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# Abstract

The equiatomic quaternary Heusler alloy CoFeCrAl is a candidate material for spin-gapless semiconductors (SGSs). However, to date, there have been no experimental attempts at fabricating a junction device. This paper reports a fully epitaxial (001)-oriented MgO barrier magnetic tunnel junction (MTJ) with CoFeCrAl electrodes grown on a Cr buffer. X-ray and electron diffraction measurements show that the (001) CoFeCrAl electrode films with atomically flat surfaces have a  $B2$ -ordered phase. The saturation magnetization is  $380 \text{ emu/cm}^3$ , almost the same as the value given by the Slater–Pauling–like rule, and the maximum tunnel magnetoresistance ratios at 300 K and 10 K are 87% and 165%, respectively. Cross-sectional electron diffraction analysis shows that the MTJs have MgO interfaces with fewer dislocations. The temperature- and bias-voltage-dependence of the transport measurements indicates magnon-induced inelastic electron tunneling overlapping with the coherent electron tunneling. X-ray magnetic circular dichroism (XMCD) measurements show a ferromagnetic arrangement of the Co and Fe magnetic moments of  $B2$ -ordered CoFeCrAl, in contrast to the ferrimagnetic arrangement predicted for the  $Y$ -ordered state possessing SGS characteristics. Ab-initio calculations taking account of the Cr-Fe swap disorder qualitatively explain the XMCD results. Finally, the effect of the Cr-Fe swap disorder on the ability for electronic states to allow coherent electron tunneling is discussed.

## I. INTRODUCTION

A spin-gapless semiconductor (SGS) is a material in which the Fermi level is located at a zero-energy gap state for a majority spin band and at an energy gap for a minority spin band.[1–3] SGSs belong to the class of half-metals that have fully spin-polarized carriers at the Fermi level, so they exhibit a huge magnetoresistance (MR) and low spin relaxation (the so-called Gilbert damping). These physical properties are ideally suited to solid-state spintronic devices, and are commonly observed in half-metals.[4–10] In addition to such physical properties, SGSs could be used to realize devices with new functionalities, such as reconfigurable magnetic tunnel diodes and transistors,[11] which use their gapless electronic characteristics. Therefore, it is of fundamental and technological importance to investigate

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\* tomoki.tsuchiya.d1@tohoku.ac.jp

† shigemi.mizukami.a7@tohoku.ac.jp

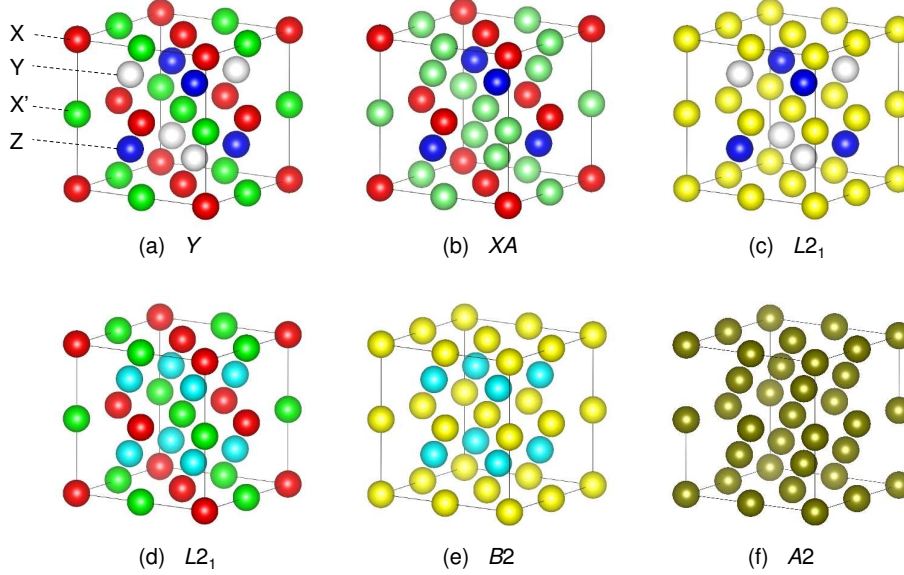


FIG. 1. Schematic illustrations of the cubic crystal structure with various chemical orderings for EQHAs, denoted by the chemical formula  $XX'YZ$ . (a)  $Y$  (space group  $F\bar{4}3m$ , No. 216), in which X, X', Y, and Z elements correctly occupy each Wyckoff position of  $4a$ ,  $4b$ ,  $4c$ , and  $4d$ , respectively. (b)  $XA$  (space group  $F\bar{4}3m$ , No. 216), in which X and Y are randomly swapped. (c) [(d)]  $L2_1$  (space group  $Fm\bar{3}m$ , No. 225), in which X and X' (Y and Z) are randomly swapped. (e)  $B2$  (space group  $Pm\bar{3}m$ , No. 221), in which X and X' and also Y and Z are randomly swapped. (e)  $A2$  (space group  $Im\bar{3}m$ , No. 229), in which all elements are randomly swapped.

1 such advanced spintronic materials. Many candidate materials for SGSs have been proposed.  
 2 One candidate is an equiatomic quaternary Heusler alloy (EQHA) with a chemical formula  
 3 of  $XX'YZ$ , [12, 13] where X, X', and Y denote transition metal elements and Z represents  
 4 a main group element. The crystal structure of EQHAs is a cubic LiMgPdSn or Y-type,  
 5 as shown in Fig. 1(a). Because there are various possible arrangements of the elements,  
 6 EQHAs exhibit several chemically disordered structures, *e.g.*, the XA-type, which belongs  
 7 to the same space group as the Y-type [Fig. 1(b)] and the  $L2_1$ -,  $B2$ -, and  $A2$ -types, which  
 8 have different space groups [Figs. 1(b)–1(f)]. In recent years, intensive theoretical and  
 9 experimental studies have considered various EQHAs. [14] Their results indicate that, to  
 10 realize SGSs, it is of vital importance to characterize the chemical orderings of EQHAs and  
 12 understand their effect on both the gapless state and half-metallic gap.

