

# University of Pennsylvania ScholarlyCommons

School of Nursing Departmental Papers

School of Nursing

10-2007

# Psychiatric Comorbidity and Greater Hospitalization Risk, Longer Length of Stay, and Higher Hospitalization Costs in Older Adults with Heart Failure

Steven L. Sayers University of Pennsylvania

Nancy Hanrahan

Ann Kutney Lee University of Pennsylvania

Sean P. Clarke

Brendali F. Reis

See next page for additional authors Follow this and additional works at: https://repository.upenn.edu/nrs

Part of the <u>Cardiology Commons</u>, <u>Cardiovascular Diseases Commons</u>, <u>Circulatory and</u> <u>Respiratory Physiology Commons</u>, <u>Geriatrics Commons</u>, <u>Health and Medical Administration</u> <u>Commons</u>, <u>Medical Humanities Commons</u>, <u>Nursing Commons</u>, <u>Psychiatry Commons</u>, and the <u>Psychiatry and Psychology Commons</u>

#### **Recommended** Citation

Sayers, S. L., Hanrahan, N., Kutney Lee, A., Clarke, S. P., Reis, B. F., & Riegel, B. (2007). Psychiatric Comorbidity and Greater Hospitalization Risk, Longer Length of Stay, and Higher Hospitalization Costs in Older Adults with Heart Failure. *Journal of the American Geriatrics Society*, 55 (10), 1585-1591. http://dx.doi.org/10.1111/j.1532-5415.2007.01368.x

This paper is posted at ScholarlyCommons. https://repository.upenn.edu/nrs/169 For more information, please contact repository@pobox.upenn.edu.

# Psychiatric Comorbidity and Greater Hospitalization Risk, Longer Length of Stay, and Higher Hospitalization Costs in Older Adults with Heart Failure

#### Abstract

OBJECTIVES: To explore associations between psychiatric comorbidity and rehospitalization risk, length of hospitalization, and costs.

DESIGN: Cross-sectional study of 1-year hospital administrative data.

SETTING: Claims-based study of older adults hospitalized in the United States.

PARTICIPANTS: Twenty-one thousand four hundred twenty-nine patients from a 5% national random sample of U.S. Medicare beneficiaries aged 65 and older, with at least one acute care hospitalization in 1999 with a Diagnostic-Related Group of congestive heart failure.

MEASUREMENTS: The number of hospitalizations, mean length of hospital stay, and total hospitalization costs in calendar year 1999.

RESULTS: Overall, 15.8% of patients hospitalized for heart failure (HF) had a coded psychiatric comorbidity; the most commonly coded comorbid psychiatric disorder was depression (8.5% of the sample). Most forms of psychiatric comorbidity were associated with greater inpatient utilization, including risk of additional hospitalizations, days of stay, and hospitalization charges. Additional hospitalization costs associated with psychiatric comorbidity ranged up to \$7,763, and additional days length of stay ranged up to 1.4 days.

CONCLUSION: Psychiatric comorbidity appears in a significant minority of patients hospitalized for HF and may affect their clinical and economic outcomes. The associations between psychiatric comorbidity and use of inpatient care are likely to be an underestimate, because psychiatric illness is known to be underdetected in older adults and in hospitalized medical patients.

#### Keywords

heart failure, psychiatric comorbidity, depression, hospitalization costs, economic analysis, Medicare, sex, socioeconomic status, race, ethnicity

#### Disciplines

Cardiology | Cardiovascular Diseases | Circulatory and Respiratory Physiology | Geriatrics | Health and Medical Administration | Medical Humanities | Medicine and Health Sciences | Nursing | Psychiatry | Psychiatry and Psychology

#### Author(s)

Steven L. Sayers, Nancy Hanrahan, Ann Kutney Lee, Sean P. Clarke, Brendali F. Reis, and Barbara Riegel

Psychiatric Comorbidity and Increased Hospitalization Risk, Length of Stay,

and Hospitalization Costs in Older Adults with Heart Failure

Steven L. Sayers, PhD,\*† Nancy Hanrahan, PhD RN, ‡ Ann Kutney, RN MSN, ‡

Sean P. Clarke, PhD, RN, , FAAN ‡ Brendali F. Reis, Ph.D.,§ and Barbara Riegel, DNSc, RN, FAAN

\*VISN 4 Mental Illness, Research, Education and Clinical Center, Philadelphia Veterans Affairs Medical

Center, †Department of Psychiatry of the University of Pennsylvania, ‡Center for Health Outcomes and

Policy Research, School of Nursing, University of Pennsylvania, §Institute for Graduate Clinical

Psychology, Widener University, School of Nursing and Leonard Davis Institute,

University of Pennsylvania

Word count of text: 2988

Running head: Heart Failure and Psychiatric Comorbidity

Corresponding author: Steven L. Sayers, Ph.D. Philadelphia VA Medical Center MIRECC 116 3900 Woodland Avenue Philadelphia, PA 19104 (215) 823-5196 steven.sayers@va.gov Alternate corresponding author: Nancy Hanrahan, PhD RN nancyp@nursing.upenn.edu

Preparation of the current report was supported by grants from the American Heart Association. We also appreciate the support of the VISN 4 Mental Illness Research, Education, and Clinical Center (MIRECC), Veterans Affairs Medical Center, Philadelphia, Pennsylvania. Portions of the current findings were presented at the 9<sup>th</sup> Annual Meeting of the Heart Failure Society of America, September, 2005, Boca Raton, FL. We acknowledge the support from the Center for Health Outcomes and Policy Research, School of Nursing, University of Pennsylvania, under the National Institute for Nursing Research grants "Center for Nursing Outcomes Research" (P30-NR-005043, Linda H. Aiken, PI) and "Advanced Training in Nursing Outcomes Research" (T32-NR-007104, Linda H. Aiken, PI).

