Pseudo-differential operators on \mathbb{Z}^n with applications to discrete fractional integral operators

Duván Cardona

Abstract In this manuscript we provide necessary and sufficient conditions for the weak(1, p) boundedness, $1 , of discrete Fourier multipliers (Fourier multipliers on <math>\mathbb{Z}^n$). Our main goal is to apply the results obtained to discrete fractional integral operators. Discrete versions of the Calderón-Vaillancourt Theorem and the Gohberg Lemma also are proved. MSC2010: 42B15 (primary), 11P05 (secondary). Keywords: Pseudo-differential operators, Calderón-Vaillancourt Theorem, Gohberg Lemma, Discrete fractional integral operator, Hypothesis- K^* , Waring's problem. Submitted exclusively to the *Bulletin of the Iranian Mathematical Society*.

1 Introduction

Outline of the paper. In this paper we characterise the weak(1, p)-inequality, $1 , for multipliers on <math>\mathbb{Z}^n$ (see (1)). We also prove discrete versions of the Calderón-Vaillancourt Theorem and the Gohberg Lemma for pseudo-differential operators on \mathbb{Z}^n . Then we apply our results to certain discrete operators called discrete fractional integral operators. Fourier multipliers on \mathbb{Z}^n are defined by the integral representation

$$t_m f(n') := \int_{\mathbb{T}^n} e^{i2\pi n' \cdot \xi} m(\xi)(\mathscr{F}f)(\xi) d\xi, \ f \in \mathscr{S}(\mathbb{Z}^n), n' \in \mathbb{Z}^n,$$
(1)

where $\mathbb{T}^n = [0,1]^n$, and $\mathscr{F} : \mathscr{S}(\mathbb{Z}^n) \to C^{\infty}(\mathbb{T}^n)$ is the discrete Fourier transform, defined on the discrete Schwartz class by

$$\mathscr{F}f(\xi) := \sum_{n' \in \mathbb{Z}^n} e^{-i2\pi n' \cdot \xi} f(n').$$
⁽²⁾

Same as in the euclidian case, Fourier multipliers on \mathbb{Z}^n are convolution operators and they commute with the group of translations $\{\tau_k\}_{k\in\mathbb{Z}^n}$, where $\tau_k f = f(\cdot - k)$. In (1), the function *m* is usually called the symbol of the operator t_m .

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 ℓ^p -estimates are results of boundedness in ℓ^p -spaces of suitable linear operators. Although the L^p -estimates for multipliers on \mathbb{R}^n , are motivated by its applications to PDE's, (see, e.g., Hörmander[13]) ℓ^p -bounds for multipliers on \mathbb{Z}^n can be applied to discrete problems, ones arising from difference equations and others from number theory (see [18, 20]). Taking this into account, multipliers on \mathbb{Z}^n have been studied principally from three sources. The first one and more classical is as elements in the classic Fourier analysis (see [9, 11]) as well as discrete counterparts of Calderón-Zygmund singular integral operators:

$$Tf(x) = \int_{\mathbb{R}^n} k(x-y)f(y)dy, \ f \in \mathscr{S}(\mathbb{R}^n)$$
(3)

where the kernel k is singular on the diagonal. In fact, the discrete counterpart of (3) is defined by

$$tf(n') = \sum_{m \in \mathbb{Z}^n, m \neq n'} k(n'-m)f(m), \ f \in \mathscr{S}(\mathbb{Z}^n).$$
(4)

In this case, k(0) = 0, and the symbol of t is given by $m := \mathscr{F}k$, that is the discrete Fourier transform of the kernel. A remarkable result proved in [1] shows that the L^p -boundedness of an operator T implies the ℓ^p -boundedness of its discrete counterpart t. The reference [27] includes the same result for the Hilbert transform and the discrete Hilbert transform.

The second viewpoint, in a more recent context, includes discrete multipliers as fundamental examples in the theory of pseudo-differential operators on \mathbb{Z}^n , introduced in Molahajloo[16], and developed in the last years by Catana, Delgado, Ghaemi, Jamalpour Birgani, Nabizadeh, Rodriguez and Wong in the references [6, 8, 10, 26], as well as in the fundamental work [20] by L. Botchway, G. Kibiti, and M. Ruzhansky where the theory has been motivated by its potential applications to difference equations. In this setting pseudo-differential operators on \mathbb{Z}^n are defined by the integral form

$$t_m f(n') := \int_{\mathbb{T}^n} e^{i2\pi n' \cdot \xi} m(n',\xi) (\mathscr{F}f)(\xi) d\xi, \ f \in \mathscr{S}(\mathbb{Z}^n), n' \in \mathbb{Z}^n.$$
(5)

The references Rabinovich[22, 23], and Rabinovich and Roch[24, 25] can be think as predecessor works of this subject. The last approach is centered in particular cases of discrete multipliers and summarized, for example, in the thesis of L.B. Pierce [18]. An important type of such operators are called discrete fractional integral operators, and defined by the expression

$$I_{k,\lambda+i\gamma}f(n') = \sum_{m=1}^{\infty} \frac{f(n'-m^k)}{m^{\lambda+i\gamma}}, \ f \in \mathscr{S}(\mathbb{Z}), n' \in \mathbb{Z}.$$
 (6)

These are discrete analogues of Hardy-Littlewood fractional integral operators of the form

$$I'_{k,\lambda+i\gamma}f(x) = \int_{1}^{\infty} \frac{f(x-y^k)}{y^{\lambda+i\gamma}} dy.$$
(7)

