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# A landscape index of ecological integrity to inform landscape conservation

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#### Abstract

*Context.* Conservation planning is increasingly using "coarse filters" based on the idea of conserving "nature's stage". One such approach is based on ecosystems and the concept of ecological integrity, although myriad ways exist to measure ecological integrity.

5 *Objectives*. To describe our ecosystem-based *index of ecological integrity (IEI)* and its derivative *index of ecological impact (ecoImpact)*, and illustrate their applications for conservation assessment and planning in the northeastern United States.

*Methods*. We characterized the biophysical setting of the landscape at the 30 m cell resolution using a parsimonious suite of settings variables. Based on these settings variables and mapped

- 10 ecosystems, we computed a suite of anthropogenic stressor metrics reflecting intactness (i.e., freedom from anthropogenic stressors) and resiliency metrics (i.e., connectivity to similar neighboring ecological settings), quantile-rescaled them by ecosystem and geographic extent, and combined them in a weighted linear model to create IEI. We used the change in IEI over time under a land use scenario to compute ecoImpact.
- 15 Results. We illustrated the calculation of IEI and ecoImpact to compare the ecological integrity consequences of a 70-year projection of urban growth to an alternative scenario involving securing a network of conservation core areas (reserves) from future development.
  Conclusions. IEI and ecoImpact offer an effective way to assess ecological integrity across the landscape and examine the potential ecological consequences of alternative land use and land
- 20 cover scenarios to inform conservation decision making.

*Key words:* landscape pattern; landscape metrics; ecological assessment; conservation planning; landscape conservation design; coarse filter

#### Introduction

Unrelenting human demand for commodities and services from ecosystems raises questions of limits and sustainability. Many scientists believe that the earth is facing another mass extinction as a consequence (Pimm et al 1995; Ceballos et al 2015). Indeed, current global extinction rates for animals and plants are at least 100 times higher than the background rate in the fossil record (Ceballos et al 2015). A number of factors have been implicated as key drivers of this global biodiversity crisis, but chief among them is anthropogenic habitat loss and

- 30 fragmentation (Sala et al 2000, Pereira et al 2010; Haddad et al 2015, Newbold et al 2015). In response, land use planners and conservationists are seeking better ways to proactively conserve the most significant natural areas before they are lost or irreversibly degraded, but it is difficult to prioritize areas that are in the greatest need of protection, or determine which ones provide the greatest ecological value for the cost of protection. Analyzing a landscape's
- 35 ecological/biodiversity value requires integrating vast amounts of site-specific information over varying spatial scales. Conservation organizations, which collectively spend billions of dollars each year to protect and connect natural areas (Lerner et al 2007), increasingly need tools to effectively target conservation.

To meet the growing need for targeting conservation action, a variety of approaches have been developed for evaluating the human footprint (e.g., Sanderson et al 2002, Theobald 2013, Venter et al 2016) and selecting lands and waters for conservation protection (e.g., Williams et al 2002; Ortega-Huerta and Peterson 2004, Belote et al 2017). Important questions about the various approaches persist and include the appropriate type or level of diversity on which to focus (e.g., individual species, biotic communities, ecological systems, or geophysical settings),

45 the criteria by which areas should be selected, specific protocols for optimizing reserve selection,

and the amount of protected area needed to achieve conservation goals. Over time, focus has shifted from isolated reserves to interconnected reserve networks selected based on landscape ecology principles (e.g., Soulé & Terborgh 1999; Briers 2002; Cerdeira et al 2005; Beier 2012), and from single species to multi-species and, more recently, ecosystem- and geophysical-based approaches that seek to conserve "nature's stage" (e.g., Hunter et al 1988; Noss 1996; Pickett et al. 1992; Anderson and Ferree 2010; Beier et al 2015; Wurtzebach and Schultz 2016). These approaches emphasize retaining representative ecological and/or geophysical settings instead of focal species, and as such provide a "coarse filter" (sensu Hunter et al 1988) for biodiversity conservation. The use of such a coarse filter is touted as being proactive for species conservation

- 55 because if ecological settings (which provide the habitat that species depend on) remain intact, most species will also be conserved (e.g., Scott et al. 1993). Moreover, it is assumed that if ecological settings remain intact, critical ecological and evolutionary processes, such as nutrient and sediment transport, interspecific interactions, dispersal, gene flow and disturbance regimes, will also be maintained and provide the necessary environmental stage for climate adaptation to
- 60 occur (Beier 2012; Beier et al 2015). This prospect is appealing because biological diversity (with shifting composition) could be conserved under changing environmental conditions with the same expenditure of funds and commitment of land to conservation and without specific and detailed knowledge of every species of interest.

While the general concept of focusing on nature's stage is both appealing and intuitive, there
are many different approaches for doing so. One approach has been to focus solely on the geophysical environment without attention to the biota, and identify and prioritize representative, diverse and connected geophysical settings based on one or more metrics (e.g., Anderson et al 2014; Beier et al 2015). Here the goal is to conserve the abiotic stage and allow the biota to

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change and "play out" on this stage over time, especially in response to climate change (Beier

- 70 and Brost 2010; Beier 2012). For example, Anderson et al (2014) measured site resiliency using a combination of two metrics: 1) landscape diversity, which refers to the number of microhabitats and climatic gradients available within a given area based on the variety of landforms, elevation range, soil diversity, and wetland extent and density, and 2) local connectedness, which refers to the accessibility of neighboring natural areas. This measure of
- 75 site resiliency is agnostic to the distribution of biota and explicit climate change projections, but is somewhat sensitive to the impacts of human development via the fragmentation of natural areas. This approach has been shown to perform well as a surrogate for species diversity (Anderson et al 2014).
- An alternative approach, but not without its critics (e.g., Brown and Williams 2016), has been
  to focus on ecosystems, with attention to both the biotic as well as geophysical environment, and use the concept of ecological integrity to identify and prioritize places of conservation value (e.g., Tierney et al 2009, Theobald 2013, Wurtzebach and Schultz 2016, Belote et al 2017). Here the goal is to conserve the "ecological stage" by focusing on places with high ecological integrity that can sustain the biota and critical ecological processes. Ecological integrity is broadly defined
  as "the ability of an ecological system to support and maintain a community of organisms that has species composition, diversity, and functional organization comparable to those of natural habitats within a region; an ecological system has integrity when its dominant ecological characteristics (e.g., elements of composition, structure, function, and ecological processes) occur within their natural ranges of variation and can withstand and recover from most
- 90 perturbations imposed by natural environmental dynamics or human disruptions." (Parrish et al. 2003, p. 852).

As part of a broader framework for biodiversity conservation in the northeastern United States that we developed initially under the auspices of the Conservation Assessment and Prioritization System (CAPS) project (www.umasscaps.org) and expanded for the Designing

- 95 Sustainable Landscapes (DSL) project in collaboration with the North Atlantic Landscape Conservation Cooperative (NALCC, McGarigal et al 2017), we developed an ecosystem-based, landscape ecological approach for quantitatively evaluating the relative ecological integrity, and thus the biodiversity conservation value of every raster cell over varying extents (e.g., watershed, ecoregion, state) across the Northeast. Our approach is based on a modified concept of ecological
- 100 integrity, which we define as the ability of an area to support native biodiversity and the ecosystem processes necessary to sustain that biodiversity over the long term. Importantly, our definition emphasizes the maintenance of ecological functions rather than the maintenance of a particular reference biotic composition and structure, and thus accommodates the modification or adaptation of systems (in terms of biotic composition and structure) over time to changing
- 105 environments (e.g., as driven by climate change) as in the geophysical approach. Moreover, our approach rests on an unproven and perhaps unprovable assumption that an index of ecological integrity can be measured that reflects the ecological functions necessary to confer ecological integrity to a site. Our approach assumes that by conserving relatively intact and resilient ecological settings as measured by an appropriate index, we can conserve most species and
- 110 ecological processes. Moreover, by identifying the lands and waters most worthy of protection based on the highest relative ecological integrity, conservation organizations can target their limited dollars strategically. In this paper, we describe our ecosystem-based assessment of ecological integrity, which is encapsulated into an *index of ecological integrity (IEI)*, and illustrate its application for conservation in the northeastern US.

#### 115 Model Development

Our approach is raster-based and can be applied at any spatial resolution over any landscape extent large enough to capture a sufficiently wide gradient of ecological settings and anthropogenic land use impacts. Here, we describe the method generically and demonstrate its application to a 30 m resolution raster over the extent of the 13 northeastern states (VA, WV,

DE, MD, PA, NJ, NY, CT, RI, MA, NH, VT, ME) plus Washington DC (hereafter the Northeast). All modeling was done with custom APL programs (APL+Win 12, APLNow, LLC). Source code can be obtained from B. Compton. Figure 1 depicts a schematic outline of the analytical process described in this section.

#### Ecological settings and ecosystems

- 125 Central to our approach is the characterization of the biophysical setting of every cell. For this purpose, we derive a comprehensive but parsimonious suite of continuous "ecological settings" variables that characterize important abiotic and anthropogenic aspects of the environment (Table 1). Each settings variable is selected based on a distinct and well-documented influence on ecological systems. The only biotic attribute that we include is potential dominant life form
  130 (e.g., grassland, shrubland, forest). Otherwise, the ecological settings are agnostic to vegetation
- composition and structure, as in the geophysical stage approach. The exact list of variables and their data source can vary among applications depending on data availability and objectives. The setting variables are used in the calculation of the individual ecological integrity metrics and (optionally) in the calculation of the composite *IEI* described below.
- 135 We also assign each cell to a discrete ecosystem type, which can be based on any classification scheme that can be mapped (e.g., **Appendix B**). Ecosystems are used as an

organizational framework for scaling the ecological integrity metrics described below. It is not necessary to assume discrete ecological systems, since an ecological gradient approach for scaling the metrics is also feasible (see below), but for ease of interpretation and consistency

140 with other derived products, we have used discrete ecosystems in all of the conservation applications to date.

#### Ecological neighborhoods

Ecological neighborhoods (sensu Addicott et al 1987) play an important role in the computation of the ecological integrity metrics described below, as in other approaches (e.g., Theobold 2013,

- 145 Anderson et al 2014), but our particular implementation of neighborhoods are distinctive of our approach. We use non-linear kernels to specify how to weight the ecological neighborhood of a focal cell; i.e., to determine how much influence a neighboring cell has on the integrity of the focal cell. We use three different kinds of kernel estimators: 1) standard kernel estimator for the non-watershed-based metrics, 2) resistant kernel estimator for the connectedness metrics, and 3)
- 150 watershed kernel estimator for the watershed-based metrics.

*Standard kernel*—The standard kernel produces a three-dimensional surface representing an estimate of the underlying probability distribution (or ecological neighborhood) centered on a focal cell (Silverman 1986). The standard kernel estimator begins by placing a standard kernel (e.g., Gaussian kernel) over a focal cell. In the standard Gaussian kernel, the "bandwidth" which

155 controls the spread of the kernel is equal to one standard deviation and accounts for 39% of the kernel volume. The value of the kernel at each cell represents the weight of the cell, which decreases monotonically and nonlinearly from the focal cell according to the kernel function as the distance from the focal cell increases. Typically the kernel is scaled such that the weights sum to one across all cells. Lastly, the kernel weights are multiplied by the value of the

160 ecological attribute under consideration (e.g., traffic intensity, nutrient loading, or percent impervious) and summed to produce a kernel-weighted average.

We can think of the standard kernel as an estimate of the ecological neighborhood of the focal cell, where the size and shape of the kernel represent how the strength of the ecological relationship varies (nonlinearly) with distance from the focal cell (**Fig. 2a**). The standard kernel estimator provides an estimate of the intensity of an ecological attribute within that ecological neighborhood; i.e., the kernel-weighted mean of the attribute. We use the standard kernel estimator, at various bandwidths (reflecting the width of the kernel), to estimate the intensity of point features (e.g., point sources of pollution), linear features (e.g., roads), and patches (e.g.,

developed land cover), including all non-watershed-based ecological integrity metrics with the

170 exception of connectedness.

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*Resistant kernel.*— Like a standard kernel the resistant kernel is used to assign weights to a neighborhood around a focal cell with the critical difference being that the higher weight is now assigned to cells that are easier to get to (smaller cost-distances) instead of simply closer in Euclidian distance. Introduced by Compton et al. (2007), the resistant kernel is a hybrid between two existing approaches: the standard kernel estimator as described above and least-cost paths based on resistant surfaces. Resistant surfaces (also referred to as cost surfaces) are being increasingly used in landscape ecology to model ecological flows in heterogeneous landscapes (Zeller et al 2012). In a patch mosaic, for example, a resistance value (or cost) is assigned to each patch type, typically representing a divisor of the expected rate of ecological flow (e.g.,

180 dispersing or migrating animals) through a patch type. In a least-cost path approach, the cost distance (or functional distance) between two points along any particular pathway is equal to the cumulative cost of moving through the associated cells. This least-cost path approach can be

extended to a multidirectional approach that measures the functional distance (or least-cost distance) from a focal cell to every other cell in the landscape as a means of defining the

185 accessible ecological neighborhood. These distances can then be converted to weights based on a Gaussian or other function such that higher weight is assigned to closer (in least-cost distance) cells.