# Abstract

2	<b>OBJECTIVES:</b> To explore associations of psychiatric comorbidity with rehospitalization risk,
3	length of hospitalization, and costs.
4	<b>DESIGN:</b> Cross-sectional study of 1-year hospital administrative data.
5	SETTING: Claims-based study of older adults hospitalized in the United States.
6	<b>PARTICIPANTS:</b> 21,429 patients from a 5% national random sample of U.S. Medicare
7	beneficiaries, aged 65 and older, with at least one acute care hospitalization in 1999 with a
8	Diagnostic-Related Group of Congestive Heart Failure.
9	MEASUREMENTS: The number of hospitalizations, mean length of hospital stay, and total
10	hospitalization costs in calendar year 1999.
11	<b>RESULTS:</b> Overall, 15.82% of patients hospitalized for heart failure had a coded psychiatric
12	comorbidity; the most commonly coded comorbid psychiatric disorder was depression (8.5% of
13	the sample). Most forms of psychiatric comorbidity were associated with increases in inpatient
14	utilization, including risk of additional hospitalizations, additional days of stay, and additional
15	hospitalization charges. Additional hospitalization costs associated with psychiatric comorbidity
16	ranged up to \$7763 and additional days length of stay ranged up to 1.4 days.
17	<b>CONCLUSION:</b> Psychiatric comorbidity appears in a significant minority of patients
18	hospitalized for heart failure and may affect their clinical and economic outcomes. The
19	associations of psychiatric comorbidity with utilization of inpatient care are likely to be an
20	underestimate because psychiatric illness is known to be underdetected in older adults and in
21	hospitalized medical patients.

- 23 analysis, Medicare, gender, socioeconomic status, race/ethnicity
- 24 Word count of abstract: 214 words

#### 25 INTRODUCTION

26	Currently, over 5 million Americans have heart failure, <sup>1</sup> with increasing prevalence in older
27	cohorts of adults, largely due to the aging U.S. population and increased survival of patients with
28	heart failure. Despite medical advances <sup>2</sup> and the positive impact of heart failure management
29	programs, <sup>3</sup> the cost of care for heart failure remains high. Heart failure is responsible for \$33.2
30	billion in health care costs in the U.S., approximately 1.5% of total health care expenditure. <sup>3</sup>
31	Hospitalizations account for 65% of the costs of treating heart failure. <sup>3</sup>
32	There is significant evidence that comorbid psychiatric disorders contribute to increased
33	risk of hospitalization among patients with heart failure and increased costs of these
34	hospitalizations. <sup>4</sup> Depression is common among hospitalized older adults with heart failure, <sup>5</sup> and
35	likely leads to increased outpatient utilization, higher health care costs, and to a doubled risk of
36	rehospitalization and death within 1 year. <sup>6</sup> Few studies have examined the consequences of a broad
37	range of psychiatric disorders for hospitalized older adults with heart failure (e.g., anxiety
38	disorders, alcohol abuse), despite evidence of increased rates of these problems in the heart failure
39	population. <sup>7</sup>

The goal of this study was to examine the effects of identified comorbid psychiatric disorders on healthcare utilization and costs in a sample of Medicare beneficiaries hospitalized at least once with a primary diagnosis of heart failure in 1999. Our major hypothesis was that having a psychiatric disorder would be associated with increased rates of hospitalization, length of hospitalizations, and hospitalization costs in older adults with heart failure. Consistent with existing findings we hypothesized that identified comorbid psychiatric disorders might vary across groups defined by gender, socioeconomic status,<sup>8</sup> and race<sup>9</sup> and that these variables, as well as

- 47 medical comorbidities, would require controls in our models examining the association between
- 48 psychiatric comorbidities and health care utilization.

#### 50 **METHODS**

#### 51 Study Sample

52 The study sample was drawn from a 5% random national sample of Medicare beneficiaries 53 hospitalized during the 1999 calendar year. Using the Denominator and MedPAR files obtained 54 from the Center for Medicaid and Medicare Services (CMS), we extracted records of 55 hospitalizations in 1999 that were assigned the Congestive Heart Failure Diagnostic-Related 56 Grouping (DRG 127). Records of different hospitalizations for the same patients were then 57 collapsed so that the patient became the unit of analysis for most analyses. Because we used 58 hospital DRGs to identify target patients, we excluded patients for whom heart failure was not a 59 primary cause of any admission during 1999, even though it might have been a contributory cause 60 for an admission during that year. This approach was used to limit the extent to which we included 61 patients without a chronic heart failure condition. We excluded patients with comorbid dementia or 62 organic brain syndrome diagnosis from the sample because they might exhibit less variability in 63 their clinical course and the associated costs compared to those with other psychiatric disturbances.<sup>10, 11</sup> 64

Of the 5% national sample of 357,232 unique patients, a total of 27,949 patients had a CHF DRG during at least one of their hospitalizations for that year. About one-fifth of these patients were excluded due to dementia or organic brain syndrome (n=3,123, 11.1%), or an age less than 65 years (n=2,628, 9.4%) because persons younger than 65 years may have qualified for Medicare coverage on the basis of physical or mental disability. A further 2.8% (n = 769) were excluded on the basis of having been admitted before the year 1999, hospitalization costs suggesting data entry errors, or stays longer than 365 days suggestive of long-term care rather than acute hospitalizations. The final sample included 21,429 individuals with a CHF DRG for at least one of
their hospital stays during the year 1999.