13 Hereafter, we focus on CoFeCrAl as a typical candidate EQHA for SGSs. Xu et al.

1 were the first to theoretically suggest that several EQHAs, including CoFeCrAl, would have  
 2 the abovementioned electronic structure of SGSs.[12] Subsequently, Ozdogan et al. theo-  
 3 retically studied the electronic structure of 60 EQHAs and confirmed that CoFeCrAl be-  
 4 comes an SGS.[13] Many experimental and theoretical studies on CoFeCrAl have since been  
 5 reported.[15–26] Luo et al. conducted experiments on bulk samples of CoFeCrAl with the  
 6  $B2$  chemical ordering,[15] reporting a lattice parameter of 0.5760 nm and Curie tempera-  
 7 ture  $T_c$  of 460 K. The saturation magnetic moment  $m$  was  $2.070 \mu_B/\text{f.u.}$  at 5 K, and they  
 8 suggested that the total spin magnetic moment  $m_s$  obeys the Slater–Pauling–like rule of half-  
 9 metallic Heusler alloys.[15] Nehra et al. reported similar results.[17] Subsequently, Bainsla  
 10 et al. obtained  $B2$ -ordered CoFeCrAl bulk samples in which  $m = 2 \mu_B/\text{f.u.}$ , and their sam-  
 11 ples exhibited a metallic temperature-dependence in resistivity and a maximum transport  
 12 spin polarization  $P_T$  of 64%, as evaluated by a point-contact Andreev reflection (PCAR)  
 13 technique.[20] In contrast, Kharel et al. reported non-metallic temperature-dependence in  
 14 the resistivity for CoFeCrAl bulk ribbon samples prepared by a melt spinning technique.[21]  
 15 Their samples exhibited very weak superlattice peaks stemming from the  $L2_1$  chemical  
 16 ordering, indicating that the chemical ordering is better than the  $B2$  ordering.[21] They  
 17 reported  $m$  values of 1.9 and 2.1  $\mu_B/\text{f.u.}$  and  $T_c$  values of 456 and 540 K, respectively,  
 18 for samples annealed under different conditions, and discussed these results in terms of  
 19 the zero-gap electronic states smeared by the chemical disorder.[21] Later, the same group  
 20 studied CoFeCrAl epitaxial thin films grown on MgO substrates using a sputtering depo-  
 21 sition technique.[22, 24] They reported that the films exhibited the  $L2_1$  chemical order,  
 22 and measured  $m = 2.0 \mu_B/\text{f.u.}$ ,  $T_c = 390$  K, a semimetal-like carrier number density of  
 23  $1.2 \times 10^{18} \text{ cm}^{-3}$ , and  $P_T = 68\%$ .[22] The observed results were discussed in terms of the SGS  
 24 characteristics.[22] To date, there have been no experimental studies on magnetic tunnel  
 25 junctions (MTJs), which are important because a huge tunnel magnetoresistance (TMR)  
 26 effect is expected from the high spin polarization of CoFeCrAl.

27 In this paper, we describe the spin-dependent transport properties of fully epitaxial MTJs  
 28 with CoFeCrAl epitaxial electrode films. Previously, we reported the structural and mag-  
 29 netic properties of epitaxial films of CoFeMnSi, which is another EQHA that is an SGS  
 30 candidate. The films grown on a Cr buffer had a  $B2$  as well as partial  $L2_1$  orderings,[27]  
 31 and their MTJs exhibited TMR ratios of more than 500% at 10 K, suggesting half-metallic  
 32 electronic characteristics.[8] Different from CoFeMnSi, only  $B2$ -ordered CoFeCrAl films were

1 obtained in this study, despite the similar fabrication conditions and vacuum deposition ap-  
2 paratus. The observed TMR ratios for MTJs in the CoFeCrAl electrode films were 87% at  
3 300 K and 165% at 10 K, even though the abovementioned  $P_T$  values for CoFeCrAl are not  
4 much different from that of CoFeMnSi ( $P_T = 64\%$ ). [28] The underlying physics and chem-  
5 istry are discussed based on both microscopic characterizations of the interface structure  
6 and elemental magnetism and ab-initio calculations that take account of various chemical  
7 disorders.

## 8 II. EXPERIMENTAL AND THEORETICAL CALCULATION PROCEDURES

9 All samples were deposited on MgO(100) single-crystal substrates using a magnetron  
10 sputtering technique. The base pressure of the deposition chamber was  $2 \times 10^{-7}$  Pa. The  
11 MTJ staking structure was substrate/Cr(40)/CoFeCrAl(30)/Mg(0.4)/MgO(2)/CoFe(5)/  
12 IrMn(10)/Ta(3)/Ru(5) (thickness is in nanometers). Before the deposition, the surfaces of  
13 the substrates were cleaned by flushing at  $700^\circ\text{C}$  in the chamber. All layers were deposited  
14 at room temperature (RT). The Cr buffer layer was annealed in situ at  $700^\circ\text{C}$  for 1 h to  
15 obtain a flat surface with (001) orientation. [27] The CoFeCrAl layer was deposited on the  
16 substrate using an alloy target, with the film composition of  $\text{Co}_{25.5}\text{Fe}_{23.1}\text{Cr}_{28.1}\text{Al}_{23.3}$  (at.%)  
17 determined using an inductively coupled plasma mass spectrometer. After the deposition of  
18 the CoFeCrAl layer, in situ annealing was performed at temperatures  $T_{\text{anneal}}$  of  $400\text{--}800^\circ\text{C}$ .  
19 We also prepared samples of substrate/Cr(40)/CoFeCrAl(30)/Ta(3) for structural and mag-  
20 netization measurements and samples of substrate/Cr(40)/CoFeCrAl(30)/Mg(0.4)/MgO(2)  
21 for x-ray magnetic circular dichroism (XMCD) studies.

22 The microfabrication of MTJs with junction areas ranging from  $10 \times 10$  to  $30 \times 30 \mu\text{m}^2$  was  
23 performed using standard ultraviolet photo-lithography and Ar ion milling. Following the  
24 microfabrication, ex situ annealing was performed with a vacuum furnace at temperatures  
25  $T_{\text{MTJ}}$  of  $250\text{--}500^\circ\text{C}$  under an in-plane magnetic field of 5 kOe. The crystal structures of the  
27 samples were determined by x-ray diffraction (XRD) using Cu  $K_\alpha$  radiation. The surface  
28 morphology and roughness were probed by atomic force microscopy (AFM). Microstructure  
29 analysis was conducted by transmission electron microscopy (TEM). Cross-sectional TEM  
30 images were used to analyze the crystalline structures of both samples. TEM specimens  
31 were prepared by hand polishing until the sample thickness became approximately  $10 \mu\text{m}$ .

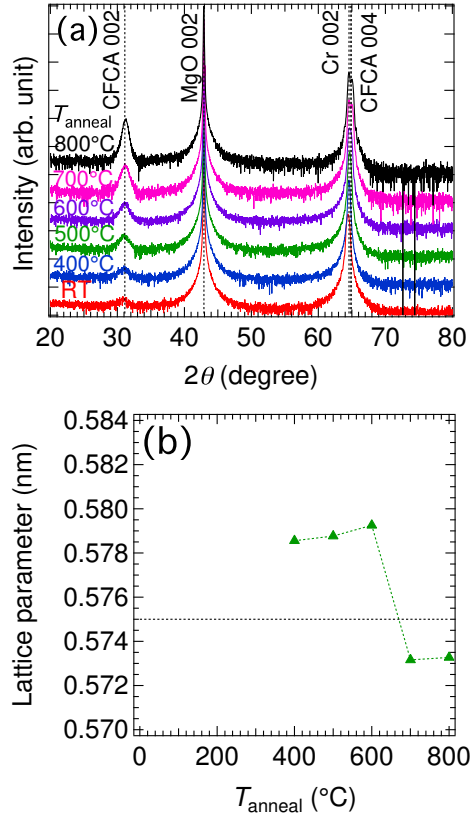


FIG. 2. Structural properties of 30-nm-thick CoFeCrAl films. (a) Out-of-plane XRD patterns. (b) Lattice parameters for perpendicular-to-plane ( $c$ -axis) directions. Thin dashed line denotes the bulk value.[20]