The parameter k is a natural number while $0 < \lambda \leq 1$ and $\gamma \in \mathbb{R}$. The attention to ℓ^p -estimates for the discrete operators $I_{k,\lambda+i\gamma}$ is justified by its nice connections to important problems in number theory as the Waring problem. We refer the reader to [19, 32, 12] for a precise discussion in relation with the Hypothesis- K^* in Waring's problem. This conjecture remains unproved for $k \ge 3$. Operators as in (6) are multipliers on \mathbb{Z}^n in the sense that the symbol associated to a fixed operator $I_{k,\lambda+i\gamma}$ is given by

$$m_{k,\lambda+i\gamma}(\xi) = \sum_{m=1}^{\infty} \frac{e^{-i2\pi m^k \xi}}{m^{\lambda+i\gamma}}.$$
(8)

Properties of discrete fractional integral operators (and other discrete operators) in ℓ^p spaces have been considered in the early works of Stein-Wainger[30, 31, 32], the thesis of L. B. Pierce [18], Hughes [14], and the references [5, 15] and [19]. In particular, a result by Ionescu and Wainger[21] establish the ℓ^p -boundedness of $I_{k,\lambda+i\gamma}$, $1 , for <math>\lambda = 1$ and $\gamma \neq 0$. The following conjecture is well known in the setting of discrete fractional integral operators (see [19]).

Conjecture 1. For $0 < \lambda < 1$ and $k \in \mathbb{N}$, $I_{k,\lambda}$ extends to a bounded operator from ℓ^q into ℓ^p , $1 \le q , if and only if <math>p, q$ satisfy

- $\frac{1}{p} \leq \frac{1}{q} \frac{1-\lambda}{k}$, $\frac{1}{p} < \lambda$ and $\frac{1}{q} > 1 \lambda$.

We refer the reader to L. B. Pierce [19] where $\ell^q - \ell^p$ estimates of the following type have been proved solving important cases of Conjecture 1: estimates of the following type have been proved solving important partial cases of Conjecture 1:

• $\frac{1}{p} \leq \frac{1}{q} - \frac{1-\lambda}{\alpha(k)}, \ 1 - \beta(k) < \lambda < 1,$

where the parameters $\alpha(k)$ and $\beta(k)$ are depending on p,q and k. We refer to [19, p. 3] for details and to [32] for sharp expressions of such parameters when k = 2. The case $k \ge 4$ was treated in [18] by using the circle method of Hardy-Ramanujan-Littlewood. Let us observe that we have used the order (ℓ^q, ℓ^p) instead of (ℓ^p, ℓ^q) used in the previous references.

Main results. In this paper, we determinate those conditions on the symbol *m* in order that the operator t_m can be extended to a bounded operator from $\ell^1(\mathbb{Z}^n)$ into $\ell^{p,\infty}(\mathbb{Z}^n), 1 ; this means that the following inequality$

$$\|t_m f\|_{\ell^{p,\infty}} := \sup_{\alpha > 0} \alpha \cdot \mu \{n : |t_m f(n)| > \alpha \}^{\frac{1}{p}} \le C \|f\|_{\ell^1}$$
(9)

remains valid for some constant C > 0 and all $f \in \ell^1$. We have denoted by μ the counting measure on the discrete space \mathbb{Z}^n . Then we will apply the obtained results to the operators $I_{k,\lambda+i\gamma}$. In Theorem 3, we present the following characterisation for t_m in terms of the inverse Fourier transform $k := \mathscr{F}^{-1}m$ of m,

- $t_m: \ell^1(\mathbb{Z}^n) \to \ell^{p,\infty}(\mathbb{Z}^n)$ extends to a bounded operator if and only if $k := \mathscr{F}^{-1}m \in \ell^{p,\infty}(\mathbb{Z}^n)$. Moreover, $\|k\|_{\ell^{p,\infty}} = \|t_m\|_{\mathscr{B}(\ell^1,\ell^{p,\infty})}$.
- $t_m : \ell^1(\mathbb{Z}^n) \to \ell^p(\mathbb{Z}^n)$ extends to a bounded operator if and only if $k := \mathscr{F}^{-1}m \in \ell^p(\mathbb{Z}^n)$. Moreover, $||k||_{\ell^p} = ||t_m||_{\mathscr{B}(\ell^1,\ell^p)}$.

This result is a discrete version of the characterisation for the weak (1, p) inequality for multipliers on \mathbb{R}^n due to Stepanov-Haydy-Littlewood and Sobolev(see [29]). As a consequence of the previous result we obtain our main result for discrete fractional integral operators.

Theorem 1. Let us consider $1 , <math>k \in \mathbb{N}$, $0 < \lambda < 1$, and $\gamma \in \mathbb{R}$. Then,

- $I_{k,\lambda+i\gamma}$ is of weak type (1,p), if and only if $\lambda \geq \frac{1}{p}$.
- $I_{k,\lambda+i\gamma}$ is bounded from ℓ^1 into ℓ^p if and only if $\lambda > \frac{1}{n}$.

Let us observe that the second assertion of Theorem 1 show that the Conjecture 1 holds true in the partial case q = 1 and $1 because <math>\frac{1}{p} < \lambda \le 1 - \frac{1-\lambda}{k}$, for all $k \ge 1$.

