

1 **Waterfall formation at a desert river-reservoir delta isolates endangered fishes**

2 Running head: Waterfall formation isolates endangered fishes

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41 **Abstract** - Unforeseen interactions of dams and declining water availability have formed new
42 obstacles to recovering endemic and endangered big-river fishes. During a recent trend of drying
43 climate and declining reservoir water levels in the southwestern United States, a large waterfall
44 has formed on two separate occasions (1989-1995 & 2001-present) in the transition zone
45 between the San Juan River and Lake Powell reservoir because of deposited sediments. Because
46 recovery plans for two large-bodied endangered fish species, razorback sucker (*Xyrauchen*
47 *texanus*) and Colorado pikeminnow (*Ptychocheilus lucius*), include annual stockings in the San
48 Juan River, this waterfall potentially blocks upstream movement of individuals that moved
49 downstream from the river into the reservoir. To quantify the temporal variation in abundance of
50 endangered fishes aggregating downstream of the waterfall and determine population
51 demographics, we remotely monitored and sampled in spring 2015, 2016, and 2017 when these
52 fish were thought to move upstream to spawn. Additionally, we used an open population model
53 applied to tagged fish detected in 2017 to estimate population sizes. Colorado pikeminnow were
54 so infrequently encountered (< 30 individuals) that population estimates were not performed.
55 Razorback sucker captures from sampling (335) and detections from remote monitoring (943)
56 showed high abundance across all three years. The razorback sucker population estimate for
57 2017 alone was 755 individuals and, relative to recent population estimates ranging from ~2000
58 to ~4000 individuals, suggests a substantial population exists seasonally downstream of this
59 barrier. Barriers to fish movement in rivers above reservoirs are not unique, thus the formation of
60 this waterfall exemplifies how water development and hydrology can interact to cause
61 unforeseen changes to a riverscape.

62

63 **Keywords:** fragmentation, waterfall, endangered species, Colorado River Basin, river-reservoir
64 inflow, razorback sucker, climate change

65 **Introduction**

66 Connectivity of freshwater systems and conservation of freshwater animals is challenged
67 worldwide by increasing drought and pervasive water development, often in the form of large
68 dams and excessive water use (Ruhi et al. 2016). Dams and reservoirs disrupt the continuity of
69 rivers (Stanford & Ward 2001) where they create abrupt shifts in physical and biological
70 properties at the junctions of rivers and reservoirs (Galay 1983; Poff et al. 1997; Sabo et al.
71 2012). Once impaired, fragmented rivers often experience declines or extinctions of fishes
72 disconnected from habitats necessary for the fulfillment of life histories (Minckley & Deacon
73 1991; Moyle 1995). Ultimately, these disconnections and alterations have contributed to the
74 listing of many fishes or populations under the Endangered Species Act, including a high
75 percentage of native fishes from the Colorado River Basin (Minckley & Deacon 1991;
76 Osmundson 2011). Despite the intrinsic value of native fish and cost of recovery, conservation
77 programs must often consider barriers (especially dams or diversions) as permanent structures to
78 the landscape because of their economic value and importance to water security (Propst & Gido
79 2004; Coutant & Whitney 2006; Lackey 2013).

80 Research perspectives have primarily focused on downstream effects of dams, with
81 limited attention paid to changes occurring upstream of impoundments in both fish populations
82 and stream function (Falke & Gido 2006). Inundated lotic habitat upstream of dams can reduce
83 habitat availability, restrict migration, and diminish population viability for riverine species
84 (Osmundson 2011; Hudman & Gido 2013). An upstream perspective may be particularly useful
85 to understand the importance of the river-reservoir interface for both lentic and lotic adapted
86 species (Minckley & Deacon 1991; Stanford & Ward 2001; Birnie-Gauvin et al. 2017). In
87 addition, dynamic reservoir volume alters geomorphological processes structuring delta
88 formation and location (Galay 1983; Stevens et al. 2001; Johnson 2002). Specifically, as
89 reservoir levels recede from decreasing basin water availability or seasonal dam operations,
90 vegetation sequesters sediments in the inflow area (raising elevation of the river channel)
91 slowing inflow and depositing sediment on higher surfaces (Pasternack & Brush 1998; Johnson
92 2002). In the Colorado River Basin, receding reservoir levels have exposed river-reservoir deltas,
93 altering river channels in alluvial sediments.

94 Lake Powell, created in 1963, is the second largest reservoir in the US, covering 400-660
95 km² (1.5-3.0 million hectare meters of storage) and includes the historical confluence of the San
96 Juan and Colorado rivers (Figure 1). Combined sediment deposition and water level declines in
97 Lake Powell have resulted in a geomorphic barrier at the San Juan River inflow to Lake Powell,
98 Utah between 1989 and the present. Lake Powell reservoir experienced dynamic inflows since
99 reaching capacity in 1980, which subsequently led to delta formation and the eventual emergence
100 of waterfall barriers on the San Juan River (Figure 2). These barriers to fish movement, which
101 first appeared as late as 1989, were described by Ryden and Ahlm (1996) as being > 10 m tall
102 depending on river flows. The reservoir then experienced a period of greater storage from higher
103 inflows throughout the mid-1990s, inundating the waterfall by 1995. After further water level

104 recession in the late 1990s, the river channel again shifted through the newly formed delta and a
105 new waterfall formed in 2001 approximately 3 km downstream from the prior waterfall (Figure
106 3). This process, referred to as superimposition, involves the river cutting through new deposited
107 sediments as reservoir levels recede, thus creating a new channel. The current waterfall is > 6 m
108 tall and is a complete barrier to upstream fish movement in an area referred to as Piute Farms,
109 UT (Figure 4). Since emerging in 2001, the current waterfall has only been inundated (thus
110 passable) once, in 2011 for two weeks in late-July and mid-August (Durst & Francis 2016).

