

1 **Effects of 3-day serial sodium bicarbonate loading on performance and physiological**
2 **parameters during a simulated basketball test in female university players**

3
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6
7 **Abstract**

8 The aim of this study was to investigate the effect of 3-day serial sodium bicarbonate
9 ingestion on repeated sprint and jump performance. Fifteen female university basketball
10 players (23.3±3.4 years; 173.1±5.8 cm; 65.8±6.3 kg; 23.6±4.9% body fat) ingested 0.4 g·kg⁻¹
11 of body mass of sodium bicarbonate or placebo for 3 days (split in 3 equal daily doses),
12 before completing a simulated basketball exercise. Sprint and circuit times, jump heights,
13 performance decrements and gastrointestinal (GI) side effects were recorded during the test
14 and blood lactate concentration was measured pre- and post-test. Sodium bicarbonate
15 supplementation led to significant decreases in mean sprint times (1.34±0.23 vs. 1.70±0.41 s,
16 p=0.008, 95% CI: -0.54 to -0.10 s) and mean circuit times (30.6±2.0 vs. 31.3±2.0 s, p=0.044)
17 and significantly greater mean jump height (26.8 (range 25.2-34.2) vs. 26.0 (range 25.6-33.6)
18 cm, p=0.013) compared to placebo. Performance decrement was significantly less for sprints
19 with sodium bicarbonate compared to placebo (9.9 (range 3.4-37.0) vs. 24.7 (range 4.1-61.3)
20 %, p=0.013), but not different for jumps (13.1±4.5 vs. 12.5±3.1%, p=0.321) between
21 conditions. No differences in GI side effects were noted between conditions. Significantly
22 greater post-exercise blood lactate concentrations were measured in the sodium bicarbonate
23 condition compared to the placebo condition (8.2±2.8 vs. 6.6±2.4 mmol.L⁻¹, p=0.010). This
24 study is the first to show that serial loading of sodium bicarbonate is effective for basketball
25 players to improve repeated sprint and jump performance during competition, or withstand
26 greater training load during practice sessions without any GI side effects.

27
28 **Key words:** sprint, jump, lactate, performance decrement, gastrointestinal.

29 **Introduction**

30 Sodium bicarbonate (NaHCO_3) supplementation has been widely studied as a strategy to
31 delay metabolic acidosis in the muscles during high-intensity short duration (<10 min)
32 exercise (McNaughton et al., 2016). Ingestion of NaHCO_3 results in a greater concentration
33 of bicarbonate (HCO_3^-) in the blood by 4-8 $\text{mmol}\cdot\text{L}^{-1}$, which in turn buffers hydrogen (H^+)
34 ions and increases blood alkalosis (Jones et al., 2016). Kemp et al. (2006) suggested that this
35 alkaline environment in the extracellular fluid increased the efflux of H^+ out of the working
36 muscles, hence reducing intracellular metabolic acidosis.

37

38 These chemical changes were associated with better performance during several types of
39 high-intensity exercise (e.g., Bishop et al., 2003). In particular, significant improvements in
40 repeated sprint ability (RSA) performance were observed following acute doses of NaHCO_3
41 ranging from 0.3-0.4 $\text{g}\cdot\text{kg}^{-1}$ body mass (Bishop et al., 2004; Bishop & Claudius, 2005; Afman
42 et al., 2014; Miller et al., 2016). However, the extent of improvement in RSA performance
43 varied in these studies, and some even reported no improvements in specific parameters
44 (Afman et al., 2014; Miller et al., 2016). These discrepancies could be explained by various
45 exercise protocols (Afman et al., 2014), dosage (Douroudos et al, 2006), gastrointestinal
46 problems (Burke & Pyne, 2007) or sub-optimal timings of ingestion/individual variation in
47 response to supplementation (Sparks et al., 2016).

