

Reasoning About Foreign Function Interfaces Without Modelling the Foreign Language

Alexi Turcotte

Northeastern University, Boston, MA, USA

Ellen Arteca

Northeastern University, Boston, MA, USA

Gregor Richards

University of Waterloo, Waterloo, ON, Canada

Abstract

Foreign function interfaces (FFIs) allow programs written in one language (called the *host* language) to call functions written in another language (called the *guest* language), and are widespread throughout modern programming languages, with C FFIs being the most prevalent. Unfortunately, reasoning about C FFIs can be very challenging, particularly when using traditional methods which necessitate a full model of the guest language in order to guarantee anything about the whole language. To address this, we propose a framework for defining whole language semantics of FFIs without needing to model the guest language, which makes reasoning about C FFIs feasible. We show that with such a semantics, one can guarantee some form of soundness of the overall language, as well as attribute errors in well-typed host language programs to the guest language. We also present an implementation of this scheme, Poseidon Lua, which shows a speedup over a traditional Lua C FFI.

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1 Introduction

Often, programming languages are designed with a specific purpose or task in mind. For example, domain specific languages (DSLs) exist for a variety of domains (e.g., querying databases), and a programmer will often choose a DSL when solving a problem that falls in its domain. But, when a programmer wants to write code which touches on several domains, they turn to more general-purpose languages (e.g., Java) to give them the tools they need to do everything they need to do, even though the language might be worse at any one given task as compared to a DSL written specifically for it. With so many programming languages to choose from, not only is picking the right language non-trivial, picking the “wrong” language may come back to haunt you.

To make choosing a language easier, many programming languages are equipped to interoperate with other languages, and one of the most common forms of interoperation is the foreign function interface (FFI). FFIs allow code written in one language (called the



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host language) to call functions written in another language (called the *guest* language), and also interface with data from the guest language, typically accomplished with wrapper code surrounding guest language values and regulating access to them. By and large the most common form of language interoperation is the C FFI, since C is so fast; C FFI's are available for Python, Lua and many other dynamic languages.

Semantically, interfacing with C exposes one to all of C's foibles and irregularities: Memory accesses can fail, return values of an incorrect type, or cause system-specific undefined behavior. As such, FFI's are usually avoided in language semantics, and assumed to be either benign or absent. Unfortunately, proving properties of the behavior of a C FFI using conventional techniques is challenging:

Of the existing body of work on formal specification of language interoperation, some are designed with a very specific use case in mind [6][1], and others propose general frameworks [16] which are difficult to use when reasoning about interoperation with C; these general approaches rely on fully defined semantics for all interoperating languages, which is usually infeasible when one of those languages is C.

In this paper, we aim to describe what behavioral guarantees remain true in the presence of an FFI, how a language hosting an FFI can guarantee its own type correctness at the interface, and how that can motivate the implementation of an FFI. We propose a framework which allows typed languages with a C FFI to be formalized and easily reasoned about *without a full model of C*. Our approach relies on a merger of the guest and host language's type systems, which allows us reason statically about the whole language and the host language's use of the FFI. Additionally, without a model of C, our semantics is *nondeterministic* – as there's no telling what an arbitrary C function might do – and we develop a novel method to reason about these nondeterministic semantics. In principle, this approach works well for interoperation with other languages too, though our model of C's memory and C's types in the host language make languages with similar memory behavior to C's most suitable.

As an example of our framework in action, we also present both the semantics and implementation of Poseidon Lua, a Typed Lua C FFI. In Poseidon Lua, Typed Lua interfaces with C by holding direct pointers to C data, and is equipped to dereference these pointers, cast them, allocate C data directly, as well as call arbitrary C functions. We prove conditional soundness of Poseidon Lua, and prove that if anything “goes wrong” in well-typed Poseidon Lua programs, C code is at fault for the error. Interestingly, merging the type systems of the constituent languages eliminates the need for wrapper code around guest language values, which contributes to improved overall performance.

The main contributions of this paper are:

- a framework for merging type systems of guest and host language to allow interoperation that can be easily reasoned about;
- a semantics for Poseidon Lua, a Typed Lua C FFI, implemented with our framework;
- an implementation, Poseidon Lua;
- improved performance results over the previously existing Lua C FFI.

2 Background

In this section, we will provide requisite background for understanding our proposed framework, as well as our prototype implementation, Poseidon Lua. We will begin with an overview of foreign function interfaces, as we are describing a framework for reasoning about them.

We will also discuss taint analysis, since the concept of taint features prominently in our semantics. We will then discuss Lua, Typed Lua, and Featherweight Lua, as all are crucial to understanding our language Poseidon Lua. We end the section with a quick highlight of some related work.

2.1 Foreign Function Interfaces

A foreign function interface (FFI) is a framework in which code written in one language (called the *host* language) may call code written in another language (called the *guest* language) as well as interface with data from that guest language. In an FFI, the guest language typically exports an API of available functions to the host language, and the host language calls said functions through the function interface. In addition to this function interface, a *data interface* is required to manage the use of one language's data in the other language.

FFIs are prevalent in modern programming. They date back to Common Lisp [11], which first introduced the concept of calling functions written in another language. Many dynamic languages, such as Python [23] and Perl [19], have easy-to-use C FFIs, allowing programmers to quickly and easily call functions written in C, a language known for its speed. In fact, C FFIs are very common, particularly in systems where performance is critical: Scientific computing environments, such as MATLAB [15] and Julia [9], carry out intensive numeric computations and simulations, and often programmers turn to external C functions available through an FFI to speed up the running time of their computationally intensive programs. This provides the user with an easy-to-use scripting language front end which may not be very performant, but with the ability to call fast functions when speed becomes an issue.

Most C FFIs interface with C in environments where C has access to all memory, including that of the host language, but there are exceptions where C is an embedded language with restricted access. One popular such system is Emscripten [29]. Emscripten is a source-to-source compiler from LLVM to JavaScript; its goal is to provide a way to run code on the web which can be compiled with LLVM but not natively run in browsers. Since JavaScript can run in essentially any web setting, compiling a language such as C to JavaScript would enable it to run reliably on a browser. With Emscripten, this can be done by first compiling the original source code down to LLVM, and then translating this to JavaScript. In terms of semantics, C is isolated to its own heap, and cannot interfere with JavaScript's; we use this style of isolation in our own semantics.

Idiomatic FFI usage is to minimize the data interface between the languages to the point where only primitive, scalar values are passed between the languages, as sharing actual structured data has unfortunate behavior: Often, if the FFI even has the capability to allow the host language to store pointers to guest structures, they are mediated through a wrapper. This wrapper problem is insidious: Consider, for example a list. With each access to the next element of a list, a new wrapper must be allocated, and the old wrapper discarded, so a series of simple accesses instead becomes a series of allocations. If the FFI has no capability to access structured guest data, as in Lua's built-in C FFI, the programmer has to write a C accessor for every member they want to access. While the definition of these accessors can be automated, they still incur the FFI to actually access the data, as the accessors are written in C.

Even in systems which generate the data interface statically, such as JNI [20] and SWIG [26], you still need wrappers. Imagine that we are using JNI or SWIG to interface with C. The problem there is that because no type system resembling C's is actually integrated into the host's type system, some layer is needed to make a working data interface, and that layer works exactly the same as in a fully-dynamic FFI. (Note that SWIG *can* be a partial

exception depending on the host language: If the host language lets you hold raw pointers, it just generates a bunch of wrapper *functions*, instead of wrapper *objects*.) Our scheme, on the other hand, avoids using wrappers at all, with our strategy of integrating the guest type system into that of the host; this is discussed in more detail Section 3.

There has been some previous work on formally specifying FFIs, and language in general. One example is early work by M. Abadi and coauthors [1], which explores dynamic typing in a statically typed language, a mixing of two very different language paradigms. Other work by K. Gray [6] tackles the problem of multi-language object extension, and presents a sound calculus modelling the language interoperability and the semantics of objects written in one language being extended in another. Additional work by J. Matthews and R. B. Findler [16] realizes whole language semantics by defining full semantics for host and guest languages, and uses *boundaries* to explicitly regulate value conversions. For our purposes, these approaches are either too specific [1][6], or do not generalize to reasoning about languages with a C FFI [16]. One particular work has a similar motivation to ours and has a fairly generalizable approach: linking types presented by Patterson and Ahmed [22]. This is discussed below in Section 2.4.

2.2 Dynamic Taint Analysis

Introduced by Newsome and Song in their paper [18], dynamic taint analysis is a technique initially developed for tracing potential error propagation through a system, in order to detect exploits on commodity software. The idea is that some data sources are considered *untrusted*, and data which originates from these sources is labelled with taint. This allows for the tracking of potential errors, and also can be used to restrict what the tainted data can be used for. In addition, if there is an error in the program that involves some of the tainted data, information on what potentially caused the error is all available as taint information.

The idea of dynamic taint analysis can be generalized to the tracking or propagation of any tagged (tainted) data in a program. In this work, we adapt the concept of taint to reasoning about a C FFI without modelling C: when a C call occurs, we cannot say what *will* happen, but we can reason about what *could* happen. We can model arbitrary C calls by tagging any data which could have been modified by the call with taint information identifying it, and should an error occur involving any of this data, the taint can point to the call which tampered with the data. Note that this is a property of the semantics for the purpose of proofs; we do not demand that an implementation track dynamic taint. This is explained in detail in Sections 3.2 and 4.

2.3 The Base for Poseidon Lua

Later in this work, we will be presenting Poseidon Lua, a Typed Lua C FFI. In this section, we present variants of Lua, the host language in Poseidon Lua. First we discuss the Lua language itself, before turning our attention to its variants and extensions.

2.3.1 Lua

Lua is a lightweight dynamic imperative scripting language with lexical scoping and first class functions. Lua is extensible, and offers many metaprogramming mechanisms to facilitate adaptation of the language. Its main data structure is an associative array known as the table, which can stand in for most common data structures, such as arrays, records, and objects. The functionality of tables can be further augmented through metamethods, which

are essentially hooks for the Lua compiler. Classic object-oriented programming patterns, such as methods and constructors, can be easily encoded in Lua with these table extensions. A C FFI was developed for Lua by Facebook [3]: called `luaiffib`, it is a standard C FFI which wraps C data for use by Lua. Note that we did not implement Poseidon Lua on top of LuaJIT [21], as the implementation merely serves as a demonstration of our semantics, and JIT compilers are less amenable to such modifications. Also, LuaJIT offers the same sort of data interface that we do, but without types and with boxed references to C structures – our techniques would thus apply to it for better performance.

Our approach to reasoning about FFIs involves embedding the type system of the guest into the host language, but Lua has no type system to embed into! For this reason, Lua is not the host language in Poseidon Lua – as we need a type system, we chose Typed Lua as a base.

2.3.2 Typed Lua

Lua is a dynamic language, and as is often the case with these languages (see TypeScript [17] and Typed Racket [27]), there have been a few attempts at adding types in some form. One such example with Lua specifically is Tidal Lock [12], a static analyzer relying on simple type annotations. Another is Typed Lua, an optional type system for Lua [14].

In their design of Typed Lua, Maidl et al. performed an automated analysis of existing Lua programs to obtain a clear picture of how programmers use the language; they paid close attention to idiomatic Lua code to ensure that their design aligned with conventional language use. Typed Lua is optionally typed, which means that the type annotations are removed when code is compiled. Typed Lua accounts for a large subset of Lua, but a few parts are omitted, namely polymorphic functions and table types, and certain uses of the `setmetatable` function. The type system of Poseidon Lua largely matches Typed Lua’s, and a full discussion will appear in Section 4.1.

Like other optionally and gradually typed languages, a program written in Typed Lua has an initial stage of *type compilation*. First, the Typed Lua code gets translated (i.e., compiled) to its corresponding Lua program, and it’s during this first phase of compilation that the type information is used. At “type compile” time, typed code can be checked statically for type errors before being translated. The type information has no effect on the generated Lua code; Typed Lua programs are type checked by the compiler, and if they are well-typed, the compiler simply erases the types, generating plain Lua. Then, this Lua code is compiled to bytecode and run on the Lua virtual machine.

