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# INVARIANT CONVEX SETS IN POLAR REPRESENTATIONS

LEONARDO BILIOTTI, ALESSANDRO GHIGI, AND PETER HEINZNER

ABSTRACT. We study a compact invariant convex set E in a polar representation of a compact Lie group. Polar rapresentations are given by the adjoint action of K on  $\mathfrak{p}$ , where K is a maximal compact subgroup of a real semisimple Lie group G with Lie algebra  $\mathfrak{g}=\mathfrak{k}\oplus\mathfrak{p}$ . If  $\mathfrak{a}\subset\mathfrak{p}$  is a maximal abelian subalgebra, then  $P=E\cap\mathfrak{a}$  is a convex set in  $\mathfrak{a}$ . We prove that up to conjugacy the face structure of E is completely determined by that of P and that a face of E is exposed if and only if the corresponding face of P is exposed. We apply these results to the convex hull of the image of a restricted momentum map.

The boundary of a compact convex set is the union of its faces. Among the faces, the simplest ones are the exposed ones. They are given by the intersection of the convex set with a supporting hyperplane. In [3, 4] we studied the convex hull  $\widehat{\mathcal{O}}$  of a K-orbit  $\mathcal{O}$  in  $\mathfrak{p}$ , where  $\mathfrak{p}$  is given by the Cartan decomposition  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  of a reductive Lie algebra  $\mathfrak{g}$  and K acts on  $\mathfrak{p}$  by the adjoint representation. In this paper we use the results of [4] and show that a substantial part of them holds for any K-invariant compact convex set E of  $\mathfrak{p}$ . More precisely we study the faces of E. We show in Proposition 1.2 that for a face F of E there exists a subalgebra  $\mathfrak{s} \subset \mathfrak{p}$  such that F is a subset of  $\mathfrak{p}^{\mathfrak{s}} = \{x \in \mathfrak{p} : [x,\mathfrak{s}] = 0\}$  and F is invariant with respect to the action of  $K^{\mathfrak{s}} = \{h \in K : \mathrm{Ad}(h)(\mathfrak{s}) = \mathfrak{s}\}$ , where Ad denotes the adjoint representation.

If we fix a maximal abelian subalgebra  $\mathfrak{a} \subset \mathfrak{p}$ , then the set  $P = E \cap \mathfrak{a}$  is convex and invariant with respect to the action of the normalizer  $\mathcal{N}_K(\mathfrak{a}) = \{h \in K : \operatorname{Ad}(h)(\mathfrak{a}) = \mathfrak{a}\}$  of  $\mathfrak{a}$  in K. The  $\mathcal{N}_K(\mathfrak{a})$ -action on P induces an action on the set of faces of P. Similarly K acts on the set of faces of E. Denote these sets by  $\mathscr{F}(P)$  respectively by  $\mathscr{F}(E)$ . If  $\sigma$  is a face of P, let  $\sigma^{\perp}$  denote the orthogonal complement in  $\mathfrak{a}$  of the affine hull of  $\sigma$  (see Section 1). Our main result is

**Theorem 0.1.** The map  $\mathscr{F}(P) \to \mathscr{F}(E)$ ,  $\sigma \mapsto K^{\sigma^{\perp}} \cdot \sigma$  is well-defined and induces a bijection between  $\mathscr{F}(P)/\mathcal{N}_K(\mathfrak{a})$  and  $\mathscr{F}(E)/K$ .

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An application of Theorem 0.1 is the following result.

**Theorem 0.2.** The faces of E are exposed if and only if the faces of P are exposed.

Interesting K-invariant compact subsets of  $\mathfrak p$  often arise as images of restricted momentum or gradient mappings. More precisely, let U be a compact connected Lie group which acts by biholomorphism and in a Hamiltonian fashion on a compact Kähler manifold Z with momentum map  $\mu: Z \longrightarrow \mathfrak u$ . Let  $G \subset U^{\mathbb C}$  be a connected Lie subgroup of  $U^{\mathbb C}$  which is compatible with respect to the Cartan decomposition of  $U^{\mathbb C}$ . This means that G is a closed subgroup of  $U^{\mathbb C}$  such that  $G = K \exp(\mathfrak p)$ , where  $K = U \cap G$  and  $\mathfrak p = \mathfrak g \cap i\mathfrak u$  [13, 15]. Let  $X \subset Z$  be a G-invariant compact subset of Z. We have the restricted momentum map or the gradient map  $\mu_{\mathfrak p}: X \longrightarrow \mathfrak p$  in the sense of [13] (see also Section 3) and we denote by  $E = \widehat{\mu_{\mathfrak p}(X)}$  the convex hull of the K-invariant set  $\mu_{\mathfrak p}(X)$ . If  $\mathfrak a$  is a maximal abelian subalgebra of  $\mathfrak p$  and  $\pi$  is the orthogonal projection onto  $\mathfrak a$ , then  $\mu_{\mathfrak a} = \pi \circ \mu_{\mathfrak p}: X \longrightarrow \mathfrak a$  is the gradient map with respect to  $A = \exp(\mathfrak a)$ . Since  $P = E \cap \mathfrak a = \widehat{\mu_{\mathfrak a}(X)}$  is a convex polytope (Proposition 3.1), we deduce the following.