74 Measures

We used the definitions of medical comorbidity indices as described by Elixhauser and colleagues<sup>12</sup> and used their algorithm as applied in publicly-available software code distributed by the Agency for Healthcare Research and Quality (AHRQ).<sup>13</sup> The algorithm places emphasis on comorbidities that could increase resources used or the risk of poor outcomes that are not directly related to the reason for admission.<sup>12</sup> Because of our focus on heart failure, it was not analyzed as a separate comorbidity in our analyses.

81 For the current study we expanded Elixhauser and colleagues'<sup>12</sup> categories of comorbid psychiatric disorders based on the initial work by Hanrahan,<sup>14</sup> as well as evidence for inclusion of 82 83 disorders not represented in the system.<sup>7</sup> Psychiatric diagnoses within each category were 84 identified using AHRQ's Clinical Classifications Software<sup>15</sup> (available from the first author upon 85 request). Our final list of psychiatric comorbidity categories was as follows: alcohol abuse, drug 86 abuse, psychosis, depression, bipolar disorders, anxiety disorders, and other psychiatric conditions. 87 The variable we analyzed was presence vs. absence in any hospitalizations in 1999 of each type of 88 comorbid medical and psychiatric condition.

The following demographic variables were analyzed: age, gender, and race. In addition, we used the receipt of Medicaid benefits anytime during the year 1999 as a proxy for socioeconomic status because so-called "dual" eligibility for Medicaid and Medicare requires falling below poverty levels defined by the U.S. Department of Health and Human Services.<sup>16</sup> Our primary health care utilization and outcome included the patient's total number of
hospitalizations in 1999, average length of stay (LOS) of all hospitalizations in 1999, and total
hospitalization charges in 1999. All indices were collapsed across patients' hospitalizations for the
year and represented the values for individual patients.

97 Statistical Analyses

98 Rates of psychiatric comorbidity were calculated, along with 95% confidence intervals. 99 Relative proportions of the sample of patients with psychiatric diagnosis by gender, race, and low-100 income status were examined using 2 X 2 chi-square tests. The continuous outcomes of number of 101 hospitalizations, average LOS, and total charges were all markedly positively skewed, but after 102 logarithmic data transformation they approximated the normal distribution. These transformations 103 were reversed for presentation purposes in the tables. The data were evaluated for amount, 104 distribution, and patterns of missing data, out-of-range values, plausible means, and univariate 105 outliers. Missing values were randomly scattered throughout and were less than 5% of the data 106 points. Cases with incomplete data on the variables relevant for each analysis were dropped. Group differences were examined using *t*-tests and Satterthwaite's<sup>17</sup> method for estimating degrees of 107 108 freedom for unequal variances where appropriate.

Presence of each type of psychiatric comorbidity was tested as a predictor of each outcome using regression modeling where the patient was the unit of analysis. Generalized linear modeling was used to test the hypothesis that psychiatric comorbidity explained a greater number of hospitalizations, mean LOS, and total hospitalization charges. Each of these analyses included the binomial variables (presence/absence) of each type of medical comorbidity as defined by Elixhauser et al.,<sup>12</sup> entered as a block along with the expanded psychiatric comorbidity categories. Because the continuous outcomes of hospitalizations, average LOS, and total charges 116 were all positively skewed, we considered log transformation, but we were concerned about 117 retransformation bias. In order to select the correct model, we examined the distributions of the 118 log-scale residuals from GLM models with a log link function following the method of Manning and Mullahy.<sup>18</sup> There was little kurtosis on the log-scale residuals (i.e., kurtosis < 3) so we chose 119 120 GLM over ordinary least squares regression. Modified Park tests on the raw-scale residuals 121 indicated that  $\lambda=2$  for each outcome, so the gamma family was selected for the final GLM models. 122 To illustrate the impact of comorbidities on the outcome variables, estimates of effects of all 123 comorbidity parameters from these models were transformed from the log values used for the 124 analysis and then presented in a table. For parameters that were statistically significant, entries 125 tabled were calculated using the parameter estimate for each effect and the intercept values of the 126 major outcomes of interest that resulted from the significant model.

#### 128 **RESULTS**

#### 129 Sample characteristics

Table 1 presents the demographic and clinical characteristics of the final sample of 21,429 heart failure patients. Over half of the patients were female (58.8%), and most were white (84.9%), and all were at least 65 years of age. Approximately one-fifth of the sample was designated as lowincome and 33.8% died during the 1999 year. As shown in Table 1, the mean total hospitalization charges was \$40,048 but this outcome was highly skewed—the median value was \$22,934.

