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Title: Pan-tropical climate interactions

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63 One sentence summary: A complete understanding of how the tropics affect global climate64 requires a unified perspective of inter-basin interactions.

65 Abstract: The El Niño-Southern Oscillation (ENSO), which originates in the Pacific, is the 66 strongest and most well-known mode of tropical climate variability. Its reach is global and it can 67 force climate variations of the tropical Atlantic and Indian Oceans by perturbing the global 68 atmospheric circulation. Less appreciated is how the tropical Atlantic and Indian Oceans affect the 69 Pacific. Especially noteworthy is the multi-decadal Atlantic warming that began in the late 1990s, 70 as recent research suggests that it has influenced Indo-Pacific climate, the character of the ENSO 71 cycle, and the hiatus in global surface warming. Discovery of these pan-tropical interactions 72 provides a pathway forward for improving predictions of climate variability in the current climate, 73 and for refining projections of future climate under different anthropogenic forcing scenarios.

74 Main text: Tropical ocean-atmosphere interactions significantly affect the global climate system 75 with socio-economic impacts that are felt worldwide. The El Niño-Southern Oscillation (ENSO) 76 (see Box 1 for key concepts) in the Pacific is the most prominent and consequential climate 77 variation on the planet, but there are other patterns of climate variability in the tropical Indian 78 and Atlantic Oceans that also have societal impacts. How these various patterns of climate 79 variability interact with one another is an ongoing matter of debate. In particular, the prevailing 80 view for many years was that variability in the Pacific had major impacts on the other two tropical 81 ocean basins, which in turn had only limited influence on the evolution of climate variability in the 82 Pacific. Multiple lines of new evidence now suggest that this view is incomplete and inaccurate.

83 Discovery of the Indian Ocean Dipole (IOD) (1, 2), for example, highlighted a climate scale 84 fluctuation in the Indian Ocean that arises through ocean-atmosphere interactions somewhat 85 similar to those that generate Pacific ENSO events. The consensus view originally was that ENSO 86 was largely responsible for energizing the IOD through changes in the Walker circulation, but we 87 now realize that year-to-year variations in Indian Ocean sea surface temperature (SST), related to 88 the IOD and particularly the Indian Ocean Basin (IOB) mode, can significantly feed back onto the 89 evolution of ENSO (3, 4). Similarly, in the past decade, we have come to appreciate how important 90 it is to understand the great diversity in amplitude, spatial pattern, and duration of individual

91 ENSO events because of how sensitive ENSO climate impacts are to the details of SST structure 92 and evolution (5, 6). We have also begun to recognize that the dynamics that govern this diversity 93 involve two-way interactions between the Atlantic and Pacific Oceans (7-10). In addition, the 94 hiatus in global surface warming during the late 1990s was associated with an unprecedented 95 intensification of the Pacific trade wind system and cooling of the tropical eastern Pacific (11, 12). 96 Although some studies highlight the role of Pacific only ocean-atmosphere feedbacks in forcing the 97 intensified trade winds (13, 14), and there is the possibility that changes in external forcing (e.g., 98 aerosols) might have contributed to this intensification (15), other studies suggest that a complete 99 explanation may need to invoke forcing from decadal warming trends over the tropical Atlantic (16, 100 17) and Indian Oceans (17, 18) since the late 1990s.

101 The idea of pan-tropical interactions is not new (19) and such interactions should be expected 102 because the three tropical basins are connected via the atmospheric circulation. Recent evidence 103 suggests that these interactions are vigorous, and that the three tropical oceans are more tightly 104 connected than previously thought (16, 17). There is emerging evidence, for example, that in the 105 post-2000 period, the Atlantic Ocean exerted considerable influence on the Pacific and Indian 106 Oceans (7, 8, 10, 16, 17), impacting variability on interannual to decadal timescales. Specifically, 107 this Atlantic influence contributed to decadal changes in ENSO properties and to the hiatus in surface global warming. However, fully-coupled climate models do not generate the observed 108 109 warming hiatus and the associated changes in tropical variability, in part due to severe systematic 110 biases in the Atlantic (20-22).

Interactions with higher latitudes can also influence the character of seasonal to decadal timescale 111 112 variability in the tropics and there is extensive literature on this topic (23, 24). Our purpose here 113 though is to review recent advances in our understanding of the dynamics of pan-tropical interactions, including their decadal fluctuations, implications for seasonal and multi-year 114 115 predictions, and future climate projections. We show that the linkages between the Indian, Pacific, 116 and Atlantic Oceans can be exploited for improved seasonal to decadal climate predictions. To fully 117 realize the potential for improved prediction, climate model systematic errors, especially in the tropical Atlantic, must be significantly reduced. Reducing these errors would also greatly increase 118 119 our confidence in model projections of future climate in the tropics.

120 Indo-Pacific interactions

The Pacific Ocean and the Indian Ocean are connected through the atmosphere, via the Walker circulation (19) (**Fig. 1A**), and through the ocean via the passages of the Indonesian Archipelago (25). ENSO-induced changes in the Walker circulation often lead to an SST dipole pattern called the IOD (1, 2), followed by a basin-scale warming (26) named the IOB mode (27). This canonical evolution contributed to the perception that the Indian Ocean is slave to the Pacific, but new research revealed a more dynamic Indian Ocean and its active role in shaping Pacific climate.

127 Along with reduced summer monsoon rainfall over the Indian subcontinent (28) a developing El Niño can trigger a positive IOD by inducing easterlies over the equatorial Indian Ocean in boreal 128 129 summer (29) (Fig. 1B), but the IOD can occur independently of (30), and impact on (4), ENSO. An 130 IOD event usually develops in boreal summer, peaks in fall, and decays rapidly in the beginning 131 of winter. A positive Bjerknes feedback (Box 1) fosters the IOD development (1, 2). At its positive 132 phase, cold anomalies in the eastern Indian Ocean and warm anomalies in the western Indian 133 Ocean drive equatorial easterly wind anomalies in the central Indian Ocean that enhance the 134 anomalous temperature difference in a positive feedback (Fig. 1C), that can occur without ENSO. Because the IOD is accompanied by a zonal dipole in atmospheric convection (Fig. 1C), its net 135 effect on the Western Pacific winds, and consequently on ENSO, is uncertain (31). However, strong 136 137 positive IODs may induce anomalous westerly winds in the western Pacific, contributing to El Niño 138 development (31, 32) (Fig. 1D). Thus although the observed statistical ENSO-IOD relationship 139 appears consistent with the IOD being a response to ENSO (33), the IOD is in part independent of 140 ENSO and has the potential to increase ENSO prediction lead times (3, 4).

