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ADVANCING THE IMPLEMENTATION OF HYDROLOGIC MODELS

AS WEB-BASED APPLICATIONS

by

Prasanna Dahal

A thesis submitted in partial fulfillment of the requirements for the degree

of

MASTER OF SCIENCE

In

Civil and Environmental Engineering

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UTAH STATE UNIVERSITY Logan, Utah

2018

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ABSTRACT

Advancing the Implementation of Hydrologic Models

as Web-based Applications

by

Prasanna Dahal, Master of Science

Utah State University, 2018

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Advanced computer simulations are important tools to understand hydrologic phenomenon such as rainfall-runoff response, groundwater hydrology, snow hydrology, etc. Building a hydrologic model instance to simulate a watershed requires investment in diverse geospatial data (e.g., terrain, land use, and soil information) and computer resources. It also typically demands a wide skill set from the analyst, and the workflow involved is often difficult to reproduce. This work introduces a prototype, web-based infrastructure in the form of a web Application, or App, that provides researchers with simplified access to complete hydrological modeling functionality. This includes creating the necessary geospatial and forcing data, preparing input files for a model by applying complex data preprocessing, running the model for a user defined watershed, and saving the results to a web repository. The open source Tethys Platform was used to develop the web App front-end Graphical User Interface (GUI). We used HydroDS, a web service that provides data preparation processing capability to support backend computations used by the App. Results are saved in HydroShare, a hydrologic information system that supports the sharing of hydrologic data, models, and analysis tools. The TOPographic Kinematic APproximation and Integration (TOPKAPI) model served as the driving use case for which we developed a complete hydrologic modeling service to demonstrate the approach. The final product is a complete modeling system accessible through the web to create input files and run the TOPKAPI hydrologic model for a watershed of interest. An additional model, TOPNET, was incorporated to demonstrate the generality and capability for adding other models into the framework.

(103 pages)

PUBLIC ABSTRACT

Advancing the Implementation of Hydrologic Models as Web-based Applications

Prasanna Dahal

Deeper understanding of relationships between flow in rivers and various hydrologic elements such as rainfall, land use, and soil type is imperative to solve water related problems like droughts and floods. Advanced computer models are becoming essential in helping us understand such relationships. However, preparing such models requires huge investment of time and resources, much of which are concentrated on acquisition and curation of data. This work introduces a free and open source web Application (web App) that provides researchers with simplified access to hydrological data and modeling functionality. The web App helps in the creation of both hydrologic models, and climatic and geographic data. Free and open source platforms such as Tethys and HydroShare were used in the development of the web App. A physics based model called TOPographic Kinematic APproximation and Integration (TOPKAPI) was used as the driving use case for which a complete hydrologic modeling service was developed to demonstrate the approach. The final product is a complete modeling system accessible through the web to create hydrologic data and run a hydrologic model for a watershed of interest. An additional model, TOPNET, was incorporated to demonstrate the generality of the approach and capability for adding other models into the framework.

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CHAPTER 1 INTRODUCTION

1.1 Problem Statement

This thesis aims to address the time consuming and repetitive input file preparation related problems that exist during the preparation of a hydrologic model. Particularly, the problems of data discovery, retrieval, Geographic Information System (GIS) preprocessing and other data analyses are addressed.

Development of hydrologic model instances (where a model instance is a model and all of its input files needed to execute it for a particular study area or watershed) is necessary because advanced computer simulations are required to understand hydrologic phenomenon such as rainfall-runoff response, groundwater hydrology, snow hydrology, etc. (Singh and Frevert, 2002). However, building a hydrologic model to simulate a watershed requires investment in data (diverse geospatial datasets such as terrain and soil characteristics) and computer resources, and typically demands a wide skill set from the analyst. A typical hydrologic model setup involves a labor-intensive data preparation phase with workflows that may be difficult to reproduce (Ames et al., 2012; Ames et al., 2015; White, 2012; Taylor et al., 1999; Granell et al., 2010). Building a hydrologic model requires skills such as accessing data from different sources and performing complex preprocessing actions on the data, often using expensive GIS tools and programming scripts (Taylor et al., 1999; Granell et al., 2010). Therefore, the data aspects of hydrologic models have often been a barrier to their widespread use in solving watershed problems (Choi et al., 2005).

Model and model input preparation problems also include machine dependencies, which limit a set of code or software to only working in a particular machine or environment. For example, software such as ArcGIS Desktop is only available on Windows, so Macintosh or Linux users have challenges in using such software. A hydrologist is often required to invest considerable time to learn and implement the skills required during pre- or post-processing of geospatial data- without the guarantee of them being useful in the all platforms. Therefore, data and model platform aspects of hydrologic models have often been a barrier to their widespread use in solving watershed problems (Choi et al., 2005). Automated computer-based procedures to store, access, and prepare data for modeling are becoming essential to tackle these barriers (Miller et al., 2007). The goal of my research was to investigate the development of a prototype system to reduce complexity involved in the application of a hydrologic model.

1.2 Research objectives and questions

Granell et al., (2010) and Goodall et al. (2008) have noted that much hydrologic analysis and modeling is constrained by time-consuming data processing and management tasks. Acquiring, organizing and reformatting data for use in a study often demands a wide skill-set from a hydrologist that is not directly related to hydrology. So, a question is- what is the best use of modern computer technology to make it easier for a hydrologist to prepare hydrologic models quickly and easily, without the hassle of installing software, and with an ability to reproduce results? The answer may be found by observing the increasing trend towards web-hosted services for watershed management and GIS (Choi et al., 2005; Pandey et al., 2000; McKee, 2000; Granell et al., 2010; Mineter et al., 2003). Web-based solutions offer significant advantages over more traditional approaches because users are not required to own and maintain specialized, and sometimes platform dependent software. Further, web based solutions can provide intuitive GUIs (Graphical User Interfaces) for input and output management, and intensive data processing can be accomplished on powerful servers (Pandey et al., 2000). For these reasons, a web Application (web App) was investigated in this research as a way to address the problems described.

The specific objectives of the study were to evaluate the development of a web App to provide a user-friendly system to i) create input files for the TOPKAPI model rapidly, with high accuracy, without going through the tedious process of downloading, processing, and analyzing data on the user's machine; ii) perform web based model execution of the TOPKAPI model instance using input files from the web App; iii) provide users the ability to create geospatial and forcing files like terrain rasters, soil property rasters, rainfall and reference evapotranspiration (ET) for a modeling area of interest, and iv) investigate the leveraging of these functionalities to developers programmatically through Application Program Interface (APIs).

CHAPTER 2 BACKGROUND

This section gives general background on cyberinfrastructure for hydrologic modeling and research in general. The first section introduces the concept of Cyberinfrastructure. Then in the second section general hydrologic cyberinfrastructure work is reviewed. The next three sections review each of the components used in the web App that I developed, namely: i) the Tethys Platform which was used to build the web interface, ii) the HydroDS web service which served as the engine of the app, and iii) HydroShare which was used to save the result files created by the app. The last subsection introduces the models supported by the app: TOPKAPI, and TOPNET.

2.1 Cyberinfrastructure

The National Science Foundation (NSF) started a comprehensive program called the Cyberinfrastructure (CI) Program to advance the cyberinfrastructure in all areas of science and engineering research and allied education (Atkins, 2003). The program supports the idea of adding 'computer simulations' as a major approach to the two classic scientific research approaches: theoretical/analytics and experimental/observational research. The advancement of CI is crucial in achieving the vision of 'web science' mentioned earlier by giving researchers easy access to the processes, tools, data representation, architecture, infrastructures, and computationally-intensive modeling to help facilitate easy discovery, processing, integration, analysis of hydrologic data from disparate data sources. This research carries the theme of contributing to the improvements of cyberinfrastructure.

2.2 Hydrologic Cyberinfrastructure

Right from the rise of personal computers (PC), people have imagined the use of PCs in interactive and easy-to-use simulation services for better understanding of environmental resources allowing more informed decision making for environmental planning and policy making (Loucks et al., 1985). Many applications exist that have offered users services of environmental modeling, or model input preparation. Although their architectures differ quite sharply, they could be broadly classified into two major groups: PC-based applications, and web-based applications. PC-based applications (Best et al., 2007; Teng et al., 2008; Pecar-Ilic and Ruzic, 2006; Mineter et al., 2003; Jeong et al., 2006; Miles and Band, 2015; Miller et al., 2007) either work as standalone programs built for a particular operating system, or work inside the environment of another program (e.g., ArcGIS) as a tool or a plugin. These applications are designed for a host PC, hence they are machine dependent. Furthermore, access to such applications can be limited because of the licensing restrictions, dependencies issues and software versioning.

On the other hand, web-based applications offering modeling and input-preparation services (Goodall et al., 2008; Soh et al., 2006; Leonard and Duffy, 2013; Dawson et al., 2007; Walker and Chapra, 2014; Lim and Engel, 2000; Granell et al., 2010; Choi et al., 2005) do so by applying data preprocessing and GIS transformation in web hosted machines. Users access these applications via the web, while the computations are carried out in the host server. Users do not run any application in their local machines; hence, these applications are not subjected to machine dependency. However, they may require accounts to use, and their persistence, longevity and stability is at the mercy of the hosting organization. A user has control over when or whether to update PC based software, while

a website may be updated or go offline without warning.

Web-based applications providing hydrologic model input preparation include the Essential Terrestrial Variable (ETV) web service (Leonard and Duffy, 2013) that offers generic hydrologic dataset preparation for watershed modeling for United States Geological Survey (USGS) Hydrologic Unit Code (HUC) units, and a system to transform the ETV into Penn State Integrated Hydrological Model (PIHM) input file formats. Also, Granell et al. (2010) used geospatial services for discovery, access, processing and visualization of geospatial data in a distributed manner applied to two runoff models-Snowmelt Runoff Model and TUW-HBV model. Similarly, a team from Purdue University has developed an online system using web-GIS that performs online watershed delineation, data preparation and online simulation using a web-accessible version of the Long-Term Hydrological Impact Assessment L-THIA (Choi et al., 2005) system to model the impact of land-use change scenarios on runoff and nonpoint source pollution. These works collectively illustrate the computing capabilities of each of these applications in terms of processing, reformatting, analyzing, transforming geospatial datasets, and carrying out model specific computations.

There are also web based systems for model sharing. Notably, SWATShare (Rajib et al., 2016) allows users to share their SWAT model online to other collaborators, make multiple runs altering input parameters, and also produces an excellent variety of plots. However, with SWATShare users have to prepare their own models first and upload them to the app. Expanding on this (Morsy et al., 2017) have developed and implemented model program and instance resource types in HydroShare, designed to hold any type of hydrologic model, as well as SWAT models in particular. There have also been studies to make hydrologic data from disparate sources easily available. The GeospatialDataGateway has been a reliable public servant offering different geospatial datasets to users easily through its website https://datagateway.nrcs.usda.gov/. PC based software such as HydroDesktop (Ames et al., 2012) gives users ability to interactively discover and download different types of hydrologic data from many sources. The Consortium of Universities for the Advancement of Hydrologic Science, Inc.'s Hydrologic Information System (CUASHI- HIS) has offered a web service that follows open standards for the exchange of water observation data called Water Markup Language (WaterML) for programmatically accessing and connecting between data from government repository and academic hydrologic observation network (Horsburgh et al., 2009; Zaslavsky et al., 2007). Although such software systems are useful and popular because they act as one stop solutions for data discovery, they do not focus on preparing inputs for any specific models, which means a lot of preprocessing could still be required to use datasets retrieved from these systems in environmental models.

