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Pre-K Effectiveness at a Large Scale

Timothy J. Bartik and Brad Hershbein

In the past 15 years, four-year-olds' enrollment in state-funded prekindergarten in the United States has more than doubled, with roughly one-third now enrolled. Advocates have pushed for further expansion; for example, New York City Mayor Bill de Blasio in 2014 implemented a universal pre-K program.

Although researchers have found that early childhood programs from decades ago had sizable benefits for students that lasted into adulthood, evidence from more recent (and less-expensive) programs has been mixed. Moreover, recent studies have focused on a modest number of programs, often high-quality ones, in a few states. It is unclear whether the effects of previously studied programs generalize to the cheaper programs more commonly implemented.

We perform the first national analysis of public pre-K's effects on standardized test scores, special education assignment, and grade retention, using data from thousands of school districts throughout the country. We estimate the impacts of typical public school pre-K programs, as well as how impacts vary for districts of different types.

Our analysis reveals the following:

- 1) The typical public pre-K program has no positive effects on 4th grade outcomes. We can rule out impacts from full pre-K adoption as small as 2 percentiles in math and reading test scores and 3 percentage points in special education assignment and grade retention.
- However, for districts in states with high-quality programs (based on prior assessment by

- other experts), pre-K boosts 4th grade math test scores by 2.8 percentiles, twice the necessary threshold to pass a benefit-cost test in terms of predicted future earnings of students.
- 3) For districts with majority African American enrollment, pre-K program effects are even larger, with increases of 5.9 percentiles in math and 3.8 percentiles in reading. Among such districts in high-quality states, the increases are 6.6 and 7.4 percentiles, respectively.

Whereas many prior studies looking at high-quality programs analyzed what a pre-K program could do under the right circumstances, we look at what typical pre-K programs have done in practice over the past two decades. The typical public school pre-K program, which may have been of relatively poor quality, has done little for the average student. But these programs have substantively large benefits when they are either higher quality or operated in more disadvantaged school districts. Because much of the current policy debate is about the desirability of large-scale expansion of pre-K, these findings are highly policy relevant. For large-scale expansion of pre-K to make sense, policymakers must keep the quality up. If funds are more limited, pre-K should be targeted.

Analyzing Public School Pre-K across Thousands of Districts

To evaluate pre-K programs in public school districts, we need data on both pre-K enrollments and academic outcomes for many districts. We get both from the U.S. Department of Education. Pre-K enrollment is readily

ARTICLE HIGHLIGHTS

- In states with high-quality programs, there is a significant gain of 2.8 percentiles on math tests.
- Pre-K programs can produce significant medium-term benefits, enough to pass a benefit-cost test, but this is more likely with high-quality programs or disadvantaged student populations.

publicly available for almost every district every year. We create a scale measure by dividing a district's pre-K enrollment by its grade 1 enrollment. Since 1st grade enrollment is universal, this approximates the fraction of students in a district who were enrolled in pre-K each year.

In the early 1990s, the typical (or median) school district had no pre-K, but the top tenth of districts had at least one-quarter of each year's students in pre-K. By the 2007–2008 school year, the typical district had about one-fifth of its students attend pre-K, and the top tenth of districts had nearly 90 percent of their students attending pre-K.

Measuring academic outcomes at the district level is harder. We use confidential data from the National Assessment of Educational Progress (NAEP), also known as the Nation's Report Card, a nationally representative standardized test, with core subjects in math and reading for 4th graders. These data allow us to link average student outcomes at the school district level with the pre-K enrollment of the same districts five years earlierwhen the tested 4th graders should have been of pre-K age. Although not every school district takes the NAEP every time it is administered, enough do that we have outcomes for math and reading test scores for more than 5,000 school districts from the late 1990s through 2013. (In the full paper,

This article is based on a working paper titled "Pre-K in the Public Schools: Evidence from within U.S. States." The paper is available at http://research.upjohn.org/up_workingpapers/285/.

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we look at other available outcomes that pre-K may influence, particularly those that rely on socioemotional skills: the fraction of students in special education and the fraction who repeated a grade.)

The Effects of Public School Pre-K

We estimate the impact of pre-K by comparing changes in outcomes among districts that expanded pre-K with changes in outcomes for districts that did not expand pre-K. This strategy allows us to control for permanent differences across districts. We also statistically adjust for changing characteristics of districts, notably per-student spending, as well as of students, such as sex, race and ethnicity, participation in the federal assisted lunch program, and whether the student is an English-language learner. (The full paper provides details on methodology.)