**Theorem 0.3.** All faces of  $\widehat{\mu_{\mathfrak{p}}(X)}$  are exposed.

A reformulation of Theorem 3.1 is that the faces of E correspond to maxima of components of the gradient map. This observation will be used to realize a close connection between the faces of E and parabolic subgroups of G. More precisely, for any face  $F \subset E$  let  $X_F := \mu_{\mathfrak{p}}^{-1}(F)$  and let  $Q^F = \{g \in G : g \cdot X_F = X_F\}$ . Then  $X_F$  is the set of maximum points of an appropriately chosen component of the gradient map and  $Q^F$  is a parabolic subgroup of G.

If X is a G-stable compact submanifold of Z, then for any face F, one can construct an open neighbourhood  $X_F^-$  of  $X_F$  in X, which is an analogue of an open Bruhat cell. Moreover there is a smooth deformation retraction of  $X_F^-$  onto  $X_F$ . See Theorem 3.1 for more details.

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#### 1. Group theoretical description of the faces

We start by recalling the basic definitions and results regarding convex bodies. For more details see e.g. [18]. Let V be a real vector space with scalar product  $\langle \cdot, \cdot \rangle$ . A convex body  $E \subset V$  is a convex compact subset of V. Let Aff(E) denote the affine span of E. The interior of E in Aff(E) is called the relative interior of E and is denoted by relint E. By definition a face of E is a convex subset  $F \subset E$  such that  $x, y \in E$  and relint  $[x, y] \cap F \neq \emptyset$ 

implies  $[x,y] \subset F$ . A face distinct from E and  $\emptyset$  is called a *proper face*. The extreme points of E are the points  $x \in E$  such that  $\{x\}$  is a face. We will denote by ext E the set of the extreme points of E. The set ext E completely determines the convex body E since the convex hull of ext E coincides with E and it is the smallest subset of E with this property. If E is a face of E, we denote by Dir(F) the vector subspace of E defined by Aff(F), i.e. Aff(F) = p + Dir(F). We call Dir(F) the direction of E. Every vector E0 defines an exposed face E1 for an example of a convex set are exposed, see Fig. 1 for an example. For any exposed face E1 the set

$$C_F = \{ \beta \in V : F = F_\beta(E) \}, \tag{1}$$

is a convex cone. The faces of E are closed. If  $F_1$  and  $F_2$  are faces of E and they are distinct, then relint  $F_1 \cap \text{relint } F_2 = \emptyset$ . Moreover the convex body E is the disjoint union of the relative interiors of its faces (see [18, p. 62]).

We are interested in invariant convex bodies in polar representations. A theorem of Dadok [6] asserts that we can restrict ourselves to the following setting.

Let  $\mathfrak g$  be a semisimple Lie algebra with a Cartan involution  $\theta$  and let B be the Killing form of  $\mathfrak g$ . Then  $\mathfrak g=\mathfrak k\oplus\mathfrak p$ , is the eigenspace decomposition of  $\mathfrak g$  in 1 and -1 eigenspaces of  $\theta$  and they are orthogonal under B. Moreover, B restricted to  $\mathfrak k$ , respectively  $\mathfrak p$ , is negative definite, respectively positive definite. In the sequel we denote  $\langle\cdot,\cdot\rangle=B_{|\mathfrak p\times\mathfrak p}$  which is a K-invariant scalar product. Out object of study will be a K-stable convex body  $E\subset\mathfrak p$ . For for any  $A,B\subset\mathfrak p$  we set

$$\begin{split} A^B &:= \{ \eta \in A : [\eta, \xi] = 0, \text{for all } \xi \in B \} \\ G^B &:= \{ g \in G : \text{Ad } g(\xi) = \xi, \text{for all } \xi \in B \}, \\ K^B &:= K \cap G^B. \end{split}$$

where Ad denotes the adjoint representation. In the sequel we denote by  $k \cdot x = \mathrm{Ad}(k)(x)$  the action of K on  $\mathfrak{p}$  by linear isometries.

