

1 **Climate of the weakly-forced yet high-impact convective storms throughout the Ohio River**
2 **Valley and Mid-Atlantic United States**

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7
8 **Abstract**

9 The 1-in-1000-year precipitation event in late June 2016 over West Virginia caused tremendous
10 flooding damage. Like the 2012 mid-Atlantic derecho that blacked out much of the D.C. area,
11 similar events can be traced to small, mid-tropospheric perturbations (MPs) embedded in the
12 large-scale ridge pattern. Under this “weakly-forced” pattern, severe weather outbreaks
13 commonly occur alongside eastward propagating MPs acting as a triggering mechanism for
14 progressive mesoscale convective systems, which move across the central and eastern U.S.
15 Forecasting of such weakly-forced yet severe weather events is difficult in both weather and
16 climate timescales. The present diagnostic analysis of the MP climatology is the first step toward
17 developing metrics that can identify and evaluate weakly-forced severe weather outbreaks in
18 multi-model projections. We report a discernable, potentially pronounced subseasonal change in
19 the MP climatology associated with the changing climate of North America. Both sea surface
20 temperatures within the Gulf of Mexico and mid-level high pressure over the central U.S. were
21 found to exhibit strong correlations with MPs. Analysis of regional climate downscaling
22 indicates a projected increase in MP frequency and the associated convective precipitation
23 through the mid 21st century.

24 **1. Introduction**

25 In mid-summer over the central United States (U.S.), convective storms tend to be sustained
26 for a long time as they propagate across the Ohio River Valley and even through the mid-
27 Atlantic states. While it is generally recognized that organized convective storms are associated
28 with strong synoptic low pressure systems featuring large-scale baroclinic forcing, a unique type
29 of mid-summer storm occurs under northwesterly flow associated with high pressure ridges
30 (Johns 1982, 1984, 1993). Both types of storm environments can produce severe weather, but the
31 northwesterly flow (NWF) storms, which are also called ridge rollers (Galarneau and Bosart
32 2005), are known to induce outbreaks of propagating convective windstorms or derechos (Johns
33 and Hirt 1987; Bentley and Mote 1998). This NWF synoptic setting presents a particular
34 challenge for numerical weather prediction models primarily due to the fact that the storm
35 environment is so weakly forced in terms of baroclinicity compared to the low pressure case.

36 Mid-level flow-terrain interactions over the Rocky Mountains plays an important role in
37 creating atmospheric disturbances that propagate over long distances within the steering synoptic
38 northwesterly flow (Wang et al. 2011b). The majority of the synoptic northwesterly flow severe
39 weather outbreaks can be linked to pre-existing perturbations that originated from the
40 northeastern Rocky Mountains, referred to as mid-tropospheric perturbations (MPs, Wang et al.
41 2009, 2011a, 2011b). MPs provide middle- to lower-tropospheric instability and steering for
42 travelling convective storms and are responsible for 60% of convective storms with wind and
43 hail (Wang et al., 2011a). Thus, any climate variations that may affect MP genesis and steering
44 would be expected to be linked to variations in synoptic northwesterly flow severe weather
45 outbreaks. Another important part of this study examined the future projection of the North
46 American synoptic setting where these ridge type systems could bring extreme weather events.

47 By tracking and examining the long-term variations in MP's using regional and global
48 reanalysis datasets, the analysis presented here offers diagnostic insight into these convective
49 triggers. In addition to the observation-based reanalysis data, this study explores future
50 downscaled regional climate projections of MPs and associated severe weather events. However,
51 the examination of mesoscale features and frequencies of convective storms in the historical
52 reanalysis and future climate projections is difficult due to biases in model precipitation and
53 thermodynamic variables. Therefore, a set of kinematic metrics was developed to aid in the
54 diagnosis. In our quest to address the question as to why some northwesterly flow events produce
55 MPs that propagate downstream and initiate severe weather while others do not, an examination
56 of MP frequency and the corresponding background flow was undertaken – more specifically to
57 what extent an ambiguous ridge or synoptic northwesterly flow pattern, given its weak
58 convective forcing, can sustain MP propagations and so, promote storm development. The
59 following sections sequentially put forward a description of two recent MP-led convective
60 storms that were extreme and high-impact (Section 2), the data and methodologies used (Section
61 3), followed by the MP climatology and variability (Section 4) and ended with discussion and a
62 summary in Section 5.

63

64 **2. Recent Extreme Events**

65 In June 2016, in the presence of a continental-scale upper-level ridge, the NWF synoptic
66 environment produced two extreme weather events on opposite ends of the continental U.S.,
67 bringing record flooding in West Virginia and scorching heat conditions in the Southwest (Di
68 Liberto 2016). In order to highlight the connection between the strong anticyclone and the
69 northwesterly flow, Figure 1a shows an overlay of 600-hPa wind vectors and storm report

70 frequency (cataloged by the Storm Prediction Center (SPC)) for 21-24 June 2016. Of note is that
71 along the northern edge of the ridge centered over the central plains, a series of shortwaves
72 formed in the mid-troposphere and subsequently propagated across the Ohio valley and then into
73 West Virginia. This singularity produced a “1-in-1000-year” precipitation event with subsequent
74 record-breaking floods (Grote and Dyer 2017; Corrigan et al. 2017; Perfater et al. 2017). The
75 D.C./Mid-Atlantic derecho event of 2012 was another severe weather outbreak associated with
76 NWF synoptic conditions (Figure 1b) (Šepić and Rabinovich 2014). The prevailing ridges that
77 were present in both June 2012 and 2016 events brought exceptionally dry weather conditions
78 that lead to moderate-to-exceptional droughts across the continental U.S. In the Southwest, both
79 events were ranked as the warmest June in history with several high temperature records (NOAA
80 2016; Mo and Lettenmaier 2015).

81 In the case of June 2016, the continental-scale ridge was well predicted by weather models
82 but with the exception of a few high-resolution ensemble models (Schwartz et al. 2015), the
83 storms and associated extreme precipitation were not well forecast (Corrigan et al. 2017; Grumm
84 2017); thus highlighting a shortfall in meteorological forecast models to capture weakly-forced
85 convective storms. Moreover, climate projection studies fail to capture northwesterly synoptic
86 flow severe weather outbreaks (e.g., Weaver et al. 2016; Feng et al. 2016; Feng et al. 2012; Jiang
87 et al. 2006; Berg et al. 2015).

88

89 **3. Data Sources and Methodology**

90 *3.1 Reanalysis data*

91 Because of its high spatial resolution compared to global reanalysis we used 3-hourly North
92 American Regional Reanalysis (NARR, Mesinger et al. 2006) data to calculate the MPs. NARR
93 compares well with observations (Mesinger et al. 2006) and has been shown to resolve the type
94 of sub-synoptic scale features associated with MPs (Wang et al. 2011b). We also utilized
95 NCEP/NCAR reanalysis R1 data (Kalnay et al. 1996) to resolve hemispheric-scale atmospheric
96 circulations but due to the coarser resolution, NCEP data was not considered for the MP
97 calculation. Following Johns (1982), severe weather ground truth for this study was supplied by
98 storm reports compiled from the Warning Coordination Meteorologist (WCM) page hosted by
99 the SPC (<http://www.spc.noaa.gov/wcm/>). However, storm observations originate from
100 personnel sightings which, due to various motivations etc., presents data quality issues (Weiss et
101 al. 2002; Gallus et al. 2008). Hence, a diagnostic metric based on MPs was an essential
102 component of our analysis. Furthermore, the use of NARR data was elemental in the
103 construction of the climatology of MPs. This was implemented for the months of June and July
104 when ~80% of northwestern flow events occur as well as those that propagate furthest (Johns
105 1984, 1993).

106 *3.2 Regional Downscaled Modeling Data*

107 In order to examine future climate projections, the North American Regional Climate
108 Change Assessment Program (NARCCAP) regional climate model simulations (Mearns et al.
109 2007) were used to calculate the MP. The NARCCAP data consist of historical (1968-1999) and
110 future (2038-2069) periods and has seven different simulations with two different global model
111 forcing for each regional model (defined as “global model-driven”). The future run was forced
112 with the A2 emission scenario of Special Report on Emission Scenarios (SRES, Nakicenovic et
113 al. 2000) for the mid 21st century. However, only six simulations (three models) listed in Table 1

114 offer the required 3-hourly variables necessary to calculate MPs. The ensembles of these six
115 simulations were used for the diagnosis of MPs and associated convective precipitation. For
116 verification purpose, we used three historical simulations (CRCM, MM5I and WRF) that were
117 forced by the NCEP reanalysis data over the period of 1980-2003 to calculate the MPs (defined
118 as “reanalysis-driven”). Given the broad agreement between the climatology depicted by these
119 NCEP-driven simulations and that of NARR as well as their similar spatial resolutions (Wang et
120 al. 2009), the comparison of these with the NARR analysis provides a validation perspective on
121 the climatology and variations in MPs.