This multistage process means that there are two distinct versions of Lua involved in running a Typed Lua program. For clarity, in our discussion of Poseidon Lua we will use the following terminology: Typed Lua will be referred to as the *typed language* or the *user language*, since this is the language in which the programmer will be writing programs. Then, the *untyped language* or the *run-time language* refers to the subset of Lua resulting from the compilation of user language programs and additional expressions needed to deal with C. Both of these languages’ grammar and operational semantics are given in Section 4.

In giving a prototype using our framework we needed to develop a formal representation of Poseidon Lua. Poseidon Lua is formalized using a *core calculus* based on Featherweight Lua (FWLua) [10], itself a core calculus of Lua (discussed next).

2.3.3 Featherweight Lua

There have been a few formal specifications of Lua. First, a semantics was developed by M. Soldevila and coauthors [25] to gain a deeper understanding of Lua programs; it was mechanized in PLT Redex [4] using reduction semantics with evaluation contexts. Another

semantics, not unlike Featherweight Java [8] and LambdaJS [7], proposes a core calculus for Lua. Called Featherweight Lua (FWLua) [10], this semantics focuses on formalizing what authors deem to be the essential features of Lua: first-class functions, tables, and metatables. Remaining Lua features, including expression sequencing and control structures, are shown to reduce into FWLua through an extensive desugaring process. The FWLua specification [10] also provides a reference interpreter written in Haskell.

The principle goal of FWLua is to capture core Lua idioms, and a crucial aspect of the Lua language is its table construct. Under the hood, Lua handles table access and table write with `rawset` and `rawget` functions, respectively; these are not typically written by the programmer, but are part of how Lua drives table functionality. In their design of FWLua, the authors modelled table access and table write wholly with these `rawget` and `rawset` operations, and together with other basic semantic constructs (e.g., functions and binary operations) propose functions which mimic the semantics of full-fledged Lua. For example, to capture Lua’s scoping rules, FWLua reserves certain tables to be so-called “scope tables”: the `_local` table is one such example and is always accessible, and changes whenever a new scope is entered while keeping a reference to its outer scope in its `_outer` member. This way, variable access (say, of `x`) is desugared into a function which first searches through `_local`, and if `x` is not present in `_local`, then it searches recursively through `_local._outer`, and so on until `x` is located, producing `nil` if `x` is not found. This proved challenging to reason about, so we chose to promote variables to first-class language members.

To contrast Lua and FWLua, consider the following, which illustrates table construction in Lua:

```
local t = {}
t.x -- nil, uninitialized table members are nil
t.x = 42 -- t.x is now 42
t[0] = "hello" -- tables may be indexed like arrays
t["hi"] = 3.14 -- equivalent to t.hi
```

As you can see, tables can be accessed in a variety of ways in Lua, and have syntax which specifically supports different access styles, be it array-style or record-style. Tables are incrementally constructed, and can be extended at any time, much like dynamic object extension in JavaScript or other dynamic languages. In FWLua, the above translates (with a line-by-line correspondence) to:

```
rawset(_local, "t", {})
rawget(rawget(_local, "t"), "x")
rawset(rawget(_local, "t"), "x", 42)
rawset(rawget(_local, "t"), 0, "hello")
rawset(rawget(_local, "t"), "hi", "hello")
```

Here we see the `rawset` and `rawget` functions are used to write and read from a table, respectively. As we mentioned earlier, FWLua desugars variables into special table members: The table `_local` deals with local variables, and the table `_ENV` deals with global variables.

2.4 Related Work: Linking Types

Linking types, presented by Patterson and Ahmed [22], consider a different approach to reasoning about language interoperation. This work considers the languages working together as components within a larger language, which itself encompasses behavior of one language as well as the added behavior of making calls to the other language. Linking types themselves

are designed to allow programmers to express and reason about one language's features in another (possibly) less expressive language which has no concept of those features. With linking types, the programmer can annotate a program to indicate where it interfaces with more expressive code in the linked language. Then, with these types, reasoning about the behavior of the whole program becomes possible.

Although both their work and ours are motivated by the same essential problem, they require modelling of both languages and focus more on the language of types than on semantics or proofs. In our work, we take a notably different approach in deciding not to model the behavior of the guest language, and instead work with the semantics of the point of intersection (i.e. the boundary between host and guest), using nondeterminism to consider the potential outcomes of the guest language calls. We believe that our types could be expressed in terms of linking types with no meaningful change to our semantics or proofs, but have not investigated this.

3 The Problem

FFIs are ubiquitous in programming, and C FFIs are by far the most common, but they are usually excluded from formal treatments of programming languages. Unfortunately, traditional methods of reasoning about FFIs necessitate a full semantic model of the guest language to show anything about the overall system: Defining a formal semantics for C is very involved, and, any such semantics will be compiler-dependent. For example, while the CompCert [2] project was groundbreaking in their implementation of a formally verified C compiler, their guarantees are limited to C programs compiled with this compiler, and do not hold for C programs compiled on other compilers (such as `gcc`).

Hypothetically, if we had a whole language semantics for a system with a C FFI, what might we be interested to show? One result of interest would be some form of type soundness for the host language, to ensure that the inclusion of the FFI in the semantics didn't cause any strange issues. Additionally, we might like to show that if any failures occur in a well-typed program calling a C FFI, then C is in some way *at fault* for the failure. In this work, we show that we can get these results *even without a full model of C!*

To achieve this, we will need to be able to reason statically about *use* of the FFI (i.e., the host's interface with the guest). The function interface of an FFI exports function handles, so we can at least check that functions are being called and used correctly, even if we don't know exactly what they do. However, the data interface of FFIs is typically built up *dynamically*, and cannot be reasoned about statically. Indeed, in a conventional FFI, wrappers are built up at run-time as values flow from one language to another, and dynamically regulate access to underlying data.

In order to fully guarantee that the host language's use of the C FFI is correct, we need the data interface to be static, and we can achieve this by embedding C's type system into the type system of the host language. This way, the host language can express C types and statically check its own use of C data instead of relying on run-time wrapper code like in traditional approaches. As it happens, with this scheme wrappers are no longer necessary, and their removal results in improved performance; this is discussed further in Section 5.

It's not enough to have a system in place to statically reason about the host language's use of the C FFI, as we still need to consider how we can model calls to C when we have no model of the C code, and how we can reason about the resulting semantics.

3.1 Taint and Nondeterminism

With no model of foreign C code, a well-typed call to a C function exhibits *nondeterminism*. Without analyzing the C code we cannot reason statically about what exactly the function does (e.g., a C function could dereference a null pointer or otherwise crash the program). To account for this, at least two semantic rules for guest language calls are required: one modelling a *successful* call where the function didn't crash and returned something to the host language execution, and another modelling *failure*, where the function failed to do so (or, more generally, failed to successfully pass execution back to the host). Note that the rule for failure must have strictly more permissive preconditions than any rule modelling a successful call, as failure must always be an option.

Unfortunately, this simple model of nondeterministic success and failure of a particular call does not fully account for all effects that C can have. For instance, executing a C function could free some memory that the host program has access to while still terminating and returning successfully, and the next dereference of a pointer to that memory would fail or return unexpected values. To fully account for this case where a successful C call has detrimental side effects, we need some additional mechanism to indicate to subsequent reductions that the function may have tampered with some data.

To model the fact that black-box C code may arbitrarily modify data, we use the concept of *taint* as described in 2.2; here, even successful calls to C functions will taint the memory locations which may have been modified (i.e. all the memory C has access to). The presence of taint at a memory location indicates that use of the location is nondeterministic: the next use of the location could either succeed, indicating that no fatal modification was made, or it could fail, indicating that the location was fatally modified by the call which placed the taint. Note that success in accessing a tainted location does *not* mean that the value at that location is the value that was there before it became tainted, it just means that the access did not crash; C could still have changed the value in a way that was not fatal to the program. Crucially, successfully using a tainted location will *clean* or *remove* the taint, as from that moment until the next C call we are sure that the location is not somehow broken, and that its value will not change (unless overwritten by Lua).

In summary, nondeterminism and taint together enable us to express the effects that C may have on the host language program *without* modelling C. Note that since we use a nondeterministic semantics for C and thus avoid modelling its behavior, in principle this approach works well with other languages. However, our model of C's memory and C's types in the host language make languages with similar memory behavior to C's most suitable.

To demonstrate this framework, we will present the semantics of Poseidon Lua, a Typed Lua C FFI. A high-level description of Poseidon Lua will be given in the next section.

3.2 Overview of Poseidon Lua

Essentially, Poseidon Lua is Typed Lua with a C FFI. It is fine-grained relative to standard FFIs: Unlike traditional FFIs, in Poseidon Lua the type systems of Lua and C are merged through a Lua pointer type, and the language has syntax with which the Lua programmer can allocate and manipulate these pointers. Specifically, Poseidon Lua allows you to: allocate and use C data, cast said pointers, and call C functions. The formal semantics are discussed fully in Section 4.

In our semantics of Poseidon Lua, Lua directly holds C values through a *pointer* to some location in a C store, which is separate from Lua's store. Structs are laid out in the C store as they would be in C, taking up space proportional to the number of struct members;

these members can then be accessed with an offset equal to its position in the list of struct members (like accessing elements in an array). As explained, with no model of C, C function calls are nondeterministic, with successful calls taint everything in the C store – for this reason, our formalization includes optional taint information in the C store. Access to clean (i.e., taint-free) locations in the C store are deterministic, while accesses to tainted locations are not, and in the event of successful access to a tainted location the taint can be removed and future accesses to that same location become deterministic (at least, until the next call to a C function).

Another interesting application of taint is in modeling C’s undefined behaviour, of which one classic example is casting pointers. In Poseidon Lua, as in C, pointers to C values may be downcast. To model this in our formal semantics, we include types in the C store, alongside taint and the values themselves – the C store is thus a list of triples of (*value*, *type*, *optional taint*). This way, we can model the cast of a Lua pointer (to a C value) to some type *T* by changing the type held at the pointer’s location in the C store to *T*. But that’s not quite enough, as casting pointers is undefined behavior in C, and we can use taint to cleanly capture this: Once cast, the location becomes tainted, and the next access to that location is nondeterministic. In this scenario, taint indicates the cast location’s potential for undefined behavior when it is accessed.

Another use of taint in Poseidon Lua is in our modelling of allocation of C pointers. In C, the `calloc` function initializes the allocated memory with 0s, so in allocating a pointer to a pointer, one is actually allocating a pointer to a 0 (which is to be treated as a pointer)! Indeed, if one were to dereference the second pointer, one would be dereferencing 0 which leads to a segmentation fault in most circumstances (0, of course, is NULL in C). To achieve this in our semantics, we taint the allocated memory location when a (Lua pointer to a) C pointer is being allocated, to indicate the potential failure of the next access to this location.

Even though we don’t model C, we do make some assumptions about C’s behavior: For one, we assume that C does not touch Lua’s memory, and that its effects are contained to an explicitly defined C store: in other words, the shared memory has clearly defined bounds. This mirrors reality in most other FFIs, where guest code and data is not aware of host code and data. However, it is technically possible for C code to violate this assumption. We also make a simplifying assumption that all allocation and access is by word, which reduces the complexity of C data accesses without loss of generality. We require that C doesn’t write new or mutate existing Lua code, otherwise we would have to scrutinize existing expressions that have yet to be reduced and would be unable to prove anything. We additionally make no explicit mention of the stack pointer, which would needlessly complicate function calls and returns for no real benefit. Further, C functions cannot call Lua functions in our formalization, so as to package all of C’s effects into one black box; this is possible through callbacks, but would again be very complex without meaningfully improving the semantics. Finally, we disregard threads, which avoids needing to reason about the effects of concurrency on top of the effect of C, a layer of complexity which is outside of the scope of this project.

4 Semantics

Poseidon Lua is our proof-of-concept for the ideas discussed in Section 3. Having highlighted some of the stranger corners of our formal specification of Poseidon Lua in Section 3.2, we will now discuss the C FFI in its entirety.

