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# On Visser's Effects, Control, and Weak Implicit Agents

# Abstract

Landau (2013) and van Urk (2011, 2013) use data involving VisserÕs Generalization to argue that (a) the understood thematic subject of a passive verb is syntactically projected as a weak implicit argument (WIA) and (b) antecedent resolution in obligatory control (OC) structures is determined by the syntactic processes of Agree and predication. This paper further examines sentences involving control and passivization and provides five areas in which improved empirical coverage is achieved under an account that makes the opposing assumptions, namely, that the external argument of a passive is syntactically unprojected (only being interpretatively available via existential binding or meaning postulates, as argued in Parsons (1990), Lasersohn (1993), and Bruening (2013)) and that the reference of PRO is determined post-syntactically, by a Bare Output Condition, as most recently suggested, e.g., in Reed (2014: Ch. 7). 1

# On Visser's Effects, Control, and Weak Implicit Agents

Lisa Reed\*

# **1** Introduction

This paper examines sentences in which control interacts with passivization either in the form of a personal passive, such as the French example in (1), or an impersonal passive, as in (2).

 Le jeu a été joué [en PRO tirant le levier sur le côté de la machine]. the game has been played while pulling the lever on the side of the machine 'The (slot) game was played by pulling the lever on the side of the machine.' Parallel English data first observed in Roeper (1983, 1987)
 Il a été proposé/décidé [de PRO fonder une nouvelle nation]. it has been proposed/decided of to found a new nation 'It was proposed/decided to establish a new nation.'

Parallel English data first observed in Manzini (1983:427)

Landau (2013) and van Urk (2013) argue that these types of sentences support their two hypotheses in (3a-b), namely, that the understood subject of a passive verb is syntactically projected as a weak implicit argument (WIA), and that the reference of PRO in contexts of obligatory control (OC) is primarily determined by Agree and predication.

- (3) a. The understood thematic subject of a passive verb is syntactically projected, as originally suggested in Government-Binding (GB) terms in Roberts (1987), but, more recently, in Minimalist terms in Landau (2010, 2013) and van Urk (2011, 2013).
  - b. The referent of obligatorily controlled PRO (PRO<sub>OC</sub>) is primarily determined by the computational system, as originally proposed in transformational terms in Rosenbaum (1967) and more recently, in Minimalist terms, in Landau (2010) and van Urk (2013).

In this paper, I will use the same types of data to argue in favor of the opposing views in (4ab), namely, that the understood subject of a passive verb is not syntactically projected and that the reference of PRO is determined at Logical Form (LF) by a Bare Output Condition (BOC).

- (4) a. The understood thematic subject of a passive verb is syntactically implicit, as originally argued in GB terms in Williams (1985, 1987), Chomsky (1986), Rizzi (1986), Brody & Manzini (1987), Roeper (1987), and others, and, in Minimalist terms, in Bruening (2013).
  - b. The referent of PRO is determined at the syntax-semantics interface by some version of a Theory of Control, as originally suggested in transformational terms in Chomsky & Lasnik (1977), and, in Minimalist terms, in Reed (2014).

The discussion proceeds as follows. Section 2 examines key aspects of the theories of control and passivization assumed by Landau, van Urk, and myself, the goal not being to exhaustively compare and contrast the two approaches, but rather to make it clear where we are in agreement and where we are not. Section 3 then examines five areas in which these two theories make opposing empirical predictions. Section 4 provides a very brief summary of the findings.

# 2 Points of Agreement and Contention

The analyses of control developed in Landau (2010, 2013), van Urk (2011, 2013), and Reed (2014)

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share a number of features that make these theories much more similar to each other than they are to alternative movement or implicit argument approaches to control. More specifically, we agree on each of the three points summarized in (5). Given space constraints, the focus in this section will be on just the second and third hypotheses. Namely, (5b) makes it clear that we share the view that  $PRO_{OC}$  is the "default," found in any syntactically transparent context, while non-obligatorily controlled PRO ( $PRO_{NOC}$ ) is attested "Elsewhere." Importantly, and as is indicated in (6a-b), what constitutes a transparent or an opaque domain does differ under the two theories. While Landau and van Urk assume that an embedded ForceP/CP does not create an opaque domain, I assume that it does.

