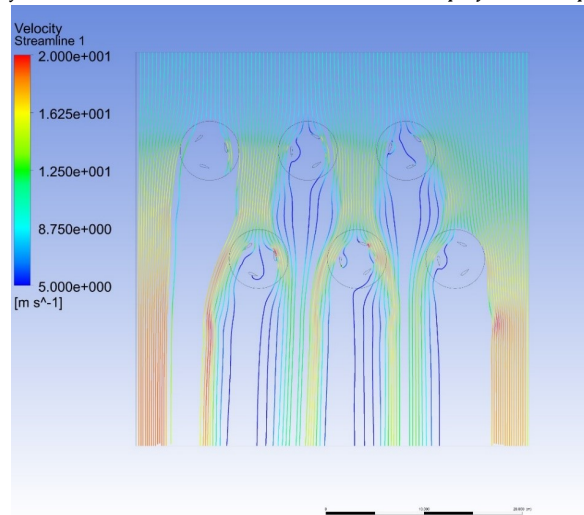


Figure 11: Velocity Streamline map for the Optimised Farm

277 This occurs due to the Venturi effect which results from the conversion  
 278 of static pressure into dynamic pressure when flow is constricted, in this  
 279 case between the turbines. Figure 10 shows the increase in dynamic pressure  
 280 between the wakes of each turbine, and the corresponding decrease

281 in static pressure occur in the same regions as expected with the Venturi  
282 effect.

283 The mechanisms discovered by Zanforlin [3] were also confirmed as  
284 seen in figure 11 which demonstrates the velocity streamlines, with clear  
285 wake contraction and y velocity suppression relative to the blade. The  
286 wake contraction can also be seen in figure 9.

287 To test this a V shaped farm comprised of 5 turbines was created and  
288 simulated as shown in figure 12. From the results it was found that the  
289 middle layer of turbines, which were only subject to this action from a  
290 leading turbine on one side, produced significantly less power than the  
291 final turbine and hence two leading turbines are required, one on either  
292 side of the turbine of interest, in order to get the greatest performance  
293 increase from the effect.

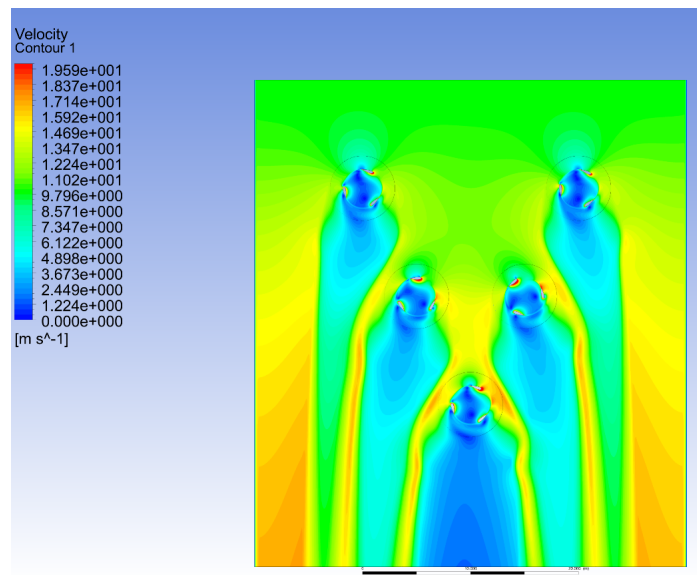


Figure 12: Velocity contour map for a V-shaped farm of 5 turbines



294 *3.2. Isolated Turbine*

295 A single turbine scenario was run and found a power output of 5247.9W,  
296 corresponding to a power coefficient of 0.252. This will act as one of two  
297 baseline values to compare other results to, where the other will be per-  
298 formance in the grid condition.

299 *3.3. Large Farms*

300 An initial grid of 16 turbines in a 4x4 configuration was run for the grid  
301 condition and found that the first row of turbines performed on par with  
302 the isolated turbine but severe performance reductions were seen in each  
303 following layer of turbines. While it's clear that the performance losses  
304 become less severe with each following layer, it can also be seen that there  
305 is a severe detriment to performance after the first layer. Interactions be-  
306 tween columns were negligible with similar performance in each turbine  
307 within a row.