In Poseidon Lua, Lua primarily interacts with C by calling C functions, and our merger of the two languages necessitates that C values be a part of the broader language. To represent these C values, Typed Lua has a concept of a Lua pointer to a C value, which is Lua’s

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$T ::=$ <ul style="list-style-type: none"> nil value ref T $T_1 \cup T_2$ L B $T_1 \rightarrow_L T_2$ $\{f_1, \dots, f_n\}$ ptr_L T_C 	<ul style="list-style-type: none"> <i>nil type</i> <i>top type</i> <i>reference type</i> <i>union type</i> <i>literal type</i> <i>base type</i> <i>function type</i> <i>table type</i> <i>Lua pointer type</i> 	$f ::=$ <ul style="list-style-type: none"> $s : T$ const $s : T$ 	<ul style="list-style-type: none"> <i>field</i> <i>const field</i>
$T_C ::=$ <ul style="list-style-type: none"> int $T_C^1 \rightarrow_C T_C^2$ ptr_C T_C $\{s_1 : T_C^1, \dots, s_n : T_C^n\}$ 	<ul style="list-style-type: none"> <i>C integer type</i> <i>C function type</i> <i>C pointer type</i> <i>C struct type</i> 	$L ::=$ <ul style="list-style-type: none"> b n s 	<ul style="list-style-type: none"> <i>boolean literal</i> <i>numberliteral</i> <i>stringliteral</i>
		$B ::=$ <ul style="list-style-type: none"> boolean number string 	<ul style="list-style-type: none"> <i>base types</i>

■ **Figure 1** The Poseidon Lua type system.

window to accessing C data. This means that Lua never deals directly with C values per se, and instead deals with pointers to these values. As mentioned previously, we implement the additional functionality of allocating C data as well as downcasting C pointers, both directly from Lua code without needing to call C.

We start by describing the type system in detail, and follow with a presentation of a core calculus which models the language. Then, we discuss the typing and reduction relations before concluding with a discussion of soundness and other interesting proven results.

4.1 Type Systems

Poseidon Lua’s type system is a combination of Typed Lua’s [14] and C’s type systems. For illustrative purposes, we chose a subset of C’s type system which highlights some of C’s interesting features without getting bogged down in the low-level details; we only formalized integers, pointers, structs, and functions. These are not limitations of the concept, merely simplifications made to the formalization. The story is similar with Typed Lua’s type system; our function type only has a single argument type, and multivariate functions are carried to repeated application of single variable functions, by which a single argument function type suffices. In fleshing out this type system for our core calculus, we found no need for Typed Lua’s type variables, recursive types, and projection types, and were able to greatly simplify their table type. Further, to simplify reasoning about Lua, we only allow string indexing in tables. Again, these are not limitations of the language, and are only simplifications for the purposes of formalization.

Our types are given in Figure 1, and explained in detail throughout this section. Type ordering is as follows:

- **value** is a supertype of all types;
- **nil** is the type of Lua’s `nil` value, and is a subtype of all base types;
- union types are supertypes of their members;
- literal types are the types of literals (e.g. the literal type of `5` is `5`), and base types are the more general typical types of these literals (e.g. the base type of `5` is `number`) – that said, literal types are subtypes of their corresponding base types;
- function types are contravariant in their argument types, and covariant in their return types;
- table types have **width subtyping**: A table type T is a supertype of a table type T' which has a superset of all of the fields of T (in other words, adding extra fields preserves the subtyping relationship);

- table types have **depth subtyping** only on **const** fields: If a table type T has a **const** field x with type T_x , and a table type T' has all the same fields as T except that field x has type T'_x , where $T'_x < T_x$, then $T' < T$ (in other words, **const** field types may be specialized while preserving the subtyping relationship)

C's types are included in the Typed Lua type system (and made accessible to the user) via the "Lua pointer" C type $\mathbf{ptr}_L T_C$; here, \mathbf{ptr}_L denotes a Lua pointer type, and T_C is the C type being pointed to (e.g., $\mathbf{ptr}_L \mathbf{int}$ is a Lua pointer to a C integer). As explained above, Lua only ever deals with *pointers* to C values, and not C values themselves: the only access to C values is through this pointer. C's type system is consequently entirely self contained, and is a strict subset of Lua's with no ability to reference Lua types. In some sense, C is "plugged" in to Lua through the $\mathbf{ptr}_L T_C$ type.

While we don't formally model C, we do need some information on C functions in order to ensure that everything shakes out properly at run-time. For example, in our semantics we model C functions as black boxes with no function body, and we ask for parameter and return types for these functions to ensure that they are called with correctly-typed arguments, even though the function bodies themselves are not modeled. What this means is that we can make sure that the functions are called correctly, but are not responsible for their internal behavior. Indeed, FFIs typically export function types as part of their API and may not always export their code – this is the situation modeled by our semantics. This is also analogous to a user calling a library for which the source code is not provided, even when the library is written in the same language as the "library host" language.

4.2 The Language

In this section, we present a core calculus modelling Poseidon Lua, akin to FWLua [10]. We will discuss the language of expressions, both typed and untyped, before moving on to the typing judgment and reduction relation.

We present *two* languages (in the same manner as Typed Lua, recall from Section 2.3.2): The language of *untyped expressions* E , also known as the language of *run-time expressions*, is the language that will actually reduce at run-time, and the language of *typed expressions* TE is the language that programmers will interface with and program in, with a few minor caveats which will be discussed in time. Roughly, the typed language corresponds to Typed Lua with our added C FFI, and the untyped language corresponds to a subset of Lua with additional expressions for C interoperation. We begin with the typed language TE .

4.2.1 Typed Language

Figure 2 presents the language of typed expressions, representing the language that the programmer will be interfacing with, with some notable exceptions. The *Lua dereference* and *location update* expressions, and the *Lua location* value are not explicitly written by the programmer; they are artifacts of our typing judgment which will be presented in Section 4.3. We sometimes refer to the aforementioned expressions as *intermediate expressions*; the typed language without these is the *user language*.

These expressions largely describe a core calculus of Typed Lua, with the exception of the following C expressions:

- C *downcast* denotes the cast of expression te to C type T_C ;
- C *allocation* allocates a C *pointer* to a value of C type T_C ;
- C *deref* is used to dereference the C pointer expression te ;

$te ::= v_t$	<i>value</i>	$v_t ::= \mathbf{nil}$	<i>nil value</i>
$\{s_1 = v_1, \dots, s_n = v_n\}$	<i>table</i>	r	<i>register</i>
$\mathbf{let} x : T := te_1 \mathbf{in} te_2$	<i>let binding</i>	c	<i>constant</i>
$x := te$	<i>variable update</i>	$\mathbf{loc} n$	<i>Lua location</i>
$\mathbf{loc} n := te$	<i>location update</i>	$\lambda x : T.te$	<i>Lua function</i>
$\mathbf{deref} te$	<i>Lua dereference</i>	$\mathbf{cfun} T_C$	<i>C function</i>
$te_1 \mathit{opt} te_2$	<i>binary operation</i>	$\mathbf{ptr} n T_C$	<i>C pointer</i>
$te_1(te_2)$	<i>function call</i>	$r ::= \mathbf{reg} n$	<i>table store loc</i>
x	<i>variable</i>	$c ::= n$	<i>number</i>
$te_1.te_2$	<i>dot access</i>	b	<i>boolean</i>
$te_1.te_2 := te_3$	<i>dot update</i>	s	<i>string</i>
$\mathbf{cast} te T_C$	<i>C downcast</i>	$op ::= +, -, *, /$	<i>arithmetic</i>
$\mathbf{calloc} T_C$	<i>C allocation</i>	$\leq, <, \geq, >$	<i>order</i>
$\mathbf{deref}_C te$	<i>C deref</i>	\wedge, \vee	<i>boolean</i>
$te_1; te_2$	<i>sequence</i>	\dots	<i>concatenation</i>
		$==$	<i>equality</i>

■ **Figure 2** The language of typed expressions.

- *C function* describes a C function with type signature T_C . The type T_C is required by the type transformation to type these functions, as it cannot leverage the function body (as is the case with traditional functions);
- *C pointer* is a pointer to location n in the C store, with expected C type T_C ;
- Access to C structs is done through the *dot access* and *dot update* expressions (so long as te_1 is a C struct), and calling C functions is done through the *function call* expression (so long as te_1 is a C function).

Besides the C expressions, the typed language is standard or otherwise directly analogous to some untyped expression, which we will discuss in more detail shortly.

Typed expressions will all compile into equivalent run-time expressions where the types have been erased. We explore this run-time language next.

4.2.2 Untyped Language

The untyped language describes the expressions which will reduce/evaluate at run-time. Generally speaking, they are analogous to some equivalent typed expression where the types have been erased. This language essentially describes a core calculus of Lua, based on FWLua (described in Section 2.3.3), though we added sequencing, let bindings, variables, table literals, and of course C interoperability. The full language can be found in Figure 3.

FWLua is a core calculus of Lua, and a number of minor modifications were required when adapting FWLua to describe Typed Lua, particularly with tables. Recall that tables are the principle data structure in Lua; as discussed previously, FWLua desugars all of Lua's table manipulation into the dual **rawget** and **rawset** constructs. For the purposes of formalization, we needed to relax FWLua's extreme desugaring; one example of this being the table literal (*table*) expression. FWLua handles table construction incrementally: an empty table is first created and stored, and then it is populated with the values at the programmer's discretion. Unfortunately, this scheme fails in typed languages, as the empty table is not a subtype of any non-empty tables, so we include a table literal to allow the expression of a full table when needed for assignments.

$e ::= v$	<i>value</i>		
$\{s_1 = v_1, \dots, s_n = v_n\}$	<i>table</i>		
rawget $e_1 e_2$	<i>table select</i>		
rawset $e_1 e_2 e_3$	<i>table update</i>		
$e_1 op e_2$	<i>binary operation</i>	$v ::= \mathbf{nil}_L$	<i>nil value</i>
$e_1(e_2)$	<i>Lua fun. appl.</i>	r	<i>register</i>
x	<i>variable</i>	c	<i>constant</i>
$x := e$	<i>var. assignment</i>	loc n	<i>Lua store loc.</i>
loc $n := e$	<i>location update</i>	ptr_L $n T_C$	<i>C store pointer</i>
deref e	<i>Lua dereference</i>	$\lambda x.e$	<i>Lua function</i>
let $x := e_1$ in e_2	<i>let binding</i>	cfun	<i>C function</i>
cget $e n T_C$	<i>C store access</i>	$v_C ::= \mathbf{ptr}_C n$	<i>C store pointer</i>
cset $e_1 n e_2 T_C$	<i>C store update</i>	n	<i>C number literal</i>
ccall $e_1 e_2 T_C \beta$	<i>C function call</i>		
calloc $T_C \beta$	<i>C allocation</i>		
cast $e T_C \beta$	<i>C downcast</i>		
$e_1; e_2$	<i>sequence</i>		
err β	<i>error expression</i>		

■ **Figure 3** The language of untyped, run-time expressions.

Our function expression is unchanged from FWLua, though we must include a new C function expression to allow FFI calls. Unlike the Lua function, which is a traditional lambda expression, the C function has far less information in it – indeed, it has no function body! Most of the information needed for a C call is stored in the C function call expression itself.

For accesses into C structs, we have the **cget** and **cset** expressions, analogous to **rawget** and **rawset**. **cget** and **cset** are also used for accessing and writing to C pointers, which will be discussed in more detail in Section 4.4. In **cget** $e n T_C$, e is a pointer into the C store, n is the offset of the access, and T_C is the type that the **cget** is expecting to read. Similarly in **cset** $e_1 n e_2 T_C$, e_1 is a pointer into the C store, n is an offset, e_2 is the value to write, and T_C is the type that the **cset** is expecting the store to contain at the referenced pointer (recall that we store type information for each pointer in the C store).

To call functions, programmers may write a standard function application as $te_1(te_2)$ in the typed language of Figure 2. The type transformation can, depending on the type of te_1 , transform the application into either a Lua function application or a C call. The Lua function call expression $e_1(e_2)$ is straightforward, so let us focus on the C call: In **ccall** $e_1 e_2 T_C \beta$, e_1 is the C function being called, e_2 is the argument to that function, T_C is the function’s type, and β is an identifier associated with the call (its line of code). The type is necessary since C calls exhibit nondeterministic behavior, and we can leverage T_C to reason about the value that is returned from the function. The line of code information β is related to taint, which we will describe fully when giving the semantics of the calls.