Faces of K-invariant convex bodies in  $\mathfrak{p}$  are closely connected to orbits of subgroups of K which are given as centralizers. More precisely for any nonzero  $\beta$  in  $\mathfrak{p}$  we have the Cartan decomposition  $\mathfrak{g}^{\beta} = \mathfrak{k}^{\beta} \oplus \mathfrak{p}^{\beta}$  of the Lie algebra of the centralizer  $G^{\beta}$  of  $\beta$  in G.

**Proposition 1.1.** Let  $F = F_{\beta}(E)$  be an exposed face of E. Then

- a)  $F \subset \mathfrak{p}^{\beta}$  and F is  $K^{\beta}$ -stable;
- b)  $Dir(F) \subset \beta^{\perp}$ , where  $\perp$  is in  $\mathfrak{p}$ .

*Proof.* If  $x \in F_{\beta}(E)$ , then  $\widehat{K \cdot x} \subset E$  since E is K-invariant. Moreover, we have

$$\max_{y \in E} \langle y, \beta \rangle = \max_{y \in \widehat{K \cdot x}} \langle y, \beta \rangle = \langle x, \beta \rangle.$$

Corollary 3.1 in [4] implies  $F_{\beta}(\widehat{K \cdot x}) \subset \mathfrak{p}^{\beta}$ . Therefore  $x \in \mathfrak{p}^{\beta}$ . This proves a). Part b) follows since F is contained in an affine hyperplane orthogonal to  $\beta$ .

For an arbitrary face of E we have the following.

**Proposition 1.2.** Let  $F \subset E$  be a face. Then there exists an abelian subalgebra  $\mathfrak{s} \subset \mathfrak{p}$  such that

- a)  $F \subset \mathfrak{p}^{\mathfrak{s}}$  and F is  $K^{\mathfrak{s}}$ -stable;
- b)  $Dir(F) \subset \mathfrak{s}^{\perp}$ ;

Proof. We may fix a maximal chain of faces  $F = F_0 \subsetneq F_1 \subsetneq \cdots \subsetneq F_k = E$  (see [3, Lemma 2]). If k = 0, then F = E and  $\mathfrak{s} = \{0\}$ . Assume the theorem is true for a face contained in a maximal chain of length k. Then the claim is true for  $F_1$  and consequently there exists  $\mathfrak{s}_1 \subset \mathfrak{p}$  such that  $F_1 \subset \mathfrak{p}^{\mathfrak{s}_1}$ ,  $F_1$  is  $K^{\mathfrak{s}_1}$ -stable and  $\mathrm{Dir}(F_1) \subset \mathfrak{s}_1^{\perp}$ . F is an exposed face of  $F_1$ . Let  $\beta' \in \mathfrak{p}^{\mathfrak{s}_1}$  such that  $F = F_{\beta'}(F_1)$  and set  $\mathfrak{s} := \mathbb{R}\beta' \oplus \mathfrak{s}_1$ . Then  $F \subset \mathfrak{p}^{\mathfrak{s}}$ , F is  $(K^{\mathfrak{s}_1})^{\beta'} = K^{\mathfrak{s}_-}$  stable and  $\mathrm{Dir}(F) \subset \mathfrak{s}^{\perp}$ .

Let  $\mathfrak{a} \subset \mathfrak{p}$  be a maximal abelian subalgebra of  $\mathfrak{p}$  and let  $\pi : \mathfrak{p} \longrightarrow \mathfrak{a}$  be the orthogonal projection onto  $\mathfrak{a}$ . Then  $P = E \cap \mathfrak{a}$  is a convex subset of  $\mathfrak{a}$  which is  $\mathcal{N}_K(\mathfrak{a})$ -stable. The proof of the following Lemma is given in [7].

**Lemma 1.1.** (i) If  $E \subset \mathfrak{p}$  is a K-invariant convex subset, then  $E \cap \mathfrak{a} = \pi(E)$  and  $K \cdot \pi(E) = E$ . (ii) If  $C \subset \mathfrak{a}$  is a  $\mathcal{N}_K(\mathfrak{a})$ -invariant convex subset, then  $K \cdot C$  is convex and  $\pi(K \cdot C) = C$ .