1 The specimens were then thinned using the Precision Ion Polishing System (PIPS) until they  
2 became electron-transparent, typically  $\sim 100$  nm. During the ion beam thinning process, the  
3 ion gun voltage was operated at 3–5 keV with an incident beam angle of 4–6° depending  
4 on the specimen thickness. Magnetization measurements were performed using a vibrating  
5 sample magnetometer. Out-of-plane magnetization was measured by the polar magneto-  
6 optical Kerr effect (MOKE) with a laser wavelength of about 400 nm. XMCD measurements  
7 were performed at BL-7A in the Photon Factory (KEK). Photon helicity was fixed, and a  
8 magnetic field switching between  $\pm 10$  kOe was applied along the incident polarized soft  
9 x-ray. The extent of circular polarization was evaluated to be 85%. The total-electron-  
10 yield mode was adopted. The measurements were carried out in a grazing incidence setup  
11 with respect to the sample surface normal in order to detect the in-plane spin and orbital

1 magnetic moments. All of the abovementioned measurements were performed at RT. The  
 2 transport properties of the MTJs were investigated using a four-probe method and a probe  
 3 system with a maximum applied field of 1 kOe at RT and a physical property measurement  
 4 system (PPMS) at temperatures  $T$  ranging from 10–300 K with an applied magnetic field of  
 5 up to 1 kOe. The MTJs with varying junction areas were measured; however, all the data  
 6 presented here were obtained with a junction area of  $10 \times 10 \mu\text{m}^2$ . Ab initio calculations  
 7 were carried out using the full potential spin-polarized-relativistic Korringa–Kohn–Rostoker  
 8 (FP-SPRKKR) method, as implemented in the SPR-KKR program package.[30] The effect  
 9 of substitutional disorder has been considered by coherent potential approximation. For the  
 10 exchange correlation functional, the generalized gradient approximation, as parameterized by  
 11 Perdew, Burke, and Ernzerhof (PBE), was used.[31] An angular expansion of up to  $l_{\text{max}} = 3$   
 12 has been considered for each atom. We employed Lloyds formula to determine the Fermi  
 13 energy.[32, 33] We have used 917 irreducible  $k$ -points for the Brillouin zone integrations.

### 15 III. RESULTS AND DISCUSSION

#### 16 A. Structure and magnetism for the CoFeCrAl epitaxial films grown on Cr buffer

17 Out-of-plane XRD patterns of the CoFeCrAl films are shown in Fig. 2(a). All samples  
 18 exhibit a 002 peak from the Cr buffer layer and a 002 superlattice diffraction peak from  
 19 the CoFeCrAl. No (111) superlattice peaks were observed in any of the samples in the  
 20 measurement with  $\chi = 54.74^\circ$  (not shown here). These results suggest that all samples  
 21 have the  $B2$  phase, and no  $Y$  or  $L2_1$  ordered phases. The lattice parameter along the  $c$ -  
 22 axis is plotted as a function of  $T_{\text{anneal}}$  in Fig. 2(b). The lattice parameter of the  $c$ -axis  
 23 was calculated from the 002 peak. The lattice parameter for a bulk sample is provided  
 24 for comparison.[20] The lattice parameters of the CoFeCrAl films are larger than the bulk  
 25 value for  $T_{\text{anneal}}$  below  $600^\circ\text{C}$ , and slightly smaller and nearly constant for  $T_{\text{anneal}}$  above  
 26  $600^\circ\text{C}$ . The  $c$  lattice parameters of the CoFeCrAl films for  $T_{\text{anneal}}$  values of 700 and  $800^\circ\text{C}$   
 27 are approximately the same at  $\sim 0.5732$  nm. The order parameters could not be calculated  
 28 because of the overlap between the CoFeCrAl 004 peak and the Cr 002 peak. However, the  
 29 increase in intensity for the superlattice diffraction peak at higher  $T_{\text{anneal}}$  suggests an increase  
 30 in the degree of order. The surface morphology and average roughness  $R_a$  of CoFeCrAl were



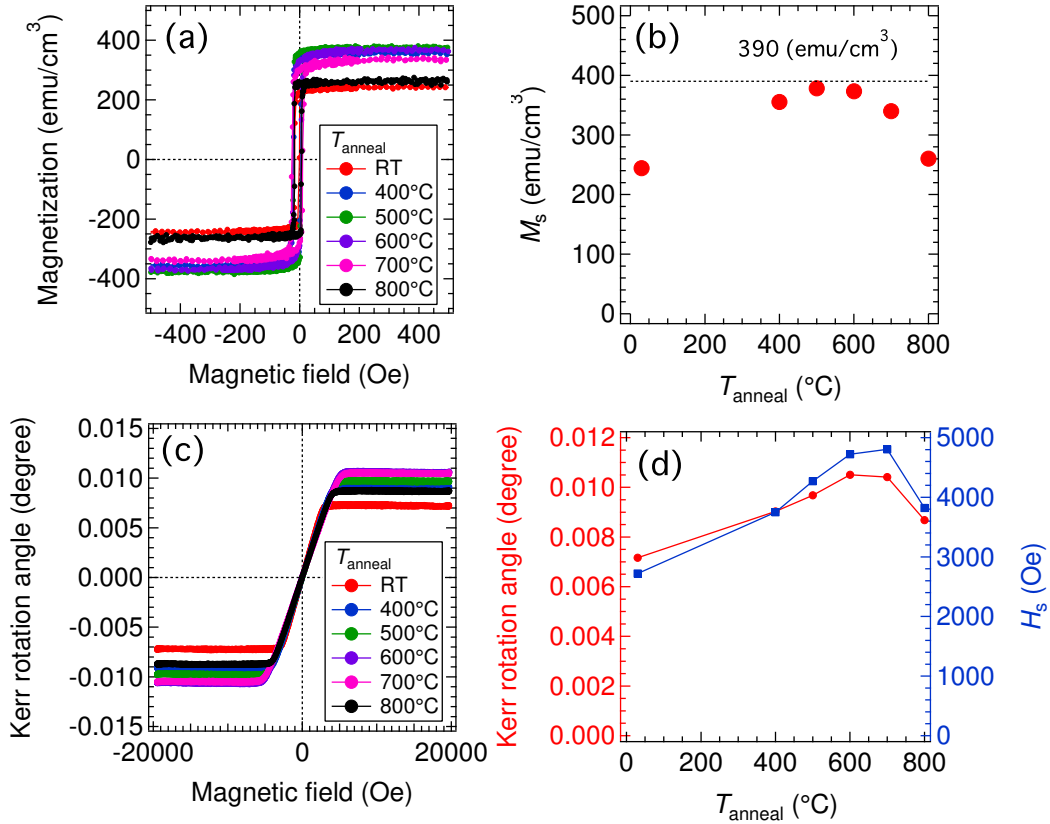


FIG. 3. (a) In-plane magnetization hysteresis loops for samples with different annealing temperatures. (b) Saturation magnetization  $M_s$  as a function of  $T_{\text{anneal}}$  for CoFeCrAl layer. (c) Polar MOKE curves for the same samples under out-of-plane magnetic field. (d) Saturation Kerr rotation angles and out-of-plane saturation field  $H_s$  as a function of  $T_{\text{anneal}}$  for CoFeCrAl layer.

