

1 **Title:** The Occurrence and Potential Health Risk of Microcystins in Drinking Water of  
2 Rural Areas in China.

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35 **Abstract:**

36 Large-scale use of nitrogen and phosphorus fertilizers in agricultural production,  
37 environmental pollution and climate warming cause frequent algal blooms and the  
38 generation of algal toxins in water bodies in China. Algal pollution is increasing and  
39 microcystins (MCs) are detectable in both surface and ground water in China at sub-  
40  $\mu\text{g/L}$  and  $\mu\text{g/L}$  levels. Toxicological studies show that microcystins have hepatic and  
41 renal toxicity, genotoxicity, tumor-promoting effects, neurotoxicity, reproductive and  
42 developmental toxicity. Epidemiological evidence from China further reveals that  
43 chronic exposure to MCs through drinking water and liver cancer are positively  
44 correlated and demonstrate that MCs in drinking water are a main risk factor in liver  
45 cancer. Effectively controlled water pollution, reduced sewage discharge, and  
46 enhanced wastewater treatments are pivotal measures to control algal pollution and  
47 toxins in the drinking water of rural China.

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50 **Key words:** Algae; Carcinogenicity; China; Developmental toxicity; Drinking water;  
51 Genotoxicity; Hepatic toxicity; Liver cancer; Microcystins; Neurotoxicity; Renal  
52 toxicity; Reproductive toxicity

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56 **1. Occurrence of Microcystins in China**

57 Much industrial, agricultural and domestic sewage is discharged into water bodies  
58 in China, resulting in a significant deterioration in water quality. After 2000, the water  
59 quality in the Seven Key River Systems of China was significantly affected. In 2012,  
60 only 31.1% of river sections in the key river systems reached national water quality III.  
61 Total phosphorus and total nitrogen are important indicators that have effects on water  
62 quality. Rich nitrogen or phosphorus in a water body is an important factor affecting  
63 eutrophication and algal blooms. Data from the China State Environmental Protection  
64 Agency showed that continuous pollution has made water bodies, especially lakes and  
65 reservoirs, subject to moderate or severe eutrophication. 10% of key lakes in China are  
66 moderately or heavily eutrophicated. Water blooms occur every summer because of the  
67 proliferation of algae, which has become one of the most important factors affecting  
68 quality of water bodies and drinking water. The algal outbreak that occurred in Lake  
69 Taihu in Jiangsu Province in 2007 caused the closure of water plants, which had a  
70 serious impact on the daily life of local residents.

71 The large-scale use of nitrogen and phosphorus fertilizers in agricultural  
72 production, environmental pollution and climate warming caused by wastewater  
73 discharge during industrial production are important factors leading to algal blooms  
74 and the generation of algal toxins. In the past, algal blooms mainly occurred in South  
75 China. However, environmental pollution and climate warming have caused algal  
76 pollution to move northward. In recent years, algal blooms in Northern China have also  
77 become more frequent. In ditch ponds and irrigation channels in rural areas of China,  
78 the problem of algal pollution has become increasingly prominent. The algal toxin  
79 pollution level in the ditch pond water in some areas is similar to Qidong, Jiangsu,  
80 which is a well-known high incidence area of liver cancer in China.

81 Algal toxins in water can be divided into soluble toxins and intracellular algal  
82 toxins. Soluble toxins are secreted by algal cells or released when a cell dies, and  
83 intracellular algal toxins are toxins in the algal cells. Although there are more than 90  
84 species of microcystins (MCs) in water, only microcystin-LR (MC-LR) is included in

85 the National Standards for Water Environment and Standards for Drinking Water  
86 Quality. Some studies have also focused on the pollution level of several algal toxins  
87 with high concentration and relatively high toxicity in water, such as MC-RR, -YR,  
88 -LW, and -AF, but such studies are limited, and therefore, data about those toxins'  
89 exposure level is fragmentary. In general, algal pollution caused by water pollution is  
90 increasing, and algal toxins can be detected in most water bodies in China. Algal toxins  
91 are often detected in drinking water because current conventional water treatments  
92 cannot remove algal toxins completely.