**Lemma 1.2.** Let U be a compact Lie group and let  $\mathfrak{g} \subset \mathfrak{u}^{\mathbb{C}}$  be a semisimple  $\theta$ -invariant subalgebra. Then any Lie subgroup with finitely many connected components and with Lie algebra  $\mathfrak{g}$  is closed and compatible.

*Proof.* We fix an embedding  $U \hookrightarrow \mathrm{U}(n)$  such that the Cartan involution  $X \mapsto (X^{-1})^*$  of  $\mathrm{GL}(n,\mathbb{C})$  restricts to  $\theta$ . Then G is closed in  $\mathrm{GL}(n,\mathbb{C})$  (see [16, p. 440] for a proof) and hence also in  $U^{\mathbb{C}}$ . Since  $\mathfrak{g}$  is  $\theta$ -invariant, also G is, and  $\theta$  restricts to the Cartan involution of G. This shows that G is compatible.

If  $G \subset U^{\mathbb{C}}$  is compatible with Lie algebra  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ , then  $\mathfrak{g}$  is real reductive and there is a nondegenerate K-invariant bilinear form  $B: \mathfrak{g} \times \mathfrak{g} \longrightarrow \mathbb{R}$  which is positive definite on  $\mathfrak{p}$ , negative definite on  $\mathfrak{k}$  and such that  $B(\mathfrak{k},\mathfrak{p})=0$ . Indeed, fix a U-invariant inner product  $\langle \ , \ \rangle$  on  $\mathfrak{u}$ . Let  $\langle \ , \ \rangle$  denote also the inner product on  $i\mathfrak{u}$  such that multiplication by i be an isometry of  $\mathfrak{u}$  onto  $i\mathfrak{u}$ . Define B on  $\mathfrak{u}^{\mathbb{C}}$  imposing  $B(\mathfrak{u},i\mathfrak{u})=0$ ,  $B=-\langle \ , \ \rangle$  on  $\mathfrak{u}$  and  $B=\langle \ , \ \rangle$  on  $i\mathfrak{u}$ . Therefore B is  $AdU^{\mathbb{C}}$ -invariant and non-degenerate and its restriction to  $\mathfrak{g}$  satisfies the above conditions.

Let  $\mathfrak{q}$  be a K-invariant subspace of  $\mathfrak{p}$ . Then  $[\mathfrak{q},\mathfrak{q}]$  is a K-invariant linear subspace of  $\mathfrak{k}$  and therefore an ideal of  $\mathfrak{k}$ . Since K is compact, we have the

following K-invariant splitting  $\mathfrak{k} = [\mathfrak{q}, \mathfrak{q}] \oplus \mathfrak{k}'$ . In particular  $\mathfrak{k}'$  is an ideal of  $\mathfrak{k}$  commuting with  $[\mathfrak{q}, \mathfrak{q}]$ . Let  $\mathfrak{p} = \mathfrak{q} \oplus \mathfrak{q}'$  be a K-invariant splitting of  $\mathfrak{p}$ . Since

$$B([\mathfrak{q},\mathfrak{q}'],\mathfrak{k}) = B(\mathfrak{q},[\mathfrak{k},\mathfrak{q}']) \subset B(\mathfrak{q},\mathfrak{q}') = 0,$$

this shows that  $[\mathfrak{q},\mathfrak{q}']=0$  and so  $[\mathfrak{q}',[\mathfrak{q},\mathfrak{q}]]=[\mathfrak{q},[\mathfrak{q},\mathfrak{q}']]=0$ . Moreover  $\mathfrak{p}=\mathfrak{q}\oplus\mathfrak{q}'$  implies that  $\mathfrak{h}=[\mathfrak{q},\mathfrak{q}]\oplus\mathfrak{q}$  and  $\mathfrak{h}'=\mathfrak{k}'\oplus\mathfrak{q}'$  are compatible K-invariant commuting ideal of  $\mathfrak{g}$ .

If a K-invariant linear subspace  $\mathfrak{q} \subset \mathfrak{p}$  is fixed, one gets decomposition of  $\mathfrak{g}$ , and so of G. This is decomposition is the content of the next Proposition. We will need it in the case where  $F \subset \mathfrak{p}$  is a K-invariant convex body and  $\mathfrak{q}$  is such that  $\mathrm{Aff}(F) = x_0 + \mathfrak{q}$ .