111 Two intensively managed endangered species are likely affected by the emergent
112 waterfall. Colorado pikeminnow (*Ptychocheilus lucius*) and razorback sucker (*Xyrauchen*
113 *texanus*) are large-bodied (> 1 m long), long lived (> 30 years old), highly fecund (mature
114 individuals regularly have > 60,000 eggs), and migratory fishes endemic to large river habitats in
115 the Colorado River Basin that typically spawn in late-spring to mid-summer after snowmelt
116 runoff (Hamman 1985; Hamman 1986). Colorado pikeminnow have a non-augmented wild
117 population in the Upper Colorado River and a stocked population in the San Juan River and are
118 highly migratory in both systems (Osmundson 2011; Durst & Franssen 2014). Besides rivers,
119 razorback sucker inhabit (and spawn in) all major Colorado River Basin reservoirs (Mead,
120 Mohave, Havasu, and Powell). Razorback sucker often spawn on the ascending limb of the
121 hydrograph from mid-March through June at water temperatures between 9-17°C (Tyus & Karp
122 1990). Successful recruitment to adulthood has only been documented in Lake Mead and we do
123 not understand how reservoir-dwelling razorback sucker life histories may interact with
124 inflowing rivers (Albrecht et al. 2010; Marsh et al. 2015; Albrecht et al. 2017). Lake Powell is
125 both a movement corridor connecting the upper Colorado River and San Juan River basins and a
126 habitat for razorback sucker that are known to make substantial downstream movements after
127 stocking or during larval drift (Zelasko et al. 2010; Durst & Francis 2016; Albrecht et al. 2017).
128 Current management for both species involves stocking (Zelasko et al. 2010), mimicking natural
129 flow regimes (Propst & Gido 2004), and removing nonnative fishes (Franssen et al. 2014).

130 Over 140,000 razorback sucker and over 50,000 Colorado pikeminnow have been
131 implanted with passive integrated transponder (PIT) tags in the San Juan River basin during
132 stocking or on-river tagging events between 2000 and 2017 (Figure 1). In the Upper Colorado
133 River Basin upstream of Lake Powell (e.g., Colorado, Green, and Gunnison rivers), ~424,000
134 razorback sucker and ~50,000 Colorado pikeminnow have been PIT tagged and could travel
135 through the reservoir to the waterfall. With few exceptions, razorback sucker are stocked in these
136 rivers with a PIT tag at ~ 300 mm TL. Colorado pikeminnow are stocked in the San Juan River
137 as juveniles (<100 mm TL) and are PIT-tagged at first capture. Intense sampling of tagged
138 endangered fishes in the San Juan River upstream of the waterfall within and across years has
139 allowed population estimates of endangered fishes in the river (USFWS 2017) but does not
140 account for fishes that move downstream to the reservoir. Our main objectives were to measure
141 sex-ratios, quantify temporal patterns of abundance, and estimate population sizes of Colorado
142 pikeminnow and razorback sucker downstream of the waterfall. This research shows how

143 unforeseen fragmentation alters endangered fish population connectivity and, ultimately, their
144 recovery.

145 **Methods**

146 *Fish sampling*

147 Because of limited historical sampling downstream of the Piute Farms waterfall, we
148 performed pilot sampling in 2015 to assess the occurrence of endangered fishes. After
149 confirming the presence of endangered fish, more rigorous sampling in the localized area (0-500
150 m downstream of the waterfall) was conducted during spring of 2016 (March and April) and
151 2017 (February and March) with raft-mounted electrofishing. Amount of habitat and sampling
152 effort (two 15 minute “passes”) were similar across days, although total days sampled varied
153 across years (6-13 d). Endangered species were identified, measured for total length (TL), and
154 sexed when possible through observation of sexually dimorphic traits (i.e., gamete expression,
155 tubercle presence, razorback sucker anal fin shape) and were scanned with a PIT tag reader for
156 the presence of prior tags. If a tag was absent, we implanted the fish with a PIT tag (Biomark,
157 Boise, Idaho, 12-mm full-duplex, 134.2 kHz). All individual fish captured in 2015, 2016, and a
158 subset in 2017 were translocated upstream of the waterfall barrier as a conservation action to
159 assist migration and promote spawning.

160 *Temporal variation in abundance*

161 To detect PIT tagged fishes, we deployed a circular (1 m diameter) submersible PIT tag
162 antenna (Biomark, Boise, Idaho) from March 21 through July 6, 2015 (107 d), March 2 through
163 April 7, 2016 (36 d), and Feb 12 to Jun 3, 2017 (111 d). The antenna was deployed in an eddy
164 approximately 10 m downstream of the waterfall on the right bank, over sand and bedrock
165 substrates in water depths from 70 to 160 cm. The antenna typically detected tags within 0.5 m.
166 Detected individuals were identified by relating them to a PIT tag database compiled by the San
167 Juan River and Upper Colorado River recovery programs (STReaMS 2017).

168 To illustrate environmental cues commonly correlated with fish spawning migrations, we
169 show the relationship of tag detections with mean daily discharge ($\text{m}^3 \text{s}^{-1}$) and mean daily water
170 temperature ($^{\circ}\text{C}$) from the USGS gauge near Bluff, UT (gauge number 9375000), approximately
171 85 km upstream from the waterfall.

172 *Population size estimates*

173 Extremely low detections of Colorado pikeminnow downstream of the waterfall
174 prevented their population estimation but we estimated population size of razorback suckers in
175 2017. Capture data from 2015 was inadequate to estimate population size and the sampling
176 period changed between 2016 and 2017; it was 02 March-07 April in 2016 and 12 February-03
177 June in 2017. We lengthened the sampling period (physical capture plus antenna resight period)

178 in 2017 to increase sample sizes; sampling period was 3.6 months in 2017 compared to 1.2
179 months in 2016. The longer sampling period yielded a greater number of unique fish captured,
180 which was 32% higher for 2017 compared to 2016. Thus, we only estimated population size for
181 2017 as it reasonably encompassed an entire spawning season and had adequate sample size.
182 Translocated fish were not used in the open population size estimates because they could not be
183 recaptured. Due to the long detection period (12 February–03 June), we tested the assumption of
184 population closure for the antenna detection data using Program CloseTest (Stanley & Burnham
185 1999). This test indicated the assumption of closure was not met. Fish were entering and leaving
186 the study area during the detection period; thus, we estimated population size using POPAN
187 (Schwarz & Arnason 1996), an open population model implemented in Program MARK (Cooch
188 and White 2016). POPAN is a Jolly-Seber model and assumes equal catchability (or detection)
189 among individuals, which means we did not expect there to be a behavioral response to being
190 detected by the antenna.