308 This was repeated for the offset grid/hexagonal with the same num-  
309 ber of turbines in a 4x4 configuration, and 'School of Fish' configura-  
310 tions albeit using a 9 turbine farm in a 3x3 configuration. The first layer  
311 in both configurations again showed performance on par with the iso-  
312 lated turbines, however it was found that the second layer had a substan-  
313 tial increase in power compared to the first layer. This effect was more  
314 prominent in the offset grid design with turbines producing an average  
315 of 12.5kW compared to 10kW in the 'School of Fish' configuration. In  
316 the third layer it was found that turbines which were exposed to a more  
317 open flow of air, e.g. the leftmost turbine in the offset grid configuration  
318 produced up to 49.7% more power than those which were more closed  
319 off. These results were replicated in the 'School of Fish' design with simi-  
320 lar performance across the layer albeit a smaller 18.8% power production  
321 advantage for the leftmost turbine, suggesting the spacing between each  
322 column pair should be larger than the guidelines provided by Whittlesey  
323 [7]. It should be noted that the third layer in the offset grid design still  
324 retained a 16.9% performance advantage over the equivalent Biomimetic  
325 row, and the lowest power turbines in the offset grid third row produced  
326 8% more power than the equivalent in the Biomimetic design.

327 This performance difference is equivalent to 3.3% of the baseline iso-  
328 lated turbine performance for a 3x3 farm which can be considered negligi-

329 ble compared to the 21-30% seen in the second row. It can be extrapolated  
330 that this would be even lower for any further following rows, and so it  
331 can be concluded that a double row farm is sufficient in order to compare  
332 performance of the configurations.

333 From inspection of the offset grid and Biomimetic designs, it was de-  
334 cided that a width of 3 turbine columns or column pairs would provide  
335 a good representation of the performance of larger farms by providing a  
336 higher weighting to second row turbines which were preceded by leading  
337 turbines on both sides, these can be considered to be inner turbines as they  
338 are situated towards the inside of the farm. A width of 2 would provide  
339 equal weighting to inner and outer turbines so would provide a poor rep-  
340 resentation of a larger farm, whereas a width of 4 would result in a large  
341 increase in computational cost. As a result it was decided that farms with  
342 a 3x2 configuration, for 6 turbines in total, would be used.

#### 343 3.4. Six Turbine Farms

344 An initial 3x2 grid configuration with 10m spacing was run in order  
345 to provide a second directly comparable baseline in addition to the iso-  
346 lated turbine. An array power coefficient  $C_{AP}$  of 0.131 was found, or a  
347 48% reduction compared to the isolated baseline. The grid was also sim-  
348 ulated using alternating turbine directions and no significant difference  
349 was found in the power coefficient with  $C_{AP}=0.133$

350 A simulation was then run for the 'School of Fish' condition with  $c=4D=13.6m$ ,  
351  $b=c/4=2D=3.4m$ ,  $a=b/0.3=11.33m$ , or a spacing of  $x=3.4m$ ,  $y=11.33m$  for  
352 each line of turbines giving a result of  $C_{AP}=0.356$  for an improvement of  
353 41.2% over the isolated turbine condition and 271.8% over the grid con-  
354 dition.

355 For the Staggered condition with a spacing of  $x=5m$ ,  $y=10m$  it was  
356 found that  $C_{AP}=0.425$ , a 68.8% improvement over the isolated turbine  
357 baseline and 324.4% over the grid baseline. This represents a significant  
358 improvement over the Biomimetic design. The Staggered farm was sim-  
359 ulated again using alternating turbine directions and as with the original  
360 grid design no significant difference in power production was found.

361 A 2 Turbine Cluster based farm was run using the guideline inter-  
362 turbine spacing of  $1.5D=5.1m$ , with a horizontal inter-cluster spacing of

363 4.5D and vertical spacing of 2.65D to match the density of the Staggered  
364 and Grid conditions. Due to the discrete nature of the Clusters this was  
365 considered in both 4 turbine/2 layer, and 6 turbine/3 layer forms. The 2  
366 layer form had  $C_p=0.383$  while the 3 layer configuration had  $C_p=0.323$ .