The IOB, which is the dominant mode of interannual Indian Ocean SST variability, and stronger in variance than the IOD, commences its development in boreal winter. An IOB warming is largely driven by reduced surface evaporation and increased downward shortwave radiation in response to El Niño remote forcing (26). It usually reaches its maximum amplitude in boreal spring (26), about one season after the mature phase of ENSO (**Fig. 1E**). However, the IOB is not simply a passive response to ENSO either. ENSO excites the IOB like a battery charging a capacitor, but the IOB can exert its climatic influence beyond the lifetime of an ENSO event like a discharging

148 capacitor (27, 34). In the southwest Indian Ocean where the thermocline is shallow (35, 36), 149 westward propagating downwelling Rossby waves in response to the weakened Walker circulation 150 induce SST warming beginning in boreal fall (35). This warming strengthens atmospheric 151 convection and triggers an asymmetric wind anomaly pattern across the equatorial Indian Ocean 152 in boreal spring (36) (Fig. 1E). The anomalous wind pattern contributes to a subsequent warming 153 in the north Indian Ocean through summer, which is in turn strengthened by a positive feedback 154 with the northwest Pacific anomalous anticyclone by radiating an atmospheric Kelvin wave (34) 155 (Fig. 1F). This coupled inter-basin mode leads to a strengthened Asian summer monsoon in the 156 post-El Niño summer (28, 37).

157 In contrast to the reinforcing role of a positive IOD, an IOB warming, though forced by El Niño, hastens El Niño's demise. As an El Niño matures, IOB warming induces enhanced convection over 158 159 the Indian Ocean which in turn enhances the northwest Pacific anomalous anticyclone and 160 easterly anomalies over the equatorial western Pacific (3) (Fig. 1, D and E). The advent of easterly 161 surface wind anomalies from boreal winter to the following summer expedites the El Niño demise 162 (3, 38). The IOB hence damps ENSO variability and contributes to its biennial timescale, as 163 corroborated by climate model experiments where the Indian Ocean is decoupled from the Pacific 164 (39-42). Despite their contrasting roles, a long-lasting IOB warming after a strong positive IOD may lead to a fast phase transition of El Niño to La Niña (43). This is because an abrupt wind 165 166 change is fostered by the sequence of events that starts with a positive IOD contributing to an El 167 Niño, which in turn drives a strong IOB warming that then enhances central Pacific cooling (43, 168 44).

169 The relationship between variability of the two oceans is time-varying and asymmetric about their positive and negative phases. ENSO exhibits a variety of spatial SST patterns, which have 170 171 different consequences on the Walker circulation response (45). The frequent occurrence of central 172 Pacific (CP) El Niño events in recent decades (46), which tend to have smaller amplitudes than 173 corresponding eastern Pacific (EP) El Niño events, might explain a recent weakening of the ENSO-174 IOD relationship (45, 47). Because SST and atmospheric deep convection anomalies over the Indo-Pacific are larger during El Niño compared to La Niña, an IOB warming is more efficient at 175 176 influencing west Pacific wind anomalies during El Niño than La Niña. As such, the IOB warming



is more efficient in contributing to the demise of El Niño than an IOB cooling to the demise of La
Niña (38, 48). This asymmetric response contributes to ENSO duration asymmetry, with La Niña

179 typically lasting longer than El Niño (48).

180 Although changes in the atmospheric Walker circulation dominate ENSO interactions with both 181 the IOB and the IOD, the oceanic pathway across the Indonesian Seas has a prominent role in 182 Indo-Pacific exchanges. This flow, termed the Indonesian Throughflow, is a major component of 183 the global ocean circulation and plays a key role in the transport of mass, heat, and salt from the Pacific to the Indian Ocean (25). Decadal changes in the Throughflow affect the background 184 185 thermal structure of the Indian Ocean, which can in turn modulate IOD characteristics (49). 186 During the recent hiatus in global surface warming, increased heat uptake in the Pacific was 187 shown to be partly transported to the Indian Ocean via the Throughflow (50). However, recent 188 studies suggest that the dynamics of the hiatus per se may involve interactions between the 189 Atlantic and Indo-Pacific (12, 16, 17).

190 Atlantic and Indo-Pacific interactions

Modeling and observational evidence suggests that two-way interactions between the tropical Atlantic and Pacific operate on interannual timescales (10, 51). Tropical Atlantic variability, in part forced by ENSO, feeds back onto ENSO. For example, decoupling the Atlantic Ocean in otherwise fully coupled models generally leads to a stronger ocean-atmosphere coupling strength in the equatorial Pacific, a shift to a lower ENSO frequency, and an increase in ENSO variance (10, 19, 39, 41, 42, 52, 53).

197 El Niño-induced atmospheric heating forces the tropical Atlantic through two distinct pathways, 198 one of which is tropical and the other of which is extratropical. The tropical pathway involves a 199 weakening of the Walker circulation that in turn generates anomalous descending motion over the 200 tropical Atlantic (24, 52). The extratropical pathway involves excitation of the Pacific-North 201 American (PNA) pattern with an anomalously low surface pressure center over the western 202 subtropical Atlantic (54) (red circles, Fig. 1D). As a result, the north tropical Atlantic (NTA; 10°N-203 20°N) (northern black box, Fig. 2A) warms significantly, peaking in boreal spring 3-5 months after 204 an El Niño matures in December (55) (Fig. 1E). This NTA warming arises from reduced surface

latent heat flux due to a weakening of north-easterly trades, associated with a PNA low pressure
anomaly to the north, an anomalous descent to the south, and a sustained wind-evaporative-SST
(WES) effect (26) (Box 1; Fig. 1D). Models appear able to capture this Atlantic response (56, 57),
but there is uncertainty as to the relative role of the tropical and extratropical processes. This is
because the weakened northeasterly trades can be caused by the anomalous Walker and local
Hadley cells (58), a Gill-type response to Amazonian heating (59) (Box 1), or a tropospheric
temperature warming in response to El Niño (24, 60).

