1	TREATMENT WITH FIBRATES IS ASSOCIATED WITH HIGHER LAL ACTIVITY IN
2	DYSLIPIDEMIC PATIENTS
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ABSTRACT

25 Lysosomal acid lipase (LAL) is responsible for the hydrolysis of cholesteryl esters (CE) and 26 triglycerides (TG) within the lysosomes; generated cholesterol and free fatty acids (FFA) are 27 released in the cytosol where they can regulate their own synthesis and metabolism. When 28 LAL is not active, as in case of genetic mutations, CE and TG accumulate in the lysosomal 29 compartment, while the lack of release of cholesterol and FFA in the cytosol leads to an 30 upregulation of their synthesis. Thus, LAL plays a central role in the intracellular homeostasis 31 of lipids. Since there are no indications about the effect of different lipid-lowering agents on 32 LAL activity, aim of the study was to address the relationship between LAL activity and the 33 type of lipid-lowering therapy in a cohort of dyslipidemic patients. 34 LAL activity was measured on dried blood spot from 120 patients with hypercholesterolemia 35 or mixed dyslipidemia and was negatively correlated to LDL-cholesterol levels. Among 36 enrolled patients, ninety-one were taking one or more lipid-lowering drugs, as statins, fibrates, 37 ezetimibe and omega-3 polyunsaturated fatty acids. When patients were stratified according 38 to the type of lipid-lowering treatment, i.e. untreated, taking statins or taking fibrates, LAL 39 activity was significantly higher in those with fibrates, even after adjustment for sex, age, BMI, 40 lipid parameters, liver function, metabolic syndrome, diabetes and statin use. In a subset of 41 patients tested after 3 months of treatment with micronized fenofibrate, LAL activity raised by 42 21%; the increase was negatively correlated with baseline LAL activity. 43 Thus, the use of fibrates is independently associated with higher LAL activity in dyslipidemic 44 patients, suggesting that the positive effects of PPAR- α activation on cellular and systemic 45 lipid homeostasis can also include an improved LAL activity.

46 Keywords: Lysosomal acid lipase, fibrates, statins, dyslipidemia.

47

48 **1. Introduction**

49 Lysosomal acid lipase (LAL) is coded by the LIPA gene on chromosome 10 and it is 50 responsible for the hydrolysis of cholesteryl esters (CE) and triglycerides (TG) within the 51 lysosomes [1]. Generally, these lipids have been internalized by the receptor-mediated 52 endocytosis of apoB-containing lipoproteins, but can also derive from intracellular lipid 53 droplets through the activation of the autophagic process [2]. The reaction catalyzed by LAL 54 generates unesterified cholesterol (UC) and free fatty acids (FFA), which are released into the 55 cytosol where they can regulate their own synthesis and metabolism [1]. When LAL is not 56 active, as in case of mutations in the LIPA gene affecting protein synthesis or function, CE 57 and TG accumulate in the lysosomal compartment in the peculiar form of microvesicles. 58 especially in the liver and in macrophages throughout the body. Mutations in the LIPA gene 59 cause two recessive diseases depending on residual LAL activity, namely Wolman Disease 60 (WD) and Cholesteryl Ester Storage Disease (CESD) [3]. WD is the neonatal-onset and 61 fulminant type, while CESD can be diagnosed in childhood or adulthood. Clinically, CESD 62 patients present with hepatomegaly, splenomegaly, malabsorption and increased 63 cardiovascular risk [4]. Biochemically, hypercholesterolemia (variably associated with 64 hypertriglyceridemia) and low plasma levels of HDL-cholesterol have been described, 65 together with elevation of liver enzymes [4]. The alteration of the lipid profile is the 66 consequence of the lack of release of UC and FFA in the cytosol of LAL-deficient 67 hepatocytes; indeed, the activation of the sterol regulatory element-binding proteins 68 (SREBPs) leads to an upregulation of cholesterol and FFA synthesis and of VLDL secretion. 69 In addition, the lower generation of oxysterols from UC in the cytosol results in an impaired 70 activation of liver X receptors (LXRs), with a consequent reduction of ABCA1 expression and 71 HDL biogenesis [5]. Thus, the impaired intracellular lipid metabolism in LAL-deficient cells can

affect circulating lipid levels. However, while single nucleotide polymorphisms within the *LIPA*gene were associated with the risk of coronary artery disease [6], it is not clear whether LAL
activity is associated with plasma lipid levels in the general population.