In the resistant kernel algorithm, resistance values can be assigned any number of ways, but in this application we assign landscape resistance uniquely to each neighboring cell based on its

"ecological distance" to the neighboring cell, where ecological distance is derived from the suite of ecological settings variables. Because resistance of neighboring cells is based on ecological distance to the focal cell, landscape resistance varies dynamically across the landscape; i.e., there is a unique landscape resistance surface for each focal cell. For each focal cell, first we calculate the weighted Euclidean distance between the focal cell and each neighboring cell in settings
space (across all dimensions), where each settings variable is first range rescaled 0-1 and then multiplied by its assigned weight to reflect its importance in determining landscape resistance (Table 1), as follows:

$$d_n = \sqrt{\sum_{i=1}^p \left(w_i(x_{fi} - x_{ni})\right)^2}$$

where  $d_n$  = Euclidean distance between the  $n^{th}$  neighboring cell and the focal cell; i = 1 to psettings variables (dimensions);  $w_i$  = weight for the  $i^{th}$  settings variable;  $x_{if}$  = value of the  $i^{th}$ settings variable (scaled 0-1) at the focal cell; and  $x_{ni}$  = value of the  $i^{th}$  settings variable at the  $n^{th}$ neighboring cell. Next, we divide the result above by the maximum possible weighted Euclidean distance based on the non-anthropogenic (a.k.a. "natural") settings variables. Thus, if the focal

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cell and neighboring cell are both undeveloped and have identical values across all natural settings variables, the weighted Euclidean distance will always equal zero. On the other hand, if

- 205 the two cells have maximally different values (i.e., a difference of one for each of the natural settings variables), the weighted Euclidean distance will always equal one. However, if the neighboring cell is developed, the weighted Euclidean distance can exceed one. Lastly, we convert weighted Euclidean distance to resistance by multiplying it by a constant and adding one to ensure that resistance is never less than one. The constant (which interacts with bandwidth)
- 210 determines the theoretical maximum resistance between two undeveloped cells (i.e., when their weighted Euclidean distance is one), which we set to be 50 for the connectedness metric and 300 for the aquatic connectedness metrics described below. We selected the constants based on preliminary analyses in which we subjectively evaluated the behavior of the metric in discriminating among undeveloped and developed settings. By setting anthropogenic weights to
- be relatively high, the resistance (e.g., of a high-traffic expressway or a large dam) can become high enough to cause a neighboring developed cell to act as a complete barrier to spread in the resistant kernel. Consequently, rivers and other natural features can act as partial barriers to spread from focal cells with a high ecological distances (e.g., dry oak forests), but the maximum resistance between natural features is never more than two, while anthropogenic features such as highways can have higher resistances up to the maximum value determined by the constant.

A detailed description of the resistant kernel algorithm is given in **Appendix C**. Briefly, using the resistant surface described above, the resistant kernel computes the least cost distance to each neighboring cell (i.e., cumulative cost of spreading from the focal cell to the neighboring cell along the least cost path) and transforms these distances into probabilities based on the specified kernel, such that the probabilities (or weights) sum to one across all cells. The end

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result is a resistant kernel that depicts the functional ecological neighborhood of the focal cell (**Fig. 2b**). In essence, the standard kernel is an estimate of the fundamental ecological neighborhood and is appropriate when resistance to movement is minimal (e.g., highly vagile species), while the resistant kernel is an estimate of the realized ecological neighborhood when

- 230 resistance to movement is nontrivial. The resistant kernel can also be thought of as representing a process of spread (e.g., dispersal) to or from the focal cell that combines the cost of moving through a heterogeneous and resistant neighborhood with the typically nonlinear cost of moving any distance away from the focal cell. In our ecological integrity assessment, we use the resistant kernel estimator in the terrestrial and aquatic connectedness metrics.
- 235 Watershed kernel.—The standard kernel estimator may not be meaningful for aquatic communities where the ecological neighborhood is more likely to be the watershed area above the focal cell than a symmetrical area around the focal cell. Thus, for the watershed-based metrics, we use a watershed kernel estimator based on a time-of-flow model (Randhir et al. 2001) as described in detail in **Appendix D**. Briefly, the time-of-flow model estimates the time
- 240 (*t*) it takes for a drop of water (or water-born materials such as pollutants) to reach the focal cell; it ranges from zero at the focal cell to some upper bound based on the size and characteristics of the watershed. We rescale *t* to range 0-1 by dividing *t* by the maximum observed value of *t* for the watershed of the focal cell and then taking the complement. In the resulting kernel, the weight ranges from 1 (maximum influence) at the focal cell to 0 (no influence) at the cell with
- 245 the least influence (i.e., at the furthest edge of the watershed). In essence, kernel weights decrease monotonically as the distance upstream and upslope from the focal cell increases, but the weights decrease much faster across land than water so that the kernel typically extends much farther upstream than upslope. The resulting kernel can be viewed as a constrained

watershed in which cells in the stream and closer to the focal cell have higher weight and cells in

250 the upland and farther from the stream, especially on flat slopes with forest cover, have increasingly less weight (Fig. 2c).

Clearly, this simple time-of-flow model does not capture all the nuances of real landscapes that influence the actual time it takes for water to travel from any point in the watershed to the focal cell (e.g., soil characteristics that influence infiltration of precipitation and vegetation characteristics that influence water loss through evapotranspiration), but it nonetheless provides a much more meaningful way to weight the importance of neighboring cells than either the standard kernel estimator that does not account for flow or a uniform watershed kernel in which all cells in the watershed count equally.

#### Ecological integrity metrics

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260 Our ecological integrity assessment involves computing a suite of metrics that characterize the ecological neighborhood of each focal cell based on one of the kernel estimators described above. Currently, our suite of metrics measure two important components of ecological integrity: intactness and resiliency.

Intactness refers to the freedom from human impairment (or anthropogenic stressors) and is measured using a broad suite of individual stressor metrics (**Table 2**) such that the greater the level of anthropogenic stress, the lower the estimated intactness. The stressor metrics are computed for all undeveloped cells, although some metrics apply only to certain ecosystems (e.g., watershed-based metrics apply only to aquatic and wetland systems). Each stressor metric measures the magnitude of the anthropogenic stressor within the ecological neighborhood of each cell and is uniquely scaled to the appropriate units for the metric. For example, the road traffic metric measures the intensity of road traffic (based on the estimated probability of an animal being hit by a vehicle while crossing a road given the estimated mean traffic rate) in the neighborhood surrounding the focal cell based on a standard logistic kernel (**Fig. 3a**). The value of each metric increases with increasing intensity of the stressor within the ecological

- 275 neighborhood of the focal cell. Thus, the raw value of a stressor metric is inversely related to intactness and thus ecological integrity. The value of the metric at any location is generally independent of the particular ecological setting or ecosystem of the focal cell, as it depends primarily on the magnitude of the stressor emanating outward from the anthropogenic features of interest (e.g., roads). Thus, the stressor metrics are all interpretable in their raw-scale form; i.e.,
- 280 they do not need to be rescaled by ecological setting or ecosystem (as described below) to be meaningfully interpreted.

Each metric measures a different anthropogenic stressor and is intended to reflect a unique and well-documented relationship between a human activity and an ecological function. However, these stressor metrics are not statistically independent, since the same human activity can have multiple ecological effects. Consequently, these stressor metrics are viewed as a correlated set of metrics that collectively assess the impact of human activities on the intactness of the ecological setting or ecosystem.

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*Resiliency* refers to the capacity to recover from disturbance and stress; more specifically, the amount of disturbance and stress a system can absorb and still remain within the same state or

290 domain of attraction, i.e., resist permanent change in the function of the system (Holling 1973, 1996). In other words, as reviewed by Gunderson (2000), resiliency generally deals with the capacity to maintain characteristic ecological functions in the face of disturbance and stress. In contrast to intactness, resiliency is both a function of the local ecological setting, since some

settings are naturally more resilient to stressors (e.g., a wetland isolated by resistant landscape

- 295 features is less resilient to species loss than a well-connected wetland, because the latter has better opportunities for recolonization of constituent species), and the level of stress, since the greater the stress the less likely the system will be able to fully recover or maintain ecological functions. Moreover, the concept of resiliency applies to both the short-term or immediate capacity to recover from disturbance and the long-term capacity to sustain ecological functions
- 300 in the presence of stress. The landscape attributes that confer short-term resiliency may not be the same as those that confer long-term resiliency, as discussed later. Given these considerations, resiliency is a complex, multi-faceted concept that cannot easily be measured with any single metric. For the applications presented in this paper we implemented a few different resiliency metrics (**Table 2**).
- 305 Like the stressor metrics, the resiliency metrics are computed for all undeveloped cells. In contrast to the stressor metrics, the value of each resiliency metric increases with increasing resiliency, so larger values connote greater integrity. Also in contrast to the stressor metrics, the value of the resiliency metric at any location is dependent on the particular ecological setting of the focal cell and its neighborhood. For example, the connectedness metric measures the
- 310 functional connectivity of a focal cell to its ecological neighborhood (based on a resistant Gaussian kernel); more specifically, the capacity for organisms to move to and from the focal cell from neighboring cells with a similar ecological setting as the focal cell (Fig. 3b). Consequently, connectedness is especially relevant for less vagile organisms where the resistance of the intervening landscape limits movement to and from the focal cell. Connectedness confers
- 315 resiliency to a site since being connected to similar ecological settings should promote recovery of the constituent organisms following a local disturbance.

In contrast to the stressor metrics, the resiliency metrics are not particularly useful in their raw-scale form because they do not have interpretable units. Instead, they are best interpreted when rescaled by ecological setting or ecosystem (see below) so that what constitutes high

- 320 resiliency for a small patch-forming ecological system such as a wetland need not be the same as for a matrix-forming system such as upland forest. Like the stressor metrics, each resiliency metric measures resiliency from a different perspective and is intended to reflect a unique and well-documented relationship between landscape context and ecological function, and resiliency metrics are correlated, yielding a set of metrics that collectively assess the capacity of a site to recover from or adapt to disturbance and stress.
  - Index of ecological integrity

The individual stressor and resiliency metrics can be used by themselves, but it is more practical to combine them into a composite index (*IEI*) for conservation applications.

Quantile-rescaling.— Each of the raw stressor and resiliency metrics are scaled differently.

- 330 Some are bounded 0-1 while others have no upper bound. Moreover, each of the metrics will have a unique empirical distribution for any particular landscape. In order to meaningfully combine these metrics into a composite index, therefore, it is necessary to rescale the raw metrics to put them on equal ground. Quantile-rescaling involves transforming the raw metrics into quantiles, such that the poorest cell gets a 0.01 and the best cell gets a 1. Quantile-rescaling
- 335 facilitates the compositing of metrics by putting them all on the same scale with the same uniform distribution regardless of differences in raw units or distribution. Moreover, quantiles have an intuitive interpretation, because the quantile of a cell expresses the proportion of cells with a raw value less than or equal to the value of the focal cell. Thus, a 0.9 quantile is a cell that has a metric value that is greater than 90% of all the cells, and all the cells with >0.9 quantile

340 values comprise the best 10% within the analysis area. In light of these advantages, it is importance to recognize that quantile scaling means the ecological difference between say 0.5 and 0.6 is not necessarily the same as the ecological difference between say 0.8 and 0.9.

There are two fundamentally different ways to conduct quantile rescaling. In the first approach, which we refer to as "ecosystem-based rescaling," quantile-rescaling is done by

- 345 discrete ecosystems. Ecosystem-based rescaling means that forests are compared to forests, emergent marshes are compared to emergent marshes, and so on. It doesn't make sense to compare the integrity of an average forest cell to that of an average wetland cell, because wetlands have been substantially more impacted by human activities such as development than forests, and they are inherently less-connected to other wetlands. Rescaling by ecosystem means
- 350 that all the cells within an ecosystem are ranked against each other in order to determine the cells with the greatest relative integrity for each ecosystem. In the applications of IEI to date (see below) we have used this form of rescaling. In the second approach, which we refer to as "gradient-based rescaling," quantile-rescaling is done by comparing focal cells to similar cells based on multivariate distance in ecological setting space, which does not rely on discrete 355 ecosystems. Comparative performance of these two alternative rescaling approaches remains an

important subject for future research.