There are also a few expressions for functionality unique to C. As one might expect, **calloc** $T_C \beta$ allocates something of C type T_C , and β is the identifier uniquely associated with the allocation, which allows a trace-back if a run-time error occurs. **cast** $e T_C \beta$ downcasts the pointer e to type T_C , and again β is a unique identifier associated with the cast.

4.3 Typing Judgment

Making a distinction between typed and untyped languages (or user and run-time languages) makes sense in many optionally or gradually typed languages, where a typed language is compiled into an untyped language which will be the one executing at run-time (recall the

two stage compilation process described in the context of Typed Lua in Section 2.3.2). In these settings the typing judgment often needs to be modified to connect the languages together. We define a *type transformation* relation, a modification of the standard *typing judgment* relation, which transforms/compiles a typed expression into its corresponding untyped expression:

$$\Gamma, K \vdash te : T \rightsquigarrow e \quad (1)$$

Here, Γ is the typing environment, which assigns types to variables, and K is the typing context, containing information about the various store typings. Our run-time environment contains three stores: a table store for Lua tables, a C store for C values, and a variable store for variables. K can thus be broken up into three store typings: Σ_T describing the table store, Σ_C for the C store, and Σ_V for the variable store. Roughly speaking, the type transformation takes a typed expression te and “compiles” it into an untyped expression e , assigning to it type T in the context of Γ and K .

In the following typing rules, some auxiliary functions will appear in the preconditions to simplify the notation. They are as follows:

- *goodLayout*(n, T_C, Σ_C) checks to see if location n in the C store typing Σ_C represents type T_C . If T_C is a primitive type or a pointer type, this succeeds if $\Sigma_C(n) = T_C$. As for structs, recall that they are laid out contiguously in the store: If T_C is a struct type (for example, $\{s_1 : T_C^1, \dots, s_n : T_C^n\}$), then each of the fields must be present in Σ_C with the correct type, i.e. for all fields s_i we must have $\Sigma_C(n + i) = T_C^i$.
- *offsetForType*(s, T_C) computes the offset of member s in structure type T_C . Our formalization of the C store lays out structs according to their type, and this function relates their type (T_C) to their layout in the store.

As we mentioned, in Poseidon Lua, Lua can interact with C in the following ways: allocation and access of C data, C function calls, and casting of C pointers. In this section we will focus on the typing rules for the expressions describing this FFI. The full typing rules are given in Appendix A.1.

We will first consider the rule for allocation of C data.

$$\frac{\text{validType}(T_C) \quad \beta \text{ unused}}{\Gamma, K \vdash \mathbf{calloc} T_C : \mathbf{ptr}_L T_C \rightsquigarrow \mathbf{calloc} T_C \beta} \quad (\text{TT_CALLOC})$$

In Poseidon Lua, programmers can allocate Lua pointers to C data types (here, T_C), provided that the type is *valid* for allocation. For this to be the case, T_C must either be a primitive type, pointer type, or struct (itself recursively made up of valid types). This prevents programmers from making nonsensical statements, such as allocating C functions in Lua. The β here is needed when allocating C pointers: In C, allocating a pointer to a pointer can cause issues if the innermost pointer is not properly initialized, due to the default values that C inserts (pointer values are often initialized to 0, which is an invalid memory address for C to access). This semantics will be dealt with in due course, and the inclusion of β in the **calloc** expression is crucial to achieving the desired behavior – this will be further discussed in Section 4.4.

Having seen C allocation, we turn our attention to typing (Lua pointers to) C values:

$$\frac{\begin{array}{c} n < \text{length}(\Sigma_C) \\ \text{goodLayout}(n, T_C, \Sigma_C) \end{array}}{\Gamma, (\Sigma_T, \Sigma_C, \Sigma_V) \vdash \mathbf{ptr}_L n T_C : \mathbf{ptr}_L T_C \rightsquigarrow \mathbf{ptr}_L n T_C} \quad (\text{TT_LUA_PTR})$$

C values are always “hidden behind” a Lua pointer in Poseidon Lua, and so from Lua’s point of view all C values have some \mathbf{ptr}_L type. In the expression $\mathbf{ptr}_L n T_C$, n is the location referenced by the pointer, and T_C specifies the type that the location is intended to have. The type information is required since structures do not directly inhabit the C store, and so accessing a structure would be impossible with a simpler rule, since $\Sigma_C(n)$ will never have a struct type; the type information allows us to check to see if location n does in fact correspond to T_C using the *goodLayout* auxiliary function, and only allow the pointer to type if it does. The typing rule for dereferencing these pointers follows.

$$\frac{\Gamma, K \vdash te : \mathbf{ptr}_L T_C \rightsquigarrow e \quad \text{validForCDeref}(T_C) \quad T_L = \text{coerceCType}(T_C)}{\Gamma, K \vdash \mathbf{deref}_C te : T_L \rightsquigarrow \mathbf{cget} e 0 T_C} \quad (\text{TT_VAR_C_DEREF})$$

Here, beyond ensuring that te is in fact a Lua pointer, we need to ensure that it is a pointer to a type that we can dereference. The C store is made up entirely of primitives and pointers, so we disallow dereferencing of things of another type (for example, we cannot dereference a C function pointer). Because our type transformation deals with Lua types only, we need to coerce T_C into a Lua type to type this expression: Indeed, at run-time the dereference will coerce the value it obtains from the C store, and the coercion at this level allows such an expression to type. Note also the untyped expression corresponding to the dereference: \mathbf{cget} can play the part of either simple dereferencing and also struct field access, depending on the value of its offset parameter (here, 0). An offset of 0 indicates that we are either getting the first member in a struct, or simply dereferencing a pointer to non-struct data.

We consider C functions next.

$$\frac{}{\Gamma, K \vdash \mathbf{cfun} (ct_1 \rightarrow_C ct_2) : (ct_1 \rightarrow_C ct_2) \rightsquigarrow \mathbf{cfun}} \quad (\text{TT_C_FUNCTION})$$

Here, note that the C function expression contains the whole type of the function, and without a body the function trivially types. Type information is necessary because we don’t model C’s semantics: In typical typing rules for functions, the return type can be determined thanks to the function body, and we have no such body to rely on here. In some sense, this is in line with what one would expect when dealing with FFIs, since part of their API is the full type of the exported functions.

Let us consider how one calls these functions:

$$\frac{\Gamma, K \vdash te_1 : (T \rightarrow_C T') \rightsquigarrow e_1 \quad \Gamma, K \vdash te_2 : T \rightsquigarrow e_2 \quad \beta \text{ unused}}{\Gamma, K \vdash te_1(te_2) : T' \rightsquigarrow \mathbf{ccall} e_1 e_2 T' \beta} \quad (\text{TT_C_FUN_APPL})$$

In rule TT_C_FUN_APPL, we type the function application according to its return type. Note the T' in the compiled (on the right of the \rightsquigarrow) C call: The untyped call requires the return type for reduction to be possible, and we will discuss this in more detail in Section 4.4. Since C calls are sources of taint, we include β as an identifier uniquely associated with the call, which corresponds to the line of code occupied by the call. In the event of a failure, we can determine which call (and, thus, which function handle) is to blame.

We will now consider reading from and writing to C structs. First, reading:

$$\frac{\Gamma, K \vdash te_1 : \mathbf{ptr}_L T_1 \rightsquigarrow e_1 \quad \text{structType}(T_1) \quad \Gamma, K \vdash te_2 : s \rightsquigarrow e_2 \quad s \in T_1 \quad n = \text{offsetForType}(s, T_1)}{\Gamma, K \vdash te_1.te_2 : T_1(s) \rightsquigarrow \mathbf{cget} e_1 n T_1(s)} \quad (\text{TT_C_DOT_ACCESS})$$

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Here, if te_1 types to $\mathbf{ptr}_L T_1$, T_1 is a struct type, and te_2 types to a string literal s which is a field name in struct T_1 , then the C struct member access types. Note that te_1 must be a Lua pointer to a C struct, as C structs themselves are not allowed in Poseidon Lua unless they are behind a Lua pointer. Also, the resulting **cget** is given the offset of field s in T_1 (determined with the *offsetForType* auxiliary function), since the C store lays out struct members linearly in an array form.

Second, C struct member update:

$$\frac{\begin{array}{l} \Gamma, K \vdash te_1 : \mathbf{ptr}_L T_1 \rightsquigarrow e_1 \quad \mathit{structType}(T_1) \\ \Gamma, K \vdash te_2 : s \rightsquigarrow e_2 \quad \Gamma, K \vdash te_3 : T_1(s) \rightsquigarrow e_3 \\ s \in T_1 \quad n = \mathit{offsetForType}(s, T_1) \end{array}}{\Gamma, K \vdash te_1.te_2 := te_3 : \mathbf{value} \rightsquigarrow \mathbf{cset} e_1 n e_2 T_1(s)} \quad (\text{TT_C_DOT_UPDATE})$$

As before, if te_1 is a Lua pointer to a C struct type T_1 , and te_2 is a string s which is a member of that struct, and te_3 is appropriately typed, we can type the C struct update. We again emit an offset (in place of te_2), which the **cset** will use when writing to the C store.

Finally, Poseidon Lua allows C values to be downcast, and they type as follows:

$$\frac{\Gamma, K \vdash te : \mathbf{ptr}_L T'_C \rightsquigarrow e \quad \beta \text{ unused}}{\Gamma, K \vdash \mathbf{ccast} te T_C : T_C \rightsquigarrow \mathbf{ccast} e T_C \beta} \quad (\text{TT_C_CAST})$$

Here, we notice that casting must be done through the Lua pointer, and so long as T_C is a C type we allow the cast to go through. There is no mention of T_C and T'_C being compatible types, as C freely allows casting of pointers, and the cast merely changes the way that the bits referred to by the pointer are read. As with previous mentions of β , it features here to allow errors caused by the cast to be easily traced back to the cast.

At this point, we have explored each of the typing rules associated with Poseidon Lua's C FFI. In many cases, such as in `TT_C_FUN_APPL`, these rules transferred some type information to their analogous run-time expressions in order to drive the run-time functionality of the system. We discuss reduction of run-time expressions next.

4.4 Operational Semantics

The *reduction relation* on untyped expressions, describing the execution of programs, is:

$$e / \sigma_T / \sigma_C / \sigma_V \rightarrow e' / \sigma'_T / \sigma'_C / \sigma'_V \quad (2)$$

Here, e and e' are expressions in the untyped language, σ_T and σ'_T are *table stores*, σ_C and σ'_C are *C stores*, σ_V and σ'_V are *variable stores*. At a high level, the table store σ_T is a list of Lua tables, the variable store σ_V is a list of values, and finally the C store σ_C is a list of $(v, T_C, \beta?)$ triples, where v is a C value, T_C is its type, and $\beta?$ is optional taint information (\emptyset represents no taint, or a clean location). As we mentioned in Section 3.2, the unusual inclusion of type information in the run-time C store is required to properly model C downcast semantics.

To simplify notation, we sometimes write the reduction relation as:

$$e / \mathcal{S} \rightarrow e' / \mathcal{S}' \quad (3)$$

We refer to \mathcal{S} and \mathcal{S}' above as the *run-time environment*; the set of all the stores making up the state/context of the reduction.

It will be necessary to differentiate between C stores based on whether or not they are tainted; for this purpose, we say that a C store is *clean* if none of the elements of the store are

themselves tainted. To simplify discussion of tainted environments, we say that a run-time environment is clean if its C store is also clean.

At the very highest level, we are formalizing a system wherein Lua code can interface with C in the following manner: allocating C data, reading from and writing to some shared memory with C, downcasting C values, and calling C functions.

