

## 1 Abstract

2 **Context:** Current research suggests that dark cocoa may reduce fatigue; however, the effect  
3 on fatigue in people with MS (pwMS) has never been established. The objective of this  
4 feasibility study was to explore the acute effect of high flavonoid cocoa on measures of  
5 fatigue and glycaemic response. **Methods:** This was a randomised crossover participant blind  
6 exploratory study in 12 participants (2 male and 10 female) with MS-related fatigue (>4 on  
7 the Fatigue Severity Scale; FSS). After fasting overnight, participants consumed the high  
8 flavonoid cocoa drink (350 mg gallic acid equivalents {GAE}/g) or a low flavonoid cocoa  
9 control (120 mg GAE/g), consuming the alternative drink on the next visit. Fatigue was self-  
10 reported on a 100mm visual analogue scale at 30-minute time intervals for 2 hours post cocoa  
11 consumption and every 2 hours for the rest of the day. Fatigability was monitored using a 6  
12 minute walk test (6MWT) at the end of the visit (2 hrs), and activity monitors worn for 24  
13 hours commencing at 12noon on the day of testing. The feasibility of performing the trial  
14 including outcome measures was documented. **Results:** A moderate effect was found in self-  
15 reported fatigue throughout the day in favour of the high flavonoid group (Cohen's d 0.32,  
16 95% non-central t CI -0.57-1.20). Fatigability measures did not change. Participants  
17 consumed and enjoyed the cocoa, all participants completed the study and outcome measures  
18 were accepted. **Conclusion:** The results of this study support further trials to investigate the  
19 feasibility and efficacy of pure cocoa as a dietary supplement for fatigue in pwMS.

20

## 21 Abbreviations

22 BG, blood glucose; GR, glycaemic response; VAS, visual analogue scale; GAE, gallic acid  
23 equivalents; pwMS, people with Multiple Sclerosis; FFQ, food frequency questionnaire; BI,  
24 Barthels Index; FSS, fatigue severity scale

25 **Introduction**

26 Fatigue is one of the most debilitating symptoms in people with Multiple Sclerosis (pwMS),  
27 greatly affecting quality of life (Tabrizi and Radfar, 2015). The exact cause of fatigue in MS  
28 is unknown, however various mechanisms may influence fatigue severity.

29 Foods rich in flavonoids may show potential for reducing fatigue, through several  
30 proposed mechanisms. There is currently available evidence that suggests oxidative stress  
31 may contribute to the pathology in MS, which in turn may be improved or inhibited by the  
32 antioxidant properties in flavonoids (van Horssen et al., 2008). In addition, it has been  
33 suggested that the functional properties of flavonoids allow for penetration through the blood-  
34 brain barrier, potentially leading to improved neurosignaling, as well as rehabilitation of  
35 neuronal function (Solanki et al., 2015). A pathological inflammatory response may be  
36 responsible for the fatigue experienced in MS, for example TNF-alpha levels have been found  
37 to be elevated in fatigued pwMS compared to those who were non fatigued (Braley and  
38 Chervin, 2010). Luteolin, a naturally occurring flavonoid, has been found to benefit the  
39 disease course of pwMS, for example by inhibiting activated peripheral blood leukocytes and  
40 mast cells and mast cell dependent T cell activation (Theoharides, 2009). Katz, Doughty and  
41 Ali (2011) suggest that cocoa may be beneficial towards MS remission as its flavonoid  
42 content may promote blood flow to the brain, and may therefore lead to additional nerve  
43 repair, better metabolic clearance from the brain and greater oxygen availability. Therefore  
44 foods containing flavonoids may be used in conjunction with other disease modifying  
45 treatments (DMTs) in pwMS to reduce relapses and improve the severity of the symptoms  
46 experienced.

47 Cocoa is rich in flavonoids and is a popular and easily accessible product. A recent  
48 systematic review and meta-analysis investigated 42 randomized control trials, and found  
49 cocoa to be significantly beneficial for vascular endothelial function and inflammation

50 (Hooper et al., 2012). Cocoa has been shown to improve fatigue in people with Chronic  
51 Fatigue Syndrome (CFS). Sathyapalan et al. (2010) conducted a double blinded, randomised,  
52 pilot crossover study, daily providing participants with 45g of high flavonoid chocolate. After  
53 eight weeks participants reported significant reductions in fatigue and disability. Poor sleep  
54 quality has previously been shown to be significantly correlated with fatigue in MS (Attarian  
55 et al., 2004). Flavonoids have also been shown to improve sleep quality and therefore may  
56 reduce daytime fatigue in those with MS (Ngan & Cunduit, 2011).

