

1 Comparison of Deep Convolutional Neural Networks and Edge Detectors for 2 Image-Based Crack Detection in Concrete

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6 Abstract

7 This paper compares the performance of common edge detectors and deep convolutional neural networks
8 (DCNN) for image-based crack detection in concrete structures. A dataset of 19 high definition images
9 (3420 sub-images, 319 with cracks and 3101 without) of concrete is analyzed using six common edge
10 detection schemes (Roberts, Prewitt, Sobel, Laplacian of Gaussian, Butterworth, and Gaussian) and using
11 the AlexNet DCNN architecture in fully trained, transfer learning, and classifier modes. The relative
12 performance of each crack detection method is compared here for the first time on a single dataset. Edge
13 detection methods accurately detected 53–79% of cracked pixels, but they produced residual noise in the
14 final binary images. The best of these methods was useful in detecting cracks wider than 0.1 mm. DCNN
15 methods were used to label images, and accurately labeled them with 99% accuracy. In transfer learning
16 mode, the network accurately detected about 86% of cracked images. DCNN methods also detected much
17 finer cracks than edge detection methods. In fully trained and classifier modes, the network detected cracks
18 wider than 0.08 mm; in transfer learning mode, the network was able to detect cracks wider than 0.04 mm.
19 Computational times for DCNN are shorter than the most efficient edge detection algorithms, not
20 considering the training process. These results show significant promise for future adoption of DCNN

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21 methods for image-based damage detection in concrete. To reduce the residual noise, a hybrid method was
22 proposed by combining the DCNN and edge detectors which reduced the noise by a factor of 24.

23 *Keywords: Concrete, crack detection, deep learning, neural network, edge detection, image processing,*
24 *vision-based, structural health monitoring*

25 **1. Introduction**

26 At least a third of the more than 600,000 bridges in the United States include a concrete superstructure or
27 wearing surface [1]. Routine inspections of concrete bridges are conducted periodically to assess overall
28 condition and to identify surface cracking or other degradation [2]. Manned inspections of this type are
29 costly, time consuming, and labor intensive [3] [4] [5]. Unmanned and autonomous inspections are a
30 potentially viable alternative to manned inspections [5] [6] [7] [8] [9] [10]. Inspections performed by robots
31 or unmanned aerial systems (UAS) are typically image-based, meaning that the inspection platform takes
32 images that are then processed and/or reviewed by an inspector. Previous literature demonstrates several
33 successful applications of image-based inspections to detect cracks [11, 12], spalls [13, 14], delaminations
34 [14, 15, 16], and corrosion [17] in concrete bridges.

35 Image-based inspections of this type can be performed in three general ways: Raw image inspection, image
36 enhancement, or autonomous image processing. Raw image inspection means that the inspector views the
37 images taken during the inspection without any additional processing [5, 18]. The number of images
38 collected depends on a number of factors, but is commonly in the hundreds of thousands [5, 18]. Manual
39 identification of flaws in such large images sets is time consuming and prone to inaccuracy due to inspector
40 fatigue or human error. Enhanced image inspection refers to the use of some image processing algorithm
41 to make it easier to identify flaws in inspection images. This is typically performed using one of several
42 edge detection algorithms, which greatly magnify the visibility of cracks within images. In doing so, the
43 aforementioned problems with inspector fatigue can be mitigated to some degree. Finally, autonomous

44 image processing refers to the use of an algorithm that detects cracks within images. This is typically
45 accomplished using machine learning algorithms or other artificial intelligence schemes.

46 This paper discusses the latter two approaches and compares their performance. Image enhancement
47 methods includes the application of a variety of image processing techniques on visual images to detect
48 cracks including but not limited to morphological operations [19], digital image correlation [20, 21], image
49 binarization [22, 23], percolation model [24], wavelet transforms [25], and edge detectors [12] [27] [29]
50 [33] [34] [36] [37] [38] [36]. The autonomous approach for crack detection on the other hand requires a
51 set of training images to learn the features of cracks. Similarly, several researchers have shown the
52 feasibility of autonomous crack detection in visual images using combined image processing techniques
53 and artificial neural networks [30, 40]. Deep convolutional neural networks (DCNNs) have been recently
54 used for concrete crack detection [41, 42, 43].

55 Despite the abundance of image-based crack detection studies, direct comparisons between these methods
56 is a gap. Save two noteworthy exceptions, most research focuses on developing new methods for crack
57 detection rather than comparing the performance of existing methods. Abdel-Qader et al. [27] compared
58 the performance of the fast Haar transform, Fourier transform, Sobel filter, and Canny filter for crack
59 detection in 25 images of defected concrete and 25 images of sound concrete. The fast Haar transform was
60 the most accurate method, with overall accuracy of 86%, followed by the Canny filter (76%), Sobel filter
61 (68%), and the Fourier transform (64%). he processing time was not considered in the analysis and the
62 criteria for recoding true of false positives in the binary images were not clear. Lack of definition for metrics
63 such as true positive has seen in the past studies. Mohan and Poobal [44] reviewed a number of edge
64 detection techniques for visual, thermal, and ultrasonic images, but the information presented was from
65 several studies that considered vastly different data sets, and so the results are not directly comparable. A
66 comparison between two edge detectors, Canny and Sobel, and a convolutional neural network is done in
67 [42]. However, the comparison was performed on four images. In addition, the edge detectors were used
68 without pre-processing which is not a very common practice. Another shortcoming of the comparison in

69 [42] is the lack of accuracy definition of the edge detector results. This paper compares image processing
70 and deep learning techniques together as a reference for future study. which includes a direct comparison
71 of the performance of four common edge detection methods in the spatial domain (Roberts, Prewitt, Sobel,
72 Laplacian of Gaussian) and two in the frequency domain (Butterworth and Gaussian) and an AlexNet-based
73 DCNN in three modes of training (fully trained, transfer learning, and no-training) by applying them to an
74 annotated dataset designated for crack detection.

75 **2. Dataset**

76 The dataset used in this study consisted of 100 images of concrete panels that simulated reinforced concrete
77 bridge decks for the purpose of verifying various non-destructive testing. These panels were constructed
78 previously in Systems, Materials, and Structural Health laboratory (SMASH Lab) at Utah State University.
79 Images are collected with a 16 MP digital single lens reflex camera with 35 mm focal length and no zoom.
80 The target was normal to the axis of the lens at a distance of approximately 0.5 m. The background
81 illumination was in the range 400–1000 lx, as measured by a NIST traceable digital light meter purchased
82 new just prior to measurement. The finest crack width was approximately 0.04mm and the widest was
83 1.42mm. The original image size was 2592×4608 px and the field of view was approximately 0.3×0.55
84 m. Images were stored as JPEG with average file size near 5 MB. In order to comply with the architecture
85 of the DCNN, each original image was divided into 180 sub-images with size of 256×256 px. The sub-
86 images were labeled in two categories, 1,574 sub-images with cracks and 16,426 sub-images without
87 cracks. Figure 1 illustrates the studied dataset with one example of high-resolution image, a sub-image
88 labeled as C from the original image if it had a crack, and a sub-image labeled as U from the original image
89 if it did not. For DCNN applications, this dataset was divided into training dataset, validation dataset, and
90 testing dataset as shown in Table 1. The testing dataset was selected randomly from 100 original images.
91 The images in this dataset are a portion of the bridge deck images of the structural defect dataset
92 (SDNET2017 [45]). The sub-images in the testing dataset have also been segmented in the pixel-level as
93 Cp and Up for semantic comparison where Cp stands for pixels with cracks and Up stands for sound pixels.

94 The results of the pixel-level segmentation on the testing dataset are presented in Table 2. In this table, the
95 Cp ratio stands for the number of pixels in each image labeled as crack to total number of pixels in that
96 image.

97 **3. Edge Detection**

98 In this paper, edge detection refers to the use of filters (edge detectors) in an image processing algorithm
99 for the purpose of detecting or enhancing the cracks in an image such that they can be more easily and
100 efficiently located within a large image dataset. Cracks in a two-dimensional (2D) image are classified as
101 edges, and thus existing edge detection algorithms are likely candidates for crack identification. 2D images
102 are represented mathematically by matrices (one matrix, in the case of greyscale images, or three matrices
103 in the case of red/green/blue color images). An ideal edge is defined as a discontinuity in the greyscale
104 intensity field. Crack detection algorithms can emphasize edges by applying filters in either the spatial or
105 frequency domain. Edge detection algorithms purport to make manual crack detection more reliable. In
106 general, such image processing algorithms follow three steps: (1) edge detection, (2) edge image
107 enhancement, and (3) segmentation (sometimes called binarization or thresholding). Edge detection
108 involves the application of various filters in either the spatial or frequency domain to a grayscale image in
109 order to emphasize discontinuities. Edge image enhancement scales the image and adjusts contrast to
110 improve edge clarity. Segmentation transforms the enhanced edge image into a binary image of cracked
111 and sound pixels.

112 In the spatial domain, the convoluted image \mathbf{E} is the sum of the element-by-element products of the image
113 intensity \mathbf{I} and the kernel \mathbf{K} in every position in which \mathbf{K} fits fully in \mathbf{I} . For $\mathbf{I}_{M \times N}$ (image dimension $M \times N$)
114 and $\mathbf{K}_{m \times n}$ (kernel size $m \times n$):

$$115 \quad \mathbf{E}(i, j) = \sum_{k=1}^m \sum_{\ell=1}^n \mathbf{I}(i + k - 1, j + \ell - 1) \mathbf{K}(k, \ell) \quad (1)$$

116 \mathbf{E} is of size $(M - m + 1) \times (N - n + 1)$. Filters kernels may include x and y components (corresponding
 117 to image spatial dimension in horizontal and vertical dimensions), \mathbf{K}_x and \mathbf{K}_y , in which case the edge image
 118 \mathbf{E} is the hypotenuse of \mathbf{E}_x and \mathbf{E}_y .