48

49 While most studies used laboratory tests to study the effects of NaHCO_3 on RSA, these are
50 largely influenced by pacing strategy (Billaut et al., 2011) and lack ecological validity
51 (cycling for team sport players, Bishop et al., 2004; Miller et al., 2016). In contrast, Afman et
52 al. (2014) tested the effectiveness of 0.4 $\text{g}\cdot\text{kg}^{-1}$ body mass NaHCO_3 ingestion on the
53 performance of basketball players during a 60-min simulated basketball exercise. The main

54 limitation of this study was the reliance of limited basketball-specific movement patterns
55 (forward runs/walks, lay-ups and changes of direction). Indeed, basketball incorporates jumps
56 (35 to 43 per match), and high-intensity shuffles (22 to 58 per match) (Matthew & Delestrat,
57 2009; Narazaki et al., 2009; Delestrat et al., 2015; Scanlan et al., 2015a, 2015b). While the
58 metabolic demands of shuffling is not known, Buchheit (2010) showed that adding jumps to a
59 repeated sprint sequence resulted in greater cardiorespiratory and metabolic demand (+4%
60 oxygen uptake and +0.8 mmol.L⁻¹ blood lactate concentration). It is therefore essential to
61 investigate the effects of NaHCO₃ in simulated basketball by incorporating repeated sprints,
62 jumps and shuffles. Another discrepancy in the literature is the dose of NaHCO₃ used for
63 supplementation. While a dose of 0.3 g.kg⁻¹ body mass is usually recommended (Burke &
64 Pyne, 2007), higher doses are likely to lead to greater performance improvements
65 (Douroudos et al., 2006). However, high, acute doses of NaHCO₃ could induce gastro-
66 intestinal (GI) complaints (Burke & Pyne, 2007; Afman et al., 2014). Consequently, serial
67 loading (*i.e.* ingesting smaller doses across multiple days before exercise) could be a good
68 alternative to acute loading. Another advantage of serial loading is that HCO₃⁻ levels stay
69 elevated in the blood for longer after the last ingestion, compared to acute loading
70 (McNaughton and Thompson, 2001), which could avoid the large inter-individual variability
71 in response to acute ingestion of HCO₃⁻ recently reported in the literature (McNaughton et
72 al., 2016; Sparks et al., 2016; Gough et al., 2017).

73

74 Within this context, the aim of the present study was to investigate the effects of 3-day serial
75 NaHCO₃ ingestion on repeated sprint and jump ability and physiological parameters during
76 simulated basketball exercise in female collegiate basketball players.

77

78

79 **Methods**

80 *Participants*

81 Fifteen female university basketball players (23.3 ± 3.4 years; 173.1 ± 5.8 cm; 65.8 ± 6.3 kg;
82 $23.6 \pm 4.9\%$ body fat) volunteered to take part in the study. The sample included six guards,
83 five forwards and four centres. At the time of the study, participants were undertaking two 2-
84 h practice sessions and one match weekly. Participants who had used nutritional supplements
85 in the past two months or had any metabolic, endocrine or orthopaedic problems were
86 excluded. Prior to participation, participants were fully informed about all procedures and
87 gave informed written consent. In addition, approval for the study was granted by the local
88 ethical committee (DREC 0413_30).

89

90 *Procedures*

91 *Design and overview*

92 The study used a double-blind, cross-over design. Participants first took part in a preliminary
93 session consisting of anthropometric measurements (height: Harpenden stadiometer, UK,
94 body mass and body fat: Tanita BC 418 MA Segmental Body Composition Analyser, Tokyo,
95 Japan) and familiarisation with the simulated basketball exercise. Subsequently, they
96 performed two test sessions on an indoor basketball court (temperature $20^{\circ} \pm 2^{\circ}\text{C}$, humidity:
97 $45 \pm 4\%$) at the same time of day to control for circadian variations and one week apart, each
98 preceded by supplementation of either NaHCO_3 or placebo. In the 24-h before the first
99 session, participants recorded food and fluid consumption in a diary and were required to
100 replicate this diet before the next test session (Hill & Davies, 2012). Participants were
101 requested not to consume any caffeine and/or alcohol 24-h before tests (Lavender and Bird,
102 1989; Wang et al., 1995; Bishop et al., 2004; Stuart et al., 2005). Although caffeine could

103 cause withdrawal in regular caffeine consumers, only 6 of the 15 participants were habitual
104 users and reported to have a maximum of two daily cups (less than 300-mg caffeine).