To determinate sharp conditions for the ℓ^2 -boundedness of pseudo-differential operators on \mathbb{Z}^n we will prove a discrete version of a classical result due to A. Calderón and R. Vaillancourt, to do so our main tool is the following result:

• the $L^2(\mathbb{R}^n)$ -boundedness of a pseudo-differential operator on \mathbb{R}^n , defined by

$$Tf(x) = \int_{\mathbb{R}^n} e^{i2\pi x\xi} a(x,\xi) \widehat{f}(\xi) d\xi, \ f \in \mathscr{S}(\mathbb{R}^n),$$
(10)

(here $\hat{f}(\xi) = (\mathscr{F}_{\mathbb{R}^n} f)(\xi) = \int_{\mathbb{R}^n} e^{i\pi x\xi} f(x) dx$, denotes the Fourier transform of f) implies the $\ell^2(\mathbb{Z}^n)$ -boundedness of its discrete analogue

$$t_m f(n') = \int_{\mathbb{T}^n} e^{i2\pi x\xi} m(n',\xi)(\mathscr{F}f)(\xi) d\xi, \ f \in \mathscr{S}(\mathbb{Z}^n), \ m(n',\xi) = \overline{a(\xi,-n')},$$
(11)

where the symbol *a* is assumed to be a continuous function.

Consequently, we prove the following theorem.

Theorem 2 (Calderón-Vaillancourt, discrete version). Let us assume that t_m is a pseudo-differential operator on \mathbb{Z}^n . Then, under the condition

$$|\partial_x^{\beta} \Delta_{\xi}^{\alpha} m(x,\xi)| \le C_{\alpha,\beta} (1+|\xi|)^{(|\beta|-|\alpha|)\rho}, \ 0 \le \rho < 1$$
(12)

the operator t_m extends to a bounded operator on $\ell^2(\mathbb{Z}^n)$.

An analogue result to the previous theorem has been proved in the work [20] by L. Botchway, G. Kibiti, and M. Ruzhansky, where conditions of the type $|\partial_x^{\beta}m(x,\xi)| \leq C_{\beta}$, $|\beta| \leq [\frac{n}{2}] + 1$, are imposed on the symbols in order to obtain the ℓ^2 -boundedness of pseudo-differential operators. As an immediate consequence of the techniques developed in [20] we present the following characterisation for the ℓ^2 -compactness of discrete operators:

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 (Gohberg Lemma, discrete version) a pseudo-differential operator t_m extends to a compact operator on ℓ²(ℤⁿ) if and only if

$$\limsup_{|n'| \to \infty} \sup_{\xi \in \mathbb{T}^n} |m(n', \xi)| = 0.$$
(13)

This paper is organized as follows. In the next section we include some preliminaries and later we prove our main results concerning to multipliers and discrete fractional operators. We end Section 2 with Remark 1 where we discuss our results in relation with the Hypothesis K^* conjectured by Hooley on the Waring problem. Finally, in Section 3 we prove our discrete versions of the Calderón-Vaillancourt theorem and the Gohberg Lemma.

2 Weak- ℓ^p estimates for multipliers

In this section we prove our main theorems. However, we recall some basics on Lebesgue spaces and weak Lebesgue spaces on \mathbb{Z}^n . For every $n \in \mathbb{N}$, we denote by $\ell^p(\mathbb{Z}^n)$ to the set of complex functions on \mathbb{Z}^n satisfying

$$||f||_{\ell^p} := (\sum_{n' \in \mathbb{Z}^n} |f(n')|^p)^{\frac{1}{p}} < \infty,$$
(14)

if $1 \le p < \infty$. The weak- ℓ^p space on \mathbb{Z}^n , $\ell^{p,\infty}(\mathbb{Z}^n)$, $1 \le p < \infty$, is defined by those functions f on \mathbb{Z}^n satisfying

$$||f||_{\ell^{p,\infty}} := \sup_{\alpha > 0} \alpha \mu \{ n' : |f(n')| > \alpha \}^{\frac{1}{p}} < \infty.$$
(15)

If we fix 0 < r < p, we have the following seminorm on $\ell^{p,\infty}$, (see e.g., L. Grafakos [11], p. 13):

$$||f||_{\ell^{p,\infty}}' := \sup_{E \subset \mathbb{Z}^n, \, 1 \le \mu(E) < \infty} \mu(E)^{\frac{1}{p} - \frac{1}{r}} (\sum_{n' \in E} |f(n')|^r)^{\frac{1}{r}}$$
(16)

which satisfies

$$\|f\|_{\ell^{p,\infty}} \le \|f\|'_{\ell^{p,\infty}} \le (\frac{p}{p-r})^{\frac{1}{r}} \|f\|_{\ell^{p,\infty}}.$$
(17)

Now, we start with the following lemma.

Lemma 1. Let us consider the multiplier t_m with symbol associated m defined on \mathbb{Z}^n . If $t_m : \ell^1 \to \ell^{p,\infty}$ is bounded, then $k \in \ell^{p,\infty}$ and

$$\|k\|_{\ell^{p,\infty}} \le \|t_m\|_{\mathscr{B}(\ell^1,\ell^{p,\infty})} < \infty.$$
(18)

Proof. If $t_m : \ell^1 \to \ell^{p,\infty}$ is bounded, we write

$$\mu\{s: |t_m f(s)| > \alpha\} \le (C ||f||_{\ell^1} / \alpha)^p, \ C := ||t_m||_{\mathscr{B}(\ell^1, \ell^{p, \infty})}.$$
(19)

In particular, if $f = 1_{\{0\}}$ is the characteristic function of the set $\{0\}$, then $t_m f = k * f = k$. So, we obtain

$$\mu\{s: |t_m f(s)| > \alpha\} = \mu\{s: |k(s)| > \alpha\} \le (C/\alpha)^p, \ C:= ||t_m||_{\mathscr{B}(\ell^1, \ell^{p, \infty})}.$$

Thus, we obtain

$$\|k\|_{\ell^{p,\infty}} \le \|t_m\|_{\mathscr{B}(\ell^1,\ell^{p,\infty})} < \infty.$$

$$(20)$$

So, we end the proof.