75 Besides the recent availability of recombinant LAL for enzyme replacement therapy, CESD

76 patients are usually given statins to manage the hypercholesterolemia, while low HDL-

cholesterol and hypertriglyceridemia could suggest the use of fibrates [7,8]. These molecules

can modulate plasma lipid levels by rewiring cellular lipid metabolism; however, their direct

refrect on LAL activity has not been addressed to date. Thus, aim of the present study was to

80 investigate the relationship between LAL activity, biochemical/anthropometric variables and

81 the type of lipid-modifying therapy in a cohort of dyslipidemic patients.

82 2. Methods

83 2.1 Patients

84 Patients with hypercholesterolemia or mixed dyslipidemia were enrolled among those 85 attending the Lipid Clinics at the Niguarda, Policlinico and Bassini Hospitals, as part of a 86 study aimed at the identification of candidates for genetic LAL deficiency. Patients were 87 selected on the base of the following criteria: (i) total cholesterol \geq 250 mg/dl or LDLcholesterol \geq 160 mg/dl without lipid-lowering therapy, (ii) body mass index (BMI) \leq 28 kg/m² 88 89 [9]. The study conformed to the guidelines set out in the Declaration of Helsinki and was 90 approved by the pertinent IRBs; all enrolled patients gave written informed consent for 91 participation in the study. None of the enrolled patients was affected by genetic LAL 92 deficiency. The database was retrospectively analyzed to assess the relationship between 93 LAL activity and biochemical/clinical features at enrollment.

94

95 2.2 Clinical evaluation

96 Body mass index (BMI), waist circumference (WC), concomitant diseases and medications 97 were recorded at the time of LAL evaluation. The presence of hepatomegaly was assessed 98 by liver examination and/or on the base of abdominal imaging (abdominal ultrasound, 99 computerized tomography or magnetic resonance), revealing fatty liver appearance or 100 hepatomegaly. Fatty liver index (FLI) was calculated as described [10]. In all subjects, daily 101 alcohol intake was lower than 20 g in females and 30 g in males (confirmed by at least one 102 family member).

103

104 2.3 Biochemical analyses

105 Blood samples were collected in EDTA tubes after an overnight fast. Dried blood spot cards

106 (DBS, GE Healthcare Whatman 903) were immediately prepared, dried overnight at room

107 temperature and stored at -20°C until assayed for LAL activity. Plasma samples were

108 obtained by low-speed centrifugation and stored at 4°C.

109 Plasma levels of liver enzymes, total and HDL cholesterol, triglycerides and glucose were

110 determined by enzymatic techniques on a Roche c311 automatic analyzer (Roche

111 Diagnostics). LDL-cholesterol was calculated by the Friedewald's formula.

112 LAL activity on DBS was measured by fluorescence using 4-methylumbelliferone palmitate (4-113 MUP, Cayman Chemicals), cardiolipin (Avanti Polar Lipids) and the selective LAL inhibitor 114 Lalistat 2 (Sigma Aldrich), according to the method of Hamilton et al. [11]. Briefly, a 3.2 mm 115 spot was punched from DBS card and eluted in 200 µl H₂O for 1h at room temperature. Forty 116 µl of eluted sample were incubated with H₂O or with 30 μM Lalistat-2 for 10 minutes at 37°C 117 and then with 150 µl of 0.15 M acetate buffer pH 4.0, 1% Triton X-100 containing cardiolipin 118 and 4-MUP for 3h at 37°C. Assay was performed in 96-well black plates. The generation of 119 fluorescent 4-methylumbelliferone (4-MU) was detected by the Synergy H1 Multi-Mode 120 microplate reader and GEN5 software (BioTek); excitation was set at 320nm and emission at 121 460nm. A standard curve of 0–2.5 nmol 4-MU (Sigma Aldrich) was built. LAL activity was 122 calculated by subtracting the activity in the inhibited reaction (with Lalistat 2) from uninhibited 123 reaction (with H₂O) and expressed as nmol of generated 4-MU/spot/h. The coefficient of 124 variation of the assay is 8.5%. Normal values of LAL activity are >0.80 nmol/spot/h. DBS 125 cards were tested for quality by measuring beta-galactosidase activity as described [12]: new 126 DBS cards were prepared if beta-galactosidase activity was below 90 pmol/spot/h [12].