(5) a. PRO is licensed by specific bundles of features (Chomsky & Lasnik 1995).

Landau (2000, 2013) and van Urk (2013):

PRO is licensed by any T that is not associated with interpretable [+T, + Agr] features.

Reed (2014: Ch. 6):

- Following Bowers (2002), PRO is licensed only if there are no functional heads with unvalued phi-features that would have to undergo Agree with an inherently phiassociated nominal (which PRO is not).
- b. The computational system determines the relative distribution of

obligatory and non-obligatory readings of PRO (Chomsky 1980: 33).

Landau (2013), Reed (2014), and van Urk (2013):

PRO<sub>OC</sub> is the "default," found in syntactically transparent contexts.

- PRO<sub>NOC</sub> is attested "Elsewhere," i.e., in syntactically opaque contexts or in structurally ambiguous contexts in which the OC derivation results in semantic anomaly.
- c. The reference of PRO<sub>NOC</sub> is determined by pragmatic factors that include logophoricity (Williams 1992), topicality (Bresnan 1982), and genericity (Postal 1970).

### (6) Contrasting Views of Syntactic Opacity: The Status of ForceP

Landau (2013) & van Urk (2013):

NOC readings only arise whenever predication and Agree are either both structurally blocked or when their operation results in semantic anomaly. I.e., ForceP does not create an opaque domain for predication or Agree.

#### Reed (2014):

The Bare Output Condition (BOC) in (7) determines the antecedent of PRO<sub>OC</sub>. I.e., ForceP does create an opaque domain.

(7) By default, PRO must take as its antecedent a c-commanding implicit or explicit argument within the superordinate clausal domain that immediately dominates the clause in which it appears, with lexical specifications ruling out potential antecedents and ForceP constituting a phase that "closes off" the search space. If there are no c-commanding potential antecedents or the search space is closed off by ForceP, PRO is assigned the index *arb* and its phifeature specifications are logophorically determined. Reed (2014:302)

It is important to bear in mind that Landau and van Urk are firmly committed to the view that control complement clauses uniformly take the form of ForceP (formerly, CP).<sup>1</sup> For one thing, this is what allows them to accommodate Partial Control (PC) in examples like (8a). Namely, the head of ForceP, which is unspecified for number, "mediates" the control relation and this is what allows PRO to differ in semantic number from its controller.<sup>2</sup> As will be made clear in Section 3.4, indirect questions further entail this assumption under their system.

<sup>&</sup>lt;sup>1</sup> In more recent work, Landau (2015) modifies this assumption slightly, analyzing Exhausative Control in terms of FinP complementation coupled with predication and Partial Control in terms of ForceP/CP complementation and binding. Although I will not explore this more recent version of Landau's theory here, the criticisms advanced in this paper appear to apply equally to it.

<sup>&</sup>lt;sup>2</sup> For critical discussion of this and other approaches to Partial Control, see Authier & Reed (to appear).

(8) a. Professor Smithi T<sup>0</sup> wants [Force<sup>0</sup> [ PROi<sup>+</sup> to [(PRO) meet after class]]].
 Agree Agree Agree Agree Landau (2004:848)
 b. \*Professor Smith met after class.

As indicated above, I adopt the opposite view that ForceP complementation correlates with NOC or, put differently, that FinP complementation results in OC. In other words, I associate (8a) with the FinP complement structure in (9) and account for PC in the terms suggested in Jackendoff & Culicover (2003). Namely, the semantic anomaly of sentences like (9) allows for conventionalized coercion of a group argument into the argument structure of PC verbs like *want*. It is this implicit argument that serves as the antecedent of PRO.

(9) Professor Smith wants [FinP [TP to [vP PRO meet after class]]].

Two final theoretical points warrant discussion before concluding this section. First, (5c) makes it clear that that Landau, van Urk and I also agree that the reference of  $PRO_{NOC}$  is determined by pragmatic factors that minimally include logophoricity, topicality, and genericity. Given space constraints, only logophoricity will be discussed here, although two examples respectively illustrating the influence of topicality and genericity on the licensing of  $PRO_{NOC}$  have been provided below in (10) and (11).<sup>3</sup>

- (10) [After PRO<sub>NOC</sub> collecting some money], a bank account was opened by the/\*a landlord. Inspired by data in Postal (1970).
- (11) The policewoman told Tim [that [ PRO<sub>NOC =arb</sub> undressing \*herself/\*himself/
   \*themselves/\*myself/oneself in public] is strictly forbidden here.