212 In turn, NTA anomalous warming has been suggested to impact ENSO. An NTA warming in boreal 213 spring excites an atmospheric Rossby wave that propagates westward, causing northeasterly wind 214 anomalies over the subtropical north-eastern Pacific (8) (Fig. 2A). This can generate cold SST and 215 low rainfall anomalies in subsequent seasons, inducing a low-level anticyclone further to the west 216 (Fig. 2B). The associated easterly wind anomalies in the western equatorial Pacific might in turn 217 initiate a La Niña (Fig. 2C). An atmospheric Kelvin wave response to the NTA warming can also 218 induce easterly anomalies through the Indian Ocean (61) (Fig. 2, A and B). This NTA forcing 219 tends to favor the CP type of ENSO (8, 10), consistent with an extratropical Pacific forcing of CP 220 ENSO events (62).

221 Another center of inter-basin interactions is the equatorial Atlantic (southern black box, Fig. 2B), 222 where the Atlantic Niño dominates (63). ENSO's influence on the equatorial Atlantic is not robust 223 however, with only a weak concurrent correlation between ENSO and the equatorial Atlantic SST 224 (55, 64). During El Niño, a weakening Walker circulation and the associated easterly wind 225 anomalies along the equator in the Atlantic (Fig. 1B) tend to generate cold anomalies through the 226 Bjerknes positive feedback (65). However, this cooling may be offset by either tropospheric 227 warming in response to El Niño (66) and/or by oceanic downwelling Kelvin waves induced by a 228 meridional SST gradient due to warming in the NTA, propagating into the region (67). These 229 competing effects may contribute a weak influence of ENSO on equatorial Atlantic SST and to the 230 concurrent weak relationship between ENSO in the Pacific and the equatorial Atlantic SST.

By contrast, influence of the equatorial Atlantic on ENSO is robust since the 1970s, as reflected in
a statistically significant negative correlation when an equatorial Atlantic Niño/Niña leads a

Pacific La Niña/El Niño by two seasons (64, 68-70). For example, an Atlantic Niño, which peaks in
boreal summer, induces anomalous ascending motion over the Atlantic and anomalous subsidence
over the central Pacific (Fig. 2B). The associated easterly wind anomalies over the central and
western equatorial Pacific excite an oceanic upwelling Kelvin wave that triggers the Pacific
Bjerknes feedback, conducive to development of a La Niña event six months later (71) (Fig. 2C).

238 The role of the tropical Atlantic on ENSO variability is corroborated by pacemaker climate model 239 experiments. When observed historical SST is prescribed over the tropical Atlantic, models are able to reproduce the observed impact on ENSO (Fig. 2, D to F) (69-71). Figure 2 is based on the 240 241 ensemble average of a five-member ensemble pacemaker experiment over the period of 1980-2005, 242 in which full coupling is permitted everywhere except in the tropical Atlantic (30°S-30°N), where 243 SSTs are nudged to observations. This, along with other pacemaker experiments, shows that the 244 tropical Atlantic contributes to approximately 25% of Indo-Pacific SST variance (69-71). However, 245 as ENSO's impact on Atlantic Niño is not as robust as on the NTA, the two-way interaction of the 246 Pacific with the NTA is stronger than with the equatorial Atlantic. An El Niño event during boreal 247 winter can induce NTA warming in the ensuing spring (Fig. 1E), in turn contributing to the 248 transition to a La Niña (Fig. 2, C and F) (10). This interaction constitutes a delayed negative 249 feedback for ENSO, shortening ENSO periodicity (42) as the IOB does (3, 38).

250 This two-way interaction between NTA variability and Pacific ENSO has been reported to have 251 strengthened since the late 1990s, coincident with the Atlantic Multi-decadal Variability (AMV, 252 see **Box 1**) switch to a positive phase and an increasing tendency for biennial CP ENSO events (8, 253 10). The positive phase of the AMV provides a warmer background NTA SST, which favors deep 254 convection and a strengthening of the NTA influence on the Pacific. Such a feedback appears to be 255 less active during a negative AMV phase, when the impact of the equatorial Atlantic tends to be 256 stronger (72) because of a southward shift of the Inter-Tropical Convergence Zone (ITCZ). This 257 shift leads to a stronger and wider westward extension of equatorial Atlantic interannual 258 variability, facilitating a strong influence on the central and eastern Pacific (73, 74). In addition, 259 winds associated with a negative AMV phase may cause the tropical Pacific mean thermocline to 260 shoal, increasing the mean stratification to favor enhanced ENSO variability (75).

261 The impact of the tropical Atlantic extends to the Indian Ocean and the Western Pacific, affecting 262 monsoons over these regions. An Atlantic Niño forces a Gill-type quadrupole response with a lowlevel anticyclone located over India and the western North Pacific suppressing the monsoon 263 264 circulation (76, 77). The competing impacts of the Atlantic and ENSO on the monsoons may explain the post-mid 1970s collapse of the ENSO-Indian monsoon relationship, in which a drier than 265 266 normal monsoon typically precedes an El Niño peak (76, 77). An alternative hypothesis is that 267 observed decadal changes in the ENSO-Indian monsoon relationship are explained by the noise in 268 the system (78).