The work described above contributes and builds towards broader visions such as Web Science (Berners-Lee et al., 2006; Shneiderman, 2007; Granell et al., 2010), and Model as a Service (Geller and Melton, 2008; Geller and Turner, 2007; Roman et al., 2009). Such visions foresee the integration of web related capabilities like data discovery, processing and analyzing datasets from disparate data sources, and accessing different models provided as web services. With the implementation of modeling services as a webbased application, this project aims to be a step towards achievement of these broader visions.

2.3 HydroDS

Sazib (2016) describes a web service called HydroDS (Figure 1), which supports data and GIS processing services such as downloading geospatial and climate data and raster manipulation for preparation of model inputs through a REST API (Representational state transfer API). It also supports some modeling capabilities. A Python client library, called *Hydrogate* (Dash, 2016) provides functions that call the HydroDS REST API from Python. This library makes it easy to use these web services from a program written in Python.



Figure 1. Schematic diagram of the architecture of HydroDS data services (Sazib, 2016). The HydroDS server contains data (Digital Elevation Model, National landcover dataset

etc.), as well as packages such as TauDEM and R. The client element in our case is Tethys backend.

2.4 HydroShare

HydroShare is an online system developed for collaborative sharing of hydrologic data and models with the aim to enable scientists to easily discover and access hydrologic data and models and perform analyses on them in a distributed computing environment (Tarboton et al., 2013). HydroShare is comprised of three primary components (Tarboton et al., 2014): (1) Distributed file storage in Integrated Rule-Oriented Data System (iRODS) (Rajasekar et al., 2010); (2) a website for exploring and interacting with content comprising data and models, collectively referred to as resources; and (3) Web Applications that are tools and programs that can act on resources (Figure 2). HydroShare is extensible in that anyone can develop a web Application that interacts with HydroShare resources through its various APIs. Any App can make use of HydroShare REST API Python client library called hs_restclient (http://hs-restclient.readthedocs.io/) to communicate with HydroShare.



Figure 2. Schematic diagram of how HydroShare works (Tarboton, 2016)

2.5 Tethys Platform

The Tethys Platform (Swain et al., 2016) was developed to make it easy to build web Apps for water resources applications. Tethys is a Django based development and hosting environment developed using a Python Software development kit (SDK) that aims to lower the barrier for web App development (Swain et al., 2016; Ames et al., 2015) by bringing together several Free and Open Source (FOSS) components.

A conceptual diagram and overview of the platform as described by Swain (2016) is shown in Figure 3 below. End users can access an app built using the Tethys Platform on their PCs or handheld devices via a web browser. Tethys platform allows the use of map elements such as Google earth, Google maps, OpenLayers, "gizmos" elements (pre-programmed interactive controls) such as date picker, text inputs, buttons, sliders, and HighCharts tool for visualization of time series and tabular data. It also allows the use of the PostGIS spatial database.



Figure 3. Schematic diagram of Tethys Software Platform (Swain, 2016)

Tethys apps (Swain, 2015) use a development pattern called "Model View Controller", which is defined as "the Model represents the data of the app, the View is composed of the representation of the data, and the Controller consists of the logic needed to prepare the data from the Model for the View and any other logic your app needs".

The controller coordinates between the view and the model. The Controller handles most of the application logic such as processing form data, launching model runs, querying database or calling specific functions. In a Tethys app, controllers are Python functions.

2.6 Models

This section introduces the two models used in the app- TOPKAPI and TOPNET. Both TOPKAPI and TOPNET are examples of physically based hydrologic models. Physically based distributed hydrologic models can, in principle, better model an ungauged catchment through their use of parameters which have a physical interpretation and through their representation of spatial variability in the parameter values (Abbott et al., 1986; Beven, 1989; Beven et al., 1984). They are an important class of hydrologic models, but they often require a wide range of inputs which are often difficult or time consuming to create. This project uses these two models as representative of physically based models to demonstrate the generality of the approach adopted.

2.6.1 **TOPKAPI**

The TOPKAPI (TOPographic Kinematic APproximation and Integration) model is a fully distributed, physically-based hydrologic model that is derived from the integration in space of the kinematic wave model. The TOPKAPI model was evaluated as part of National Oceanic and Atmospheric Administration (NOAA's) Distributed Model Intercomparison Project Phase 2 (DMIP2), where promising preliminary results have been reported (Coccia et al., 2009). The TOPKAPI model has been applied to several catchments for flood forecasting, extreme flood analysis, predicting hydrological response under changed landscape conditions (Liu and Todini, 2002; Todini and Ciarapica, 2001). Some of the countries in which TOPKAPI has been used include China (Liu et al., 2005), Italy (Ciarapica and Todini, 2002; Bartholmes and Todini, 2005), South Africa (Sinclair and Pegram, 2010; Vischel et al., 2008b), and Nepal (Shea et al., 2015; Pellicciotti et al., 2012).

Following is the description of the model based on the text by Todini and Ciarapica (2002) and Coccia et al., (2009) paper. Readers are encouraged to review these references for more detailed understanding of model components and process parameterizations.

The TOPKAPI model combines the kinematic approach with the basin topography described by a lattice of square cells that are computational nodes. Cell size often increases with the scale of the problem. The flow paths are derived from a Digital Elevation Model (DEM) with any one cell draining to one adjacent, but not diagonal neighboring cell while it may receive upstream contribution from up to three adjacent surrounding cells. Each cell is structured to represent soil component, overland flow component and drainage network component, with no component accounting for water percolation to deeper soil layers. The horizontal flow in the unsaturated zone is assumed to occur in a layer of limited thickness. Overland flow is generated by the excess rainfall on the saturated cells while the total runoff (surface + subsurface) is then drained by the drainage network.

The basic assumptions upon which the soil model for TOPKAPI is based are:

i) The precipitation is assumed to be constant over a cell.

- All the precipitation falling on the soil infiltrates, unless the soil has reached saturation
- iii) The slope of the water table coincides with the slope of the ground, unless the ground slope is very small (less than a minimum specified in a parameter, e.g. 0.1% in which case slope is taken as the minimum). This constitutes the fundamental assumption in the kinematic wave approximation, and it implies the adoption of a kinematic wave propagation model for horizontal flow, in the unsaturated area.
- iv) The saturated hydraulic conductivity is constant with the depth in a surface soil layer but much larger than that of deeper soil layers which are neglected in the model representation of subsurface flow processes.
- v) The local transmissivity (integral of the hydraulic conductivity over the vertical in the unsaturated zone) can be reasonably expressed as a function of the total water content of the soil.

Transmissivity for the unsaturated soil layer may be defined as:

$$T = \int_0^L k\left(\breve{v}(z)\right) dz \tag{1}$$

where L is the thickness of the layer affected by the horizontal flow, z is the vertical direction, $k(\check{v}(z)) = k_s \cdot (\check{v}(z))^{\alpha}$ is the hydraulic conductivity given by Brooks and Corey (1964), for non-saturated condition, as a function of the reduced water content $\check{v} = \frac{\vartheta - \vartheta_r}{\vartheta_s - \vartheta_r}$.

According to assumption iv) and v), the transmissivity T defined above does not strongly differ from the one estimated in terms of total soil moisture content integrated along the vertical profile (Benning, 1994): (2)

$$T(\check{\Theta}) = k_s L \,\check{\Theta}^{\alpha}$$

14

where k_s is the saturated hydraulic conductivity, $\Theta = \frac{1}{L} \int_0^L (\breve{v}(z)) dz$ is the mean value of reduced soil moisture content along the vertical profile, and α is a Brooks and Corey parameter which depends on soil characteristics.

Thus, while the horizontal flux calculated for the soil profile by Brooks and Corey formula is:

$$q = \int_0^L \tan(\beta) k_s \cdot \left(\breve{v}(z)\right)^\alpha dz \tag{3}$$

the horizontal flux calculated for each cell by means of the approximated formula is:

$$q = \tan(\beta) k_s L \check{\Theta}^{\alpha} \tag{4}$$

The model of the single cell can be derived from the continuity of mass and an

approximated momentum equation, expressed after the vertical lumping as:

$$(\vartheta_s - \vartheta_r)L\frac{\partial \Theta}{\partial t} + \frac{\partial q}{\partial x} = r$$
⁽⁵⁾

where:

- x: cell size (horizontal)
- t: time coordinate
- q: horizontal flow in soil as discharge per unit width
- r: rainfall intensity

The equations (4) and (5) are combined and rewritten in terms of total soil

moisture content over the vertical profile:

$$\eta = (\vartheta_s - \vartheta_r) L \check{\Theta} \tag{6}$$

to give:

$$\frac{\partial \eta}{\partial t} = r - \frac{\partial q}{\partial x} \tag{7a}$$

$$q = \frac{\tan(\beta) k_s L}{(\vartheta_s - \vartheta_r)^{\alpha} L^{\alpha}} \eta^{\alpha} = C \eta^{\alpha}$$
(7b)

where horizontal flow is expressed as a function of a local conveyance

$$C = \frac{\tan(\beta) k_s L}{(\vartheta_s - \vartheta_r)^{\alpha} L^{\alpha}}$$
(8)

which depends on physically meaningful soil properties. Combining the two equations (7) the following kinematic equation is finally obtained:

$$\frac{\partial \eta}{\partial t} = r - \frac{\partial q}{\partial x} = \frac{\partial (C \eta^{\alpha})}{\partial x}$$
(9)

This can be integrated in the soil over the ith cell to give:

$$\frac{\partial V_{si}}{\partial t} = rx - (C \eta_{si}^{\alpha} - C_{si-1} \eta_{si-1}^{\alpha_s})$$
(10)

where V_{si} is the volume per unit width stored in ith cell, while the last term in equation (10) represents inflow and outflow balance. The subscript s is added to indicate that this equation is for soil cells.

The app introduced by this project uses PyTOPKAPI (Vischel et al., 2008b; Vischel et al., 2008a; Sinclair and Pegram, 2010; Sinclair and Pegram, 2013), an open-source implementation of the physically based, distributed model TOPKAPI written in Python. It uses an upgraded TOPKAPI model that supports an active cell receiving flow from up to 7 surrounding cells, and allows flow along diagonals between cells. It also includes Green Ampt infiltration as a mechanism of overland flow generation. However, it does not have a snow modeling component.

2.6.2 **TOPNET**

TOPNET applies TOPMODEL to multiple sub-basins (as basic model elements) draining to distinct segments of a stream network. The model applied to each sub-basin is a modification of the original TOPMODEL (Beven and Kirkby, 1979) that adds a potential evapotranspiration component, a canopy storage component to model interception, and a soil zone component that provides infiltration excess runoff generation capability through a Green-Ampt like parameterization and other modifications (Bandaragoda et al., 2004).

For each sub-basin model, there is a separate interception component that controls the production of net precipitation. Overland flow and seepage from the groundwater form the inflow to each channel segment. The sub-basins are linked by a network of channels and flow is routed through this network using kinematic waves with a shock fitting technique. It provides a prediction of flow in each modelled reach within a catchment (Bandaragoda et al., 2004; Clark et al., 2008).

TOPNET has been used in flow prediction, flood forecasting, water resources modelling, and climate and land-use change studies (Sazib, 2016; McMillan et al., 2010; Ibbitt and Woods, 2004).