367 Two additional farms which used hybrid designs were implemented.  
368 The first was based upon the offset grid design albeit with the horizontal  
369 distance  $x=6.8m$  as used in the Biomimetic design. This produced a  
370 reduced  $C_{AP}$  of 0.314. The second hybrid design used the Biomimetic de-  
371 sign as the foundation, but used the distance between rows that had been  
372 used for the grid and offset grid, 10m. This resulted in  $C_{AP}=0.380$  which is  
373 significantly higher than the original fish school design as recommended  
374 by Whittlesey [7], however still considerably lower than the Staggered de-  
375 sign.

376 From these results it is clear that the Staggered design would become  
377 the dominant basis of any design produced by a guided optimisation pro-  
378 cedure as it offers a considerable advantage over the guidelines for other  
379 established designs.

### 380 3.5. Gradient Based Optimisation

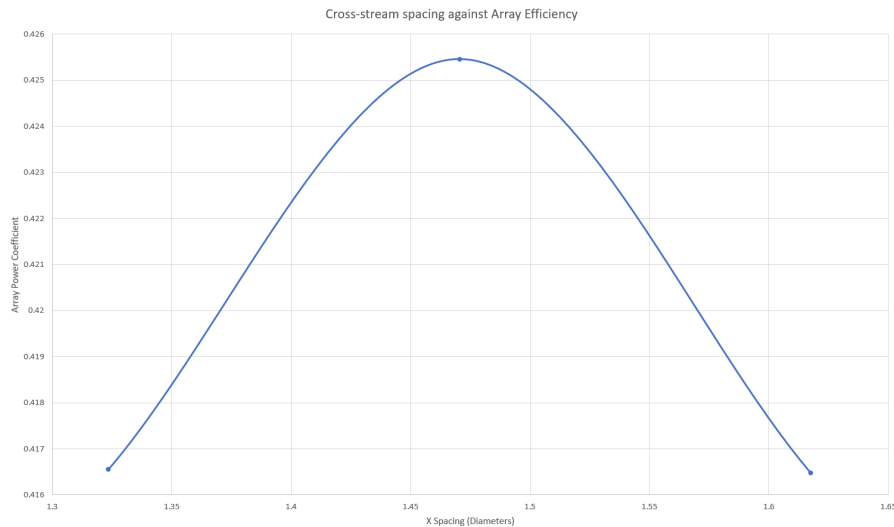


Figure 13: Optimisation of cross-stream spacing on total farm power output

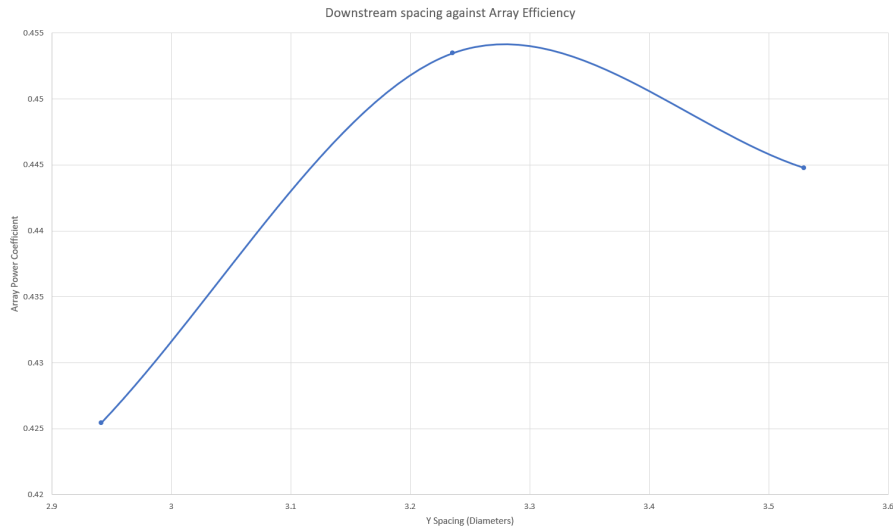


Figure 14: Optimisation of Downstream spacing on total farm power output

381 The Staggered design was chosen as a basis to run a Gradient-based  
 382 optimisation due to its high performance. There are two variables which  
 383 were optimised by iterating simulations using different distances to find  
 384 the peak value, Cross-stream spacing in the x direction and Downstream  
 385 spacing in the y direction. The results of this are shown in figures 13 and  
 386 14, where the optimum Y spacing occurs at 3.2D (11m) and the optimum  
 387 X spacing occurs at 1.47D (5m) with an array power coefficient  $C_{AP}=0.453$ .