Our formalization of Lua is based on FWLua [10], and we adapted their big-step semantics to a more standard small-step equivalent. For our discussion of FWLua, see Section 2.3.3. In order to mechanize our formalization, some simplifying modifications to FWLua were required, namely the promotion of variables from syntactic sugar to full-fledged language members. Of course, Lua allows you to declare and use variables, but FWLua desugars variables into access to a special store carried around at run-time. Poseidon Lua requires that FFI calls be made only from well-typed code, and so we adapted the type system of Typed Lua [14], with some modifications made possible by our simplified semantics for Lua.

Notable in Poseidon Lua is the merger of Typed Lua's and C's type systems through the Lua pointer type, and consequently the intermixing of values from both Lua and C. Lua makes reference to C values through the *Lua pointer* expression, and can both access and change the data contained in these pointers, as well as cast them to some C type. Lua may also allocate Lua pointers to C values through the **calloc** expression, without needing to make a **ccall**.

We will now turn our attention to the operational semantics of Poseidon Lua, with a focus on the C FFI, mirroring discussion of the typing judgment in Section 4.3. The full reduction rules are given in Appendix A.2. We start with the semantics of allocating C data. Consider:

$$\frac{n = \text{length}(\sigma_C) \quad \sigma'_C = \sigma_C + \text{layoutTypeAndTaint}(T_C, \beta)}{\text{calloc } T_C \beta / \sigma_T / \sigma_C / \sigma_V \rightarrow \text{ptr } n T_C / \sigma_T / \sigma'_C / \sigma_V} \quad (\text{R_CALLOC})$$

The **calloc** $T_C \beta$ expression allocates enough memory in the C store σ_C to accommodate a value of type T_C . The function *layoutTypeAndTaint* lays out type T_C and taints pointer members (as per our earlier discussion in Section 3.2). If T_C either is or contains a C pointer type, then we taint that location (with taint information β) to indicate to our system that its behavior is undefined until it is successfully accessed or written to. If T_C is a primitive or pointer type, then we simply produce a triplet containing a default value (this is 0 for pointers), the type T_C , and taint if T_C is a pointer type, and if T_C is a struct, we lay out each of its members in a similar fashion. Following allocation, a C pointer with the location of the beginning of the newly allocated memory is produced.

Compared with C allocation, C calls have intricate semantics as we do not attempt to model the bodies of arbitrary C functions. Instead, we treat the C functions like black boxes, and consequently C function calls exhibit *nondeterministic* semantics, as any well-typed C call can either succeed or fail if the function body is made up of arbitrary C code (recall that we consider a call successful if it returns to executing the host language with some value of the expected type). In the event of successful execution, we concern ourselves with the return value and the call's potential effects on the rest of the C data. Recall our discussion that even if a call is successful, the function code might have altered the C store in a variety of ways (such as freeing some existing memory), and we must account for this possibility.

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We will first consider the reduction rule for a successful C call.

$$\frac{\text{value}(v_2) \quad v = \text{makeValueOfType}(ct_2) \quad \sigma'_C = \text{taintCStore}(\sigma_C, \beta)}{\mathbf{ccall\ cfun} \ v_2 \ ct_2 \ \beta / \sigma_T / \sigma_C / \sigma_V \rightarrow v / \sigma_T / \sigma'_C / \sigma_V} \quad (\mathbf{R_CCALL_WORKED})$$

Here, $\mathbf{ccall\ cfun} \ v_2 \ ct_2 \ \beta$ calls a C function \mathbf{cfun} with argument v_2 . In this case, the call succeeds, and $\text{makeValueOfType}(ct_2)$ gives us v , something of type ct_2 . Of course, since it's possible that the call tampered with the C store, we taint the store with taint information β , corresponding to the line of code of this function call. This notifies subsequent accesses to these memory locations of potential tampering, which modifies the semantics of those accesses. C function calls can also fail:

$$\frac{\text{value}(v_2) \quad \sigma'_C = \text{taintCStore}(\sigma_C, \beta)}{\mathbf{ccall\ cfun} \ v_2 \ ct_2 \ \beta / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{err} \ \beta / \sigma_T / \sigma'_C / \sigma_V} \quad (\mathbf{R_CCALL_FAILED})$$

To capture that both success and failure are possible outcomes, we ensure that the premises of both rules are simultaneously satisfied: When all of $\mathbf{R_CCALL_WORKED}$'s preconditions are met, so are $\mathbf{R_CCALL_FAILED}$'s (and vice-versa). The $\mathbf{err} \ \beta$ expression is the result of the failing call, and indicates through taint information β which call is to blame for the failure.

Having seen the intricacies of C calls, we will turn our attention to the semantics of casting C pointers, another source of taint. For brevity, we only present the rule for casting a clean location (the other rule is not notably different). Consider:

$$\frac{n < \text{length}(\sigma_C) \quad \sigma_C(n) = (v, T_C, \emptyset) \quad \sigma'_C = \text{update}(\sigma_C, n, (v, T'_C, \beta))}{\mathbf{ccast} \ (\mathbf{ptr}_L \ n \ T_C) \ T'_C \ \beta / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{ptr}_L \ n \ T'_C / \sigma_T / \sigma'_C / \sigma_V} \quad (\mathbf{R_CCAST})$$

Here, the location n in σ_C is updated with the new type T'_C and taint information associated with the cast (thanks to the update auxiliary function – $\text{update}(s, l, v)$ reads as “update s at location l with value v ”). In C, casting a pointer merely changes how the bits being pointed to are read, and the cast may even cause an error; we achieve similar semantics with taint. When attempting to read location n in σ_C after it was cast, taint indicates that the access should be nondeterministic. To keep our system as general as possible, we don't attempt to model the cast per se, and the next read will replace v with a new value of type T'_C if successful, or fail with an error. We discuss the semantics of accesses next.

Thus far, we focused on the introduction of taint and fairly direct sources of nondeterminism, and we will turn our attention to taint's effect on the semantics of our system, as well as how it can be removed from the run-time environment. As an example, recall our semantics for C casts: When casting a location to some type T_C , the location becomes tainted. Now, imagine that the next use of the location is to store something of type T_C in it; if this write succeeds, from then on we are sure about the value present at the location. Such an operation is said to *clean* the taint from the location; in our formalization, taint represents uncertainty about a C value, and once we become certain of it (e.g., we have accessed the value and no errors have occurred) we can safely remove the taint.

In more formal terms, the presence of taint at a location in σ_C indicates that accessing that location yields nondeterministic results. To capture this, we ensure that a read or write to a tainted location can reduce to more than one expression *under the same premises*; namely, said read or write can succeed or fail.

Consider the following semantics for accessing a clean location in σ_C :

$$\frac{\sigma_C(n+o) = (v_C, T_C, \emptyset) \quad v_{out} = \text{coerceToLua}(v_C)}{\mathbf{cget}(\mathbf{ptr}_{\mathbf{L}} n T'_C) o T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow v_{out} / \sigma_T / \sigma_C / \sigma_V} \quad (\mathbf{R_CGET_NO_TAINT})$$

Here, the expression $\mathbf{cget}(\mathbf{ptr}_{\mathbf{L}} n T'_C) o T_C$ accesses σ_C at location n with offset o , and is expecting something of type T_C . In this reduction rule, location $n+o$ in σ_C is clean, and so the (well-typed) store access cannot fail. The access steps to v_{out} , which is the Lua equivalent of the C value contained in σ_C , determined through the *coerceToLua* auxiliary function. Note that the pointer's type (T'_C) does not necessarily need to match the expected type of the access (T_C); this is because **cgets** can be used for struct member access, where T'_C would be a struct type and T_C would be the type of the member.

coerceToLua(v_C) is a function which takes a C value v and coerces it to a Lua value. If v_C is a C integer, then it is coerced to a Lua constant with the same numeric value. If v_C is a C pointer $\mathbf{ptr}_{\mathbf{C}} m ct$, then it is coerced into a Lua pointer $\mathbf{ptr}_{\mathbf{Lua}} m ct$ (to the same location). Otherwise, the coercion fails.

Note the presence of a type T_C in the **cget** expression. A condition of reading (and writing) from σ_C is that the type specified for the read must match the type held in σ_C . This allows us to enforce the correct use of downcast locations, as the cast changes the type in σ_C , and future reads (and writes) must specify the new type.

We will now consider accesses to tainted locations, which can either fail or succeed. First, consider a successful access:

$$\frac{\sigma_C(n+o) = (v, T_C, \beta) \quad v' = \text{makeValueOfType}(T_C) \quad \sigma'_C = \text{update}(\sigma_C, n+o, (v', T_C, \emptyset)) \quad v_{out} = \text{coerceToLua}(v')}{\mathbf{cget}(\mathbf{ptr} n T'_C) o T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow v_{out} / \sigma_T / \sigma_C / \sigma_V} \quad (\mathbf{R_CGET_TAINT_WORKS})$$

Here, we access σ_C at location n with offset o , and are expecting something of type T_C as before. However, $\sigma_C(n+o)$ is tainted, resulting in nondeterminism (i.e. we do not know whether an access to this value will fail or succeed). In this reduction rule, we deal with the case of a successful access to tainted locations. Here, a successful access returns some value of the appropriate type (thanks to the *makeValueOfType* auxiliary function). The C store at $n+o$ is cleaned and updated with the new value; from this moment on, use of this location is deterministic. Note that the value was observed to be *something* of type T_C , though not necessarily the same value that was in that location before the C call which initially necessitated the addition of the taint.

The following reduction rule deals with failing access:

$$\frac{\sigma_C(n+o) = (v, T_C, \beta)}{\mathbf{cget}(\mathbf{ptr} n T'_C) o T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{err} \beta / \sigma_T / \sigma_C / \sigma_V} \quad (\mathbf{R_CGET_TAINT_FAILS})$$

Here, the access fails, reporting the taint information identifying the call which tampered with this data. Note that satisfaction of rule **R_CGET_TAINT_WORKS**'s preconditions implies satisfaction of this rule's preconditions – this ensures that access to tainted locations can fail in any situation that it can succeed.

Similar to **cget**, **cset** has nondeterministic semantics when dealing with tainted locations. First, consider writes to clean locations:

$$\frac{\sigma_C(n+o) = (v, T_C, \emptyset) \quad \text{value}(v_2) \quad v_{put} = \text{coerceToC}(v_2) \quad \sigma'_C = \text{update}(\sigma_C, n+o, (v_{put}, T_C, \emptyset))}{\mathbf{cset}(\mathbf{ptr}_{\mathbf{L}} n T'_C) o v_2 T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow v_2 / \sigma_T / \sigma'_C / \sigma_V} \quad (\mathbf{R_CSET_NO_TAINT})$$

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In the expression $\mathbf{cset}(\mathbf{ptr}_L n T'_C) o v_2 T_C$, we write v_2 to location n with offset o in σ_C , and we expect the location to have type T_C . Since location $n + o$ in σ_C is clean, the store update cannot fail.

Note that we must first coerce v_2 to a C value v_{put} to store it in σ_C . $\mathit{coerceToC}(v_2)$ is similar to the $\mathit{coerceToLua}$ function, though it coerces Lua values to C instead. For example, if v_2 is a numeric constant, the function produces a C integer with the same numeric value, and if v_2 is a Lua pointer $\mathbf{ptr}_L m ct$, an equivalent C pointer $\mathbf{ptr}_C m ct$ is produced.

The rule for **csets** on tainted locations is given below:

$$\frac{\sigma_C(n + o) = (v, T_C, \beta) \quad \mathit{value}(v_2) \quad v_{put} = \mathit{coerceToC}(v_2) \quad \sigma'_C = \mathit{update}(\sigma_C, n + o, (v_{put}, T_C, \emptyset))}{\mathbf{cset}(\mathbf{ptr}_L n T'_C) o v_2 T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow v_2 / \sigma_T / \sigma'_C / \sigma_V} \quad (\mathbf{R_CSET_TAINT_WORKS})$$

Here, we again coerce v_2 to a C value v_{put} to location n with offset o in σ_C , and we expect the location to have type T_C . However, $\sigma_C(n + o)$ is tainted, and so we are in a state of nondeterminism. In rule **R_CSET_TAINT_WORKS**, the write succeeds: We update $\sigma_C(n + o)$ with the new value v_{put} and clean the taint. Of course, failure is always an option:

$$\frac{\sigma_C(n + o) = (v, T_C, \beta)}{\mathbf{cset}(\mathbf{ptr}_L n T'_C) o v_2 T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{err} \beta / \sigma_T / \sigma_C / \sigma_V} \quad (\mathbf{R_CSET_TAINT_FAILS})$$

In this parallel case to **T_CSET_TAINT_WORKS**, the write fails, and reports the taint information stored at $\sigma_C(n + o)$.