*Ecological integrity models.*—The next step is to combine the quantile-rescaled metrics into the composite index. However, given the range of metrics (Table 2), it is reasonable to assume that some metrics are more relevant to some ecological settings or ecosystems than others. For example, the watershed-based stressor metrics and aquatic connectedness were designed specifically for aquatic and/or wetland communities. Moreover, it is reasonable to assume that

the weights applied to the metrics should vary among ecological settings or ecosystems, since

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what stressors matter most, for example, to an emergent marsh may not be the same as for an upland boreal forest. Consequently, we employ ecosystem-specific ecological integrity models to

- 365 weight the component metrics in the composite index (e.g., Appendix F). An ecological integrity model is simply a weighted (by expert teams, Appendix F) linear combination of metrics designated for each ecosystem, although for parsimony sake we generally designate a unique model for each ecological formation, which is a group of similar ecosystems (Appendix B).
- *Rescaling the final index.*—Lastly, we quantile-rescale the final composite index by ecosystem again to ensure the proper quantile interpretation. The final result is a raster that ranges 0-1. It is important to recognize that quantile-rescaling means that the results are dependent on the extent of the analysis area, because the quantiles rank cells relative to other cells within the analysis area (Fig. 4). The best of the Kennebec River watershed, for example, is not the same as the best of the state of Maine or the entire Northeast. Of course, dependence on landscape extent is true of any algorithm that compares a site to all other sites. Consequently, quantile-rescaling is done separately for each analysis unit of interest. Ultimately, the choice of extent for the analysis units is determined by the application objectives, but with consideration of the mapped heterogeneity. For example, our experience has shown us that when using the DSL
  ecosystem map, scaling by ecosystems at extents less than roughly a HUC6-level watershed can produce spurious results owing to the categorical mapping of ecosystems and the limited extent

of some ecosystems. HUCs are a USGS system for hierarchically classifying nested watersheds, such that a HUC6-level watershed is comprised of two or more HUC8-level sub-watersheds.

*Interpreting IEI.*—It is critical to recognize the relative nature of *IEI*; a value of 1 does not mean that a site has the maximum <u>absolute</u> ecological integrity (i.e., completely unaltered by human activity and perfectly resilient), only that it is the best of that ecological setting or ecosystem within the geographic extent of that particular analysis unit. In an absolute sense, the best within any particular geographic extent may still be degraded. Consequently, *IEI* is only useful as a comparative assessment tool. In addition, the final *IEI* has a nicely intuitive

**390** interpretation because the quantile of a cell expresses the proportion of cells with a raw value less than or equal to the value of the focal cell, thus a cell with an *IEI* of 0.9 is among the best 10% in its ecosystem within its geographic extent.

# Index of Ecological Impact

IEI characterizes the integrity of sites relative to other sites in a similar ecological setting or

- 395 ecosystem. Thus, it is a static measure of ecological integrity based on a snapshot of the landscape. It can be equally useful to assess the change in ecological integrity over time under a specific landscape change scenario (see Model Application). For this purpose, we developed the *index of ecological impact (ecoImpact)* to measure the change in *IEI* between the current and future timesteps relative to the current *IEI*; i.e., effectively delta *IEI* times current *IEI*. A site that
   A site that
- 400 experiences a major loss of *IEI* has a high predicted ecological impact; i.e., a loss of say 0.5 *IEI* units reflects a greater relative impact than a loss of 0.2 units. Moreover, the loss of 0.2 units from a site that has a current *IEI* of 0.9 is more consequential than the same absolute loss from a site that has a current *IEI* of 0.5. Thus, *ecoImpact* reflects not only the magnitude of *IEI* loss, but also where it matters most—sites with high initial integrity.
- **405** *Delta-rescaling.*—The derivation of *ecoImpact* consists of rescaling the individual raw metrics, but using a different rescaling procedure than we used with *IEI*, which suffers from what we call the "Bill Gates" effect when used for scenario comparison. This occurs when the value of the raw metric is decreased at a high-valued site without changing the quantile. This is analogous

to taking 10 billion dollars away from Bill Gates, yet he remains among the richest 0.1% of

- 410 people in the world. Likewise, a small absolute change in a raw metric can, under certain circumstances, result in a large change in its quantile, even though the ecological difference is trivial. Therefore, the use of quantile-rescaling is not appropriate if we want to be sensitive to the absolute change in the integrity metrics. To address these issues, we developed delta-rescaling as an alternative to quantile-rescaling that is more meaningful when comparing landscapes.
- 415 Delta-rescaling is rather complicated in detail and thus is presented in full in Appendix G.
  Briefly, delta-rescaling involves computing the difference in the raw metric from its initial or baseline value rather than comparing it to the condition of ecologically similar cells or cells of the same ecosystem. These delta values are rescaled and combined in a weighted linear combination (as in *IEI*) and multiplied by the initial or baseline *IEI* to derive the final index (Fig. 5). The end result is that a cell with maximum initial *IEI* (1) that is completely degraded (1→0)
- gets a value of -1, indicating the maximum possible ecological impact. Conversely, a cell that experiences no change in *IEI* gets a value of 0, indicating no ecological impact.

It is important to recognize the differences between *ecoImpact* and *IEI*. The former measures the change in *IEI* relative to the initial or baseline condition. Roughly speaking, *ecoImpact* 

425 compares each cell to itself—the change in integrity over time—whereas *IEI* compares each cell to other cells of the same ecological setting or ecosystem within the specified geographic extent. Also, *ecoImpact* is weighted by the current *IEI* of the cell, so that impact is greatest where it matters most — cells with high initial *IEI* that lose most or all of their value. Even though the units of *ecoImpact* do not have an intuitive interpretation, the absolute value of the index is
430 meaningful for comparative purposes, and thus it can be summed across all cells in the landscape

(or within a user-defined mask) to provide a useful numerical summary of the total ecological impact of alternative landscape change scenarios.

### **Model Application**

To demonstrate the application of *ecoImpact*, we quantified the loss of ecological integrity 435 between 2010-2080 within the northeastern United States under two landscape change scenarios: (a) urban growth without additional land protection, and (b) same amount of urban growth but with strategic land protection based on a regional landscape conservation design (see <u>www.naturesnetwork.org</u>). For the first scenario only the existing secured lands representing ~18% of the landscape (and lands otherwise unsuitable for development) were restricted from

- 440 future development. For the second scenario, 25% of the highest ecologically-valued lands and waters as well as any lands already secured (representing a total of ~34% of the landscape) or otherwise unsuitable for development, were protected from future development. For both scenarios, we simulated urban growth using the SPRAWL model that we developed in connection with the DSL project mentioned previously (McGarigal et al In review). The
- 445 SPRAWL model allocates forecasted demand for new development within subregions (representing counties or census block statistical areas) to local application panes (5 km on a side in our application) based on their landscape context using a unique matching algorithm, such that the more historical development that occurred in the matched training windows (i.e., in a similar landscape context) the higher proportion of the future demand is assigned to the application
- 450 pane. Subsequently, the demand in each pane is allocated among transition types (i.e., development classes) and then stochastically allocated to individual cells and patches based on suitability surfaces derived from logistic regression models unique to that landscape context. We conducted three replicate 70-year simulations of urban growth under each scenario and computed

the average total impact (sum of ecoImpact across all cells) for each scenario. The total

455 ecological impact was 8.5% less under the landscape conservation design scenario (Fig. 5). Consequently, even though the conservation design scenario restricted development from an additional 16% of the highest-valued locations, the reduced impact was only half that amount because there was still an abundance of moderate- to highly-valued lands that remained unprotected that suffered impacts from development.

#### 460 Discussion

Coarse-filter ecological assessments are increasingly used by conservation organizations to evaluate ecological impacts and guide conservation planning, although there appears to be no consensus yet on a preferred approach (e.g., Andreasen et al 2001, Parrish et al 2003, Tierney et al 2009, Beier et al 2015). We developed an approach that has been used in several real-world

465 applications (see below) that is distinctive in several ways.

First, our approach is based predominantly on geophysical settings (i.e., the geophysical stage) similar to approaches proposed by others (e.g., Anderson and Ferree 2010, Anderson et al 2014, Beier et al 2015), but modified to make limited use of the dominant biotic community as well. Specifically, we include the dominant potential life form of the vegetation in the broad

- 470 suite of ecological settings variables that are used to define the biophysical setting of each cell, which affects ecological similarity and resistance as incorporated into a few of the ecological integrity metrics. In addition, we use mapped ecosystems to assign models (i.e., weights) for combining the individual integrity metrics into the composite *IEI* and *ecoImpact* indices, which has at least three advantages. First, it allows the results of the analysis to be easily combined with
- 475 other products that adopt the same ecosystem classification. Second, it explicitly recognizes that ecological systems, which represent the co-dependency of the dominant biota and abiotic

environment, are often a conservation target of interest, even while allowing the individual plant and animal species to vary among sites and over time. Lastly, it allows us to customize vulnerability to anthropogenic stressors among ecosystems, which can be incorporated directly

480 into the metric weights that form the integrity models. Note, if distinct ecosystems are not deemed meaningful or reliably mapped, we have an alternative gradient-based approach that can be used.

Second, our approach embraces the concept of ecological integrity, but defined in a manner that makes it less subject to the criticisms often leveled against the use of ecological integrity

- (Brown and Williams 2016). In particular, our approach does not require the establishment of a reference condition or natural range of variation for each of the metrics as is customary for definitions of ecological integrity (Parrish et al 2003), which we purport is exceedingly difficult or even impossible to do in most applications. Instead, we compare each cell to other cells in a similar ecological setting or ecosystem, or each cell to itself at a different point in time, to derive
- 490 an index of relative integrity. Thus, our approach seeks to find the "best" places that are available today or that are likely to be impacted the least (or most depending on the application). In addition, while most approaches based on ecological integrity are heavily vegetation-centric in the constituent metrics (e.g., Wurtzebach and Schultz 2016), our approach relies very little on mapped vegetation patches and instead focuses on the anthropogenic stressors themselves (acting
- 495 somewhat independently of the mapped vegetation) in the individual metrics. For example, in contrast to most approaches our approach is agnostic to the current vegetation structural stage on a site, which we view as a dynamic property of the ecosystem (at least within the bounds of the dominant life form of the vegetation) and thus not germane to the integrity of the site.

Third, our approach allows us to easily scale the results based on any geographic extent to

- 500 facilitate assessments and conservation planning at multiple scales. For example, *IEI* can be quantile-scaled within watersheds to inform local watershed-based conservation planning, or within states to inform state agencies with conservation responsibilities, or at even broader scales to inform regional conservation organizations such as federal agencies and regional land trusts (Fig. 6).
- Fourth, our approach uses a variety of sophisticated kernel estimators to provide an effective assessment of the ecological neighborhood affecting the ecological integrity of a cell (Fig. 2). The use of ecological neighborhoods is not unique to our approach; for example, Theobold (2013) used standard kernel density estimators to develop an index of ecological integrity at the 90 m resolution for the entire United States. All of our kernel estimators reflect nonlinear
  decreasing ecological influence as distance increases, which is one of the first principles of landscape ecology (Turner et and Gardner 2015). For example, our watershed-based metrics which evaluate the integrity of aquatic systems use a watershed kernel that honors how terrain and land cover affect the movement of water and water-born pollutants to a site, which is clearly
- more appropriate than treating all locations in the watershed the same. Similarly, ourconnectedness metric uses a resistant kernel (Compton et al 2007) to represent how organisms
- and ecological processes move across the landscape in response to environmental resistance (Zeller et al 2012). We are unaware of other approaches that adopt these specific kinds of kernel estimators to evaluate ecological integrity, although our traversability metric (which is a version of connectedness), is used as a component of The Nature Conservancy's (TNC) terrestrial
- 520 resilience (Anderson and Ferree 2010).