CHAPTER 3 APP DESIGN AND DEVELOPMENT

3.1 Introduction

This chapter introduces the design, architecture and implementation of a prototype web-hosted application (web App) designed to create input files and perform hydrologic simulation on the web. The app makes use of files and model sharing functionalities of HydroShare (Tarboton et al., 2014) to store data and model outputs, and HydroDS web services to carry out the processing of datasets and model execution. The TOPKAPI model served as the driving use case for which an end-to-end hydrologic modeling service was developed to demonstrate the approach. Functionality to prepare input files for an additional model, TOPNET (Bandaragoda et al., 2004; Ibbitt and Woods, 2004), was incorporated to demonstrate the generality and capability for adding other models into the framework. Workflows for TOPNET input-preparation written by Sazib (2016) were incorporated into the HydroDS services that supported the app.

3.2 Software Design

The App has been designed to serve four use cases: i) prepare and run TOPKAPI model instance, ii) prepare TOPNET model input files, iii) download geospatial and forcing dataset, and iv) perform TauDEM analysis or terrain analysis. The first three functionalities are supported for the CONUS region, while the last functionality is supported for any area in the world (Figure 4).



Figure 4. The workflow adopted to support four use cases: User 1 can create and run a simulation, User 2 can create input files, User 3 can download hydrologic files for US, while User 3 can perform TauDEM assisted terrain analysis on a global scale.

The tasks carried out following a request by User 1 to create a TOPKAPI model instance consist of 13 major steps (Figure 5).

Step 1: Input parameters from user are collected from the app user interface.

Step 2: The inputs are passed on to the *model run* page, where its controller function, *model_run()*, calls an appropriate function in the HydroDS. For the specific case where a user requests to create a TOPKAPI model, the function *call_runpytopkapi()* from the HydroDS is called.

Step 3: Based on the input parameters, the DEM is extracted for the region, and terrain analysis is performed on it.

Step 4: The most recent land cover data available at the time of writing, NLCD 2011, is extracted for the region, and reclassified based on Kalyanapu (2010) to obtain Manning's n map.

Step 5: The SSURGO based soil dataset is derived following a series of steps, including obtaining spatial and tabular information concerning soil properties for the region.

Step 6: Daymet precipitation is extracted for the region based on simulation start and end dates.

Step 7: Reference ET is calculated for the region by first extracting the Daymet climatic datasets like shortwave radiation, precipitation, vapor pressure, temperature, and then using Penman Monteith equations based on the guidelines by FAO.

Step 8: TOPKAPI input files are created based on the dataset derived in the steps leading until this.

Step 9: With the input files prepared, the TOPKAPI model is executed.

Step 10: The results of the model execution are collected and saved in the user's HydroShare account as a new resource. Also, model run information is stored in a JSON file (Appendix E) and sent back to the UI of the App to display to the user. This JSON file contains information that preserves the state of the App and may be used to open the App later from HydroShare with the same model configuration and results.

Step 11: When the program receives a response from HydroDS that the model was successfully executed, the information about user's input, model run details, and simulated and observed discharges, are parsed and written in the corresponding app's database.



Figure 5. The processes carried out and the inputs used during the execution of a model preparation request by a user

Step 12 The time-series plots like rainfall, simulated discharges are displayed. Also, the link to HydroShare where the model files and results are saved, is displayed on the screen.

Step 13: In the same screen, users can also make some modifications to model input parameters using the form provided there, and re-run the model. The changes, once submitted, trigger actions similar to those that run when the model is created, but this time instead of creating all the files from scratch, any changes are made to the already prepared input files, and the simulation is re-executed. The results are then displayed back to the user. Additionally, the App's database is updated to store some of the model run results.

3.3 Server Client Architecture

The server and client architecture for the App was designed to distribute the work load to all three components- 1) the App's front end or the client side, 2) the App's back end, and 3) the HydroDS web service (Figure 6). Since allocating all the processing tasks in the server side can slow down the App, the client side is trusted with some minor processing load such as warning prompts, data validation, GeoJSON visualization, drawing domain rectangles on the map, and searching places on maps. The back end of the app is responsible for tasks such as querying the database, processing the parameters collected at the front end, calling appropriate functions from the HydroDS web service, retrieving the response and acting accordingly, and processing data in specific formats for plotting timeseries plots. The HydroDS web services are located on a powerful server where many applications and libraries are installed, and hence it makes sense to trust them with the biggest burden of processing datasets. They are responsible for heavier workload such as creating datasets and running the model.



Figure 6. Diagram showing the relationship between the app, HydroDS and HydroShare. Users access the Tethys App through a web browser. The backend of the App uses the hydrogate Python client to call functions in the HydroDS server. The HydroDS server uses the HydroShare Python client to save results produced to HydroShare.

3.4 Elements of the App

This section describes the app's design elements such as python scripts to retrieve data and transform it, the app's database, the frontend's request architecture, etc. Each element is described in detail in the subsections that follow. The elements are:

- 1. Methods to access hydrological data required for modeling.
- A set of programming scripts to automate hydrologic model preparation and modification of existing model instances.
- 3. A set of programming scripts to retrieve observed flow, and calculate error of simulated flow based on the observed flow.
- 4. A GUI with html forms and draw-able map element to receive input parameters from a user, display time-series results of a model run, and allow loading of existing model, as well as its modifications.
- A system to enhance users' access to the individual hydrologic functions used in modeling and model input preparation.
- 6. A database to store model input information.

3.4.1 Hydrologic Data

Python functions were written to access a number of different hydrological datasets required for hydrologic modeling. Various GIS preprocessing tasks are used in these functions to convert data to the format required by the model. Some of the required data are stored on the HydroDS servers while others are fetched dynamically from various web services. The data are grouped into three types - terrain data, soil data, and forcing data. The procedures followed for each of these datasets are described in the sections below:

Terrain Dataset

The 30 m resolution National Elevation Dataset-Digital Elevation Model (NED-DEM), is stored on the HydroDS server for the Western United States, while 30 m resolution National Land Cover Dataset 2011 (NLCD) is stored for the contiguous United States (CONUS). For areas outside the CONUS, Shuttle Radar Topography Mission (SRTM) DEM (30 meter resolution) is downloaded on the fly using a web service accessed from a Python package named Elevation (https://pypi.python.org/pypi/elevation). Python scripts were written to extract the dataset for the specified model domain and to resample and project it to the specified resolution.

TauDEM (Tarboton, 2005) tools are used to perform terrain analysis tasks such as delineate a stream network, identify terrain driven surface flowpaths, and watershed area draining into an outlet, a point of interest to which water falling on the entire watershed converges. Pits in the DEM for the region are filled. Then, the stream network is obtained from the DEM based on a user input "stream threshold" value required to define a channel. Stream threshold value is the total number of grid cells required to drain to a cell for that cell to be considered as a stream cell. The user input outlet information may not be accurate - i.e., the outlet may not fall exactly on the stream. For such cases, the TauDEM function 'moveoutlettostream' is used to create a new shapefile containing a point for outlet in the stream. The final output of the analysis includes a DEM raster, flow direction raster, stream network raster, slope raster, and watershed coverage raster. The workflow carried out for the analysis, along with the TauDEM functions used to do so, is shown in Appendix C Figure C.1. Table 1 lists the data produced during the terrain analysis steps.
Filename	Descriptions
DEM84.tif	NED DEM (or SRTM DEM) clipped to the user
	domain, in WGS84 Coordinate System.
DEM84_prjXX.tif	The DEM in a projected coordinate system
fel.tif	TauDEM produced pit-filled DEM
src.tif	TauDEM produced stream network raster
p.tif	TauDEM produced d-8 flow direction raster
sd8.tif	TauDEM produced d-8 slope raster
ad8.tif	TauDEM produced d-8 area contributing raster
slope.tif	TauDEM produced d-8 tan(slope)
mask.tif	Watershed raster that drains to the outlet provided
mask_wgs.tif	Watershed raster in WGS84 coordinate system
nlcdProj.tif	Projected NLCD raster
Outlet.shp	ESRI point shapefile created based on location of
(.shx, .dbf, .prj)	outlet provided by the user
OutletProj.shp	The outlet shapefile in projected coordinate system
Corrected_outlet.shp	The outlet shapefile that falls on the stream network
watershed.shp	ESRI polygon shapefile for the watershed
metadata.txt	Text file containing metadata, including a dictionary
	of the details provided during the terrain analysis

Table 1. Brief description of the files created during the terrain analysis

The NLCD for the model domain is used to determine Manning's n for the domain. Kalyanapu et al. (2010) has mapped each NLCD land cover class to a Manning's n value (Table 2). Using that table, a GIS reclassify function is used to determine a Manning's n value for overland flow cells.

It is to be noted that PyTOPKAPI requires the cells to be in an equal area, projected coordinate system. For the simplicity of accommodating any watershed in the Western US, we have adopted the North America Albers Equal Area Conic projected coordinate system (EPSG Code: 102003) for all the terrain datasets.

Soil Dataset

A set of Python scripts were written to prepare soil property maps from the Soil

Survey Geographic Database (SSURGO) for a specified spatial domain. These include saturated hydraulic conductivity, porosity, bubbling pressure, residual soil moisture content, saturated soil moisture content, soil depth, and pore-size distribution (Table 3). A public soil database named gSSURGO (gridded SSURGO) provided by United States Department of Agriculture - Natural Resources Conservation Service (USDA-NRCS), which is available throughout the United States, is used to extract soil data for the domain. SSURGO is a relational database containing tables describing properties, and maps. The maps are linked in the database to information about the 'component soils' and their properties for each 'map unit'. Each component is further divided into 'soil horizons'. During the process of obtaining a soil map for an area, weighted averaging of values from soil horizon, and later from map components was carried out based on the description provided by (Wieczorek, 2014).

Land Cover	Land Cover Description	
Class		
21	Developed, open space	0.0404
22	Developed, low intensity	0.0678
23	Developed, medium intensity	0.0678
24	Developed, high intensity	0.04044
31	Barren land	0.0113
41	Deciduous forest	0.36
42	Evergreen forest	0.32
42	Mixed forest	0.4
52	Shrub/scrub	0.4
71	Grassland/herbaceous	0.368
81	Pasture/Hay	0.325
90	Woody wetlands	0.086
95	Emergent herbaceous wetlands	0.1825

Table 2. Lookup table that maps NLCD land cover classes to Manning's n values (from Kalyanapu et al., 2010)

Storage of the soil database for the entire US, or even the Western US is difficult because of its size. Hence, an R package named 'soilDB' (Beaudette and J. Skovlin, 2016), which makes use of NRCS web services to query the database hosted in an NRCS server, is used. The results returned (tabular and vector data) are then used to construct a raster for different soil properties.