By now, we have explored each of the reduction relations related to Poseidon Lua's C FFI. In Section 3, we claimed that even without a model of C, as is the case in our system, the merger of the type systems of C and Typed Lua allows us to prove meaningful and interesting results about the language as a whole. The next section presents the results which we have proved, and sketches the proofs.

4.5 Proofs

There are two major results that we would like to prove about our semantics of Poseidon Lua. First, we would like to show some form of *soundness*, though clearly we can't have traditional type safety due to interoperation with C. Even so, we designed our semantics in such a way as to *track* C's effect on the overall system, and we can leverage that to show (conditional) soundness of the host language. Note that our proofs are mechanized in Coq, and this code is included in the artifact; a brief sketch of each proof is given here, but for the full details refer to the code.

We start with a sketch of preservation.

► **Theorem 1** (Preservation). *For all $K, te, T, e, S,$ and S' such that $\{\}, K \vdash te : T \rightsquigarrow e, e / S \rightarrow e' / S', S$ is well-typed with respect to $K,$ and both environments S and S' are clean, then there exists store typing $K',$ typed expression $te',$ and type T' such that $\{\}, K' \vdash te' : T' \rightsquigarrow e'$ with $T' <: T, S'$ is well-typed with respect to $K',$ and K' extends $K.$*

Proof sketch. Standard proof by induction on the typing derivation $\{\}, K \vdash te : T \rightsquigarrow e.$ Any case where the error expression is reached is in violation of the run-time environments S and S' being clean, as taint is required in order to get an error. ◀

Essentially, the statement of preservation for Poseidon Lua differs from traditional statements of preservation in the stipulation that the run-time environments S and S' be clean. Clean environments ensure that the C error expression cannot be reached, and that the semantics are deterministic, as it's the presence of taint which begets nondeterminism.

We can similarly show progress.

► **Theorem 2 (Progress).** *For all K , te , T , e , and S such that $\{\}, K \vdash te : T \rightsquigarrow e$, S is well-typed with respect to K , and S is clean, then either e is a value, or there exists clean environment S' , and expression e' such that $e / S \rightarrow e' / S'$.*

Proof sketch. Another standard proof by induction on the typing derivation $\{\}, K \vdash te : T \rightsquigarrow e$. As with preservation, any case where the error expression is reached is in violation of the run-time environments S and S' being clean. ◀

As was the case in preservation, the statement of progress here is distinguished by the requirement that run-time environment S be clean. With a clean S' , progress connects cleanly with preservation, allowing us to show soundness of Poseidon Lua contingent on clean environments. A sketch of soundness follows.

► **Theorem 3 (Soundness).** *For all K , te , T , e , and S such that $\{\}, K \vdash te : T \rightsquigarrow e$, either e diverges, or there exists clean environment S' , and value v such that $e / S \rightarrow^* v / S'$ and all intermediate environments are clean.*

Proof sketch. A standard proof, which basically amounts to applications of progress and preservation, and the intermediate environment of each step in the chain of reductions is guaranteed to be clean by construction (in a sense, progress generates clean environments). ◀

Roughly speaking, Theorem 3 states that Poseidon Lua programs in clean environments do not get stuck. The restriction to clean environments is due to the guest language, C, potentially interfering with the host language: C calls taint the environment, and accessing tainted values can lead to a stuck state even in well-typed programs. This isn't to say that you can't use C at all, as allocating simple pointers and structs does not taint the environment, and it is equally valid if some taint was once present and had been cleaned by successful accesses or writes.

So, what is the purpose of a soundness result such as the one presented here? What we have shown is that programs cannot go wrong if we don't venture into the C world, and proving this is a baseline and sanity check of sorts: It is difficult to discuss the semantics of the whole system if we do not at least know that one component of it is sound. Knowing that a taint-free system allows sound execution allows, for instance, the argument that one can recover sound execution by cleaning all tainted values out of the heap.

Unfortunately, our statement of soundness doesn't say much for the realistic use case of Poseidon Lua (and C FFIs in general), as these systems are designed to call C code. That said, we are not without options: as before, our inclusion of taint allows us to reason about C's effects on the overall language. Crucially, failing C reductions result in the error expression `err` β , and the taint information β can be used to identify the true culprit for the crash, even if that culprit was some earlier, seemingly unrelated expression. In short, we can show that C is to blame for failures in well-typed Poseidon Lua programs.

► **Theorem 4 (Always Blame C).** *If the error expression `err` β is reached, then there exists some C expression which is to blame.*

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```
1      p = calloc Point
2      cCall1(p)
3      cCall2(p)
4      cCall3(p)
5      print(p.x)
6
7
      p = calloc Point
      cCall1(p)
      print(p.x)
      cCall2(p)
      print(p.x)
      cCall3(p)
      print(p.x)
```

■ **Figure 4** Illustrative example.

Proof sketch. Effectively, this can be shown by construction of our semantics. $\text{err } \beta$ can only be reached through reduction from a C expression, and the only way that such a reduction can occur is if there was some taint in the run-time environment. In $\text{err } \beta$, β is taint information which identifies some C call, cast, or allocation (as those are the only expressions which can taint), and it's the identified expression that will be blamed. ◀

At a high level, Theorem 4 indicates that run-time errors in well-typed Poseidon Lua are attributable to C. This signifies that our interoperation scheme does not allow for any additional errors which are the fault of the host language, and any errors introduced by the C FFI can be traced back to C.

Taken together, Theorems 3 and 4 are analogous to soundness of static code and the gradual guarantee in gradually typed languages [28][24], though the context is otherwise quite different. This similarity betrays a certain connection between gradual typing and language interoperation, a connection equally noted by aforementioned work on linking types [22].

As we know, program execution in a tainted environment is nondeterministic. In this state, many executions are possible, and they can be categorized as follows: the program either terminates successfully, terminates unsuccessfully, or it executes until the environment is cleaned of taint. Interestingly, executions which clean the taint actually *reclaim* soundness, and are deterministic at least until the next C call.

We can show one other interesting result about Poseidon Lua programs which call C. First, recall that only clean locations gain taint when a C call occurred; this ensures proper error tracking in the event of multiple C calls possibly tainting the same data. For an illustrative example, consider the code in Figure 4.

Assume the leftmost program fails at the access to `p.x`, blaming `cCall1` and identifying it as the start of our search; here, we cannot say for sure which of `cCall1`, `cCall2`, or `cCall3` mucked with `p.x`. However, we can generate a modified program which can isolate the faulty C call. Consider the snippet on the right. If `cCall1` was the culprit of the failure, then the access immediately following it will fail. If not, and `cCall2` was at fault, then the access immediately after `cCall2` will fail. If neither of these are true, then `cCall3` is at fault, causing the final access to `p.x` to fail. This amounts to fault localization: When we are uncertain about which of a number of unsafe operations are at fault for a run-time failure, we can generate a new program which isolates the faulty operation.

5 Poseidon Lua: Implementation

As a demonstration of the practicality of these semantics, they have been implemented as modifications to Lua 5.3.3 [13] and Typed Lua [14]. Lua is extended to provide low-level interfaces, and Typed Lua is extended to make use of them with C types. The extensions to Lua have no guarantees of safety or correctness on their own, and are treated as an internal implementation language for the modifications of Typed Lua. Typed Lua is extended with C types, through the addition of a C pointer in Lua which refers to C data (as explained in Section 4.1).

Typed Lua’s grammar is extended as follows:

```

T ::= (all existing Typed Lua types) | PtrType
PtrType ::= ptr ptr* PtrTargetType
PtrTargetType ::= CVoidType | CPrimitiveType | Name
CType ::= CPrimitiveType | PtrType
CVoidType ::= void
CPrimitiveType ::= char | int | double
Statement ::= (all existing Typed Lua statements) | StructDeclaration
StructDeclaration ::= struct Name StructIdDecList end
StructIdDecList ::= StructIdDec StructIdDec*
StructIdDec ::= Id : CType
Expression ::= (all existing Typed Lua expressions) | CallocExpr
CallocExpr ::= calloc ( PtrTargetType )

```

T, in particular, is the existing Typed Lua non-terminal for types. As a consequence, any variable, parameter or field in Poseidon Lua may contain a *pointer* to a C value, but may not contain a C value directly. All other types are unmodified, and behave as they do in Typed Lua. As in C, the Poseidon Lua compiler assures that every type named in a C pointer type has a corresponding struct declaration, and that no name corresponds to multiple structure declarations, and as in C, the struct declaration defines the memory layout of objects of that type. Unlike in C, declarations are not required to precede uses of the type they declare. A simple wrapper for `calloc` is provided to assure that allocations are always of the correct size. For this prototype, we implemented only `chars`, `ints` and `doubles`, but there is no conceptual limitation on implementing any other primitive type. For convenience, Poseidon Lua also provides syntax and semantics for C arrays, but they are not discussed in this work.

This modified Typed Lua compiles to Lua, extended with intrinsics to manipulate memory directly. Typed Lua code which doesn’t use C features is unchanged: That is, if C `ptrs` are not used, `calloc` is not used, and the code passes type checking, then it compiles into identical Lua code without type annotations or declarations (i.e. the types are erased). Lua already provides a datatype, “light user data”, intended for storing pointers to C data, and this datatype is used for all `ptr`-typed variables and fields. This is why Lua was used for this prototype. However, Lua’s light user data is completely opaque to Lua code: In order to use it, one must implement a C interface, from which the underlying pointers are exposed. Our principle extensions to Lua are low-level operators to directly manipulate memory through these pointers: `CS_loadChar`, `CS_storeChar`, and similar for `ints`, `doubles` and `pointers`. In addition, `CS_calloc` and `CS_free` are provided to give direct access to C’s `calloc` and `free`, a literal `CS_NULL` corresponding to C’s `NULL` is provided to check for errors, and `CS_loadString` and `CS_storeString` are provided to convert between C strings

```

struct House
    num_rooms : int
end
local house_1 : ptr House = calloc(House)
house_1.num_rooms = 6

```

■ **Figure 5** Simple Poseidon Lua code example.