119 Four edge detector filters in the spatial domain were employed in this study: Roberts in x and y directions,
 120 denoted as \mathbf{K}_{Rx} and \mathbf{K}_{Ry} in Eq. 2, Prewitt in x and y directions, denoted as \mathbf{K}_{Px} and \mathbf{K}_{Py} in Eq. 3, Sobel
 121 in x and y directions, denoted as \mathbf{K}_{Sx} and \mathbf{K}_{Sy} in Eq. 4, and Laplacian-of-Gaussian (*LoG*) denoted as \mathbf{K}_{LoG}
 122 in Eq. 5. A 10×10 *LoG* filter was employed here with standard deviation of $\sigma = 2$.

$$123 \quad \mathbf{K}_{Rx} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad \mathbf{K}_{Ry} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \quad (2)$$

$$124 \quad \mathbf{K}_{Px} = \begin{bmatrix} -1 & 0 & 1 \\ -1 & 0 & 1 \\ -1 & 0 & 1 \end{bmatrix} \quad \mathbf{K}_{Py} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ -1 & -1 & -1 \end{bmatrix} \quad (3)$$

$$125 \quad \mathbf{K}_{Sx} = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix} \quad \mathbf{K}_{Sy} = \begin{bmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{bmatrix} \quad (4)$$

$$126 \quad \mathbf{K}_{LoG} = \nabla^2(\mathbf{G}(x, y)) = \frac{x^2 + y^2 - 2\sigma^2}{4\sigma^4} \exp\left(-\frac{x^2 + y^2}{2\sigma^2}\right) \quad (5)$$

127 Edge detection in the frequency domain requires transformation of the spatial domain image \mathbf{I} into the
 128 frequency domain image \mathbf{F} by fast Fourier transform (FFT). The edge image \mathbf{E} is the element-wise product
 129 of the filter kernel \mathbf{K} and the frequency domain image \mathbf{F} :

$$130 \quad \mathbf{E}(u, v) = \mathbf{K}(u, v) \odot \mathbf{F}(u, v) \quad (6)$$

131 where u and v are the dimensions of the transformed image in the frequency domain. Two edge detector
 132 filters in the frequency domain were employed in this study: Butterworth denoted as \mathbf{K}_B in Eq. 7 and
 133 Gaussian denoted as \mathbf{K}_G in Eq. 8.

$$134 \quad \mathbf{K}_B(u, v) = 1 - \frac{1}{1 + \left[\frac{D(u, v)}{D_0}\right]^{2n}} \quad (7)$$

135
$$K_G(u, v) = 1 - e^{-\frac{D^2(u,v)}{2\sigma^2}} \quad (8)$$

136 where $D(u, v)$ is the distance between the pixel (u, v) and the origin of the frequency (the center of the
 137 $M \times N$ image) as defined by Eq. 8, D_0 and n are the user-defined parameters to define the order and cut-
 138 off frequency in the Butterworth filter; and σ is the user-defined parameter to define the standard deviation
 139 of the Gaussian filter.

140
$$D(u, v) = \sqrt{\left[u - \left(\frac{M}{2} + 1\right)\right]^2 + \left[v - \left(\frac{N}{2} + 1\right)\right]^2} \quad (9)$$

141 and K_B , and K_G , are Butterworth and Gaussian filters.

142 The scaled edge image E_{sc} is E scaled such that $0 \leq E_{sc} \leq 1$. The enhanced edge image is then:

143
$$E_e(x, y) = [E_{sc}(x, y) - \min(E_{sc})] \left[\frac{2\sigma_{E_{sc}}}{\max(E_{sc}) - \min(E_{sc})} \right] + \mu_{E_{sc}} \quad (10)$$

144 where $\min(E_{sc})$, $\max(E_{sc})$, $\sigma_{E_{sc}}$, and $\mu_{E_{sc}}$ are minimum, maximum, standard deviation, and mean of the
 145 scaled edge image, respectively. Edge enhancement is a crucial part of the proposed method by improving
 146 the segmentation of pixels with cracks from the background pixels. Figure 2 shows an example of the effect
 147 of edge enhancement on the final binary image of the proposed algorithm (Sobel edge detector).

148 The final binary image B is constructed by segmentation, which assigns a value of one to all pixels in which
 149 the intensity exceeds some threshold T and a value of zero to all other pixels. In this study, a two level
 150 binarization is introduced: the first is based on a pixel intensity threshold T_1 in the enhanced edge image
 151 and then based on an area connectivity threshold T_2 on the binary image from the first level. The first
 152 threshold operation filters the weak edges from the enhanced edge image (Eq. 11). By applying T_1 the
 153 strong edges in the enhanced edge image (80% or stronger than the maximum intensity, $0.8 \max(E_e)$) are
 154 preserved as cracks. At this point, the strong edges have been identified in the first binary image; however,
 155 the surface roughness of the concrete can cause residual noise.

156
$$T_1 = 0.8 \max(E_e) \quad (11)$$

157 In order to gain more effective segmentations, the morphological operation closing was carried out on the
158 first level binary image. Closing consists of a dilation followed by an erosion using an identical structuring
159 element for both operations (see Figure 3). The purpose of the closing operation is to unify possibly the
160 discrete parts of the crack in the first binary image. Structuring elements define the spatial domain on the
161 binary image in which the morphological operation will be carried out. Circle-shaped structuring elements
162 with generic dimensions were used to perform the closing operation. The radius of the structural element
163 was defined as the minimum Euclidean distance between the centroids of connected components in each
164 binary image. The closing operation on improved the results of each individual edge detector in terms of
165 true positives. Figure 4 shows an example where not applying the closing operation cause the LoG edge
166 detector to miss the more than half the crack after applying the second threshold operation.

167 The second binarization operation was designed to segment the cracks from the residual noises in the first
168 binary image based on the area of the connected components in the first level binary image (Eq. 12). The
169 connected area $A_c(x, y)$ is the number of contiguous pixels in a connected component, considering eight-
170 neighbor connectivity. $\max(A_c)$ is the area of the largest connected component in the first level binary
171 image. The idea for the area threshold is to control the noise in the final binary image as shown in Figure 5
172 for the results of the Gaussian high pass filter.

$$173 \quad T_2 = \max(A_c) \quad (12)$$

174 **4. DCNN**

175 Using direct image-processing techniques for concrete crack detection has several drawbacks. First, the
176 algorithms are tailored for certain images in the studied datasets which affects their performance on new
177 datasets. These algorithms may not be as accurate when tested on new datasets taken in more challenging
178 situations such as low lighting condition, presence of shadows, low quality cameras, etc. Second, the image
179 processing algorithms are often designed to aid the inspector in crack detection and still rely on human
180 judgement for final results [29]. One solution is using machine learning algorithms to analyze the inspection

181 images [46] [47]. Deep convolutional neural networks (DCNNs) are a type of feedforward artificial neural
182 networks which have revolutionized autonomous image classification and object detection in the past 5
183 years [48]. A DCNN uses a set of annotated, e.g. labeled, images for training and calculates the learning
184 parameters in the learning layers between the input and output layers thorough thousands to millions
185 iterations.

186 A number of architectures have been employed to create neural networks providing excellent accuracy on
187 open-source labeled datasets, such as ImageNet and MNIST, in the past 4 years [49] [50] [51]. Each
188 architecture includes a number of main layers. The main layers are composed of sub-layers. The total
189 number of layers defined in a software program, like MATLAB, to build an architecture is referred to as
190 “Programmable Layers” in this study. Krizhevsky [49] proposed one of the first architectures of a DCNN,
191 i.e. AlexNet. This architecture has 8 main layers (25 programmable layers) and was the winner of the image
192 classification competition in 2012 (ImageNet [52]). Szegedy et al. proposed another architecture called
193 GoogleNet with 22 main layers (144 programmable layers) and improved the accuracy by introducing
194 inception module in the learning layers which won the 2014 competition [53]. Deep residual learning neural
195 network, ResNet, was introduced in 2016 [54]. ResNet has 50 and 101 main layers (177 and 347
196 programmable layers) and was the winner of 2016 competition.

197 DCNNs have been used in vision-based structural health monitoring in recent years for crack detection
198 [42], road pavement cracks [55, 56], corrosion detection [57, 58], multi-damage detection [41, 59] structural
199 health monitoring [62]. Due to popularity of Unmanned Aerial Systems (UASs) for structural health
200 monitoring and bridge inspection [63] applications of DCNNs in UAS-assisted inspections has begun to
201 attract researchers for more robust non-contact damage detection [43, 64, 65].

202 In general, DCNN architecture includes an input layer, learning layers, and an output layer [66]. The input
203 layer reads the image and transfers it to the learning layers. The learning layers perform convolution
204 operations, applying filters to extract image features. The output layer classifies the image according to
205 target categories using the features extracted in the learning layers. The neural network can be trained by

206 assigning target categories to images in a training dataset and modifying filter values iteratively through
207 back propagation until the desired accuracy is achieved.

208 DCNN can be used for crack detection in three ways: classification [42], localization [41], or segmentation.
209 The goal of classification is to label each image as cracked or sound. The training and validation datasets
210 comprise pre-classified cracked and sound images. The goal of localization is to determine bounding
211 coordinates that identify the location of a crack within an image. As before, the training and validation
212 datasets include both cracked and sound images, but the cracked images have bounding boxes drawn around
213 the location of the crack. The goal of segmentation is to classify each pixel as cracked or sound, and the
214 training and validation datasets comprise a very large number of pre-classified pixels. The computational
215 intensity of DCNN normally necessitates subdivision of images to reduce computational requirements.

216 The AlexNet DCNN architecture, illustrated in Figure 6 comprises five convolution layers (C1—C5), three
217 max pooling layers (MP1—MP3), seven nonlinearity layers using the rectified linear unit (ReLU) function
218 (ReLU1—ReLU7), two normalization layers (Norm1—Norm2), three fully connected layers (FC1—FC3),
219 two dropout layers (DP1—DP2), one softmax layer (SM), and one classification layer (CL). Each layer is
220 applied to the image using the convolution operation (Eq. 1). Figure 6 shows the architecture of the AlexNet
221 along with its corresponding filter number and size. The kernel values are determined iteratively through
222 training, but the size, number, and stride of the kernels are predetermined. The nonlinearity layers operate
223 on the result of each convolution layer through element-wise comparison. The ReLU function used for
224 nonlinearity is defined as the maximum value of zero and the input:

$$225 \quad f(x) = \begin{cases} 0, & x < 0 \\ x, & x \geq 0 \end{cases} \quad (13)$$

226 Following the non-linearity layer, a max pooling layer introduces a representative for a set neighboring
227 pixels by taking their maximum value. The max pooling layers are essential to reduce the computational
228 time and overfitting issues in the DCNN. After the max pooling layer, one or several fully connected layers
229 are used at the end of the architecture. The fully connected layer is a traditional multi-layer perceptron

230 followed by a softmax layer to classify the image. The mission of the fully connected layers is to connect
231 the information from the past layers together in way that the softmax layer can predict the results correctly
232 during the training process. The optimum combination is achieved from a process called backpropagation
233 algorithm (partial derivatives of the softmax layer output with respect to weights). The purpose of the
234 softmax layer is to ensure the sum of probabilities for all labels is equal to 1. In addition to these basic
235 layers, a DCNN also includes normalization, dropout, and classification layers. Normalization layer
236 normalizes the response around a local neighborhood to compensate with the possible unbounded
237 activations from the ReLu layer. The dropout layer is a probability-based threshold layer that filters
238 responses smaller than a threshold probability (50% is common). The classification layer is similar to the
239 fully connected layers. For detailed explanations of function of each layer and their interaction, readers can
240 refer to Reference [67]

241 Three modes are used for applying the network on the training dataset. The first mode is to Fully Train (FT)
242 the network from scratch (FT mode) on the training dataset. In this mode all the weights are assigned with
243 random numbers and the computed through iterations based on the training dataset. Obtaining an annotated
244 dataset for concrete cracks as big as ImageNet is not currently feasible. Even if a large concrete crack
245 dataset was available, training process from scratch could take days to complete on hardware with several
246 graphic processor units (GPUs), and would therefore be prohibitively time consuming. However, it is
247 possible to apply a previously trained network (pre-trained network) on a small dataset and obtain
248 reasonable accuracy [68]. Pre-trained networks can be applied on a new dataset in different ways [69].
249 These methods are usually referred to as “domain adaptation” in the deep learning literature. One can use
250 an already trained DCNN on the ImageNet dataset as a classifier for new images. This type of domain
251 adaptation is referred to as Classifier (CL mode). In CL mode, only the last fully connected layer needs to
252 be altered to match the target labels in concrete dataset. The network then uses the pre-trained weights and
253 forms a classifier based on the training dataset. Note that no actual training happens when CL mode is used.
254 Another studied domain adoption method is to partially retrain a pre-trained network and modify the layers

255 according to a new dataset. This approach is called fine-tuning or transfer learning (TL mode). In the TL
256 mode, the network has to be re-trained since both classifier and weights have to be updated based on the
257 new dataset. In the TL mode, the weights of the lower-level layers (closer to the input image layer) are
258 preserved. These weights are computed from training on millions of images and consist of generic feature
259 extractors such as edge detectors. Therefore, the determined lower-level weights can be applied on any
260 dataset for feature extraction. On the other hand, the classifier layers (close to end of network) are more
261 sensitive to the training dataset and its labels. To adjust the network to the new dataset, the weights in the
262 high-level layers are updated through training on the new dataset.