57 Flavonoid rich foods have also been shown to influence postprandial blood glucose  
58 levels (Coe et al. 2013). Glucose tolerance may be altered in pwMS (Mahler et al., 2012;  
59 Wens et al. 2013; White et al., 2006) and an association has been found between the  
60 availability of glucose to the brain and perceived fatigue (Roelcke et al., 1997). This has  
61 therefore raised the question as to whether improved glucose tolerance may reduce the  
62 fatigue experienced in those with the disease. However to date there has been no exploration  
63 of the response in this group.

64 The current randomised crossover exposure response participant blind exploratory  
65 study will assess the effect of high flavonoid cocoa versus low flavonoid cocoa on fatigue  
66 and fatigability as measured by mobility in pwMS. Glycaemic response (GR) after the  
67 consumption of the drink, was also measured.

68

69

70 **Method**

71 This was a randomised crossover participant blind exploratory study in 12 participants (aged  
72  $54 \pm 10.56$  years, 2 male and 10 female) with MS-related fatigue. Participants were expected  
73 to attend two test visits at Oxford Brookes University and in a randomised order (determined  
74 electronically by a random number table) consumed either the low flavonoid control or the  
75 high flavonoid cocoa on different days, with at least three days between test visits (Figure 1).  
76 The present study was approved by the Oxford Brookes University Research Ethics  
77 Committee: UREC Registration No: 150938. All procedures were carried out accordingly to  
78 Declaration of Helsinki guidelines and policies, and retained data was managed accordingly  
79 to the Oxford Brookes University's policy on Academic Integrity.

80

81 **Procedure**

82 PwMS were recruited from local support groups throughout the Thames Valley and via  
83 advertisements posted at Oxford Brookes University. After expressing interest in the study,  
84 participants were provided with the study information sheet and were given a minimum of  
85 24 hours to review the information and ask the researchers any questions regarding the trial.  
86 Once potential participants agreed to take part in the trial and after initial eligibility was  
87 checked over the phone, a combined screening and first test visit was arranged where signed  
88 consent was taken.

89 Participants were asked to keep a 24 hour food diary the day before each visit, and to  
90 repeat this diet before the next test day. In addition to reduce variability in testing,  
91 participants were also asked to avoid vigorous exercise, and to limit their alcohol and caffeine  
92 intake on the day prior to testing ( $\leq 2$  units and  $\leq 3$  cups respectively). Additionally,  
93 participants were asked to fast overnight for 10 – 12 hours prior to visits, which began  
94 between 7-10 am, and on the first assessment visit a health questionnaire was administered

95 (asking about smoking habits, current or previous diseases, current medication or supplement  
96 intake, dietary habits).

97

### 98 **Screening and descriptive data**

99 At the screening/ first test visit demographics were recorded including MS subtype,  
100 compliance with the fasting protocol, blood pressure (mmHg) was recorded and mean fasting  
101 blood glucose (BG) was measured. Participant independence in daily living was assessed  
102 using the Barthels Index (BI; Nicholl et al., 2004). Each test occasion lasted no longer than  
103 three hours, and participants were required to leave a minimum of 24 hours between test  
104 days.

105

### 106 **Participants**

107 Participants were excluded if they reported any sudden changes in MS symptoms  
108 within the last three months, had a change in their DMTs and/ or medications that could  
109 influence fatigue in the past three weeks, had a metabolic disease or were presently on  
110 medication interfering with insulin or glucose metabolism, had been diagnosed with a  
111 condition other than MS affecting the CNS, had an allergy or intolerance to ingredients used  
112 during testing, experienced fatigue from any condition other than MS, were pregnant or  
113 lactating, were clinically depressed, had a BMI outside 18.5 - 30 kg/m<sup>2</sup> (body composition  
114 was confirmed using Tanita BC-418MA), had impaired glucose tolerance (7.8-11.1 mmol/L),  
115 or had a fatigue severity score less than 4 on the Fatigue Severity Scale (FSS; Krupp et al.  
116 1989). This scale asks nine questions about various aspects of perceived fatigue, 1 = not  
117 fatigued at all and 7 = very fatigued.

118

### 119 **Acute response to flavonoid drink/ intervention**

120 Participants were randomly administered a high polyphenol test or low polyphenol control  
121 cocoa drink (Table 1), to consume within a maximum time of 15 minutes. Drinks were  
122 matched as closely as possible for available carbohydrate (avCHO) and energy content. Due  
123 to the idea that pwMS are following the Overcoming Multiple Sclerosis (OMS) diet, which  
124 excludes dairy from the diet, the drink was made with Alpro rice milk (Tesco, UK). The total  
125 polyphenol content of the drinks had previously been established (Santos and Coe, 2016),  
126 with the high flavonoid cocoa powder containing 350mg gallic acid equivalents (GAE)/g,  
127 whilst the low flavonoid control powder had instead been established to contain 120mg  
128 GAE/g.