```

local house_1 = CS_calloc(4)
CS_storeInt(house_1, 0, 6)

```

■ **Figure 6** Simple Lua code example compiled from Poseidon Lua.

(0-terminated `char` arrays) and Lua strings. “CS” in this context is an abbreviation of “C Semantics”.

Each of these low-level operators converts data between Lua’s native data types and C’s, given a C pointer stored in a Lua light user data, and an offset. The conversions themselves are trivial. None of these operators are intended for direct use by end users. Instead, Poseidon Lua’s Typed Lua implementation compiles code which uses C types – that is, code which accesses members of `ptr`-typed variables or fields – to Lua which uses the correct operators. Internally, each low-level operator is compiled to its own opcode in Lua’s bytecode.

As a simple example, the Poseidon Lua in Figure 5 compiles to the Lua in Figure 6.

As the changes in our semantics are concerned principally with C data, rather than C functions, we use a modified `luaiffb` for the function component of the interface. Poseidon Lua’s modified `luaiffb` is changed only by replacing their wrapper objects with Lua’s light user data, which can then be handled by Typed Lua types. The jump between C and Lua code incurs much less overhead than wrapping C data for use in Lua, so no further modifications are necessary.

5.1 Performance

Poseidon Lua code which doesn’t use C types is just regular Typed Lua: when compiled into Lua code this will be identical to the equivalent Typed Lua program being compiled into Lua, and so will not display any performance difference. Thus, to compare the performance of Poseidon Lua against `luaiffb`, we need benchmarks which particularly measure the access to structured data. Unfortunately, we know of no benchmark suite intended specifically for this purpose, so instead we ported four benchmarks from the Computer Language Benchmarks Game [5]. The subset of benchmarks from CLBG were selected because they had Lua versions which used structured data types. In each case, they were rewritten so that every structured datatype used a C struct, the shape of which was taken from the C version of each benchmark. In Poseidon Lua, these structs were represented as `struct` declarations, and in `luaiffb`, as their dynamic declarations. In both cases, no actual C calls are made: The data is stored in C-compatible structures, and accessed through them, but the benchmark code is entirely Lua. We compare the performance of `luaiffb`, which uses wrappers, to Poseidon Lua, which does not. We also include the original Lua benchmark, which does not use C structured data, for reference, although we expect no significant performance difference with respect to it. The results and standard deviations are shown in Table 1. As expected, Poseidon Lua shows a substantial speedup over `luaiffb`, due to the absence of allocated wrappers at run-time.

■ **Table 1** Comparison of performance results over various benchmarks.

Benchmark	Poseidon Lua		luaffib		Lua	
	Time (s)	Std. Dev.	Time (s)	Std. Dev.	Time (s)	Std. Dev.
binary-trees	18.8	0.447	202.4	2.97	22.0	0.707
n-body	4.0	0	40.6	1.14	4.0	0.707
spectral-norm	108.2	0	270.8	2.59	105.6	0.894
fannkuch-redux	66.8	2.95	528.8	9.68	55.0	0

Our performance is close to original Lua, though in some benchmarks the cost of converting between C's primitive types and Lua's overwhelms other benefits.

The benchmarks were performed on Lua 5.3.3 as well as our modified version thereof, on a quad-core 1.8GHz 64-bit Intel desktop PC running Ubuntu 14.04.3LTS.

6 Conclusions

In this paper, we presented a framework for reasoning about C FFI's without fully modelling the guest language. This framework relies on making the data interface of the FFI static by combining the type systems of the host and guest languages, and doesn't require a model of the guest language beyond its direct interactions with the host. We also saw how making the data interface static eliminates the need for burdensome wrappers in FFI implementations, as the host language can statically check its own use of the FFI instead of needing to rely on the dynamic checks in the wrappers.

To showcase our framework, we presented Poseidon Lua, a Typed Lua C FFI. We gave the formal semantics of the C FFI in Poseidon Lua, and even without modelling C we were able to guarantee some level of soundness of the host language, as well as prove that well-typed host language code is not to blame for errors that occur. We also presented an implementation of Poseidon Lua, and confirmed that making the data interface static does indeed improve the performance of the FFI.

While we focus on a C FFI, in principle our approach also works for other choices of guest language, as we deliberately avoid modelling C. That said, our model of C's memory and C's types in the host language make languages with similar memory behavior to C's most suitable, though one could plug in any type system and model memory differently if they are so inclined. We focused on a C FFI because they are very common, and prove particularly challenging to reason about with traditional methods.

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A Appendix

A.1 Full Typing Rules

$$\frac{\text{validType}(T_C) \quad \beta \text{ unused}}{\Gamma, K \vdash \text{calloc } T_C : \text{ptr}_L T_C \rightsquigarrow \text{calloc } T_C \beta} \quad (\text{TT_CALLOC})$$

$$\frac{\begin{array}{c} n < \text{length}(\Sigma_C) \\ \text{goodLayout}(n, T, \Sigma_C) \end{array}}{\Gamma, (\Sigma_T, \Sigma_C, \Sigma_V) \vdash \text{ptr}_L n T_C : \text{ptr}_L T_C \rightsquigarrow \text{ptr}_L n T_C} \quad (\text{TT_LUA_PTR})$$

$$\frac{\begin{array}{c} \Gamma, K \vdash te : \text{ptr}_L T_C \rightsquigarrow e \\ \text{validForCDeref}(T_C) \quad T_L = \text{coerceCType}(T_C) \end{array}}{\Gamma, K \vdash \text{deref}_C te : T_L \rightsquigarrow \text{cget } e \ 0 \ T_C} \quad (\text{TT_VAR_C_DEREF})$$

$$\frac{}{\Gamma, K \vdash \text{cfun}(ct_1 \rightarrow_C ct_2) : (ct_1 \rightarrow_C ct_2) \rightsquigarrow \text{cfun}} \quad (\text{TT_C_FUNCTION})$$

$$\frac{\begin{array}{c} \Gamma, K \vdash te_1 : (T \rightarrow_C T') \rightsquigarrow e_1 \\ \Gamma, K \vdash te_2 : T \rightsquigarrow e_2 \quad \beta \text{ unused} \end{array}}{\Gamma, K \vdash te_1(te_2) : T' \rightsquigarrow \text{ccall } e_1 \ e_2 \ T' \ \beta} \quad (\text{TT_C_FUN_APPL})$$

$$\frac{\begin{array}{c} \Gamma, K \vdash te_1 : \text{ptr}_L T_1 \rightsquigarrow e_1 \quad \text{structType}(T_1) \\ \Gamma, K \vdash te_2 : s \rightsquigarrow e_2 \quad s \in T_1 \quad n = \text{offsetForType}(s, T_1) \end{array}}{\Gamma, K \vdash te_1.te_2 : T_1(s) \rightsquigarrow \text{cget } e_1 \ n \ T_1(s)} \quad (\text{TT_C_DOT_ACCESS})$$

$$\frac{\begin{array}{c} \Gamma, K \vdash te_1 : \text{ptr}_L T_1 \rightsquigarrow e_1 \quad \text{structType}(T_1) \\ \Gamma, K \vdash te_2 : s \rightsquigarrow e_2 \quad \Gamma, K \vdash te_3 : T_1(s) \rightsquigarrow e_3 \\ s \in T_1 \quad n = \text{offsetForType}(s, T_1) \end{array}}{\Gamma, K \vdash te_1.te_2 := te_3 : \text{value} \rightsquigarrow \text{cset } e_1 \ n \ e_2 \ T_1(s)} \quad (\text{TT_C_DOT_UPDATE})$$

$$\frac{\Gamma, K \vdash te : \text{ptr}_L T'_C \rightsquigarrow e \quad \beta \text{ unused}}{\Gamma, K \vdash \text{ccast } te \ T_C : T_C \rightsquigarrow \text{ccast } e \ T_C \ \beta} \quad (\text{TT_C_CAST})$$

$$\frac{\forall i, f_i = s_i : T_i \vee f_i = \text{const } s_i : T_i \quad \forall i, \Gamma, K \vdash tv_i : T_i \rightsquigarrow v_i}{\Gamma, K \vdash \{s_1 = tv_1, \dots, s_n = tv_n\} : \{f_1, \dots, f_n\} \rightsquigarrow \{s_1 = v_1, \dots, s_n = v_n\}} \quad (\text{TT_TABLE})$$

$$\frac{\begin{array}{c} \Gamma, K \vdash te_1 : T \rightsquigarrow e_1 \\ \Gamma + \{x \mapsto T\}, K \vdash te_2 : T' \rightsquigarrow e_2 \end{array}}{\Gamma, K \vdash \text{let } x : T := te_1 \text{ in } te_2 : T' \rightsquigarrow \text{let } x := e_1 \text{ in } e_2} \quad (\text{TT_LET})$$

$$\frac{x \in \Gamma}{\Gamma, K \vdash x : \Gamma(x) \rightsquigarrow x} \quad (\text{TT_VAR})$$

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$$\frac{n < \text{length}(\Sigma_T)}{\Gamma, (\Sigma_T, \Sigma_C, \Sigma_V) \vdash \mathbf{reg} n : \Sigma_T(n) \rightsquigarrow \mathbf{reg} n} \quad (\text{TT_REG})$$

$$\frac{\Gamma, K \vdash te : \mathbf{ref} T \rightsquigarrow e}{\Gamma, K \vdash \mathbf{deref} te : T \rightsquigarrow \mathbf{deref} e} \quad (\text{TT_VAR_DEREF})$$

$$\frac{x \in \Gamma \quad \Gamma, K \vdash te : \Gamma(x) \rightsquigarrow e}{\Gamma, K \vdash x := te : T \rightsquigarrow x := e} \quad (\text{TT_VAR_ASSIGN})$$

$$\frac{\Gamma, (\Sigma_T, \Sigma_C, \Sigma_V) \vdash te : \Sigma_V(n) \rightsquigarrow e}{\Gamma, (\Sigma_T, \Sigma_C, \Sigma_V) \vdash \mathbf{loc} n := te : T \rightsquigarrow \mathbf{loc} n := e} \quad (\text{TT_LOC_UPDATE})$$

$$\frac{\Gamma + \{x \mapsto T\}, K \vdash te : T' \rightsquigarrow e}{\Gamma, K \vdash \lambda x : T. te : (T \rightarrow_L T') \rightsquigarrow \lambda x. e} \quad (\text{TT_FUNCTION})$$

$$\frac{\Gamma, K \vdash te_1 : (T \rightarrow_L T') \rightsquigarrow e_1 \quad \Gamma, K \vdash te_2 : T \rightsquigarrow e_2}{\Gamma, K \vdash te_1(te_2) : T' \rightsquigarrow e_1(e_2)} \quad (\text{TT_LUA_FUN_APPL})$$

$$\frac{\Gamma, K \vdash te_1 : T_1 \rightsquigarrow e_1 \quad \text{tableType}(T_1) \quad \Gamma, K \vdash te_2 : s \rightsquigarrow e_2 \quad s \in T_1}{\Gamma, K \vdash te_1.te_2 : T_1(s) \rightsquigarrow \mathbf{rawget} e_1 e_2} \quad (\text{TT_DOT_ACCESS})$$

$$\frac{\Gamma, K \vdash te_1 : T_1 \rightsquigarrow e_1 \quad \text{tableType}(T_1) \quad \Gamma, K \vdash te_2 : s \rightsquigarrow e_2 \quad s \in T_1 \quad \Gamma, K \vdash te_3 : T_1(s) \rightsquigarrow e_3}{\Gamma, K \vdash te_1.te_2 := te_3 : \mathbf{value} \rightsquigarrow \mathbf{rawset} e_1 e_2 e_3} \quad (\text{TT_DOT_UPDATE})$$

$$\frac{\Gamma, K \vdash te : T \rightsquigarrow e \quad T <: T'}{\Gamma, K \vdash te : T' \rightsquigarrow e} \quad (\text{TT_SUBSUMPTION})$$

$$\frac{c \text{ constant}}{\Gamma, K \vdash c : c \rightsquigarrow c} \quad (\text{TT_CONST})$$

$$\frac{\Gamma, K \vdash te_1 : \mathbf{number} \rightsquigarrow e_1 \quad \Gamma, K \vdash te_2 : \mathbf{number} \rightsquigarrow e_2 \quad op \in \{+, -, *, /\}}{\Gamma, K \vdash te_1 op te_2 : \mathbf{number} \rightsquigarrow e_1 op e_2} \quad (\text{TT_BINOP_ARITH})$$

$$\frac{\Gamma, K \vdash te_1 : \mathbf{number} \rightsquigarrow e_1 \quad \Gamma, K \vdash te_2 : \mathbf{number} \rightsquigarrow e_2 \quad op \in \{<, \leq, >, \geq\}}{\Gamma, K \vdash te_1 \text{ op } te_2 : \mathbf{boolean} \rightsquigarrow e_1 \text{ op } e_2} \quad (\text{TT_BINOP_ORDER})$$