1 also measured by AFM. Atomically flat surfaces with  $R_a$  less than 0.20 nm were observed  
 2 in all samples. In-plane magnetization curves and the saturation magnetization  $M_s$  as a  
 3 function of  $T_{\text{anneal}}$  are shown in Figs. 3(a) and 3(b), respectively. All samples exhibit in-  
 4 plane magnetic anisotropy, as seen in Fig. 3(a). The small magnetization at lower  $T_{\text{anneal}}$   
 5 may be caused by an unidentified phase or a disordered phase.  $M_s$  increases with rising  
 6  $T_{\text{anneal}}$ , probably due to the improvement in the degree of order.  $M_s$  then decreases when  
 7  $T_{\text{anneal}}$  is above 600 $^{\circ}\text{C}$ . To understand this reduction in  $M_s$ , we also measured MOKE under  
 8 an out-of-plane magnetic field, as shown in Fig. 3(c). The MOKE curves show linear  
 9 behavior around the zero field and a saturation at  $\pm 3\text{--}5$  kOe that depends on  $T_{\text{anneal}}$ . As  
 10 seen in Fig. 3(d), the saturation value of the Kerr rotation angle and the saturation field

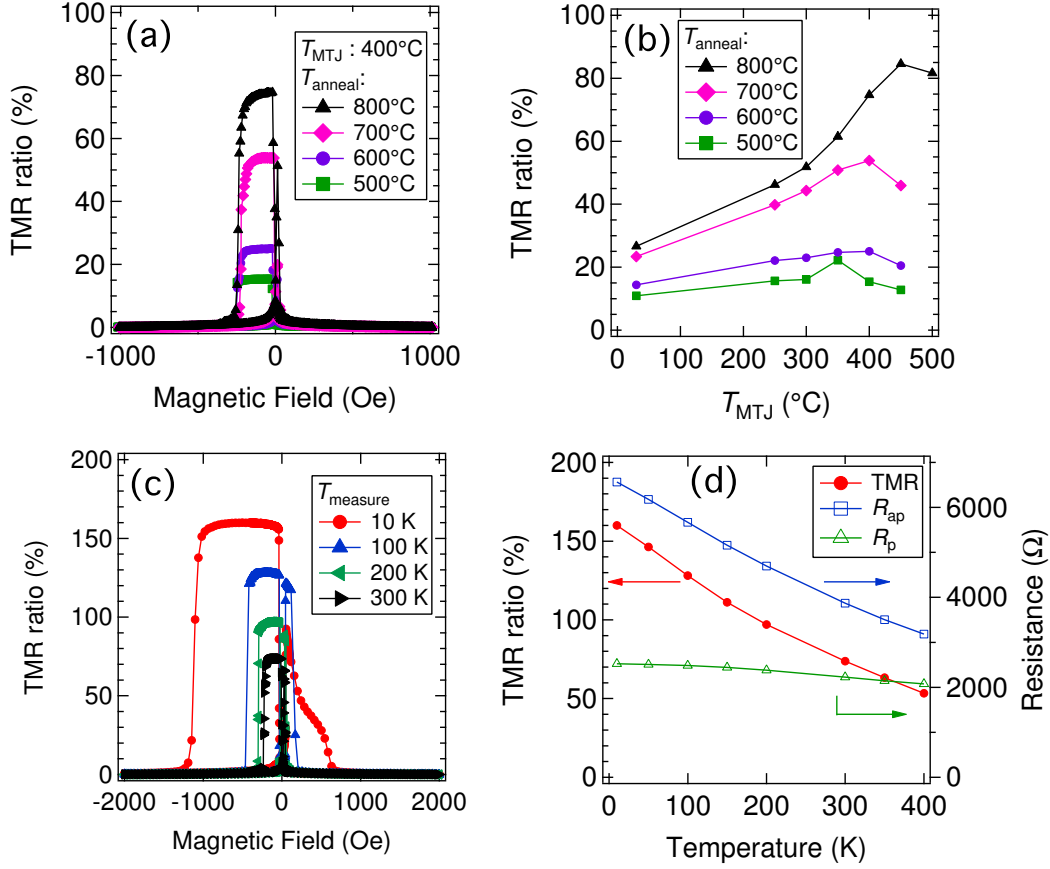


FIG. 4. (a) MR curves measured at RT with various  $T_{\text{anneal}}$ . (b) TMR ratio as a function of  $T_{\text{MTJ}}$ . (c) MR curves measured at various temperatures  $T$  for the MTJ with  $T_{\text{anneal}}$  of 800°C. (d) TMR ratio as a function of the measurement temperature  $T$  for the MTJ with  $T_{\text{anneal}}$  of 800°C.

1  $H_s$  for these samples increase and attain maxima at  $T_{\text{anneal}} = 600\text{--}700^\circ\text{C}$ . The Kerr rotation  
2 angle is approximately proportional to  $M_s$  and the light skin length is typically 10–20 nm  
3 at 400 nm for the transition metals, so that  $M_s$  within the light skin depth is almost the  
4 same for  $T_{\text{anneal}} = 600\text{--}700^\circ\text{C}$ . For  $T_{\text{anneal}} = 600\text{--}800^\circ\text{C}$ , the interdiffusion of the Cr buffer  
5 and CoFeCrAl layers proceeds gradually with increasing  $T_{\text{anneal}}$ , and then the magnetic dead  
6 layer of CoFeCrAl at the bottom interface becomes thicker. This may cause the apparent  
7 reduction in  $M_s$  at  $T_{\text{anneal}} = 600\text{--}800^\circ\text{C}$  observed in Fig. 3(b). When no other magnetic  
8 anisotropies exist,  $H_s$  is determined by the shape anisotropy according to  $H_s = -4\pi M_s$ .  
9 The value of  $H_s$  for  $T_{\text{anneal}} = 600\text{--}700^\circ\text{C}$  is  $\sim 4.8$  kOe [Fig. 3(d)], from which  $M_s$  can be  
10 evaluated as  $\sim 382$  emu/cm<sup>3</sup>. This is quite close to the maximum  $M_s$  of 380 emu/cm<sup>3</sup> for

1 the samples annealed at 500 and 600°C, as seen in Fig. 3(b). Therefore, the  $M_s$  value near  
 2 the film surface for  $T_{\text{anneal}} \sim 500 - 700^\circ\text{C}$  would be similar to  $380 \text{ emu/cm}^3$ , though it is  
 3 slightly smaller for  $T_{\text{anneal}} = 800^\circ\text{C}$ . The magnetic moment  $m$  evaluated from this  $M_s$  value  
 4 is  $\sim 1.9 \mu_B/\text{f.u.}$ , which is comparable to the value calculated from the Slater–Pauling-like  
 5 rule,  $2.0 \mu_B/\text{f.u.}$  at the ground state, and is consistent with previous reports.[15, 17, 20–22]