Filename	Descriptions
Soil_mukey.tif	A raster containing SSURGO map unit keys
SSM.tif	Saturated Soil Moisture content (unitless) raster
	prepared by weighted averaging the soil property
	value (in this case saturated soil moisture values
	derived for each soil texture class from Table 2) for
	different soil horizons based on horizon's thickness,
	and the weighted averaging them based on component
	percentage to map units
RSM.tif	Residual Soil Moisture content raster (unitless)
	prepared by weighted averaging algorithm as
	explained before
BBL.tif	Bubbling pressure (in mm) raster prepared based on
	weighted averaging algorithm as explained before
PSD.tif	Pore size distribution raster (unitless) prepared based
	on weighted averaging algorithm as explained before
ksat_ssurgo_wtd.tif	Saturated soil conductivity raster (m/hr) prepared
	based on weighted averaging of values by SSURGO
ksat_LUT.tif	Saturated soil conductivity raster (mm/s) prepared
	based on weighted averaging algorithm as explained
	before
mannings_n.tif	Manning's n raster for the overland, derived from
	mapping NLCD raster
psif.tif	Green Ampt wetting front suction in meters
dth1.tif	Drainable porosity
dth2.tif	Plant available porosity
texture_joint_df.csv	A comma separated file containing dataframe of soil
	properties for each soil horizon from SSURGO for that
	has been joint to the look up table
component_agg_df.csv	A comma separated file containing weighted averaged
	soil properties for each soil component

 Table 3. Brief description of the files created during preparation of soil dataset

mapunit_agg_df.csv	A comma separated file containing dataframe of the soil properties for each map unit keys
metadata.txt	Text file containing metadata, including a dictionary of the details provided during the terrain analysis

Multiple queries to NRCS are made with the help of the R package 'soilDB'; beginning with a query to get the shape information for mapunits in vector format. Then, another query is made to download a table of horizon level data from SSURGO. The table is weighted averaged (first based on thickness of soil horizon layer, and then based on percentage of different soil components) following the steps provided by Wieczorek (2007) to get values such as saturated hydraulic conductivity and porosity, for each map unit. Other soil properties are not directly available in the database, hence a lookup table based on soil texture class (Table 4) provided by Rawls (1982) was used to obtain properties such as saturated soil moisture content and bubbling pressure for each map unit. Missing values are replaced with the average value for the watershed. Further explanation is provided in Appendix B.

Texture class	Porosity (cm ³ /cm ³)	Residual Soil Moisture	Bubbling pressure (mm)	Pore Size Distribution	Saturated Hydraulic Conductivity
		(cm ² /cm ²)			(mm/s)
Sand	0.437	0.02	72.6	0.592	0.05833
Loamy sand	0.437	0.035	86.9	0.474	0.01697
Sandy loam	0.453	0.041	146.6	0.322	0.00719
Loam	0.463	0.027	111.5	0.22	0.00367
Silt loam	0.501	0.015	207.6	0.211	0.00189
Sandy clay					
loam	0.398	0.068	280.8	0.25	0.00119
Clay loam	0.464	0.075	258.9	0.194	0.000639
Silty clay loam	0.471	0.04	325.6	0.151	0.000417

Table 4. Lookup table (Rawls, 1982) for soil properties based on soil texture class

Sandy clay	0.43	0.109	291.7	0.168	0.00033
Silty clay	0.479	0.056	341.9	0.127	0.00025
Clay	0.475	0.09	373	0.131	0.000167

Forcing Datasets

Daymet climate files (precipitation, daily maximum and minimum temperature, short wave radiation, vapor pressure, etc.) in a three dimensional NetCDF (Network Common Data Form) format for the entire US have been stored in the HydroDS server for the period ranging from 2005-2015. The app allows user access to these climate and forcing dataset (Table 5) for their area of interest in a gridded form.

Precipitation records for the user's domain and time range are extracted and resampled to the resolution of other geospatial files.

Filename	Descriptions	
Rain.nc	A 3-dimensional (X,Y and time) NetCDF file containing	
	Daymet precipitation that has been resampled to user	
	desired resolution (mm/day)	
ET_reference.nc	A 3D NetCDF file containing short crop reference ET	
	calculated using Penman-Monteith equations, and using	
	Daymet climate dataset (mm/day)	
Output_tmax.nc	A 3D NetCDF file of maximum daily temperature (°c)	
Output_tmin.nc	A 3D NetCDF file of minimum daily temperature (°c)	
Output_vp.nc	A 3D NetCDF file of vapor pressure (Pascal)	
Output_srad.nc	A 3D NetCDF file of short wave radiation (W/m ²)	
metadata.txt	Text file containing metadata, including a dictionary of	
	the details provided during the creation of forcing files	

 Table 5. Brief description of the files created during preparation of forcing dataset

A set of programming scripts was written to calculate reference ET based on Daymet data. The scripts use the DEM and the Daymet climate files - maximum temperature, minimum temperature, short wave radiation and vapor pressure. The calculations were based on the Food and Agriculture Organization (FAO) Penman-Monteith equations and guidelines (Allen et al., 2006).

The workflow followed during the creation of the ET product is shown in Figure 7. Total daily incoming solar radiation (in MJ/m²/day) for the region is calculated from Daymet short wave radiation dataset (in W/m²) based on length of the day with length of day calculated from latitude and time of year. Calculation of net radiation used saturated vapor pressure (derived from average daily temperature), maximum and minimum daily temperature, total incoming solar radiation calculated in the earlier step, elevation from DEM, and day of year as inputs. Then, reference short crop ET was calculated using net radiation (calculated earlier of elevation), psychometric constant (function of elevation), temperature, actual vapor pressure (from Daymet) as inputs. Wind speed at 2 m above the surface is assumed to be 2 m/s, surface albedo is assumed 0.23. The functions to calculate net radiation and reference ET were vectorized using a numpy feature that transforms a regular function to support arrays as input to speed up calculations for 3-dimensional numpy arrays. For the Penman Monteith formulae, a Python package called PyETo (Richards, 2015) was used.

3.4.2 Automate TOPKAPI Input-file Preparation

The PyTOPKAPI package used by the app requires an elaborate and particular system of file arrangements to successfully create input files. Python scripts were written to automate the process of creating these files using the geospatial files (terrain files, soil files, and forcing files) as inputs. The end result of the automation is a Python class and functions that can be used to create and modify a TOPKAPI model as a function of a set of geospatial files alone (see HydroDS GitHub page for *pytopkapi_run_instance* class, *runpytopkapi* and *modifypytopkapi* functions in *servicefunctions_pytopkapi.py*).



Figure 7. Flowchart showing Penman-Monteith based calculations executed during creation of gridded short crop reference evapotranspiration dataset.

3.4.3 Retrieve Observed Flow

For proper assessment of model performance, comparison of the simulated flow to the observed flow is desired and error evaluation is required. Hence, a Python script (*downloaddailyusgsdischarge* function in *servicefunctions_ pytopkapi.py*) was written to fetch observed flow at a USGS gage for a specific time range.

3.4.4 A System to Enhance Users' Access to the Computing Functionalities

The app is designed to provide users access to a variety of computing functionalities. The app is designed in a way that does not restrict users to TOPKAPI modeling. It provides global access to terrain analysis functionalities like watershed delineation, or channel network identification. Additionally, for watersheds in the US, it provides access to SSURGO soil files, climate data (maximum and minimum daily temperature, vapor pressure, short wave radiation), and forcing data (gridded precipitation, and gridded reference evapotranspiration dataset). Appendix F, G, and H give a step by step guide to achieve these functionalities.

3.4.5 GUI Based System for Inputs and Outputs

In order to help users to input the model parameters easily and accurately, a web based system was designed with the use of Graphic User Interface (GUI) elements such as date picker, drop down menus, file uploader, and map elements that accept drawing on them. The overall layout and the style of the app was developed using Tethys Platform (version 1.5). Tethys "gizmos" were used for creating input forms like dropdown menus and date-picker, Highcharts© elements provided by the Tethys Platform were used for time-series data visualization, and the Maps API from google was used for the map which helped in providing functionalities such as drawing on map.

The App contains two web pages- *model input* page or home page, and *model run* page or the result page. The *model input* page can create one of two queries: 1) create a new model or download files, and 2) load previously prepared model. The query is passed onto the *model run* page. When a user uses the html forms to modify the result in the *model*

run page, a new query is prepared and is passed onto its own controller (*model_run()* function). These queries are then used to create a request for HydroDS using the hydrogate_python_client Python library (https://github.com/CI-WATER/hydrogate_python_client). Hence, this architecture (Figure 8) allows for the user to modify a run on the *model run* page, although the run may be prepared for the first time, loaded from a saved models, or just edited from *model run* page.



Figure 8. The web request architecture implemented in the app.

3.4.6 The App's Database

One of the functions of the App is to allow users to retrieve a model prepared in the past. For this purpose, the information necessary to retrieve the model is saved in tables in a database. These tables are useful for diagnostic purposes, such as to identify the model's performance in different regions, or with different cell sizes, etc. The Tethys PostgreSQL (Momjian, 2001) relational database on the server is used to save and retrieve such information.

There are three tables in the database of the app - i) *model inputs_table*, ii) *model_ calibration_table*, and iii) *model_result_table* (Figure 9) The information about the model run used to prepare the model such as simulation start and end date, cell size, and HydroShare resource ID is saved in model input table; data used to modify the model is saved in the *model_calibration_table*; whereas the simulated timeseries data for subsequent model runs is saved in the *model_result* table. The HydroShare resource ID in the *model input_table* identifies, and can be used to load, for further analysis, a model saved in HydroShare.

The SQLAlchemy (Bayer, 2012) object relational mapper (ORM), which provides an object-oriented wrapper around the SQL interface, allowing for standard database operations using Python syntax instead of SQL statements, was used to create the database, the tables, update the simulation information, and retrieve the information to load the saved models.



Figure 9. Datamodel used in the app

3.5 Software Implementation

The app offers its users different functionalities through a set of two web pages: i) home page, and ii) result page.

The home page or *model input* page (Figure 10) shows its three sections. First, the section located to the left of the screen contains HTML forms and Tethys 'gizmos' to collect parameters necessary to create models (Number 1 in Figure 10). In this section, the user might define the area to be modeled (the model domain) by typing in coordinates for east, west, north and south edges of the domain, or by uploading spatial data (e.g., ESRI shapefile, GeoJSON file, or TIFF file) for the domain. The second section located right below the first (Number 2 in Figure 10) has a dropdown menu to load previously prepared simulations. The section also includes a text box to enter the HydroShare resource ID to load the simulation prepared by other users but made public. The third section is the one that occupies the most screen space an offers an alternative approach to define domain and outlet information by allowing users to draw on the map.

The *model run* page (Figure 11) contains two major sections. The first section is the result section (Number 1 in Figure 11), occupying the most space on screen that displays the results after running the simulation. This section contains plots of the observed and simulated discharge, rainfall, and other time series plots that were results of the model run. These plots are Highchart elements that a user can zoom into, or download to their local computer in multiple formats. The second section (Number 2 in Figure 11), is located to the left of the screen and contains HTML forms. These forms can be used to input parameters to modify the simulation being loaded. Once the modifications are submitted, the result from the model run created using the modified model parameters are displayed back to the user in the same screen. Users can make multiple modifications, and the results will be displayed in the same model-run page. Also, the results files will be updated in the HydroShare Resource.



Figure 10. *Model input* page of the app showing the three sections: (1) Input-forms to prepare a model, (2) gizmos to load existing model, and (3) map element that supports drawing domain and outlet point on it



Figure 11. Model-run page of the app showing the two sections: (1) display results of the model run, and (2) Input-forms to modify the loaded model

CHAPTER 4 RESULTS

In this section, we present the four individual use cases of the App to demonstrate the App's functionalities. This section also provides the rationale behind the use cases, important information to consider for each use case, inputs taken from the user to perform each action as well as the outputs received. The four subsections respectively describe the four uses cases for: 1) the preparation and execution of a TOPKAPI model instance, 2) the preparation of TOPNET input-files, 3) the preparation of hydrologic data, and 4) execution of global terrain analysis.