191 For the POPAN model, a previously PIT-tagged fish was considered ‘unmarked’ until it
192 was first detected by the antenna, after which it was considered a marked fish. Marked fish could
193 be detected by the antenna continuously during the sampling period. Based on the proportions of
194 unique fish detected, we grouped the data into 4 periods; 12 February–15 March, 16 March–31
195 March, 01 April–15 April, and 16 April–03 June (the proportions of unique fish detected for
196 each occasion were 0.24, 0.30, 0.23, and 0.23). To account for differences in period length, we
197 used unequal time intervals in Program MARK. The cumulative number of tags detected across
198 the time periods used in the model indicated longer antenna deployment did not result in greater
199 numbers of unique tags detected (Figure S1). We constructed a set of models with capture
200 probability (p), apparent survival probability, which in this situation is the probability of leaving
201 the waterfall area (ϕ), and probability of initial entrance to the waterfall area (p_{ent}) modeled as
202 constant across the 4 sampling periods and variable from period to period. We constructed 8
203 initial models for all possible combinations of these 3 parameters. We used the “gross”
204 population size from POPAN (Schwartz & Arnason 1996), which is the number of PIT tagged
205 razorback sucker using the waterfall area over the entire study period and includes fish who
206 arrived and departed between occasions. We added the count of translocated fish to the model-
207 averaged estimated population size from POPAN to estimate a minimum total population size of
208 razorback sucker using the waterfall area during the sampling period. This estimate allowed for
209 comparison to razorback sucker population size in the San Juan River upstream of the waterfall
210 (USFWS 2017).

211 **Results**

212 *Fish sampling*

213 Below the waterfall, we captured 167 razorback sucker in 2016 and 183 in 2017 (Table
214 1). Razorback sucker ranged from 403 to 618 mm TL with a minimum weight of 550 g and a
215 maximum of 2800 g. In 2016, about 10% of females and 77% of males that were handled were

216 freely expressing gametes. Sampling was performed earlier in 2017 and ripe fish were rare.
217 Twenty-four Colorado pikeminnow were captured, and most were sub-adults except for a 571
218 mm TL fish in 2016.

219 *Temporal variation in abundance*

220 Over three years, we detected 967 unique endangered fish downstream of the waterfall
221 (Table 1). Razorback sucker made up a large proportion (98%) of detected fishes across all
222 years. The majority of detected (and captured) fish were either stocked or tagged in the San Juan
223 River upstream of the waterfall, but several razorback sucker came from the Upper Colorado
224 River Basin (Figure 1), which involves a minimum of 220 km to traverse Lake Powell. The PIT
225 antenna ran continuously during study periods in all three years, except for five days (May 28 to
226 June 3) in 2015 and again in 2017, when ~ 1 m of sediment buried the operating antenna for six
227 consecutive days in late February.

228 Some fish were detected in multiple years for both species. Of razorback sucker detected
229 in 2015, 51% (n = 255) were also detected in 2016 and 64% (n = 302) of fish detected in 2016
230 were then detected in 2017. Eighteen percent (167) of razorback sucker were detected in all three
231 years. Concomitant with their relatively low detection numbers, few Colorado pikeminnow were
232 detected multiple years. One fish was detected in all three years, one fish each was detected in
233 both 2015-2016 and 2016-2017, and a single individual detected in 2015 was detected in 2017.

234 Water temperatures and flows showed similar patterns across all three years. Water
235 temperatures during antenna deployments included observed spawning temperatures for
236 razorback sucker (Figure 5). Generally, patterns of unique daily razorback sucker detections
237 were similar across all three years. Each year, daily detections were variable but higher earlier in
238 the study period and declined over time with increasing water temperature and river discharge.
239 Peak razorback sucker abundances at the waterfall occurred while water temperatures were
240 below 16°C until warming in mid-April when razorback sucker abundance decreased.

241 *Population estimates*

242 In 2017 we captured and/or detected 689 unique individual razorback sucker. Of these,
243 183 were physically captured (27%) and 506 were PIT tagged but only detected by the antenna
244 (73%). Of the 183 fish physically captured, 34 did not have a PIT tag (19%). All physically
245 captured fish were moved upstream of the waterfall area. The eight candidate models estimating
246 razorback sucker population using only fish only detected by the antenna were ranked by
247 Akaike's information criterion. The top POPAN model included $\phi(\cdot) p(t) pent(t)$ and had a
248 model weight (w_i) of 0.81 (Table S1). Detection probabilities were high, ranging from 0.64 to
249 0.91. The model-averaged estimated population size for 2017 was 572 (SE = 11.7, 95% CI =
250 549–595). Adding the minimum count of physically captured fish indicated that at least 755
251 razorback suckers used the waterfall area in 2017.

252 **Discussion**

253 Although we expected to capture endangered fish downstream of the waterfall based on
254 past occurrence records, the large number of razorback sucker we sampled was surprising and
255 showed that a substantial proportion of the fish stocked in the river moved downstream to the
256 reservoir. Using PIT antennas continuously in a novel, albeit discrete and fine-scaled, location
257 within the Colorado River Basin further illustrated how remote sensing can more accurately
258 measure populations compared to spatially continuous yet temporally discrete active sampling
259 events (Webber and Beers 2014). That these fish migrated back upstream and aggregated below
260 the waterfall in spring enhanced our ability to detect individuals and then accurately represent
261 and estimate the population of razorback suckers here. USFWS (2017) population estimates from
262 112.5 km of the upper river in 2015 ranged from 2296-4073 fish compared to our 2017
263 population estimate of 755 fish. Thus, the proportion of the San Juan River population using
264 habitat downstream of the waterfall was between 19 and 33%. Given that 5,800 adult razorback
265 sucker in the San Juan River are necessary for downlisting them from endangered to threatened,
266 the cumulative populations in habitats upstream and downstream of the waterfall represent
267 significant progress toward reaching that recovery criterion. Barring rare waterfall inundation
268 during high river flow events synchronized with elevated reservoir pool such as late-summer
269 2011 (that wouldn't assist spring spawning migrations anyway), this > 6 m tall waterfall is a
270 barrier to all fishes attempting to swim upstream (see Meixler et al. 2009). Although it seems
271 limited, quantifying spawning habitat (i.e., confluences of washes, areas with coarse substrates)
272 in the ~25 km reach between Lake Powell and the waterfall would be a considerable first step
273 toward understanding the potential of this river-reservoir transition area to support the life
274 history of razorback sucker isolated from the upper San Juan River.