122 123 *3.3 Mid-tropospheric perturbation (MP) tracking*

124 To depict northwesterly flow (NWF) events, three criteria were defined in order to filter out
125 the region of interest. The first (called ‘circulation criteria’) excludes regions of large-scale
126 troughs of low-pressure and was accomplished by calculating the stream function while
127 removing the global mean. The circulation criterion excludes regions that feature negative stream
128 function values, which denotes troughs. Since mid- and upper-level winds play an important role
129 in the propagation of MPs further downstream, a second criteria (called ‘wind criteria’) was
130 aimed to exclude regions of weak winds, hence only those regions of wind speed greater than 15
131 ms^{-1} averaged at mid- and upper-levels (600-hPa and 250-hPa) were selected. Lastly, a third
132 criteria (called ‘moisture criteria’) identifies regions of high humidity as dry vortices alone
133 cannot generate widespread severe weather (Wang et al. 2011a). As noted earlier, the use of
134 vertically integrated precipitable water was adopted from various perspectives:

- 135 i. Precipitable water is commonly used for the probable maximum precipitation forecasting
136 (Tetzlaff and Zimmer 2013).

137 ii. Precipitable water is a good means of estimating regions of moist vortices and resulting
138 convection.

139 MPs were identified from mid-level wind and relative vorticity fields at 600-hPa. To ensure
140 definitive identification, the MPs were isolated using three methods (approaches): First
141 calculated, was the root-mean-square of the relative vorticity. Next, given that the average life
142 cycle of MPs is 48-hours (Wang et al. 2011a), the vorticity fields were subjected to both low-
143 and high-pass temporal filters considering nine-point weighted and seven-point running average,
144 respectively, to isolate the MPs general lifecycle. Since moisture is an important aspect in
145 convective environments, the other two approaches considered moisture. First was the
146 consideration of upper tropospheric moisture. After applying the same high- and low-pass
147 temporal filters to 300-hPa specific humidity, the covariance of the filtered vorticity and 300-hPa
148 specific humidity was evaluated. Lastly, the vertically integrated precipitable water, likewise
149 temporally filtered, was determined and the covariance between the filtered vorticity and
150 precipitable water fields computed. For all fields, the study undertook the examination of weekly
151 averages for the months of June and July, i.e. the MP high season (Wang et al. 2011a). Several
152 thresholds of precipitable water were tested before concluding that 24mm was a threshold
153 capable of identifying MPs. Finally, it should be noted that all three exclusion criteria were
154 applied before computing the root-mean-square of vorticity and the covariances of vorticity and
155 humidity. Given the third approach already accounts for precipitable water (PW), only the
156 circulation criteria and wind criteria were applied to the covariance of vorticity and PW. The
157 result of our multi-variable approach to MP identification is shown over a 32-year climatology
158 (1985-2016) in Figure 2. All three approaches show similar patterns where MPs originate east of
159 the Rockies and propagate to the east. However, the third approach in July (Figure 2f) shows that

160 MPs originate further inside of the Rockies, a deviation from the MP tracks defined by Wang et
161 al. (2011a, b).

162 For the comparison between MPs and storm frequency, SPC storm reports, which considered
163 wind, hail and tornado reports, were first projected onto a 1° X 1° grid. Initial examination of our
164 MP identification using the gridded storm reports shows the first approach using the root-mean-
165 square (RMS) of relative vorticity performed the best (i.e. capturing the majority of the storm
166 occurrence). In addition, the RMS of vorticity shows the representation of severe weather
167 condition for three cases discussed in Section 4.1. Based on the comparison for case analysis and
168 climatology, from the three approaches, the first approach using circulation criteria, hereafter
169 referred to as the “MP frequency” performed the best and is considered for the remainder of the
170 analysis presented in this study. Furthermore, the NWF region where the MP frequency
171 propagate is hereafter referred to as the ‘MP corridor’.

172

173 **4. MP Characteristics and Climatology**

174 *4.1 Case Verification*

175 The application of MP metrics on the depiction of NWF severe weather outbreaks is first
176 tested by examining the recent extreme cases of the 2016 West Virginia flood and the 2012 Mid-
177 Atlantic derecho, both occurring in late June. During the course of four days, both events
178 produced similar patterns of storm reports consisting of hail, gusty winds and tornadoes (Figure
179 1). To focus our analysis on these two events, the MP identification process is averaged over 27-
180 30 June 2012 and 21-24 June 2016 to capture the evolution of the atmosphere leading up to the

181 outbreak. The result shows a good agreement between the storm frequency (Figure 1) and MP
182 frequency (Figure 3) along the NWF.

183 One noticeable difference between the two outbreaks was the presence of a deeper mid-level
184 shortwave trough over Ohio Valley during the 2016 event (Figure 1a). The trough during the
185 2012 event is weaker and, more importantly, stronger anticyclonic circulation exists directly
186 south of the trough/short wave (Figure 1b), which boosts moisture supply transported from the
187 Gulf of Mexico. The location of the short wave (Figure 1b) and MP corridor is shifted north in
188 the 2012 case (Figure 3b) compared to the 2016 case (Figure 3a). The deeper trough at mid-level
189 perhaps led to the staggering precipitation generated in 2016 but not in 2012. There are
190 numerous documented cases where NWF events produced MP-related severe weather outbreaks
191 (e.g. Johns and Hirt 1987; Bentley and More 1988); oftentimes, NWF events fail to produce such
192 outbreaks as was the case during the historical drought of June 1988, when the ridge and NWF
193 pattern were both present, but little MP activity was observed downstream of the ridge (Figure
194 3c).

195 *4.2 Climatology and variability of MPs*

196 As studies have shown impacts from anthropogenic climate change across North America
197 have become discernable starting around the mid-1980s (King et al. 2015; Christidis et al. 2013),
198 we considered data from 1985 to 2016 to evaluate the MP climatology and interannual
199 variability. As shown in Figure 2, the 32-year MP climatology shows similar pattern with the 10-
200 year, manually tracked MP climatology by Wang et al. (2011a, b). The spatial distribution of the
201 MP climatology developed here is also in good agreement with the mid-summer derecho
202 frequency previously documented (Guastini and Bosart 2016; John and Hirt 1987). We then
203 compared the MP climatology with the SPC storm report (wind and hail) for the same period and

204 found the storm frequency (Figure 4) during the NWF outbreak matches well with the MP
205 frequency (Figure 2). Generally, storm frequency is greater in July (Figure 4b) compared to June
206 frequency (Figure 4a). It was also observed that following the northward shift of the jet stream,
207 both MP frequency and storms correspondingly shift northward from June to July. Consistent
208 with previous studies (Bentley and Mote 1998; Johns and Hirt 1987), the spatial distribution
209 pattern shows that more frequent storms were reported in the northern Plains during the NWF
210 outbreak. However, because of the previously mentioned limitations and biases associated with
211 storm reports, this result should be interpreted cautiously.

212 Next, we examined the June-July patterns of MP interannual variability. Since the empirical
213 orthogonal function (EOF) analysis provides the spatial modes/patterns of variability and how
214 they change with time (Hannachi 2004; Monahan et al. 2009), the EOF analysis was applied on
215 the MP frequency. The result reveals three leading modes (Figure 5), which constitute more than
216 50% of the total variance. The first mode explains 23% of the total variance, comparable with
217 the second mode (21%) and considerably larger than the third mode (8%). EOF2 shows the north
218 and south fluctuation of the MP corridor (Figure 5b) while EOF3 depicts the east-west oscillation
219 of MP corridor (Figure 5c). The first and third modes, in particular, are highly comparable to the
220 2016 events, revealing the MP corridor that resembles the West Virginia case encompassing the
221 Upper Midwest main track (EOF1) and the increased frequency in the East Coast (EOF3). We
222 also note that the north-south shift of MP corridor is reflected in EOF2 and it resembles the 2012
223 storm track with a high principal component (PC) value (not shown).

224 For evaluation purposes, we examined the correlation of the PC time series with storm
225 frequency, mid-level height, and surface temperature (Figure 6). Here we consider all three PCs
226 (PC1, PC2 and PC3) to analyze the correlation pattern as these three PCs most resemble the most

227 recent extreme events. The PC1 correlates well with the storm frequency (Figure 6a) along the
228 main MP corridor. The PC2 positively correlates with the storm frequency that occurred in the
229 north while correlation is stronger (but negative) on the south side (Figure 6b). PC3 correlates
230 with the eastward shift of the extreme weather (Figure 6c). The correlation map of 600-hPa
231 geopotential height with PC1 (Figure 6d) shows a predominant anticyclone centered in the
232 southern U.S. providing enhanced NWF conditions over the northern plains, upper Midwest, and
233 Ohio Valley, while low pressure over the Great Lakes diminishes the MP generation process.
234 Furthermore, the PC1 is highly correlated with an increased meridional gradient of mid-level
235 height over the U.S. These features lend support to the criteria utilized to depict the MPs, the
236 associated stormy weather activity, and the underlying circulation pattern.