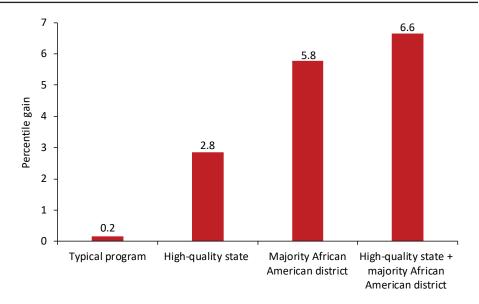
The first bar of Figure 1 shows the impact for a typical district of switching from no pre-K to full pre-K on math test score performance, measured in percentiles. The estimate of 0.2 means that moving from an environment in which none of a district's students attend public pre-K to one in which all the students attend pre-K is expected to raise math test scores by 0.2 percentiles—a tiny effect that is statistically indistinguishable from zero. What's more, although all statistical estimates come with a margin of error, the margin on this estimate is small enough that we can rule out effects as slight as 1.5 percentiles. As discussed below, this upper bound is just barely at the level needed to balance future social benefits (through higher future earnings of students) with program costs; it is also well below the benefit-cost ratio estimated for earlier, high-quality programs, such as Perry Preschool and the Chicago Child Parent Center.

However, states vary considerably in their funding and regulation of public pre-K programs, from per-pupil spending to necessary teacher credentials to teacher pay to curriculum. District implementation will vary within states, but is likely to be higher in states with stronger requirements. Drawing from expert opinion and findings from previous research, we identified—prior to our analysis—five states likely to have highquality pre-K programs: Maryland, Massachusetts, New Jersey, North Carolina, and Oklahoma. The second bar in Figure 1 shows the impact of public school pre-K for districts in these five states. At 2.8 percentiles from switching from no pre-K to full pre-K, it is much larger than the impact for the typical district across all states and easily passes a benefit-cost test. Quality clearly matters for effectiveness.

Additionally, among previous studies of smaller-scale early childhood education programs, the largest effects have generally been found for those that target heavily disadvantaged students. In the last two columns, we show pre-K impacts among districts that are majority African American, overall and within high-quality states. These districts, whether they are urban or rural, often have high poverty rates: roughly three-quarters of students are eligible for free or reduced-price lunch in the typical district. Pre-K effects in these districts are substantively large, at 5.8 percentiles overall and 6.6 percentiles in districts in high-quality states. Although not shown in the figure, we also find large impacts on reading scores of 3.8 percentiles overall and 7.4 percentiles among districts in high-quality states. The magnitude of these effects is consistent with earlier studies of smaller programs; we show that similar effects are found for largerscale public programs.

Overall, these pre-K impacts are consistent with a reasonable story. Pre-K in the average district for the typical student is of insufficiently high quality to create large positive benefits. However, pre-K is of sufficiently high quality on average to create benefits for some disadvantaged students—notably, for students in majority-black school

Figure 1 Pre-K Boosts 4th Grade Math Test Scores More When It's High-Quality and in Heavily African American Districts



NOTE: The figure shows the estimated gain in percentiles for 4th grade math test scores when a school district of the indicated type shifts from no pre-K enrollment to full pre-K enrollment for the cohort. Pre-K enrollment is measured five years prior to 4th grade test scores.

SOURCE: Authors' analysis of data from the National Assessment of Educational Progress and Common Core of Data. See the working paper for full details.

districts. Furthermore, in high-quality states, pre-K can create benefits for broader groups of students.

Factors to Keep in Mind When Evaluating Pre-K Programs

Only modest impacts are necessary for pre-K to have predicted long-term benefits greater than costs. The average state-funded pre-K program costs about \$5,700 per student per year. Research shows that a 1-percentile increase in 4th grade test scores raises lifetime earnings by about \$4,000. If pre-K boosts average test scores by just 1.4 percentiles, the expected future earnings gains are enough to pay for the cost of the program. Detecting these small effects requires a lot of data, as in the current analysis.

Pre-K effects can fade in middle grades before returning later in life. Many studies have found effects of pre-K immediately after the program,

but that these effects partially fade out during the late elementary and middle school years. Older programs have shown positive effects returning in adulthood, such as greater earnings and less contact with the criminal justice system. These patterns may occur if pre-K has lasting impacts on hard-to-detect socioemotional skills, but test scores are highly dependent on curriculum, which converges for students regardless of pre-K exposure. Our analysis cannot speak to the possibility of the average public pre-K program having long-term effects; therefore, our analysis is conservative.

Children not attending public pre-K may be attending another early childhood education program.

The well-publicized evaluation of the Head Start Impact Study found little net impact later in elementary school. Subsequent research, however, found that this was because many children not assigned to Head Start attended another program instead; Head Start

effects were much greater relative to students who attended no program. In our context, it is likely that some children not attending public school pre-K were attending private preschool or a standalone Head Start center. In our analysis, we statistically control for the availability of Head Start and private preschool slots geographically close to each public school district; these controls do not change our findings.

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