Now, we prove the following theorem.

Theorem 3. Let $1 and let us consider a multiplier <math>t_m$ with associated symbol m. Let us consider the convolution kernel of t_m given by the inverse Fourier transform $k := \mathscr{F}^{-1}m$ of m. Then

- $t_m: \ell^1(\mathbb{Z}^n) \to \ell^{p,\infty}(\mathbb{Z}^n)$ extends to a bounded operator if and only if $k := \mathscr{F}^{-1}m \in \ell^{p,\infty}(\mathbb{Z}^n)$. Moreover, $\|k\|_{\ell^{p,\infty}} = \|t_m\|_{\mathscr{B}(\ell^1,\ell^{p,\infty})}$.
- $t_m: \ell^1(\mathbb{Z}^n) \to \ell^p(\mathbb{Z}^n)$ extends to a bounded operator if and only if $k := \mathscr{F}^{-1}m \in \ell^p(\mathbb{Z}^n)$. Moreover, $||k||_{\ell^p} = ||t_m||_{\mathscr{B}(\ell^1,\ell^p)}$.

Proof. Let us consider $1 . In view of Lemma (1), if <math>t_m : \ell^1 \to \ell^{p,\infty}$ is bounded then

$$\|k\|_{\ell^{p,\infty}} = \|\mathscr{F}^{-1}(m)(\cdot)\|_{\ell^{p,\infty}} \le \|t_m\|_{\mathscr{B}(\ell^1,\ell^{p,\infty})}.$$
(21)

So, for the proof, we only need to show that the condition $k := \mathscr{F}^{-1}m \in \ell^{p,\infty}(\mathbb{Z})$ implies the boundedness of t_m from $\ell^1(\mathbb{Z}^n)$ into $\ell^{p,\infty}(\mathbb{Z}^n)$. So, let us assume $k := \mathscr{F}^{-1}m \in \ell^{p,\infty}(\mathbb{Z}^n)$. We will show the inequality

$$\|t_m f(n)\|_{\ell^{p,\infty}} \le C \|f\|_{\ell^1}, C = \|k\|_{\ell^{p,\infty}},\tag{22}$$

for every $f \in \ell^1$. But this is consequence of the weak Young inequality, (also called Hardy-Littlewood-Sobolev inequality)

$$\|t_m f\|_{\ell^{p,\infty}} = \|k * f\|_{\ell^{p,\infty}} \le \|k\|_{\ell^{p,\infty}} \|f\|_{\ell^1}$$
(23)

because it implies

$$\|t_m\|_{\mathscr{B}(\ell^1,\ell^{p,\infty})} \le \|k\|_{\ell^{p,\infty}}.$$
(24)

So, we end the proof for the first item. For the second part, if $t_m : \ell^1 \to \ell^p$ is bounded, then

$$\|t_m f\|_{\ell^p} \le \|t_m\|_{\mathscr{B}(\ell^1, \ell^p)} \|f\|_{\ell^1}.$$
(25)

In particular, if $f = 1_{\{0\}}$, $t_m f = k$ and we obtain $||k||_{\ell^p} \le ||t_m||_{\mathscr{B}(\ell^1, \ell^p)}$. For the converse assertion, we only need to apply the Young inequality, in fact the estimate

$$\|t_m f\|_{\ell^p} = \|k * f\|_{\ell^p} \le \|k\|_{\ell^p} \|f\|_{\ell^1}$$
(26)

implies $||k||_{\ell^p} \ge ||t_m||_{\mathscr{B}(\ell^1,\ell^p)}$. So, we finish the proof of the theorem.

Now, we apply the above result to the analysis of discrete fractional integral operators.

Theorem 4. Let us consider $1 , <math>k \in \mathbb{N}$, $0 < \lambda \leq 1$, and $i\gamma \in i\mathbb{R}$. Then,

- *I_{k,λ+iγ}* is of weak type (1, *p*), if and only if λ ≥ ¹/_p. *I_{k,λ+iγ}* is bounded from ℓ¹ into ℓ^p, if and only if λ > ¹/_p.

Proof. We want to proof the assertion by using Theorem 3. The convolution kernel of $I_{k,\lambda+i\gamma}$, which we denote by $k_{k,\lambda+i\gamma}$, is defined on \mathbb{Z} by: $k_{k,\lambda+i\gamma}(m^k) = \frac{1}{m^{\lambda+i\gamma}}$ for m > 0, and $k_{k,\lambda+i\gamma}(s) = 0$ if $s \neq m^k$ for every m > 0. Let us recall that $k_{k,\lambda+i\gamma} \in \ell^{p,\infty}$ if and only if

$$|k_{k,\lambda+i\gamma}||_{\ell^{p,\infty}}:=\sup_{lpha>0}lpha\mu\{s\in\mathbb{Z}:|k_{k,\lambda+i\gamma}(s)|>lpha\}^{rac{1}{p}}<\infty.$$