#### **Prevalence of psychiatric comorbidities in the sample**

136 Table 2 presents prevalence estimates of identified psychiatric comorbidity, drawn from 137 every hospitalization of each patient in the sample. A significant minority of patients had at least 138 one psychiatric comorbid condition recorded. Within this subset of patients, 15.8% (N=518) of 139 patients had 2 or more different psychiatric diagnoses within or across any of their hospitalizations 140 in that year. However, these patients did not have systematically greater patterns of hospitalization 141 utilization. Those with more than one psychiatric diagnosis, compared to those with only one, had 142 a greater number of hospitalizations, M = 5.5, SD = 3.4, vs. M = 4.0, SD = 2.6, t(639) = 9.86, p < 143 .0001, but lower average costs per hospitalization, M = \$11,845, SD = \$8,566, vs. M = \$12,914, 144 SD = \$10,766, t(835) = -2.51, p < .05. The two groups did not differ on the mean length of

- 145 hospitalizations, M = 8.8, SD = 6.1, vs. M = 8.6, SD = 7.5, t(835) = 0.58, p > .05.
- 146 **P**

#### Psychiatric comorbidities in relation to gender, socioeconomic status, and race

Women were more likely than men to have a comorbid psychiatric diagnosis, 16.8% vs. 148 13.3%,  $\chi^2[1] = 48.82$ , p < .0001, N = 21,429. Depression and anxiety disorders were more 149 common in women (10.0% vs. 6.3%,  $\chi^2[1] = 89.77$ , and 5.0% vs. 2.1%,  $\chi^2[1] = 114.01$ ,

150	respectively, both $p$ 's < .0001). Male patients were more likely than female patients to have an
151	alcohol abuse diagnosis (2.5% vs. 0.5%, $\chi^2[1] = 157.57$ , $p < .0001$ ). No differences in rates of
152	bipolar disorder, psychoses, drug abuse, or other psychiatric problems were observed by gender.
153	A higher proportion of low-income beneficiaries had psychiatric diagnoses, compared to all
154	other beneficiaries, 18.7% vs. 14.4%, $\chi^{2}[1] = 50.74$ , $p < .0001$ , N = 21,429. Specifically, more
155	low-income patients had diagnoses of psychosis (3.4% vs. 2.2%, $\chi^2[1] = 21.38$ , $p < .0001$ ),
156	depression (10.1% vs. 8.1%, $\chi^2[1] = 18.33$ , $p < .0001$ ), drug abuse (0.9% vs. 0.5%, $\chi^2[1] = 10.36$ , $p $
157	< .005), anxiety disorders (4.7% vs. 3.6%, $\chi^2[1] = 14.17$ , $p < .001$ ), and other psychiatric diagnoses
158	(1.8% vs. 1.0%, $\chi^2[1] = 21.24$ , $p < .0001$ ). There were no differences in the likelihood of bipolar
159	disorder or alcohol abuse disorders by income status.

Proportionally more White patients had a recorded psychiatric diagnosis than non-White patients (Black and other non-White categories combined), 15.8% vs. 12.4%,  $\chi^2[1] = 23.25$ , p <.0001). Fewer Black patients had psychiatric diagnoses than those in the White or other race categories combined, 12.5% vs. 15.7%,  $\chi^2[1] = 16.3$ , p < .0001.

#### 164 **Psychiatric comorbidities as predictors of outcomes**

165 *Number of hospitalizations*. Consistent with our hypotheses, all types of psychiatric 166 comorbidity were associated with a greater risk of hospitalizations,  $\chi^2(38) = 4008.30$ , p < .0001, 167 after adjusting for medical comorbidities (see Table 3). All forms of psychiatric comorbidity were 168 associated with having at additional risk of hospitalization, ranging from .20 to .39 additional 169 hospitalizations, on average. Sensitivity analyses excluding patients who had died during 1999 170 revealed very similar findings indicating no significant effects due to an increase in number of 171 hospitalizations at end of life. Follow-up analyses indicated psychiatric comorbidity was associated with increased risk of hospitalizations specifically attributed to heart failure exacerbation (i.e., with a DRG of heart failure). The mean heart failure hospitalizations for patients with psychiatric comorbidity versus no psychiatric comorbidity, were M=1.59 (SD=1.11), M=1.35 (SD=.80) and respectively, t(3921)= -12.16, p < .0001.

177 Mean length of stay (LOS). In partial support of our hypothesis, alcohol abuse, psychoses, 178 major depression, and bipolar disorder were significant predictors of increased mean LOS, after 179 adjusting for the effects of all significant types of medical comorbidity,  $\chi^2$  (38) = 1383.76, p < 180 .0001. Significantly, having bipolar disorder as a comorbid diagnosis was associated with 1.4 181 additional days of stay per hospitalization on average, holding other factors constant. The effects 182 of each type of psychiatric comorbidity were independent of each other and were similar in 183 magnitude when tested individually, indicating no significant multicollinearity effects. Sensitivity 184 analyses showed no impact of excluding patients who died during the study year, suggesting that 185 the findings could not be attributed to an "end-of-life" effect.

186 *Total hospital charges*. Consistent with our hypotheses, psychiatric comorbidities, as a 187 group, were associated with higher total hospitalization costs,  $\chi^2$  (38) = 7294.32, *p* < .0001. As 188 shown in Table 3, the additional hospitalization costs specifically attributable to each diagnosis, 189 ranged from \$1,8433.89 - \$7,763.47. Again, parallel analyses indicated that the effects of 190 psychiatric comorbidity were not dependent on the multicollinearity of the variables. Sensitivity 191 analyses indicated no effect associated with hospital charges by patients at the end of life.