Turning now to logophoricity, this term was coined in Hagège (1974) and refers to the fact that, in many languages, there are overt pronouns that must refer to the individual whose perspective is being communicated whenever they are embedded in the complement clause of a verb of saying, knowing, thinking, perceiving, or showing emotion. That is, while the English pronoun is *she* in *Mary said that she left*. may or may not be understood to refer to Mary, its equivalent in the Aghem example below in (12) must refer to the matrix subject. In other words, in languages like Aghem, logophoricity is grammaticalized.

(12)	Nnsiny	i dze	enyia	éy/*x	bv <del>u</del> nù.		
	Nsen	say	that	she.LOG	fall.FOC		
	'Nsen <sub>y</sub>	said	that she	<sub>y/*x</sub> fell.'		Butler (2	2009:2)

Although languages like French and English lack a set of overt logophoric pronouns, Williams (1992) was the first to observe that PRO<sub>NOC</sub> is sensitive to logophoricity since, in many cases, it is only grammatical if it refers to the logophoric center, i.e. the person whose thoughts, feelings, or speech are being reported. His data in (13a-c) make this clear. In (13a), Bill is obviously the logophoric center, and native speakers report that PRO must be interpreted as referring to him. In (13b), the same is true of the narrator of the story. Finally, (13c) is unacceptable presumably because it lacks a logophoric center, making it pragmatically impossible to establish PRO's reference. Some additional logophoric effects can be seen in (14). What these data establish is that, although PRO<sub>NOC</sub> does not have its reference syntactically determined, it is still often subject to obligatory pragmatic control by the logophoric center, a point that will become very important in Section 3.

- (13) a. [PRO<sub>NOC=x</sub> having just arrived in town], the main hotel seemed to Bill<sub>x</sub> to be the best place to stay.
  - b. [PRO<sub>NOC</sub> having just arrived in town], the main hotel was a vision indeed.
  - c. \*[PRO<sub>NOC</sub> having just arrived in town], the main hotel collapsed on Bill.

<sup>&</sup>lt;sup>3</sup> For further discussion of PRO<sub>NOC</sub> as a Topic, see Bresnan (1982), Kawasaki (1993), and Adler (2006).

(14) Cindy told Tim [that [ PRO<sub>NOC</sub> undressing herself/himself/themselves/\*myself in public] was a very bad idea.

This section will conclude with a second area in which there is disagreement between the two approaches to control under discussion – namely, in how Landau, van Urk, and I view the syntactic status of the understood thematic subject of a passive verb. While Landau and van Urk propose that this argument is syntactically projected, in the fashion indicated in bold in (15a), I assume that it is not, as indicated by the lack of a syntactically projected implicit argument in (15b). In fact, I go even further and follow Parsons (1990), Lasersohn (1993), Bruening (2013), and others in assuming that the passive morphology "deletes" this argument from a verb's argument structure at LF, although it does remain interpretively available either because of existential binding, as Bruening suggests, or because of MPs of the type in (16), as Lasersohn (1993: 159) has argued.

(15)	a.	Il a	été	IMP	décidé	[de PRO	fonder	une	nouvelle	e nation].
	b.	Il a	été		décidé	[de PRO	fonder	une	nouvelle	e nation].
		it has	been		decided	of	to.found	а	new	nation
		'It was	decided t	to establi	sh a new	nation.'				
(16)	$\forall \epsilon$	P[ATOM	l(decide,	$e) \not \exists x$	AGENT	(x, e)]				

To summarize, the discussion thus far has shown that Landau, van Urk and I disagree on two key points: the opaque vs. transparent status of ForceP and the projection or non-projection of the understood agent of a passive verb. In the next section, we turn to five areas in which these differences make opposing empirical predictions.