## 6 **B. Spin-dependent transport in MTJs with the CoFeCrAl electrode and its inter-** 7 **face structures**

8 The MR curves measured at RT for MTJs with  $T_{\text{MTJ}} = 400^\circ\text{C}$  and various  $T_{\text{anneal}}$  are  
 9 shown in Fig. 4(a). The TMR ratios as a function of  $T_{\text{anneal}}$  are shown in Fig. 4(b). The bias  
 10 voltage  $V$  was  $\sim 10 \text{ mV}$  for this measurement. The TMR effect was observed in all samples,  
 11 and the TMR ratio first increases with increasing  $T_{\text{MTJ}}$ , then decreases at certain values of  
 12  $T_{\text{MTJ}}$ . The  $T_{\text{MTJ}}$  values at which the TMR ratio attains a maximum tend to increase slightly  
 13 with increasing  $T_{\text{anneal}}$  [Fig. 4(b)]. Further, the TMR ratios change significantly among the  
 14 MTJs with different  $T_{\text{anneal}}$  values of  $500 - 800^\circ\text{C}$ , as clearly seen in Fig. 4(a). In this study,  
 15 the highest TMR ratio observed at 300 K was 87% for the MTJ with  $T_{\text{anneal}} = 800^\circ\text{C}$  and  
 16  $T_{\text{MTJ}} = 450^\circ\text{C}$ . To clarify the transport mechanism, the  $T$ -dependence of the TMR effect  
 17 measured at  $V \sim 10 \text{ mV}$  was investigated for the MTJ with  $T_{\text{anneal}} = 800^\circ\text{C}$  and  $T_{\text{MTJ}} =$   
 18  $400^\circ\text{C}$ . The MR curves measured at various temperatures and TMR ratios of CoFeCrAl for  
 19  $T_{\text{anneal}} = 800^\circ\text{C}$  are presented as a function of  $T$  in Figs. 4(c) and 4(d), respectively. The MR  
 20 curves show well-defined parallel (P) and antiparallel (AP) states at all temperatures. The  
 21 TMR ratio increases almost linearly with decreasing  $T$ , as seen in Fig. 4(d), reaching  $\sim 160\%$   
 22 at  $T = 10 \text{ K}$ , which is almost twice the value of  $\sim 75\%$  observed at  $T = 300 \text{ K}$ . Furthermore,  
 23 the junction resistance in the AP state  $R_{\text{AP}}$  (in the P state  $R_{\text{P}}$ ) increases strongly (weakly)  
 24 with decreasing  $T$ . The tendency of  $T$ -dependence in Fig. 4(d) can be explained by the in-  
 25 elastic electron tunneling due to the magnon, because our data are similar to those for some  
 26 CoFeB/MgO/CoFeB and CoFe/MgO/CoFe MTJs discussed by Drewello et al. in terms of  
 27 the magnon effect.[34] Figure 5(a) shows the TMR ratio as a function of the junction bias  
 28  $V$  measured at 10 and 300 K for the MTJs in the same sample device as in Figs. 4(c) and  
 29 4(d). In addition to the gradual and asymmetric variations with respect to the polarity of  $V$ ,  
 30 the TMR ratio exhibits very rapid changes within about  $\pm 0.1 \text{ V}$  at  $T = 10 \text{ K}$ . Figure 5(b)

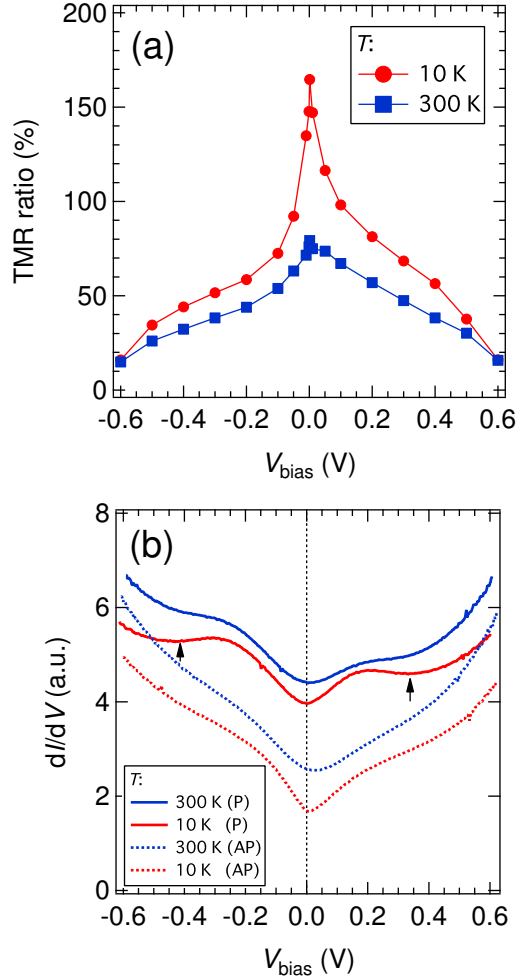


FIG. 5. Bias voltage dependence of the spin-dependent transport property measured at 10 and 300 K for the MTJ with  $T_{\text{anneal}}$  of  $800^{\circ}\text{C}$  and  $T_{\text{MTJ}}$  of  $400^{\circ}\text{C}$ . (a) TMR ratio, (b) junction resistance at 300 K and 10 K. P and AP denote the parallel and antiparallel states of the magnetizations of CoFe and CoFeCrAl, respectively.

1 displays the differential conductance data  $dI/dV$  vs  $V$  measured at 300 and 10 K for the  
 2 corresponding MTJs. The conductance dips near  $V = 0$  are clearly visible in both the P and  
 3 AP states for both values of  $T$ , and are correlated with the abovementioned large change in  
 4 the TMR ratio near  $V = 0$  V. These zero-bias anomalies are also explained by the inelas-  
 5 tic electron tunneling due to the magnon, as mentioned above, that were observed in the  
 6  $dI/dV$  data for some CoFeB/MTJ/CoFeB MTJs.[34] As well as the zero-bias dip, we also  
 7 observed different structures in the  $dI/dV$  data of the P state at  $V = \pm 0.3$  V, as indicated

1 with arrows in Fig. 5(b). Their positions and shapes are similar to those for the structure  
 2 observed in the  $dI/dV$  data of the P state in CoFe(B)/MgO/CoFe(B) MTJs with Co-rich  
 3 compositions.[35] In the CoFe(B)/MgO/CoFe(B) MTJs, these structures were considered to  
 4 result from the coherent tunneling process via spin-polarized  $\Delta_1$  band for the tunneling elec-  
 5 tron wave vector parallel to the [001] direction of CoFe.[35] The coherent electron tunneling  
 6 takes place along the coherent lattices at the heterointerfaces of electrode/barrier/electrode.  
 7 Thus, we investigate the nanostructure of the interface for the CoFeCrAl/MgO/CoFe MTJs  
 8 via the high-resolution cross-sectional TEM measurements for the two representative sam-  
 9 ples. Figures 6(a) and 6(b) show cross-sectional TEM images of the samples with CoFeCrAl  
 10 electrodes annealed at  $T_{\text{anneal}} = 500^\circ\text{C}$  and  $800^\circ\text{C}$ , respectively. Based on the measurement  
 11 of lattice-fringe spacing from the high-resolution TEM image, the lattice constant of the  
 12 CoFeCrAl is approximately 0.585 nm. Nano-beam diffraction (NBD) patterns were taken  
 13 to identify the crystalline structures [insets in Figs. 6(a) and 6(b)]. Diffraction spots from  
 14 both CoFeCrAl and MgO layers can be observed in both specimens. Strong diffraction spots  
 15 from the CoFeCrAl (004) plane are detected, which agrees with the XRD data shown above.  
 16 This structural analysis confirms that CoFeCrAl exhibits predominant  $B2$  ordering rather  
 17 than  $L2_1$  ordering. Figures 6(c) and 6(d) show the corresponding crystalline lattice planes  
 18 between the CoFeCrAl and MgO layers, with approximately 15 nm across the plane. The  
 19 images were obtained by selectively displaying crystalline planes across grain boundaries.  
 20 The dislocation of the lattice plane can be identified clearly from the images, as indicated  
 21 by arrows. When a single fringe is split into two, it indicates the presence of lattice dis-  
 22 locations. As shown in Fig. 6(c), there are multiple dislocations at the bottom and top  
 23 CoFeCrAl/MgO/CoFe interface, as well as within the MgO barrier, for the sample with  
 24 CoFeCrAl annealed at  $T_{\text{anneal}} = 500^\circ\text{C}$ . Note that the top interface has more dislocations  
 25 than the bottom one. In contrast, only one dislocation can be observed in the sample with  
 26 CoFeCrAl annealed at  $T_{\text{anneal}} = 800^\circ\text{C}$ , as shown in Fig. 6(d). These results confirm that  
 27 higher values of  $T_{\text{anneal}}$  reduce the number of dislocations at the CoFeCrAl/MgO interfaces  
 28 and within the MgO barrier, and also suggest that the coherent electron tunneling is possible  
 29 from a structural point of view. This is also supportive in explaining the difference in the  
 30 TMR ratio (by almost a factor of four) between the two samples in terms of the structural  
 31 imperfections.  
 32