4.1 TOPKAPI Model Results

A few important considerations have to be made before using the App to model a watershed. First, a user must be confident about the model assumptions being valid, at least to some degree, for the watershed being modeled. In particular a user should assess the TOPKAPI assumption that says 'slope of the shallow water table should be reasonably approximated by the ground surface'. Another important consideration is that the precipitation governing the streamflow must be rainfall, not snowfall. Lastly, the watershed should not be too large to avoid large computational time or grid cells that are too big for model assumptions to reasonably apply. While there is a grid size and computational time trade off, practically for my implementation the soil database queries were the limiting factor, and limited watersheds that could be processed to less than 400 square kilometers. Physical quantities such as slope become poorly approximated when grid cells are larger than the scale of topographic variability. Furthermore, there is a computational limit to the size of queries that the SSURGO data service supports, although repeated queries could be

used to work around this. Keeping these limitations and assumptions in mind, two watersheds were modeled to illustrate the App's ability to prepare a hydrologic model for any watershed in the United States. However, to avoid describing the same procedure twice, only one use case has been discussed in the thesis, while the procedures and the results for the other has been moved to Appendix E, where it can serve as a tutorial for users on how to use the app to prepare a TOPKAPI model instance.

The first watershed selected, the one we will be discussing, is located north-west of Santa Barbara in California (Figure 12. The watershed drains into Santa Cruz Bay of the artificial Lake Cachuma. The app was used to create a TOPKAPI model that might be used for answering a multitude of hydrologic questions, such as understanding the response of the watershed to some forecasted forcing, estimating actual evapotranspiration from the surface, or estimating impacts of landuse changes on streamflow, etc. Here, we demonstrate the preparation of a TOPKAPI model, and use hydrological judgements to modify the model inputs using the functionality provided by the App.

The input parameters required to prepare the model were obtained with the help of the App map interface to draw outlet points and model domain, and the text box and different 'gizmos' to enter other inputs. Although the App supports cell size as small as 30 meters, a cell size of 100 meters was chosen for the region to limit the computational burden for the 72 km² watershed. A stream threshold of 5 km² was used to define a channel cell. The discharge data from nearby USGS gage (ID 11124500) was used to compare the simulated hydrograph with the observed one. Table 6 lists all the input information provided to create the model.



Figure 12. Study site location and DEM of Santa Cruz watershed

Inputs From User	Values	Units
Simulation Name	SantaCruz_demo	String
USGS gage nearby	11124500	String
Simulation start date	10/01/2010	mm/dd/yyyy
Simulation end date	11/01/2010	mm/dd/yyyy
Stream threshold	5	km ²
Cell size	100	Meters
Outlet location latitude	34.59637	Degrees (WGS84)
Outlet location longitude	-119.90873	دد
Bounding box minimum latitude	34.714	دد
Bounding box minimum longitude	34.586	دد
Bounding box maximum latitude	-119.90873	٠٠
Bounding box maximum longitude	-119.925	"

Table 6.	The	input	parameters	used f	for c	reating the	TOPH	KAPI	model

The app prepared a TOPKAPI model for the Santa Cruz watershed using the inputs, calculated the runoff at the user specified outlet point, and created other time-series plots.

Using the app, a comparison between simulated hydrograph and observed hydrograph was also possible (Figure 13). For comparison purpose, the observed flow information for the USGS gage 11124500 was downloaded from the USGS website on the fly. Time-series plots of actual (ET), rainfall, volume of water in soil, overland and channel reservoir, etc. were also created (Number (a) through (e) respectively in Figure 14). The app also created a cumulative graph of rainfall entering the watershed, channel flow leaving the watershed and ET for the time period of the simulation (Panel (b) in Figure 14). The first model run with parameters determined directly from the available data resulted in Nash-Sutcliffe Efficiency (NSE) coefficient for the observed and simulated discharges of 0.36, while the R^2 value was obtained to be 0.42. The App reports these. By hydrologic modeling standards a NSE value of 0.36 is not good, and a modeler would typically work to improve this by adjusting parameters through manual or automated calibration, or through revision of inputs where they are deemed to contribute to the differences. Although the App supports basic modification, which will be demonstrated shortly, full automated calibration was beyond the scope of what I was able to implement in this App. The plot for cumulative volume of water in the basin is also a part of the result, as shown in Figure 15.



Figure 13. Result provided after the model run: simulated and observed hydrograph



Figure 14. Result provided after the model run: Time-series plots of (a) observed rainfall (input), (b) evapotranspiration, (c) water volume in soil cells in mm, (d) water volume in channel cells, (e) water volume in overland cells in the watershed. (Note that this is a screen shot from the App to illustrate results. Axis labels visible on the screen do not display at the scale of printing in this figure)





Calibration Parameter fac_L	rs fac_Ks
1.0	1.0
fac_n_o	fac_n_c
1.0	1.0
fac_th_s 1.0 Numeric Parameters	
pvs_t0	vo_t0
30.0	3.0
qc_t0	kc
	1.0

Figure 16. Initial parameters value used while creating the model

The App supports making modifications to the model. The App records the input parameters used, the initial values given/estimated, the important time series results, etc. in a JSON file. This serves as a record and also is used to recreate or modify a model. Users can use hydrologic judgement to modify the model by changing initial conditions like soil saturation, volume of water in overland or channel soil etc., or altering multiplying factors for crucial catchment properties such as saturated hydraulic conductivity, soil depth, Manning's coefficient for overland or channel flow, etc. In our case, we see that the model in the beginning period overestimates the flow, although there seems to be very little rainfall. This suggests that the initial soil saturation that was in the app setting was too high for this case. This was the reason for the early occurrence of discrepancy in observed and simulated cumulative water volume, which adds up until the end of the graph as shown by Figure 13 Based on these considerations I changed the initial saturation from 30% to 15%. Another consideration is that the flow, in general, seems to appear slightly ahead of the observed flow. This suggests the routing is too fast. Hence, I also decrease the multiplicative factor for routing, from 1 to 0.5. Third, note that hydrograph peaks, especially the last one, are underestimated by the model. This suggests that the soil depth of the unsaturated zone is larger than it should have been. Hence, I adjusted the multiplicative factor for soil depth down to 0.7 from 1. With these changes (summarized in Figure 16), the model was re-run. This resulted in a modified model with changed parameters and the final results are similar time-series graphs as running the model for the first time. The Nash–Sutcliffe coefficient and R² values for the observed and simulated discharges for the modified model (Number (a) in Figure 17) increased to 0.52 and 0.52 respectively, indicating an improvement that the analyst/user

can achieve through interaction with the app. The modifications also improved the volume balance of the system. There was also a smaller error in the volume of water leaving the watershed compared to observed values (Number (b) in Figure 17) with the error in volume calculations dropping from +18% to +2% under the modified model





Figure 17. Results obtained after rerunning the model with a changed parameters value. In part (a) of the figure, we can see the improvements in the estimation of peak discharges, especially one observed in April, compared to the original simulation. Also in part (b) we can see a simulated water volume leaving the system is much closer to observed than in the first run.

The entire model run information, including the input sources used to create the model and the outputs, are stored in a text file in the form of JSON dictionary. This file (see Appendix E to see how it is structured) is also a part of the result that is saved in HydroShare. This file not only serves as record of input and output files, but also accommodates multiple run information including the time series data. The results and the

model input files have been made public, and can be found in HydroShare under URL: https://www.hydroshare.org/resource/9612dfd6a50c4a9194d9ed7e8956f555

4.2 Prepare Model-input Files for TOPNET

The Logan River watershed, located in the north-east part of Utah, was selected to illustrate the App's ability in preparing model-input files for TOPNET, using the input parameters in Table 7. As alluded to above, the App does not support running the TOPNET model. Instead users would need to set up and run TOPNET following methods of prior work by others (Sazib, 2016); Tarboton, (2007a; 2007b). At its present stage of development, the App can only serve the purpose of helping expedite the process of creating TOPNET model inputs.

The App prepared input files for a TOPNET model instance for the Logan River watershed using the inputs mentioned earlier. The DEM and NLCD for the region were extracted, projected and resampled. Watershed delineation was performed for the given outlet based on the thresholds given by the user, and Daymet climate data was downloaded on the fly for the domain. The required nodelinks and reachlinks information were generated for the watershed, and the wetness distribution was created. After that, the soil dataset was prepared, followed by creation of the basin parameters and rain-weight file using the PRISM dataset, which is single-event gridded climate data products available for the US (Daly et al., 2008 http://prism.oregonstate.edu/). Finally, observed streamflow time series were downloaded.

Soil depth across all model cells	Saturated hydraulic conductivity
0.7	1.0
Manning's n for overland	Manning's n for channel
0.5	0.5
Soil saturation	
1.0	
1.0 Initial Parameters Soil cell's saturation %	Water volume in Overland cells (m3)
1.0 Initial Parameters Soil cell's saturation % 15.0	Water volume in Overland cells (m3) 3.0
1.0 Initial Parameters Soil cell's saturation % 15.0 Flow in channel cells (m3/s)	Water volume in Overland cells (m3) 3.0 Crop coefficient across all model cells

Figure 18. Changed initial parameters value used to modify the model

Note that the result here is the capability for the App to execute a model input preparation workflow and produce model input files equivalent to what previously required tedious and platform dependent desktop execution. By implementing input preparation for a second model in the app, I have demonstrated that other models could be added to the App, and, utilizing the GUI framework, and as well as the hydroDS system to provide similar modeling services for the other model. This demonstration used existing workflows for TOPNET model input preparation and added them to hydroDS, so that TOPNET model input preparation was enabled through the App. For detailed information on the workflow and the descriptions of data it produces, readers are referred to Sazib (2016) and Tarboton (2007a; 2007b)

Inputs From User	Values	Units
Simulation Name	Logan_TOPNET	String
	_2015	
USGS gage nearby	10109000	String
Simulation start date	01/01/2015	mm/dd/yyyy
Simulation end date	12/30/2015	mm/dd/yyyy
Stream threshold value to identify	100	Cell count
stream network to align outlet to it		
Minimum threshold value used in	500	"
Drop analysis selection of stream		
delineation threshold		
Maximum threshold value used in	5000	٠٠
Drop analysis selection of stream		
delineation threshold		
Number of stream threshold values	12	
used in Drop analysis selection of		
stream delineation threshold		
Cell size	30	meters
Outlet location latitude	41.7436	Degrees (WGS84)
Outlet location longitude	-111.7836	٠٠
Bounding box minimum latitude	-111.83	٠٠
Bounding box minimum longitude	42.12	
Bounding box maximum latitude	-111.44	
Bounding box maximum longitude	41.68	

Table 7. The input parameters used for creating input files for TOPNET model

The results obtained were automatically saved in HydroShare by the App. The links to the HydroShare resource, and to the zipped folder containing the prepared files are displayed to the user in the app (Figure 19). The HydroShare resource containing the result of this experimental case has been made public, and is available at: https://www.hydroshare.org/resource/955d72a9b00141548aca582c493ee140

$(\leftarrow) \rightarrow$ C' \textcircled{a} (i) localhost:	3000/apps/hydrotop/model_run/ 90% 🛛 🕶 🛱 🔍 Search		
HydroTop			
Process completed.	Congratulations! Files were created successfully!		
	The created geospatial and forcing files can be accessed temporarily by using this link: Download zip here The files is also saved permanantly in HydroShare, with the ID 965d72a9b00141548aca582c493ee140		

Figure 19. Screenshot of the links to the results displayed by the app after TOPNET input-file preparation

4.3 Download Hydrologic Dataset

The Mill Creek watershed, located in the north-east part of Illinois, was selected to illustrate the App's ability to prepare hydrologic files for any part of the US. The dataset that include terrain files, soil properties files, and climate / forcing files may be used to create some other hydrologic models, or for other purposes. Table 8 lists all the input information provided.