93 Algal toxins in ground water are mainly released by dead algal cells; they infiltrate  
94 into the ground water and pollute it. When a water bloom occurs, the dissolved oxygen  
95 level in the water bodies drops rapidly, causing algae to die of oxygen deficiency, the  
96 algae cells to rupture, and algal toxins to be released into the water body. The released  
97 toxins will contaminate ground water during the ground water recharge and migration  
98 process. In rural China, ground water is the main source of water. In many areas,  
99 ground water is directly used as drinking water, therefore people can be exposed to  
100 algal toxins through their drinking water. In general, the level of aquatic algal toxins  
101 ranges from a few hundred nanograms to tens of micrograms per liter. Algal toxins in  
102 water bodies are higher than well water and much higher than tap water. Algal toxins in  
103 shallow ground water are higher than deep ground water.

104 Lake Taihu is China's third largest freshwater body, and cyanobacterial blooms  
105 occur frequently. In 2014, Su et al measured microcystins in the Taihu area of Jiangsu  
106 and found that the main types of algal toxins were MC-LR, MC-RR and MC-YR, with  
107 average concentrations of 4.69, 4.23 and 2.01  $\mu\text{g/L}$ , respectively. Chen et al. (1996)  
108 found that the average levels of MCs in ditch-pond water and river water of Haimen,  
109 Jiangsu Province were 0.101 and 0.160  $\mu\text{g/L}$ , and the highest levels were 0.300 and  
110 1.558  $\mu\text{g/L}$ , respectively. And they also found that the average levels of MCs were  
111 0.068 and 0.048  $\mu\text{g/L}$  in shallow and deep well water there, respectively. Yang et al  
112 (2016) found that the concentration of MCs in ground water of Lake Chaohu ranged

113 from <0.1 to 1.07 µg/L, and their concentrations correlated with the distance from the  
114 lakeshore. In 2008, Dai et al monitored MCs in the Beijing Guanting Reservoir and  
115 detected MC-LR in the water. The isolation rate was 100%, and its concentration  
116 ranged from 0.21 to 1.15 µg/L. Lin's research group (2005) determined that the MCs in  
117 the reservoir waters in Guangdong Province were dominated by MC-RR. In 2004, MCs  
118 were found in some key reservoirs and lakes in Guangdong through enzyme-linked  
119 immunosorbent assays. The average concentrations of MCs in Dongjiang River Basin,  
120 Beijiang River Basin, Pearl River Delta, Yangxi Reservoir in the eastern coast of  
121 Guangdong, Hedi Reservoir in the western coast of Guangdong, and Xinghu Lake were  
122 0.01, 0.02, 0.176, 0.295, 0.102, and 0.371 µg/L, respectively. Dong et al (1998)  
123 detected source water and factory water in several areas of Jiangsu, and MCs in source  
124 water ranged from 0.281 to 35.300 µg/L, which was much higher than in factory water  
125 (<0.020-1.4 µg/L). Liu et al (2011) found that the concentration of free algal toxins in  
126 the main lakes of Wuhan was 0.0146-0.1212 µg/L. From March 1995 to February 1996,  
127 Xu et al (2000) monitored MCs in Donghu Lake and a fish pond in Wuhan, and the  
128 concentration of MCs ranged from 0.10-0.30 µg/L.

129

## 130 **2. Toxicity of Microcystins**

### 131 **2.1 Hepatic and Renal Toxicity**

132 Liver is the main target organ of microcystins. After entering into human body,  
133 MCs are stored in the liver, and cause severe liver cell damage, with the clinical  
134 features of liver inflammation, liver cell structure disruption and liver cell apoptosis. In  
135 several cases, this can lead to liver failure. Studies have shown that dosing of  
136 microcystins into rats can cause acute poisoning; and autopsies of the rats showed liver  
137 swelling, hyperemia and necrosis. Histopathological observation showed changes such  
138 as hepatocyte rounding, necrosis and hyperemia, breakdown of the sinusoidal  
139 endothelium and hepatic architecture, cell junction loosening, cell death, swelling of

140 the intracellular membrane system, loss of desmosome and tonofilament, alteration of  
141 the microfilament network and cytomorphosis.

142 Collapsed capillary tufts of glomeruli could be observed in rats treated with  
143 MC-LR (10 µg/kg i.p.). In addition, proximal tubules, distal tubules and glomerular  
144 vascular became wider, red blood cells increased in the lumen, tubular epithelial cells  
145 were often desquamated or entirely missing, vacuolization of the cytoplasm appeared  
146 in tubular epithelial cells, and intercellular space lymphocyte infiltration appeared. Rats  
147 given MCs intravascularly exhibited decreased sodium reabsorption in renal tubules,  
148 impaired renal function and proteinuria after only 90 min.