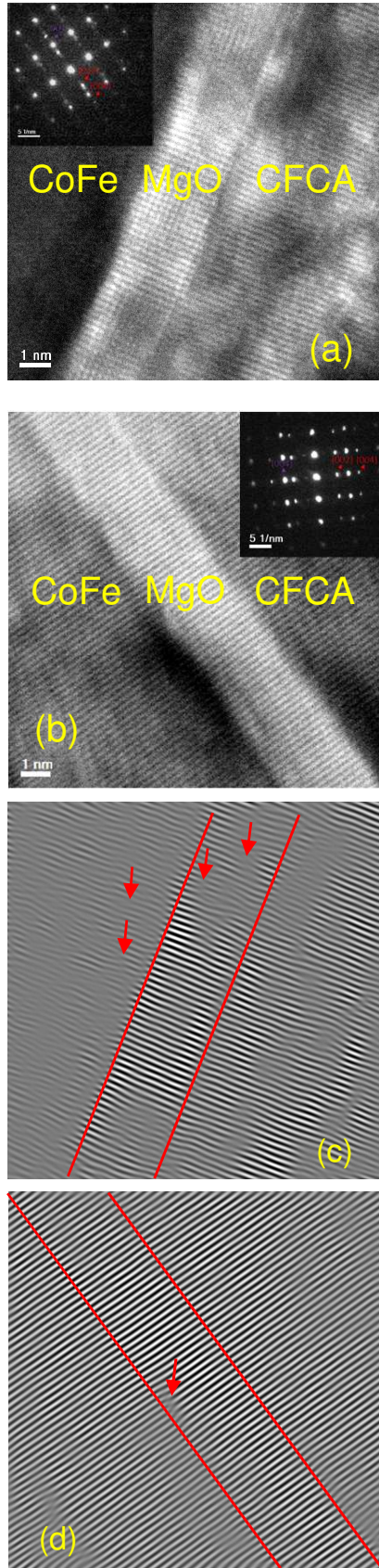


FIG. 6. Cross-sectional TEM images of the samples annealed at (a) 500°C and (b) 800°C. The corresponding selected area beam diffraction patterns are shown as insets. The corresponding lattice planes are also shown for samples annealed at (c) 500°C and (d) 800°C.

### C. Microscopic identifications

To consider the physics underlying the abovementioned transport properties, it is crucial to experimentally identify the electronic state of the CoFeCrAl electrode near the interface. Hence, we investigated the elemental magnetic moments using XMCD measurements. Note that the XMCD measurements typically probe elements within a few nanometers in depth. Hence, we were able to obtain an insight into the electronic state near the interface of MgO and CoFeCrAl via the XMCD results with the aid of ab-initio calculations that take account of possible chemical disorders. Figures 7(a) and 7(b) show the x-ray absorption spectra (XAS) and XMCD spectra, respectively, of Cr, Fe, and Co  $L_{2,3}$  edges with different photon helicity for the sample annealed at  $T_{\text{anneal}} = 700^\circ\text{C}$ . Clear metallic peaks can be observed, confirming that there is no mixing of oxygen atoms. Shoulder structures appear in the higher-photon-energy region of the Co  $L_{2,3}$  XAS peaks. These are considered to originate from the Co-Co bonding states in Heusler alloy structures.[36] No finite XMCD signals can be observed at the Cr  $L$ -edges [Fig. 7(b)]. The XAS and XMCD spectra for the sample without annealing were also measured as a reference (not shown here) and were similar to the data in Fig. 7, except for less pronounced shoulder structures for Co  $L_{2,3}$  XAS peaks and less magnetic contrast in XMCD. This change with the annealing temperature is consistent with the view that the degree of chemical order increases with annealing, as discussed based on the XRD results. The spin and orbital magnetic moments were estimated by applying the magneto-optical sum rules. The magnetic moments given by summing both spin and orbital components of each element are estimated to be 1.14 and  $0.52 \mu_B/\text{atom}$  for Fe and Co, respectively, for the  $T_{\text{anneal}} = 700^\circ\text{C}$  CoFeCrAl film. The total magnetic moment  $m$  is  $1.66 \mu_B/\text{f.u.}$ , which is similar to the experimental value of  $\sim 1.9 \mu_B/\text{f.u.}$  stated earlier and the theoretical value of  $2.0 \mu_B/\text{f.u.}$  for  $Y$ -ordered CoFeCrAl. Interestingly, the XMCD results confirmed that the net magnetic moment of Co seems to be ferromagnetically coupled to that of Fe for the samples in this study. This is dissimilar to the antiferromagnetic arrangement between them that has previously been predicted for the  $Y$ -ordered case.[23] This finding is confirmed by the element-specific magnetic hysteresis for Fe and Co shown in Figs. 7(c) and 7(d), respectively. Figure 8 displays the results of ab-initio calculations of the element-specific magnetic moments, total magnetic moments, and formation energy for CoFeCrAl with various chemical orderings. The theoretical data for the spin-resolved

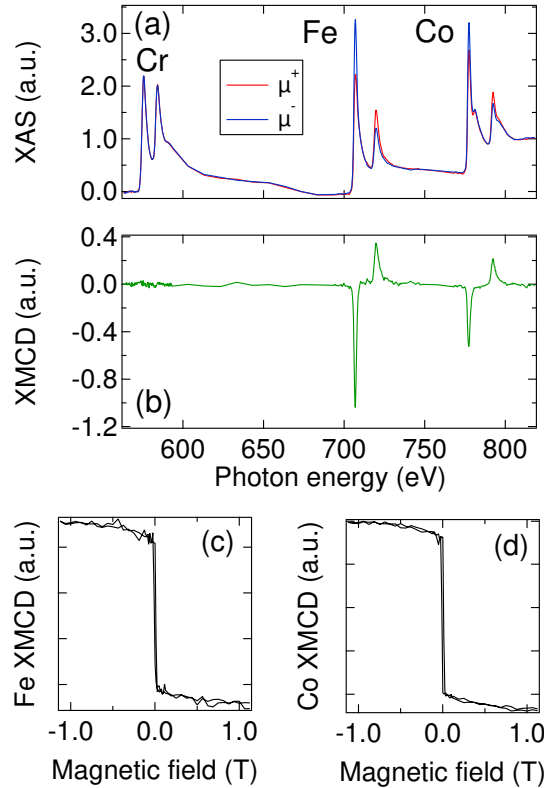


FIG. 7. (a) XAS with different magnetic fields ( $\mu^+$ ,  $\mu^-$ ) for the CFCA sample annealed at 700°C measured at the Cr, Fe, and Co  $L_{3,2}$  edges. (b) XMCD spectra for the corresponding elements are also shown. The in-plane hysteresis curves of XMCD taken at the (c) Fe and (d) Co  $L_3$ -edge.