Inputs From User	Values	Units	
Simulation Name	MillCreek_IL_2010	String	
USGS gage nearby	05527950	String	
Simulation start date	10/01/2010	mm/dd/yyyy	
Simulation end date	10/01/2011	mm/dd/yyyy	
Stream threshold	2	km ²	
Cell size	100	Meters	
Outlet location latitude	42.4153	Degrees (WGS84)	
Outlet location longitude	-87.9692	۲۲	
Bounding box minimum latitude	-88.089	"	
Bounding box minimum longitude	42.554	۲۲	
Bounding box maximum latitude	-87.962	۲۲	
Bounding box maximum longitude	42.30	۲۲	
Want terrain dataset	Yes	Boolean (check box)	
Want soil dataset	Yes	۲۲	
Want climate/forcing dataset	Yes		

Table 8. The input parameters used in downloading hydrologic dataset

For the Mill Creek watershed, three sets of hydrologic files containing terrain, soil and forcing data were prepared based on the user's request. The files created are listed in Table 9. Once the analysis was complete, all the files were zipped and the link to the zipped file was displayed to user in the output screen. Also, the files created during the process were automatically saved in HydroShare. The HydroShare resource containing the result of this experimental case has been made public, and is available at: https://www.hydroshare.org/resource/a3552bea9df243be822a61349a200ece/

Table 9. A list of hydrologic dataset created

Files created	Туре	Source	Process
DEM	Terrain	NED	TauDEM assisted
Ground Slope (tan B)	dataset		analysis
Stream network			
Flow direction (D-8)			
Watershed			
Depth of surface soil layer (m)	Soil	NRCS	SSURGO dataset
Saturated hydraulic conductivity			processing
Residual soil moisture content			
Saturated soil moisture content			
Bubbling pressure			
Pore size distribution			
Drainable porosity			
Plant available porosity			
NLCD	Land	USGS	NLCD to Manning's
Manning's surface roughness coefficient	cover		n look up table
Rainfall	Forcing	Daymet	FAO Penman -
Reference Evapotranspiration			Monteith guidelines
Maximum, minimum daily temperature	Climate		NetCDF subsetting
Vapor pressure			
Short wave radiation			

4.4 Perform Global Terrain Analysis

An outlet point in the Bagmati River that runs through the Kathmandu Valley of

Nepal was selected to illustrate the app's ability to perform terrain analysis anywhere on

earth (subject to size limitations). Table 10 lists all the input information provided to create

the model.

Inputs From User	Values	Units	
Simulation Name	BagmatiRiver-Ktm	String	
Stream threshold	5	km ²	
Cell size	50	Meters	
Outlet location latitude	27.6121	Degrees (WGS84)	
Outlet location longitude	85.2905	٠٠	
Bounding box minimum latitude	85.1166	٠٠	
Bounding box minimum longitude	27.89	٠٠	
Bounding box maximum latitude	85.55	٤٢	
Bounding box maximum longitude	27.5	٤٢	

Table 10. A list of information given for performing terrain analysis

		51
EPSG code for projection	32644	Integer, UTM Zone 44

The output dataset from the analysis includes a pit-filled DEM raster, flow direction raster, slope raster, and watershed raster. Table 11 lists the output terrain files created by the analysis. All the files created were zipped and the link to the zipped file was displayed to user in the output screen. Also, the files created during the process were automatically saved in HydroShare. The HydroShare resource containing the result of this experimental case has been made public, and is available at: https://www.hydroshare.org/resource/7c54189ea9ed4ac7b6eb73b1d6ec985d/

Table 11. A list of terrain dataset created

Files created	Туре	Source	Process
DEM	Raster	SRTM	TauDEM
Stream network			assisted
Ground Slope (tan B)			analysis
Flow direction (D-8)			
Flow accumulation			
Watershed			
Watershed	ESRI Shapefile		
Corrected outlet	ESRI Shapefile	User input	

CHAPTER 5 DISCUSSION

The thesis addresses the data related challenges involved in hydrologic modeling with the development of a prototype web Application. By implementing a web based solution, the intensive data preprocessing and modeling functionalities are moved to powerful servers away from the end users, and the model and data sharing capabilities of HydroShare are exploited. This approach allows a user to perform this work from any browser, independent of the type of computer the user has. It also lowers the data and computing related barriers in modeling by giving end users access to modeling and data processing functionalities. By providing modeling and data access as web services, this work contributes to the vision of Model as a Service mentioned in the background section. This app is one software element in a collection of components that contribute to a growing cyberinfrastructure ecosystem of many interfaces to shared services that allows users to craft solutions to their specific research challenges from multiple cyberinfrastructure elements. As an example of the opportunities this enables, HydroTOP does not provide any calibration functionality, but one advantage of the App being interoperable with HydroShare is that if automated calibration is developed by someone else as part of tools or Apps linked to HydroShare, that functionality could interoperate with and exploit results from my App.

We begin this discussion with evaluation of the significance of the work, then explore the relation of the approach to existing work, and follow with a more general discussion of strength, limitations and future improvements of the work.

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For practical purpose, the App can be used to rapidly: i) create a TOKAPI model for any watershed in the US, subject to size limitations and where the model assumptions are valid, ii) create TOPNET input-files for any watershed in the western US (a limitation due to hosting of some required data), iii) get terrain, soil or forcing datasets for anywhere in the US, or iv) perform terrain analysis anywhere in the globe. These functionalities are useful to a hydrologist to quickly prepare a hydrologic model, which helps him or her better allocate time and resources for the more important analysis part rather than on preparing the model. But, the importance of the system goes beyond that. The simplicity of the process can attract newer user groups. For example, an undergraduate civil engineering student with transportation major could use the app to simulate flow on a stretch of road he is designing for a project, and see the effect of change in initial conditions on the flow. The App can be a valuable resource for students and inexperienced modelers not only to better understand rainfall runoff processes, but also to help spread the science of hydrology with different scientific groups.

Compared to the existing approach of modeling in desktop computers, the web based approach provides a number of benefits like improved usability, accessibility, and maintainability. A typical desktop modeling approach involves manually getting the required dataset from Internet or other sources, using sometimes expensive and machine dependent GIS Desktop software to preprocess the dataset, using difficult programming scripts or tedious manual labor to prepare input files, and running the model. Compare that to the web based solution, which is better in terms of: i) usability; it is simpler to use, offers collaboration functionalities with other people, offers to save data on the cloud, etc., ii) accessibility because it can accessed by multiple users at once from a variety of devices, from any place in the world that has a working Internet connection, and iii) maintainability because it does not require a user to upgrade software periodically. However, this approach, too, is not free from limitations. The most crucial limitation is it requires a working Internet connection. Other limitations stem from the App being connected to a server to function. For example, the servers have a limit on the number of users they can accept at a time, which when exceeded, can shut down the server completely. If the server is not running properly for any other reason, it can render the App non-functional, thereby affecting all the users. The responsibility for maintenance has thus been transferred from users to server providers.

There has been similar web based work to HydroTOP in the past for both data and model access and model input preparation, such as SWATShare, HydroTerre and Ecohydrolib/RHESSys workflows mentioned in the literature review. There are strengths and weaknesses of each. For example, SWATShare (Rajib et al., 2016) allows users to share their SWAT model online to other collaborators, make multiple runs altering input parameters, and also produces excellent varieties of plots. However, users will have to prepare their own models first and upload them to the app. When contrasted to established system like HydroTerre (Leonard and Duffy, 2013), the types of data the app provides is somewhat restricted, but the upsides are that the users are not restricted to level-12 HUC units for data, and the app also supports model-runs using the data. Works by Choi (2005), and Granell (2010) implemented similar systems but by using empirical models requiring fewer parameters, and lumped models respectively. However, none of the previously mentioned applications provide web based modeling involving physically based fully distributed models that require extensive parametrization.

Apart from the strengths as a result of being a web App, there are additional strengths. The App records the model parameters and some important time series results, etc. in a JSON file. This serves as a record and can be used to recreate the model. The information contained in the JSON file may be used by other apps or processes to carry out independent analysis. Although JSON files can be large if multiple runs are performed on a model, it offers two key advantages of being human readable, and supporting future versions to output additional information without making the present version dysfunctional. The input system uses GUIs and supports uploading of multiple file formats to simplify process of accepting inputs. The output uses Highchart plots for better visualization. Results are saved on the cloud, previously prepared models are saved for future use. SSURGO based soil files and Daymet forcing files are available to download for the entire US. Terrain analysis is available from all over the world. The biggest strength of the App might well be that it is free and open source, which invites general public to participate in improvements and creative usage.

On the other hand, the App also has several limitations, most of which are inherited from limitations of the data itself. For forcing datasets, only daily records are supported, and only for the time period from 2005 to 2015. There are also limitations tied up with the sources of data. There are different agencies that employ different methods to record the same type of dataset. The App only makes use of a particular set of data sources. For example, for one particular location there might be a governmental agency recording precipitation information using radar, and a university research team recording same precipitation using gages. The quality and suitability of these dataset vary, hence in general it is sensible to use one over the other. Since the input dataset source used in the App is restricted, it may not be the ideal dataset for certain cases. It all comes down to the accuracy, the level of quality assurance/quality checks that have been applied to the datasets, along with the error and uncertainty of the results associated with the input dataset. The App neither makes its analysis considering these factors, nor provides options for users wishing to use his/her own dataset.

Datasets such as the DEM for non-western-US and soil datasets are prepared by querying data services on the fly, hence the entire functionality of the App is tied to the successful operation of such queries. Also, there is a data limit imposed on those queries, which becomes data limit for the app. For example the soil dataset query is restricted to 100,000 acres or 100,000 table records. Also, if those agencies change their policies, or the rules in their APIs, the entire App could become nonfunctional. It is, however, common that the providers retain the old rules for a period of time to allow App developers to make changes accordingly. As long as a developer is looking after the App, the limitation could be avoided.

Another weakness of the App stems from the weakness of the model used. For example, TOPKAPI model does not contain snow modeling, hence the app only supports watersheds where major precipitation source is rainfall. Additionally, being a distributed model, there are also computational limitations. For the current prototype deployment limitations have been determined experimentally to be cell size > 30 m resolution, watershed area < 100,000 acres and forcing dataset range <10 years. These limits could be expanded by deployment on more capable hardware and through design and software improvements. Many of these limitations also exist in the conventional desktop based

modeling practices. Despite these limitations, the App demonstrates a strong case for the use of web based modeling as a solution to tackle challenges in hydrologic modeling.

There are factors that affect the performance of the App, although strictly speaking they are not truly the limitation of the App or of the model. The most important point to consider is that the watershed being simulated should comply with the model assumptions mentioned in the background section. Then, the next most important thing would be proper initial conditions of the watershed. Although the App selects a certain set of initial parameters, they may not be reliable. Other factors include the selection of cell size, which should not be too large that the ground features get lost, or the selection of stream threshold value should not be unreasonable that the stream network formed is unreal. In general, a model is a garbage in garbage out system. Bad inputs will result in bad outputs. Hence, proper care must be taken.