# **3** Further Examining the Interaction of Control with Passivization

## 3.1 Reconsidering Visser's Effects

Evers (1975) was the first to observe in relation to Dutch that subject control verbs can undergo impersonal passivization. In other words, impersonal passives are exempt from Visser's Generalization (Visser 1973), as made clear by the contrast between the impersonal and personal passives in (17,b).

(17)	a.	Il a	été		décidé [de F	RO	fonder	une	nouvelle nation].		
		it has	been		decided of		to.found	а	new nation		
	'It was decided to establish a new nation.'										
	b.	*Paul a		été	menacé [d'P	RO	intenter u	in procè	s contre lui].		
	Paul has been threatened of to.begin a case against								against him		
	*'Paul was threatened [PRO to initiate legal proceedings against him].'										

Landau and van Urk account for this contrast in terms of Agree. They associate (17a-b) with the structures in (18a-b). (18a) is said to be grammatical because the matrix T does not have to undergo Agree with the expletive NP, it undergoing merge only to satisfy the EPP. This leaves T free to agree with the implicit agent and since that is also the semantically designated controller, the result is well formed. In personal passives like (18b), however, the matrix T must agree with the overt NP in its Spec in order for that nominal's case requirements to be satisfied. This means that that argument is the syntactically designated controller and the result is semantic ill-formed. In short, Landau and van Urk account for Visser's effects by assuming that both types of passives involve OC.

(18)	a.	Il a	été	IMP <sub>x</sub>	décidé [ForceP	de PRO <sub>x</sub>	fonder	une	nouvelle	e nation].
		it has	beer	1	decided	of	to.found	a	new	nation
		'It was	decic	led to establisl	h a new nation	n.'				

b. \*Paul<sub>y</sub> a été IMP<sub>x</sub> menacé [ForceP d'PRO<sub>y</sub> intenter un procès contre lui]. Paul has been threatened of to.begin a case against him \*'Pauly was IMPx threatened [ForceP PROy to initiate legal proceedings against him].'

I account for the same facts, but in different terms, associating (18) with the structures in (19).

- (19) a. Il a été proposé/décidé [ForceP de PRO<sub>NOC</sub> fonder une nouvelle nation]. it has been proposed/decided of to.found a new nation 'It was proposed/decided [ForceP to PRO<sub>NOC</sub> establish a new nation...]'
  - b. \*Pauly a été menace [FinP d'PROy intenter un procès contre lui].
     Paul has been threatened of to.begin a case against him
     \*'Pauly was threatened [FinP PROy to initiate legal proceedings against him].'

Example (19a) is grammatical because the BOC in (7) specifies that ForceP precludes any ccommanding NP from serving as PRO's antecedent. A configuration of NOC results and the reference of PRO is determined by logophoricity. Since verbs equivalent to *propose* and *decide* clearly designate their thematic subjects as logophoric centers, PRO is understood to refer to the agent of the passive verb. In contrast, (19b) is ungrammatical because the BOC in (7) designates FinP complement clauses as contexts of OC. Therefore, in this case, PRO must take as its antecedent the only c-commanding NP that is available – the NP *Paul*, which results in semantic illformedness. In short, I agree that personal passives involve OC, but take issue with the claim that impersonal ones do as well.

Fortunately, it is possible to determine which view is correct. If impersonal passives involve NOC, then it should be possible to construct atypical pragmatic contexts in which the logophoric center cannot plausibly serve as the controller and, in these cases, one should find the three signature characteristics of NOC summarized in Landau (2000, 2013). Namely, impersonal passives should allow arbitrary control, long distance control, and license strict readings under VP-Ellipsis. The Dutch and French examples below in (20-23), as well as their English translations, collectively show that these predictions are borne out. First, the Dutch impersonal passive and its English translation in (20) are clearly associated with an arbitrary reading. As one would expect, these examples of NOC contrast in this respect with the sentence involving OC in (21).

- (20) Ik benaderde de selectiecommissie met de vraag hoe mijn foto selection.committee with the question how my photo I contacted the aan te leveren. ?Er bleek (door het comité) dat er de voorkeur prt to supply there turned-out that there (by the committee) the preference aan gegeven wordt [om deze aan te leveren in jpeg. to given is COMP these prt to supply in jpeg 'I contacted the selection committee about how to submit my photo. It turns out that it's preferred (by the committee<sub>x</sub>) [to PRO<sub>arb</sub> submit in jpeg].'
- (21) The committee<sub>x</sub> prefers [to PRO<sub>x/\*arb</sub> submit photos in jpeg].