*Limitations.*—No approach is without limitations and ours is no exception. Among the many known limitations, a few are worth noting here. First, like all approaches, our suite of metrics is incomplete. There are anthropogenic stressors that we recognize as important but have not yet included due to the lack of reliable and regionally consistent high-resolution data (e.g., toxic

- 525 pollutants, hydrological disruptions), and other metrics that adopt an especially crude estimate of the stressor for the same reasons (e.g., non-native invasive plants based solely on land cover within the ecological neighborhood rather than explicit models of occurrence for each of the important organisms). Of course, these metrics can be added and/or improved as data and knowledge become available.
- 530 Second, while our approach relies on objective measures of intactness and resiliency, it still has an important subjective component that can be considered either a strength or weakness (Beazley et al 2010). Specifically, there are a number of model parameters that must be specified in order to compute the various ecological integrity metrics, including kernel bandwidths, weights for the ecological settings variables used in the resiliency metrics, and weights for the 535 metrics used in the ecosystem-specific ecological integrity models to create *IEI* and *ecoImpact*. At present these model parameters are assigned by experts in the context of a specific application, as there is no easy or meaningful way to empirically derive these parameters. While this allows the assessment to be customized to each application, it comes at the cost of having to defend the chosen set of model parameters.
- 540 Third, our current measurement of resiliency is based on two metrics, similarity and connectedness (and its aquatic counterpart), which reflects a limited perspective on resiliency. In particular, what may confer short-term resiliency as measured by our two metrics may be antagonistic to what may confer long-term resiliency in the face of rapid environmental (e.g.,

climate) change. For example, short-term resiliency of a site may be a function of the amount

- 545 and accessibility of similar environments in the neighborhood of the focal cell, since having larger and more connected local populations should facilitate population recovery of the constituent organisms (and thus ecosystem functions) following disturbance—which is the premise of our two resiliency metrics. However, long-term resiliency of a site may also be a function of the amount and accessibility of diverse environments in the neighborhood of the
- 550 focal cell, since having a diverse assemblage of environments nearby increases the opportunities for different organisms to fill the ecological niche space as the environment (e.g., climate) changes over time—which is the premise of the metrics used in the geophysical stage approach proposed by others (e.g., Anderson and Ferree 2010; Beier and Brost 2010; Beier 2012; Beier et al 2015). Consequently, while still unclear, it is possible that the factors driving short-term
- 555 resiliency may differ from those driving long-term resiliency in the face of environmental change. Note, to account for this possibility, in the landscape conservation design applications referenced below we combined *IEI* with TNC's terrestrial resilience metric (Anderson and Ferree 2010), which prioritizes sites based on local geophysical diversity and connectivity, to establish priorities for conservation core areas.
- 560 Lastly, despite their increasing use, measures of ecological integrity are exceedingly difficult if not impossible to validate (but see McGarigal et al. 2013, which provides a partial validation of *IEI* based on extensive field data on a number of taxa) given the long-term nature of the predictions, which has been a major source of criticism (Brown and Williams 2016). We sought to reduce the need for formal validation of *IEI* by eliminating the need for a reference condition 565 or natural range of variability and instead using quantile scaling to rate sites relative to each other. Indeed, *IEI* makes no assumptions about the absolute integrity of site, only that it is

relatively more or less integral than another site. In this regard, each of the constituent metrics was chosen because of its clear and well-documented relationship with ecological functions that confer integrity to a site. For example, it is undisputed that increasing the intensity of roads and

- 570 road traffic near a site will adversely affect critical ecological processes such as organism dispersal, watershed hydrology, and sedimentation of streams (Forman et al 2003). *IEI* relies heavily on this well-established relationship between anthropogenic stressors and ecological integrity. Although the exact form and magnitude of the relationship is unknown; it may suffice to know that the relationship is monotonic.
- 575 *Conservation applications.*—Our coarse-filter ecological integrity assessment has been applied to a wide variety of real-world conservation problems. Detailed information about each of these applications can be found at the DSL project website (McGarigal et al 2017, <u>www.umass.edu/landeco/research/dsl/dsl.html</u>) or the UMassCAPS website (<u>www.umasscaps.org</u>).
- *Critical Linkages.*—Working in partnership with the North Atlantic Aquatic Connectivity Collaborative (NAACC), we have used *IEI* and the aquatic connectedness metric to evaluate and prioritize dam removals and road-stream crossing (culvert) upgrades in the Northeast for their potential to restore aquatic connectivity.
  - Wetlands Assessment, Monitoring and Regulation.—Working in partnership with the MA
- 585 Department of Environmental Protection (DEP), MA Office of Coastal Zone Management, and U.S. EPA, we have used *IEI* in a variety of contexts to develop cost-effective tools and techniques for assessment and monitoring of wetland and aquatic ecosystems in Massachusetts, including the development and validation of indices of biotic integrity for selected wetland and aquatic systems. In addition, IEI is being used by DEP in permitting

- activities affecting wetlands pursuant to the MA Wetlands Protection Act; specifically,projects occurring in the top 40% of wetlands based on IEI are subject to additional DEP review.
  - *BioMap 2.*—Working in partnership with the MA Department of Fish & Game's Natural Heritage & Endangered Species Program and TNC's Massachusetts Program, we used *IEI*
- 595 in the development of BioMap2 which serves as a guide for conservation decision making to preserve and restore biodiversity in Massachusetts; specifically, we used *IEI* to assist in the identification of forest cores, wetland cores, clusters of vernal pools and undeveloped landscape blocks with the highest potential for maintaining ecological integrity over time.
- *Losing Ground.*—Working in partnership with Mass Audubon to prepare the 4<sup>th</sup> edition of
   the Losing Ground publications (DeNormandie and Corcoran 2009), we used *IEI* and

*ecoImpact* to assess the change in ecological integrity between 1971-2005 in Massachusetts; specifically, to quantify the indirect impacts of development beyond its direct footprint.

- South Coast Rail Project.—We used IEI and ecoImpact to assess the potential loss in
   ecological integrity of several alternative routes for the proposed South Coast Rail system in southeastern Massachusetts.
  - *Connect the Connecticut and Nature's Network.*—Working with a large partnership of organizations under the auspices of the North Atlantic Landscape Conservation Cooperative (NALCC), we used *IEI* in combination with several other data products to identify and prioritize a set of terrestrial and aquatic "core areas" as part of a landscape
  - conservation design for the Connecticut River watershed (Connect the Connecticut,

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<u>www.connecttheconnecticut.org</u>) and for the entire Northeast (Nature's Network, <u>www.naturesnetwork.org</u>).

Conclusions.—We suggest that the maintenance of ecological integrity is arguably the ultimate

- 615 goal of ecological conservation. However, given the complexity of the ecological integrity concept (Gunderson 2000), the measurement of ecological integrity has remained a daunting challenge for scientists and conservation practitioners. We presented an *index of ecological integrity* (IEI) to evaluate the relative integrity among sites of the same or similar ecosystem that is derived from readily available spatial data on land use and land cover and that can be applied
- at any spatial resolution over any spatial extent (contingent upon data availability), and a corresponding *index of ecological impact (ecoImpact)* to assess changes in integrity over time. These two multi-metric indices emphasize the potential intactness (i.e., freedom from anthropogenic stressors) and resiliency (based on the ecological similarity and connectedness of the ecological neighborhood) of a site and make use of sophisticated kernels to represent
- 625 meaningful ecological neighborhoods for each of the constituent metrics. While not without acknowledged limitations, these metrics have proven useful in several real-world conservation applications.

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630 Landscape Conservation Cooperative (NALCC), US Geological Survey Northeast Climate Science Center, Massachusetts Executive Office of Environmental Affairs, The Trustees of Reservations, Massachusetts Department of Environmental Protection, The Nature Conservancy, US Department of Transportation, and the University of Massachusetts, Amherst. We especially thank Andrew Milliken and Scott Schwenk of the NALCC for their continued support and close

635 involvement in several conservation applications involving the DSL project and the use of *IEI*.

**Table 1**. Weights (determined by expert teams) assigned to ecological settings variables (see

 **Appendix A** for links to detailed descriptions of each variable) in the ecological integrity

 assessment. Resistance represents the weights assigned to the settings variables to determine

640 resistance between the focal cell and each neighboring cell in the resistant kernels and watershed kernels used in the Connectedness and Aquatic connectedness metrics, respectively. Distance represents the weights to determine ecological distance between the focal cell and each neighboring cell for Similarity, Connectedness, and Aquatic Connectedness metrics. The settings variables are arbitrarily grouped into broad classes for organizational purposes.

	Resistance	Distance
Energy		
Incident solar radiation	0.1	1
Growing season degree-days	0.3	1
Minimum winter temperature	0.1	1
Heat Index 35	0.1	1
Stream temperature	0.1	1
Chemical & physical substrate		
Water salinity	4	3
Substrate mobility	2	2
CaCO3 content	0.1	1
Soil available water supply	0.05	0.5
Soil depth	0.05	0.5
Soil pH	0.05	0.5
Physical disturbance		
Wind exposure	0.1	1
Slope	1	1

	Resistance	Distance
Moisture & hydrology		
Wetness	4	8
Flow gradient	1	2
Flow volume	5	5
Tidal regime	2	2
Vegetation		
Dominant life form	3	8
Development		
Developed <sup>1</sup>	1	20
Hard development <sup>1</sup>	2	1000
Traffic <sup>1</sup>	40	0
Impervious <sup>1</sup>	5	0
Terrestrial barriers <sup>1</sup>	15	0
Aquatic barriers <sup>2</sup>	100	0

645 <sup>1</sup>Setting variable not used in Aquatic Connectedness.

<sup>2</sup>Setting variable used only for Resistance in Aquatic Connectedness.

**Table 2.** Intactness (a.k.a. stressor) and resiliency metrics included in the ecological integrity

 assessment for the northeastern United States (see Appendix E for links to detailed descriptions

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of each metric). Note, the final suite of metrics can vary among applications depending on available data. For example, several additional coastal metrics have been developed for the state of Massachusetts, including salt marsh ditching, coastal structures, beach pedestrians, beach ORVs, and boating intensity. The metrics are arbitrarily grouped into broad classes for organizational purposes.

Metric group	Metric name	Description
Development	Habitat loss	Intensity of habitat loss caused by all forms of
and Roads		development in the neighborhood surrounding the focal
		cell based on a standard Logistic kernel.
	Watershed habitat	Intensity of habitat loss caused by all forms of
	loss	development in the watershed above the focal cell based
		on a watershed kernel.
	Road traffic	Intensity of road traffic (based on measured road traffic
		rates transformed into an estimated probability of an
		animal being hit by a vehicle while crossing the road given
		the mean traffic rate) in the neighborhood surrounding the
		focal cell based on a standard Logistic kernel.
	Mowing &	Intensity of agriculture (as a surrogate for mowing/plowing
	plowing	rates) in the neighborhood surrounding the focal cell based
		on a standard Logistic kernel.

Metric group	Metric name	Description
	Microclimate	Magnitude of adverse induced (human-created) edge
	alterations	effects on the microclimate integrity of patch interiors.
Pollution	Watershed road	Intensity of road salt application in the watershed above an
	salt	aquatic focal cell based on road class (as a surrogate for
		road salt application rates) and a watershed kernel.
	Watershed road	Intensity of sediment production in the watershed above an
	sediment	aquatic focal cell based on road class (as a surrogate for
		road sediment production rates) and a watershed kernel.
	Watershed	Intensity of nutrient loading from non-point sources in the
	nutrient	watershed above an aquatic focal cell based on land use
	enrichment	class (primarily agriculture and residential land uses
		associated with fertilizer use, as a surrogate for nutrient
		loading rate) and a watershed kernel.
Biotic	Domestic	Intensity of development associated with sources of
Alterations	predators	domestic predators (e.g., cats) in the neighborhood
		surrounding the focal cell weighted by development class
		(as a surrogate for domestic predator abundance) and a
		standard Logistic kernel.
	Edge predators	Intensity of development associated with sources of edge
		mesopredators (e.g., raccoons, skunks, corvids, cowbirds;
		i.e., human commensals) in the neighborhood surrounding

Metric group	Metric name	Description
		the focal cell weighted by development class (as a
		surrogate for edge predator abundance) and a standard
		Logistic kernel.
	Non-native	Intensity of development associated with sources of non-
	invasive plants	native invasive plants in the neighborhood surrounding the
		focal cell weighted by development class (as a surrogate
		for non-native invasive plant abundance) and a standard
		Logistic kernel.
	Non-native	Intensity of development associated with sources of non-
	invasive	native invasive earthworms in the neighborhood
	earthworms	surrounding the focal cell weighted by development class
		(as a surrogate for non-native invasive earthworm
		abundance) and a standard Logistic kernel.
Climate	Climate stress	Magnitude of climate change stress at the focal cell based
		on the climate niche of the corresponding ecological
		system and the predicted change in climate between 2010-
		2080 (i.e., how much is the climate of the focal cell
		moving away from the climate niche envelope of the
		corresponding ecological system).
Hydrologic	Watershed	Intensity of impervious surface (as a surrogate for
Alterations	imperviousness	hydrological alteration) in the watershed above an aquatic

Metric group	Metric name	Description
		focal cell based on imperviousness and a watershed kernel.
	Dam intensity	Intensity of dams (as a surrogate for hydrological
		alteration) in the watershed above an aquatic focal cell
		based on dam size and a watershed kernel.
	Sea level rise	Probability of the focal cell being unable to adapt to
	inundation	predicted inundation by sea level rise, developed by USGS
		Woods Hole (Lentz et al 2015).
	Tidal restrictions	Magnitude of hydrologic alteration to the focal cell due to
		tidal restrictions based on an estimate of the salt marsh loss
		ratio above each potential tidal restriction (road-stream and
		railroad-stream crossings).
Resiliency	Similarity	Similarity between the ecological setting of the focal cell
		and its ecological neighborhood based on the weighted
		multivariate similarity computed across a variety of
		ecological settings variables (Table 1) and a standard
		Logistic kernel.
	Connectedness	Connectivity of the focal cell to its ecological
	(connect)	neighborhood based on a resistant kernel (see text and
		Appendix C for details).
	Aquatic	Same as Connectedness except that it is constrained by the

Metric group	Metric name	Description
	connectedness	extent of aquatic ecosystems, such that the connectivity
		being assessed pertains to flows and disruption of flows
		(e.g., culverts and dams) within the aquatic network.