Taking into account that

$$\begin{aligned} \|k_{k,\lambda+i\gamma}\|_{\ell^{p,\infty}} &:= \sup_{\alpha>0} \alpha \mu \{s \in \mathbb{Z} : |k_{k,\lambda+i\gamma}(s)| > \alpha \}^{\frac{1}{p}} \\ &= \sup_{\alpha>0} \alpha \{m^k \in \mathbb{Z} : m > 0 \text{ and } \frac{1}{m^{\lambda}} > \alpha \}^{\frac{1}{p}} \\ &= \sup_{\alpha>0} \alpha \mu \{m^k \in \mathbb{Z} : m > 0 \text{ and } m < \frac{1}{\alpha^{\frac{1}{\lambda}}} \}^{\frac{1}{p}} \end{aligned}$$

and by considering that $\mu\{m^k \in \mathbb{Z} : m > 0 \text{ and } m < \frac{1}{\alpha^{\frac{1}{4}}}\} = 0$, for $\alpha > 1$, we deduce the following fact

$$\sup_{\alpha>0} \alpha \mu \{s \in \mathbb{Z} : |k_{k,\lambda+i\gamma}(s)| > \alpha \}^{\frac{1}{p}} = \sup_{0 < \alpha \le 1} \mu \{m^k \in \mathbb{Z} : m > 0 \text{ and } m < \frac{1}{\alpha^{\frac{1}{\lambda}}} \}$$
$$= \sup_{0 < \alpha \le 1} \alpha [\frac{1}{\alpha^{\frac{1}{\lambda}}}]^{\frac{1}{p}}$$

where $[\cdot]$ denotes the integer part function. Now, because

$$\sup_{0<\alpha\leq 1}\alpha\left[\frac{1}{\alpha^{\frac{1}{\lambda}}}\right]^{\frac{1}{p}}=\sup_{0<\alpha\leq 1}\alpha^{1-\frac{1}{\lambda_p}}\leq C<\infty,$$

if and only if $\lambda p \ge 1$ we finish the proof of the first assertion. Now, for the second part, let us note that $k_{k,\lambda+i\gamma} \in \ell^p$ if and only if $\lambda p > 1$. So, by Theorem (3) we end the proof.

We end this section with the following discussion in relation with the Hypothesis K^* .

Remark 1. It was proved in Stein and Wainger[32], that the Hypothesis K^* conjectured by Hooley, is equivalent to the following fact:

for all
$$\lambda : \frac{1}{2} < \lambda < 1$$
, for all $k \in \mathbb{N} : m_{k,\lambda} \in L^{2k}[0,1].$ (27)

By using Theorem 1 we can show the following (weak) assertion:

for all
$$\lambda : \frac{1}{2} < \lambda < 1$$
, for all $k \in \mathbb{N} : k < \frac{1/2}{1-\lambda}, m_{k,\lambda} \in L^{2k}[0,1].$ (28)

In fact, by Theorem 1, for every $\frac{1}{2} < \lambda < 1$ the operator $I_{k,\lambda}$ is weak(1, r') where $r' := \frac{1}{\lambda}$. By Theorem 1 the convolution kernel of $I_{k,\lambda}$, $k_{k,\lambda} = \mathscr{F}^{-1}m_{k,\lambda} \in \ell^{r',\infty}$. Because 1 < r' < 2, by the Hardy-Littlewood-Stein inequality, we obtain:

$$\|m_{k,\lambda}\|_{L^{r,\infty}[0,1]} \le C \|k_{k,\lambda}\|_{r',\infty}.$$
(29)

So, $m_{k,\lambda} \in L^{r,\infty}[0,1]$ and by the embedding $L^{r,\infty}[0,1] \subset L^s[0,1]$ for $s < r = \frac{1}{1-\lambda}$ we deduce that $m_{k,\lambda} \in L^{2k}[0,1]$ for $k < \frac{1/2}{1-\lambda}$.

3 Pseudo-differential operators on ℓ²(Zⁿ). Calderón-Vaillancourt Theorem and Gohberg Lemma

In this section we investigate the action of discrete pseudo-differential operators on $\ell^2(\mathbb{Z}^n)$. Our starting point is the following result where we show that the boundedness of pseudo-differential operators can be transferred to their discrete analogues.

Theorem 5. Let $1 . If <math>a : \mathbb{T}^n \times \mathbb{R}^n \to \mathbb{C}$ is a continuous bounded function and the pseudo-differential operator

$$Tf(x) = \int_{\mathbb{R}^n} e^{i2\pi(x,\xi)} a(x,\xi) (\mathscr{F}_{\mathbb{R}^n} f)(\xi) d\xi$$
(30)

extends to a bounded operator on $L^2(\mathbb{R}^n)$, then the discrete pseudo-differential operator

$$t_m f(n') := \int_{\mathbb{T}^n} e^{i2\pi(x,\xi)} a(x,\xi) (\mathscr{F}f)(\xi) d\xi, \ m(n',\xi) = \overline{a(\xi, -n')},$$
(31)

also extends to a bounded operator on $\ell^2(\mathbb{Z}^n)$. Moreover, some constant C_p satisfies $||t_m||_{\mathscr{B}(\ell^p(\mathbb{Z}^n))} \leq C_p ||T||_{\mathscr{B}(L^p(\mathbb{R}^n))}$.

We begin with the proof of this result by considering the following technical lemma.