1 density of states (DOS) profiles for CoFeCrAl with various chemical orderings are shown in  
 2 Fig. 9. The lattice parameter of CoFeCrAl was fixed to 0.575 nm in these calculations. The  
 3 **six** cases of the chemical ordering and/or disordering considered here are as follows: (i) the  
 4 full ordering [ $Y$ , Fig. 1(a)], (ii) the full random swapping of Co and Fe [ $L2_1$ , Fig. 1(c)], (iii)  
 5 the full random swapping of Cr and Al [ $L2_1$ , Fig. 1(d)], (iv) the full random swapping of  
 6 Co and Fe as well as that of Cr and Al [ $B2$ , Fig. 1(e)], (v) the full random swapping of Fe  
 7 and Cr [ $XA$ , Fig. 1(b)] **and (vi) the full random swapping of Co and Cr [ $XA$ , Fig. 1(b)].**  
 8 **In cases (i)-(v),** the total magnetic moment  $m$  is very close to  $2.00 \mu_B/\text{f.u.}$  [Fig. 8(b)],  
 9 which is consistent with the predictions given by the Slater–Pauling–like rule observed in  
 10 Heusler alloys with half-metallic gaps. The half-metallic gap structures in the minority spin  
 11 states survive in cases (i)-(v), as seen in Fig. 9. However, in some cases, finite DOS appear  
 12 at around the Fermi level in the gap by the disorders [Figs. 9(c)-9(e)], meaning that the



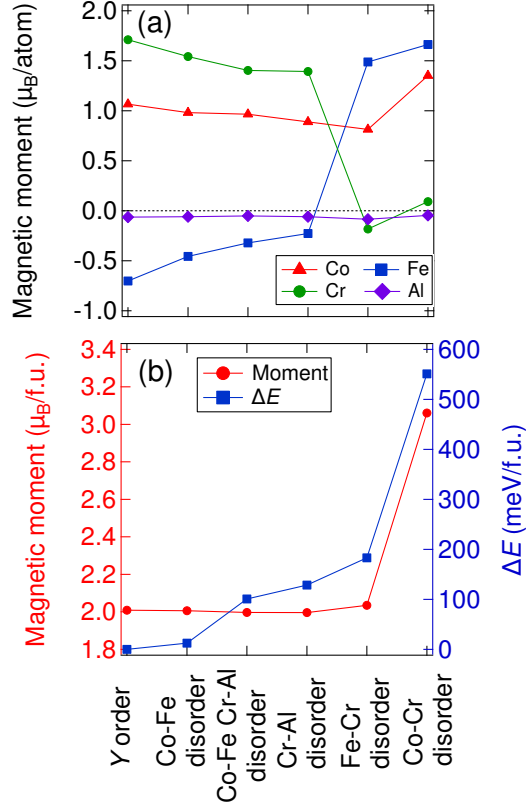


FIG. 8. (a) Element-specific magnetic moments and (b) total magnetic moments and the formation energy of CoFeCrAl with various ordering states by ab-initio calculation: (i) full ordering  $Y$ , (ii) full random swapping of Co and Fe, (iii) full random swapping of Cr and Al, (iv) full random swapping of Co and Fe as well as that of Cr and Al, (v) full random swapping of Fe and Cr, and (vi) full random swapping of Co and Cr.

1 material is no longer a half-metal in a strict sense. On other hand, in case (vi), the total  
 2 magnetic moment  $m$  is  $3.062 \mu_B/\text{f.u.}$ , which is not consistent with the predictions given by  
 3 the Slater–Pauling–like rule observed in Heusler alloys because the finite DOS appear at  
 4 around the Fermi level in the gap [Figs. 9(f)]. In case (i) (the ordered  $Y$  structure), the  
 5 magnetic moment associated with the Fe atom,  $-0.703 \mu_B/\text{atom}$ , is antiparallel to that of  
 6 the Co and Cr atoms ( $1.066 \mu_B/\text{atom}$  and  $1.71 \mu_B/\text{atom}$ , respectively). Hence, there is an  
 7 overall ferrimagnetic ground state, which is in good agreement with the literature.[23] In  
 8 case (ii) (Cr-Al disorder), the Fe atom,  $-0.227 \mu_B/\text{atom}$ , is antiferromagnetically coupled to  
 9 both the Co and Cr atoms ( $0.889 \mu_B/\text{atom}$  and  $1.393 \mu_B/\text{atom}$ , respectively). Additionally,

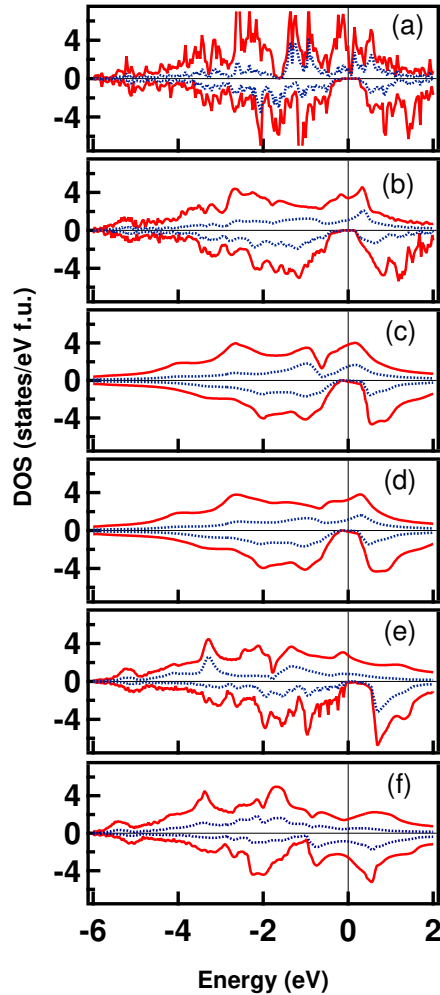


FIG. 9. Calculated spin-resolved density of states (DOS) of CoFeCrAl with the various ordering phases: (a) full ordering Y, (b) full random swapping of Co and Fe, (c) full random swapping of Cr and Al, (d) full random swapping of Co and Fe as well as that of Cr and Al, and (e) full random swapping of Fe and Cr, and (f) full random swapping of Co and Cr. Dashed curves are the partial DOS for Fe.