105

106 *Supplementation*

107 Participants were administered capsules (MyProtein gelatin caps, Cheshire, UK) containing
108 either NaHCO₃ (Dr Oetker, Leyland, UK) or calcium carbonate (Sigma-Aldrich Co. LLC.,
109 Dorset, UK, Stephens et al., 2002) with a daily dose of 0.4 g·kg⁻¹ body mass for three days
110 before testing. Indeed, it has been recommended to use higher quantities than the 0.3 g·kg⁻¹
111 body mass commonly administered, while serial loading avoids the GI disturbances usually
112 reported with such doses ingested acutely (Burke & Pyne, 2007). In addition, capsules were
113 preferred to powder to mask the taste of the substances ingested and allow blinding of the
114 participants to the experimental conditions. Capsules were consumed in three equal amounts
115 throughout the day (during breakfast, lunch and dinner), with the last ingestion at 7pm on the
116 day before the test. During the supplementation period, participants also reported any
117 gastrointestinal (GI) side effects on a 10-point Likert scale (Jeukendrup et al., 2000).

118

119 *Basketball simulation protocol: the modified Basketball Exercise Stimulation Test (modified* 120 *BEST)*

121 The BEST was validated by Scanlan et al. (2012, 2014), (Figure 1). We slightly modified this
122 test, designed for men, to better fit the characteristics of female European basketball players
123 (circuits lasting 35-s to account for the lower match activity frequencies in women and longer
124 recovery periods to reflect the different work:rest ratio of 1:4.3 vs. 1:3.6 in women vs. men,
125 Ben Abdelkrim et al., 2010; Delextrat et al., 2015, and a total number of circuits of 17 to
126 reflect the duration of a quarter in European basketball). Before each test session participants

127 completed a 10-min warm-up which was typical of their normal pre-game routine involving
128 jogging, short high-intensity sprints, lay ups and stretching.

129

130 -----Figure 1 here: please refer to appendix-----

131

132 ***Outcome measures***

133 During the modified BEST, time to complete the initial sprint of each circuit was recorded
134 with timing gates (Wireless speedtrap 2, Brower Timing Systems, Draper, Utah, USA), and
135 the mean of all sprint times (ST) during all circuits was calculated. Subsequently Ideal Time
136 (IT, s) was calculated as the best average of two sprint efforts (ST2) multiplied by the number
137 of sprint means (Scanlan et al., 2012), and Total Time (TT, s) was calculated as the sum of
138 ST2 plus the 17th ST (due to the odd number of sprints). Circuit times were recorded with a
139 digital stopwatch, and the mean circuit time (s) over all circuits calculated. A jump mat
140 (Ergojump, Globus Inc., Treviso, Italy) was used to record jump height (cm) for every circuit.
141 The jump performed was a countermovement jump with the hands on hips (Buchheit, 2010).
142 Finally, sprint and jump performance decrements (Sprint PD and Jump PD) were calculated
143 by the following equations (Glaister, 2008):

$$144 \text{ Sprint PD (\%)} = [(TT/IT) \times 100] - 100]$$

$$145 \text{ Jump PD (\%)} = [100 - (\text{final jump height}/\text{Initial jump height}) \times 100]$$

146

147 Fingertip capillary blood samples were taken at rest, prior to the warm-up, as well as
148 immediately on completion of the modified BEST (within the first min), with blood lactate
149 concentration (LA, mmol·L⁻¹) measured using a portable analyzer (Lactate Pro, Arkray,
150 Tokyo, Japan).