129

### 130 **Fatigue VAS**

131 Fatigue was recorded on a horizontal 100mm VAS every 30 minutes following drink  
132 consumption and throughout testing, categorizing 0mm as ‘not at all fatigued’ and 100mm as  
133 ‘extremely fatigued’ (Kos et al., 2006). Participants continued to record fatigue every two  
134 hours after testing was completed, until six hours after leaving the lab.

135

### 136 **Fatigability**

137 Fatigability was monitored using a 6 minute walk test (6MWT) performed at the end of the  
138 visit (2 hrs), and through activity monitoring.

139

### 140 **Activity monitoring**

141 A GENEActiv (Geneactive, UK) was used to record physical activity for a 24 hour period.  
142 Data was sampled at 100Hz at a +/-8g range at 3.9mg resolution and recorded from the non-  
143 dominant wrist. Post measurement, data was epoched to 1seconds samples and analysed in a  
144 bespoke spreadsheet (Excell, Microsoft Office 2011, US) and expressed as percentages of

145 physical activity level (sedentary, light, moderate, vigorous) per hour, according to sample  
146 frequency adjusted Single Vector Magnitude cut-offs described by Esliger et al. (2011).

147

### 148 **6 Minute Walk Test**

149 The 6MWT has previously been proved to be an accurate tool to establish physical fatigue in  
150 MS (Goldman, Marrie and Cohen, 2008). On each test occasion, the 6MWT was performed  
151 approximately 120 minutes after the test meal was consumed. Participants were instructed to  
152 walk back and forth along a 14m long corridor at a pace they deemed comfortable, rounding  
153 a cone at the end of each lap. During the walk, participants were at all times accompanied by  
154 appropriately trained personnel, and were informed they may stop and rest if needed. The  
155 walk was timed using a hand held stopwatch and distance walked was measured in metres.

156

### 157 **GR**

158 GR was measured using the previously validated method of Wolever (2004) and redesigned  
159 by Coe et al. (2013), and is in line with procedures recommended by the Food and  
160 Agriculture Organization/World Health Organization. A total of eight blood measures were  
161 taken using an automatic blood glucose analyser (Glucose 201+, Hemocue AB, Sweden).  
162 Mean fasting BG was calculated using two 5µl finger-prick samples at -5 and 0 min, and  
163 measurements were collected at 15, 30, 45, 60, 90 and 120 minutes after the cocoa  
164 consumption. BG levels were compared to WHO guidelines to determine if any of the  
165 participants had fasting BG or postprandial BG values outside of the healthy range.

166

### 167 **Statistical Analysis**

168 This trial was not designed to determine efficacy and therefore no formal sample size  
169 calculation was under taken. Descriptive statistics were expressed, including demographic  
170 characteristics.

171 Fatigue raw data, and GR-AUC data was calculated using a fixed effects model with  
172 two treatments (control versus test) adjusted using the Tukey-Kramer and comparing time  
173 and treatment interaction. GR VAS AUC was calculated geometrically using the trapezoidal  
174 rule at each time point relative to baseline values (Wolever, 2004). For outcome data the  
175 Linear Mixed Models (LMM) procedure of SAS 9.4 was used to determine the mean changes  
176 in measures, as response variables, according to exposures (test and control) and three  
177 repeated measurements, using baseline as a covariate. Further and based on the differences of  
178 LS (Marginal) means between two groups (test versus control; pairwise comparisons),  
179 provided by LMM analysis, powers, effect sizes (Cohen's d) and their 95% non-central  
180 confidence limits were calculated.

181 For physical activity, a model with time as a repeated factor, a treatment factor with  
182 two levels (placebo and test) and 3rd factor with 3 levels (sedentary, light, moderate and  
183 vigorous) was considered. Day and night required introduction of one more factor with two  
184 levels (day and night). Activity data was aggregated over 6 hours (4 levels) for sedentary,  
185 light and moderate activity. Activity awake versus sleep data was aggregated for day and  
186 night (2 levels). A random effect model aggregated for total activities with sequence  
187 incorporated.