In a subgroup of 11 patients taking no medications and with clinical indication for fibrates after
dietary intervention (i.e. plasma levels of triglycerides above 200 mg/dl), DBS cards were
collected before and after 3 months of treatment with micronized fenofibrate 145 mg/day.

130

131 2.4 Statistical analysis

132 Continuous variables are expressed as mean±SD, and categorical variables as cases and 133 percentages, if not otherwise stated. Homogeneity of variance was assessed using a 134 Levene's test and normal distribution was tested by Shapiro-Wilk test. Non-normally 135 distributed variables were log-transformed before proceeding to the analysis. Comparisons 136 between groups of treatment were assessed by one-way ANOVA for independent samples. 137 When variables were still non-normally distributed after log-transformation, a Kruskal-Wallis 138 test was performed. Differences in LAL activity between treatment groups were assessed by 139 covariance analysis (ANCOVA) and adjusted for age, sex, BMI, total cholesterol, triglycerides, 140 LDL-cholesterol, HDL-cholesterol, presence of hepatomegaly, concomitant diseases and 141 medications. A Spearman's rank-order correlation was run to assess the relationship between 142 LAL activity and all other variables. Differences between pre- and post-treatment with 143 micronized fenofibrate were assessed by paired t-test or Wilcoxon signed-rank test for 144 normally and non-normally distributed variables, respectively. Pearson's product-moment 145 correlation was used to assess the relation between percent change and baseline LAL 146 activity. All tests were 2-sided and *P* values < 0.05 were considered as statistically significant. 147 Statistical analysis was performed by using SPSS version 25.0 software (SPSS Inc., Chicago, 148 USA).

149 **3. Results**

150 *3.1 Features of enrolled patients*

151 Dyslipidemic patients were mainly males and average BMI was within the normal range 152 (Table 1). Overall, they displayed a moderate mixed dyslipidemia, with elevation of both total 153 cholesterol and triglyceride levels (Table 1). Fasting glucose, liver function and LAL activity 154 were in the normal range (Table 1). Eight subjects (6.7%) were in secondary prevention, 10 155 (8.3%) were diabetics and 36 (30%) were hypertensive. Nineteen patients (15.8%) had 156 metabolic syndrome according to the NCEP/ATPIII criteria [13]. LAL activity was negatively 157 correlated with LDL-cholesterol levels (r_s(115)=-0.217, P=0.020). No other correlations were 158 found between LAL activity and the biochemical/anthropometric variables listed in table 1. 159 Ninety-one patients (75.8%) were taking one or more lipid-lowering drugs on a stable 160 treatment regimen for at least 4 weeks (Table 1). Among the 57 patients treated with statins, 161 only 6 were given a high-intensity one. Thirty patients were taking statins alone, 13 statins 162 plus ezetimibe (2 with fenofibrate and 3 with 1 g/die omega-3 polyunsaturated fatty acids -163 PUFAs), 7 statins plus 1 g/die omega-3 PUFAs and 7 statins plus fibrates (1 with omega-3 164 PUFAs). Among the 36 patients treated with fibrates, 21 were given fenofibrate 145 mg, 8 165 bezafibrate 400 mg and 7 gemfibrozil 600-900 mg. Eighteen were given fibrates alone, 8 166 fibrates plus 1-3 g/die omega-3 PUFAs and 1 fibrates plus ezetimibe. Seven patients were 167 treated with 1-3 g/die omega-3 PUFAs alone.

Patients were then divided according to the type of lipid-lowering treatment as indicated in
table 2 and mean LAL activity was calculated for each group. Due to similar mean LAL levels,
three main treatment categories were identified: patients untreated or taking only omega-3
PUFAs (category 1), patients taking statins with or without ezetimibe or omega-3 PUFAs

172 (category 2) and patients taking fibrates with or without statins or omega-3 PUFAs (category173 3).