263 **5. Experimental Program**

264 **5.1. Computational Resources**

265 All computations were performed on a desktop computer with 64-bit operating system, 32 GB memory,
266 and 3.40 GHz processor running a GeForce GTX 750 Ti graphics processing unit (GPU). Image processing
267 was performed in MATLAB.

268 **5.2. Edge Detection**

269 The testing dataset of 319 C and 3101 U sub-images was iteratively processed using each of the six edge
270 detection schemes discussed in Section 3. Unlike the past studies [30, 26, 62], the metrics to evaluate the
271 performance of each edge detector was defined very clearly on a pixel level. The final binary images were
272 compared to the ground truth. True positive (TP) is when the edge detector identified a pixel on the crack
273 pixels (Cp). False negative is when the edge detector did not identify a pixel on the crack pixels (Cp). True
274 negative (TN) is when the edge detector did not identify a pixel on the sound pixels (Up), and false positive
275 is when the edge detector identified a pixel on the sound pixels (Up). Note all comparisons were performed
276 on the final binary images produced by each edge detector. Figure 7 shows examples of how metrics are
277 calculated: (a) the original image is segmented into 1,582 Cp pixels (highlighted) and 63,954 Up pixels, (b)
278 the final binary image super imposed on the original image, Roberts edge detector, identified 2,276 Cp

279 pixels (highlighted) and 63,260 Up pixels, (c) 1,367 pixels in the final binary image were TP, (d) 215 pixels
280 in the final binary image were FN, (e) 63,046 pixels in the final binary image were TN, and (f) 909 pixels
281 in the final binary image were FP. The metrics in the Figure 7c through Figure 7f are shown in white. Note
282 that for U sub-images, TP and FN are meaningless and only TN and FP are recorded.

283 The team then rated each edge detection scheme in terms of true positive rate (TPR), true negative rate
284 (TNR), accuracy (ACC), positive predictive value (PPV), negative predictive value (NPV), and F1 score,
285 defined as follows

$$286 \quad TPR = \left(\frac{TP}{TP+FN} \right) \quad (14)$$

$$287 \quad TNR = \left(\frac{TN}{TN+FP} \right) \quad (15)$$

$$288 \quad ACC = \left(\frac{TP+TN}{TP+FN+TN+FP} \right) \quad (16)$$

$$289 \quad PPV = \left(\frac{TP}{TP+FP} \right) \quad (17)$$

$$290 \quad NPV = \left(\frac{TN}{TN+FN} \right) \quad (18)$$

$$291 \quad F1 = \left(\frac{2TP}{2TP+FN+FP} \right) \quad (19)$$

292 In addition, missed crack width (MCW), and computational time (T) are also compared between different
293 edge detectors. MCW is defined as the coarsest crack that went undetected by a particular edge detection
294 scheme, as determined by crack width measurement using a crack width microscope with 0.02 mm
295 resolution. Computational time is defined as the average processing time for ten runs of a particular edge
296 detection scheme, normalized by the number of images (180 sub-images).

297 **5.3. DCNN**

298 Crack detection using DCNN was performed by classification of sub-images in the fully trained, transfer
299 learning, and classifier modes. A total of 12,809 sub-images (1,129 labeled C and 11,680 labeled as U),

300 were selected at random for inclusion in the training dataset, and 1,771 (125 labeled as C and 1,646 labeled
301 as U) were selected for the validation dataset. The remaining 3,420 sub-images (319 labeled as C and 3101
302 labeled as U) made up the testing dataset.

303 Batch size number and validation criterion determine the number of iterations in training process. Larger
304 batch sizes result in faster convergence, but batch size is limited by the available GPU memory. The selected
305 batch size was 10. The training dataset has 12,809 sub-images. Number of iterations to cover all sub-images
306 was simply calculated by dividing the total sub-images to the batch size, i.e. 1281 iterations. This number
307 of iterations is known as an epoch. A maximum of 30 epochs were considered for back propagation on the
308 network, meaning that the network performs as many $30 \times 1281 = 38,430$ iterations to finish the training.
309 The network was set to stop iterating once the accuracy in the validation dataset stopped improving in three
310 consecutive epochs. If the validation criterion is not met by the end of 30th epoch, more iterations cycles
311 should be considered for the training.

312 The network in each mode is used to classify the sub-images in the testing dataset and the results are
313 compared to the ground truth. TP is when the network correctly labeled a sub-image as C, and a FN when
314 the network failed to do so. A TN is when the network correctly labeled a sound sub-image as U and a FN
315 when the network labeled a sub-image as C in a sound sub-image. TPR, TNR, ACC, PPV, NPV, and F1
316 are calculated according to Eq. 14 through Eq. 19. T and MCW are evaluated in the same manner as the
317 edge detector approach except that the training time is not considered when calculating the T for DCNN.

318 **6. Results and Discussion**

319 ***6.1. Edge Detection***

320 A summary of results for the six edge detectors applied on the C class and U class sub-images are shown
321 in Table 3 and Table 4, respectively. The metrics for comparison are shown Figure 8a in terms of TPR,
322 PPV, and in Figure 8b in terms of TNR, ACC, and NPV. The latter metrics were significantly affected by
323 the data imbalance between Cp and Up pixels. Nevertheless, the evaluated metrics in this paper are on the

324 pixel-level which makes the comparison unique compared to previous crack detection studies. LoG
325 produced the highest TPR with 76% followed by Sobel and Prewitt with 76% and 69%. In the spatial
326 domain, Robert edge detector produced lowest TPR, 53%, which was still higher than the TPRs produced
327 by frequency domain edge detector, where Butterworth detected 41% and Gaussian detected only 31% of
328 the crack pixels. LoG edge detector also produced the highest PPV, 60%, followed by Sobel and Prewitt
329 with 56% and 54%. Gaussian high pass filter had only 18% PPV which was the lowest among the studied
330 methods. F1 scores ranged from 23% in sub-images segmented by Gaussian high pass filter to 68% in sub-
331 images segmented by LoG. Roberts and Gaussian high pass filter produced the lowest TNR values, 96%
332 and 97%, respectively and the lowest ACC, both 95%. As for NPV, the lowest values were 95% and 96%
333 when Gaussian and Butterworth edge detectors were used, respectively. Again LoG was the most accurate,
334 98%, and produced the highest TNR=99% and NVP=99.5%. The difference in metrics in Figure 8b is only
335 2%-4% but note that these metrics are affected by the gigantic class imbalance between Cp and Up pixels
336 (only 2% of the pixels were Cp). To see this difference better, percentage of reported FP pixels per sub-
337 image, noise ratio (NR), for each edge detector is shown in Figure 8c. To calculate the noise ratio, first the
338 average FN for each method was calculated by dividing total number of FNs to the number of sub-images
339 in each class, 319 in C class, and 3101 in U class. The NR is then calculated as the average FNs divided by
340 total number of pixels in each sub-image, i.e. 256×256 .

341 As seen for sub-images in C class NR values, 2.4% on average, were almost half of the ones in the U class,
342 5.3% on average. This is due to the fact that the proposed methodology for crack detection is based on the
343 assumption that there is a crack in the investigated image and it is the largest connected component in the
344 first level binary image. Therefore, noise and irrelevant objects are preserved in the final binary image in
345 U class as FN. In addition, the LoG edge detector produced the lowest NR values, 1.1% in the C class and
346 4.5% in the U class while Roberts and frequency domain detectors were the worst ones in both classes.

347 Factoring Roberts, overall the spatial domain edge detectors produced better binary images for crack
348 detection compared to frequency domain ones. The same trend can be seen for values of T in Table 3 and

349 Table 4 where the fastest method was LoG. Finally, LoG detected finer cracks than the rest of studied
350 method with MCW of 0.1 mm. Figure 9 shows an example of crack detection using different edge detectors
351 along with the original image and ground truth. LoG edge detector performed better than all the other
352 studied detectors in all considered metrics.

353 **6.2. DCNN**

354 *6.2.1. Training and Validation*

355 Figure 10 shows the achieved accuracy of the DCNN under fully trained and transfer learning during
356 training and validation. In fully trained mode, the validation criterion was met after 14 epochs (17934
357 iterations), which required 6,200 seconds processing time. The resulting validation accuracy was 97.50%.
358 In transfer learning mode, the validation criteria were met after 7 epochs (8967 iterations), which required
359 4,100 seconds processing time. In classifier mode, the classifier was constructed in 299 seconds and
360 achieved 98.1% accuracy on the validation dataset.

361 *6.2.2. Testing*

362 Table 5 summarizes the performance of DCNN crack detection in the testing dataset. In general, the DCNN
363 crack detection algorithms performed exceedingly well compared to the traditional detectors. In fully
364 trained mode, the algorithm scored 212 TPs out of 319 cracked sub-images and 3099 TNs out of 3,101
365 sound sub-images. In transfer learning mode, the algorithm scored more TPs but also scored more FPs. The
366 network in the CL mode performance in terms of TP and TN were in the middle of the FT and TL modes
367 (TP=267 and TF=52).

368 In all three cases, the accuracy matched or exceeded 97%. However, the TL mode had NPV=99%, F1=89%,
369 and ACC=98% which were the highest among the studied modes. The highest positive predictive value was
370 in the FT mode (PPV=99%) while TL mode produced only PPV=92%. The CL mode produced the highest
371 FPs which lead to the lowest NPV of 98% among the studied modes. The metrics are shown in Figure 11.