**Figure 1.** Schematic outline of the workflow associated with deriving the *index of ecological integrity (IEI)* and the *index of ecological impact (ecoImpact)* as described in the text.

Figure 2. Kernel estimators to estimate the ecological neighborhood of a focal cell (indicated by the red cross for each kernel) in an area west of Albany, New York: (a) standard Gaussian kernel around a focal cell in which the weight of the kernel at any cell is indicated by the color gradient and reflects the bandwidth (spread) of the kernel; (b) resistant Gaussian kernel around a focal cell in which the weight of the kernel at any cell is indicated by the color gradient and reflects bandwidth (spread) of the kernel at any cell is indicated by the color gradient and reflects bandwidth (spread) of the kernel at any cell is indicated by the color gradient and reflects bandwidth (spread) of the kernel as well as the resistance of the intervening landscape; and (c)

665 watershed kernel in which the estimated relative time-of-flow from any cell within the watershed of the focal cell to the focal cell is indicated by the color gradient. Image is portrayed with hillshading.

**Figure 3.** (a) traffic (stressor) metric and (b) connectedness (resiliency) metric (scaled for the northeastern United States) for the North Quabbin region of western Massachusetts. See **Table 2** 

670 for a brief description and **Appendix E** for a detail description of these two metrics. Note, the color legend is reversed in these two metrics so that the blue end of the gradient represents sites with greater ecological integrity (i.e., less traffic and greater connectedness in this case). Images are portrayed with hillshading.

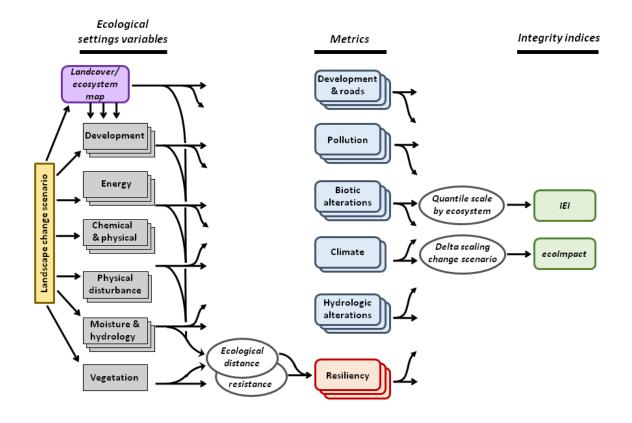
Figure 4. *Index of ecological integrity (IEI)* scaled by (a) the entire northeastern United States
and (b) by HUC6-level watersheds for an area northwest of State College, Pennsylvania. See the text for a description of *IEI* and Table 2 and Appendix E for descriptions of the constituent metrics. Larger values represent greater ecological integrity. Images are portrayed with hillshading.

**Figure 5.** *Index of ecological impact (ecoImpact)* representing the loss of ecological integrity

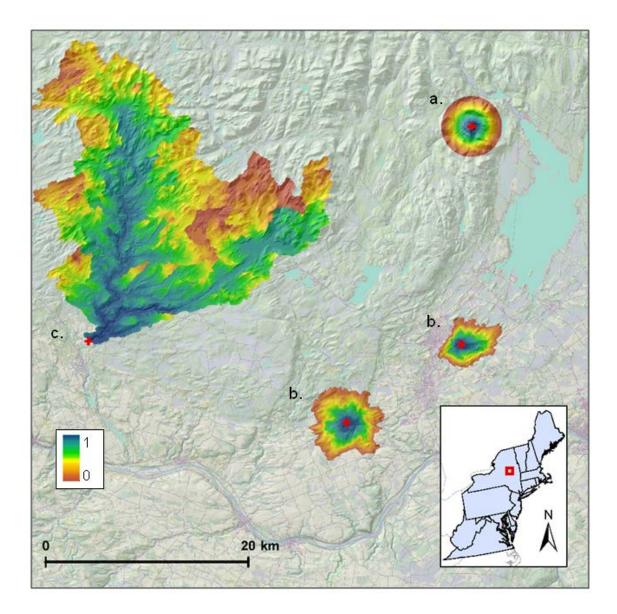
- between 2010-2080 under two landscape change scenarios: (a) urban growth without additional land protection, and (b) same amount of urban growth but with strategic land protection (delineated polygons) based on a regional landscape conservation design (see <a href="http://www.naturesnetwork.org">www.naturesnetwork.org</a>), for an area west of Manchester, New Hampshire. *ecoImpact* ranges from 0 (no impact) to -1 (maximum impact). The total impact (sum of *ecoImpact* across all cells,</a>
- 685 averaged across three stochastic simulation runs under each scenario) was 8.5% less under the landscape conservation design scenario. Note, the details of these two landscape change scenarios are not relevant to the demonstration of *ecoImpact* and thus have been omitted here. Images are portrayed with hillshading.

Figure 6. Index of ecological integrity (IEI) scaled by the entire northeastern United States (a;

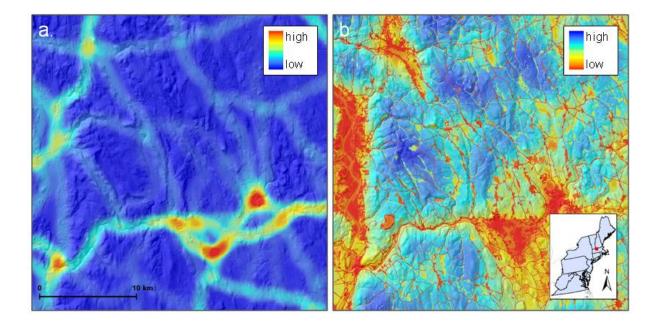
690 larger values represent greater ecological integrity) and the corresponding *Index of ecological impact (ecoImpact)* representing the loss of ecological integrity between 2010-2080 under a baseline urban growth scenario without additional land protection (b, larger negative values represent greater ecological impact).



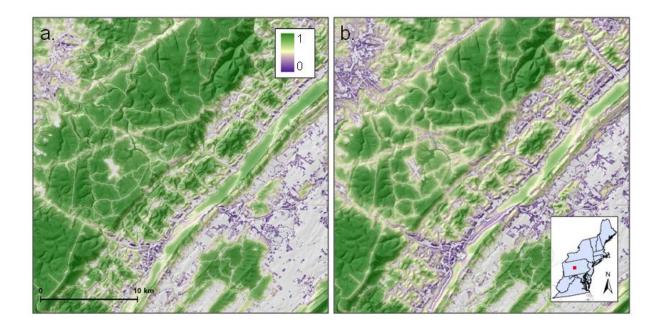




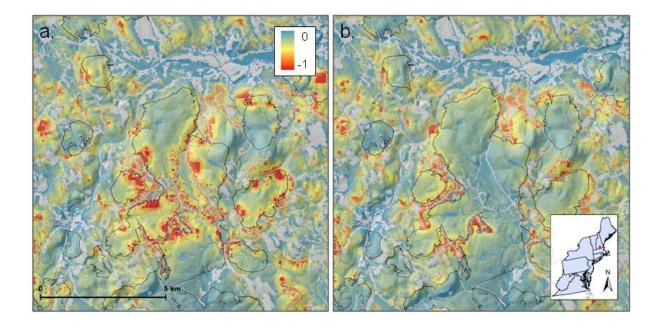


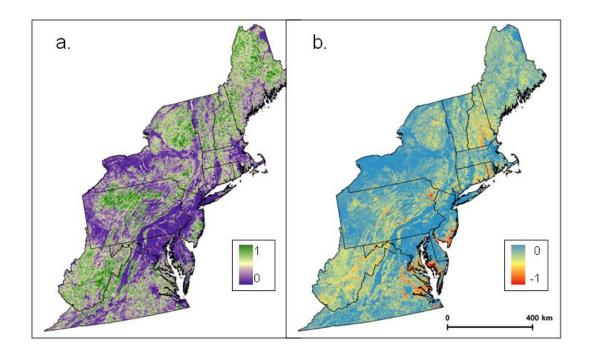












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#### APPENDICES

#### A landscape index of ecological integrity: applications in landscape conservation

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### 5 Appendix A. Ecological settings variables.

Links to detailed documentation for each of the ecological settings variables (i.e., biophysical site descriptors used in the calculation of the individual ecological integrity metrics and/or in the calculation of the final rescaled index of ecological integrity) developed for the northeastern United States. All settings variables exist as 30 m rasters. Documents include a general

10 description of the layer, considerations for the use and interpretation of the layer, derivation of the layer, including data sources and algorithm, and metadata for the distributed product. The settings variables are arbitrarily grouped into broad classes for organizational purposes.

Ecological settings variable	Link to detailed documentation
Energy	
Incident solar radiation	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_sun.pdf
Growing season degree-days	
Minimum winter temperature	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_temperature.pdf
Heat Index 35	
Stream temperature	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_streamtemp.pdf
Chemical & physical substrate	
Water salinity	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu

	and the second sec
	mentation_salinity.pdf
Substrate mobility	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_substrate.pdf
CaCO3 content	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_calcium.pdf
Soil available water supply	
Soil depth	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_soils.pdf
Soil pH	<u>mentation_sons.pdr</u>
Physical disturbance	
Wind exposure	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_wind.pdf
Slope	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_slope.pdf
Moisture & hydrology	
Wetness	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_wet.pdf
Flow gradient	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_gradient.pdf
Flow volume	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_volume.pdf
Tidal regime	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_tides.pdf
Vegetation	
Dominant life form	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_structure.pdf
Development	
Developed	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu
Hard development	mentation_development_hard.pdf
Traffic	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_traffic.pdf
Impervious	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_impervious.pdf

	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_tbarriers.pdf
Aquatic barriers	http://jamba.provost.ads.umass.edu/web/lcc/DSL_docu mentation_abarriers.pdf

#### 15 Appendix B. Hierarchical classification of formations and ecological systems

Hierarchical classification of formations and ecosystems (Anderson et al. 2013, Ferree and Anderson 2013, Olivero-Sheldon et al. 2014) as used in our coarse-filter ecological integrity assessment in the northeastern United States. The formations are used for convenience to group the ecological systems into broader classes for purposes of assigning roughness and runoff

20 coefficients in the watershed kernels (**Appendix D**) and weighting the individual integrity metrics in the calculation of the *index of ecological integrity (IEI)* and the *index of ecological impact (ecoImpact)* (**Appendix F**). See references below for a description of the ecological systems.