269 Rise of the tropical Atlantic influence

As discussed in Sections 2 and 3, ENSO characteristics can be significantly influenced by SST anomalies in the Indian and Atlantic Oceans. In this section, we describe similar inter-basin interactions that operate on decadal timescales, highlighting how interaction between the Atlantic and Indian Oceans with the Pacific Ocean changed in the 1990s. The Atlantic-Pacific connection now appears as the most prominent inter-basin interaction.

275 The IPO phase transition that occurred in the late 1990s has led to an unprecedented acceleration 276 of the Walker circulation and contributed to the recent hiatus in global mean surface temperature (11, 16, 17, 79), but what caused the IPO itself to change phase is not clear. The associated change 277 278 in wind stress curl increases ocean heat uptake (12, 80, 81) and the strengthened Walker 279 circulation intensifies the ITF, distributing the heat through the upper eastern Indian Ocean and 280 the Indonesian Seas (25, 80). Evidence suggests that the dynamics of these Pacific changes are not 281 entirely internal to the Pacific, and forcing from the Indian and Atlantic Oceans needs to be 282 considered (12, 16, 82).

On decadal and multi-decadal timescales, the Indian Ocean also influences the Pacific through the tropical atmospheric bridge (3, 38). Surface warming in the Indian Ocean, relative to the Pacific basin, leads to overlying deep convection and associated Walker circulation changes across the Indo-Pacific region that increase the surface easterlies in the western and central Pacific (18) (**Fig. 3A**). Because the temperature threshold for deep convection increases with the mean tropical temperature (83), the relative warming can be represented by a tropical Indian-minus-Pacific trans-basin SST gradient to remove the mean tropical warming (blue curve, Fig. 3C). The transbasin gradient displays a good relationship with the Pacific winds (black curve, Fig. 3C), which eventually drives a La Niña-like state through the Pacific Bjerknes feedback. But this relationship has weakened in recent decades (82, 84), as indicated by reduced coherence between trends of Indian-minus-Pacific inter-basin SST gradients and equatorial central Pacific zonal wind stress (blue and black curves, Fig. 3C), but whether stochastic forcing plays a role is not clear (78).

295 Relative to the Indian Ocean, the Atlantic Ocean appears to have a greater influence on Pacific 296 decadal variability in recent decades, and this can be conducted via extratropical and tropical 297 pathways. One proposed extratropical pathway is that a warm North Atlantic SST anomaly 298 weakens storm tracks over the North Atlantic and the North Pacific mid-latitudes, generating an 299 anomalous North Pacific high pressure and a PNA pattern over the North Pacific (85). Another 300 proposed extratropical connection is that warming in the North Atlantic enhances local convection 301 but increases subsidence in the North Pacific, contributing to the high pressure over the 302 subtropical North Pacific, which decreases the wind speed of the subtropical North Pacific 303 westerlies and induces a north-western subtropical Pacific warming through the WES effect and 304 other processes (86). However, recent research suggests that these extratropical pathways play a 305 minor role compared to the tropical pathway (87). Tropical Atlantic warming drives a convective 306 response and an associated diabatic atmospheric heating anomaly, the magnitude of which is 307 dependent on the magnitude of Atlantic SST anomalies relative to the tropical mean SSTs. This 308 difference can be represented by a tropical Atlantic-minus-Pacific trans-basin SST gradient (red 309 curve, Fig. 3C), also called Trans-Basin Variability (TBV) (16, 88). The tropical Atlantic diabatic 310 heating leads to a Gill-type (Box 1) response that results in an anomalous rising Walker circulation 311 branch over the tropical North Atlantic and an anomalous sinking branch over the tropical central and eastern Pacific (16, 17, 75, 87, 89, 90) (Fig. 3B). The associated surface circulation anomalies 312 lead to WES-induced surface cooling in the eastern/central Pacific and warming in the off-313 314 equatorial western Pacific. These processes intensify the Pacific Walker circulation through the 315 Bjerknes feedback and lead to a La Niña-like state within the Pacific (16, 17), mechanisms similar 316 to those that trigger La Niña by the equatorial Atlantic warming on interannual timescales (91).

Tropical Atlantic forcing of the Pacific can also be enhanced through the Indian Ocean, whereby the Atlantic Ocean-forced Indo-Pacific easterly wind anomalies of the Gill-type response generate the Indo-Pacific warming via the WES effect (17). This Indo-western Pacific warming in turn intensifies the La Niña-like response by enhancing the Pacific trade winds through a mechanism similar to the IOB's role in the transition from El Niño to La Niña (3, 38) (Fig. 3D).

322 The Atlantic influence in recent decades can be reproduced in coupled simulations forced with SST 323 anomalies only in the tropical Atlantic (16, 17). This includes a La Niña-like state that is shown to 324 lead to a higher frequency of La Niña than El Niño events (87), which is consistent with 325 observations since 2000. Similar coupled simulations forced with Indian Ocean SST anomalies find 326 only a limited role for the recent observed Indian Ocean warming alone (16, 17). Thus, since the 327 late 1990s, the tropical Atlantic warming appears to have affected the entire tropics, while the 328 induced Pacific response has contributed to the global temperature hiatus and its many regional 329 impacts (11). However, it is not clear whether, or to what extent, the rise of the Atlantic influence 330 is related to the loss of connectivity between the Indian and Pacific Oceans. It remains an open 331 question as to what caused the recent (1992-2011) decadal warming trend in the tropical Atlantic 332 or what is the relative importance of internal variability and external forcing (92).

333 Implications of pan-tropical interactions

Classical prediction frameworks rely only on internal Pacific precursors such as the equatorial Pacific warm water volume (93, 94), which leads the ENSO SST signal by 6-9 months. The fact that ENSO properties and the Pacific decadal changes are affected by the Indian and Atlantic Oceans offers additional precursors (green and purple boxes, **Fig. 4**, **A to C**). However, these precursors are not well simulated by the majority of climate models (**Fig. 4**, **D to F**).