275 While the waterfall certainly impedes connectivity of adult fishes, recruitment of early
276 life stages upstream of the waterfall could also be compromised by this fragmentation. The
277 abundance of mature, gamete-spewing razorback sucker repeatedly detected and captured
278 coincident with observed spawning temperatures implies the waterfall blocks annual spawning
279 migrations into the upper San Juan River. Historical and contemporary monitoring indicates the
280 presence of young-of-the-year (larval and transformed juvenile) razorback sucker and Colorado
281 pikeminnow just upstream of the waterfall as well as in the inflow area where the San Juan River
282 transitions into Lake Powell (Platania et al. 1991; Pennock unpublished data). Larval fish could
283 accumulate in the inflow following drift from hatching locations upstream in the San Juan River
284 and over the waterfall. Flow regulation and invasive Russian olive (*Elaeagnus angustifolia*) have
285 channelized the river, thereby reducing larvae-retaining habitats (inundated floodplains and
286 backwaters) and increasing larval drift distance (e.g., Robinson et al. 1998). Generational losses
287 to upstream reaches from isolated downstream populations could also occur when upstream
288 migrations cannot occur to offset larval drift (e.g., Perkin & Gido 2011).

289 Ryden & Ahlm (1996) suggested the first waterfall in the San Juan River disrupted
290 Colorado pikeminnow migrations. Our sampling from late winter to early summer may have

291 missed movements to or over the waterfall that could occur at other times of year. Given their
292 tendency for long-distance migrations as adults (Tyus & McAda 1984), downstream winter
293 migrations as sub-adults (Durst & Franssen 2014), and the fact they are stocked at small sizes
294 without PIT tags, the Piute Farms waterfall presents a major challenge to Colorado pikeminnow
295 recovery in the San Juan River if downstream migrating fish swim too far and become “trapped”
296 below the waterfall.

297 The discontinuity of a desert river caused by an emergent waterfall in a reach between
298 two large dams is likely reconcilable. Connecting habitats through fish passage (including barrier
299 removal, bypass, or capture and translocate) could allow hundreds of endangered fish to move
300 seasonally (*sensu* Pess et al. 2014). Fish passage systems mitigate barriers to migratory fish if
301 designed correctly, but they can also negatively interact with some species, including suckers, by
302 preventing or delaying movements (McLaughlin et al. 2013; Hatry et al. 2016). Regardless, total
303 functional connectivity of the river is not necessarily preferred by recovery programs that devote
304 substantial resources to removing nonnative fish that are considered a primary threat to
305 endangered Colorado River Basin fishes (Minckley & Deacon 1991; Franssen et al. 2014). In
306 fact, the Piute Farms waterfall also blocks upstream movement of nonnative predatory fishes
307 such as striped bass (*Morone saxatilis*) and walleye (*Sander vitreus*). Thus, alternative methods
308 (e.g., selective fish passage such as translocation) would maintain downstream isolation of
309 nonnative fishes (Rahel 2013). Lake Powell requires >85% fullness to inundate the waterfall,
310 suggesting this will likely remain a barrier to fish movement for the foreseeable future (Bureau
311 of Reclamation, *unpublished data*). If connectivity is desired, our study pinpoints effective times
312 to manage for passage, especially for razorback sucker.

313 The barrier-forming geomorphological processes described here (and in Ryden & Ahlm
314 1996) are not unique to the San Juan River and are currently creating fragmentation issues
315 upstream of another large southwestern American reservoir. A volatile large rapid formed via
316 interactions of reservoir volume and superimposition processes in the mid-2000s at the Colorado
317 River inflow to Lake Mead where the river exits the Grand Canyon at Pearce Ferry (Martin &
318 Whitis 2013). Formation of this rapid created such a hazard to river runners the National Park
319 Service constructed a multi-million-dollar road and takeout area upstream of the rapid to allow
320 users to exit safely (Video S2). Pearce Ferry Rapid is younger than Piute Farms waterfall but
321 may be approaching a similar result: a barrier to endangered fish movements between Lake
322 Mead and the Grand Canyon. The importance of connectivity between Lake Mead and Grand
323 Canyon to razorback sucker is unknown and should be considered as Pearce Ferry Rapid
324 develops.

325 The effects (and threat) of fragmentation on freshwater fishes are well documented and
326 include community structure changes, population reduction, enhanced negative species
327 interactions, and species extirpation upstream and downstream of barriers (Sanchez et al. 2006;
328 Perkin & Gido 2011; Guy et al. 2015; Gido et al. 2016). Despite the acknowledgment of
329 fragmentation effects in conceptual models of riverine function (e.g., Stanford & Ward 2001)