Formation	Ecosystem
Alpine	Acadian-Appalachian Alpine Tundra
Boreal Upland Forest	Acadian Low Elevation Spruce-Fir-Hardwood Forest
Boreal Upland Forest	Acadian Sub-boreal Spruce Flat
Boreal Upland Forest	Acadian-Appalachian Montane Spruce-Fir-Hardwood Forest
Boreal Upland Forest	Central and Southern Appalachian Spruce-Fir Forest
Cliff & Rock	Acidic Cliff and Talus
Cliff & Rock	Calcareous Cliff and Talus
Cliff & Rock	Circumneutral Cliff and Talus
Coastal Scrub-Herb	Atlantic Coastal Plain Beach and Dune
Coastal Scrub-Herb	Great Lakes Dune and Swale
Coastal Scrub-Herb	North Atlantic Coastal Plain Heathland and Grassland
Grassland & Shrubland	Acidic Rocky Outcrop
Grassland & Shrubland	Appalachian Shale Barrens
Grassland & Shrubland	Calcareous Rocky Outcrop
Grassland & Shrubland	Central Appalachian Alkaline Glade and Woodland

Formation	Ecosystem
Grassland & Shrubland	Eastern Serpentine Woodland
Grassland & Shrubland	Great Lakes Alvar
Grassland & Shrubland	Shrubland & grassland (NLCD 52/71)
Grassland & Shrubland	Mafic Glade and Barrens
Grassland & Shrubland	Southern Appalachian Grass and Shrub Bald
Grassland & Shrubland	Southern Ridge and Valley Calcareous Glade and Woodland
Northeastern Upland Forest	Allegheny-Cumberland Dry Oak Forest and Woodland
Northeastern Upland Forest	Appalachian (Hemlock)-Northern Hardwood Forest
Northeastern Upland Forest	Central and Southern Appalachian Montane Oak Forest
Northeastern Upland Forest	Central Appalachian Dry Oak-Pine Forest
Northeastern Upland Forest	Central Appalachian Pine-Oak Rocky Woodland
Northeastern Upland Forest	Central Atlantic Coastal Plain Maritime Forest
Northeastern Upland Forest	Glacial Marine & Lake Mesic Clayplain Forest
Northeastern Upland Forest	Laurentian-Acadian Northern Hardwood Forest
Northeastern Upland Forest	Laurentian-Acadian Northern Pine-(Oak) Forest
Northeastern Upland Forest	Laurentian-Acadian Pine-Hemlock-Hardwood Forest
Northeastern Upland Forest	Laurentian-Acadian Red Oak-Northern Hardwood Forest
Northeastern Upland Forest	North Atlantic Coastal Plain Hardwood Forest
Northeastern Upland Forest	North Atlantic Coastal Plain Maritime Forest
Northeastern Upland Forest	North Atlantic Coastal Plain Pitch Pine Barrens
Northeastern Upland Forest	North-Central Interior Beech-Maple Forest
Northeastern Upland Forest	Northeastern Coastal and Interior Pine-Oak Forest
Northeastern Upland Forest	Northeastern Interior Dry-Mesic Oak Forest
Northeastern Upland Forest	Northeastern Interior Pine Barrens
Northeastern Upland Forest	Piedmont Hardpan Woodland and Forest
Northeastern Upland Forest	Pine plantation / Horticultural pines
Northeastern Upland Forest	South-Central Interior Mesophytic Forest

Formation	Ecosystem
Northeastern Upland Forest	Southern and Central Appalachian Cove Forest
Northeastern Upland Forest	Southern Appalachian Low Elevation Pine Forest
Northeastern Upland Forest	Southern Appalachian Montane Pine Forest and Woodland
Northeastern Upland Forest	Southern Appalachian Northern Hardwood Forest
Northeastern Upland Forest	Southern Appalachian Oak Forest
Northeastern Upland Forest	Southern Atlantic Coastal Plain Mesic Hardwood Forest
Northeastern Upland Forest	Southern Atlantic Coastal Plain Upland Longleaf Pine Woodland
Northeastern Upland Forest	Southern Piedmont Dry Oak-Pine Forest
Northeastern Upland Forest	Southern Piedmont Mesic Forest
Northeastern Upland Forest	Southern Ridge and Valley / Cumberland Dry Calcareous Forest
Northeastern Wetland	Atlantic Coastal Plain Blackwater/Brownwater Stream Floodplain Forest
Northeastern Wetland	Central Appalachian Stream and Riparian
Northeastern Wetland	Central Atlantic Coastal Plain Non-riverine Swamp and Wet Hardwood Forest
Northeastern Wetland	Central Interior Highlands and Appalachian Sinkhole and Depression Pond
Northeastern Wetland	Glacial Marine & Lake Wet Clayplain Forest
Northeastern Wetland	High Allegheny Headwater Wetland
Northeastern Wetland	Laurentian-Acadian Alkaline Conifer-Hardwood Swamp
Northeastern Wetland	Laurentian-Acadian Freshwater Marsh
Northeastern Wetland	Laurentian-Acadian Large River Floodplain
Northeastern Wetland	Laurentian-Acadian Wet Meadow-Shrub Swamp
Northeastern Wetland	North Atlantic Coastal Plain Basin Peat Swamp
Northeastern Wetland	North Atlantic Coastal Plain Basin Swamp and Wet Hardwood Forest
Northeastern Wetland	North Atlantic Coastal Plain Pitch Pine Lowland
Northeastern Wetland	North Atlantic Coastal Plain Stream and River

Formation	Ecosystem
Northeastern Wetland	North Atlantic Coastal Plain Tidal Swamp
Northeastern Wetland	North-Central Appalachian Acidic Swamp
Northeastern Wetland	North-Central Appalachian Large River Floodplain
Northeastern Wetland	North-Central Interior and Appalachian Rich Swamp
Northeastern Wetland	North-Central Interior Large River Floodplain
Northeastern Wetland	North-Central Interior Wet Flatwoods
Northeastern Wetland	Northern Appalachian-Acadian Conifer-Hardwood Acidic Swamp
Northeastern Wetland	Piedmont Upland Depression Swamp
Northeastern Wetland	Piedmont-Coastal Plain Freshwater Marsh
Northeastern Wetland	Piedmont-Coastal Plain Large River Floodplain
Northeastern Wetland	Piedmont-Coastal Plain Shrub Swamp
Northeastern Wetland	Ruderal Shrub Swamp
Northeastern Wetland	Southern Atlantic Coastal Plain Tidal Wooded Swamp
Northeastern Wetland	Southern Piedmont Lake Floodplain Forest
Northeastern Wetland	Southern Piedmont Small Floodplain and Riparian Forest
Peatland	Acadian Maritime Bog
Peatland	Atlantic Coastal Plain Northern Bog
Peatland	Atlantic Coastal Plain Peatland Pocosin and Canebrake
Peatland	Boreal-Laurentian Bog
Peatland	Boreal-Laurentian-Acadian Fen
Peatland	North-Central Interior and Appalachian Acidic Peatland
Lentic	Great Lakes
Lentic	Lentic
Lentic	Very Cold Lake
Lentic	Cold Lake
Lentic	Cold Pond
Lentic	Cool Eutrophic Lake

Formation	Ecosystem
Lentic	Cool Oligo-Mesotrophic Lake
Lentic	Cool Eutrophic Pond
Lentic	Cool Oligo-Mesotrophic Pond
Lentic	Warm Eutrophic Lake
Lentic	Warm Oligo-Mesotrophic Lake
Lentic	Warm Eutrophic Pond
Lentic	Warm Oligo-Mesotrophic Pond
Lentic	Small Pond
Lotic	Lotic
Stream (headwater/creek)	Stream (headwater/creek) cold high
Stream (headwater/creek)	Stream (headwater/creek) cold moderate
Stream (headwater/creek)	Stream (headwater/creek) cold low
Stream (headwater/creek)	Stream (headwater/creek) cool high
Stream (headwater/creek)	Stream (headwater/creek) cool moderate
Stream (headwater/creek)	Stream (headwater/creek) cool low
Stream (headwater/creek)	Stream (headwater/creek) warm high
Stream (headwater/creek)	Stream (headwater/creek) warm moderate
Stream (headwater/creek)	Stream (headwater/creek) warm low
Stream (small)	Stream (small) cold moderate
Stream (small)	Stream (small) cold low
Stream (small)	Stream (small) cool moderate
Stream (small)	Stream (small) cool low
Stream (small)	Stream (small) warm moderate
Stream (small)	Stream (small) warm low
Stream (medium)	Stream (medium) cold
Stream (medium)	Stream (medium) cool
Stream (medium)	Stream (medium) warm
Stream (large)	Stream (large) cool

Formation	Ecosystem
Stream (large)	Stream (large) warm
Stream (tidal)	Freshwater Tidal Riverine
Estuarine Intertidal	Estuarine Subtidal Sheltered
Estuarine Intertidal	Estuarine Intertidal Aquatic Bed
Estuarine Intertidal	Estuarine Intertidal Emergent
Estuarine Intertidal	Estuarine Intertidal Forested
Estuarine Intertidal	Estuarine Intertidal Reef
Estuarine Intertidal	Estuarine Intertidal Rocky Shore
Estuarine Intertidal	Estuarine Intertidal Scrub Shrub
Estuarine Intertidal	Estuarine Intertidal Unconsolidated Shore
Estuarine Subtidal	Estuarine Subtidal Aquatic Bed
Estuarine Subtidal	Estuarine Subtidal Unconsolidated Bottom
Marine Intertidal	Marine Intertidal Aquatic Bed
Marine Intertidal	Marine Intertidal Rocky Shore
Marine Intertidal	Marine Intertidal Unconsolidated Shore
Marine Subtidal	Marine Subtidal Aquatic Bed
Marine Subtidal	Marine Subtidal Unconsolidated Bottom
Agriculture	Cultivated crops
Agriculture	Pasture/hay
Developed	Abandoned train
Developed	Active train
Developed	Barren land
Developed	Culvert/bridge
Developed	Dam
Developed	Developed- high intensity
Developed	Developed- medium intensity
Developed	Developed- low intensity
Developed	Developed- open space

Formation	Ecosystem
Developed	Motorway
Developed	Primary road
Developed	Secondary road
Developed	Tertiary road
Developed	Local road
Developed	Track

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## Appendix C. Detailed description of the resistant kernel algorithm used to define the ecological neighborhood for the connectedness (resiliency) metric.

40 The resistant kernel is derived as follows (**Fig. C1**):

**Step 1**.-The first step is to derive a resistance (or cost) surface for the neighborhood surrounding a focal cell, and there are two different approaches that can be used to create a resistance surface for use in a resistant kernel:

1. In the first case, the resistance surface is derived from a single categorical raster (e.g., land 45 cover types; Fig. C1-A). In this case, we assign a cost to each land cover type. Note, the cost matrix (Fig. C1-B) represents the relative cost of moving through each patch type from an initial patch type, and it need not be symmetrical. For example, the cost matrix in **figure C1-B** is read as follows. The row heading represents the "from" patch type, and the column heading represents the "to" patch type. Thus, the first row of the matrix is 50 interpreted as: from a focal cell of patch type A, the cost of moving through a cell of the same patch type (A) is one (the minimum cost); the cost of moving through a cell of patch type B is two (i.e., two times more costly than moving through a cell of patch type A); the cost of moving through a cell of patch type C is three (i.e., three times more costly than A), and so on. The costs are user-defined and can take on any values, as long as the minimum 55 cost (and the cost of moving through a cell of the same patch type) is one. Thus, the diagonal elements of the matrix are always set to one, but the off-diagonals can take on any value greater than one. For a focal cell, we generate a resistance (or cost) surface by assigning the relevant cost to each cell based on the cost matrix (Fig. C1-C). For example, the focal cell in **figure C1-C** is of patch type A, so the costs assigned to each cell are based

- 60 on the information in the first row of the cost matrix corresponding to "from" patch type A.Note, the resistance surface will change depending on the patch type of the focal cell.
  - In the second case, the resistance surface is derived from one or more continuous rasters (e.g., representing continuous ecological variables). In this case, we compute the Euclidean distance in ecological space between the focal cell and each neighboring cell. Note,
- Euclidean distance is easily computed for a single continuous variable as the absolute value of the difference between cell values, but this is easily extended to multivariate ecological distance for two or more variables. In this case, the variables are standardized (e.g., range rescaled 0-1, z-scores) and (optionally) weighted before computing the Euclidean distance. Next, we convert the (weighted) Euclidean distance to cost based on a user-specified transformation function. For example, we might range-rescale Euclidean distance by stretching or shrinking it to fit the desired cost range (e.g., 1-20). Alternatively, we might apply a nonlinear transformation such as a logistic function or power function. Thus, for a focal cell, we generate a resistance surface by assigning the transformed Euclidean distance surface will change depending the ecological setting of the focal cell.

It is important to recognize the dynamic nature of the resistance surface approach described above, whereby the resistance surface changes depending the land cover type (case 1) or ecological setting (case 2) of the focal cell and its unique ecological neighborhood. Thus, each focal cell has a unique resistance surface.

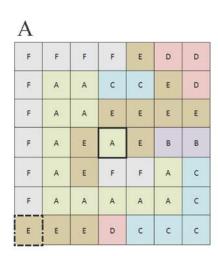
- 80 Step 2.–The second step is to assign to the focal cell a "bank account" based on the width of the user-specified standard kernel, and spread outward to adjacent cells iteratively, depleting the bank account at each step by the minimum cost of spreading to each cell (Fig. C1-D). For illustrative purposes, suppose that the raster cell size in figure C1-A is 10 m and we wish to create a resistant Gaussian kernel with a bandwidth *h* (equal to one standard deviation) of 30 m
- 85 (three cells). Further, suppose that we want the Gaussian kernel to extend outward to no more three standard deviations (3*h*; 90 m or nine cells), since beyond that distance the landscape has only a trivial influence on the focal cell. Given these parameters, we start with a bank account of nine, since at the minimum cost of one of moving through a single cell, the kernel will extend outward nine cells. Starting with a bank account of nine in the focal cell, if we move to an
- 90 adjacent cell of patch type F (cost of 10, Fig. C1-B), we reduce the bank account by ten and assign a balance of zero (since negative accounts are not allowed) to that cell. This means that we use up our entire bank account if we attempt to move through a cell of patch type F and can spread no further from that cell. On the other hand, if we move to an adjacent cell of patch type A (cost of one; Fig. C1-B), we reduce the bank account by one and assign a balance of eight to
- 95 that cell. For simplicity in this illustration, diagonal paths are treated the same as orthogonal paths; in the model diagonal costs are multiplied by the square root of 2 (=1.4). Note, an artefact of weighting the diagonal neighbors in this manner and using a cellular automata approach (in which distance is measured in a zig-zag like manner instead of straight line) is an octagonal shaped standard kernel. This process is repeated iteratively, spreading outward in turn from each using the laget cost of certains to that cell from one of its neighbors, until
- 100 visited cell, each time finding the least cost of getting to that cell from any of its neighbors, until the balance reaches zero. This produces a "functional proximity" surface representing the proximity of every cell to the focal cell within a threshold proximity distance. Note the

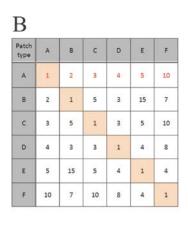
difference between functional proximity and least-cost path distance. Functional proximity decreases as you move away from the focal cell, whereas least-cost path distance increases –

105 they are complementary measures of distance. In addition, note that the proximity surface has embedded within it the least-cost path to each cell.