237 It is noteworthy that the PC2 correlation with 600-hPa height shows a northward shift of the
238 high-pressure ridge accompanied by the northward shift of severe weather events during the high
239 PC2 (Figure 6e). The example of extreme weather that corresponds to this shift is the 2012
240 derecho event (Figure 1b). By comparison, PC3 is positively correlated only with an increased
241 meridional gradient of the geopotential height over the mid-Atlantic region (Figure 6f) and this
242 corresponds with the increased storm frequency and warmer air in the southeast U.S. (Figure 6i).
243 Surface air temperature in the southern U.S. increases correspondingly with EOF1 (Figure 6g)
244 and it too coincides with the two warmer-than-normal seasons in the southwest U.S. during June
245 2012 and 2016. This temperature pattern also implies increased meridional temperature gradient
246 along the NWF zone. The PC2 correlation with surface temperature (Figure 6h) coincides with
247 the shifting of high pressure northward (Figure 6e). We further correlated the PC1 with the Gulf
248 of Mexico sea surface temperature (SST) using ERSSTv4 data for different months and observed
249 that MP activity responds to Gulf SST conditions during the early summer months (not shown).

250 When Gulf SSTs are anomalously warm, the ridge of high pressure located over the south central
251 U.S. responds by strengthening—leading to a positive correlation between MP activity and Gulf
252 SST conditions. Furthermore warmer Gulf sea surface enhances the atmospheric moisture supply
253 over the MP region.

254 *4.3 Linear trend of MPs, storms and convective precipitation*

255 With severe convective storms recently occurring more frequently as the climate warms
256 (King et al., 2015), it is worthwhile to check the trend of a few variables contributing to the MP
257 environment. The time series of PC1, MP index and Gulf of Mexico sea surface temperature
258 (SST) averaged for June and July are shown in Figure 7. Both PC1 and the MP index show an
259 increasing trend (Figure 7a and b). We note the high confidence level ($p < 0.01$) observed for the
260 steadily increasing Gulf of Mexico SST trend (Figure 7c). Figure 7d shows the distribution of
261 weekly averaged MP event over Ohio region for the 32 years and shows that more MP events are
262 occurring in recent years.

263 To examine the geographical distribution, we next computed the linear trend maps in MPs
264 and storm frequency for June and July along the MP corridor during the period from 1985 to
265 2016 (Figure 8). A substantial increase to northern plain MP activity is noted (Figure 8a). Storm
266 frequency trends also indicate the increasing activity along the MP corridor (Figure 8b) and we
267 note that the increasing trend is greater in June compared to July (figure not shown). Since PC1
268 is correlated with the high pressure over the south-central U.S., the trend of the mid-level
269 pressure fields reveals a marked increase over the same region (figure not shown) and this agrees
270 with the climatological study by Vavrus et al. (2017), which showed that increasing temperature
271 trends, and by association, the central U.S. summertime ridge of high pressure promotes more
272 intense summer weather.

273 By using the NARR output of convective precipitation, we computed its trends to assess the
274 change in convective activity (Figure 8c). Again, increasing trends were found along the MP
275 corridor, although the significance level is marginal. It is possible that MPs are either mostly
276 associated with windstorms or some extreme precipitation events and so, an increase in MPs
277 does not necessarily translate into proportional increase in precipitation. The result should be
278 interpreted cautiously, mainly because most reanalysis products are not homogenized since they
279 have varying observation systems assimilated over time and struggles to accurately portray
280 convective precipitation (Cui et al. 2017).

281 *4.4 Comparison of MP with NARCCAP*

282 Acknowledging the limitations of reanalysis data, the second set of data used in this analysis
283 employed a combination of both reanalysis and climate modeling. Here, the NARCCAP
284 historical run forced by the NCEP data (i.e. reanalysis-driven) was used to calculate the MPs
285 over the same June-July period up to 2003 (see Section 3.2). Three reanalysis-driven NARCCAP
286 models (CRCM, WRF, and MM5) were compared with the NARR result. The MPs
287 climatology averaged for June-July is shown in Figure 9a. By comparison, the reanalysis-driven
288 NARCCAP depiction of MPs (Figure 9b) shows a slight northward shift of MPs. MP trend
289 analysis from 1980 to 2003 (Figure 9c and d) produced similar trends between datasets with
290 decreasing trends in MP occurrence along the NWF region, mainly along the Midwest and Mid-
291 Atlantic region, and an increasing trend just east of the Rockies and over the northern Great
292 Lakes.

293 To further test the effectiveness of the MP metrics across each reanalysis-driven simulations,
294 we evaluated the historical interannual variability. Figure 10 shows the three leading EOF modes
295 of MPs with the NARR and reanalysis-driven NARCCAP ensemble for the month of June and

296 July. Similar patterns are observed in these EOFs among the two data sets. The EOF1 pattern
297 from both data sources is comparable and represents about 30% of the total variance (upper
298 panel, Figure 11). A similar north-to-south shift of the MP corridor is revealed in EOF2 of both
299 NARR and NARCCAP (middle panel, Figure 11), however this signal is more predominant in
300 NARCCAP where 26% of total variance is explained compared to NARR's 17%. While each
301 EOF3 represents roughly the same variance, slightly different spatial patterns were found (lower
302 panel, Figure 11).

303 4.5 Climate Projection

304 With the establishment of the MP tracking algorithm, and the good agreement between
305 reanalysis-driven NARCCAP data and NARR reanalysis, we proceeded to examine the future
306 climate projection of MPs considering the global model-driven NARCCAP data. The
307 climatology of MPs for the June-July average using historical and future runs from the ensemble
308 of three models (six simulations) are shown in Figures 10a and 10b, respectively. The spatial
309 distribution of the MP climatology from global model-driven historical runs is also in good
310 agreement with the reanalysis data (Figure 2). For individual months (see supplementary Figure
311 S1), July features a higher frequency and a northward shift in the MP track compared to June,
312 which is also consistent with the reanalysis result. However, the simulated 600-hPa ridge over
313 the southcentral U.S. is weaker for both June and July compared to the NARR.

314 The future climate projections reveal marked increases in the MP frequency (Figure 11b)
315 while their differences (future minus historical) outline the most notable increase around the
316 Great Lakes region (Figure 11c). The change in the future convective precipitation is shown in
317 Figure 11d, which also depicts an increase in the similar region. A further diagnosis by plotting
318 the low-level jet (considered positive meridional wind) extension during the two periods of time

319 (contours in Figure 11c and d) suggests that the low-level jet (LLJ) is projected to expand
320 northward reaching the corridor of enhanced MPs. Even though the shift appears small, the close
321 proximity between the northern periphery of the LLJ and the southern extent of the MP corridor
322 means the strength of the coupling between the two phenomena is quite sensitive to even minor
323 displacement. This notion of a strengthened low-level jet echoes the global climate model
324 projection by Cook et al. (2008) and Weaver et al. (2009), as well as the observed historical
325 intensification by Barandiaran et al. (2013). Individual model simulations of these features are
326 shown in the supplemental Figure S1. Combined, the projection that the enhanced MP activity
327 may interact with the strengthened low-level jet helps explain the projected increase – more than
328 doubling – of the summertime convective rainfall across the Ohio River Valley and Mid-Atlantic
329 U.S.

330

331 **5. Concluding Remarks**

332 Existing global climate models and regional climate models operate at coarser horizontal and
333 vertical resolutions and currently cannot resolve deep convection accurately through convection
334 parameterization schemes (Prein et al. 2015). However, even high-resolution convection-
335 permitting models used in dynamical downscaling also underestimated MCSs that form within
336 the background mid-level NWF (Prein et al. 2017). Climate models also have a difficulty
337 producing weakly-forced storms and any resultant extreme precipitation and this drawback may
338 affect how the models project future climate extremes. To understand the extent to which
339 devastating floods like the one in West Virginia, a NWF type of storm, will occur relies on how
340 well climate models depict the NWF synoptic settings and embedded MPs. The present study of
341 the MP climatology has developed metrics that can identify and evaluate NWF severe weather

342 outbreaks in the large-ensemble, multi-model projections. The developed metrics is tested for
343 two summer months (June and July), utilizing both the reanalysis and regional downscaling
344 datasets to evaluate the model depiction and projections for future extreme weather climatology
345 associated with the NWF environment over the northern part of the U.S.

346 The following observations were made from this study:

347 1) Convective outbreaks associated with NWF events are occurring more frequently in
348 recent years, mainly after 1980, suggesting that the global climate change is potentially
349 impacting the MP frequency and intensity and associated extreme weather.