193 **DISCUSSION** 

194 These findings represent the first comprehensive examination of psychiatric comorbidity 195 and hospitalizations of older patients with heart failure. We have presented evidence that the negative effects of depression on the course of heart failure<sup>19</sup> may extend to other psychiatric 196 197 disturbances. In a nationally representative sample we showed that psychiatric comorbidity was 198 prevalent in Medicare recipients hospitalized for heart failure, with depression being the most 199 common form. All forms of psychiatric comorbidity were associated with risk of additional 200 hospitalizations comparable to medical comorbidities within a 1-year period and that the presence 201 of alcohol abuse, depression, bipolar disorder, or psychoses predicted longer hospitalizations. All 202 forms of psychiatric comorbidity were associated with increased health care costs that were at least 203 comparable to other major forms of chronic illness. For example, diabetes with chronic 204 complications was associated with 30% increased costs; depression and bipolar disorder were 205 associated with cost increases of 25% and 52%, respectively. Analyses reported in this paper 206 accounted for the effects of medical comorbidities using a method developed specifically to adjust for their impact on health care utilization, costs, and illness burden.<sup>12</sup> 207

There are several potential explanations for these effects. Heavy cardiac and noncardiac comorbidities in older adults with heart failure complicates their treatment.<sup>20</sup> It may be more difficult to stabilize patients who are depressed or psychotic, and the functional disability associated with these conditions is a barrier to effective treatment.<sup>21</sup> Those with psychiatric disturbances have poorer treatment adherence and self-care.<sup>22</sup> There is also evidence that even when psychiatric disturbances are recognized and initially treated in medical contexts, the psychiatric outcomes of these patients are no better than those who had psychiatric disturbance

215	unrecognized by their medical providers. <sup>23, 24</sup> Thus, research and clinical innovation will be
216	needed to address the challenge of treating psychiatric problems in medical settings.
217	In addition, activation of the hypothalamic-pituitary-adrenocortical axis associated with
218	psychiatric disturbance may play a role in poorer course within and between hospitalizations,
219	although this type of explanation has most typically been applied to the role of depression in
220	cardiovascular outcomes. <sup>25</sup> Our data do point to effects of psychiatric comorbidity on the course of
221	HF, specifically; patients with psychiatric comorbidity had a greater number of HF
222	hospitalizations. Although our data cannot specifically address the mechanisms involved, this is an
223	important avenue for future research.
224	Although out findings are similar to those of Braunstein and colleagues <sup>6</sup> we used more
225	restrictive criteria to identify patients with chronic heart failure (i.e., at least one hospitalization
226	with a Congestive Heart Failure DRG). This likely resulted in a more severely ill patient sample.
227	This restriction may explain why 35.3% of our sample died during the year on which the sample is
228	based, compared to 18% of the sample defined by Braunstein. Our findings extend earlier work by
229	showing that other psychiatric disorders in addition to depression have important associations with
230	increased medical care utilization and costs.
231	There were limitations resulting from the use of claims-based administrative data. There
232	might have been positive and/or negative biases in diagnosis and medical record coders that
233	resulted from sources such as payment incentives and stigma associated with psychiatric
234	diagnoses. <sup>26</sup> The diagnoses in the current study could not be validated against standardized criteria.
235	Although other studies have reported adequate sensitivity and specificity for chart based

236 psychiatric diagnoses in mental health settings,<sup>27</sup> it is likely that diagnoses obtained from claims-

237 based records in the current study led to lower sensitivity for psychiatric disorders. Mood

disorders, and potentially other psychiatric problems, are significantly underdiagnosed and undertreated in older adults in medical settings.<sup>24</sup> The rates of depression we reported are lower than in interview studies of carefully diagnosed patients hospitalized for heart failure (i.e., ranging from 20 - 37%).<sup>5, 28</sup> In addition, the phenomenon of treatment of psychiatric disorders without chart documentation of a psychiatric disorder<sup>29</sup> indicate that psychiatric difficulties in our sample could be higher than the chart-based rates suggest.

No information was available regarding the severity of heart failure in the sample. However, our method of replicating analyses without patients who later died gave some control over illness severity. We cannot exclude the possibility that outcomes may be worse for patients with coded comorbid psychiatric diagnoses as opposed to the presence of the conditions themselves. In addition, only longitudinal designs, with more carefully diagnosed psychiatric conditions, can clarify the complex causal relationships between psychiatric conditions and the course of heart failure hospitalizations and outcomes.

#### 251 CONCLUSION

252 Psychiatric comorbidity is prevalent among older adults with heart failure and our findings 253 suggest that psychiatric illness has a demonstrable impact on the utilization of acute inpatient 254 medical care. Future research should focus on the how this effect occurs. Important changes in 255 clinical practice with these patients may include routine screening for psychiatric disorder and 256 increased access to pharmacological as well as cognitive-behavioral interventions, with 257 modifications based on the needs of older adults. Future research also should be focused on how 258 best to identify and treat psychiatric disturbance in older patients with heart failure. This line of 259 research has promise in reducing the burden of illness on older adults with heart failure, and in 260 reducing the high costs of treating patients with this condition.