188

189



## 190 **Results**

191

192 A summary of descriptive data may be viewed in Table 2. Participants who had had  
193 MS for a number of years presented with relapsing remitting, primary and secondary  
194 progressive subtypes. All were high functioning and independent in daily activities. As can  
195 be seen in Table 2 four out of the 12 participants were on special diets, three of who were on  
196 the OMS diet, and one on a gluten free diet. Seven people were taking Vitamin D  
197 supplements. Two participants reported daily taking the antidepressant medication  
198 Amitriptyline (10mg and 50mg) and two additional participants reported currently taking  
199 drugs for fatigue including Modafinil and Amandatine (200mg and 100mg).

200 No adverse events or side-effects were reported or observed during this study and all  
201 participants finished the drink on all occasions. Participants were overall content with the  
202 taste and sensory properties of the drink, none reported a dislike of the drink. Participants  
203 seemed to find it feasible to comply to consuming a relatively similar diet the day before each  
204 test day.

205

### 206 **Fatigue Visual Analogue Scales**

207 A moderate effect was found in self-reported fatigue throughout the day in favour of the high  
208 flavonoid group post consumption (Cohen's  $d$  0.32, 95% non-central  $t$  CI -0.57-1.20). Figure  
209 2 indicates a trend for fatigue to be reduced in the hours after leaving the lab through until  
210 evening.

211

### 212 **Activity monitoring**

213 There was more activity during the sleeping hours (10pm-9am) after the consumption of the  
214 low flavonoid compared to the high flavonoid drink (Figure 3). Moderate physical activity

215 tended to be higher five hours post high flavonoid cocoa consumption compared to moderate  
216 physical activity after the control (Cohen's d 0.47, 95% CI: -0.36-1.27).

217

### 218 **6 Minute Walk Test**

219 All participants completed the 6MWT on both visits. There was a wide range in distance  
220 walked with an average of 273±115m, ranging from 120 – 475m (Figure 4). This was  
221 expected due to the varied levels of disability among the participants, of which three needed  
222 the assistance of an aid (cane or frame) to complete the walk.

223

### 224 **Glycaemic Response**

225 All participants had normal fasting BG levels and 2 hour postprandial BG levels as defined  
226 by the WHO (diabetes if fasting BG of >7.0 mmol/l or 2 hour postprandial BG >11.1 mmol/l  
227 and impaired glucose tolerance if fasting BG >7.0 mmol/l and 2 hour postprandial BG >7.8  
228 and <11.1 mmol/l); however two participants were exclude from the analysis due to  
229 abnormally high BG levels at time points between 0-120 mins. There was a trend for the high  
230 flavonoid cocoa to slightly decrease GR in the early stages postprandial (Cohen's d - 0.07,  
231 95% t CI -94-0.81; Figure 5).

232 **Discussion**

233

234 The results of this trial ultimately show that a single drink of flavonoid rich cocoa produced a  
235 modest effect size reduction in perceived fatigue ratings compared to a low flavonoid control  
236 drink. This is the first study in pwMS to explore flavonoid use as a treatment for fatigue. The  
237 positive effect on fatigue alongside good tolerance and no adverse effects support the need  
238 for a powered trial of the longer-term effects. The results of the study may help direct future  
239 studies to identify specific questions and employ more efficient designs.

240 A single dose of flavonoids produced a modest effect on fatigability as measured the  
241 24 hour activity levels yet showed no impact on the 6MWT. Specifically, later in the day five  
242 hours after cocoa consumption an effect was observed in activity levels. However,  
243 performance on these mobility measures will have been affected by a number of other  
244 biopsychosocial factors and it may have been that the 6MWT was administered too early to  
245 see an effect on activity levels. In the current study there was a trend for activity during the  
246 day to be greater after the high flavonoid cocoa consumption, and activity during the sleeping  
247 hours was less. This observation is a positive observation as both poor sleep quality and  
248 reduced physical activity are common in MS (Attarian et al., 2004) and a number of  
249 participant's commented on poor sleep quality the night before testing.

250 Moreover, the fatigue VAS does not differentiate between primary fatigue which is a  
251 direct result of the disease, and secondary fatigue which is a result of other symptoms. High  
252 flavonoid cocoa has previously been shown to improve factors which may lead to secondary  
253 fatigue such as sleep quality, and it may be speculated a further frequent intake of high  
254 flavonoid cocoa may allow for the beneficial mechanisms to take effect, possibly reducing  
255 secondary fatigue while allowing for improved fatigue VAS accuracy (Bisson et al., 2008).  
256 Also from assessing the activity data, participants overall seemed to perform low levels of

257 physical activity and spent a majority of their time in sedentary/ light activity. Therefore  
258 although further studies would need to be performed, it appears that high flavonoid cocoa  
259 may contribute to improving physical activity during the day and better sleep patterns at night  
260 in those with MS.