350 2) The Gulf of Mexico SST and mid-level high pressure over the central U.S. show strong
351 correlation with MPs and both of these regional features have intensified, as well.

352 3) Regional climate models with a 50-km spatial resolution do capture the NWF synoptic
353 setting and subsequently, the MP location and frequency. The MP climatology from
354 historical climate simulations shows a good agreement with the observation-based
355 reanalysis data.

356 4) Future climate simulations reveal that both the MP frequency and associated convective
357 precipitation will increase during June and July over the MP corridor.

358 Future work should focus on examining the extent to which higher-resolution models, preferably
359 at the convection permitting resolution (3 to 4 km), depict and project the weakly-forced/NWF
360 type of summer convective storms for North America. Future work will be extended the analysis
361 period over the full summer months (May-Sep) utilizing other reanalysis data and climate data to
362 find the MP climatology, trend and variability.

363

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488

489 Table 1: Regional climate models and forcing data used in this study. Two historical runs are
490 considered; one is driven by global model and other by reanalysis data.

Regional climate model	Forcing data		Historical run		Future run
	global model	reanalysis	global model-driven	reanalysis-driven	
CRCM	CCSM3 and CGCM3	NCEP	1968-1999	1980-2003	2038-2069
MM5I	CCSM3* and HadCM3	NCEP	1968-1999	1980-2003	2038-2069
WRFG	CCSM3 and CGCM3	NCEP	1968-1999	1980-2003	2038-2069

491 *the MM5I-CCSM3 has the data from 1968-1998 only for historical run.

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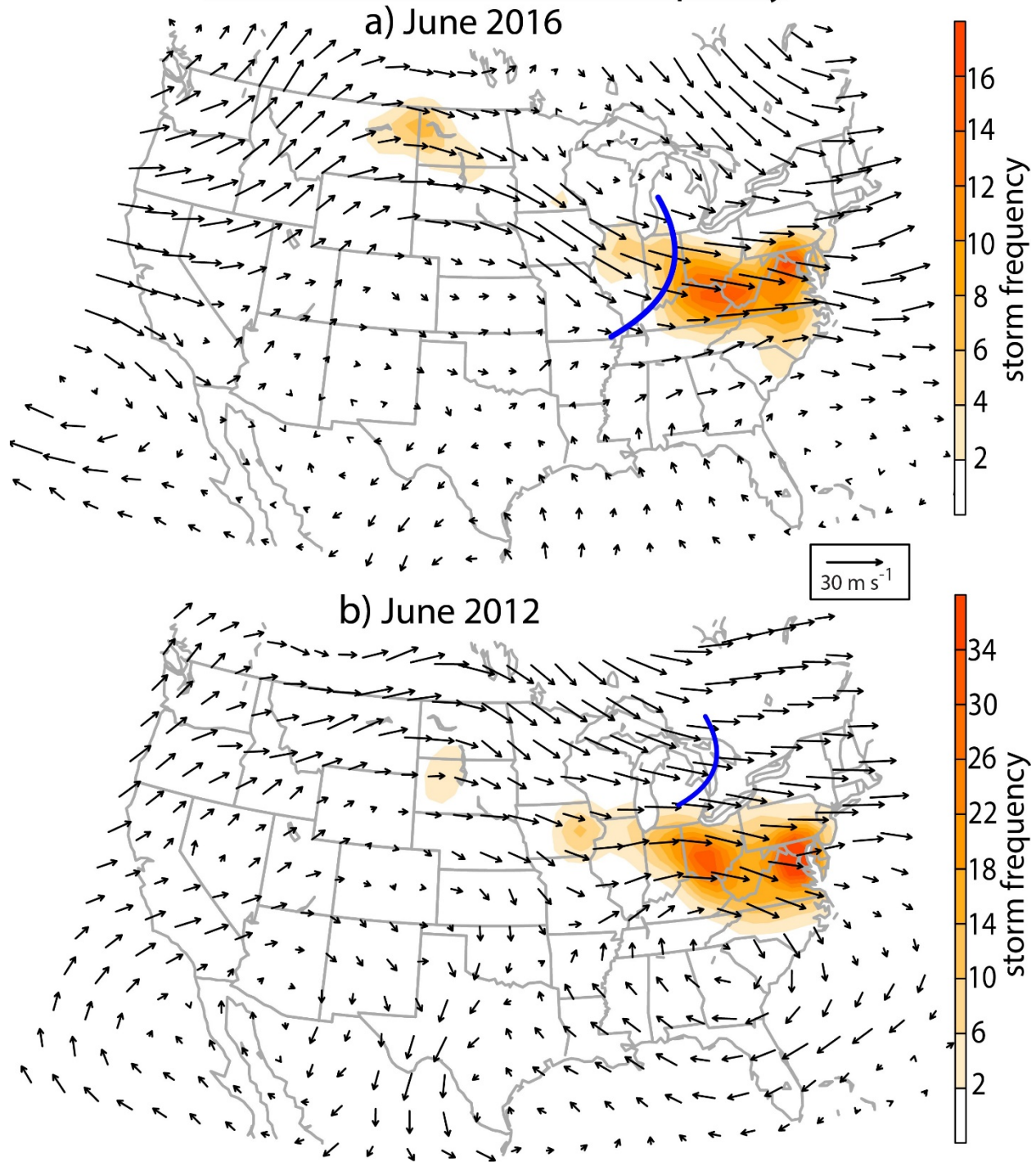
494 Table 2: List of acronyms used in the manuscript

Abbreviation	Full name
MP	Mid-tropospheric perturbation
MCS	Mesoscale Convective System
NARR	North American Regionals Reanalysis
NARCCAP	North American Climate Change Assessment Program
WCM	Warning Coordination Meteorologist
SPC	Storm Prediction Center
NWF	North Westerly Flow
EOF	Empirical Orthogonal Function
PC	Principal Component
SST	Sea Surface Temperature
ENSO	El Niño–Southern Oscillation

PDO	Pacific Decadal Oscillation
NAO	North Atlantic Oscillation
AO	Arctic Oscillation
PNA	Pacific North America
AMO	Atlantic Multidecadal Oscillation

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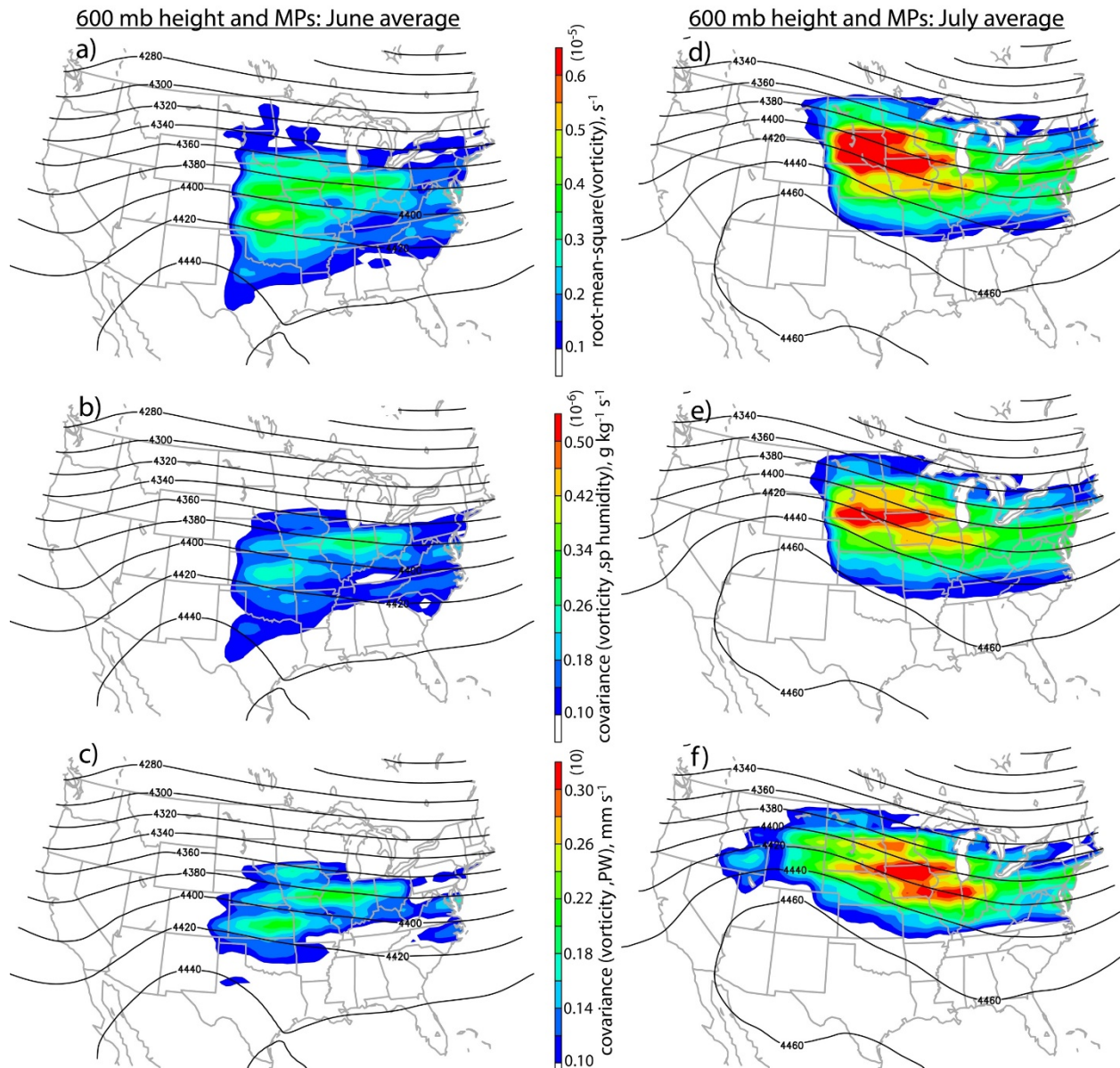
600 mb wind and storm frequency