Knowledge of Indian Ocean conditions has been shown to improve ENSO forecasts (4, 32).
Incorporating information from an extreme positive IOD leads to improved prediction of the 1994/95 CP El Niño and of the intensity of the extreme El Niño in 1997/98; incorporating information of the IOD and the IOB combined improves the predicted ENSO evolution (32), particularly the phase transition from an El Niño to La Niña (4). In a similar vein, knowledge of Atlantic SST conditions improves ENSO prediction in dynamical and statistical models (90, 95, 95).

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345 96). Hindcast experiments with additional equatorial Atlantic SST information increases 346 predictability of the major 1982/1983 and 1997/1998 El Niño events (95). Further, NTA SST offers 347 an independent precursor for ENSO. For example, since 1980, three out of the four cases of boreal spring NTA cooling (i.e. 1986, 1994, and 2009) were followed by a CP El Niño in winter, and all 348 cases of boreal spring NTA warming (i.e., 1980, 1988, 1998, and 2010) were followed by a Pacific 349 350 La Niña (91). Since the NTA and the equatorial Atlantic contribute to predictive skill over the 351 central and eastern Pacific, respectively (91), these Atlantic precursors may offer potential for 352 prediction of ENSO diversity.

353 Combining Indian and Atlantic Ocean precursors (Fig. 4, A to C) with those internal to the Pacific 354 may considerably increase ENSO prediction skill (53) (Fig. 4G), particularly in recent decades (Fig. 4H). Enhanced ENSO prediction as a result of incorporating inter-basin precursors, in turn, 355 356 could contribute to Atlantic and Indian Ocean climate prediction. Dynamical prediction 357 experiments show that incorporating tropical Pacific information greatly enhances the predictive 358 skill of the IOD and the IOB (97) and the tropical Atlantic climate (98). Understanding pan-tropical 359 interactions is therefore crucial for improving prediction of interannual tropical climate, its 360 atmospheric teleconnections, and its global impacts.

361 The Atlantic contribution to Indo-Pacific climate predictability extends to multi-year timescales. 362 Although internally generated decadal climate variability in the extratropical North Atlantic and 363 North Pacific is predictable by up to half a decade in advance (99, 100), such skill is still elusive 364 for tropical climate. The influence of tropical Atlantic SST variability associated with the AMV can be utilized for Pacific decadal prediction (87, 101). Such prediction skill is achieved via a global 365 366 reorganization of the Walker circulation, which can be represented by a TBV index measuring the tropical SST gradient between the Pacific and the other two oceans (101). The index displays 367 368 predictability at multi-year lead times with contributions from the Atlantic and Indian Oceans. In 369 particular, the Atlantic influence considerably increases decadal predictability in the central 370 Pacific SST (88).

371 Most state-of-the-art climate models fail to reproduce the observed pan-tropical interactions. In
372 addition to an underestimated influence on ENSO from the NTA (102), equatorial Atlantic

373 variability (22), and the IOD (103) (Fig. 4, D to F), the majority of models produce too weak of a 374 relationship between decadal trends of the TBV and Pacific trade winds (21). In fact, the 375 relationship between trends of tropical Atlantic SST and equatorial Pacific trade winds is opposite 376 to that observed in most models (21). This unrealistic relationship is partially associated with a 377 bias in the tropical Atlantic mean state (20, 21), which features a weaker than observed, or even 378 reversed, west-minus-east equatorial SST gradient. This bias leads to a weak convective response 379 to Atlantic SST anomalies and a weaker Walker circulation response that is shifted to the east, the 380 consequence of which is an unrealistic relationship between the Atlantic and Pacific (20).

381 Systematic model errors in pan-tropical interactions may be in part responsible for the inability of 382 models to simulate the recent global warming hiatus (20, 103), in which greenhouse warming was 383 offset by a cooling trend in the tropical Pacific (11, 12). Further, faster warming in the Indian 384 Ocean than the Pacific, as observed over the past decades, is conducive to easterlies in the western 385 Pacific (18, 84), through mechanisms similar to those operating on interannual timescales 386 associated with an IOB warming (3). Given that Indian Ocean warming is at least in part driven 387 by Atlantic warming in recent decades (17), systematic errors in the Atlantic-Pacific relationship 388 might have suppressed important processes. The suppression of these processes may be responsible 389 for the projected greater warming in the equatorial eastern Pacific under greenhouse warming. 390 Therefore, correcting the biases in the Atlantic-Pacific relationship may lead to reduced eastern 391 equatorial Pacific warming in climate projections.

392 Without properly accounting for Atlantic-Pacific and Atlantic-Indian Ocean interactions, the 393 potential for an Atlantic warming-induced Pacific cooling is muted. Thus, this bias may have far 394 reaching implications for projections of future climate, particularly in Pacific mean state change. 395 For example, models exhibiting a stronger correlation between decadal trends of the TBV index 396 and equatorial Pacific trade winds tend to project a weakened future warming in the tropical 397 Pacific compared to those with a weaker decadal coupling (Fig. 5, A and B), supporting the notion 398 that a realistic representation of pan-tropical interactions in climate models may substantially 399 modify the projected Pacific mean state change (22).

400 Summary and pathway forward



401 Pan-tropical interactions are more vigorous than previously thought and the three ocean basins 402 are more tightly interconnected than previously realized. In addition to well-known Pacific Ocean 403 influences on the Indian and the Atlantic Oceans, there are highly consequential feedbacks from 404 these two basins on the Pacific on interannual to decadal timescales. For example, a positive NTA 405 SST anomaly in boreal spring can trigger a central Pacific La Niña (8, 10), whereas an equatorial 406 Atlantic Niña in boreal summer can force an EP El Niño (64), thereby contributing to ENSO 407 diversity. Similarly, a positive IOD can favor the onset of El Niño and an El Niño-forced IOB can 408 accelerate the demise of an El Niño and its transition to La Niña (3, 4, 32). Modeling studies 409 suggest that the net impact of the Indian and Atlantic Oceans on the ENSO cycle damps its 410 amplitude and increases its frequency (3, 38, 41, 42).