174 3.2 Relationship between LAL activity and treatment category

175 Features of patients belonging to the 3 different treatment categories are reported in table 1.

176 Patients were comparable for sex distribution, WC, total cholesterol, and liver function.

177 Dyslipidemic patients taking statins displayed lower BMI and TG values, and higher HDL-

178 cholesterol if compared to the other two groups. Fasting glucose was slightly increased in the

179 fibrate group. Patients taking no medications were younger and their plasma levels of LDL-

180 cholesterol were higher (Table 1). While the prevalence of hypertension and cardiovascular

181 events were comparable between the 3 categories, a higher rate of metabolic syndrome and

182 diabetes where found among fibrate-treated patients compared to the other groups (19% and

183 64% respectively, vs 7% and 6% in statin-treated group, and 6% and 0% in the untreated

184 group, *P*<0.001 for metabolic syndrome and *P*=0.003 for diabetes).

185 Interestingly, LAL activity was significantly different between the 3 groups, with patients taking

186 fibrates showing the highest activity (Table 1 and Figure 1). LAL activity was significantly

187 different between the treatment groups also when adjusted for sex, age, BMI, total

188 cholesterol, triglycerides, LDL-cholesterol, HDL-cholesterol presence of hepatomegaly,

189 presence of metabolic syndrome or diabetes and statin use (Table 1).

190

191 3.3 Effect of fibrates on LAL activity

192 To further address the effects of fibrate treatment on LAL activity, a pilot study was

193 performed. Eleven male patients (mean age 43.3±8.7 years) were selected among those

taking no medications and with TG values above 200 mg/dl after dietary intervention. They

195 were given 145 mg of micronized fenofibrate for 3 months without other concomitant lifestyle

196 changes. After treatment, patients showed no changes of BMI, LDL-cholesterol and 197 transaminases. Although with great variability, total cholesterol and TG were reduced by 8.7% 198 and 32.1%, respectively, while HDL-cholesterol increased by 18.9% (Table 3). Fatty liver 199 index also tended to decrease. DBS were collected before and after treatment with fenofibrate 200 for LAL activity assay. Even in this small number of patients, a significant 20.8% increase of 201 LAL was observed after fenofibrate (P<0.001, Figure 2). Interestingly, LAL increased in 10 202 patients (range 6.7-51.3%), while was almost unchanged in 1 patient (+0.7%). Furthermore, 203 the extent of LAL increase was inversely related to baseline LAL (r=-0.664, P=0.026, Figure 204 2).

205 **4. Discussion**

206 LAL activity was evaluated in a cohort of dyslipidemic patients with a low incidence of other 207 metabolic alterations; indeed, body weight, blood glucose and parameters of liver function 208 were in the normal range. The first finding of the study is the negative correlation between 209 LAL activity and plasma LDL-cholesterol in absence of a genetic defect, suggesting that 210 intracellular LAL may play a role in the modulation of systemic cholesterol levels even in the 211 normal range of activity. Consistently, several genome wide association studies found an 212 association between single nucleotide polymorphisms in the LIPA gene and the incidence of 213 coronary artery disease, although the impact of such variants on LAL expression and activity 214 is still debated [6.14-17]. The second aim of the study was to investigate the effect of lipid-215 lowering drugs on LAL activity, which was unaddressed to date. We showed for the first time 216 that the treatment with fibrates is associated with higher LAL values in dyslipidemic patients, 217 while omega-3 PUFAs and statins showed no effect. The association was significant even 218 after adjustment for several anthropometric, clinical and biochemical parameters. In a recent 219 paper, Baratta et al. showed that statin use was less frequent in NAFLD patients having a 220 LAL activity below the median of their cohort [18]. We did not observed a significant effect of 221 statin treatment on LAL activity, but this discrepancy could be due to the striking differences 222 between the patients enrolled in the two studies. Indeed, Baratta et al. analyzed obese 223 patients (mean BMI was 30.5 mg/ k^2) with fatty liver and higher incidence of metabolic 224 syndrome (70.5%) if compared to our patients.

To further investigate the effect of fibrates on LAL activity, a pilot study was performed.

Eleven patients with clinical indication for fibrates were given micronized fenofibrate for 3

227 months and LAL activity was measured before and after treatment. Standing all the limitations

of this small and uncontrolled study, we were able to show for the first time that LAL activity

significantly improved after fenofibrate and that the lower was baseline LAL the higher was the improvement mediated by fenofibrate. In addition, although far from statistical significance, a tendency towards a reduction of fatty liver index was also detected after fenofibrate. These findings suggest that the activation of PPAR- α receptors by fibrates could rewire intracellular lipid metabolism also leading to an increase of LAL activity and are worth of a confirmation in larger and controlled studies with novel and more potent PPAR α agonists, as elafibranor or pemafibrate [19,20].