## 149 **2.2 Neurotoxicity**

150 Animal experiments showed that MCs can accumulate in the brain, and cross the  
151 blood brain barrier to cause changes on nerves and brain. Using MC –  
152 immunodetection methods to measure brain cells of rats which had been exposed to  
153 MCs for 48 hours, results showed that brain cell levels of MCs levels were dependent  
154 on exposure concentration. Clinical studies demonstrated that exposure to MCs  
155 exposure can severely impair the nervous system.

## 156 **2.3 Genotoxicity**

157 Studies on the genotoxicity of MCs have mainly focused on purified MCs and MC  
158 extracts. In the Ames assay, purified MC-LR showed negative results. Exposure to  
159 MC-LR (0.01 µg/mL) can cause DNA strand breaks in human hepatoma cell line  
160 HepG2. Lankoff et al (2006) found that after CHO cells treated with MC-LR (10 µg/ml),  
161 the mitosis spindles became abnormal. For MC extracts, Ding et al (1999) showed that  
162 MC extracts could cause DNA damage and had extremely high mutagenicity.  
163 Generally, the genotoxicity of MC extracts is higher than with purified MCs, which  
164 means that other impurities in MC extracts and MCs have synergistic effects on  
165 genotoxicity.

## 166 **2.4 Carcinogenicity**

167 Using a Solt-Farber Model to study the carcinogenicity of MC-LR showed that  
168 MC-LR could enhance the expression of positive foci for the placental form of  
169 glutathione S-transferase Pi (GSTPi) in rat liver, which was initiated with  
170 diethylnitrosamine, and angiomatoid nodules appeared in the liver, indicating MC-LR  
171 is a liver tumor promoter. After initiation by smearing dimethylbenzanthracene on the  
172 skin, Swiss rats were given drinking water with MC extracts; their skin tumor weight  
173 was appreciably higher than in the control group. Other research showed that with  
174 injection of azoxymethane, C57Bl/6J rats were given drinking water with MC extracts,  
175 and visible angiomatoid nodule appeared in their colons. This evidence demonstrated  
176 that MCs have tumor-promoting effects.

## 177 **2.5 Reproductive and Developmental Toxicity**

178 Recent studies have verified that MCs can accumulate in eggs, and may be  
179 transferred to offspring. Chronic exposure to MCs may be toxic to the reproductive  
180 system in male rats. This is because that MC-LR may lead to testicular damage through  
181 alteration of oxidative stress. Studies showed that MCs can be detected in  
182 spermatogenous cells and Sertoli cells, but not detected in Leydig cells, which means  
183 spermatogenous cells and Sertoli cells may be the target cells of MCs.

184 MCs can pass through the placental barrier and cause damage in kidney and liver,  
185 increasing incidence of malformed infants. MCs can lead to degeneration, dropsy and  
186 interstitium loosening in all placental cells. MCs can directly injure the placental barrier  
187 and enter into the embryo, which affects embryonic development and leads to visceral  
188 growth damage. MC-LR's toxicity can be passed on to offspring through parental  
189 transmission. In adult zebrafish, exposure to MC-LR can cause serious developmental  
190 toxicity, including growth inhibition (decrease of body weight and length) and disorder  
191 of the F1 immune system.

192

## 193 **3. Epidemiological Evidence of the Effects of MCs on Human Health**

### 194 **3.1 Hepatotoxicity**



195 Liu et al (2017) conducted a cross-sectional study in the Three Gorges Reservoir  
196 Region, which demonstrated that organ recovery systems (Ors) for abnormal aspartate  
197 aminotransferase (AST) and alanine aminotransferase (ALT) in a hepatitis-B virus  
198 (HBV) exposed population were not significantly different from those in HBV &  
199 aflatoxin B1 (AFB1) or in the HBV&MC-LR exposure population but were  
200 significantly higher in the HBV&AFB1&MC-LR exposure population, indicating that  
201 MCs may have the potential to increase the risk of liver injury induced by combined  
202 exposure to HBV and aflatoxin. In addition, chronic exposure to MCs may be  
203 associated with liver damage in children, AST and ALP levels were significantly higher  
204 in high-microcystin-exposed children than in low-exposed and unexposed children. Yu  
205 et al (2010) found that for residents who lived around Dianshan Lake for over 10 years,  
206 the activity of AST, lactate dehydrogenase (LDH) and gamma-glutamyl transferase  
207 (GGT) in their serum were all higher than in the control group (factory workers whose  
208 working and living environments were not affected by Dianshan Lake).