151

152 **Statistical Analyses**

153 Shapiro-Wilk tests revealed that mean sprint and circuit times, TT, jump PD and LA were
154 normally distributed. Therefore differences in these outcome measures between NaHCO₃ and
155 placebo conditions were assessed by Student T-tests for paired samples, and values were
156 expressed as mean±SD with 95% confidence intervals (95%CI). The remaining outcome
157 measures were not normally distributed, and for these measures, non-parametric Wilcoxon
158 rank-sum tests were used to evaluate differences between conditions, and data were
159 expressed as median and range. An alpha level of $p < 0.05$ was accepted as statistically
160 significant. Effect sizes were calculated as Cohen's d (parametric data) and r (non-parametric
161 data, calculated as z/\sqrt{n}), and interpreted as *small* (>0.1), *medium* (>0.3) and *large* (>0.5)
162 (Cohen, 1988; Rosenthal, 1994). Finally, the test-retest reliability of the modified BEST was
163 assessed on 8 participants by the Pearson correlation coefficient (r), reliability coefficient
164 (Mueller & Martorell, 1988), and intraclass correlation coefficient (ICC) for relative
165 reliability and the technical error of measurement (TEM) and coefficient of variation (%CV)
166 for absolute reliability. All statistical analyses were performed on IBM SPSS version 22
167 software, except TEM (Microsoft Excel).

168

169 **Results**

170 NaHCO₃ supplementation resulted in significant decreases in mean sprint times (-0.36 s, $t =$
171 3.106, $p = 0.008$, $d = 1.08$, 95% CI for the difference: -0.54 to -0.10, Table 1) and mean circuit
172 times (-0.7-s, $t = -2.209$, $p = 0.044$, $d = 0.39$, Table 1). Variables calculated from the mean sprint
173 times averaged every two sprints also showed significant differences between conditions,
174 with lower IT (-1.62 s, $z = -2.482$, $p = 0.013$, $d = 0.77$, Table 1), TT (-3.24 s, $t = -3.106$ $p = 0.008$,
175 $d = 1.09$, 95% CI for the difference: -4.79 to -0.88 s, Table 1), and sprint PD (-14.8%, $z =$
176 2.329, $p = 0.013$, $d = 0.79$, Table 1) shown in the NaHCO₃ condition compared to the placebo

177 condition. NaHCO₃ supplementation also resulted in a significantly greater mean jump height
178 compared to placebo (+0.8 cm, $z = -2.481$, $p=0.013$, $d=0.78$, Table 1), with no significant
179 difference between conditions in jump PD (-0.6%, $t: 2.109$, $p=0.321$, Table 1). Reliability
180 measures for the modified BEST were $r = 0.78$ to 0.91 , $R = 0.82$ to 0.90 , $TEM = 0.20$ to 0.32 ,
181 $\%CV = 3.5$ to 5.2 , $ICC = 0.81$ to 0.93 .

182

183 -----Insert Table 1 here: please refer to appendix -----

184

185 While no significant difference between conditions was shown in pre-exercise LA
186 concentrations ($p=0.283$), significantly greater post-exercise LA was evident in
187 NaHCO₃ condition compared to placebo ($+1.6\text{-mmol}\cdot\text{L}^{-1}$, $t: 2.954$, $p=0.010$, $d=0.49$, 95% CI
188 for the difference: 0.35 to 2.21 , Figure 2).

189

190 -----Insert Figure 2 here: please refer to appendix -----

191

192 No, or very limited, GI adverse effects were reported by participants, with no significant
193 difference between NaHCO₃ and placebo (median scores of 1 (range 1-3) vs. 1 (range 1-3),
194 respectively, $p=0.987$).

195

196 **Discussion**

197 The results from the present study demonstrate that 3-day serial NaHCO₃ ingestion improved
198 repeated sprint and jump performance and increased post-exercise LA in female university
199 basketball players. This is the first study to investigate the effect of serial loading of sodium
200 bicarbonate supplementation on basketball-specific performance.