372 As seen the most tangible difference were observed in TPR, PPV, and F1 scores among different metrics
373 since they are more affected by the TPs and C class had considerably less sub-images.

374 The MCW for fully trained and classifier modes was 0.08 mm. In transfer learning mode, the missed crack
375 width was 0.04 mm. Figure 13 shows fully trained, transfer learning, and classifier DCNN results for a sub-
376 image containing a 0.08 mm crack. As shown in the figure, the 0.08 mm crack was detected only in transfer
377 learning mode, and went undetected in fully trained and classifier modes. The computational time was
378 similar for all three DCNN modes were comparable (2.65-2.81 seconds per 180 sub-images). However, the
379 network in the FT mode required more time for training due to more performed iterations compared to the
380 TL mode, which was expected. In the authors experience, using an AlexNet-based network in TL mode can
381 be up to 50% less time-consuming than the FT mode on concrete image dataset [37, 39]. On the other hand,
382 the network on the CL mode has the advantage of not relying on the training and can be considered the
383 fastest way of testing the network on new datasets. The absence of training in CL mode, however, adversely
384 affected the TNR, ACC, and PPV of the network, which is also an expected outcome [37]. Transfer learning
385 mode was the most accurate and detected the finest cracks, but also took the longest computational time.

386 Figure 13a through c show representative results for DCNN in fully trained, transfer learning, and classifier
387 modes, respectively. Since the objective is to find the cracks, sub-images in the U class are shaded and sub-
388 images in the C class are shown clearly. Incorrectly labeled sub-images (FN and FP) are identified using a
389 box indicating such.

390 **6.3. Comparison**

391 As discussed before, the results presented in Table 3 and Table 4 for edge detectors and in Table 5 for
392 DCNNs are not directly comparable because DCNN results consider sub-images while edge detection
393 results were based on the pixels. However, comparison is possible since the same sub-images and metrics
394 were used to evaluate both approaches. These results are given in Table 6.

395 All of the methods tested here performed better on sound sub-images than on cracked sub-images (i.e., TN
396 $> TF$), and so the metric numbers skewed high. For example, only 32% of cracked pixels (C_p) were detected
397 using the Gaussian edge detection scheme. Nevertheless, since more than 97% of sound pixels were
398 correctly detected, the reported accuracy was $ACC=95\%$ which is misleading because the PPV for this edge
399 detector was only 18%, which shows its inefficiency. Several noteworthy results become apparent. First,
400 while the previous section claimed that there was no clear winner between DCNN in fully trained and
401 transfer learning modes, the true positive rate for transfer learning was 20% higher than for fully trained.
402 At the same time, the true negative rate for transfer learning was only one percent lower than for fully
403 trained. This, combined with smaller missed crack width and similar computation time requirements, make
404 transfer learning a clear winner among DCNN modes. F1 scores and PPV values were significantly for
405 DCNN in all modes were significantly greater than the edge detector techniques.

406 This analysis also shows that DCNN methods performed better at image based concrete crack detection
407 than any of the edge detection methods (except for FT mode). The LoG edge detector exhibited the highest
408 true positive rate of all six edge detectors, accurately identifying nearly 79% of cracked pixels. LoG also
409 detected the finest cracks of any edge detector, with MCW of 0.1 mm. The TPR among DCNN methods
410 was about 86% and 84% in TL and CL modes, respectively, which was a significant improvement over
411 LoG. In addition, the TFR for the DCNN approach had superiority over the edge detectors due to the high
412 NR ratios (refer to Figure 8c). Furthermore, DCNN methods were able to detect finer cracks than edge
413 detectors. In fully trained and classifier modes, the MCW was 0.08 mm, a marginal improvement over LoG.
414 In transfer learning mode, the MCW was an impressive 0.04 mm.

415 Computational times also show the superiority of DCNN over edge detectors; computational time was
416 almost 50% less for the DCNNs over edge detectors. However, crack detection using DCNN requires time
417 for training (in FT and TL modes) and classifier construction (in CL mode), which are not taken into account
418 when reporting the computational time. The assumption is that, in the future, pre-trained DCNN will be
419 available for this purpose, so it is not necessarily appropriate to include training time in this comparison. In

420 fact, DCNN can be trained using a very large dataset with images of varying quality (e.g., resolution,
421 lighting condition, focus), making it more robust and applicable to most situations. Edge detectors are
422 typically manually tuned to maximize performance for a particular dataset or subset, diminishing their
423 robustness.

424 These results highlight the significant promise of DCNN methods for image based crack detection in
425 concrete. The evidence presented here shows that edge detection methods—which represent the current
426 state of practice—perform reasonably well. DCNN methods provide autonomous crack detection and
427 provide significant performance enhancements over edge detection schemes. The results presented here for
428 DCNN are only a preliminary step in the development of DCNN methods for concrete crack detection.
429 Future work will demonstrate the use of more advanced DCNN for the same problem in the hopes that more
430 advanced networks will provide even better crack detection performance.

431 The reader should note that the results presented here are for high quality images taken in good lighting and
432 free of vibration. The extension of these results to noncontact image-based inspection and damage detection
433 will require application of the same methods to images with imperfections resulting from poor lighting,
434 vibration, or other issues [43]. This work is ongoing, but the results presented here show promise for
435 autonomous crack detection in concrete structures using noncontact image-based methods.

436 Despite being recently introduced to structural health monitoring and inspection, DCNNs have improved
437 the vision-based structural defect detection. This study shows the superiority of an AlexNet DCNN over
438 traditional edge detectors for concrete crack detection. The performance of the network can be further
439 enhanced if more powerful architectures such as GoogleNet or ResNet are implemented for crack
440 detection. Unlike edge detectors, the DLCCNs can be used for any types of defect in structures, if enough
441 annotated images are available for training. Formation an annotated image dataset for structural defects,
442 such as ImageNet, is vital for further applications of DCNNs in structural engineering. With this dataset
443 available, new architectures can be developed to focus on finding a handful of structural defects instead of
444 1000 different objects, which will reduce the computational time associated with training process. In

445 addition, domain adaptation methods such as transfer learning, will be more effective if the network is
446 previously trained on the structural defects dataset. Improving the performance of domain adaptation
447 techniques makes real-time defect detection in robotic vision-based inspections feasible. In other words, a
448 pre-trained DCNN on the structural defect dataset, can be directly used to accurately classify new images
449 taken by an unmanned aerial system to different structural defects as the inspection is taking place.

450 **7. Hybrid Crack Detector**

451 Unless semantic networks are used for crack detection, edge detectors are still providing segmentation in
452 the pixel level. This information puts the edge detector in favor of the DCNN for fine monitoring and
453 measurements of cracks but creating the training dataset with classified pixels can be very time consuming
454 and challenging. On the other hand, the sole use of edge detectors has the disadvantage of residual noise or
455 non-crack objects misidentified as cracks. Even with the most effective edge detector, LoG, there was more
456 than 4% of TN (combined of FNs of the images in both C class and U class) which is 9,457,066 sound
457 pixels identified as cracks in the testing dataset. Figure 14 shows examples of TN (highlighted in red) in
458 the three C class sub-images after the final binary image from the LoG edge detector was super-imposed
459 on the original images.

460 Since the DCNN in FT mode provided such accurate classification for the U class sub-images, only two
461 cases of FP, the network was first used to label all the sub-images in U and C classes. No edge detector was
462 applied on the sub-images identified as U class by the network. The LoG edge detector was applied on the
463 rest of the images in the testing dataset. Combining the two approaches, number of FNs were reduced to
464 70% of the ones reported only by the LoG edge detector. This leads to an average reduction of the NR
465 values from 2.45% to 0.11%.

466 Using this technique also improved the overall performance of the of the edge detectors. As mentioned
467 before, the edge detectors performed better on the sub-images with cracks due the effect of second level
468 threshold which was the reason to evaluate their performance on C class and U class sub-images separately

469 in Table 3 and Table 4. However, PPV and F1 score metrics would be considerably lower if the both classes
470 were considered in calculating them. For the best edge detector, i.e. LoG, PPV=6% and F1=11% were
471 achieved when both classes were used. However, using the hybrid technique resulted in the almost the same
472 PPV and F1 score provided in Table 3 for the LoG since only C class images were analyzed (with exception
473 of two sub-images in the U class).

474 **8. Conclusions**

475 This paper presents a comparison of edge detection and DCNN algorithms for image based concrete crack
476 detection. The dataset consisted of 3420 sub-images of concrete cracks. Several common edge detection
477 algorithms were employed in the spatial (Roberts, Prewitt, Sobel, and LoG) and frequency (Butterworth
478 and Gaussian) domains. AlexNet DCNN architecture was employed in its fully trained, classifier, and fine-
479 tuned modes. Edge detection schemes performed reasonably well. The best method—LoG—accurately
480 detected about 79% of cracked pixels and was useful in detecting cracks coarser than 0.1 mm. In
481 comparison, the best DCNN method—the network in transfer learning mode—accurately detected 86% of
482 cracked images and could detect cracks coarser than 0.04 mm. This represents a significant performance
483 enhancement over edge detection schemes and shows promise for future applications of DCNN for image
484 based crack detection in concrete. In addition, a methodology was proposed to reduce the FNs reports by
485 70% by applying the edge detectors only on sub-images not labeled as uncracked. In addition, a hybrid
486 crack detector was introduced which combines the advantages of both approaches. In the hybrid detector,
487 the sub-images were first labeled by the network in the fully trained mode. Since it produced the highest
488 TNR, the edge detector is not applied on the sub-images labeled as U (uncracked) by the network. This
489 technique reduced the noise ratio of the LoG edge detectors from 2.4% to 0.11% and has the similar effect
490 on the other edge detectors as well.

491 This study shows the superiority of an AlexNet DCNN over traditional edge detectors for concrete crack
492 detection. This superiority can be further improved when architectures such as GoogleNet or RestNet are
493 implemented for crack detection. DLCCNs are able to classify multiple defects if enough annotated images

494 are available for training. Formation an annotated image dataset for structural defects, such as ImageNet,
495 is vital for further applications of DCNNs in structural engineering. With this dataset available, new
496 architectures can be proposed to focus on finding structural defects instead of random objects, which will
497 reduce the computational time associated with training process. In addition, domain adaptation methods
498 such as transfer learning, will be more effective if the network is previously trained on the structural defects
499 dataset. Improving the performance of domain adaptation techniques makes real-time defect detection in
500 robotic vision-based inspections feasible. In other words, a pre-trained DCNN on the structural defect
501 dataset, can be directly used to accurately classify new images taken by an unmanned aerial system to
502 different structural defects as the inspection is taking place.