The data in (22a-c) next show that impersonal passives can allow long distance control. As expected, PRO<sub>OC</sub> in (23) differs from PRO<sub>NOC</sub> in this respect as well.

- (22) a. Il n'a évidemment pas été décidé par les colonsx [de PRO<sub>NOC=y</sub> lesx it neg.has obviously not been decided by the colonists of them taxer à ce point]. C'est bien sûr la royauté qui en a décidé ainsi. to.tax to that point it is well sure the royalty that of.it has decided so 'It was obviously not decided by the colonistsx [to PRO<sub>NOC=y</sub> tax them<sub>x</sub> at such a rate]. It was the Crown<sub>y</sub>.'
  - b. Il a été décidé par la direction<sub>x</sub> [de PRO<sub>NOC=staff</sub> ne plus it has been decided by the management of Neg no.longer utiliser nos ordinateurs pour faire du shopping. to.use our computers for to.do of.the shopping 'It has been decided by management [PRO<sub>NOC=staff</sub> to no longer use computers to shop].'

- c. Verbolgen zakenvrouw aan haar telefoon in Chicago: irate businesswoman on her telephone in Chicago Waar ben je? Er was door mijn secretaresse where are you there has been by my secretary geregeld ſom elkaar hier in Chicago te ontmoeten]. arranged COMP each other here in Chicago to meet 'Irate businesswoman on her phone in Chicago: Where are you? It was arranged by my secretary<sub>x</sub> [to PRO<sub>NOC=speaker+listener</sub> meet here in Chicago!'
- (23) The colonists<sub>x</sub> obviously did not decide [to PRO<sub>x</sub> tax \*them<sub>x</sub>/themselves<sub>x</sub> at such a rate]. It was the Crown.

Finally, (24) shows that impersonal passives can license strict readings under VP-Ellipsis. In this respect, again, PRO<sub>NOC</sub> contrasts with PRO<sub>OC</sub> in (25).

- (24) It was proposed by Hillary Clinton<sub>x</sub> [to PRO<sub>x</sub> be the 2016 Democratic candidate] and it was by the Democratic National Committee too.
  (associated with a strict reading equivalent to *It was proposed by the DNC that Hillary Clinton be their candidate in 2016.*)
- (25) \*Hillary Clinton<sub>x</sub> proposed [to PRO<sub>x</sub> be the 2016 Democratic candidate] and the Democratic National Committee did too.

In short, the BOC approach to control achieves improved coverage with respect to impersonal passives. By making antecedent selection in control structures sensitive to the ForceP/FinP distinction, it can explain why PRO must refer to the understood agent of the passive verb in typical pragmatic contexts, but may refer to other individuals in atypical ones.

#### 3.2 A Syntactic Constraint on Impersonal Passivization

Turning to a second issue the Landau/van Urk approach faces with respect to impersonal passives, we next observe that their analysis in (18a) immediately extends to, and hence over-generates, a large number of sentences of the type in (26):

(26)	a.	*Il a	été	refusé [de PRO	discuter du	problème].		
		it has	been	refused of	to.discuss of.the	problem		
	*'It was refused/declined to comment on the issue.'							
	b.	*Il a	été	adoré [PRO	danser toute	la nuit].		
		it has	been	loved	to.dance all	the night		
		*'It was	s loved to	dance all night lo	ong.'			
	c. *Il a été oublié [d'amener le vin].							
		it has	been	forgotten	of to.bring the wi	ne		
		*'It was	s forgotte	n to bring the win	e.'			

The BOC approach accounts for these restrictions if we assume that the verbs in (26) contrast with those in (19a) in selecting for an infinitival FinP (not ForceP) complement clause. That is, the BOC in (7) states that any time a matrix verb selects a complement clause "smaller than" ForceP, a c-commanding NP in the matrix clause must be designated as controller. In the case of passives, I assume that the thematic subject is entirely unrepresented at LF, so it cannot ever be selected. This means that only the expletive NP can. Semantic ill-formedness results, since, as Safir (1985: 33-38) was the first to observe in relation to the examples in (27), PRO is inherently non-expletive.