**Step 3**.–The last step is to convert the cell values in the proximity surface to weights based on the specified kernel function. First, transform the proximity values into the number of units from the focal cell by subtracting the proximity value from the initial bank account, such that in our

- 110 example, a proximity value of nine (focal cell) is equal to zero and a proximity value of zero (cells at the periphery of the kernel) is equal to nine. Second, based on the specified kernel function, compute the probability density for the value derived above. For example, for a Gaussian kernel, compute the probability density for each value based on a normal distribution with a mean of zero and standard deviation of three. Third, divide these values by a constant
- 115 equal to the sum of the values above for a standard kernel (or resistant kernel in a non-resistant landscape). Note, the constant above ensures that the volume of a standard kernel (or resistant kernel in a non-resistant landscape) is equal to one. The resulting surface is the resistant kernel and its volume is always less than or equal to one (**Fig. C1-E**).





10	10	10	10	5	4	4
10	1	1	3	3	5	4
10	1	1	5	5	5	ş
10	1	5	1	5	2	2
10	1	5	10	10	1	3
10	1	1	1	1	1	3
5	5	5	4	3	3	3

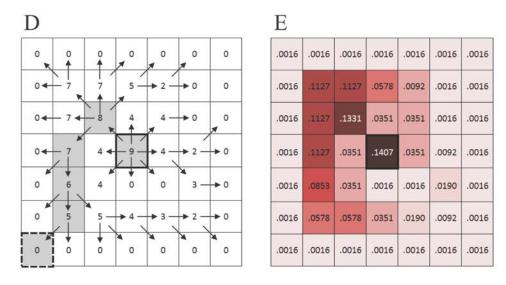


Figure C-1. Illustration of the resistant kernel algorithm as applied to a focal cell (outlined in
bold in the center of the image. (A) categorical land cover map in which each land cover type is represented by a unique letter. (B) matrix of ecological resistance values for each pairwise combination of land cover types, in which the land cover of the focal cell is given by the row and the columns represent the resistance values to move from the focal cell land cover type through each of the other land cover types; note the diagonals are 1 which is the minimum resistance. (C)

125 the original raster land cover map translated into a resistance surface relative to the land cover of the focal cell derived by applying the corresponding values from the matrix shown in B. (D) functional proximity distance surface representing the functional distance between each cell and the focal cell in the center, derived by starting with a "bank account" of 10 units in the focal cell and spreading outward, discounting the value at each step by the resistance shown in C; the

130 arrows indicate the "least cost path" spread. (E) the final resistant kernel surface derived by a Gaussian transformation of the surface in D (see text for details).

# Appendix D. Description of the watershed kernel used to define the ecological neighborhood for the watershed-based ecological integrity metrics.

135 For a given focal aquatic cell, we determine its watershed by identifying all the cells that eventually flow to that cell based on the flow grid derived from the digital elevation model. For each cell within the watershed of the focal cell, we compute the time-of-flow based on the model derived by Randhir et al. (2001), but modified slightly for our use, as follows:

If the cell is in a stream channel, use revised Manning's equation:

$$t = \frac{LN}{1.49R_h^{\frac{2}{3}}\sqrt{S}}$$

else, we use the Kinematic Wave equation:

$$t = \frac{0.933 \times (LN)^{0.6}}{(CI)^{0.4} \times S^{0.3}}$$

Where:

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t = time-of-flow L = cell width (cell size x 1.4 for diagonal flow)

N = roughness coefficient (based on land use)

- C = runoff coefficient (based on land use)
- S = slope

*I* = rainfall intensity, inches/hour

150 
$$R_h$$
 = hydraulic radius (= cross-sectional area of flow / wetted perimeter)

In the "revised" Manning's equation, 1.49 is k/N, where k is a unit-conversion constant, and N is the roughness constant for the stream channel. The roughness and runoff coefficients (N and C) are parameterized uniquely for each land cover type, or ecological formation (groups of related ecological systems) in our case (**Table D1**). Rainfall intensity can be estimated for each location

- 155 by interpolation of meteorological data or simply assigned the average for the project area (e.g., 2 in/h for the Ware River watershed in Massachusetts). Hydraulic radius ( $R_h$ ) can be approximated by the stream depth (because the wetted perimeter can be approximated by stream width), but because streams all have a very short time of flow compared to everything else and we have no legitimate way of estimating stream depth, we set  $R_h$  to a constant of 1 m.
- 160 Table D1. Roughness and runoff coefficients used in the watershed kernel based on the model derived by Randhir et al. (2001). Coefficients are given by ecological formation or ecosystem (see Appendix B) and were based on coefficients used in Randhir et al. (2001), obtained from the author, and cross-walked to our formations and ecosystems. Ecosystem = n/a pertains to formations that contain only a single ecosystem. Time-of-flow is used to weight the influence of each cell in the watershed above a focal cell in the watershed-based stressor metrics.

Formation	Ecosystem	Roughnesss	Runoff
Alpine	n/a	0.1	0.45
Cliff & Rock	All	0.02	0.4
Grassland & Shrubland	All	0.1	0.45
Coastal Scrub-Herb	All	0.1	0.45
Boreal Upland Forest	All	0.6	0.4
Northeastern Upland Forest	All	0.6	0.4
Northeastern Wetland	All	0.1	0.4
Peatland	All	0.1	0.4
Stream (headwater/creek)	All	0.02	n/a

Stream (small)	All	0.02	n/a
Stream (medium)	All	0.02	n/a
Stream (large)	All	0.02	n/a
Lentic	All	0.02	n/a
Freshwater Tidal Riverine	All	0.02	n/a
Estuarine Intertidal	All	0.06	0.4
Marine Intertidal	All	0.02	0.4
Agriculture	Cultivated crops	0.2	0.5
	Pasture/hay	0.4	0.45
Developed	Abandoned train	0.02	0.6
	Active train	0.02	0.6
	Culvert/bridge	0.02	0.6
	Dam	0.02	0.6
	Developed- high intensity	0.02	0.5
	Developed- medium intensity	0.04	0.5
	Developed- low intensity	0.06	0.5
	Developed- open space	0.1	0.3
	Local road	0.02	0.6
	Motorway	0.02	0.6
	Primary road	0.02	0.6
	Secondary road	0.02	0.6
	Tertiary road	0.02	0.6
	Track	0.02	0.6
	Barren land	0.08	0.45
	Stream (medium) Stream (large) Lentic Freshwater Tidal Riverine Estuarine Intertidal Marine Intertidal Agriculture	Stream (medium)AllStream (large)AllLenticAllFreshwater Tidal RiverineAllEstuarine IntertidalAllMarine IntertidalAllAgricultureCultivated crops Pasture/hayDevelopedAbandoned train Active trainCulvert/bridgeDamDeveloped- neglium intensity Developed- neglium intensityDeveloped- neglium intensity Developed- open spaceLocal road MotorwayPrimary road Secondary road Tertiary roadKative train Culvery road Tertiary road	Stream (medium)All0.02Stream (large)All0.02LenticAll0.02Freshwater Tidal RiverineAll0.02Estuarine IntertidalAll0.02Marine IntertidalAll0.02AgricultureCultivated crops0.2Pasture/hay0.4DevelopedAbandoned train0.02Culvert/bridge0.02Culvert/bridge0.02Dam0.02Developed- high intensity0.02Developed-low intensity0.04Developed-low intensity0.04Developed-low intensity0.02Primary road0.02Primary road0.02Tertiary road0.02Track0.02

## **Appendix E. Ecological Integrity Metrics**

Links to detailed documentation for each of the ecological integrity metrics included in the ecological integrity assessment for the northeastern United States. All integrity metrics exist as

170 30 m rasters. Documents include a general description of the metric, considerations for the use and interpretation of the metric, derivation of the metric, including data sources and algorithm, and metadata for the distributed product. The metrics are arbitrarily grouped into broad classes for organizational purposes.

Metric group	Metric name	Link to detailed documentation							
Development and Roads	Habitat loss	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_habloss.pdf							
	Watershed habitat loss	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_whabloss.pdf							
	Road traffic	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_road_traffic.pdf							
	Mowing & plowing	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_mowplow.pdf							
	Microclimate alterations	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_edges.pdf							
Pollution	Watershed road salt	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_road_salt.pdf							
	Watershed road sediment	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_road_sediment.pdf							
	Watershed nutrient enrichment	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_nutrients.pdf							
Biotic Alterations	Domestic predators	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_cats.pdf							
	Edge predators	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_edgepred.pdf							
	Non-native invasive plants	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_badplants.pdf							
	Non-native	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen							

Metric group	Metric name	Link to detailed documentation
	invasive earthworms	tation earthworms.pdf
Climate	Climate stress	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_climate_stress.pdf
Hydrologic Alterations	Watershed imperviousness	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_imperviousness.pdf
	Dam intensity	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_dams.pdf
	Sea level rise inundation	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_searise.pdf
	Tidal restrictions	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_tidal_restrictions.pdf
Resiliency	Similarity	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_similarity.pdf
	Connectedness	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_connect.pdf
	Aquatic connectedness	http://jamba.provost.ads.umass.edu/web/lcc/DSL_documen tation_aqconnect.pdf

## **Appendix F. Ecological Integrity Models**

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Relative weights of component metrics (see **Appendix E** for links to documents describing each metric) in the composite *index of ecological integrity* (*IEI*) and *index of ecological impact* (*ecoImpact*) for each ecological formation (groups of similar ecological systems, **Appendix B**). Note, the weights reflect the relative importance of each metric to the composite *IEI* and *ecoImpact* indices for each formation and they sum to ~100% for each ecological formation. Note, climate and searise metrics are only used for computing future *IEI* and *ecoImpact*. Weights were assigned by expert teams as described below.

Ecological formation	habloss	whabloss	traffic	mowplow	edges	salt	sediment	nutrients	cats	edgepred	badplants	worms	imperv	damint	sim	connect	aqconnect	climate	searise	tidal restrictions
Alpine	0.0	0.0	5.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	5.3	0.0	0.0	0.0	7.1	7.1	0.0	25.1	50.0	0.0
Cliff & Rock	6.9	0.0	3.3	0.0	0.0	0.0	0.0	0.0	4.4	3.1	6.5	0.0	0.0	0.0	9.5	10.9	0.0	5.0	50.0	0.0
Grassland & Shrubland	9.0	0.0	4.5	0.0	0.0	0.0	0.0	0.0	2.2	4.5	4.5	2.2	0.0	0.0	6.7	11.2	0.0	5.0	50.0	0.0
Coastal Scrub- Herb	7.4	0.0	4.1	0.0	0.0	0.0	0.0	0.0	3.9	4.5	3.6	0.0	0.0	0.0	10.0	11.3	0.0	4.9	50.0	0.0
Boreal Low Elevation Forest	4.5	0.0	4.5	0.0	2.2	0.0	0.0	0.0	2.2	4.5	4.5	4.5	0.0	0.0	6.7	11.2	0.0	5.0	50.0	0.0