236 As stated above, the relevance of our study is limited by the small sample size of both the 237 retrospective and intervention studies, and by the uncontrolled design of the pilot study with 238 fenofibrate. However, it provides additional evidence on the usefulness of fibrates in the 239 management of dyslipidemias. Atherogenic dyslipidemia, characterized by small dense LDL, 240 elevated triglycerides and reduced HDL-cholesterol [21], which can benefit from fibrates, is 241 frequently associated with insulin resistance and with other features of the metabolic 242 syndrome; fibrates were shown to improve glycemic control in these patients [22]. Our results 243 suggest a potential additional effect of fibrates through the improvement of LAL activity, 244 especially when it is impaired (as in patients with fatty liver, a common feature of the 245 metabolic syndrome [18]). 246 Dedicated studies are needed to address the mechanisms responsible for LAL increase after

247 PPAR α activation. However, based on the known effects of PPAR α agonists, some 248 speculations are possible. First, PPAR α agonists could increase LAL expression through the 249 activation of transcription factor EB (TFEB). TFEB is the master regulator of lysosomal 250 biogenesis and, consequently, of LAL expression. Recently, peroxisome proliferator 251 responsive elements were identified in the promoter region of TFEB and it has been shown 252 that PPAR α , together with PPAR gamma coactivator 1 α and retinoid X receptors, can 251 253 promote the transcriptional activation of TFEB [23]. In addition, PPAR α agonists could directly 254 promote LAL activity through their modulation of intracellular lipid metabolism. Unesterified 255 cholesterol and free fatty acids are the product of the LAL reaction in the lysosomes; while UC 256 is actively transported in the cytosol by the Niemann-Pick disease type C1 protein, FFA likely 257 diffuse between the two compartments according to the concentration gradient [24]. Since 258 PPAR α activation increase the catabolism of intracellular FFA through the stimulation of the 259 β-oxidation [25], it could improve LAL activity by promoting the flux of generated FFA to the 260 cytosol. 261 In conclusion, the activation of the PPAR α receptors could positively affect systemic lipid

homeostasis also by increasing LAL activity, through the stimulation of intracellular catabolismof lipoproteins and lipid droplets.

264	Declarations of interest:
265	C.P., A.Ba., A.Br., S.C., L.G. and E.G. report no disclosures. A.L.C. received research
266	funding and/or honoraria for advisory boards, consultancy or speaker bureau from Aegerion,
267	Amgen, AstraZeneca, Eli Lilly, Genzyme, Mediolanum, Merck or MSD, Pfizer, Recordati,
268	Rottapharm, Sanofi-Regeneron, and Sigma-Tau. L.C. received grants from Alexion Pharma,
269	MedImmune, Cerenis, Sankyo, and Pfizer. M.G. received grants from Alexion Pharma.
270	
271	Acknowledgments
272	This work was partially supported by a research grant from Alexion Pharma to M.G and L.C.
273	
274	Submission declaration
275	The work has not been published previously, except in the form of a meeting abstract (C.
276	Pavanello et al. Atherosclerosis supplements 32 (2018) 152). Its publication is approved by all
277	authors and tacitly by the responsible authorities where the work was carried out.