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506
507

508 **List of Figures**

509 Figure 1 Illustration of the dataset 34

510 Figure 2 The effect of edge enhancement on the final image of the edge detectors, Sobel, (a) original image,
511 (b) final binary image superimposed on the original image (b) without the edge enhancement, (c) with the
512 edge enhancement 35

513 Figure 3 Closing operation illustration (a) first level binary image, (b) dilation, and (c) erosion using a disk
514 structuring element with diameter of 4 px. (LoG edge detector was used). 36

515 Figure 4 Crack in the (a) ground true, 1391 px, (b) without the closing operation 391 px correct detection
516 (c) with closing operation 1215 px correct detection (LoG edge detector) 37

517 Figure 5 Crack in the (a) ground true, 2325 px, (b) without second level threshold operation 3672 pixels
518 false detection (c) with second level threshold operation: 214 px false detection (results of the Gaussian
519 edge detector in the frequency domain) 38

520 **Figure 6.** AlexNet DCNN architecture 39

521 **Figure 7.** Examples of metric, (a) ground truth, $C_p=1,582$ px, $U_p=63,954$ px, (b) final binary image using
522 Roberts edge detector, $C_p=2276$ px, $U_p=63,260$ px (c) $TP=1367$ px, (d) $FN=215$ px, (e) $TN=63,045$ px, (f)
523 $FP=909$ px 40

524 Figure 8 Results of the studied edge detectors on the sub-images in the C class (a) TRP, PPV, and F1 (b)
525 TNR, ACC, and NPV, (c) NR in C and U classes. 41

526 Figure 9 An example of edge detector performance on a 0.02 mm crack (a) original image, (b) $GT=1145$
527 px, (c) Roberts, $TPR=39\%$ (d) Prewitt, $TPR=60\%$, (e) Sobel, $TPR=55\%$, (f) LoG, $TPR=71\%$, (g)
528 Butterworth, $TPR=38\%$, (h) Gaussian, $TPR=17\%$ 42

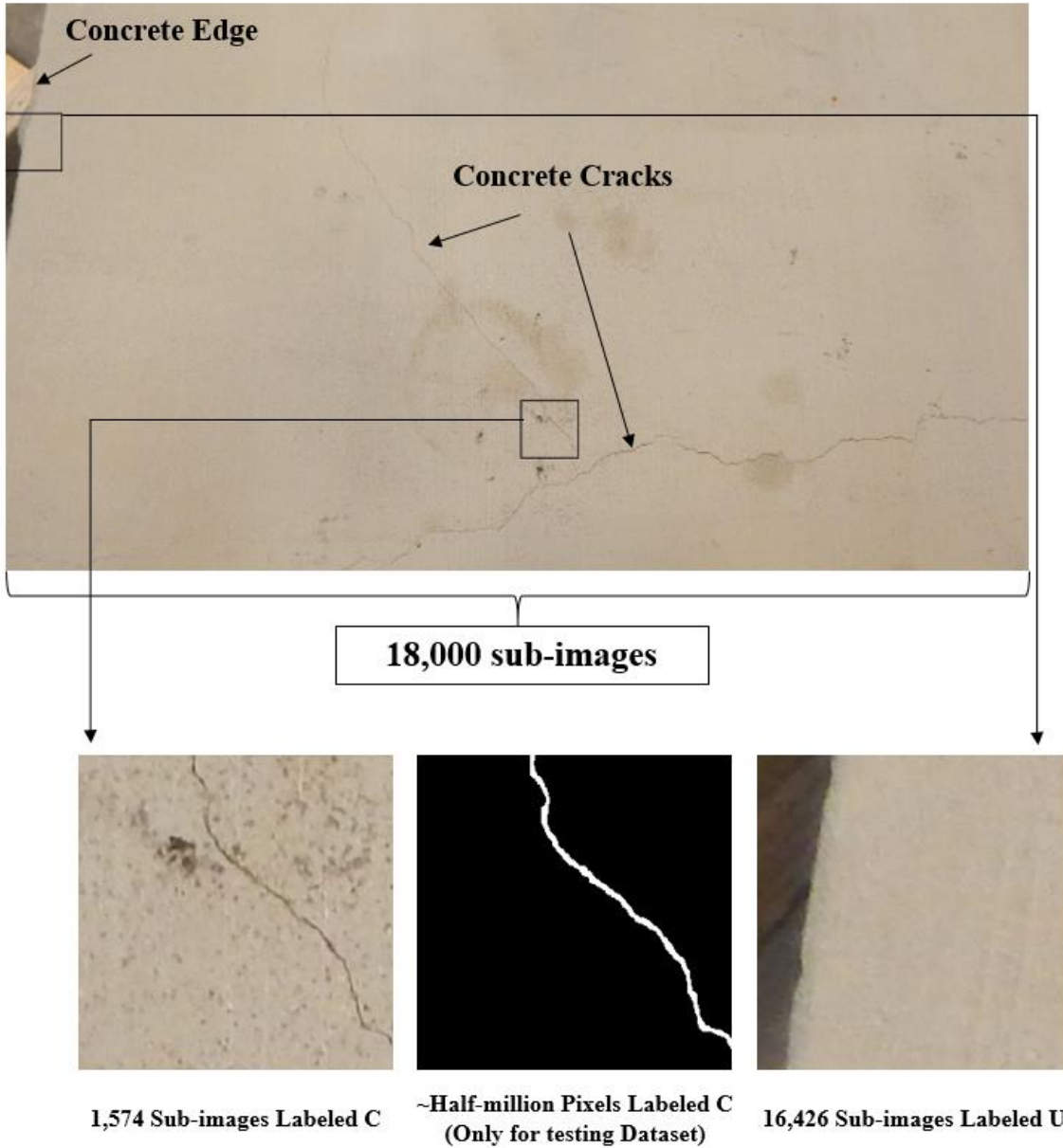
529 **Figure 10.** DCNN accuracy during training and validation 43

530 Figure 11 Metrics for the DCNN in FT, TL, and CL modes 44

531 **Figure 12.** DCNN results for a crack of width 0.08 mm: (a) FT mode, (b) TL mode, and (c) CL mode .. 45

532 **Figure 13.** Results of (a) fully trained DCNN crack detection, (b) transfer learning DCNN, and (c) classifier
533 DCNN for crack detection on the original full scale images in the testing dataset..... 47
534 Figure 14 Examples of FNs in the U class images (a) non-crack edge, (b) different surface finish, (c) noise
535 due to the coarse concrete surface..... 48
536 Figure 15 Combination of DCNN and edge detectors (a) the superimposed image with crack using LoG on
537 all sub-images, (b) the superimposed image with crack without using LoG on U class sub-images, (c) the
538 superimposed image without crack using LoG on all sub-images, (d) the superimposed image without crack
539 without using LoG on U class sub-images..... 49
540
541 **List of Tables**
542 **Table 1.** Number of cracked and sound sub-images in training, validation, and testing datasets 50
543 **Table 2.** Number of Cp and Up pixels in the testing dataset..... 51
544 **Table 3.** Summary of edge detector performance on sub-images in the C class 52
545 Table 4 Summary of edge detector performance on sub-images in the U class 53
546 **Table 5.** Summary of DCNN results 54
547 **Table 6.** Comparison of DCNN and edge detection performance considering sub-images 55
548

100 Original High-resolution Image



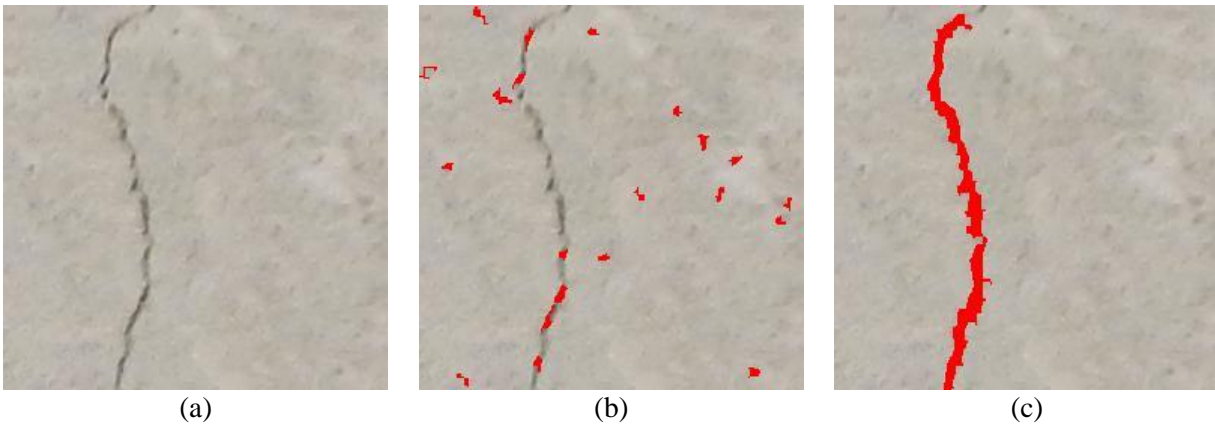
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Figure 1 Illustration of the dataset

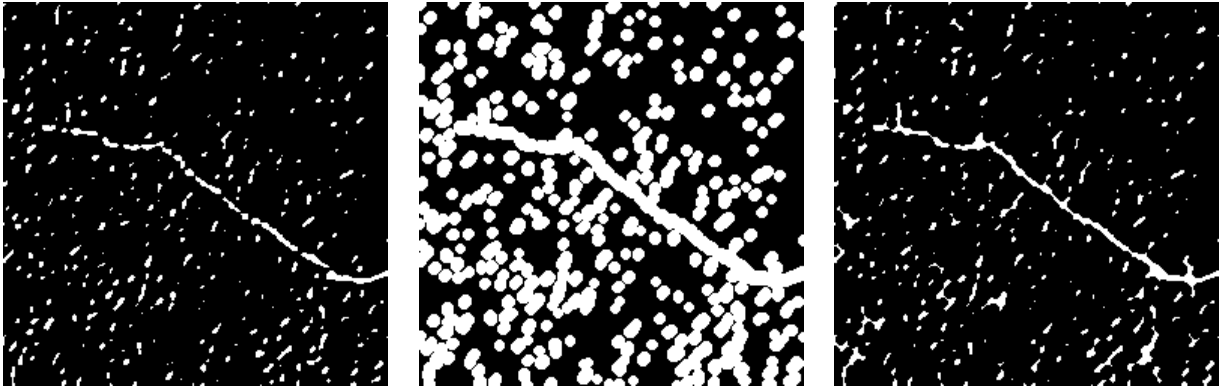
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552



553 **Figure 2** The effect of edge enhancement on the final image of the edge detectors, Sobel, (a) original
554 image, (b) final binary image superimposed on the original image (b) without the edge enhancement, (c)
555 with the edge enhancement

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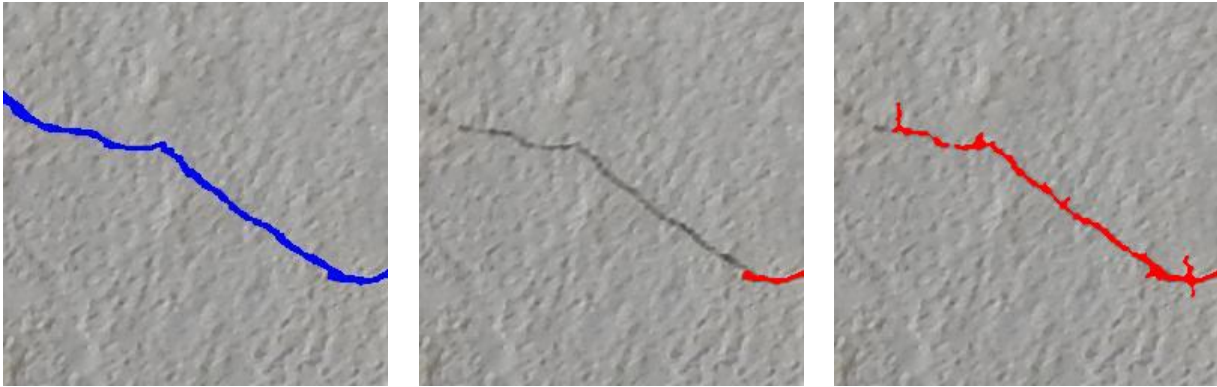
(a)

(b)

(c)

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558 disk structuring element with diameter of 4 px. (LoG edge detector).