### 209 **3.2 Carcinogenicity**

210 Epidemiological studies revealed that chronic exposure to MCs through drinking  
211 water and liver cancer were positive correlated. But a cohort study conducted in Florida  
212 did not confirm this correlation. Existing cohort studies about the carcinogenicity of  
213 MCs mainly focus on ecological epidemiology, and they concentrate on China.  
214 Epidemiological studies demonstrated that Haimen, Qidong in Jiangsu Province,  
215 Tongan in Fujian Province, Fusui, and Suiyuan in Guangxi Province of the  
216 Southeastern coastal areas are high incidence areas of liver cancer. Some residents in  
217 these cities have been exposed to drinking pond-ditch water contaminated with MCs  
218 for a long time, and the incidence of primary liver cancer is positively correlated with  
219 MC levels. Yu et al (2001) found that compared to a population drinking deep well  
220 water, a population drinking ditch-pond water is eight times more likely to get liver  
221 cancer. Chronic exposure to drinking water with MCs less than 0.3 µg/L will cause  
222 liver damage and increase liver enzymes, leading to a high incidence of liver cancer.

223 Studies conducted in Haimen and Qidong showed that for residents who have been  
224 exposed to drinking pond-ditch water with MC contamination, the relative risk of liver  
225 cancer was 1.96 and 2.39 times larger than those who drink well water and tap water.  
226 Zheng et al. (2017) revealed that there was a positive interaction between MC exposure  
227 and HBV infection on liver cancer risk, and the relative excess risk for a population  
228 with MC exposure combined with HBV infection exceeded the multiplication of the  
229 relative excess risk for MC exposure and HBV infection alone. These results  
230 demonstrated that MCs in drinking water is a major risk factor for liver cancer.

231 Besides liver cancer, MCs and digestive tumors such as gastric cancer and  
232 colorectal cancer are positively correlated. Studies showed that MCs in drinking water  
233 positively correlated with mortality from male stomach cancer and the standardized  
234 mortality of male cancers overall. In Haining, Zhejiang Province, the incidence of  
235 colorectal cancer correlated with concentration of MCs in local surface water.

#### 236 **4. Guidelines for drinking-water MCs**

237 The most important toxins that result in a health risk to humans are the neurotoxic  
238 alkaloids, microcystins, and cylindrospermopsins. However, the only cyanobacterial  
239 toxins evaluated for health risk by the WHO are the microcystins, which are proved to  
240 trigger acute liver injury and are tumor promoters. A guideline limit value for  
241 microcystin-LR of 1µg/L has been determined by WHO based on health risk  
242 assessment. Both developed and developing countries have adopted this limit value as  
243 their legislation and national standard for drinking water. However, this toxin comes  
244 from specific algal species such as blue cyanobacteria. When the dominant algal  
245 species in the water changes, other toxins such as cylindrospermopsin and neurotoxic  
246 alkaloids may be important factors inducing health risk. However, there is no limit  
247 value for these toxins although their potential adverse effects are high. For instance,  
248 cylindrospermopsin is cytotoxic and genotoxic, and is also thought to be a potential  
249 carcinogen. Thus monitoring of algal toxins in drinking water and toxicological

250 research based on exposure levels in populations are urgently needed to provide  
251 comprehensive data for health risk assessments.

## 252 **5. Health risks of cyanobacteria toxin contamination in rural areas**

253 We have known that many rivers, lakes, and reservoirs in China are polluted by  
254 cyanobacteria due to water body eutrophication, but there is no thorough or  
255 comprehensive information about the situation of cyanobacterial pollution and algal  
256 toxins. Most data on cyanobacterial and algal toxins contamination in drinking water  
257 come from urban water supply systems. Monitoring data about cyanobacterial toxins  
258 are not available for rural drinking water. Key reasons that caused this situation are lack  
259 of sensitivity in detection instrumentation, technical conditions and capabilities. One  
260 opinion is that water quality in rural areas is better than that of urban areas, thus the  
261 issues of cyanobacterial pollution in rural areas have not attracted much attention for a  
262 long time. Accumulating evidence has demonstrated that water pollution in the rural of  
263 China may be more serious. Moreover, in rural areas of China, several important  
264 factors that cause water body eutrophication are widespread. For instance, lack of  
265 infrastructure sanitation that arises from sewage and wastewater discharge without  
266 being effectively controlled. Agricultural fertilizer use increases nutrients into water  
267 bodies. High population density in rural areas leads to significant increase of domestic  
268 sewage discharge. Agricultural run-off and storm water result in nutrients spreading to  
269 ponds, lakes, reservoirs, and rivers that promote cyanobacterial proliferation and lead  
270 to frequent water blooms. Indeed, two decades ago epidemiological investigations  
271 conducted in rural area of China suggest that MCs may be responsible for the high  
272 incidence of liver cancer in populations (Ueno et al., 1996). Therefore, the issues of  
273 cyanobacterial toxin contamination and its health risk in rural areas have been, and  
274 continue to be, ignored.