411 These tropical inter-basin linkages vary significantly on decadal timescales. In particular, tropical 412 warming associated with the positive phase of the AMV over the past two decades represents a 413 major forcing on the Pacific and Indian Oceans (16, 17). This tropical Atlantic warming contributed 414 to an extraordinary intensification of the Pacific trade winds accompanied by surface cooling in the 415 eastern tropical Pacific, an increased ITF heat transport from the Pacific to the Indian Ocean, and 416 an increased sequestration of heat in the Indian Ocean. A warmer Indian Ocean in turn also 417 favored further intensification of the Pacific trade winds through changes in the Walker circulation (17, 84). In this scenario, the tropical Atlantic emerges as pivotal in driving the recent hiatus in 418 419 global surface warming.

420 Our knowledge of the pan-tropical inter-basin interactions is still in its infancy and many 421 uncertainties exist. Given the relatively short observational record that dates back only to the 422 second half of the 19th century, our ability to clearly define and understand inter-basin 423 interactions across the full range of interannual to decadal and longer timescales is limited. For 424 instance, available observations suggest that the sign of the AMV determines whether the 425 equatorial Atlantic Niño (for negative AMV) or the NTA (for positive AMV) is the most active 426 pathway of influence on the Pacific (74). However, the relative importance of these two Atlantic 427 SST modes in exciting inter-basin teleconnections is largely unknown. Whether these AMV 428 influences are robust and what causes this multi-decadal modulation in inter-basin teleconnections 429 are open questions.



430 Much of the new insight about the tropical Atlantic's role in tropical inter-basin interactions 431 emerges from observations since 2000, when the AMV turned positive and reached its highest value in the instrumental record. It is unclear however what the relative importance of natural 432 433 climate variability and anthropogenic forcing is in driving this Atlantic warming, whether the 434 recent Atlantic influence on pan-tropical climate is reinforced by anthropogenic forcing, or how 435 inter-basin interactions may affect the climate of the topics in the future. Climate modeling studies 436 to address these issues are unfortunately compromised by pronounced systematic errors in the 437 tropical Atlantic that severely suppress interactions with the Indian and Pacific Oceans (20-22). 438 These model biases in particular could have a substantial impact on the simulated ENSO 439 characteristics and the Pacific mean state. As a result, there could be considerable uncertainty in 440 future projections of Indo-Pacific climate variability and the background conditions in which it is 441 embedded. Projections based on the current generation of climate models suggest that Indo-Pacific 442 mean state changes will involve slower warming in the eastern than in the western Indian Ocean 443 and a faster warming in the east equatorial Pacific than the surrounding regions (104). Given the 444 presumed strength of the Atlantic influence on the pan-tropics, projections of future climate change 445 could be substantially different if model systematic errors in the Atlantic were corrected.

446 Nevertheless, recent advances in our understanding of the pan-tropical interactions provide 447 valuable guidance for setting research priorities. Among these is the urgent need to reduce model 448 systematic errors in the Atlantic as one key ingredient to enable further progress and there have 449 been efforts to solve this (105). It has been extraordinarily difficult to remedy such errors as we 450 have learned from the Pacific cold tongue and double ITCZ biases, problems for which there has 451 been no solution for decades. Thus, it is essential that we understand the fundamental processes 452 that govern the Atlantic mean state, including interactions between tropical winds, SST, the upper 453 ocean, atmospheric convection, and the role of equatorial ocean mixing. Given the shortness of the 454 instrumental record, studies that take advantage of much longer paleo proxy records can be a 455 valuable source of information on inter-basin interactions in the past. There is also potential for a 456 substantial improvement in ENSO and multi-year predictions, by exploiting the dynamical 457 linkages outside the Pacific basin (88). Success on this front requires a deeper understanding of 458 these linkages to ensure that they are represented as accurately as possible in forecast models.

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Coordinated modeling studies, including pacemaker experiments, can be used to examine the 459 460 mechanisms that underpin these tropical inter-basin interactions and their importance relative to 461 extra-tropical influences. Given that the mean state errors in the tropical Atlantic are systematic, 462 flux adjustments in climate models can also be a useful approach for exploring inter-basin 463 interactions in the current climate and potentially in the future as well under differing climate change forcing scenarios. Ultimately, making progress in this enterprise will depend critically on 464 465 sustained global climate observations, climate model improvements, and theoretical developments 466 that help us to better understand the underlying dynamics of pan-tropical interactions and their 467 climatic impacts.

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Fig 1: Evolution of tropical inter-basin interactions during a typical El Niño event. (A) Background 765 766 mean state of SST (shading, [°C]) and Walker circulation (arrows). (B-G) Seasonally stratified SST 767 anomaly lead/lag regression with normalized December-January-February (DJF) Niño3.4 (shading, 768 [°C]) together with schematic surface wind and Walker Circulation changes (arrows). As El Niño grows, Walker Circulation changes lead to the development of (B-C) positive IOD, (D-E) IOB 769 770 warming, (B) Atlantic Niña, and (D-E) NTA warming. (D-E) IOB and NTA warming induce equatorial wind anomalies in the Pacific, contributing to El Niño decay and transition to La Niña. 771 772 (F) During post-El Niño summer, North Indian Ocean warming is coupled with the anomalous 773 Northwest Pacific anticyclone, impacting Asian summer monsoon. Meanwhile, a developing La 774 Niña in the Pacific favors Atlantic Niño conditions. The remaining seasons are abbreviated by 775 March-April-May (MAM), June-July-August (JJA), and September-October-November (SON). 776 Year 0 indicates an El Niño developing year, while year 1 indicates the subsequent decaying year.