261 This was a one-day study which may not have been long enough to produce a larger  
262 effect. Sathyapalan et al. (2010) administered high flavonoid cocoa daily over a period of  
263 eight weeks to find significant differences. A meta-analysis of Hooper et al. (2012) similarly  
264 suggests positive changes to health may depend on the quantity of cocoa consumed. The dose  
265 of polyphenols present in the high flavonoid drink in the current study may have been a  
266 limitation as the drinks contained 350 mg of gallic acid equivalents (GAE)/g and 120 mg  
267 GAE/g for the high flavonoid and low flavonoid beverages, respectively. In Field et al.  
268 (2011), on results obtained for spatial memory and performance on aspects of choice reaction  
269 time task, high flavonoid cocoa containing 773 mg flavonoids was used, with significant  
270 results found compared to the control (low flavonoid cocoa).

271 The underlying mechanisms were not fully explored in this acute response to a single  
272 dose trial; however, foods rich in flavonoids have been shown to stabilise postprandial BG  
273 levels (Davies et al., 2012) and consequently reduce fatigue in healthy individuals (Micha et  
274 al., 2011). In this study there was no effect of dark cocoa on BG, however there was a trend  
275 for the high flavonoid cocoa to reduce the BG in the early stages after consumption and  
276 stabilise levels later after consumption. Although all participants showed normal BG levels as  
277 defined by WHO, there were some abnormally high patterns in GR during the two hours after  
278 the cocoa drink consumption. Therefore the GR in people with MS should be considered in  
279 future trials when assessing nutrition and fatigue, and the effect of pure cocoa on fasting BG  
280 would need further exploration.

281 Diet has recently been shown to be an important factor in influencing clinical  
282 outcomes in MS (Grossman & Wahls, 2016). Research has found that pwMS are not only  
283 willing to consider dietary approaches to manage their symptoms (Brenton & Goldman,  
284 2016) yet many are already on various diets in order to do so (Schwarz et al., 2008). It was  
285 therefore hypothesised that this population would be more open to a dietary intervention.  
286 Indeed results show that four out of 12 participants were on special diets for their condition,  
287 and seven were taking some form of Vitamin D supplements.

288 Although not for managing depression, two participants reported daily intake of the  
289 antidepressant drug Amitriptyline (50 and 10mg), while two additional participants reported  
290 currently taking drugs for fatigue including Modafinil and Amantadine (200mg and 100mg).  
291 Amitriptyline has previously been shown to cause sleepiness, as well as drowsiness in  
292 patients (Frost et al., 2011). Contrastively, Modafinil and Amantadine have previously been  
293 reported to decrease fatigue (Ashtari et al., 2009, Brown et al., 2010). Therefore these  
294 medications may have increased variability and decreased ability to see a difference in  
295 results. However, pwMS are on these medications and therefore the study is more reflective  
296 of real world practice.

297 No participants in the trial vocalised issues with the outcome measures or with the  
298 fasting protocol. From the accelerometer results, it seemed that participants wore the watch  
299 for the entire testing period. Overall we propose that the flavonoid cocoa drink was well  
300 tolerated and enjoyed by all participants and is both suitable for a trial of longer term use and  
301 feasible that this drink would be consumed as part of a daily diet. There were no adverse  
302 events, and all participants completed the study. Participants were compliant with the study  
303 protocol and approved of outcome measures. This, in combination with the moderate effect  
304 size on reducing fatigue, shows promise for further studies into the role of flavonoid rich  
305 cocoa on fatigue in MS.

306

307 **Limitations**

308 Due to the novel and exploratory nature of the study a pragmatic approach was used  
309 determine sample size and no formal sample size calculation was under taken. However, post  
310 hoc analysis found moderate effect sizes at measurement points where differences in fatigue  
311 were greatest in the high flavonoid group.

312 In the current study, whilst the participants were, assessors were not blinded to the  
313 intervention. However, the protocol was delivered in randomised format and according to  
314 fixed standardised operating instruction sets and procedures. Also a number of measures  
315 including the accelerometer data and the fatigue ratings were recorded at home following the  
316 test day. Furthermore all data processing was performed blinded and a statistician blinded to  
317 the groupings performed analysis. The inclusion/ exclusion criteria in this study was very  
318 broad including those with all types of MS and on any medication for their condition and/ or  
319 other health conditions (excluding glycaemic control medication). Therefore the  
320 heterogeneity of the participant characteristics in addition to the small sample size may have  
321 reduced the observed effect. However the study enabled the procedures to be tested across  
322 subtypes and establish a follow on study as feasible.

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