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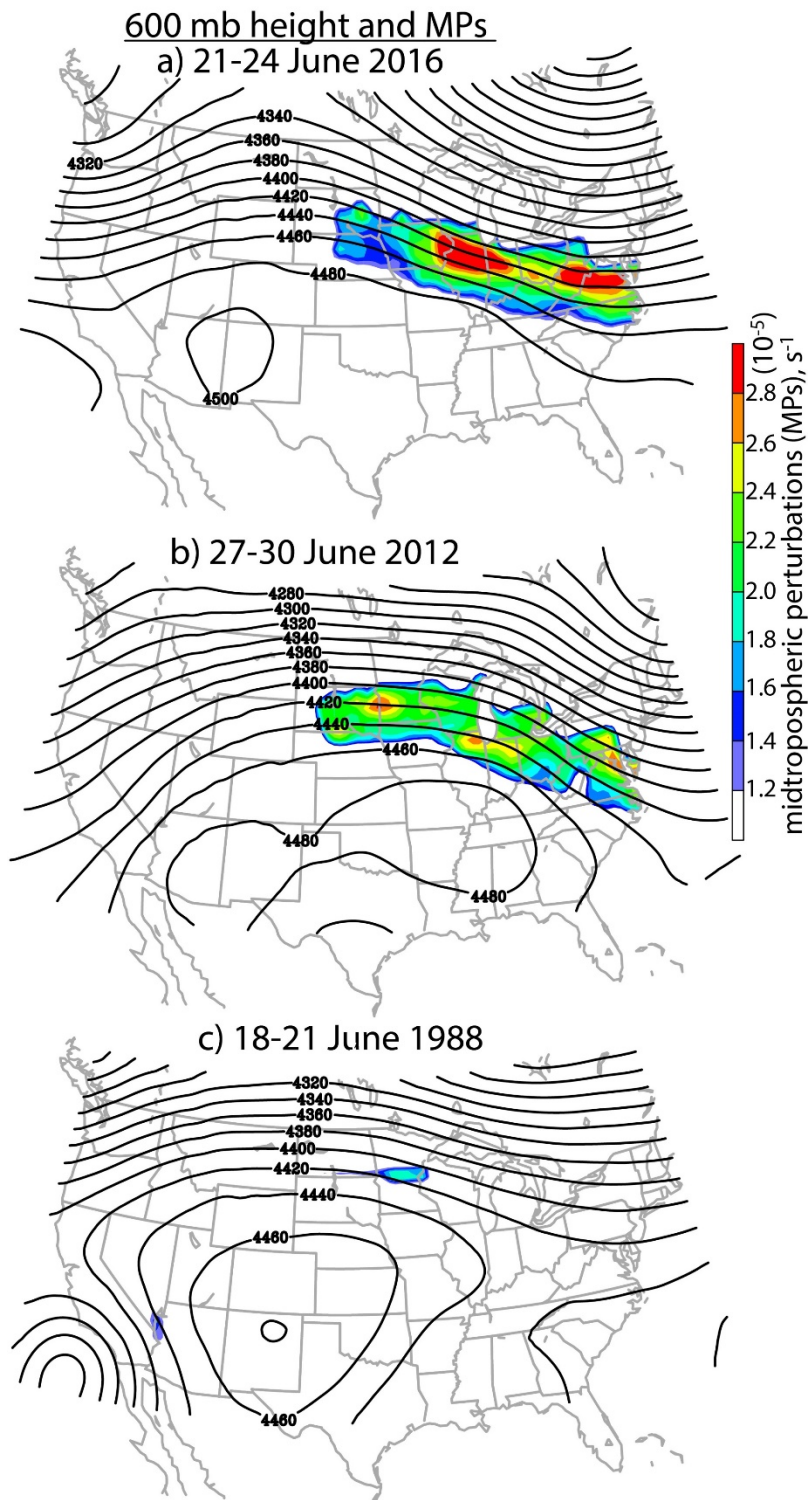
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500 **Fig. 1** Wind vectors at 600 mb and storm (wind and hail) frequency (shaded) from storm reports.
501 a) Wind vectors from 18Z on 23 June 2016 and total storm reports for 21-24 June 2016. b) Wind
502 vectors from 03Z on 30 June 2012 and total storm reports for 28-30 June 2012. Blue curve in
503 both panels shows the location of the shortwave trough.



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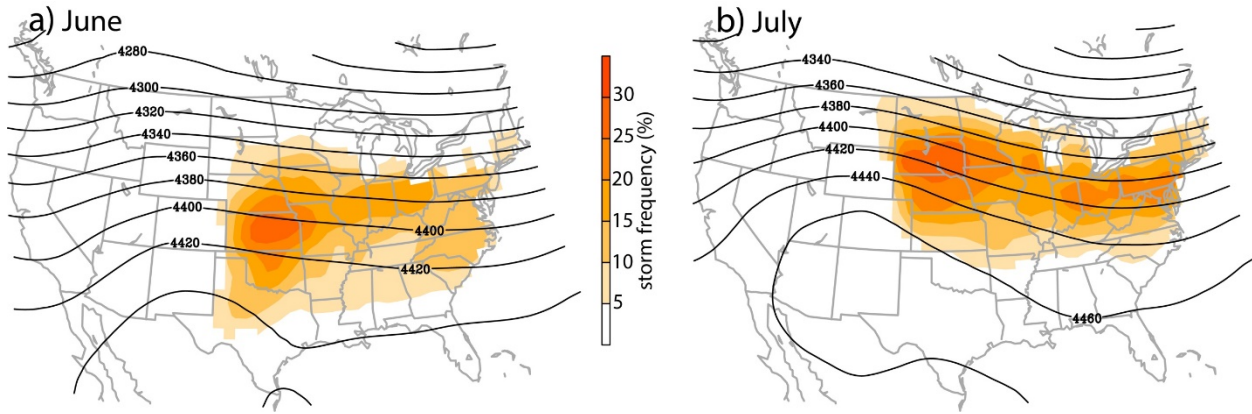
506 **Fig. 2** Climatology (1985-2016) of MPs based on three different methods (defined in text) for
 507 June (left panels) and for July (right panels). Color shaded in a) and d) are the root-mean-square
 508 of 600-hPa vorticity, b) and e) are the covariance of 600-hPa vorticity and 300-hPa specific
 509 humidity, and c) and f) are the covariance of 600-hPa vorticity and vertically integrated
 510 precipitable water. Contours in all panel are the average 600-hPa height.



511
 512 **Fig. 3** Height contours at 600 mb and MPs (shaded) averaged for a) 21-24 June 2016, b) 27-30
 513 June 2012, and c) 18-21 June 1988.