330 and negative interactions of reservoirs with large river fish recruitment (Guy et al. 2015), current
331 models treat reservoirs separately from the rivers they impound, which could explain the limited
332 number of studies assessing upstream effects of reservoirs. Studies on fish distributions between
333 or within reservoir and riverine habitats treat reservoirs as strictly lentic habitats and often
334 consider these artificial systems as barriers themselves (Taylor et al., 2001; Falke & Gido 2006;
335 Buckmeier et al., 2014). In reality, there is not an abrupt change from riverine to reservoir
336 environments but more gradual change as one moves through the riverine, transition, and
337 lacustrine zones within a reservoir (Thornton et al. 1990). This gradient of ecosystem novelty
338 (e.g., Gandy & Rehage 2017) along the river-reservoir continuum could provide productive
339 habitats (e.g., floodplain connectivity) no longer seen in upstream portions of regulated rivers
340 (Volke et al. 2015) and benefit fish (e.g., razorback sucker) able to utilize the lentic-lotic
341 interface (Gido et al. 2002; Da Silva et al. 2015). However, the consequences of being isolated in
342 these habitats are largely unknown. These contemporary barrier formation events illustrate how
343 fragmentation and isolation can metastasize in alluvial rivers when delta formation processes
344 interact with increased water use, historical fragmentation, and natural topography. Depending
345 on when, where, and what these emergent features can affect (such as fish or public safety),
346 awareness and action can assist resource managers in adapting to them.

347

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522

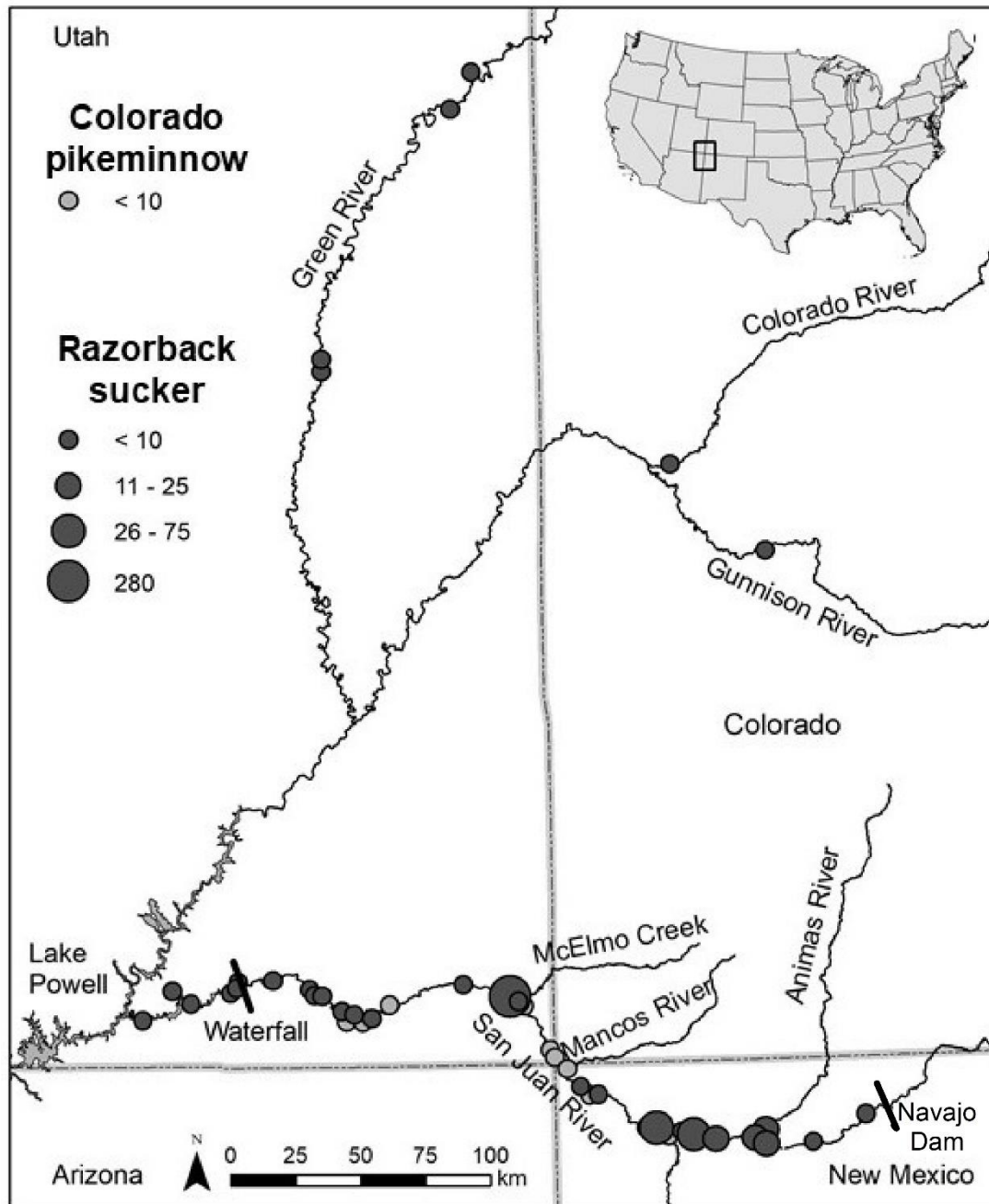
523 Table 1. Number of individual fish detected by a Passive Integrated Transponder antenna or captured during sampling efforts
 524 downstream of a waterfall barrier on the San Juan River, Utah. Since fishes could be both detected and sampled, the “Number unique”
 525 column indicates the total number of unique fishes recorded from all sampling and detection data.

Species	Year	Days detecting	Days sampling	Number detected	Number captured	Number unique	Percent female	Total length (mm) (mean ± SD)	Weight (g) (mean ± SD)
Razorback									
sucker	2015	107	6 ^a	499	16	507	---	---	---
	2016	36	6	472	167	523	53%	483 ± 39	1251 ± 323
	2017	111	13	615	183	689	48%	502 ± 36	1340 ± 348
	<i>Total unique</i>			943	335	1015			
Colorado									
pikeminnow	2015			15	6	19	---	---	---
	2016			8	6	13	---	330 ± 126	418 ± 613
	2017			7	6	13	---	214 ± 95	122 ± 186
	<i>Total unique</i>			24	18	39			

526 ^aNote: Sampling in 2015 was a pilot effort of multiple gears including castnets, gillnets, and beach seines but not raft electrofishing.
 527 Consequently, effort was less intensive compared to 2016 and 2017.