Lemma 2. Suppose f is a continuous periodic function on \mathbb{R}^n and let $\{g_m\}$ be a sequence of uniformly bounded continuous periodic functions on \mathbb{R}^n . If g_m converges

pointwise to a function g defined on \mathbb{R}^n and ε_m is a positive sequence of real numbers, then

$$\lim_{m \to \infty} \varepsilon_m^{\frac{n}{2}} \int_{\mathbb{R}^n} e^{-\varepsilon_m |x|^2} f(x) g_m(x) dx = \int_{\mathbb{T}^n} f(x) g(x) dx$$
(32)

provided that $\varepsilon_m \to 0$.

Proof. By using Lemma 3.9 of [33] we have for every $m \in \mathbb{N}$

$$I_{1,m} := \lim_{s \to \infty} \varepsilon_s^{\frac{n}{2}} \int_{\mathbb{R}^n} e^{-\varepsilon_s |x|^2} f(x) g_m(x) dx = \int_{\mathbb{T}^n} f(x) g_m(x) dx.$$

Now, taking into account that the sequence $\{g_m\}$ is uniformly bounded, an application of the dominated convergence theorem gives

$$I_{2,m} := \lim_{m \to \infty} \varepsilon_s^{\frac{n}{2}} \int_{\mathbb{R}^n} e^{-\varepsilon_s |x|^2} f(x) g_m(x) dx = \varepsilon_s^{\frac{n}{2}} \int_{\mathbb{T}^n} e^{-\varepsilon_s |x|^2} f(x) g(x) dx.$$

So, the limit $\lim_{m,s\to\infty} \varepsilon_s^{\frac{n}{2}} \int_{\mathbb{R}^n} e^{-\varepsilon_s |x|^2} f(x) g_m(x) dx$ there exists and can be computed from iterated limits in the following way

$$\lim_{m,s\to\infty}\varepsilon_s^{\frac{n}{2}}\int_{\mathbb{R}^n}e^{-\varepsilon_s|x|^2}f(x)g_m(x)dx=\lim_{m\to\infty}I_{1,m}=\int_{\mathbb{T}^n}f(x)g(x)dx$$

where in the last line we have use the dominated convergence theorem. Consequently we obtain

$$\lim_{m \to \infty} \varepsilon_m^{\frac{n}{2}} \int_{\mathbb{R}^n} e^{-\varepsilon_m |x|^2} f(x) g_m(x) dx = \int_{\mathbb{T}^n} f(x) g(x) dx$$

So, we finish the proof.

Proof. Our proof consists of several steps. First, we will show that the $L^2(\mathbb{R}^n)$ -boundedness of *T* implies the $L^2(\mathbb{T}^n)$ -boundedness of the periodic pseudo-differential operator

$$Af(x) = \sum_{\xi \in \mathbb{T}^n} e^{i2\pi x \cdot \xi} a(x,\xi)(\mathscr{F}_{\mathbb{T}^n}f)(\xi), \ f \in \mathscr{D}(\mathbb{T}^n).$$
(33)

where $\mathscr{F}_{\mathbb{T}^n} f(\xi) = \int_{\mathbb{T}^n} e^{i2\pi(x,\xi)} f(x) dx$, is the periodic Fourier transform of f. Later we will prove that the $L^2(\mathbb{T}^n)$ -boundedness of A implies the $\ell^2(\mathbb{Z}^n)$ -boundedness of t_m .

Now, let us assume that *P* and *Q* are trigonometric polynomials. For every $\delta > 0$ let us denote by $w_{\delta}(x) = e^{-\delta |x|^2}$. So, if $\varepsilon, \alpha, \beta > 0$ and $\alpha + \beta = 1$ let us note that

$$\lim_{\varepsilon \to 0} \varepsilon^{\frac{n}{2}} \int_{\mathbb{R}^n} T(Pw_{\varepsilon\alpha}) \overline{Q(x)} w_{\varepsilon\beta} dx = (\pi/\beta)^{n/2} \int_{\mathbb{T}^n} (AP)(x) \overline{Q(x)} dx.$$
(34)

By linearity we only need to prove (34) when $P(x) = e^{i2\pi mx}$ and $Q(x) = e^{i2\pi kx}$ for k and m in \mathbb{Z}^n . The right hand side of (34) can be computed as follows,

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$$\begin{split} \int_{\mathbb{T}^n} (AP)(x)\overline{\mathcal{Q}(x)}dx &= \int_{\mathbb{T}^n} \left(\sum_{\xi} e^{i2\pi(x,\xi)} a(x,\xi) \delta_{m,\xi} \right) \overline{\mathcal{Q}(x)}dx \\ &= \int_{\mathbb{T}^n} e^{i2\pi(x,m)} a(x,m) \overline{\mathcal{Q}(x)}dx = \int_{\mathbb{T}^n} e^{i2\pi(x,m)-i2\pi kx} a(x,m)dx. \end{split}$$