### 388 3.6. Low Solidity Turbine Farms

389 A simulation was run where the turbines in the optimised condition  
 390 were replaced with the  $\sigma=0.12$  turbine. From the results, an array power  
 391 coefficient of 0.355 was found for a 17.2% increase over the isolated Low  
 392 Solidity Turbine. However this is also a 21.6% reduction compared to the  
 393 High Solidity case.

### 394 3.7. Discussion

#### 395 3.7.1. Performance Comparison with other VAWT Farms

396 From the results it is clear to see that special cases of the staggered  
 397 formation offer the best performance under industrially applicable condi-  
 398 tions. The optimised design resulted in an 80% increase in performance

399 over the isolated turbine condition and 346% over a high density grid con-  
400 dition. While the guideline ‘School of Fish’ and Clustered designs, along-  
401 side Hybrid designs also show significant improvements over the isolated  
402 and grid conditions, they still underperform compared to the optimised  
403 staggered design.

404 By comparing to the GA optimised configurations by Bons [11] we can  
405 see that there are some similarities in that they also take advantage of  
406 staggering, albeit in a less organised way. As stated earlier, the 3 Turbine  
407 clusters of Hezaveh [2] are also a special case of staggering.

### 408 3.7.2. Performance Comparison with HAWT Farms

409 In [24] it was found that for a set of three identical in-line HAWTs  
410 using a spacing of 3D which is equivalent to that used in this study, with  
411  $C_{p,max}=0.462$ , the leading turbine had a  $C_p/C_{p,max}$  of 1 while the second  
412 and third turbines had values of 0.262 and 0.190, providing  $C_p$  values  
413 of 0.121 and 0.088 respectively. This clearly shows a large performance  
414 drop-off when using such small spacing between HAWTs, however this is  
415 a worst case scenario and in practice farms will be designed to minimise  
416 the time spent in this situation.

417 For this situation it is necessary to consider [5] which investigates larger  
418 spacings between turbines, finding spacings of 12D still carry a highly re-  
419 duced  $C_p/C_{p,max}=0.6$ . For a spacing of 3D it is highly likely that there  
420 will be a turbine directly downwind within 12D, meaning that even in a  
421 best case scenario there are likely to be considerable performance losses  
422 in following turbines. As a result of this it is highly recommended against  
423 HAWT farms having such small spacing when in a grid configuration.

424 [10] has shown that for a single wind direction it was possible to achieve  
425 an overall farm efficiency of 95% of  $C_{p,max}$ , corresponding to an array co-  
426 efficient of 0.418 assuming  $C_{p,max}=0.44$  as per the LW 8MW reference tur-  
427 bine based upon the Vestas V164-8MW is normal for HAWTs [25]. For  
428 comparison, adjusted for mechanical and generator losses as determined  
429 by [20], the optimised VAWT farm would have an array coefficient of  
430 0.417. However Mosetti’s configuration required a density of 1 turbine  
431 per  $104D^2$ . In comparison the optimised VAWT solution had a density  
432 of 1 turbine per  $18.1D^2$ . These can then be converted into power coeffi-

433 cient density = $C_p$ \*Turbine density, resulting in  $0.00402/D^2$  for Mosetti's  
434 HAWT farm and  $0.0230/D^2$  for the VAWT farm. While this doesn't meet  
435 the magnitude increase in power density suggested by Dabiri [6], it has  
436 implications for development of wind farms on space limited sites.

### 437 3.7.3. *Limitations*

438 Limitations arose from the use of 2D CFD to complete the study which  
439 can result in overestimates of power production in comparison to the ex-  
440 perimental results. This inaccuracy is difficult to avoid when using CFD  
441 however as 3D CFD has been shown to produce underestimates [27]. It is  
442 also found that both perform worse in the high tip speed ratio conditions  
443 used here with  $\lambda=3.6$  as the highest result. The lack of 3D simulations also  
444 prevents accurate prediction of the wake as flow mixing from the vertical  
445 axis is not accounted for. It should be noted that the rate of the wake con-  
446 traction caused by the Staggered configuration in comparison to an open  
447 wake suggests that the flow mixing effects will be negligible for such high  
448 density farms, as can be visualised in figure 9. Rezaeiha [15] showed that  
449 recovery of streamwise velocity on the VAWT's upwind side of the wake is  
450 significantly overpredicted by the Transition SST turbulence model used  
451 in this study, which will have an impact on the performance of the local  
452 flow mechanism.