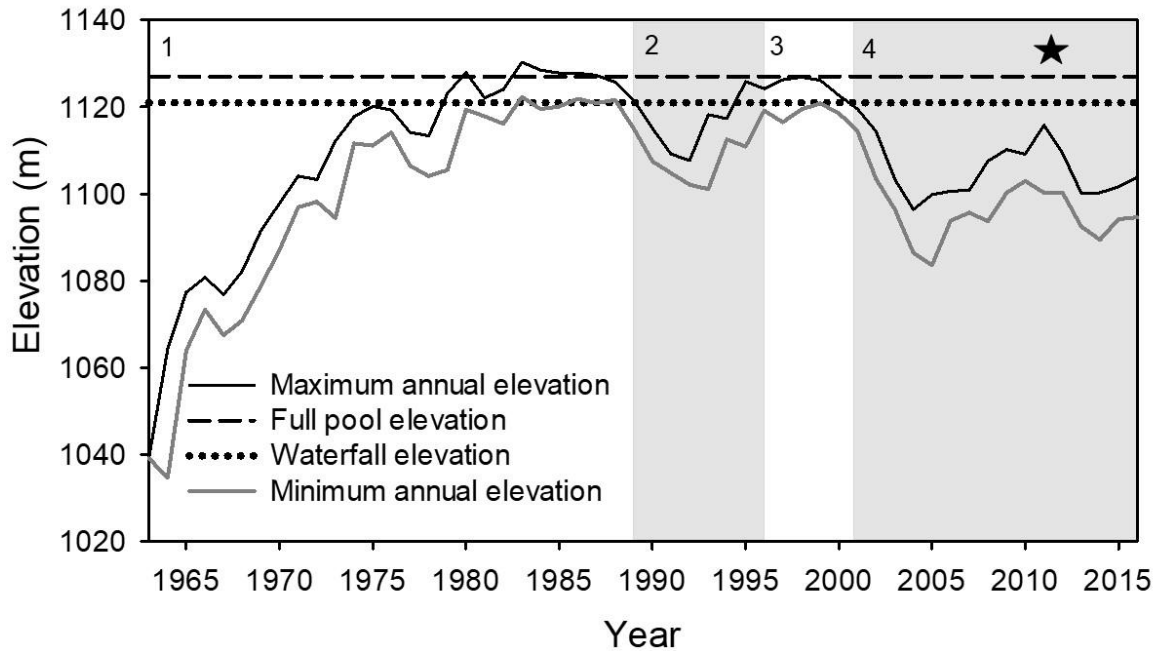
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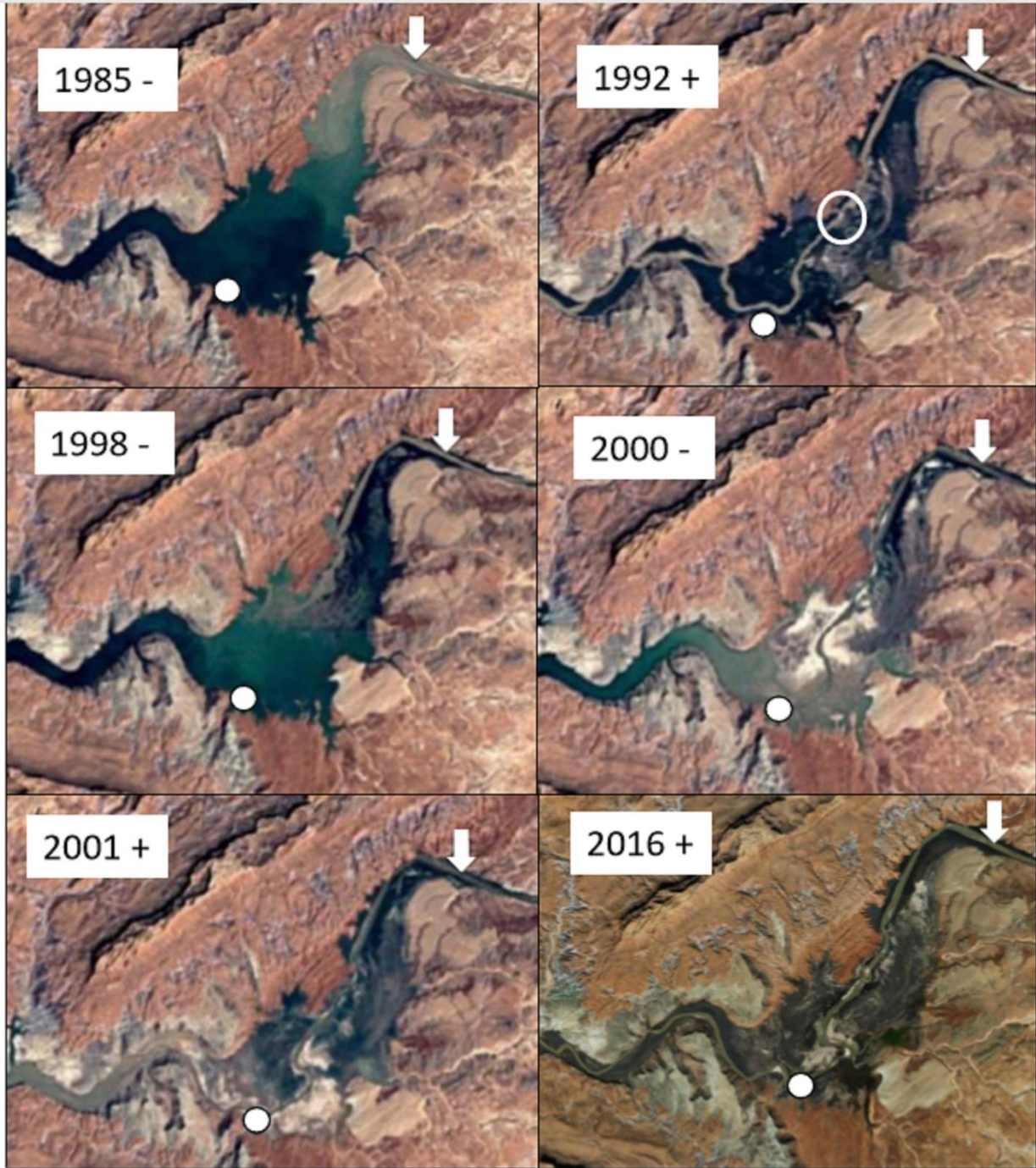
532 Figure 1. Study area showing the stocking or tagging event location and relative abundance of
 533 passive integrated transponder tagged endangered fishes detected or captured downstream of the
 534 waterfall (shown by black line labeled ‘waterfall’) in 2015, 2016, or 2017. Tags were matched to
 535 records in the Species Tagging Research and Monitoring System (STReamS 2017, accessed
 536 7/20/2017, <https://streamsystem.org>). Lake Powell is shown at full pool.