Now, we compute the left hand side of (34). Taking under consideration that the euclidean Fourier transform of $P(x)w_{\alpha\varepsilon}$ is given by

$$\mathscr{F}_{\mathbb{R}^n}(Pw_{\alpha\varepsilon})(\xi) = (\alpha\varepsilon)^{-\frac{n}{2}}e^{-|\xi-m|^2/\alpha\varepsilon},$$
(35)

by the Fubini theorem we have

$$\begin{split} \int_{\mathbb{R}^n} T(Pw_{\varepsilon\alpha})\overline{Q(x)}w_{\varepsilon\beta}(x)dx &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{i2\pi(x,\xi)}a(x,\xi)(\alpha\varepsilon)^{-\frac{n}{2}}e^{-|\xi-m|^2/\alpha\varepsilon}\overline{Q(x)}w_{\varepsilon\beta}(x)d\xi dx \\ &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{i2\pi(x,\xi)-i2\pi kx}e^{-\pi\varepsilon\beta|x|^2}a(x,\xi)dx(\alpha\varepsilon)^{-\frac{n}{2}}e^{-|\xi-m|^2/\alpha\varepsilon}d\xi \\ &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{i2\pi(x,(\alpha\varepsilon)^{\frac{1}{2}}\eta+m)-i2\pi kx}a(x,(\alpha\varepsilon)^{\frac{1}{2}}\eta+m)e^{-\pi\varepsilon\beta|x|^2}dxe^{-|\eta|^2}d\eta. \end{split}$$

So, we have

$$\lim_{\varepsilon \to 0} \varepsilon^{n/2} \int_{\mathbb{R}^n} T(Pw_{\varepsilon\alpha}) \overline{Q(x)} w_{\varepsilon\beta}(x) dx = \lim_{\varepsilon \to 0} \beta^{-\frac{n}{2}} (\beta \varepsilon)^{n/2} \int_{\mathbb{R}^n} T(Pw_{\varepsilon\alpha}) \overline{Q(x)} w_{\varepsilon\beta}(x) dx$$
$$= \lim_{\varepsilon \to 0} \beta^{-\frac{n}{2}} (\beta \varepsilon)^{n/2} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{i2\pi (x, (\alpha \varepsilon)^{\frac{1}{2}} \eta + m) - i2\pi kx} a(x, (\alpha \varepsilon)^{\frac{1}{2}} \eta + m) e^{-\pi \varepsilon \beta |x|^2} dx \cdot e^{-|\eta|^2} d\eta$$

By Lemma (2), we have

$$\begin{split} \lim_{\varepsilon \to 0} (\beta \varepsilon)^{n/2} \int_{\mathbb{R}^n} e^{i2\pi (x, (\alpha/\beta)^{\frac{1}{2}} (\beta \varepsilon)^{\frac{1}{2}} \eta + m)} e^{-i2\pi kx} a(x, (\alpha \varepsilon)^{\frac{1}{2}} \eta + m) e^{-\pi \varepsilon \beta |x|^2} dx \\ &= \int_{\mathbb{T}^n} e^{i2\pi (x, m) - i2\pi kx} a(x, m) dx. \end{split}$$

Taking into account that $\int_{\mathbb{R}^n} e^{-|\eta|^2} d\eta = \pi^{n/2}$, and that *a* is a continuous bounded function, by the dominated convergence theorem we have

$$\lim_{\varepsilon \to 0} \varepsilon^{n/2} \int_{\mathbb{R}^n} T(Pw_{\varepsilon\alpha}) \overline{Q(x)} w_{\varepsilon\beta}(x) dx = (\pi/\beta)^{n/2} \int_{\mathbb{T}^n} e^{i2\pi(x,m) - i2\pi kx} a(x,m) dx.$$

If we assume that *T* is a bounded linear operator on $L^2(\mathbb{R}^n)$, then the restriction of *A* to trigonometric polynomials is a bounded operators on $L^2(\mathbb{T}^n)$. In fact, if $\alpha = \frac{1}{2}$ and $\beta = \frac{1}{2}$ we obtain

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Because the restriction of A to trigonometric polynomials is a bounded operator on $L^2(\mathbb{T}^n)$ this restriction admits a unique bounded extension on $L^2(\mathbb{T}^n)$. Now, by equation (4.4) of Theorem 4.1 of [20] we have the identity

$$t_m = \mathscr{F}^{-1}A^*\mathscr{F}, \ m(n',\xi) = \overline{a(\xi, -n')}.$$
(36)

Because, $\mathscr{F}: \ell^2(\mathbb{Z}^n) \to L^2(\mathbb{T}^n)$ extends to an isomorphism of Hilbert spaces, t_m extends to a bounded operator on ℓ^2 if and only if A^* (and hence A) is L^2 -bounded. So, we finish the proof.

Now, by using the previous result and the following theorem we establish our discrete version of the Calderón-Vaillancourt theorem (see [2, 3]).

Theorem 6 (Calderón-Vaillancourt). Let us assume that T is a pseudo-differential operator on \mathbb{R}^n associated with the symbol a. Then, under the condition

$$|\partial_x^\beta \partial_\xi^\alpha a(x,\xi)| \le C_{\alpha,\beta} (1+|\xi|)^{(|\beta|-|\alpha|)\rho}, \ 0 \le \rho < 1$$
(37)

the operator T extends to a bounded operator on $L^2(\mathbb{R}^n)$.