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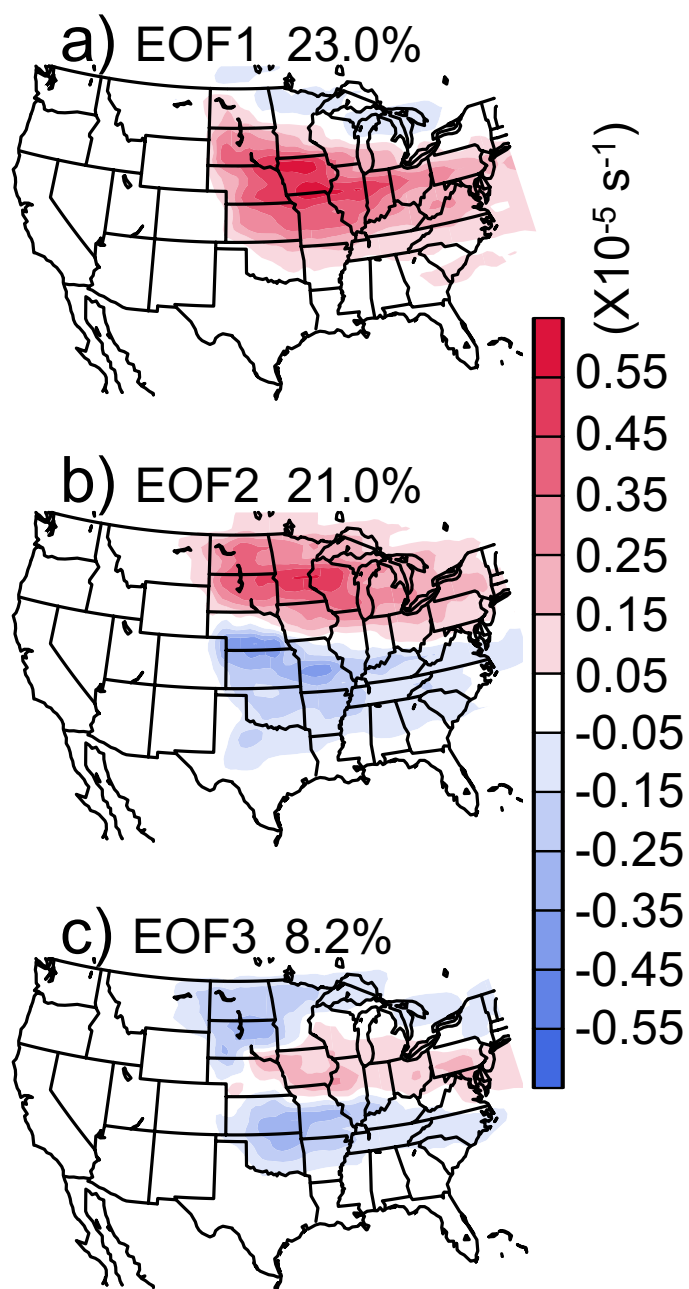


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517 **Fig. 4** Climatology (1985-2016) of storm frequency with 600-hPa height contours. Left panel
 518 shows the average for June and right panel shows the average for July.

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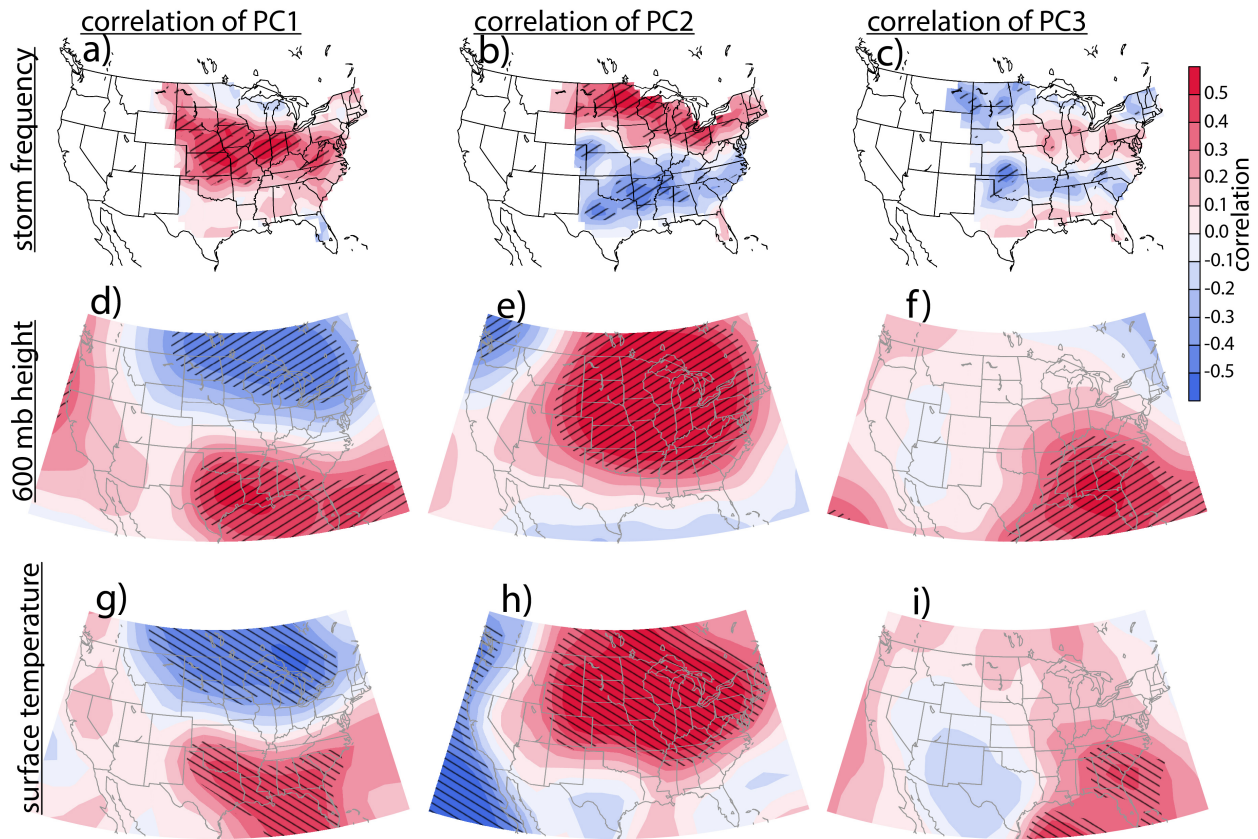


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522 **Fig. 5** Three modes of Empirical Orthogonal Functions (EOFs) of MPs averaged for June and
 523 July from 1985 to 2016. a) EOF1, b) EOF2, and c) EOF3 with percentage of variance.