453 The high computational cost of the methods used make it difficult to  
454 optimise these configurations on a consumer or even workstation level  
455 computer when large farms are considered. For very large arrays of 100+  
456 turbines such as the London array it would be necessary to have a consid-  
457 erable amount of computational power and time available, particularly if  
458 the optimisation method was changed. Within this study it prevented the  
459 analysis of varying wind speed and direction scenarios.

460 The use of a single turbine design means the results of this study may  
461 not be applicable to other designs. Using the Straight H-Bladed Darrieus  
462 design will produce different wakes to the Helical bladed and Standard  
463 Darrieus designs. The study also doesn't account for scale, where the re-  
464 sults found may change for larger industrial sized turbines.

465 The high solidity value of the turbine also had an effect, with simu-  
466 lations on a farm using the low solidity turbine showing little interfer-

467 ence between turbines, but also much lower benefit from the mechanisms.  
468 While there was a considerably lower increase in power coefficient, this  
469 may be partly due to the configuration not being re-optimised, and further  
470 testing is needed, however given that indicators of the Venturi effect are  
471 not visible, it is clear that this mechanism is still responsible for the high  
472 performance improve present in the Staggered design. In addition, this  
473 test used a turbine which was high solidity by high chord length rather  
474 than high number of blades, and so it would need to be considered which  
475 one of these results in greater farm performance as it could have a consid-  
476 erable impact on the turbine cost.

477 By only testing a single wind direction, this prevents the results from  
478 being fully applicable to a real world situation. However the suppres-  
479 sion of the horizontal velocity component alongside the wake contraction  
480 suggests that there will be flow redirection between the first and second  
481 layer of turbines, providing a more favourable condition for the second  
482 layer of VAWTs rather than would be expected in a single column model.  
483 While this will not provide the same high efficiency as the single direction  
484 design, it will be advantageous compared to following turbines laying in  
485 more of the wake as would otherwise be expected. The simple and uni-  
486 form nature of the design also allows for an easy modification in order to  
487 achieve higher off-design performance. By curving the layers it is possible  
488 to provide near optimal performance for a small range of wind directions.  
489 This could be highly effective as in nature, wind direction tends to be con-  
490 centrated towards a certain direction or its anti-direction, particularly at  
491 sites with the high wind speeds which are more suitable for wind energy  
492 solutions.

#### 493 **4. Conclusion**

494 For this study, a series of 2D CFD simulations were completed to anal-  
495 yse several competing VAWT farm configurations, alongside two hybrid  
496 configurations, both to find the highest performing design and also to fur-  
497 ther investigate the causes of this increase in performance. Further anal-  
498 yses were then run in order to investigate the local flow mechanisms that  
499 were producing these performance improvements and to consider how  
500 turbine solidity would affect the performance of the original optimised  
501 array.

502 While all of the proposed performance enhancing farm designs re-  
503 sulted in greater power output, the Staggered configuration showed a clear  
504 advantage which grew larger once the optimisation process was completed.  
505 The data gathered allowed for confirmation of the two flow mechanisms  
506 for VAWT performance enhancement which were found by Zanforlin [3]  
507 and the biomimetic shed vortex derived mechanism used by Whittlesey  
508 [7], alongside producing evidence for an additional mechanism, the Ven-  
509 turi effect. This enabled for a new design to be proposed which could  
510 offer an increase in array efficiency of 80% or greater compared to isolated  
511 turbines, where previously only 40% was considered to be possible. The  
512 2-layer Staggered design showed the potential to have comparable perfor-  
513 mance with Moseetti's GA optimised HAWT farm, while having as little as  
514 one sixth of the footprint [10].

515 It is also shown that the solidity of the VAWT can have a significant  
516 impact on the flow mechanism which enables these performance enhanc-  
517 ing farm designs to work, with higher solidity turbines allowing for the  
518 exploitation of the Venturi effect which results in much greater perfor-  
519 mance increases than the previously identified mechanisms. While the  
520 much larger blades will considerably increase the cost of a turbine, it is  
521 plausible that these efficiency enhancements would offset this in the long  
522 term by enabling greater lifetime power production.

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