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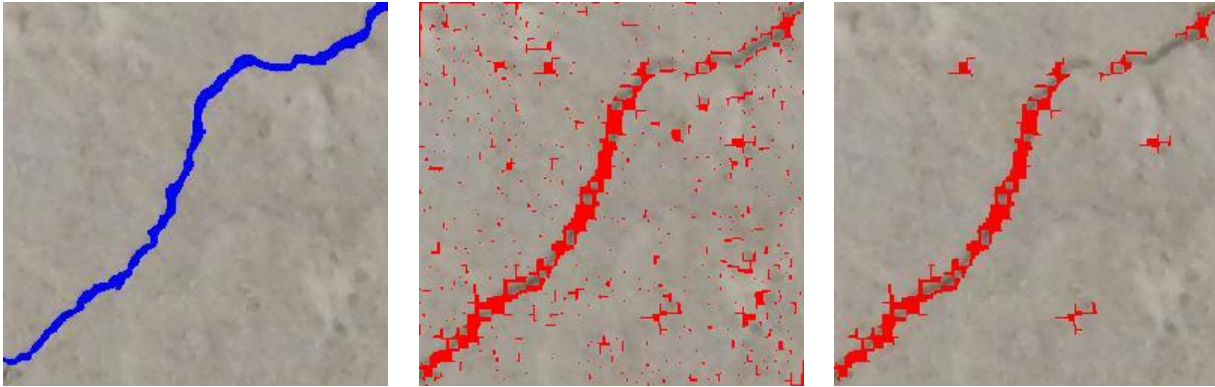
(a)

(b)

(c)

560 **Figure 4** Crack in the (a) ground truth, 1391 px, (b) without the closing operation 391 px correct
561 detection (c) with closing operation 1215 px correct detection (LoG edge detector)

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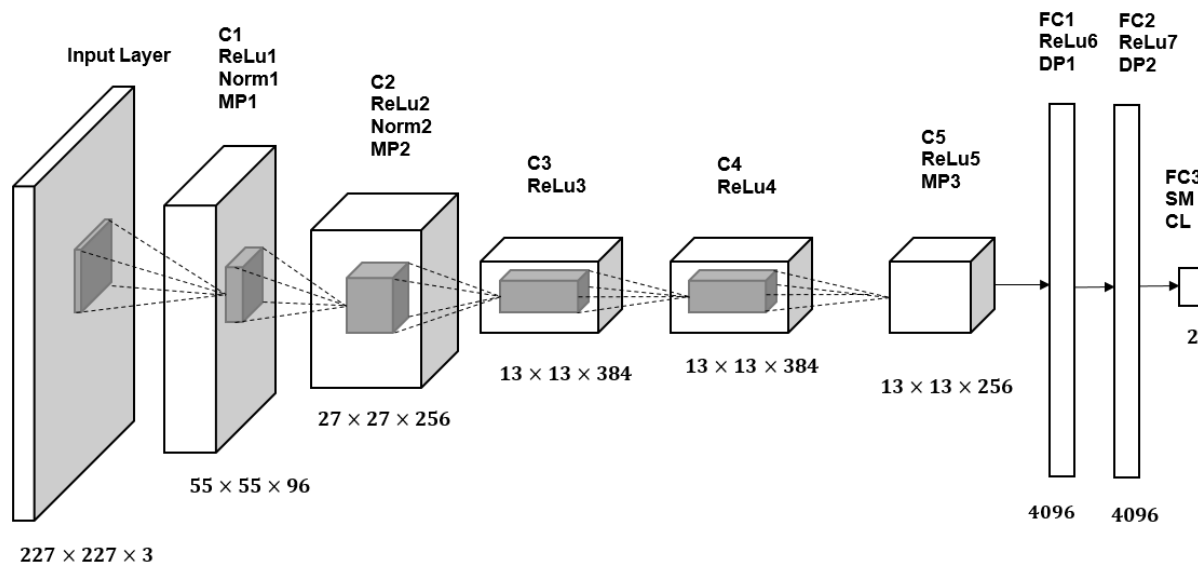
(a)

(b)

(c)

563 **Figure 5** Crack in the (a) ground truth, 2325 px, (b) without second level threshold operation 3672 pixels
564 false detection (c) with second level threshold operation: 214 px false detection (Gaussian edge detector)

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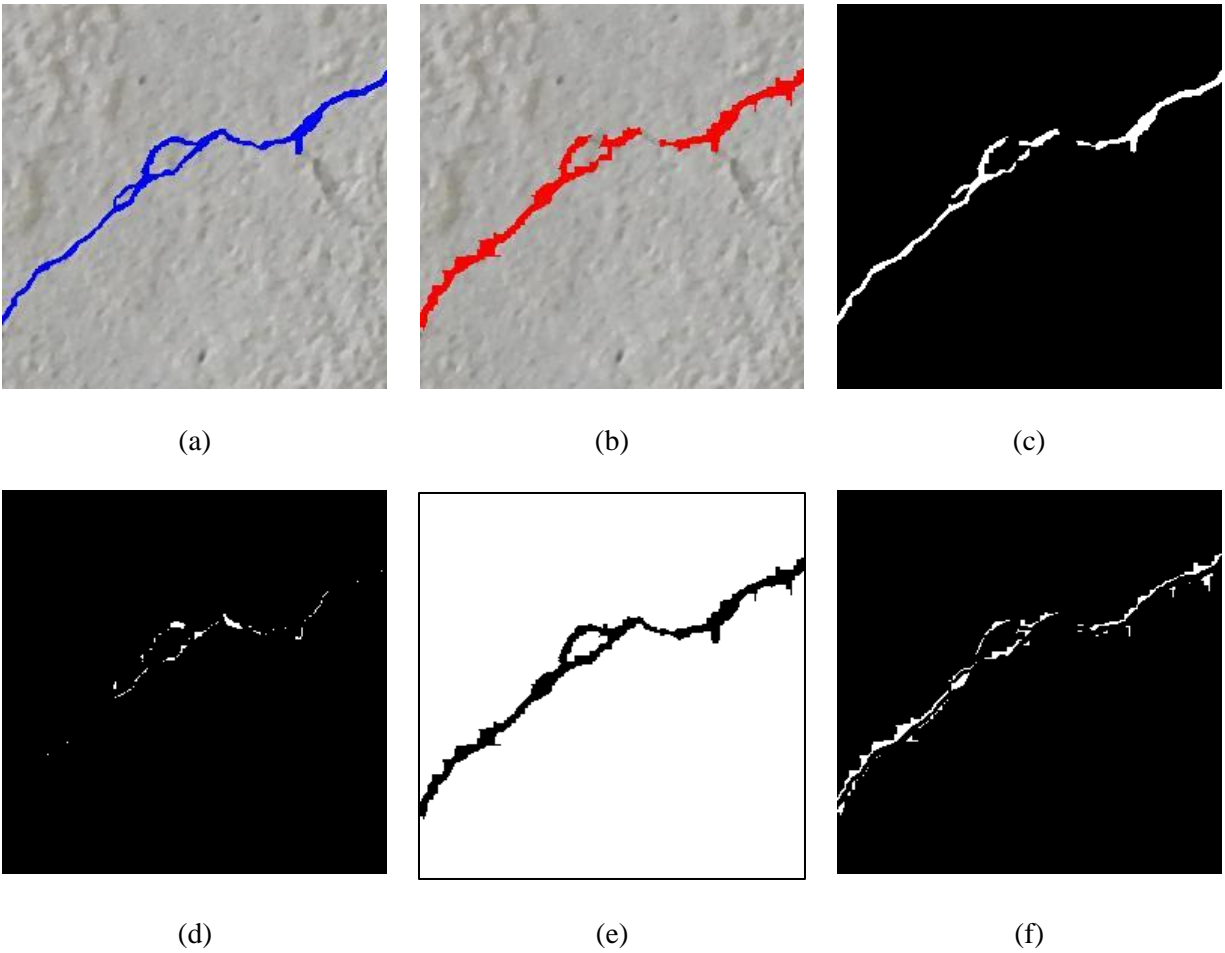
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Figure 6. AlexNet DCNN architecture