(27) a. [Before PRO<sub>NOC</sub> making a big decision], every option should be considered.

b. \*[PRO<sub>NOC</sub> being obvious that John won't be returning], we can leave.

In sum, the restricted nature of impersonal passivization in languages like French and English

supports the hypotheses that ForceP creates an opaque domain and that the understood agent of a passive verb is not represented at LF.

#### 3.3 On an Unexpected Parallel between PROoc and Overt Bound Pronouns

It was observed above that Landau and van Urk make crucial reference to the syntax of control to account for the absence of a Visser's effect in impersonal passives (18a) and the existence of one in personal ones (18b). Namely, agreement of the matrix T with *Paul* in (18b) precludes T from agreeing with the implicit agent, resulting in semantic ill-formedness. This control-based account of Visser's Generalization (VG) leaves unexplained the fact that overt pronouns, like French *on* in (28), appear to be subject to the same constraint since this pronoun is equally unable to take an understood passive agent as its antecedent. In this case, obviously, no appeal can be made to the syntax of control.

(28) \*Paul a été IMP<sub>x</sub> assuré [qu'on<sub>x</sub> le dédommagerait].
Paul has been promised that one him.ACC would.compensate
\*'Paul was IMP<sub>k</sub> promised [that they<sub>k</sub> would compensate him].'
(cf. Someone<sub>k</sub> promised [that they<sub>k</sub> would pay him by Friday].)

Before exploring how the BOC approach can handle this, consider first the well-known fact that other types of implicit arguments, such as the implicit object of *signal*-type verbs in (29a,b) are not subject to this restriction. These WIAs can serve as antecedents to overt pronouns and PRO:

a.	Jean a	fait	signe	IMP <sub>k</sub> [qu'on <sub>k</sub>	devait	partir].
	Jean has	s made	sign	that one	should	to.leave
	'John si	gnalled	IMP <sub>k</sub> [	that we <sub>k</sub> should	leave].'	
b.	Jean a	fait	signe	IMP <sub>k</sub> [de PRO <sub>k</sub>	partir	
	Jean has	s made	sign	of	to.leav	ve
	'John si	gnalled	IMP <sub>k</sub> [	PRO <sub>k</sub> to leave].	,	
	a. b.	a. Jean a Jean ha 'John si b. Jean a Jean ha 'John si	<ul> <li>a. Jean a fait Jean has made 'John signalled</li> <li>b. Jean a fait Jean has made 'John signalled</li> </ul>	<ul> <li>a. Jean a fait signe Jean has made sign 'John signalled IMP<sub>k</sub> [</li> <li>b. Jean a fait signe Jean has made sign 'John signalled IMP<sub>k</sub> [</li> </ul>	<ul> <li>a. Jean a fait signe IMP<sub>k</sub> [qu'on<sub>k</sub> Jean has made sign that one 'John signalled IMP<sub>k</sub> [that we<sub>k</sub> should</li> <li>b. Jean a fait signe IMP<sub>k</sub> [de PRO<sub>k</sub> Jean has made sign of 'John signalled IMP<sub>k</sub> [PRO<sub>k</sub> to leave].<sup>3</sup></li> </ul>	<ul> <li>a. Jean a fait signe IMP<sub>k</sub> [qu'on<sub>k</sub> devait Jean has made sign that one should 'John signalled IMP<sub>k</sub> [that we<sub>k</sub> should leave].'</li> <li>b. Jean a fait signe IMP<sub>k</sub> [de PRO<sub>k</sub> partir] Jean has made sign of to.leav 'John signalled IMP<sub>k</sub> [PRO<sub>k</sub> to leave].'</li> </ul>

Interestingly, the implicit agent of the impersonal passive in (30) also contrasts with that of personal passives in this respect:

(30)	Je croyais	qu'il	avait	été I	$MP_k$	décidé [a	qu'on <sub>k</sub>	se	réunirait
	I believe	that it	has	been		decided	that one	ourselves	to.meet
	à Chicago	cette	semaine	pour	signer	le	contrat].		
	in Chicago	o this	week	for	to.sign	the	contract		
	'I believe t	hat it wa	s IM P <sub>k</sub> d	lecided	/agreed/arra	inged [th	at we <sub>k</sub> wo	ould meet	
	in Chicago	this wee	k to sign	this co	ontract].'				