1 we observe a similar kind of magnetic configuration in case (iii) (Co-Fe disorder), *i.e.*, the Fe  
 2 atom has a magnetic moment alignment opposite to that of the Cr and Co atoms, and in case  
 3 (iv), both of the above disorders (Co-Fe and Cr-Al) are simultaneously present in the system.  
 4 Thus, none of these cases reproduced the parallel arrangement of the magnetic moment of  
 5 Fe and Co observed in XMCD, as summarized in Figs. 7(a) and 8(a). In contrast, case (v)  
 6 (disorder between Fe-Cr) qualitatively reproduced the abovementioned XMCD results. The

1 respective net moments of Fe and Co are 1.488 and 0.814  $\mu_B$ /atom, respectively, and have a  
 2 parallel configuration, whereas Cr exhibits negligible net moment, as seen in Fig. 8(a). The  
 3 magnetic moments of Fe and Cr atoms at sites X' (Y) and Y (X) are 0.268 (2.708)  $\mu_B$ /atom  
 4 and 1.318 (-1.682)  $\mu_B$ /atom, respectively. That is, Cr has two opposite magnetic moments  
 5 at different sites that tend to cancel each other out. Here, the separation between the Cr  
 6 at site X' and the Cr at site Y is around 0.249 nm, which is very much comparable to the  
 7 separation of 0.248 nm in its bulk configuration. This may be why the antiferromagnetic  
 8 coupling between two nonequivalent Cr atoms as that of its bulk configuration. **In case (vi)**  
 9 **(disorder between Co-Cr), the calculated magnetic moments also qualitatively reproduced**  
 10 **the abovementioned XMCD results, which means Co and Fe have a parallel configuration and**  
 11 **Cr exhibits negligible net moment. However, the magnetic moments are much larger than**  
 12 **that for the other cases, which are quantitatively inconsistent with the VSM and XMCD**  
 13 **results, as mentioned above.** When the CoFeCrAl is in the Y phase, the SGS state was  
 14 obtained, as described in a previous report [Fig. 9(a)].[12] In the case of Co-Fe disorder,  
 15 the pseudo-gap in the majority spin band disappears, but half metallicity in the minority  
 16 spin band is still observed [Fig. 9(b)]. However, similar to the other cases, Fe-Cr disorders  
 17 destroy this half-metallicity, as mentioned above, so that no large TMR effect is expected  
 18 [Fig. 9(e)]. This is consistent with the observations in this study if we suppose that our  
 19 CoFeCrAl is similar to that with Fe-Cr disorders. Furthermore, the CoFeCrAl with Fe-Cr  
 20 disorders has a large magnetic moment of Fe at site Y that runs parallel to that of Co at  
 21 site X. This value of the magnetic moment for Fe is similar to that in Co<sub>2</sub>FeAl Heusler  
 22 alloys. As seen in Fig. 9, the DOS peak for Fe is present at energies higher than the Fermi  
 23 level when Fe is at site X or X' [Figs. 9(b)-9(d)], except in the case of Fe-Cr ordering. In  
 24 contrast, the partial DOS of Fe in Fe-Cr disordered CoFeCrAl is more broad, as compared  
 25 with that for Y and other cases, indicating that the energy band derived from the orbital  
 26 of Fe is more similar to that for CoFe in Fe-Cr disordered CoFeCrAl. **The partial DOS of**  
 27 **Fe in Co-Cr disordered CoFeCrAl is also broad and the half-metallicity is destroyed.** Thus,  
 28 the observation of CoFe/MgO-like coherent tunneling in this study could be understood by  
 29 considering the effect of the Fe-Cr disorder in terms of the partial DOS of Fe.

30 From the viewpoint of the formation energy, the Y-order state is most stable and the  
 31 Fe-Cr disorder state is the unstable. Note that all these calculations result in a ground state  
 32 for the bulk, whereas the experiments were conducted on films at **RT**. **Thus, the origin of the**

1 formation of the energetically unfavorable Fe-Cr disorder can be explained as follows. Our  
 2 CoFeCrAl films were deposited on the Cr buffer at RT and the in-situ annealing was done to  
 3 promote the chemical ordering. This fabrication technique is one of the conventional ways to  
 4 obtain the ordered alloy films with the atomically flat surface.[37] Note that this process is  
 5 rather different from that in case of the well-ordered CoFeCrAl films, which was obtained at  
 6 the high deposition temperature.[22] Generally, sputter-deposited films at RT tend to have  
 7 the disordered structure which is far from the thermal equilibrium state, similar to a rapid-  
 8 cooling state. Thus various disordered states, which are energetically higher than  $Y$  state,  
 9 can be easily obtained. The in-situ post annealing promotes the chemical ordering, so that  
 10 the films have the Fe-Cr disordered state rather than Co-Cr disordered states. While, the  
 11 temperature may not be enough to obtain  $L2_1$  or  $Y$  state. Therefore, the higher temperature  
 12 annealing may be one of the effective ways to obtain the ordered phase, which also requires  
 13 thermally stable buffer layers to avoid significant atomic mixing. Other strategy to prevent  
 14 the disorder is to partially substitute Al by Ga. According to the bulk experiments of similar  
 15 system  $\text{Co}_2(\text{Cr-Fe})(\text{Ga-Al})$ [38],  $\text{Co}_2\text{CrFeGa}$  system prevented to form the disorders.

16 Finally, it is appropriate to comment on the tunneling spin polarization for reference. In  
 17 many studies, Julliere's model is used to estimate the tunneling spin polarization, even in  
 18 the coherent tunneling regime. This can be expressed as[39]

$$\text{TMR ratio (\%)} = \frac{2P_1P_2}{1 - P_1P_2} \times 100, \quad (1)$$

19 where  $P_1$  and  $P_2$  are the tunneling spin polarizations for the respective magnetic electrodes.  
 20 If we assume  $P = 0.85$ [40] or  $0.69$ [41, 42] for CoFe in the coherent tunneling case observed in  
 21 the MgO/CoFe system, for example, then we obtain a  $P$  value of  $0.53$  or  $0.66$ , respectively,  
 22 for  $B2$ -ordered CoFeCrAl from the highest TMR ratio at  $10$  K [ $165\%$  in this study, [Fig.  
 23 5(a)]. These values are similar to those obtained by PCAR, as mentioned in the Introduction.  
 24 However, they are low as compared with the values evaluated for  $\text{Co}_2$ -Heusler alloys with  
 25 similar constituent elements, such as  $P = 0.88$  for  $\text{Co}_2\text{Cr}_{0.6}\text{Fe}_{0.4}\text{Al}$ . [42] Future research will  
 26 investigate the TMR effect and spin polarization of CoFeCrAl with much higher chemical  
 27 orderings of  $L2_1$  or  $Y$ .

## 1 IV. SUMMARY

2 Fully epitaxial (001)-oriented MTJs with CoFeCrAl electrode film were grown on a Cr  
3 buffer. The CoFeCrAl films had atomically flat surfaces and *B2* chemical ordering, as con-  
4 firmed by XRD and TEM measurements.  $M_s = 380 \text{ emu/cm}^3$  was observed, corresponding  
5 to the value given by the Slater–Pauling-like rule. The maximum TMR ratios were 87 and  
6 165% at 300 and 10 K, respectively. The MTJs had MgO-interfaces with fewer disloca-  
7 tions, as observed by cross-sectional TEM measurements. Both magnon-induced inelastic  
8 electron tunneling and coherent electron tunneling were suggested by the temperature- and  
9 bias-voltage-dependence measurements of the transport properties. The ferromagnetic ar-  
10 rangement of the Co and Fe magnetic moments for the CoFeCrAl film was confirmed by  
11 XMCD measurements, contrary to the ferrimagnetic arrangement predicted in the *Y*-ordered  
12 state possessing SGS characteristics. Ab-initio calculations taking account the Cr-Fe swap  
13 disorder qualitatively explained these XMCD results. We also discussed the effect of the  
14 Cr-Fe swap disorder on the electronic states, which allow coherent electron tunneling, in  
15 terms of the partial DOS for Fe atoms.

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