While this thesis has demonstrated the potential for a web based system for providing users with access to data and modeling functionalities, many opportunities for extending the scope of this research remain. We will begin with a disclaimer that not every hydrologic model can be implemented as a web App. It depends on factors such as complexity of the model or the data it requires. The App developed was based on free and open source philosophy, hence, future works are welcome to use and make additions to the App. The App can serve to be a structure for the GUI, results visualizations, data preprocessing, and data storage for future Apps. Future works could focus on improvements in data sources, like implementing high resolution DEM, high resolution (both spatial and temporal) forcing datasets, etc. For models such as TOPNET, a complete modeling functionality would be a good addition to the input-file preparation that has already been done. More models could be added to the App, allowing users not only to choose one over the other, but perhaps to make comparison of results from multiple models. There is also a case for adoption of a comprehensive CSDMS (Peckham et al., 2013) type data and model management architecture in order to facilitate addition of other models and model components to the system. Some other useful additions include calibration modules, uncertainty parameters, calculations, etc. A wide array of input mechanisms, including support for user provided data sources and more comprehensive outputs such as 2-D map for each time step as provided by SWATShare (Rajib et al., 2016) would help even better in analysis. Lastly, support for snow-fed watersheds perhaps by coupling the existing models with Utah Energy Balance (UEB) model (Tarboton and Luce, 1996) would also be very useful.

In summary, a web based solution for tackling data related problems in hydrologic modeling provides a number of benefits compared to a more conventional, desktop modeling approach. More such works would make modeling simpler, add new user groups which would contribute in widening scope of hydrologic work. While there are number of limitations of the approach in general, and of the App presented here in particular, I believe the benefits outweigh them. Continued focus on similar works of providing modeling as service is required to help better understand hydrology.

CHAPTER 6 CONCLUSIONS

The thesis presents a prototype web App that was developed to overcome the data related hurdles that exists in modeling. Specifically, the app helps overcome the problems of data discovery, retrieval, preprocessing, machine dependencies, and data storage. The work demonstrates the capability of such a system to support modeling or in providing hydrologic data to end users. The web based system reduces complexities of modeling, is machine independent, and makes use of online computing and data storage. The improved reliability and usability not only save time of the hydrologists, they also open door for new user-groups to join the hydrologic discussion and expand the science.

The App developed made use of three major components - Tethys for front end development, HydroDS for data processing, data storage and TOPKAPI modeling, and HydroShare for data and model storage. It supports modeling functionalities for the TOPKAPI model, input-files preparation for TOPNET, dataset access for terrain files, SSURGO based soil files and Daymet forcing files. Four use cases were adopted to demonstrate these functionalities. These functionalities are useful not only to experts, but also to beginners as it gives them access to models despite their limited skillset.

The main finding of the work is not only the App itself, or the functionality it offers, but also is the proof of concept that a web based end-to-end hydrologic modeling web Application is possible. With the development of platforms such as Tethys to support GUI development, HydroShare for model and data sharing, and web services such as HydroDS for data processing, a web based modeling system is not only achievable, but indeed becoming simpler than ever. Although such web based solution for modeling have many benefits, they also have limitations. Most of the limitations arise from data sources, while others arise from the limitations of the model itself. Despite the limitations, there exist many benefits of web based modeling when used wisely. For further improving such systems, future work should focus on including a variety of data sources, offer modules for calibration and uncertainty assessment, include more models into the system, as well as improve input outputs system. With such improvements in the future works, hydrologic sciences will get closer than ever to fulfilment of the vision of Model as a Service and Web Science.
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Following abbreviation, and terminology are used in this paper:

API	Application Programming Interface
CI	Cyber Infrastructure
CONUS	Continental United States
CSS	Cascading Style Sheets
DEM	Digital Elevation Model
EPSG	European Petroleum Survey Group
ESRI	Environmental System Research Institute
ET	Evapotranspiration
FAO	Food and Agricultural Organization
FOSS	Free and Open Source Software
GeoJSON	Geographic JavaScript Object Notation
GIS	Geographic Information System
gSSURGO	gridded Soil Survey Geographical database
GUI	Graphical User Interface
HDF	Hierarchical Data Format
HTML	Hyper Text Markup Language
JSON	JavaScript Object Notation
LUT	Look-up Table
NED	National Elevation Dataset
NetCDF	Network Common Data Form
NLCD	National Land Cover Dataset
NRCS	Natural Resources Conservation Service
NSE	Nash–Sutcliffe Efficiency
NSF	National Science Foundation
ORM	Object-relational Mapping
SDA	Soil Data Access
SQL	Structured Query Language
SRTM	Shuttle Radar Topography Mission
SSURGO	Soil Survey Geographical database
STATSGO	State Soil Geographic Database
TIFF	Tagged Image Format File
UEB	Utah Energy Balance
URL	Universal Resource Locator
US	United States
USGS	United Nations Geographic Survey

Appendix B. SSURGO Dataset and Calculations

SSURGO divides a region into multiple polygonal shape based on similar soil properties- called map-units. Each of those map units contain different components of soil on it. Total percentage of a particular component of soil present in each map units is available, however, components lack spatial information. Hence, soil properties can only be analyzed per map unit.

The properties for each component needs to be averaged out based on their presence percentage in corresponding map units. Most of the soil properties (for example soil type, porosity etc.) are associated with the soil layers (soil horizon in SSURGO term), not components. Thus, a representative value for each component needs to be calculated by taking weighted average based on thickness of the soil layers present. Figure B.1 illustrates the relationship between soil horizons and components for one map unit. A calculation example for finding ksat (saturated hydraulic conductivity) value for one map-unit (map unit 1) is explained in the Figure. Notice in the upper portion of the figure where ksat value was calculated by taking weighted average based on height of the soil layer. The value obtained, 2.95 is value of one of four components present in the map unit we are concerned. To calculate ksat representing all of map-unit 1, another weighted average based on component percentage will need to be done, as shown in the lower part of the Figure B.1. A script was written in R to perform similar calculations as shown in Figure to obtain soil property values for each map units.



Figure B.1. Schematic diagram of SSURGO representation of soil properties, and their calculations. Saturated Hydraulic Conductivity value (ksat) for the two example layers of a component (with name component4) was weighted-averaged based on thickness of soil horizons to obtain one value of 2.95 for it. Four similar component values were weighted-averaged based on component percentage to obtain one value of 3.5 for Map unit 1.

Many soil properties values are either unavailable or incomplete in the SSURGO database. To calculate such properties, texture class information for each soil horizons was used to estimate soil properties for that texture class using Rawls lookup table. The process of obtaining soil texture class for each soil horizon is also tricky. Each texture class is mapped with corresponding soil horizon based on 'Chorizon Texture Group' table, hence texture class for each soil horizon was found out by first joining 'texture' table with 'texture group' table, which was again joint to 'horizon' table. The relationship may be better explained by the entity relationship diagram below (Figure B.2).



Figure B.2. Entity relationship diagram of SSURGO tables used in the project.

Appendix C. Terrain Analysis Using TauDEM

The terrain analysis workflow using TauDEM tools is shown in the Figure C.1 Terrain analysis involve delineation of stream network from DEM, identification of terrain driven surface flowpath, and identification of watershed area draining into an outlet etc. First, a DEM (either saved in servers or downloaded using data service) is extracted for the user defined domain, projected and resampled to the user defined cell size. Pits in the DEM are filled. Flow direction, which identifies the direction (left, right, top, bottom, or diagonal) where the cell flows to based on the slope of the terrain, and flow accumulation rasters, which define total number of cell that drains to each cell are computed. Using these rasters, and user input "stream threshold" value, the stream network is obtained from the DEM. Stream threshold value is total number of cells required to drain to a cell to be considered as a stream cell. The user input outlet information may not be accurate, i.e. the outlet may not fall exactly on the stream. For such cases, TauDEM function 'moveoutlettostream' is used to create a new shapefile containing a point for outlet in the stream. Finally, all the cells flowing into the outlet are grouped using GDAL to create a watershed map. The watershed raster is converted to ESRI polygon shapefile and GeoJSON files. Hence, the final output of the analysis include a DEM, flow direction map, stream network map, slope map, watershed coverage



maps.

Figure C.1. Workflow employed for terrain analysis using TauDEM. Green square boxes represent process, pink trapezoid represent outputs, while brown trapezoid represents inputs.

Appendix D. HydroDS Functions

The data preprocessing and modeling functionalities were accomplished by functions (Python and R) in HydroDS that utilized packages such as GDAL, NCO and TauDEM. This section briefly describes the existing HydroDS functions that were used, as well as the new functions created during the course of the project.

Existing Functions

The existing HydroDS functions used by the app are is listed (Table D.1).

Eurotion name as	Description
Function name as	Description
webservice	
subsetrastertobbox	Extracts the DEM and NLCD grid from nationwide
	sources for the model domain.
projectraster	Projects the raster files to a projected coordinate system
resampleraster	Resamples the raster to a user desired resolution based on
	standard resampling techniques
createoutletshapefile	Creates outlet shapefile from the user input coordinate of
	outlet, to be used as input to function to delineate
	watershed
NetCDFrenamevariable	Renames NetCDF files
concatenateNetCDF	Concatenates climate NetCDF files when the time span
	for the output file spans multiple years
subsetNetCDFbytime	Subsets offline NetCDF climate files to a specified time
subsetNetCDF -	Subsets offline NetCDF climate files to a specific raster
toreference	
projectsubset –	Projects, subsets, and resamples a NetCDF file based on
resampleNetCDF -	reference NetCDF file's projection, cell size
toreferenceNetCDF	
projectshapefileepsg	Projects a ESRI shapefile based on a given EPSG code
computerasteraspect	Computes aspect for a given raster

Table D.1. Existing HydroDS services used

Created Functions

The functions created during the course of the project are listed (Table D.2). While some of the functions are generic (applicable to most hydrologic models), a few are only applicable to TOPKAPI.

Functions as webservices	Description
bboxfromshp	Gives bounding box coordinates (in WGS) from polygon shapefile
bboxfromtiff	Gives bounding box coordinates (in WGS) from GeoTIFF file
outletxyfromshp	Gives latitude and longitude (in WGS) from point shapefile
downloadglobaldem	Downloads SRTM DEM (30m or larger) for any global area
getrasterdetail	Gives raster metadata such as cell size, cell counts
rastersubset2	Subsets offline NED-DEM if bounding box located in western US, else download SRTM dem
reclassifyraster	
withlookuptable	Reclassifies raster using lookup table
delineatewatershed togetcompleterasterset	Perform TauDEM assisted delineation watershed to create stream network, d-8 flow direction raster, raster, slope raster, and the watershed draining to the given outlet
downloadsoil dataforpytopkapi	Queries NRCS-SDA servers and create rasters defining soil properties such as saturated hydraulic conductivity, porosity, pore- size distribution, saturated soil moisture, residual soil moisture, soil depth,
calculatereferenceet fromdaymet	Extracts Daymet climate variables (max temperature, min temperature, vapor pressure, incoming solar radiation), and DEM raster, and use them to calculate short crop reference potential evapotranspiration using FAO guidelines
downloadand resampleusgs	Fetches the observed discharge for the time period at the given USGS stream gage located close to the outlet of the watershed
createpytopkapi forcingfile	Converts forcing files: rainfall and evapotranspiration from NetCDF to the PyTOPKAPI accepted HDF5 format
create_config_files_ xxx	Creates PyTOPKAPI configuration files (many such functions starting with similar names to create many such files) based on inputs
runpytopkapi	Runs a PyTOPKAPI model once the input-files are prepared
loadpytopkapi	Loads a previously prepared PyTOPKAPI model given HydroShare resource ID for the model-instance location
modifypytopkapi	Modifies a loaded PyTOPKAPI model instance
runtopnet	Creates input files from TOPNET model
downloadgeospatialfiles	Gives terrain files, soil files or climate/forcing files for a domain