201

202 We showed significant improvements in mean sprint and jump performance and TT and IT
203 following NaHCO₃ supplementation, with medium to large effect sizes. These results are in
204 accordance with findings from previous studies using short repeated sprint protocols (<10-
205 min, Zajac et al., 2009; Bishop et al., 2004; Ducker et al., 2013). For example, a significant
206 improvement (+5.1%) in total work (kJ) performed on a cycle ergometer during five repeated
207 6-s sprints was shown by Bishop et al. (2004) following NaHCO₃ ingestion in physically
208 active women. Our greater post-exercise LA with NaHCO₃ could be explained by the fact
209 that greater sprint speed commonly involves a rise in carbohydrate turnover, which increases
210 lactate production in the muscle and its efflux into the blood (Saraslanidis et al., 2009). This
211 suggests that participants were able to increase their speed thanks to a less acidic intracellular
212 environment brought about by the extracellular buffering of H⁺ ions by HCO₃⁻. However,
213 when longer protocols are used, contrasting results are observed (Bishop & Claudius, 2005;
214 Afman et al., 2014). Indeed, a recent study using acute NaHCO₃ ingestion pre-exercise
215 showed better 15-m sprint performance during a simulated basketball exercise test in the
216 HCO₃⁻ group from 45 to 60 min (Afman et al., 2014). In contrast, NaHCO₃ ingestion had no
217 significant effect on mean sprint times during a 72-min intermittent team-sport exercise in
218 trained women (Bishop & Claudius, 2005). These contrasting results could be due to the
219 greater contribution of the oxidative system and lower contribution of the glycolytic system
220 in longer exercise protocols, while it cannot be excluded that less than optimal ingestion
221 timings could also be responsible for the absence of significant results. In shorter high-
222 intensity intermittent efforts, the better performance with NaHCO₃ ingestion has been linked
223 to increases in blood pH and improvement in *in vivo* muscle buffer capacity (Bishop et al.,
224 2003). Kemp et al. (2006) suggested that metabolic acidosis was reduced after NaHCO₃
225 ingestion, thanks to increased alkalosis in the extracellular fluid, leading to a greater efflux of

226 H⁺ out of the muscle. Blood parameters were not measured in the present study, which limits
227 the extent of our understanding of the mechanisms involved.

228

229 The novel aspect of the present study was the incorporation of basketball-specific movement
230 patterns (jumps and lateral shuffles) in our protocol, to replicated more closely the metabolic
231 and cardiovascular demands of basketball (Buchheit, 2010). Present results showed that
232 NaHCO₃ supplementation resulted in significant improvements in mean jump height,
233 showing the effectiveness of this nutritional strategy on basketball-specific effort. This
234 finding is crucial as jumps are involved in a lot of technical actions in basketball, such as lay-
235 ups or rebounds, which can be decisive in the outcome of a match (Delextrat et al., 2015).
236 Our findings showed that jump PD was not affected by NaHCO₃ ingestion, which is
237 somewhat surprising. One possible explanation is that only sprint, jump and overall circuit
238 performance were measured, which might have encouraged participants to pace themselves
239 in the tasks that were not specifically measured, and hence hindered the positive influence of
240 NaHCO₃ on some of the outcome variables.

241

242 Several studies have shown the benefits of serial loading of NaHCO₃ (doses ranging from
243 0.3-0.5 g·kg⁻¹ body mass), compared to a placebo on high-intensity cycling tests ranging from
244 30-s to 4-min (McNaughton et al., 1999; McNaughton & Thompson, 2001; Douroudos et al.,
245 2006; Driller et al., 2012). The present study is the first to show the benefits of NaHCO₃
246 serial loading on repeated sprint and jump exercise. We used a 3-day serial loading of 0.4
247 g·kg⁻¹ NaHCO₃, split into three equal doses in the three days preceding testing, as
248 recommended by Burke and Pyne (2007). The benefit of serial compared to acute loading is
249 the lower likelihood of adverse GI side effects (Driller et al., 2012), with similar effects on
250 performance observed with both methods in the literature (Mc Naughton & Thompson, 2001;