275 It is well known that ground water is widely used as a drinking water source in  
276 rural China due to surface water pollution and a significant reduction in its water  
277 volume. In many rural areas, residents prefer to directly draw ground water as drinking

278 water without taking any treatment because of low cost and easy access; this approach  
279 may increase health risk and cause health issues especially in water polluted by  
280 different sources. In recent years, severe water pollution-inducing water borne diseases  
281 and cancer have been widely reported by both scientific publications and the media. For  
282 example, the Huai River Basin is one of the most seriously polluted areas in China, both  
283 surface water and ground water are contaminated by industrial and agricultural run-off  
284 and activities. Owing to soaring pollution in this area, eutrophication and algal blooms  
285 have often occurred in recent years. An epidemiological investigation demonstrated  
286 that high cancer mortality in the Huai River Basin of China was associated with serious  
287 pollution (Wan, 2009). Eutrophication and algal blooms occurring in surface water  
288 subsequently lead to the formation of cyanobacterial toxins, which may influence the  
289 quality of ground water. This issue has been of great concern to the academic  
290 community for decades. An investigation has demonstrated that microcystins (MCs)  
291 can be found in ground water even if no cyanobacterial cells existed, suggesting that  
292 MCs can leach from other water bodies through the soil. A key project supported by the  
293 Ministry of Science & Technology of the People's Republic of China confirmed that  
294 MC contamination in ground water originated from rivers, causing potential health  
295 risks on populations who drink ground water directly.

296 It is worth noting that China has begun to advocate disinfection of drinking  
297 water in rural areas. As we know, unintended disinfection by-products (DBPs) are  
298 formed during the water treatment processes. DBPs and cyanobacterial toxins  
299 inevitably exist together in drinking water, and human populations may be exposed to  
300 DBPs and cyanobacterial toxins while drinking. Therefore, their combination is likely  
301 to bring a potential health risk for humans. Our previous study provides evidence that  
302 combined exposure to MX (a representative of the DBPs) and microcystin-LR  
303 exacerbates genotoxicity in CHO cells through oxidative stress, indicating that the  
304 issues of drinking water safety in rural areas of China need much more concern.

## 305 **6. How to control algal pollution hazards**

306           There is no doubt that concentrating on water source conservation is essential for  
307 providing healthy drinking water. Effectively controlled water pollution, reduced  
308 sewage discharge, and strengthened wastewater treatments are pivotal measures to  
309 ensure the safety of drinking water. Generally, local governments and companies  
310 should increase investment in environmental pollution control and ecological  
311 protection. Improvements of infrastructure sanitation will completely reduce  
312 environmental pollution and water quality deterioration caused by pollution. With  
313 increased pollution pressure and shortage of surface water, ground water is getting  
314 more and more used as a raw water source in the rural areas of China. Importantly, the  
315 strategy of using ground water as a drinking water source must be set on the premise  
316 that it is not contaminated and does not contain trace elements such as fluoride and  
317 arsenic that are harmful to health. In view of the importance of monitoring water quality  
318 on management, routinely monitoring and evaluating water quality will serve in a  
319 critical manner to provide knowledge on the situation of water pollution. Local  
320 government in rural areas is encouraged to provide health education training for their  
321 staff involved in health, water supply and environmental management, which raises  
322 their awareness about the risks of drinking water containing high concentrations of  
323 cyanobacteria. Additionally, capacity building in environmental pollution control,  
324 water quality monitoring and management, and health risk assessment need to be  
325 gradually improved through training and public health practice. The above mentioned  
326 strategies will be vital to ensure public health either in urban or in rural areas of China.

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