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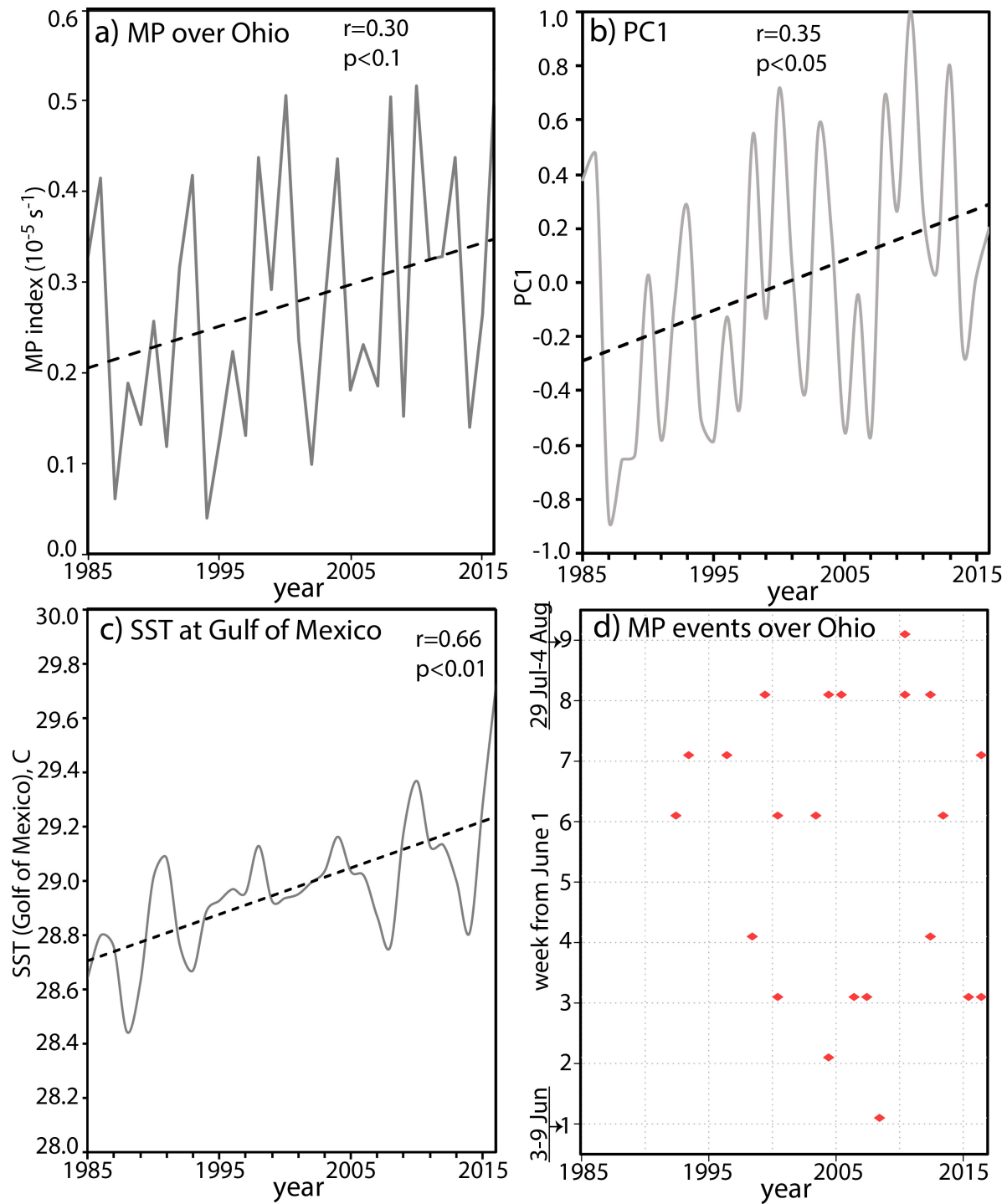
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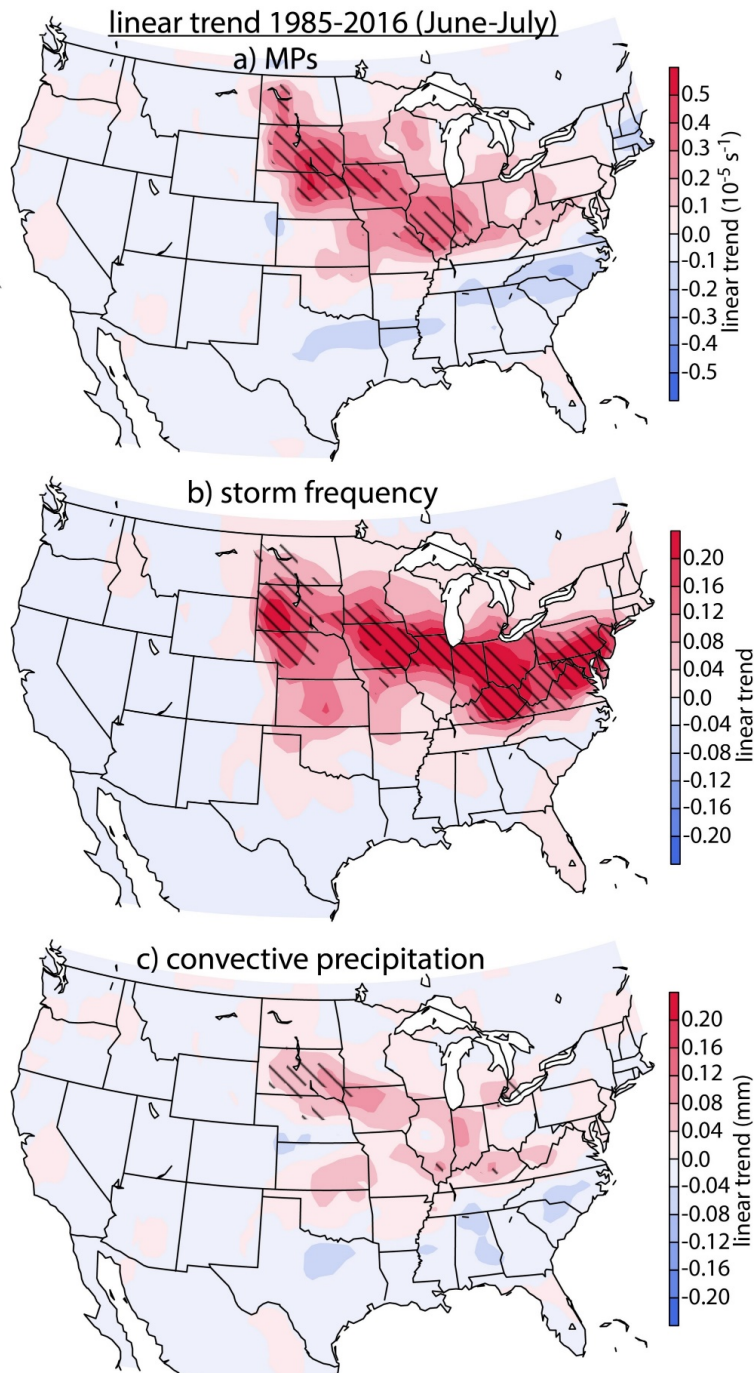
527 **Fig. 6** Temporal correlation of PC1 (left panel), PC2 (middle panel) and PC3 (right panel) with
 528 storm frequency (a, b and c), 600-hPa geopotential height (d, e and f), and surface (2m)
 529 temperature (f, h, and i), respectively. Hatched areas indicate significant values with $p < 0.05$.

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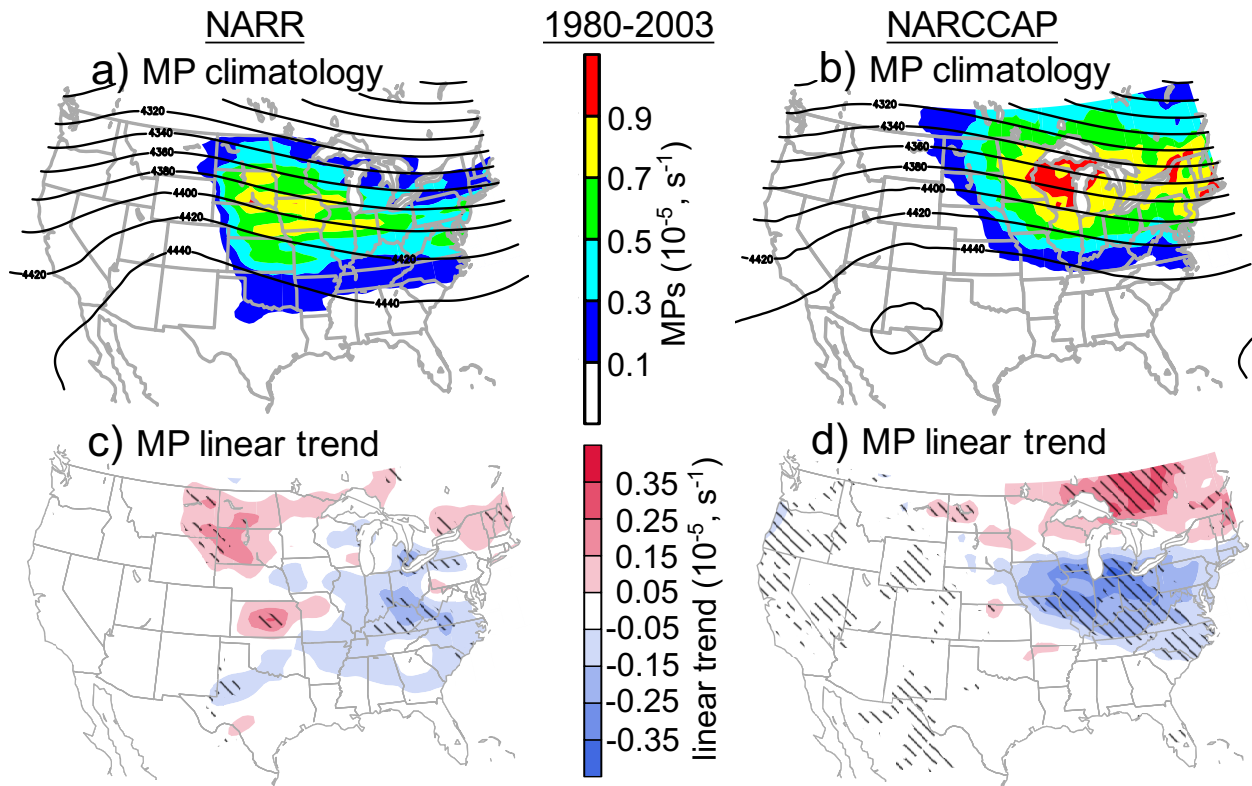
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532 **Fig. 7** Gray line shows the timeseries of a) MP over Ohio (within 6X6 deg box centered over
 533 Ohio), b) PC1, and c) sea surface temperature (SST) from NOAA extended reconstructed V4 at
 534 Gulf of Mexico from 1985 to 2016. All three variables in a), b) and c) are averaged for June and
 535 July and the dashed line is the trend line and correlation coefficient (r) and p -value are given for
 536 each plot. Lower right panel d) shows the distribution of MP events over the Ohio region for
 537 same period. The MP activity is considered when the weekly average MP over Ohio region is
 538 larger than 10^{-5} s^{-1} .



539

540 **Fig. 8** Linear trend (slope times number of years) of a) MPs, b) storm frequency, and c)
 541 convective precipitation averaged for June and July from 1985 to 2016. Hatched areas indicate
 542 significant values with $p < 0.05$.