251 Driller et al., 2012). Participants in the present study reported no GI distress, suggesting the
252 practical benefits of this loading method. Another advantage of serial vs. acute loading of
253 NaHCO_3 is the fact that following serial loading, bicarbonate, pH and excess base changes in
254 the blood are maintained after the supplementation has stopped (McNaughton et al., 1999;
255 McNaughton and Thompson, 2001; Douroudos et al., 2006). McNaughton et al. (1999)
256 suggested that the blood may store the extra HCO_3^- provided and use it to improve
257 performance on a subsequent day. This is a major difference to acute loading, where a single
258 dose is taken, but very large inter-individual variations in the time to alkalotic peak of either
259 blood pH or HCO_3^- (10-180-min) were recently reported, highlighting the need for individual
260 supplementation timings and blood measures (Miller et al., 2016; Sparks et al., 2016; Gough
261 et al., 2017). Finally Driller et al. (2012) suggested a different mechanism of action of serial
262 vs. acute loading after showing an improvement in 30-s cycle performance with serial loading
263 of NaHCO_3 without any improvement in buffering capacity, through a better perfusion of
264 muscles thanks to the sodium ions (Na^+), leading to improved oxygen delivery (Mitchell et
265 al., 1990). This is an interesting mechanism to consider, and further studies should be
266 conducted combining a control trial along with a placebo.

267

268 Factors to be considered when assessing the effectiveness of NaHCO_3 ingestion on repeated
269 sprint performance include sex and training status. Women are usually characterised by
270 greater resistance to fatigue (smaller PD) during repeated sprints (Laurent et al., 2010;
271 Mageean et al., 2011). It appears that lower blood pressure, greater oxidative and lower
272 glycolytic capacity, and neuromuscular factors could underpin these responses (Braun &
273 Horton, 2001; Yoon et al., 2007). This greater resistance to fatigue suggests that females
274 might not benefit from buffer systems as much as men. However, our results show that sprint
275 PD was significantly lower in NaHCO_3 compared to placebo (9.9 vs. 24.7%, medium effect

276 size), suggesting that women could still benefit from this type of supplementation. Another
277 factor to consider is participants' training status. Indeed, Joyce et al. (2011) compared the
278 effect of acute and serial NaHCO_3 loading in well-trained swimmers and did not find any
279 significant effect of either strategy on performance. They suggested that this population
280 might already have a well-developed buffering capacity due to the specificity of their
281 training, which may have masked the potential benefits of NaHCO_3 .

282

283 In conclusion, 3-day serial NaHCO_3 ingestion enhanced repeated sprint and jump
284 performance during simulated basketball exercise in female collegiate basketball players
285 compared to placebo. These findings were accompanied by greater post-exercise blood
286 lactate concentrations with NaHCO_3 supplementation and no adverse GI side-effects.
287 Consequently, serial HCO_3^- loading may be an effective strategy administered before
288 competition to increase performance, or before training to withstand greater training loads in
289 female basketball players. Further studies should investigate if these observed benefits
290 translate to basketball exercise conducted across entire match durations, as well as identifying
291 the optimal dose-response of NaHCO_3 supplementation alone, or combined with other
292 buffers, such as beta-alanine.

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304

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306 testing, RR and AD in the statistical analysis and all authors contributed to the write-up.

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472 **Table 1.** Performance and physiological characteristics during the modified Basketball
 473 Exercise Simulated Test (BEST) in the bicarbonate and placebo conditions.

| | Sodium bicarbonate | Placebo |
|----------------------------------|----------------------|----------------------|
| | Mean±SD [#] | Mean±SD [#] |
| Mean sprint time (s) | 1.34±0.23** | 1.70±0.41 |
| Mean circuit time (s) | 30.58±2.03* | 31.3±1.96 |
| Mean jump height (cm) | 26.8(25.2-34.2)* | 26.0(25.6-33.6) |
| Ideal Sprint Time (s) | 10.22(8.81-12.87)* | 11.84(9.50-17.01) |
| Total Sprint Time (s) | 12.07±2.06** | 15.31±2.66 |
| Sprint performance decrement (%) | 9.9(3.4-37.0)* | 24.7(4.1-61.3) |
| Jump height decrement (%) | 13.1±4.5 | 12.5±3.1 |

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475 [#]: median (range): for non-parametric data (mean jump height and sprint performance
 476 decrement)

477 ***: significantly better (shorter time, smaller decrement or greater jump height) than the
 478 placebo condition, p<0.05.**

479 **** : significantly better (shorter time, smaller decrement or greater jump height) than
 480 the placebo condition, p<0.01.**

481

482 **Figure captions**

483 **Figure 1.** The layout of the basketball exercise simulation test (BEST).