#### 261 ACKNOWLEDGMENT

#### 262 Financial Disclosures:

- 263 Steven L. Sayers: Dr. Sayers appreciates the support of grants from the American Heart
- Association, as well as in-kind support from the VISN 4 Mental Illness Research, Education, and
- 265 Clinical Center (MIRECC), Veterans Affairs Medical Center, Philadelphia, Pennsylvania.
- 266 Nancy Hanrahan, Ann Kutney, Sean Clarke: Drs. Hanrahan and Clarke, and Ms. Kutney
- appreciate the support from the Center for Health Outcomes and Policy Research, University of
- 268 Pennsylvania School of Nursing and National Institute for Nursing Research grants "Center for
- 269 Nursing Outcomes Research" (P30-NR-005043, Linda H. Aiken, PI) and "Advanced Training in
- 270 Nursing Outcomes Research" (T32-NR-007104, Linda H. Aiken, PI).
- 271 Brendalis Reis: None
- 272 Barbara Riegel: Dr. Riegel acknowledges the support of grants from the National Institutes of
- 273 Health and the Kynett Foundation.
- 274 Author Contributions:
- 275 Steven L. Sayers, Nancy Hanrahan, Ann Kutney, Sean Clarke, Brendalis Reis, and Barbara
- 276 *Riegel:* These authors were involved in the concept and design of the study, as well as the
- 277 interpretation of data analyses and preparation of the manuscript.

278 Steven L. Sayers, Nancy Hanrahan, Ann Kutney, and Sean Clarke: These authors were directly

- responsible for obtaining the data and performing the data analyses.
- 280 *Sponsor's Role:* Not applicable.
- 281 The Centers for Medicare & Medicaid Services (CMS) have reviewed the subject
- 282 manuscript and approve its use as submitted. This approval is based on the use of CMS data and
- 283 does not represent a CMS endorsement of, or comment on, the actual manuscript itself.

284	Timothy P. Cheney, Center for Health Outcomes and Policy Research, and John E. Marcotte,
285	Ph.D., Center for Population Studies, University of Pennsylvania, contributed statistical and programming
286	expertise to the study. Thanks are extended to Ira R. Katz, M.D., Ph.D., of the University of
287	Pennsylvania and the VISN 4 MIRECC, Joseph Gallo, M.D., Ph.D., of the University of
288	Pennsylvania, and Linda H. Aiken, Ph.D., FAAN, FRCN, RN, Center for Health Outcomes and
289	Policy Research, University of Pennsylvania School of Nursing, for their comments and
290	suggestions on a previous version of the manuscript.

292

# Table 1. Demographic and Clinical Characteristics of Patients in the 5% Sample

#### Characteristic Gender (N, %)Male 8830 41.2 Female 58.8 12599 Race (*N*, %) White 18188 84.9 Black 2301 10.7 Other 940 4.4 21.6 Low-income (N, %) 4637 Age (M, SD) Male 77.5 7.2 Female 80.0 7.9 Died during 1999 (*N*, %) 7571 35.3 # of hospitalizations (*M*, *SD*) 2.96 2.20 # of CHF hospitalizations (M, SD) 0.86 1.38 Avg. Length of Stay (M, SD) 7.3 6.9 Total Charges \$ US (M, SD) 40,048 52,916

## With a CHF DRG At Any Hospitalization During 1999

			95% confidence	
Type of Psychiatric Disorder	Ν	%	interval	
Any Psychiatric Disorder	3287	15.34		
Alcohol abuse	290	1.4	1.2-1.5	
Drug Abuse	122	0.6	0.5-0.7	
Psychosis	534	2.5	2.3-2.7	
Depression	1821	8.5	8.1-8.9	
Bipolar Disorders	58	0.3	0.2-0.3	
Anxiety Disorders	816	3.8	3.6-4.1	
Other Psychiatric Condition	243	1.1	1.0-1.3	

**Table 2.** Estimates of Psychiatric Comorbidity For Patients With Heart Failure DRGAt Any Hospitalization During 1999 (N = 21,429)

#### 

299 Note: A minority of patients received more than one type of psychiatric diagnosis in 1999.

## 302 303\_\_\_\_\_

# Table 3. Estimated Effects of Demographics, Medical and Psychiatric Comorbidities on Length of Stay, Number of Hospitalizations, and Total Hospitalization Charges For Patients With Heart Failure DRG (N = 21,429)

			Additional To	otal		
	Additional N	Number	Estimated Additional		Hospitalization Charges	
	(% chan	ge)	Mean Length	of Stay	Estimate	
	of Hospitali	zations	(% change) i	n Days	(US Dollars)	
	Parameter	р	Parameter	р		
Effect	Estimate	value	Estimate	value	Parameter Estimate	<i>p</i> value
Demographics						
Age 70-79*	—	.408	0.39 (+ 9%)	<.0001	-1001.29 (-7%)	.006
Age 80-89*	0.09 (+7%)	<.0001	1.37 (+31%)	<.0001	-1662.65 (-11%)	<.0001
Age 90+*	0.15 (+11%)	<.0001	2.30 (+51%)	<.0001	2827.77 (+19%)	<.0001
Female	-0.03 (-2%)	.016	0.39 (+9%)	<.0001	-813.87 ( -5%)	.001
Black race**	-0.09 (-7%)	<.0001	_	.713	_	.159
Other race**		.317		.101	3261.55 (+22%)	<.0001
Medicaid	0.08 (+6%)	<.0001	0.37 (+ 8%)	<.0001	_	.682
Medical Comorbidities Hypertension	0.39 (+30%)	<.0001	-0.30 (- 7%)	<.0001	5295.26 (+35%)	<.0001
Valvular Disease	0.21 (+16%)	<.0001	—	.455	4901.10 (+33%)	<.0001
Pulmonary Circulation Disease	0.17 (+13%)	<.0001	0.38 (+9%)	<.0001	2806.30 (+19%)	<.0001
Peripheral Vascular Disease	0.29 (+23%)	<.0001	0.24 (+ 5%)	.003	4320.65 (+29%)	<.0001
Paralysis	0.40 (+31%)	<.0001	1.75 (+39%)	<.0001	6613.03 (+44%)	<.0001