Table D.2. New HydroDS services created during the project

Appendix E. Output JSON Summary File

A screenshot of the JSON string contained in the app info text file (saved in HydroShare as **app_info.txt**) that keeps the run information. The file not only keeps the input records and metadata information, but also contains output records like time series

values.

```
{
"user_name": "prasanna_310",
"simulation_name": "Example_run",
"simulation start date": "10/01/2010",
"cell size": 500.0,
"USGS gage": "11124500",
"units": {
    "timeseries_list": "[year, month, day, hour, min, float-value]",
    "watershed area": "square meters",
},
"timestep": 24,
"watershed area": 72000000.0,
"threshold": 5,
"simulation_end_date": "10/03/2010",
"observed discharge": [ [ 2010,10,2,0,0, 0.02 ], ...],
"ppt": [...],
"runs": [
    {
        "run id": 1,
        "et_a": [...],
        "calib_parameter": {...},
        "errors": {...},
        "simulated_discharge": [...],
        "vo": [...],
        "vs": [...],
        "numeric_param": {...},
        "vc": [...],
    Ł
        "run_id": 2,
        "et a": [...],
        "calib parameter": {...},
        "errors": {...},
        "simulated_discharge": [...],
        "vo": [...],
        "vs": [...],
        "numeric_param": {...},
        "vc": [...],
    -}
1,
```

Figure E.1. JSON string contained in the app info text file that keeps the run information. The record for new runs get added to the records in the "run" key as dictionary:

Appendix F. App Tutorial: Prepare TOPKAPI Model

This tutorial provides step by step guidance for using the HydroTop app to prepare input-files for TOPNET. The steps given correspond to the Plunge River, the alternative use case mentioned in the text to demonstrate app's TOPKAPI modeling functionality.

Log on to the app's home page (<u>http://appsdev.hydroshare.org/apps/hydrotop</u>). If you are prompted to enter your HydroShare account, and to authorize the app to access your HydroShare account, please do so. As of this writing, the app is hosted by the HydroShare's development app portal, but this could change in the future.

We are interested in modeling a watershed called Plunge that drains to the USGS gage 11055500 in south west California. We will need to know the position of the outlet, and the domain containing the watershed. Assuming we have the required information, lets proceed. The next section shows the procedure to get the model domain accurately using streamstats, which is the recommended method to define the model domain. You can skip the following section (*Get bounding box GeoJSON from Streamstat*) and go to *App Input* section if you already have a file describing the bounding box, or if you only want the App tutorial.

Get bounding box GeoJSON from Streamstat

There are three ways to enter the domain, drawing on the map, explicitly typing them, or uploading supported file. In this tutorial, we will use a combination of the two. First, we will get a GeoJSON file for our watershed to accurately describe our model domain. For this, go to <u>https://streamstats.usgs.gov/ss/</u>, and enter the USGS gage id 11055500 in the search box field to the left of the screen.



A button to confirm the location is California pops up. Click on it to confirm.

California

Click to select a State or Regional Study Area

0

We want to delineate watershed for our USGS gage, so click on Delineate button

to do so.



Now click on the stream location on the map, very close to the USGS gage location.



After waiting a few second, you should see the watershed getting delineated.



Now, head back to the left of the screen, and choose **Download Basin** \rightarrow **GeoJSON**



App Inputs

Switch back to the app's homepage. In the app navigation section to the top left of screen, click and expand the tab '**1. General Inputs**', and type in the simulation name, and start and end date of the simulation. In this example, we will do 2010 water year.

Simulation name	e
Plunge_2010_0	demo
JSGS gage nea	rby
11055500	
Start Date	End Date
10-01-2010	10-01-2011

Expand '**The Domain**' section, and type in the latitude and longitude of the outlet, which is the location of USGS gage, as 34.12128 and -117.141284 respectively (Figure ...,

a). This should bring the outlet marker on the map to our point of interest. On the same section, check the "Upload GeoJSON file for watershed" button \rightarrow upload the GeoJSON file you just downloaded \rightarrow Click 'upload file' button.

2. The domain	130-121
Specify long lat, or draw on map	
OUTLET Longitude Latitude	6
-117.141284 34.12128 a	234811
BOUNDING BOX North Y 34.21972	K
West X East X	1 Carlo
-117.16 -117.084	the Cautlet
South Y	and the second second
34.11604	ISI SI
I Inload shn files or TIE	
Upload shp files or TIF	Google

The map section should be updated with the watershed map and the bounding box surrounding the watershed. Also, the coordinates for the bounding box at the values at the navigation pane also should update accordingly.

Now, head over to the navigation pane, click and expand the tab the third tab-'**3**. **Additional Inputs'**, complete the form as shown in the figure below.



This is all the inputs required to create a TOPKAPI model. With the inputs completed, go ahead and click '**Send Request**' button. It will be few minutes for the app to do its work and return result. So make sure you don't close the page. If you accidentally closed it, that will be fine too. The model-instance will be saved in your HydroShare account so you will not lose the progress.

Results

After a few minutes, you should get results (as shown in the figure below), which are the time series plots of the simulated and observed hydrograph. The plot also mentions a NSE value of 0.57, and an R^2 value of 0.62 for the two hydrographs.



There are also other time series plots displayed as shown in the figure below, like rainfall (Fig (a)), volume of water in soil (Fig (b)), channel (Fig (c)) and overland (Fig (d)) cells and actual ET(Fig (e)),. Additionally, a cumulative graphs of volume of water in the basin (Fig (f)).





The initial values used for the model are displayed in the left section of the app, which is shown in the figure below:

Multiplicative facto Soil depth across all model cells	o <u>rs</u> Saturated hydraulic conductivity
1.0	1.0
Manning's n for overland	Manning's n for channel
1.0	1.0
Soil saturation	
1.0	
1.0 Initial Parameters Soil cell's saturation %	Water volume in Overland cells (m3)
1.0 Initial Parameters Soil cell's saturation % 30.0	Water volume in Overland cells (m3) 3.0
1.0 Initial Parameters Soil cell's saturation % 30.0 Flow in channel cells (m3/s)	Water volume in Overland cells (m3) 3.0 Crop coefficient across all model cells
1.0 Initial Parameters Soil cell's saturation % 30.0 Flow in channel cells (m3/s) 0.1	Water volume in Overland cells (m3) 3.0 Crop coefficient across all model cells 1.0

Appendix G. App Tutorial: Prepare TOPNET Input Files

This tutorial provides step by step guidance for using the HydroTop app to prepare input-files for TOPNET. The steps given correspond to the Logan River use case for TOPNET input files creation described in the text.

To start, log on to http://appsdev.hydroshare.org/apps/hydrotop, the app's home page. In the *app navigation* section to the top left of screen, click and expand the tab '**1**. **General Inputs**', and type in the simulation name, and start and end date of the simulation. In this example, we will do 2015 calendar year.

1. General Input	S
Simulation name	
Logan_TOPNET	_2014
USGS gage nearb	у
10109000	
Start Date	End Date
01-01-2015	12-30-2015
2. The domain	

Expand '**The Domain**' section and click '**Specify long lat'** radio button. Type in the latitude and longitude of the outlet, which is the location of USGS gage 10109000, as 41.7436 and -111.7836 respectively. This should bring the outlet marker on the map to our point of interest. On the same section, enter the bounding box coordinates for North Y, East X, South Y and West X as 42.12, -111.44, 41.68, and -111.83 respectively.



The map section should be updated with the watershed map and the bounding box surrounding the watershed. Now, head over to the navigation pane, click and expand the tab the third tab-'3. Additional Inputs' \rightarrow 'Prepare TOPNET input-files', and complete the expanded form for TOPNET inputs as shown in the figure below.

ell size in meters	
30	
tream threshold in square kn	n
25	
hoose an action	
Prepare TOPNET input-files	•
tream threshold	
100	
k_min_threshold	
500	
k_max_threshold	
50000	
k_num_thershold	
12	

This is all the inputs required to create input files for TOPNET model. With the inputs completed, go ahead and click '**Send Request**' button. It will be few minutes for the app to do its work and return result.

Results

After a few minutes, you should get the prompt (as shown in the figure below), saying the files were created successfully. This page will have a temporary link to the zipped file that contains all the files for you to download immediately. Also, you will have a link to HydroShare where the file is permanently stored. The results of this analysis can be found in HydroShare, and has been made public and available at https://www.hydroshare.org/resource/955d72a9b00141548aca582c493ee140/

Congratulations! Files were created successfully!

The created geospatial and forcing files can be accessed temporarily by using this link: Download zip here The files is also saved permanantly in HydroShare, with the ID 955d72a9b00141548aca582c493ee140



Snippet of files shaved in HydroShare is a shown in the figure below.

Appendix H. App Tutorial: Perform Terrain Analysis

This tutorial provides step by step guidance for using the HydroTop app to perform terrain analysis. The steps given correspond to the terrain analysis for the Bagmati River in Kathmandu, Nepal use case.

To start, log on to the app's home page (http://appsdev.hydroshare.org/apps/hydrotop). The outlet location, and the extent of the area that includes the watershed are required as inputs. Assuming we have the required information, lets proceed.

To quickly go to our desired location, type in 'Pharping Power Plant' in the search box located at the top-left of the map element.

9	Pharping Power Plant Satikhel, Central Develo
Q	power plant near Pharping, Dakshinkali, Central
Q	power plant consultants near Pharping, Daksh
Q,	power plant equipment supplier near Pharpin
Q	power plant near Pharping Road, Dakshinkali, N

Now, click on this icon at the top-center of the map element, draw the outlet point on the Bagmati River.



and

Now zoom out until you see 5km in the scale at the bottom-right of the map 5km 2000. Draw a rectangle that completely surrounds the valley using the 'rectangle draw' toolbar from the top- center of the screen.



In the app navigation section, type in the name of the simulation as 'BagmatiRiver-Kathmandu' or any other name that you would want to give. This name will be used in HydroShare to name the resources. You can ignore the other inputs in the tabs '1. General Inputs' and '2. Domain'. Now head over to the additional input tab and fill the form as shown in the figure below. Because this area is outside US, only 'downloading terrain files' option will work, which should be checked by default when you chose 'Download geospatial files' in the 'Chose an action' menu.



This is all the inputs required to create perform terrain analysis. With the inputs completed, go ahead and click 'Send Request' button. It will be few minutes for the app to do its work and return result. So make sure you don't close the page. Again, if you accidentally closed it, that will be fine too. The files will be saved in your HydroShare account so you will not lose the progress.

Results

After a few minutes, you should get results page displayed, which will have a temporary link to the zipped file that contains all the files for you to download immediately. Also, you will have a link to HydroShare where the file is permanently stored. The results of this analysis can be found in HydroShare, and has been made public. If you want to

check it out, here is the link: https://www.hydroshare.org/resource/ ebda23b9e6054f5d8e6dc1ddb1594d26

Congratulations! Files were created successfully!

The created geospatial and forcing files can be accessed temporarily by using this link: Download zip here The files is also saved permanantly in HydroShare, with the ID ebda23b9e6054f5d8e6dc1ddb1594d26



Appendix I. Source Codes Locations

The source code involved in the entire project is freely available to the public. There were two almost independent platforms used in the research – the app, and the Django based HydroDS web service. Hence, there are two repository that contains all the code involved in the project, which are:

For the app: https://github.com/prasanna310/hydrotop-app

For the HydroDS: https://github.com/prasanna310/hydrods-dev