The Calderón-Vaillancourt Theorem is sharp. In fact, this theorem fails when $\rho = 1$ (see Duoandikoetxea [9], pag. 113). Because pseudo-differential operators are associated to symbol with a discrete first argument we need the notion of discrete derivatives. So, we define the partial difference operators Δ_{ξ_j} by: $\Delta_{\xi_j}\sigma(\xi) = \sigma(\xi + \delta_j) - \sigma(\xi)$, and $\Delta_{\xi}^{\alpha} = \Delta_{\xi_1}^{\alpha_1} \dots \Delta_{\xi_n}^{\alpha_n}$. For our further analysis we use the following result (see Corollary 4.5.7 of [28]):

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Lemma 3. Let $0 \le \delta \le 1, 0 \le \rho < 1$. Let $a : \mathbb{T}^n \times \mathbb{R}^n \to \mathbb{C}$ satisfying

$$|\partial_{\xi}^{\alpha}\partial_{x}^{\beta}a(x,\xi)| \le C_{a\alpha\beta m}^{(1)}\langle\xi\rangle^{m-\rho|\alpha|+\delta|\beta|},\tag{38}$$

for $|\alpha| \leq N_1$ and $|\beta| \leq N_2$. Then the restriction $\tilde{a} = a|_{\mathbb{T}^n \times \mathbb{Z}^n}$ satisfies the estimate

$$|\Delta_{\xi}^{\alpha}\partial_{x}^{\beta}\tilde{a}(x,\xi)| \le C_{a\alpha\beta m}C_{a\alpha\beta m}^{(1)}\langle\xi\rangle^{m-\rho|\alpha|+\delta|\beta|},\tag{39}$$

for $|\alpha| \leq N_1$ and $|\beta| \leq N_2$. The converse holds true, i.e, if a symbol $\tilde{a}(x,\xi)$ on $\mathbb{T}^n \times \mathbb{Z}^n$ satisfies (ρ, δ) -inequalities of the form

$$|\Delta_{\xi}^{\alpha} \partial_{x}^{\beta} \tilde{a}(x,\xi)| \le C_{a\alpha\beta m}^{(2)} \langle \xi \rangle^{m-\rho|\alpha|+\delta|\beta|}, \tag{40}$$

then $\tilde{a}(x,\xi)$ is the restriction of a symbol $a(x,\xi)$ on $\mathbb{T}^n \times \mathbb{R}^n$ satisfying estimates of the type

$$|\partial_{\xi}^{\alpha}\partial_{x}^{\beta}a(x,\xi)| \le C_{a\alpha\beta m}C_{a\alpha\beta m}^{(2)}\langle\xi\rangle^{m-\rho|\alpha|+\delta|\beta|}.$$
(41)

So, we prove the following result.

Theorem 7 (Calderón-Vaillancourt, discrete version). Let us assume that t_m is a pseudo-differential operator on \mathbb{Z}^n . Then, under the condition

$$|\partial_x^{\beta} \Delta_{\xi}^{\alpha} m(x,\xi)| \le C_{\alpha,\beta} (1+|\xi|)^{(|\beta|-|\alpha|)\rho}, \ 0 \le \rho < 1$$

$$\tag{42}$$

the operator t_m extends to a bounded operator on $\ell^2(\mathbb{Z}^n)$.

Proof. Let us define the function *a* on $\mathbb{T}^n \times \mathbb{R}^n$ by the identity $m(n', \xi) = \overline{a(\xi, -n')}$. Then we have the symbol inequalities

$$|\partial_x^{\beta} \Delta_{\xi}^{\alpha} m(x,\xi)| \le C_{\alpha,\beta} (1+|\xi|)^{(|\beta|-|\alpha|)\rho}, \ 0 \le \rho < 1.$$

$$(43)$$

By Lemma 3, there exists \tilde{a} on $\mathbb{T}^n \times \mathbb{R}^n$ such that $a = \tilde{a}|_{\mathbb{T}^n \times \mathbb{Z}^n}$ satisfying

$$|\partial_x^\beta \partial_\xi^\alpha \tilde{a}(x,\xi)| \le C_{\alpha,\beta} (1+|\xi|)^{(|\beta|-|\alpha|)\rho}, \ 0 \le \rho < 1.$$

$$(44)$$

By the continuous Calderón-Vaillancourt Theorem (Theorem 6) the pseudo-differential operator T on \mathbb{R}^n with symbol \tilde{a} extends to a bounded operator on $L^2(\mathbb{R}^n)$. So, by Theorem 5 we obtain the $\ell^2(\mathbb{Z}^n)$ -boundedness of t_m . So, we finish the proof.

We end this paper with the following characterization which is a discrete version of the Gohberg Lemma proved by Molahajloo, Ruzhansky and Dasgupta (see Molahajloo [17] and Dasgupta and Ruzhansky [7]).

Theorem 8 (Gohberg Lemma, discrete version). A pseudo-differential operator t_m on \mathbb{Z}^n extends to a compact operator on $\ell^2(\mathbb{Z}^n)$ if and only if

$$\limsup_{|n'| \to \infty} \sup_{\xi \in \mathbb{T}^n} |m(n',\xi)| = 0.$$
(45)

Proof. By equation 36, we have

$$t_m = \mathscr{F}^{-1}A^*\mathscr{F}, \ m(n',\xi) = \overline{a(\xi,-n')},$$

where *A* is the periodic operator associated to *a*. So, t_m is compact on $\ell^2(\mathbb{Z}^n)$ if and only if A^* (and hence *A*) extends to a bounded operator on $L^2(\mathbb{T}^n)$. Now, *A* is compact on $L^2(\mathbb{T}^n)$ (see [7] with $G = \mathbb{T}^n$) if and only if

$$\limsup_{|n'| \to \infty} \sup_{\xi \in \mathbb{T}^n} |a(\xi, n')| = 0.$$
(46)

So we obtain

$$\limsup_{|n'|\to\infty} \sup_{\xi\in\mathbb{T}^n} |m(n',\xi)| = 0.$$
(47)

Thus, we end the proof.

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