484 **Figure 2.** Blood lactate concentrations before and immediately on completion of the
485 modified Basketball Exercise Simulated Test (BEST) in the sodium bicarbonate (black) and
486 placebo (black) conditions.

487 ***: significantly different from the placebo condition, $p < 0.05$.**

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507 **Figure 1.** The layout of the basketball exercise simulation test (BEST).

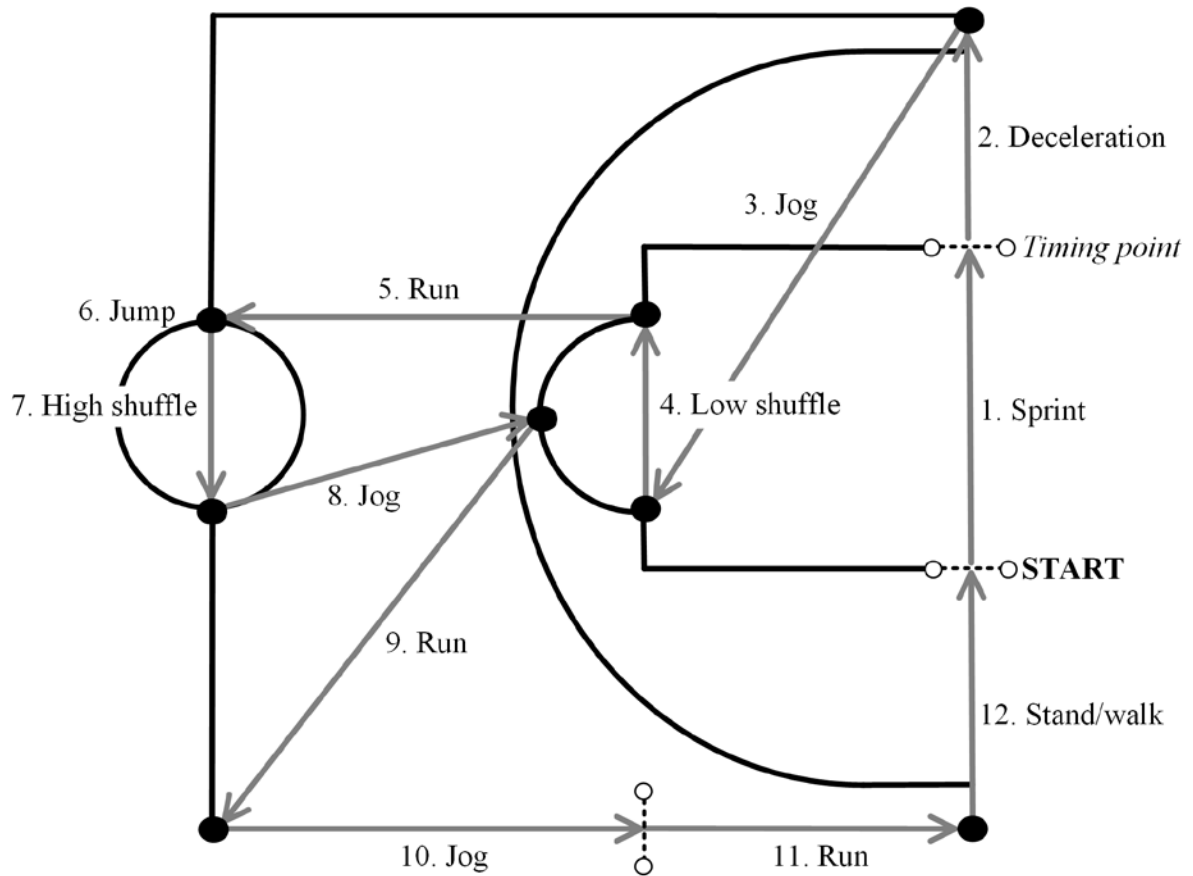