Boreal Montane Forest	3.5	0.0	3.5	0.0	1.7	0.0	0.0	0.0	1.7	3.5	3.5	3.5	0.0	0.0	5.2	8.7	0.0	15.0	50.0	0.0
Northeastern Upland Forest	4.5	0.0	4.5	0.0	2.2	0.0	0.0	0.0	2.2	4.5	4.5	4.5	0.0	0.0	6.7	11.2	0.0	5.0	50.0	0.0
Northeastern Wetland	4.1	4.2	4.1	2.0	0.9	2.0	2.0	2.0	0.0	2.0	2.0	0.9	1.0	0.0	4.1	7.3	1.4	4.5	50.0	4.6
Peatland	4.7	4.7	2.3	2.3	0.0	4.7	2.3	4.7	0.0	2.3	0.0	0.0	2.3	0.0	4.7	9.5	0.0	5.0	50.0	0.0
Stream (headwater/cre ek)	2.4	4.8	2.4	2.4	2.4	0.0	2.4	2.4	0.0	2.4	0.0	0.0	4.8	7.3	0.0	4.8	7.3	0.0	50.0	4.1
Stream (small)	2.4	4.8	2.4	2.4	2.4	0.0	2.4	2.4	0.0	2.4	0.0	0.0	4.8	7.3	0.0	2.4	9.7	0.0	50.0	4.1
Stream (medium)	2.5	5.1	2.5	2.5	0.0	0.0	2.5	2.5	0.0	2.5	0.0	0.0	5.1	7.7	0.0	2.5	10.3	0.0	50.0	4.1
Stream (large)	2.5	7.7	2.5	2.5	0.0	0.0	2.5	2.5	0.0	2.5	0.0	0.0	5.1	5.1	0.0	2.5	10.3	0.0	50.0	4.1
Lake	2.6	10.6	2.6	2.6	0.0	2.6	2.6	5.2	0.0	2.6	0.0	0.0	2.6	0.0	5.2	5.2	5.2	0.0	50.0	0.0
Pond	2.6	10.6	5.2	2.6	0.0	2.6	2.6	5.2	0.0	2.6	0.0	0.0	2.6	0.0	5.2	7.8	0.0	0.0	50.0	0.0
Freshwater Tidal Riverine	2.5	7.7	2.5	2.5	0.0	0.0	2.5	2.5	0.0	2.5	0.0	0.0	5.1	5.1	0.0	2.5	10.3	0.0	50.0	4.1
Estuarine Intertidal	8.3	0.0	2.4	0.8	0.0	0.0	0.0	0.0	1.6	2.7	0.0	0.0	0.0	0.0	11.4	13.1	0.0	4.5	50.0	4.9
Marine Intertidal	7.2	0.0	0.9	0.0	0.0	0.0	0.0	0.0	2.6	4.2	0.0	0.0	0.0	0.0	14.0	13.2	0.0	4.7	50.0	2.8

We formed the following expert teams for groups of ecological formations to establish weights for the constituent metrics in the ecological models:

Forests: The forest expert team met on 14 November 2000 to establish weights for each of the forested ecological formations.
 The Team consisted of eight professionals and scientists representing the USDA Forest Service, Northeast Experiment
 Station, Massachusetts Division of Wildlife, Connecticut College, and the University of Massachusetts, Amherst.

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- Wetlands: The wetland expert team met on 13 February 2001 to establish weights for each of the wetland and freshwater aquatic ecological formations. The Team consisted of seven professionals and scientists representing Massachusetts Division of Wildlife, University of Rhode Island and University of Massachusetts, Amherst.
- Grasslands & Shrublands: The grasslands and shrublands expert team met on 19 December 2000 to establish weights for each of the non-forested, terrestrial ecological formations (i.e., alpine, cliff and rock, grassland & shrubland, coastal scrub-herb).
   The Team consisted of 12 professionals and scientists representing USG Woods Hole Coastal and Marine Science Center, MassAudubon, Massachusetts Division of Wildlife, Trustees of Reservations, and the University of Massachusetts, Amherst.
- Coastal ecosystems: The coastal expert team met on 12 May 2010 to establish weights for each of the coastal ecological formations. The Team consisted of 15 professionals and scientists representing Massachusetts Division of Wildlife, Massachusetts Department of Environmental Protection, Massachusetts Coastal Zone Management, and the University of Massachusetts.

The final metric weights for the forest, wetlands, and grasslands & shrublands teams were arrived at by consensus. For the coastal team we took a trimmed mean of the independent scores assigned by each participant. Note, the original weights derived from these expert teams have been crosswalked and modified slightly over the years as the ecosystem classification, metrics and approaches changed.

## **Appendix G. Index of Ecological Impact.**

- As described in the text, the *index of ecological integrity (IEI)* can be computed for any snapshot of a landscape and it reflects the relative intactness and resiliency of a site based on the conditions existing in that snapshot. Thus, we can compute *IEI* for the same landscape but at different points in time under a single land use scenario, or single landscape at the same point in time but under alternative land use scenarios. Whereas *IEI* is in effect a static measure of the
- 210 ecological integrity of a site at any point in space and time, the *index of ecological impact* (*ecoImpact*) essentially measures the change in *IEI* between the two snapshots of the same landscape; e.g., current versus future landscape relative to the current *IEI*. A site that experiences a major loss of *IEI* has a high predicted ecological impact of the simulated landscape changes; a loss of say 0.5 *IEI* units reflects a greater relative impact than a loss of 0.2 *IEI* units.
- 215 Moreover, the loss of 0.5 units from a site that has a current *IEI* of 0.9 for example, is much more important than the same absolute loss from a site that has a current *IEI* of 0.5. Thus, *ecoImpact* reflects not only the magnitude of loss of *IEI*, but also where it matters most sites with high initial integrity.

The derivation of *ecoImpact* consists of rescaling the individual raw metrics, but using a different rescaling procedure than used with *IEI*, then combining the metrics into the composite index, and then computing the final index. Each of these steps are described in the following sections.

*Delta-rescaling*.—The embedded use of quantile-rescaling in *IEI* suffers from what we refer to as the "Bill Gates" effect when used for scenario comparison. The "Bill Gates" effect occurs

225 when the value of the raw metric is decreased in a cell but it remains the highest valued cell -the quantile is unchanged. This is analogous to taking millions of dollars away from Bill Gates and yet he remains the richest man around. Likewise, a small absolute change in a raw metric can under certain circumstances result in a large change in its quantile, even though the ecological difference is trivial. Therefore, the use of quantile-rescaling is not appropriate if we

**230** want to be sensitive to any absolute change in the integrity metrics. To address these issues, we developed *delta-rescaling* as an alternative to quantile-rescaling that is more meaningful when comparing among scenarios (or timesteps of a single scenario).

Delta-rescaling is rather complicated in detail. Briefly, delta-rescaling involves computing the difference in the metric from its baseline value at timestep 0. Thus, delta-rescaling does not involve comparing the condition of a cell to ecologically similar cells of the same ecological system, but rather comparing the condition of a cell to itself under the baseline (e.g., timestep 0) condition. These delta-rescaled metrics can then be combined in a weighted linear combination to form a composite delta ecological integrity index, and this composite index can be multiplied by the ecological integrity index (*IEI*) of the cell under the baseline scenario to derive an

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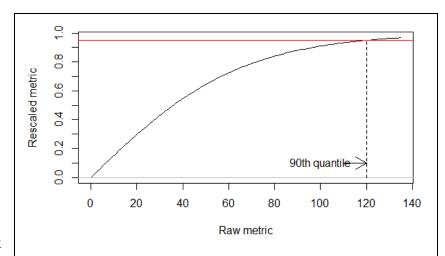
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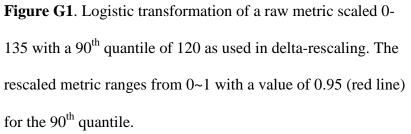
"impact" index (*ecoImpact*), as described below.

Unfortunately, since the raw metrics are on different scales, we can't simply compute the delta between the current and future timesteps, as the raw deltas would also be on different scales. But in order to combine the metrics into a composite index they must be placed on the same or similar scale. A simple solution would be to range rescale each raw metric so that it

ranges 0-1. However, range rescaling is very sensitive to extreme values and most of the raw metrics have positively or right-skewed distributions containing relatively few very large values. To address this issue we instead use a rather complicated rescaling procedure, as follows:

 For each raw stressor metric at the fullest
 geographic extent, we find its 90<sup>th</sup> quantile
 benchmark and apply a logistic transformation
 such that this benchmark
 ends up with a score of 0.95, as follows:

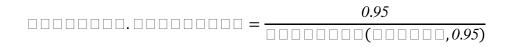




$$= \frac{-1}{2} \left(\frac{1}{2} \left(\frac{1}{2}\right) + 1\right) + 2 - 1$$
$$= \frac{-1}{2} \left(\frac{1}{2} \left(\frac{1}{2}\right) + 1\right)$$

The end result is that each rescaled stressor metric ranges from 0~1 (Fig. G1).

- 2) For the aquatic connectedness (aqconnect) metric, we compute the maximum value of aqconnect (aqcmax) for each cell by running it without the anthropogenic settings variables
- (i.e., as if there were no road-stream crossings and dams), find the 95<sup>th</sup> quantile of aqcmax, and rescale the metric as follows:



The end result is that rescaled acconnect ranges from  $0 \sim 1$ .

- 3) For the connectedness and similarity metrics, which scale naturally from 0~1 (for a highly similar and connected neighborhood), we keep them in their raw scale form.
- After rescaling each of the integrity metrics, we compute the difference (or delta) between the baseline (e.g., timestep 0) value and the alternative (e.g., future landscape) value. These delta-rescaled metrics have a theoretical range of -1 to 1. A value of -1 indicates the maximum potential loss of *IEI* (e.g., a cell with the maximum *IEI* gets developed), whereas a value of +1 indicates the maximum potential increase in IEI (e.g., a developed cell is restored to the maximum *IEI*). These delta-rescaled metrics are combined into a composite index as described
- next.

*Ecological integrity models.*—After delta-rescaling, the metrics are all on approximately the same scale. The next step is to combine the delta-rescaled metrics into a composite index. To do this we apply the ecological integrity models described in the text for *IEI*.

*Computing the final index.*—After combining the delta-rescaled metrics in a weighted linear combination, we multiply the value by the baseline value of *IEI* (e.g., the value in timestep 0). In this manner, roughly speaking the index is designed to reflect the percentage change in *IEI* (as estimated via delta-rescaling) where it matters most — areas with high initial *IEI*. For example, the ecological impact is relatively greater (and thus more important) for a cell with a delta score of -0.4 and an initial *IEI* of 1 compared to a cell with the same delta score but an initial *IEI* of 0.5. The final index has a theoretical range of -1 (when a cell with initial *IEI*=1 gets developed) to +0.25 (when a cell with initial *IEI*=0.5 gets restored to the maximum *IEI*), but in practice it will rarely approach the upper limit and only infrequently will it even be > 0 (denoting an improvement in *IEI*). In addition, because *IEI* is scaled by ecological setting or ecosystem and

**285** geographic extent, as described in the text for *IEI*, *ecoImpact* also varies depending on the geographic extent used to scale *IEI* for the baseline condition.

*Interpreting ecoImpact.*—As described above, *ecoImpact* is a composite index derived from the individual intactness and resiliency metrics (**Table 2** in the main text); it is a synoptic measure of the predicted local ecological impact of landscape change and represents the

- 290 principal result of our coarse-filter assessment of the ecological impact of the forecasted landscape changes. In contrast to *IEI, ecoImpact* is delta-scaled to reflect the percentage loss of *IEI* from cells of high baseline *IEI* largely independent of their ecological setting or ecosystem, and is only modestly affect by the geographic extent of the analysis. Briefly, as described in the previous sections, the individual raw metrics are first delta-rescaled, then combined in a
- weighted linear function specific to each ecological setting or ecosystem (e.g., Appendix F), and then multiplied by the baseline *IEI* to produce the final *ecoImpact* index for each landscape comparison. The end result is that a cell with maximum baseline *IEI* (1) that loses all of its *IEI* (1→0) in the alternative landscape (e.g., projected future landscape) gets a value of -1, indicating the maximum possible ecological impact. Conversely, a cell that experienced no change in *IEI*would get would get a value of 0, indicating no ecological impact. Lastly, a cell that experienced a gain in *IEI* would get a positive value that has an upper limit of 0.25, although in practice positive values are rare and typically very small.

It is important to recognize the relative nature of *ecoImpact* and how it differs from *IEI*. Whereas *IEI* is always relative to the ecological system of a cell and the geographic extent of the **305** scaling, the *ecoImpact* of a cell is always relative to itself (regardless of ecosystem or landscape extent) under the baseline condition. The *ecoImpact* of a cell reflects how much the integrity of the cell (as measured by *IEI*) decreases as a result of the forecasted landscape changes relative to the initial or baseline *IEI* of the cell. Thus, *ecoImpact* compares a cell to itself — e.g., the change in integrity over time — whereas *IEI* compares a cell to other cells of the same ecological setting

- 310 or ecosystem within the specified geographic extent. While this interpretation is roughly correct, it is not entirely so. *ecoImpact* involves multiplying the weighted linear combination of deltarescaled metrics by the baseline *IEI*. Therefore, technically speaking the ecological setting or ecosystem of the cell and the geographic extent of the analysis have an effect on the final computed value, but the role of ecosystem membership and geographic extent is relatively minor
- 315 compared to *IEI*. Because of the relative nature of *ecoImpact*, it can be used as a comparative index to compare one site to another or to compare the same site to itself under different landscape change scenarios.