These patterns remain mysterious under Landau and van Urk's analysis, however, they are expected under the BOC approach. First, if one assumes, contra (28) and (30) above, that the WIA of a passive is not represented at the LF, then one would expect it to be equally inaccessible to the theories of binding and control since both are BOCs. This, then, explains why the implicit agent cannot serve as PRO's antecedent in (18b) or as *on*'s antecedent in (28). On the other hand, the BOC in (7) treats the implicit object associated with verbs in the active voice as being available (although unprojected) at LF. That is, it has been known since Williams (1985) that this type of implicit argument is available to the theories of binding and control. His sentence in (31), for example, shows that these arguments trigger Condition C violations, the implicit object of *promise* (represented by the index on *promised*) being unable to refer to the same individual as the R-expression *the doctor*. In short, the syntactic availability of the implicit arguments in (29a-b) accounts for the fact that they can serve as antecedents for an overt pronoun or PRO.

(31) \*Mary went to the doctor's office, and she promised<sub>k</sub> that the doctor<sub>k</sub> would not see her again until she was really sick.

Turning to the grammaticality of (30), this follows from the fact that, the understood agent of a passive, while syntactically unavailable at LF, nonetheless remains interpretatively available via MPs or existential binding. A pronoun can, therefore, be pragmatically understood to refer to that individual, in a fashion akin to PRO in examples like (19a). This option is, however, contingent upon the understood agent been clearly designated as the logophoric center. This explains, e.g., why this option is available for verbs of saying, knowing, thinking, etc., as in (30), but not for one like *promise* in (28). Interestingly, however, even verbs like *promise* can allow accidental coreference if the context is properly built up, for example, by the introduction of an overt *by*-phrase, as in (32) below.<sup>4</sup>

(32) ?John was promised by Sue<sub>x</sub> [ForceP that she<sub>x</sub> would bring the money today]. (versus \*John was promised by Sue<sub>x</sub> [FinP to PRO<sub>x</sub> bring the money today].)

#### 3.4 On Unexpected WIA Control in Indirect Questions

Landau (2013:159-160) argues that indirect questions offer strong support for his assumptions summarized in (3a-b). Namely, the matrix subject in (33a-c) is syntactically available; therefore, it must serve as the controller of PRO, which leads one to expect the Condition B violation in (33a), the impossibility of long-distance control in (33b), and the absence of a strict reading in (33c).

- (33) a. Johni wondered [ForceP who PROi to introduce himselfi/\*himi to].
  - b. \*Ik thought that they i wondered [ForceP how PROi to feed myselfk].
  - c. Johni remembered [ForceP when PROi to leave], and Bill did too.
     (Does not allow a strict reading equivalent to *Bill also remembered when John should leave*.)

However, Landau (2013:183) also makes the paradoxical observation that the same constructions are problematic for his and van Urk's approach to the Visser's effect in (18b) since, in indirect questions like (34), agreement of *was* with *Mary* should preclude *was* from agreeing with the WIA, making *Mary* the controller of PRO. Native speakers, however, report the opposite.

(34) Mary<sub>i</sub> was  $[v_P IMP_k asked [ForceP where PRO*_i/k to throw the trash]].$ 

The BOC approach accommodates this range of data since it does not assume uniform ForceP complementation. Namely, examples like (19b) are analyzed in terms of FinP complementation, which the BOC identifies as a context of OC by the argument in surface subject position. However, the presence of a *wh*-element in (34) indicates that indirect questions involve ForceP complementation, which the BOC treats as a context of NOC. In other words, ForceP bars *Mary* from serving as the controller and PRO's reference is logophorically determined, referring, as usual, to the logophoric center – the understood subject of *ask*. As one would expect, pragmatic control by the implicit agent is only a tendency since it is possible to construct atypical contexts that preclude it, resulting in the absence of a Principle B effect in (35a), licit long distance control in (35b), and a strict reading under VP-Ellipsis, in (35c).