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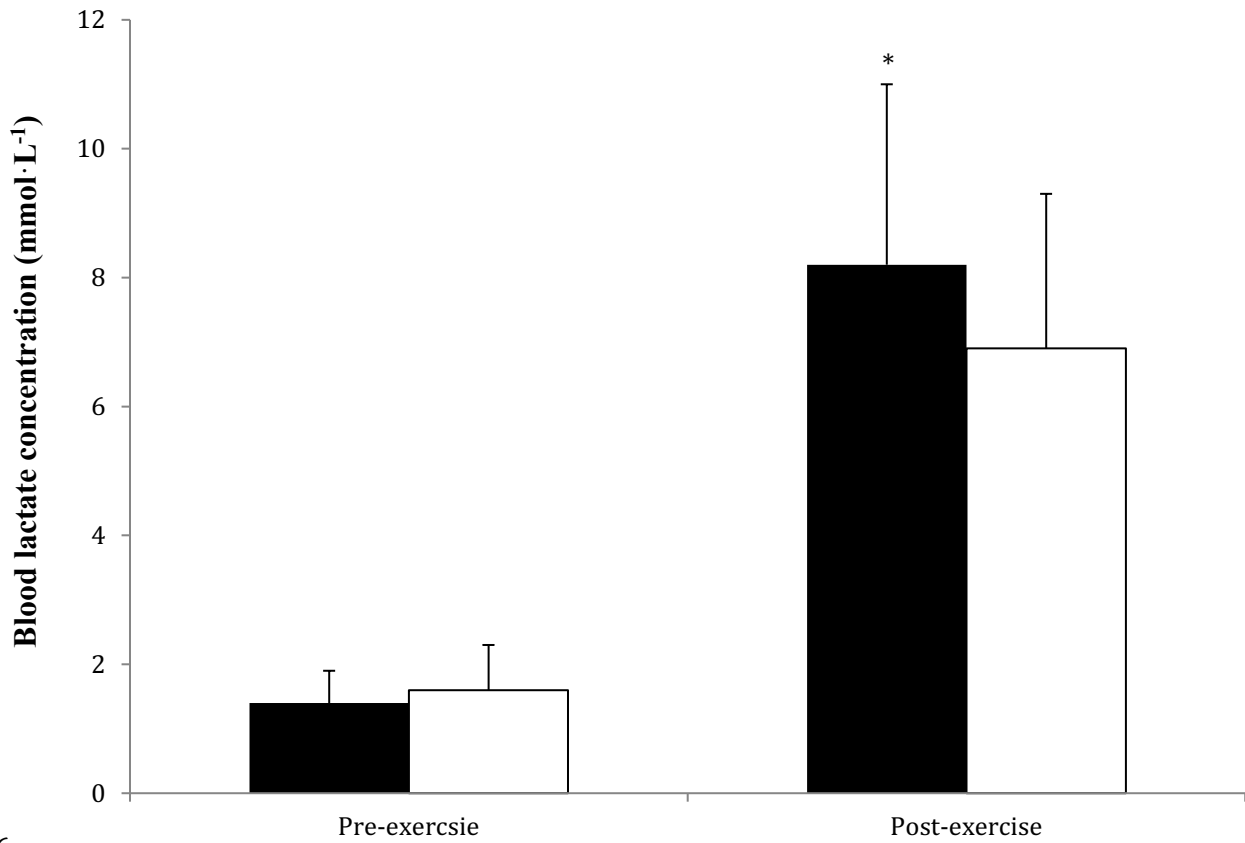
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522 **Figure 2.** Blood lactate concentrations before and immediately on completion of the
523 modified Basketball Exercise Simulated Test (BEST) in the sodium bicarbonate (black) and
524 placebo (white) conditions.

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527 ***: significantly different from the placebo condition, $p < 0.05$.**

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