537

538 Figure 2. Lake Powell reservoir surface elevation metrics (maximum and minimum
 539 elevation) and thresholds (full pool and waterfall elevations) since Glen Canyon Dam operations
 540 began. Lake Powell and the San Juan River inflow are characterized by four phases since 1963:
 541 1) filling to capacity, 2) elevation declines leading to emergence of first waterfall, 3) refilling of
 542 reservoir inundating the initial waterfall, and 4) subsequent declines and prolonged water
 543 shortage leading to the current waterfall. The star indicates a two-week period of waterfall
 544 inundation in July-August 2011 that was not captured by mean annual reservoir elevation.
 545 Shaded phases indicate times when the waterfall is present and a barrier to fish passage.

546



547

548 Figure 3. Time series of photos of the San Juan River arm of Lake Powell showing the dynamic
 549 water levels at the inflow area since 1985. The location of the current waterfall, shown in all
 550 photos, is indicated by the white filled circle. The plus and minus signs next to years indicates
 551 the presence (+) or absence (-) of a waterfall, respectively. Open circle in 1992 indicates location
 552 of the first waterfall that existed from the late-1980s to the mid-1990s. Arrow indicates Clay
 553 Hills Crossing, UT.

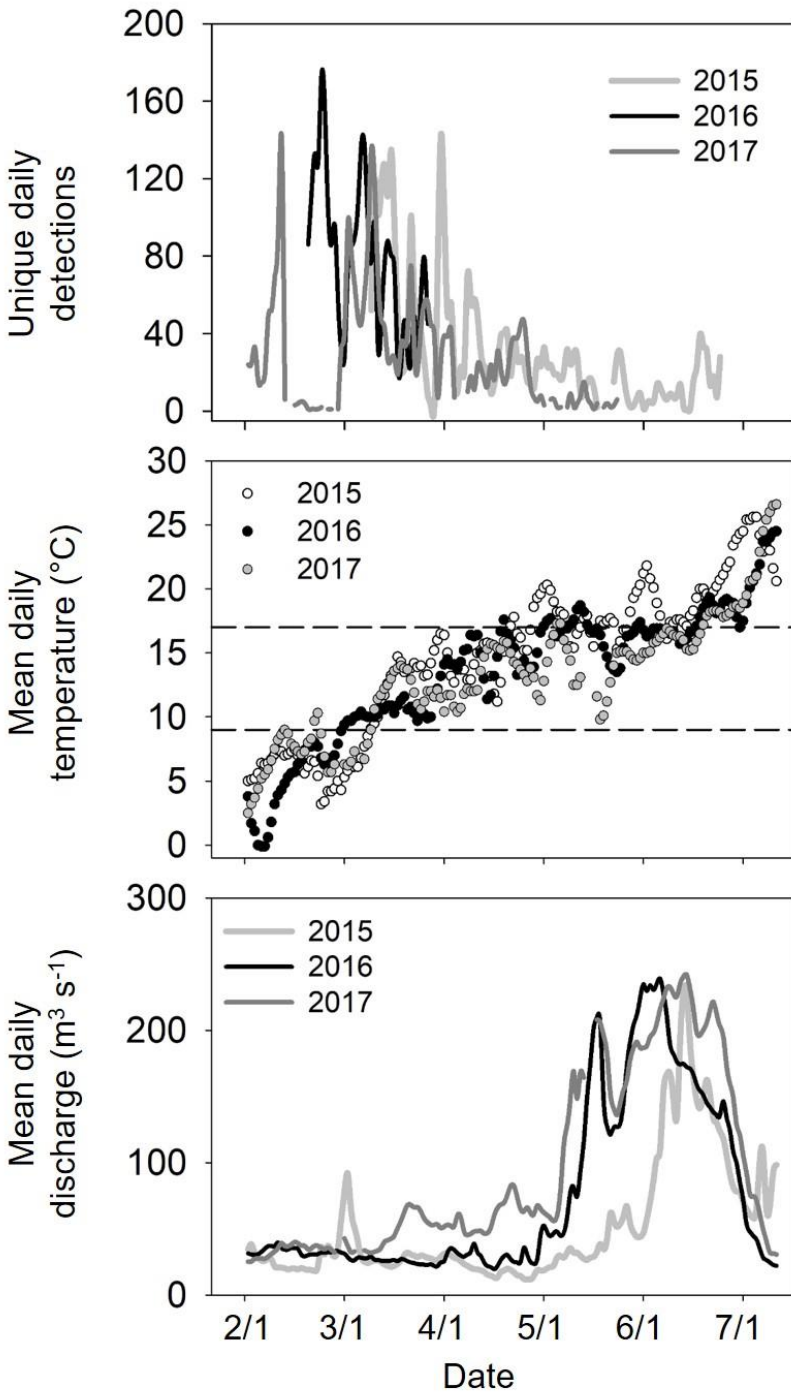


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555 Figure 4. A photo of the Piute Farms waterfall in 2015 looking downstream towards Lake Powell
556 reservoir (~177 km upstream of Glen Canyon Dam).