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Fig. 2: Tropical Atlantic influence on the Pacific. (A) Observed 1980-2005 SST and 850hPa wind 778 779 anomalies in MAM(0) regressed onto a basin-wide SST index over the tropical Atlantic (10°S-20°N, 60°W-10°E, purple box) in MAMJJA(0). This basin-wide index is selected to jointly capture the 780 781 NTA (northern black box) and Atlantic Niño (southern black box) indices. The linear relation to 782 the DJF(0) Nino3.4 index has been removed prior to the regression. NTA warming in MAM(0) 783 generates a low-level cyclone over the eastern Pacific/Central America and easterly winds over the 784 western equatorial Pacific through atmospheric Rossby and Kelvin wave responses. (B) In JJA(0), 785 warming in the equatorial Atlantic induces anomalous Walker circulation, leading to anomalous 786 easterlies in the central-western Pacific. (C) DJF(1), following the warming in the tropical Atlantic, 787 cold anomalies develop in the central and eastern Pacific. (D-F) as in (A-C), but for a five member 788 ensemble mean from pacemaker experiments performed over 1980-2005, in which full coupling is 789 permitted everywhere except in the tropical Atlantic (30°S-30°N), where SSTs are nudged to 790 observations (70).





C Trans-basin variability index 20-yr trends



D Atlantic-Pacific basin connection with Indo-Pacific amplification



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793 Fig 3: Decadal inter-basin connections with the Pacific. (A) Deep convection generated via Indian 794 Ocean warming creates a Gill-type response that increases surface easterly winds and cold SSTs 795 in the western Pacific. (B) Deep convection generated via Atlantic Ocean warming creates a Gill-796 type response, generating anomalous easterlies over the Indian Ocean and western Pacific. These 797 anomalous winds lead to SST warming over the Indian Ocean and SST cooling over the 798 western/central Pacific. The Gill-type response over the Atlantic also enhances the off-equatorial 799 easterlies and cool SSTs in the eastern tropical Pacific. (C) Sliding window 20-year trends of inter-800 basin SST differences and equatorial western Pacific wind stress (recorded at end year of the

801 window). The wind stress and its one-standard deviation spread (shading) are from a 56-member 802 reanalysis of the 20th century climate. Pre- and post-1980 correlations between the trans-basin SST 803 and the ensemble-mean equatorial zonal wind trends are provided, together with one-standard 804 deviation spread of correlations from the 56 realizations. Since 1980, the Atlantic-Pacific SST 805 difference displays a stronger relationship with the Pacific winds than the Indian-Pacific SST 806 difference. Indian, Pacific, and Atlantic basin SST are calculated between 20°S-20°N/21°E-120°E, 20°S-20°N/121°E-90°W, and 20°S-20°N/70°W-20°E, respectively. The Pacific wind is computed 807 808 between 6°S-6°N/180°E-210°E. (D) The atmospheric circulation and surface temperature changes 809 generated due to Atlantic warming in (B) are amplified by the Pacific Bjerknes feedback (Box 1) 810 and IOD-Pacific interactions. The depth-longitude section in (D) illustrates the subsurface 811 Indo-Pacific. temperature circulation anomalies in the and

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815 Fig 4: Potential predictability of ENSO from inter-basin predictors. Lag correlation between 816 November-December-January (NDJ) Nino3.4 SST and (A) 5-seasons preceding SST (SON), (B) 3-817 seasons preceding SST (MAM), and (C) 1-season preceding SST (SON) during the period of 1980-818 2017. Stippling in (A-C) indicates significant correlations at the 90% confidence level based on 819 Student's t-test. Green and purple boxes in (A-C) denote the areas used for predictors of multiple 820 regression in (G) and (H), for the respective seasons. The location of the green and purple boxes 821 are chosen from areas with the strongest NDJ Niño3.4 correlation for each season. (D-F) as in (A-822 C), but for the ensemble mean of 43 CMIP5 models. Stippling in (D-F) indicates regions where 66% 823 of models agree with the sign of the ensemble mean. Correlation between observed NDJ Niño3.4 824 SST and reconstructed Niño3.4 SST from multiple regression with preceding predictors, as identified by strong regression coefficients, for the period of (G) 1980-2017 and (H) 1999-2017. 825 826 Shown are results using internal Pacific predictors of western Pacific heat content (blue line), 827 adding Indian Ocean (green squares), Atlantic (purple triangles), and both the Indian Ocean and 828 the Atlantic Ocean predictors (red line).





832 two ensembles of 10 CMIP5 models (thin curves) with a strong (red) and weak (blue) coupling 833 between decadal trends of an Atlantic-Pacific trans-basin variability index and equatorial Pacific 834 trade winds as in Ref. (18). Models with a stronger coupling tend to generate a weaker warming. 835 Changes are calculated as the difference in averages between the RCP8.5 2070-2099 and the 836 historical 1980-2009 period, divided by the global mean SST change over the same periods. The 837 broad thick curves denote where the difference between the two ensembles means (thick curves) 838 are significant at the 95% confidence level, based on Student's t-test. (B) Differences in 839 climatological SST changes between the two 10-model ensemble means. Stippling indicates areas 840 where the ensemble mean difference is significant at the 95% confidence level, based on a Student's 841 t-test.



843 Box 1: Key Concepts Defined

Atlantic Multi-decadal Variability (AMV) is a multi-decadal variation in sea surface
temperature, sometimes also referred to as the Atlantic Multi-decadal Oscillation (AMO). The
ultimate causes of this variability are the subject of ongoing research.

Atlantic Niño and Niña are generated along the equator in the Atlantic Ocean through oceanatmosphere interaction processes similar to those of ENSO, though they are weaker and shorter
lived than Pacific events.

850 Atmospheric bridge is a dynamical connection between tropical oceans that is associated with
851 variations of the Walker circulation.

Atmospheric Kelvin wave is an eastward propagating disturbance in the atmosphere. Kelvin
waves extend over the full height of the atmosphere, with a surface expression in the wind field
that affects ocean-atmosphere interactions.

Atmospheric equatorial Rossby wave is a westward propagating disturbance in the
atmosphere. Equatorial Rossby waves have a smaller zonal and larger meridional extent compared
to Kelvin waves and also affect the surface wind field and ocean-atmosphere interactions.

Bjerknes feedback is a positive feedback in which a weakened sea surface temperature gradient
along the equator leads to a weakening of the trade winds, which in turn further weakens the sea
surface temperature gradient. Equivalently, a strengthened sea surface temperature gradient
intensifies the trade winds, which then reinforce the surface temperature gradient.