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	All patients	Category 1	Category 2	Category 3	P values
Main treatment		None	Statins	Fibrates	
Ν	120	36	48	36	-
Age, y	57.8 ±13.8	50.3±14.3	61.2±13.4	60.8±10.7	0.001
Sex, m/f	90/30	28/8	33/15	29/7	0.419
BMI, kg/m²	25.6±2.9	25.8±2.3	24.8±3.0	26.5±3.1	0.015
WC, cm	96.3±6.6	94.4±6.3	96.6±7.0	97.9±6.2	0.165
Total cholesterol, mg/dl	226.1±46.2	238.8±40.3	216.5±43.6	226.3±52.6	0.053
Triglycerides, mg/dl	196.2±129.6	214.9±136.0	157.7±62.7	227.8±171.4	0.025
LDL-cholesterol, mg/dl	140.7±41.7	156.1±41.8	134.2±44.2	134.3±34.7	0.040
HDL-cholesterol, mg/dl	47.3±12.9	42.9±12.6	52.1±11.1	45.1±13.5	0.002
Fasting glucose, mg/dl	93.1±27.2	86.5±10.1	86.6±14.8	107.4±41.5	0.001
AST, U/I	25.0±7.4	25.6±8.1	23.1±6.4	27.1±7.4	0.042
ALT, U/I	30.4±15.2	34.5±18.8	26.0±10.1	32.6±16.2	0.086
Gamma-GT, U/I	38.5±43.4	49.8±65.0	33.0±35.2	36.4±28.2	0.650
Hepatomegaly, n (%)	40 (34.0)	11 (32.3)	12 (25)	17 (47.2)	0.101
Fatty liver index	46.9±26.9	56.2±24.0	48.2±19.1	59.1±19.1	0.093
LAL activity, nmol/spot/h	1.21±0.42	1.09±0.40	1.17±0.31	1.37±0.53	0.018
Adjusted LAL activity, nmol/spot/h	n.a.	1.03 (0.80- 1.25)	1.23 (1.01- 1.45)	1.37 (1.20- 1.55)	0.019

Table 1. Characteristics of patients divided according to treatment category

Data are mean±SD or as number (percentage) of cases. Adjusted LAL activity is expressed as means (95% CI). Differences between treatment categories were tested by one-way ANOVA or Kruskal-Wallis, where appropriate. For categorical variables, Pearson's Chi-square test was used. In the adjusted model, LAL activity was adjusted for age, sex, BMI, total cholesterol, triglycerides, LDL-cholesterol, HDL-cholesterol, glucose, hepatomegaly, statin use, metabolic syndrome and diabetes.

Treatment type	n	LAL activity (nmol/spot/h)	Treatment category	<i>P</i> -value
None	29	1.09±0.42	1	
Omega-3 PUFAs	7	1.06±0.37	1	0.874*
Statins	30	1.20±0.33	2	
Statins+ezetimibe	11	1.14±0.30	2	
Statins+omega-3 PUFAs	7	1.12±0.31	2	0.573#
Fibrates	19	1.42±0.50	3	
Fibrates+statins	9	1.43±0.66	3	
Fibrates+omega-3 PUFAs	8	1.30±0.61	3	0.691#

Table 2. LAL activity according to lipid-lowering treatment

Data are expressed as mean±SD. *P*-values were calculated by one-way ANOVA for

treatment category 1 (*) and by Kruskal-Wallis test for treatment category 2 and 3 (#).

Table 3. Biochemical and anthropometric parameters before and after treatment with

 fenofibrate

	Before	After	P values
BMI, kg/m²	27.3±2.5	27.5±2.5	0.945
Total cholesterol, mg/dl	238.9±41.3	218.0±51.8	0.077
Triglycerides, mg/dl	294.1±138.8	199.7±118.3	0.111
LDL-cholesterol, mg/dl	146.0±49.7	130.1±42.6	0.171
HDL-cholesterol, mg/dl	40.2±10.7	47.8±18.8	0.073
AST, U/I	26.3±13.4	31.3±10.8	0.174
ALT, U/I	33.9±24.4	44.8±21.3	0.164
Gamma-GT, U/I	34.7±17.6	39.2±32.2	1.000
Fatty liver index	69.7±17.7	62.0±20.7	0.093
LAL activity, nmol/spot/h	1.03±0.31	1.24±0.30	<0.001

Data are expressed as mean±SD. Differences between before and after treatment with micronized fenofibrate were assessed by Wilcoxon signed-rank test or Wilcoxon-rank, where appropriate.

FIGURE LEGENDS

Fig. 1. LAL activity in dyslipidemic patients according to treatment category. LAL activity was measured on DBS. Boxes indicate the median and 25th-75th percentiles, capped bars the 10th-90th percentiles. None: patients untreated or given omega-3 PUFAs, n=36. Statins: patients taking statins alone or in combination with ezetimibe/omega-3 PUFAs, n=48. Fibrates: patients taking fibrates alone or in combinations with statins/omega-3 PUFAs, n=36.

Fig. 2. LAL activity before and after treatment with fenofibrate

Panel A, LAL activity was measured on DBS from 11 patients before and after 3 months treatment with 145 mg micronized fenofibrate. Panel B, correlation between baseline LAL values and percent change after treatment.