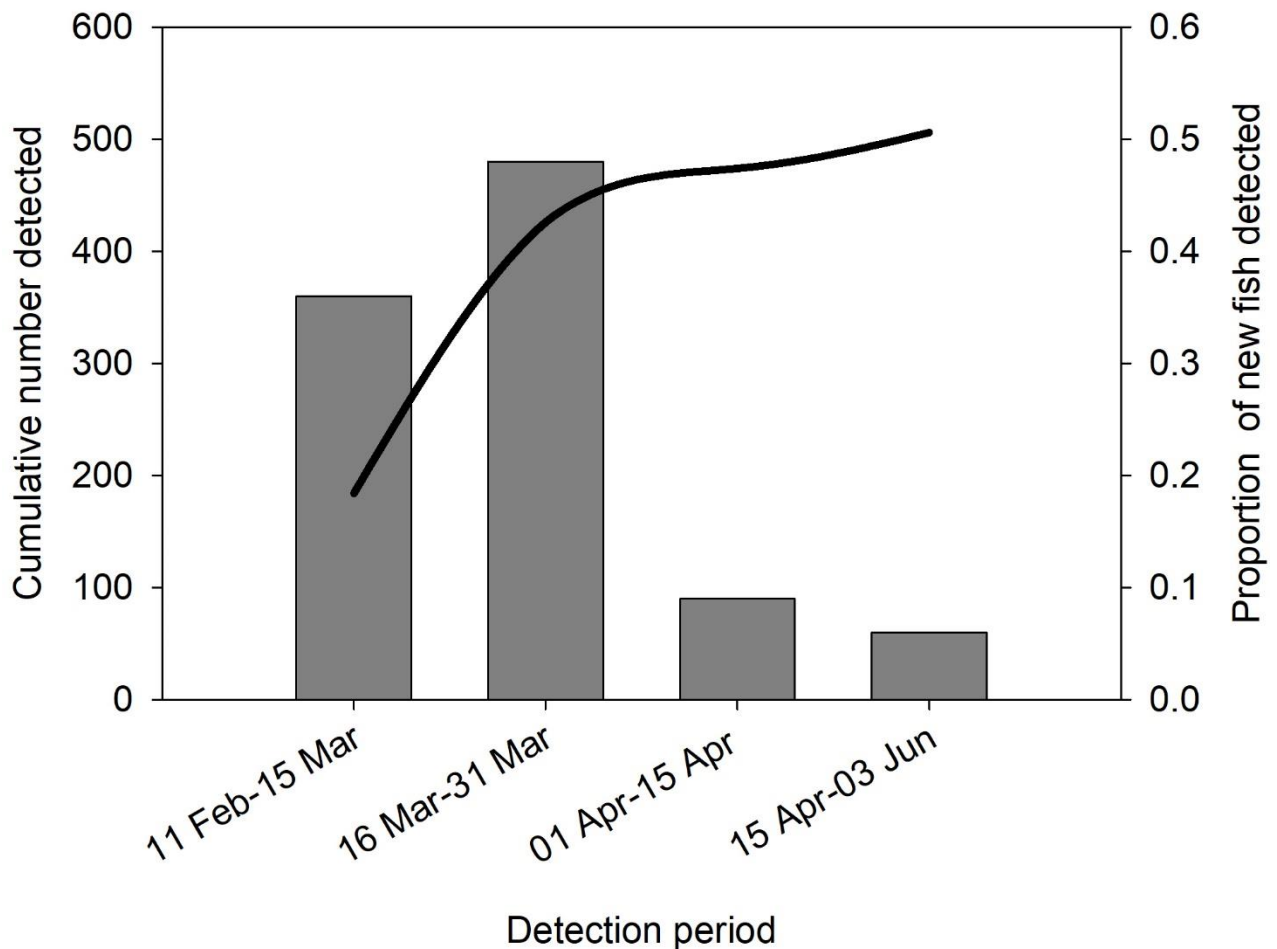
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560 Figure 5. Passive integrated transponder (PIT) tag detections of razorback sucker at a
 561 submersible PIT tag antenna stationed immediately downstream of the Piute Farms waterfall
 562 (top) and coinciding environmental conditions of the San Juan River from 2015, 2016, and 2017.
 563 Dashed lines in the middle panel represent the upper and lower bounds of observed spawning
 564 temperatures for razorback sucker (Tyus and Karp 1990).



565
 566 Supplemental figure 1. Data used to estimate the population size of passive integrated
 567 transponder tagged razorback sucker downstream of the Piute Farms waterfall from February 11
 568 to June 3, 2017. Cumulative number of unique detected razorback sucker (line, left y-axis) over
 569 the four detection periods. Bars (right y-axis) represent the proportion of new fish detected
 570 during each period. From left to right, the corresponding time intervals for each column are 32 d,
 571 15 d, 14 d, and 49 d.

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578 Supplemental Table 1. Full model set for population size estimation using POPAN, an open population
 579 model, for razorback suckers detected by a passive integrated transponder antenna during sampling efforts
 580 downstream of a waterfall barrier on the San Juan River, Utah, 12 February-03 June 2017.

Model ^a	K	AIC _c	ΔAIC _c	w _i	Deviance
$\varphi(\cdot) p(t) p_{ent}(t)$ ^b	9	1393.022	0.000	0.814	-1161.401
$\varphi(t) p(t) p_{ent}(t)$	11	1395.978	2.956	0.186	-1162.525
$\varphi(t) p(\cdot) p_{ent}(t)$	8	1424.293	31.272	0.000	-1128.096
$\varphi(\cdot) p(\cdot) p_{ent}(t)$	6	1431.155	38.134	0.000	-1117.177

581 ^a Key to model notation: K = no. of parameters; AIC_c = Akaike Information Criteria corrected for small
 582 sample size and lack of model fit; ΔAIC_c = difference between the model listed and the AIC_c of the best
 583 model; w_i = model weight based on model AIC_c compared to all other model AIC_c values; · = constant
 584 time; t = sampling occasion; φ = probability of leaving the waterfall population, p = probability of
 585 detection, p_{ent} = probability of entering the waterfall population.

586 ^b Models without time variation in p_{ent} (i.e., p_{ent}(·)) failed to converge.

587