862 El Niño-Southern Oscillation (ENSO) arises in the tropical Pacific through ocean-atmosphere
863 interactions involving Bjerknes feedback. It is the dominant mode of interannual climate
864 variability on the planet. El Niño events with their maximum sea surface temperature anomalies
865 in the eastern and central Pacific are referred to as EP El Niño and CP El Niño, respectively.

866 Gill-type response is a response to an equatorial heating anomaly, generating an equatorial
867 atmosphere Kelvin wave and associated surface easterly wind anomalies to its east, and
868 atmosphere Rossby waves and an associated pair of low pressure cells to its west, with cyclonic
869 surface westerly anomalies straddling the equator.

870	Interdecadal Pacific Oscillation (IPO) is a basin scale multi-decadal fluctuation in sea surface
871	temperature that is characterized in its positive phase by unusually warm tropics and cool
872	subtropics, and in its negative phase by opposite tendencies.
873	Indian Ocean Basin (IOB) mode is a uniform warming or cooling of the Indian Ocean that
874	occurs on interannual to decadal time scales.
875	Indian Ocean Dipole (IOD) is a mode of interannnual variability in the tropical Indian Ocean
876	that arises from ocean-atmosphere interactions somewhat similar to those of ENSO, though IOD
877	events are weaker and shorter lived than ENSO events.
878	North Tropical Atlantic (NTA) is a sensitive region typically between 5°-20°N that both affects,
879	and is affected by, inter-basin interactions in the tropics.
880	Walker circulation is a series of zonal overturning cells in the atmosphere associated with
881	regions of rising and sinking motion.
882	Wind-evaporation-SST (WES) effect occurs when surface winds weaken over warm water,
883	which reduces evaporation and leads to further surface warming; or when surface winds
884	strengthen over cold water, enhancing evaporation and inducing further cooling. The sign of the

885 WES effect depends on whether the wind changes strengthen or weaken the mean winds.

886

887 Enhanced Abstract

888 BACKGROUND

889 Ocean-atmosphere interactions in the tropics have a profound influence on the climate system. El 890 Niño-Southern Oscillation (ENSO), which is spawned in the tropical Pacific, is the most prominent 891 and well-known year-to-year variation on Earth. Its reach is global and its impacts on society and 892 the environment are legion. Because ENSO is so strong, it can excite other modes of climate 893 variability in the Atlantic and Indian Oceans by altering the general circulation of the atmosphere. However, ocean-atmosphere interactions internal to the Atlantic and Indian Oceans are capable of 894 895 generating unique modes of climate variability as well. Whether the Atlantic and Indian Oceans 896 can significantly feed back onto Pacific climate has been an ongoing matter of debate. We are now

beginning to realize that the tropics, as a whole, are a tightly interconnected system, with strong feedbacks from the Indian Ocean and the Atlantic Ocean onto the Pacific. These two-way interactions affect the character of ENSO and Pacific decadal variability and shed new light on the recent hiatus in global warming. Here we review advances in our understanding of pan-tropical inter-basin climate interactions and their implications for both climate prediction and future climate projections.

903 ADVANCES

904 ENSO fluctuates between warm events (El Niño) and cold events (La Niña). These events force 905 changes in the Atlantic and Indian Oceans than can feedback onto the Pacific. Indian Ocean 906 variations, for example, can accelerate the demise of El Niño and facilitate its transition to La Niña. 907 ENSO events also exhibit significant diversity in their amplitude, spatial structure, and evolution, 908 which matters for how they impact on global climate. Sea surface temperature variations in the 909 equatorial and north tropical Atlantic can significantly contribute to the diversity of these events. 910 In addition, tropical inter-basin linkages vary on decadal time scales. Warming during a positive 911 phase of Atlantic Multi-decadal Variability (AMV) over the past two decades has strengthened the 912 Atlantic forcing of the Indo-Pacific, leading to an unprecedented intensification of the Pacific trade 913 winds, cooling of the tropical Pacific, and warming of the Indian Ocean. The Indo-Pacific 914 temperature contrast further strengthened the Pacific trade winds, helping to prolong the cooling 915 in the Pacific. These interactions forced from the tropical Atlantic were largely responsible for the 916 recent hiatus in global surface warming. Changes in Pacific mean state conditions during this 917 hiatus also significantly affected ENSO diversity.

918 OUTLOOK

919 There is tremendous potential for improving seasonal to decadal climate predictions and for 920 improving projections of future climate change in the tropics though advances in our 921 understanding of the dynamics that govern inter-basin linkages. The role of the tropical Atlantic 922 in particular requires special attention since all climate models exhibit systemic errors in the mean 923 state of the tropical Atlantic that compromise their reliability for use in studies of climate 924 variability and change. Projections based on the current generation of climate models suggest that

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925 Pacific mean state changes in the future will involve faster warming in the east equatorial basin 926 than the surrounding regions, leading to an increase in the frequency of extreme El Niños. Given 927 the presumed strength of the Atlantic influence on the pan-tropics, projections of future climate 928 change could be substantially different if model systematic errors in the Atlantic were corrected. 929 Progress on these issues will depend critically on sustaining global climate observations, climate 930 model improvements especially with regard to model biases, and theoretical developments that 931 help us to better understand the underlying dynamics of pan-tropical interactions and their 932 climatic impacts.





935 Fig. 0: Pan-tropical feedbacks affecting ENSO. Black loop represents internal Pacific fast positive 936 feedbacks (short arrows) and delayed negative feedbacks (long arrows). Inter-basin feedbacks 937 include Pacific feedbacks onto the Atlantic and Indian Oceans (blue arrows), delayed negative 938 feedbacks of the Atlantic and Indian Oceans onto the Pacific (green/purple arrows) and positive 939 feedbacks of the Atlantic onto the Indian Ocean (yellow arrow). The effects of atmospheric noise 940 forcing in the Pacific is indicated by the dotted line.