(35)	a.	Ton bé	ébé <sub>x</sub> ne	risque	pas de sa	voir [quand	PRO <sub>NOC=z</sub>	lex	nourrir].
		your ba	aby Neg	is.likely	not of to.	know when		him.acc	to-feed
		C'est	toiz	qui le	sait.				
		it is	you	who it/a	cc knows				
		'Your l	baby <sub>x</sub> doe	sn't knov	W [ForceP W]	nen to PRO	NOC=z feed him	x]. Youz a	lo!
	b.	I <sub>z</sub> think our hor	that my neymoon]	mom <sub>x</sub> ha:  .	s figured o	ut [ <sub>ForceP</sub> w]	here to PRO <sub>NO</sub>	<sub>C=z+</sub> go or	1

<sup>&</sup>lt;sup>4</sup> Benjamin Bruening (p.c.) has pointed out to me that psuedoclefting is another means of facilitating accidental co-reference in the case of overt pronouns, but not PRO:

<sup>(</sup>i) That they would pay him on Monday is what John was promised.

<sup>(</sup>ii) \*PRO to pay him on Monday is what John was promised.

(35) c. Speaker A: I know I'm the only one who can do anything about this situation, but I just don't know [ForceP what to PRO to do]. Speaker B: I don't either. (Allows a strict reading equivalent to I don't know what you (= Speaker A) should do either.)

#### 3.5 Apparent Weak Implicit Agent Control in Temporal Adjuncts

Let us conclude this empirical discussion with Landau's treatment of Roeper (1983, 1987) inspired-examples like (36), which he analyzes as involving NOC. More specifically, OC is assumed to be blocked in temporal adjuncts because predication, the only mechanism that can derive OC into an island, produces semantically anomalous results since a slot machine is unable to pull a lever.

(36) The (slot) game was IMP played [by PRO<sub>NOC</sub> pulling the lever on the side of the machine.]

The problem with this analysis is that it fails to capture the fact that PRO in (36) must refer to the implicit agent of the passive verb. I.e., the person(s) who played the slot machine clearly must be the same individuals(s) who pulled the lever. This is unexpected since it is usually possible to construct contexts in which the two are distinct, as is true of (37):

(37) While PRO<sub>NOC≠ IMP</sub> eating fries, I suddenly realized that the game had already been played.

The apparent OC by the implicit agent in (36) follows from the BOC approach if we follow Williams (1985) and Lasnik (1988) in assuming that there is an event control derivation for *while*-type adjuncts. In other words, not only can the main clause surface subject be targeted as a potential controller for PRO, but so too can the event denoted by the TP meaning *the game was played* since it also c-commands PRO. Sentence (36) is, therefore, licit on the event control reading because we know that there are events of slot machine playing that typically involve pulling a lever, just as Williams observes that there are events of game playing that typically involve drinking or being naked. They are "drinking games" or "nude games" in a metaphorical sense.

If this approach to (36) is correct, then the fact that PRO must refer to the understood agent of *played* can be attributed to the interpretative availability of that argument via, e.g., a MP like (16). That is, if it is actually the event of game playing that serves as the controller of PRO, then it logically follows that the understood agent of that event must use the lever while playing it. Such an analysis also explains why sentences like (36) contrast in grammaticality with structurally parallel ones of the type in (38), also due to Roeper. Namely, we adopt Williams' suggestion that the event control reading of (38) is ill-formed because one cannot imagine a game that is typically played while angry at a guy named Bill: There are no "angry at Bill" games.

(38) \*The game was played [PRO mad at Bill].

# 4 Against Control by Weak Implicit Agents

To summarize, Landau and van Urk examine sentences in which passivization interacts with control in order to argue in favor of their view that the implicit agent of a passive undergoes Merge in the computational system and, by virtue of that fact, takes part in Agree and predication, which are assumed to determine PRO's reference. In this paper, I have used a wider range of the same types of facts to argue in favor of the diametrically opposed views that the agent of a passive is syntactically unrepresented and that control is best handled in terms of a BOC applying at LF.

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