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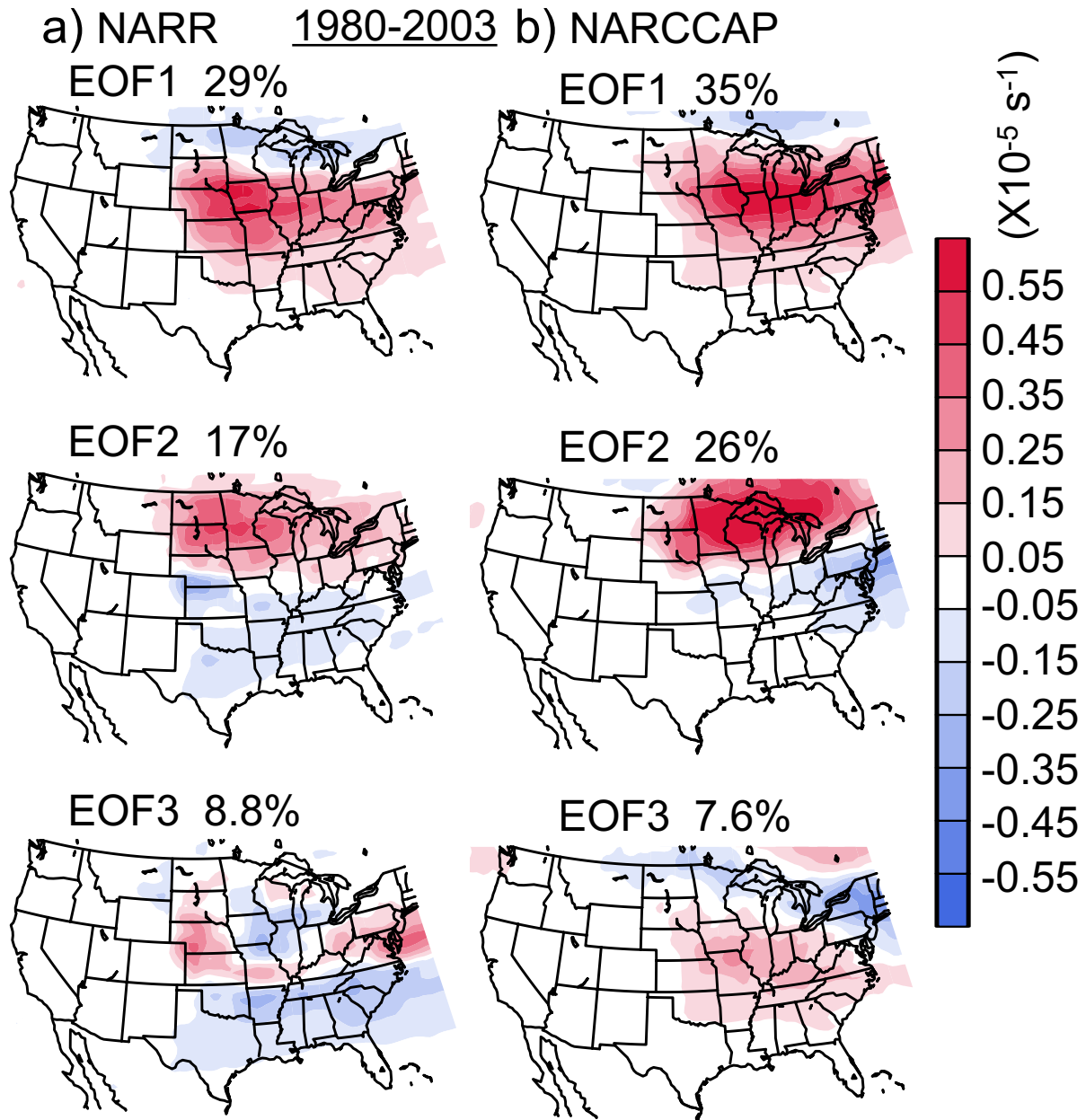
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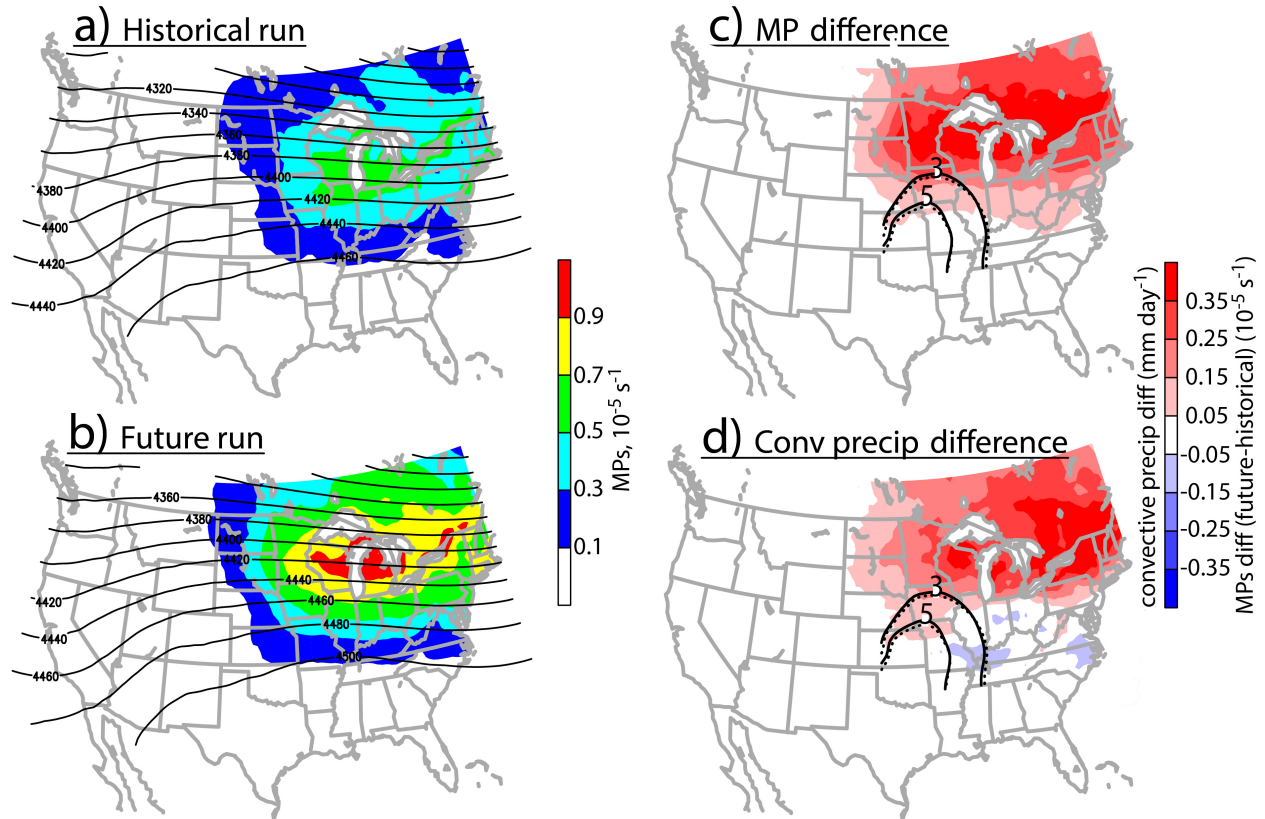
Fig. 9: Average MPs (color filled) and 600-hPa geopotential height (contours) for two months (June and July) a) from NARR data and b) from three historical simulations of NARCCAP data (CRCM, WRF, and MM5I) forced by NCEP (reanalysis-driven) considering 24 years (1980-2003). Linear trend of average MPs for June and July for the same 24 years period c) from NARR data, and d) from three simulations of reanalysis-driven NARCCAP data. Hatched lines in c) and d) are the significant values with $p < 0.1$.



550

551 **Fig. 10:** Three modes of Empirical Orthogonal Functions (EOFs) of MPs averaged for June and
 552 July from 1980 to 2003 with percentage of variance from a) from NARR data, and b) from three
 553 historical simulations of NARCCAP data (CRCM, WRF, and MM5) forced by NCEP
 554 (reanalysis-driven).

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556

557 **Fig. 11** Average MPs (color filled) and 600-hPa geopotential height (contours) from six model
 558 ensembles of NARCCAP data for two months (June and July). a) Historical run from 1969 to
 559 1999, b) future run from 2038 to 2066, and c) difference between future and historical runs of
 560 MPs. d) shows the convective precipitation difference between future and historical runs and
 561 contours are the positive V-wind at 925 hPa to depict the low-level jet from the historical run
 562 (dotted lines) and future run (solid lines) in c) and d). The convective precipitation is considered
 563 only from the MP cases. The six simulations used here are CRCM3-CCSM3, CRCM3-CGCM3,
 564 MM5I-CCSM3, MM5I-HadCM3, WRFG-CCSM3, and WRFG-CGCM3 from the NARCCAP
 565 regional climate modeling.

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