$$\frac{\Gamma, K \vdash te_1 : \mathbf{boolean} \rightsquigarrow e_1 \quad \Gamma, K \vdash te_2 : \mathbf{boolean} \rightsquigarrow e_2 \quad op \in \{\wedge, \vee\}}{\Gamma, K \vdash te_1 \text{ op } te_2 : \mathbf{boolean} \rightsquigarrow e_1 \text{ op } e_2} \quad (\text{TT_BINOP_BOOLS})$$

$$\frac{\Gamma, K \vdash te_1 : \mathbf{string} \rightsquigarrow e_1 \quad \Gamma, K \vdash te_2 : T_2 \rightsquigarrow e_2 \quad T_2 \in \{\mathbf{string}, \mathbf{number}\}}{\Gamma, K \vdash te_1 .. te_2 : \mathbf{string} \rightsquigarrow e_1 .. e_2} \quad (\text{TT_BINOP_STRING})$$

$$\frac{\Gamma, K \vdash te_1 : T_1 \rightsquigarrow e_1 \quad \Gamma, K \vdash te_2 : T_2 \rightsquigarrow e_2}{\Gamma, K \vdash te_1 == te_2 : \mathbf{boolean} \rightsquigarrow e_1 == e_2} \quad (\text{TT_BINOP_EQ})$$

$$\frac{\Gamma, K \vdash te_1 : T_1 \rightsquigarrow e_1 \quad \Gamma, K \vdash te_2 : T_2 \rightsquigarrow e_2}{\Gamma, K \vdash te_1; te_2 : T_2 \rightsquigarrow e_1; e_2} \quad (\text{TT_SEQUENCE})$$

A.2 Full Reduction Rules

$$\frac{n = \text{length}(\sigma_C) \quad \sigma'_C = \sigma_C + \text{layoutTypeAndTaint}(T_C, \beta)}{\mathbf{calloc} T_C \beta / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{ptr} n T_C / \sigma_T / \sigma'_C / \sigma_V} \quad (\text{R_CALLOC})$$

$$\frac{\text{value}(v_2) \quad v = \text{makeValueOfType}(ct_2) \quad \sigma'_C = \text{taintCStore}(\sigma_C, \beta)}{\mathbf{ccall} \mathbf{cfun} v_2 ct_2 \beta / \sigma_T / \sigma_C / \sigma_V \rightarrow v / \sigma_T / \sigma'_C / \sigma_V} \quad (\text{R_CCALL_WORKED})$$

$$\frac{\text{value}(v_2) \quad \sigma'_C = \text{taintCStore}(\sigma_C, \beta)}{\mathbf{ccall} \mathbf{cfun} v_2 ct_2 \beta / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{err} \beta / \sigma_T / \sigma'_C / \sigma_V} \quad (\text{R_CCALL_FAILED})$$

$$\frac{n < \text{length}(\sigma_C) \quad \sigma_C(n) = (v, T_C, \emptyset) \quad \sigma'_C = \text{update}(\sigma_C, n, (v, T'_C, \beta))}{\mathbf{ccast}(\mathbf{ptr}_L n T_C) T'_C \beta / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{ptr}_L n T'_C / \sigma_T / \sigma'_C / \sigma_V} \quad (\text{R_CCAST})$$

$$\frac{\sigma_C(n+o) = (v_C, T_C, \emptyset) \quad v_{out} = \text{coerceToLua}(v_C)}{\mathbf{cget}(\mathbf{ptr}_L n T'_C) o T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow v_{out} / \sigma_T / \sigma_C / \sigma_V} \quad (\text{R_CGET_NO_TAINT})$$

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$$\frac{\sigma_C(n+o) = (v, T_C, \beta) \quad v' = \text{makeValueOfType}(T_C) \quad \sigma'_C = \text{update}(\sigma_C, n+o, (v', T_C, \emptyset)) \quad v_{out} = \text{coerceToLua}(v')}{\text{cget}(\text{ptr } n T'_C) o T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow v_{out} / \sigma_T / \sigma_C / \sigma_V} \quad (\text{R_CGET_TAINT_WORKS})$$

$$\frac{\sigma_C(n+o) = (v, T_C, \beta)}{\text{cget}(\text{ptr } n T'_C) o T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow \text{err } \beta / \sigma_T / \sigma_C / \sigma_V} \quad (\text{R_CGET_TAINT_FAILS})$$

$$\frac{\sigma_C(n+o) = (v, T_C, \emptyset) \quad \text{value}(v_2) \quad v_{put} = \text{coerceToC}(v_2) \quad \sigma'_C = \text{update}(\sigma_C, n+o, (v_{put}, T_C, \emptyset))}{\text{cset}(\text{ptr}_L n T'_C) o v_2 T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow v_2 / \sigma_T / \sigma'_C / \sigma_V} \quad (\text{R_CSET_NO_TAINT})$$

$$\frac{\sigma_C(n+o) = (v, T_C, \beta) \quad \text{value}(v_2) \quad v_{put} = \text{coerceToC}(v_2) \quad \sigma'_C = \text{update}(\sigma_C, n+o, (v_{put}, T_C, \emptyset))}{\text{cset}(\text{ptr}_L n T'_C) o v_2 T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow v_2 / \sigma_T / \sigma'_C / \sigma_V} \quad (\text{R_CSET_TAINT_WORKS})$$

$$\frac{\sigma_C(n+o) = (v, T_C, \beta)}{\text{cset}(\text{ptr}_L n T'_C) o v_2 T_C / \sigma_T / \sigma_C / \sigma_V \rightarrow \text{err } \beta / \sigma_T / \sigma_C / \sigma_V} \quad (\text{R_CSET_TAINT_FAILS})$$

$$\frac{n = \text{length}(\sigma_T) \quad t_n = \text{buildTable}(\{s_1 = v_1, \dots, s_n = v_n\})}{\{s_1 = v_1, \dots, s_n = v_n\} / \sigma_T / \sigma_C / \sigma_V \rightarrow (\text{reg } n) / \sigma_T + t_n / \sigma_C / \sigma_V} \quad (\text{R_TABLE})$$

$$\frac{\text{value}(e_1) \quad l = \text{length}(\sigma_V)}{\text{let } x := e_1 \text{ in } e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow [x \leftarrow l] e_2 / \sigma_T / \sigma_C / \sigma_V + e_1} \quad (\text{R_LET})$$

$$\frac{\text{value}(e_2) \quad l = \text{length}(\sigma_V)}{(\lambda x. e)(e_2) / \sigma_T / \sigma_C / \sigma_V \rightarrow [x \leftarrow l] e / \sigma_T / \sigma_C / \sigma_V + e_2} \quad (\text{R_FUN_APP})$$

$$\frac{\sigma_V(l) = v \quad \text{value}(v)}{\text{deref}(\text{loc } l) / \sigma_T / \sigma_C / \sigma_V \rightarrow v / \sigma_T / \sigma_C / \sigma_V} \quad (\text{R_LOC_DEREF})$$

$$\frac{\text{value}(e) \quad \sigma'_V = \text{update}(\sigma_V, l, e)}{\text{loc } l := e / \sigma_T / \sigma_C / \sigma_V \rightarrow e / \sigma_T / \sigma_C / \sigma'_V} \quad (\text{R_LOC_UPDATE})$$

$$\frac{\sigma_T(n) = T \quad T(s) = v}{\mathbf{rawget}(\mathbf{reg} n) s / \sigma_T / \sigma_C / \sigma_V \rightarrow v / \sigma_T / \sigma_C / \sigma_V} \quad (\mathbf{R_RAWGET})$$

$$\frac{\mathit{value}(e_3) \quad \sigma_T(n) = T \quad s \in T}{T' = \mathit{update}(T, s, e_3) \quad \sigma'_T = \mathit{update}(\sigma_T, n, T')} \quad (\mathbf{R_RAWSET})$$

$$\mathbf{rawset}(\mathbf{reg} n) s e_3 / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{reg} n / \sigma'_T / \sigma_C / \sigma_V$$

$$\frac{e / \sigma_T / \sigma_C / \sigma_V \rightarrow e' / \sigma'_T / \sigma'_C / \sigma'_V}{x := e / \sigma_T / \sigma_C / \sigma_V \rightarrow x := e' / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_VAR_ASSIGN_STEP_1})$$

$$\frac{e / \sigma_T / \sigma_C / \sigma_V \rightarrow e' / \sigma'_T / \sigma'_C / \sigma'_V}{\mathbf{loc} l := e / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{loc} l := e' / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_LOC_UPDATE_STEP_1})$$

$$\frac{e_1 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1 / \sigma'_T / \sigma'_C / \sigma'_V}{\mathbf{let} x := e_1 \mathbf{in} e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{let} x := e'_1 \mathbf{in} e_2 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_LET_STEP})$$

$$\frac{e_1 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1 / \sigma'_T / \sigma'_C / \sigma'_V}{\mathbf{rawget} e_1 e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{rawget} e'_1 e_2 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_RAWGET_STEP_1})$$

$$\frac{\mathit{value}(e_1)}{e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_2 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_RAWGET_STEP_2})$$

$$\mathbf{rawget} e_1 e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{rawget} e_1 e'_2 / \sigma'_T / \sigma'_C / \sigma'_V$$

$$\frac{e_1 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1 / \sigma'_T / \sigma'_C / \sigma'_V}{\mathbf{rawset} e_1 e_2 e_3 / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{rawset} e'_1 e_2 e_3 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_RAWSET_STEP_1})$$

$$\frac{\mathit{value}(e_1)}{e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_2 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_RAWSET_STEP_2})$$

$$\mathbf{rawset} e_1 e_2 e_3 / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{rawset} e_1 e'_2 e_3 / \sigma'_T / \sigma'_C / \sigma'_V$$

$$\frac{\mathit{value}(e_1) \quad \mathit{value}(e_2)}{e_3 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_3 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_RAWSET_STEP_3})$$

$$\mathbf{rawset} e_1 e_2 e_3 / \sigma_T / \sigma_C / \sigma_V \rightarrow \mathbf{rawset} e_1 e_2 e'_3 / \sigma'_T / \sigma'_C / \sigma'_V$$

$$\frac{e_1 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1 / \sigma'_T / \sigma'_C / \sigma'_V}{e_1(e_2) / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1(e_2) / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_FUN_APP_STEP_1})$$

$$\frac{\mathit{value}(e_1)}{e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_2 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\mathbf{R_FUN_APP_STEP_2})$$

$$e_1(e_2) / \sigma_T / \sigma_C / \sigma_V \rightarrow e_1(e'_2) / \sigma'_T / \sigma'_C / \sigma'_V$$

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$$\frac{e_1 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1 / \sigma'_T / \sigma'_C / \sigma'_V}{e_1 \text{ op } e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1 \text{ op } e_2 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\text{R_BINOP_STEP_1})$$

$$\frac{\text{value}(e_1) \quad e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_2 / \sigma'_T / \sigma'_C / \sigma'_V}{e_1 \text{ op } e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow e_1 \text{ op } e'_2 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\text{R_BINOP_STEP_2})$$

$$\frac{\text{validL}(e_1) \quad \text{validR}(e_2)}{e_1 \text{ op } e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow \text{evalOp}(e_1, e_2, \text{op}) / \sigma_T / \sigma_C / \sigma_V} \quad (\text{R_BINOP})$$

$$\frac{e / \sigma_T / \sigma_C / \sigma_V \rightarrow e' / \sigma'_T / \sigma'_C / \sigma'_V}{\text{cget } e \text{ o } T / \sigma_T / \sigma_C / \sigma_V \rightarrow \text{cget } e' \text{ o } T / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\text{R_CGET_STEP})$$

$$\frac{e_1 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1 / \sigma'_T / \sigma'_C / \sigma'_V}{\text{cset } e_1 \text{ o } e_2 \text{ T} / \sigma_T / \sigma_C / \sigma_V \rightarrow \text{cset } e'_1 \text{ o } e_2 \text{ T} / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\text{R_CSET_STEP_1})$$

$$\frac{\text{value}(e_1) \quad e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_2 / \sigma'_T / \sigma'_C / \sigma'_V}{\text{cset } e_1 \text{ o } e_2 \text{ T} / \sigma_T / \sigma_C / \sigma_V \rightarrow \text{cset } e_1 \text{ o } e'_2 \text{ T} / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\text{R_CSET_STEP_2})$$

$$\frac{e_1 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1 / \sigma'_T / \sigma'_C / \sigma'_V}{e_1; e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow e'_1; e_2 / \sigma'_T / \sigma'_C / \sigma'_V} \quad (\text{R_SEQ_STEP_1})$$

$$\frac{\text{value}(e_1)}{e_1; e_2 / \sigma_T / \sigma_C / \sigma_V \rightarrow e_2 / \sigma_T / \sigma_C / \sigma_V} \quad (\text{R_SEQ_STEP_THROUGH})$$