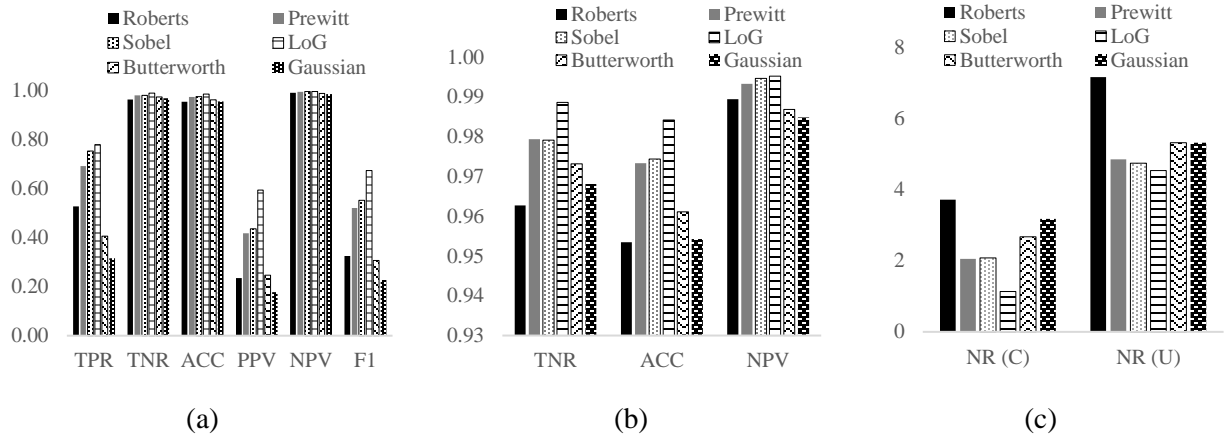
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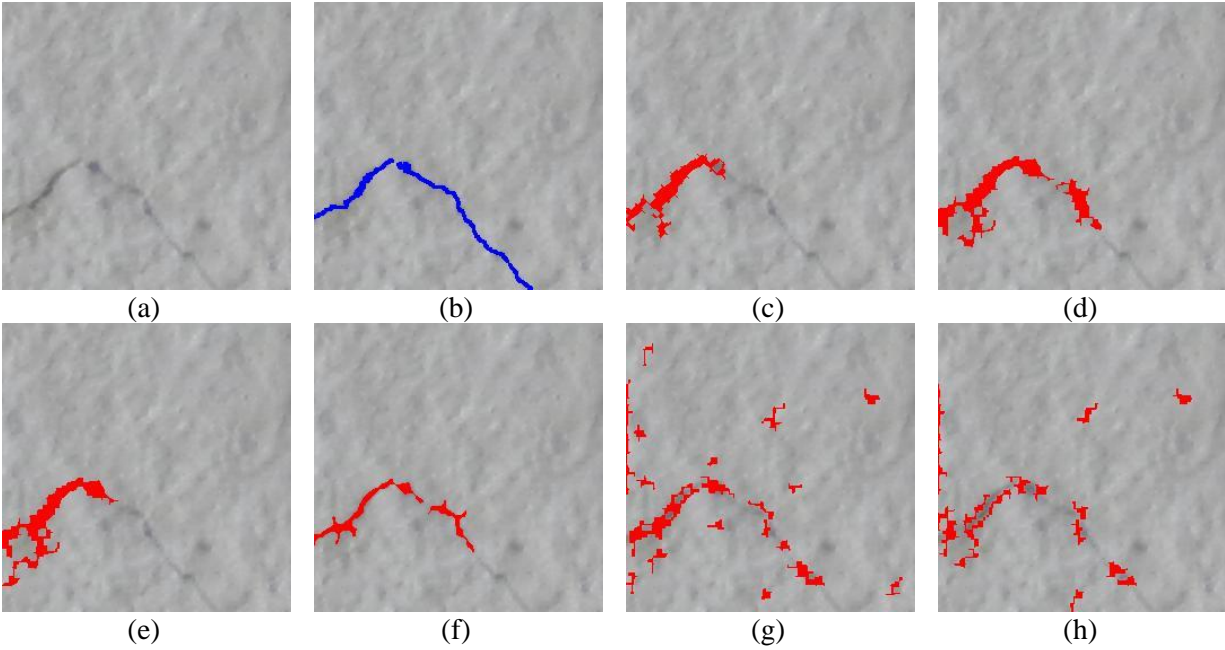


572 **Figure 7.** Examples of metric, (a) ground truth, $C_p=1,582$ px, $U_p=63,954$ px, (b) final binary image using
 573 Roberts edge detector, $C_p=2,276$ px, $U_p=63,260$ px (c) $TP=1,367$ px, (d) $FN=215$ px, (e) $TN=63,045$ px,
 574 (f) $FP=909$ px (Roberts edge detector)



576 **Figure 8** Results of the studied edge detectors on the sub-images in the C class (a) TRP, PPV, and F1 (b)
 577 TNR, ACC, and NPV, (c) NR in C and U classes.

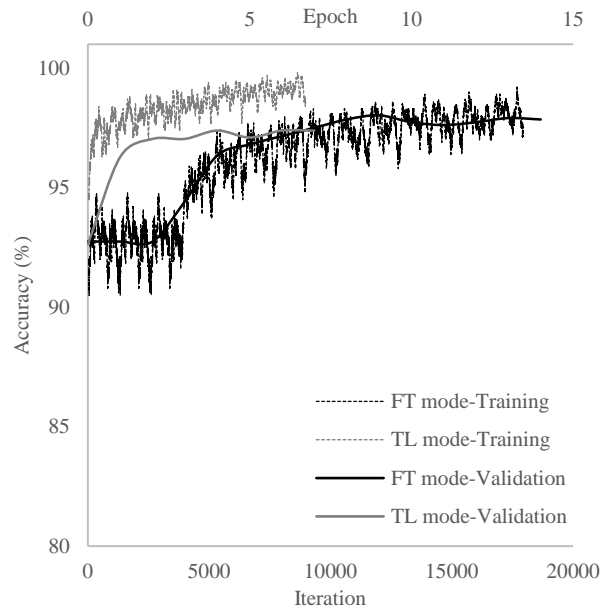
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579 **Figure 9** An example of edge detector performance on a 0.02 mm crack (a) original image, (b) GT=1145
 580 px, (c) Roberts, TPR=39% (d) Prewitt, TPR=60%, (e) Sobel, TPR=55%, (f) LoG, TPR=71%, (g)
 581 Butterworth, TPR=38%, (h) Gaussian, TPR=17%

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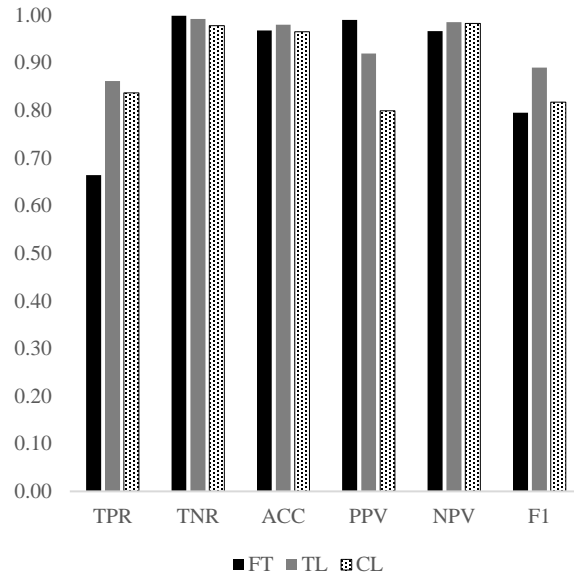


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Figure 10. DCNN accuracy during training and validation

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Figure 11 Metrics for the DCNN in FT, TL, and CL modes

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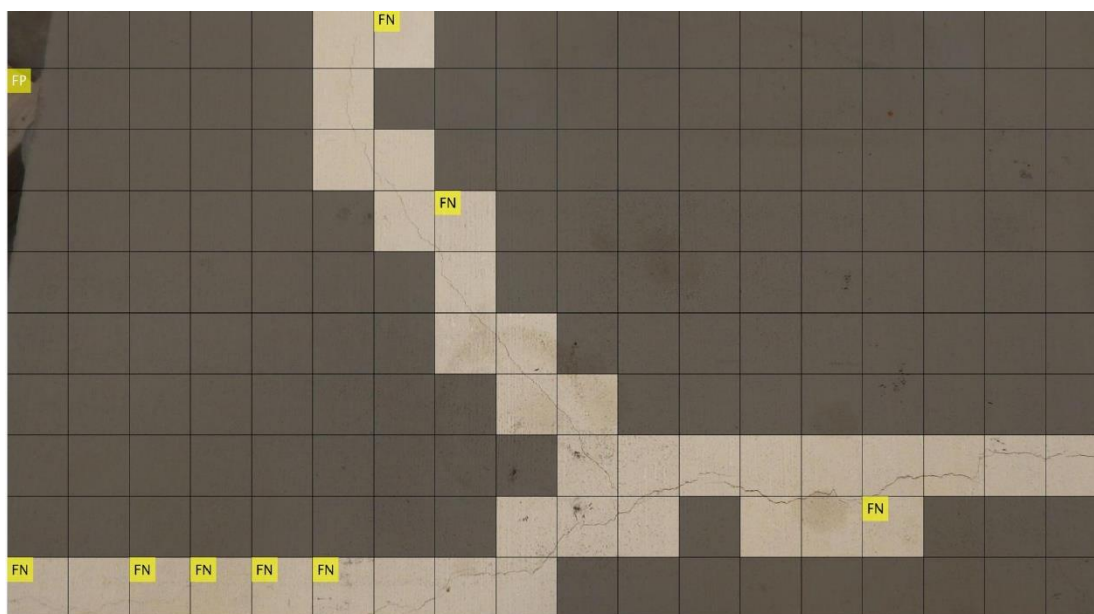
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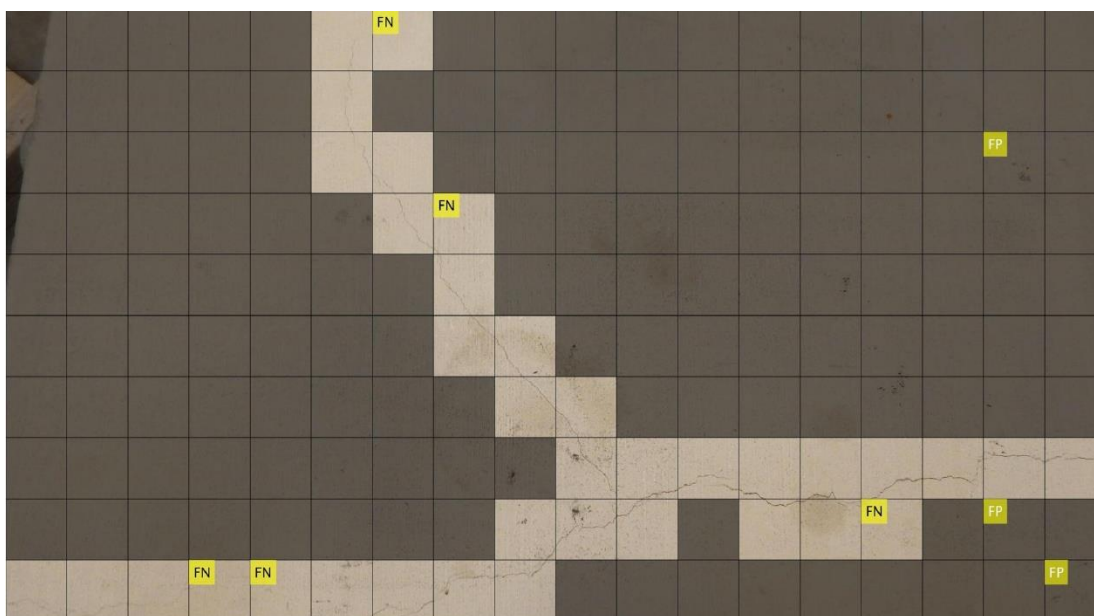
(c)

591 **Figure 12.** DCNN results for a crack of width 0.08 mm: (a) FT mode, (b) TL mode, and (c) CL mode