Other Neurological Disorders	0.22 (+17%)	<.0001	1.06 (+24%)	<.0001	3987.54 (+27%)	<.0001
Chronic Pulmonary Disease	0.30 (+23%)	<.0001	0.45 (+10%)	<.0001	5548.66 (+37%)	<.0001
Diabetes without Chronic						
Complications	0.16 (+12%)	<.0001		.08	1731.00 (+12%)	<.0001
Diabetes with Chronic						
Complications	0.27 (+21%)	<.0001	0.81 (+18%)	<.0001	4784.63 (+32%)	<.0001
Hypothyroidism	0.16 (+13%)	<.0001	_	.102	2390.93 (+16%)	<.0001
Renal Failure	0.22 (+17%)	<.0001	0.39 (+9%)	<.0001	3993.25 (+27%)	<.0001
Liver Disease	0.23 (+18%)	<.0001	0.53 (+12%)	.035	2506.64 (+17%)	.019
Lymphoma	0.15 (+12%)	.004		.772	2854.62 (+19%)	.019
Peptic Ulcer Disease and						
Bleeding	_	.141		.777	_	.723
Metastatic Cancer	0.29 (+23%)	<.0001	0.65 (+14%)	.002	3801.28 (+25%)	<.0001
Solid tumor without Metastasis	0.31 (+24%)	<.0001	—	.311	4355.15 (+29%)	<.0001
Rheumatoid arthritis/ Collagen						
Vascular Diseases	0.27 (+21%)	<.0001	0.71 (+16%)	<.0001	3330.07 (+22%)	<.0001
Coagulation Deficiency	0.24 (+19%)	<.0001	0.82 (+18%)	<.0001	8465.06 (+56%)	<.0001
Obesity	0.15 (+12%)	<.0001	0.41 (+9%)	.002	1896.18 (+13%)	.001
Weight Loss	0.36 (+28%)	<.0001	1.91 (+43%)	<.0001	11497.23 (+76%)	<.0001
Fluid and electrolyte Disorders	0.43 (+33%)	<.0001	0.83 (+18%)	<.0001	7381.35 (+49%)	<.0001
Chronic Blood Loss Anemia	0.31 (+24%)	<.0001	0.33 (+7%)	.021	4485.02 (+30%)	<.0001
Deficiency Anemias	0.30 (+24%)	<.0001	0.22 (+5%)	.001	4202.99 (+28%)	<.0001
Acquired Immune Deficiency						
Syndrome	—	.307	_	.744	_	.478
Psychiatric Comorbidities Alcohol abuse	0.21 (+16%)	<.0001	0.58 (+13%)	.026	3461.25 (+23%)	.002

Drug Abuse	0.32 (+25%)	<.0001	—	.819	3723.24 (+25%)	.031
Psychoses	0.30 (24%)	<.0001	1.06 (+24%)	<.0001	3611.95 (+24%)	<.0001
Depression	0.39 (+30%)	<.0001	0.89 (+20%)	<.0001	3815.85 (+25%)	<.0001
Bipolar Disorders	0.38 (+30%)	.001	1.43 (+32%)	.021	7763.47 (+52%)	.005
Anxiety Disorders	0.34 (+27%)	<.0001	—	.371	1843.89 (+12%)	.005
Other Psychiatric Condition	0.37 (+29%)	<.0001		.702	3694.33 (+25%)	.003

305 Notes:

306 Entries in Table 3 were calculated from GLM models and presented as actual hospitalizations, average LOS

307 in days, and total charges for ease of interpretation following the methods of Zhou, Stroupe and

308 Tierney<sup>30</sup>. For parameters that were statistically significant, estimates represent the increased/decreased

309 utilization associated with the characteristic, in relation to the intercept value. In addition, the percent

310 increase in the estimate relative to the intercept value is also presented. The intercept values for the

311 outcomes in the table were as follows: 1.28 hospitalizations, 4.47 days of length of stay, and total charges of

312 \$15,037.21.

313

314 \* Age 65-69 is reference category.

315 \*\*White race is reference category.