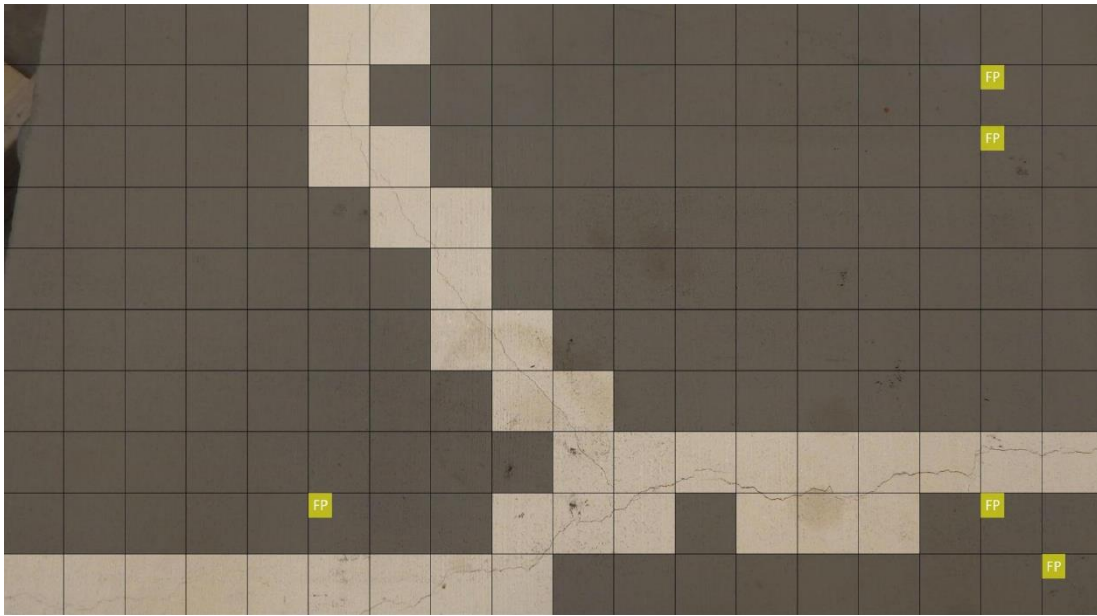
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(a)



(b)

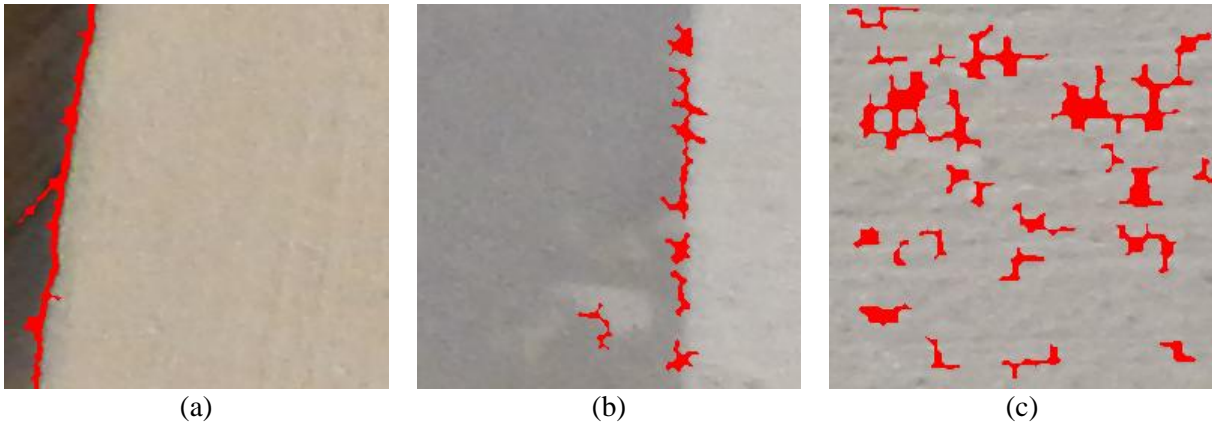


(c)

594 **Figure 13.** Results of (a) fully trained DCNN crack detection, (b) transfer learning DCNN, and (c)
595 classifier DCNN for crack detection on the original full scale images in the testing dataset

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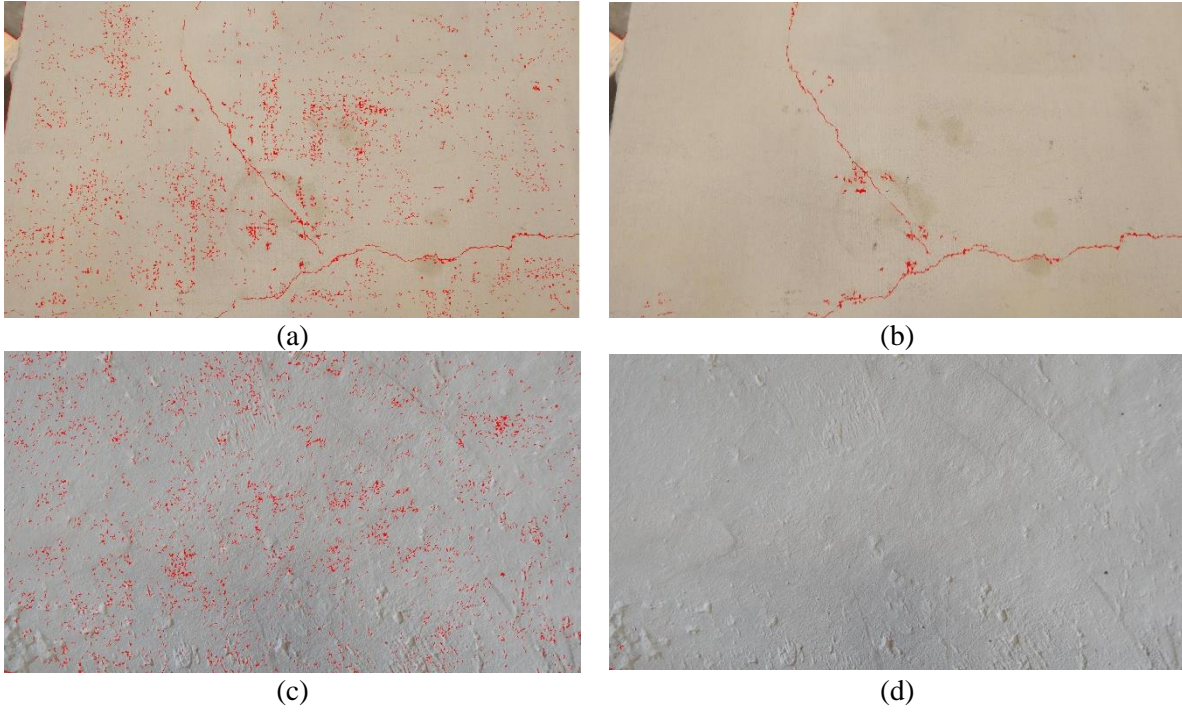


598 **Figure 14** Examples of FNs in the U class images (a) non-crack edge, (b) different surface finish, (c)

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noise due to the coarse concrete surface

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601 **Figure 15** Combination of DCNN and edge detectors (a) the superimposed image with crack using LoG
602 on all sub-images, (b) the superimposed image with crack without using LoG on U class sub-images, (c)
603 the superimposed image without crack using LoG on all sub-images, (d) the superimposed image without
604 crack without using LoG on U class sub-images.

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Table 1. Number of cracked and sound sub-images in training, validation, and testing datasets

Dataset	No of Original Images	C	U	Total
Training	81	1129	11680	12809
Validation		125	1646	1771
Testing	19	319	3101	3420

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Table 2. Number of Cp and Up pixels in the testing dataset

Dataset	Cp	Up	Cp Ratio (%)
im1	18835	11777645	0.16
im2	13952	11782528	0.12
im3	67548	11728932	0.57
im4	13472	11783008	0.11
im5	46192	11750288	0.39
im6	46372	11750108	0.39
im7	46658	11749822	0.40
im8	37572	11758908	0.32
im9	42675	11753805	0.36
im10	88321	11708159	0.75
im11	2693	11793787	0.02
im12	1264	11795216	0.01
im13	3336	11793144	0.03
im14	0	11796480	0.00
im15	5995	11790485	0.05
im16	4203	11792277	0.04
im17	0	11796480	0.00
im18	4953	11791527	0.04
im19	1304	11795176	0.01

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Table 3. Summary of edge detector performance on sub-images in the C class

Domain	Edge Detector	TPR	TNR	ACC	PPV	NPV	F1	MCW (mm)	T (s)
Spatial	Roberts	0.53	0.96	0.95	0.23	0.99	0.32	0.40	5.15
	Prewitt	0.69	0.98	0.97	0.42	0.99	0.52	0.20	4.13
	Sobel	0.76	0.98	0.97	0.44	0.99	0.56	0.20	4.64
	LoG	0.79	0.99	0.98	0.60	1.00	0.68	0.10	3.79
Frequency	Butterworth	0.41	0.97	0.96	0.25	0.99	0.31	0.20	5.76
	Gaussian	0.32	0.97	0.95	0.18	0.98	0.23	0.20	5.70

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Table 4 Summary of edge detector performance on sub-images in the U class

Domain	Edge Detector	TNR	T (s)
Spatial	Roberts	0.93	5.46
	Prewitt	0.95	4.71
	Sobel	0.95	4.83
	LoG	0.95	4.05
Frequency	Butterworth	0.95	5.98
	Gaussian	0.93	5.86

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Table 5. Summary of DCNN results

Mode	TP	FN	TN	FP	TPR	TNR	ACC	PPV	NPV	F1	MCW (mm)	Time (s)
FT	212	107	3099	2	0.66	1.00	0.97	0.99	0.97	0.80	0.08	2.65
TL	275	44	3077	24	0.86	0.99	0.98	0.92	0.99	0.89	0.04	2.81
CL	267	52	3034	67	0.84	0.98	0.97	0.80	0.98	0.82	0.08	2.75

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Table 6. Comparison of DCNN and edge detection performance considering sub-images

Method		TPR	TNR	ACC	PPV	NPV	F1	MCW (mm)	Time (s)
DCNN	FT mode	0.66	1.00	0.97	0.99	0.97	0.80	0.08	2.65
	TL mode	0.86	0.99	0.98	0.92	0.99	0.89	0.04	2.81
	CL mode	0.84	0.98	0.97	0.80	0.98	0.82	0.08	2.75
Edge Detector	Roberts	0.53	0.96	0.95	0.23	0.99	0.32	0.40	5.30
	Prewitt	0.69	0.98	0.97	0.42	0.99	0.52	0.20	4.42
	Sobel	0.76	0.98	0.97	0.44	0.99	0.56	0.20	4.74
	LoG	0.79	0.99	0.98	0.60	1.00	0.68	0.10	3.92
	Gaussian	0.41	0.97	0.96	0.25	0.99	0.31	0.20	5.87
	Butterworth	0.32	0.97	0.95	0.18	0.98	0.23	0.20	5.78

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