316

317

319		
320		
321 322		REFERENCES
323	1.	Rosamond W, Flegal K, Friday G, et al. Heart disease and stroke statistics2007 update: a
324	report	from the American Heart Association Statistics Committee and Stroke Statistics
325	Subcor	nmittee. Circulation 2007;115(5):e69-171.
326	2.	Silver MA. Advancing the advances in heart failure: updates on newer therapies. Congest
327	Heart I	Fail 2005;11(4):176.
328	3.	Lee WC, Chavez YE, Baker T, et al. Economic burden of heart failure: a summary of
329	recent	literature. Heart Lung 2004;33(6):362-371.
330	4.	Garis RI, Farmer KC. Examining costs of chronic conditions in a Medicaid population.
331	Manag	Care 2002;11(8):43-50.
332	5.	Freedland KE, Rich MW, Skala JA, et al. Prevalence of Depression in Hospitalized
333	Patient	s With Congestive Heart Failure. Psychosom Med 2003;65(1):119-128.
334	6.	Braunstein JB, Anderson GF, Gerstenblith G, et al. Noncardiac comorbidity increases
335	preven	table hospitalizations and mortality among Medicare beneficiaries with chronic heart
336	failure.	[see comment]. J Amer Coll Card 2003;42(7):1226-1233.
337	7.	Haworth JE, Moniz-Cook E, Clark AL, et al. Prevalence and predictors of anxiety and
338	depres	sion in a sample of chronic heart failure patients with left ventricular systolic dysfunction.
339	Eur J F	Heart Fail 2005;7(5):803-808.
340	8.	Kessler RC, Chiu WT, Demler O, et al. Prevalence, Severity, and Comorbidity of 12-
341	Month	DSM-IV Disorders in the National Comorbidity Survey Replication. Arch Gen Psychiatry
342	2005;6	2(6):617-627.
343	9.	Borowsky SJ, Rubenstein LV, Meredith LS, et al. Who is at risk of nondetection of mental
344	health	problems in primary care? J Gen Intern Med 2000;15(6):381-388.
345	10.	Zuccala G, Marzetti E, Cesari M, et al. Correlates of cognitive impairment among patients
346	with he	eart failure: results of a multicenter survey. Amer J Med 2005;118(5):496-502.
347	11.	Bennett SJ, Sauvé MJ. Cognitive deficits in patients with heart failure: a review of the
348	literatu	re. J Cardiovasc Nurs 2003;18(3):219-242.

- 349 12. Elixhauser A, Steiner C, Harris DR, et al. Comorbidity measures for use with
- administrative data. Med Care 1998;36(1):8-27.
- 351 13. HCUP Comorbidity Software. Healthcare Cost and Utilization Project (HCUP). 2005

352 [accessed April 22, 2005]; Available from: <u>http://www.hcup-</u>

- 353 <u>us.ahrq.gov/toolssoftware/comorbidity/comorbidity.jsp</u>
- 14. Hanrahan N. What mental health services are used by older adults and who provides these
- 355 services? A descriptive study using 1999 Medicare claims data [Dissertation]. Boston: Boston

356 College; 2002.

357 15. Elixhauser A, Steiner C, Palmer L. Clinical Classifications Software (CCS). 2005

358 [accessed August 1, 2005]; Available from: <u>http://www.hcup-us.ahrq.gov/toolssoftware/ccs/ccs.jsp</u>

16. Health and Human Services. The 1999 HHS Poverty Guidelines. 1999 [accessed

- 360 September 20, 2000]; Available from: <u>http://aspe.os.dhhs.gov/poverty/99poverty.htm</u>
- 361 17. Satterthwaite FW. An Approximate Distribution of Estimates of Variance Components.

362 Biomet Bull 1946;2:110-114.

- 363 18. Manning W, Mullahy J. Estimating log moidels: To transform or not to transform? J Health
  364 Econ 2001;20:461-494.
- 365 19. Moser DK, Worster PL. Effect of psychosocial factors on physiologic outcomes in patients
  366 with heart failure. J Cardiovasc Nurs 2000;14(4):106-115.
- 367 20. Rich MW. Heart failure in the oldest patients: the impact of comorbid conditions. Amer J
  368 Ger Card 2005;14(3):134-141.
- 369 21. Oslin DW, Datto CJ, Kallan MJ, et al. Association between medical comorbidity and
- treatment outcomes in late-life depression. J Am Geriatr Soc 2002;50(5 (Print)):823-828.

22. Carney RM, Freedland KE, Eisen SA, et al. Major depression and medication adherence in

- 372 elderly patients with coronary artery disease. Health Psychol 1995;14(1):88-90.
- 373 23. Goldberg D, Privett M, Ustun B, et al. The effects of detection and treatment on the
- 374 outcome of major depression in primary care: a naturalistic study in 15 cities. The effects of
- detection and treatment on the outcome of major depression in primary care: a naturalistic study in
- 376 15 cities 1998;48(437):1840-1844.
- 24. Charney DS, Reynolds CF, 3rd, Lewis L, et al. Depression and Bipolar Support Alliance
- 378 consensus statement on the unmet needs in diagnosis and treatment of mood disorders in late life.

- 379 Depression and Bipolar Support Alliance consensus statement on the unmet needs in diagnosis and
   380 treatment of mood disorders in late life. 2003;60(7):664-672.
- 381 25. Slimmer LM, Lyness JM, Caine ED. Stress, medical illness, and depression. Semin Clin
  382 Neuropsychiatry 2001;6(1):12-26.
- 383 26. Iezzoni LI, Foley SM, Daley J, et al. Comorbidities, complications, and coding bias. Does
- the number of diagnosis codes matter in predicting in-hospital mortality?[see comment]. JAMA
  1992;267(16):2197-2203.
- 386 27. Sohler NL, Bromet EJ. Does racial bias influence psychiatric diagnoses assigned at first
- hospitalization? Does racial bias influence psychiatric diagnoses assigned at first hospitalization?
  2003;38(8):463-472.
- 389 28. Koenig HG. Depression in hospitalized older patients with congestive heart failure. Gen
  390 Hosp Psych 1998;20(1):29-43.
- 391 29. Sullivan M, Simon G, Spertus J, et al. Depression-related costs in heart failure care.[see
  392 comment]. Arch Int Med 2002;162(16):1860-1866.
- 393 30. Zhou X-H, Stroupe KT, Tierney WM. Regression Analysis of Health Care Charges with
  394 Heteroscedasticity. Appl Statistics 2001;50(3):303-312.
- 395