**Proposition 1.3.** Let  $G \subset U^{\mathbb{C}}$  be a compatible subgroup with Lie algebra  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  and let  $\mathfrak{q} \subset \mathfrak{p}$  be a linear K-invariant subspace. Let  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{h}'$  where  $\mathfrak{h} = [\mathfrak{q}, \mathfrak{q}] \oplus \mathfrak{q}$  and  $\mathfrak{h}' = \mathfrak{h}^{\perp_B}$ . Then the following hold.

- a)  $\mathfrak{h}$  and  $\mathfrak{h}'$  are compatible K-invariant commuting ideal of  $\mathfrak{g}$ ;
- b) Let  $K_1$  be the connected Lie subgroup of G with Lie algebra  $\mathfrak{t} \cap [\mathfrak{h}, \mathfrak{h}]$ . Then  $K_1 \exp(\mathfrak{q})$  is a connected compatible subgroup of G and any two maximal subalgebras of  $\mathfrak{q}$  are congaugate by an element of  $K_1$ .
- c) Let  $K_2$  be the connected Lie subgroup of G with Lie algebra  $\mathfrak{t} \cap [\mathfrak{h}', \mathfrak{h}']$ . Then any two maximal subalgebras of  $\mathfrak{q}'$  are congiugate by an element of  $K_2$ .

Proof. We have proved (a) in the above discussion. Let  $\mathfrak{b} := [\mathfrak{h}, \mathfrak{h}]$ . Then  $\mathfrak{h} = \mathfrak{z}(\mathfrak{h}) \oplus \mathfrak{b}$  and  $\mathfrak{b}$  is semisimple. Denote by B the connected subgroup of  $U^{\mathbb{C}}$  with Lie algebra  $\mathfrak{b}$ . By Lemma 1.2 B is a closed subgroup of  $U^{\mathbb{C}}$ . Set  $\mathfrak{z}_{\mathfrak{p}} := \mathfrak{z}(\mathfrak{h}) \cap \mathfrak{p}$  and  $\mathfrak{d} := \mathfrak{b} \oplus \mathfrak{a}$ . Then  $\mathfrak{d}$  is a reductive Lie algebra and  $\exp \mathfrak{a}$  is a compatible abelian subgroup commuting with B. Thus  $D := B \cdot \exp \mathfrak{a}$  is a connected closed subgroup with Lie algebra  $\mathfrak{d}$ . Moreover  $D \cap U = B \cap U$  and  $\exp(\mathfrak{b} \cap \mathfrak{p}) \cdot \exp \mathfrak{a} = \exp(\mathfrak{b} \cap \mathfrak{p} \oplus \mathfrak{a}) = \exp(\mathfrak{d} \cap \mathfrak{p})$ . This shows that D is compatible. Since  $D \cap U$  coincides with  $K_1$  and D is connected the last statement in (b) follows from standard properties of compatible subgroups (see e.g. Prop. 7.29 in [16]; note that a connected compatible subgroup is a reductive group in the sense of [16, p. 446]). This proves (b). For (c) the same argument applies more directly. It is enough to observe that the connected Lie subgroup  $H'' \subset G$  with Lie algebra  $[\mathfrak{b}', \mathfrak{h}']$  is semisimple, compatible and connected and that  $K_2 = H'' \cap U$ .

**Remark 1.1.** The compatible subgroup G in the previous Proposition is not assumed to be connected. Nevertheless the constructions in (b) and (c) depend only on  $G^0$ . Thus considering  $G^0$  in place of G makes no difference.

**Lemma 1.3.** Let  $\mathfrak{g} = \mathfrak{g}_1 \oplus \mathfrak{g}_2$  be a reductive Lie algebra and  $\mathfrak{g}_i$  ideals. If  $\mathfrak{a} \subset \mathfrak{p}$  is a maximal subalgebra, then  $\mathfrak{a}_i := \mathfrak{a} \cap \mathfrak{p}_i$  is a maximal subalgebra of  $\mathfrak{p}_i$  and  $\mathfrak{a} = \mathfrak{a}_1 \oplus \mathfrak{a}_2$ .

If  $\sigma$  is a face of P, let  $\sigma^{\perp}$  denote the orthogonal (inside  $\mathfrak{a}$ ) to the direction of the affine hull of  $\sigma$ .

**Lemma 1.4.** Let F be a face and let  $\mathfrak{s}$  be as in Proposition 1.2. Let  $\mathfrak{a} \subset \mathfrak{p}$  be a maximal abelian subalgebra containing  $\mathfrak{s}$ . Set  $\sigma := \pi(F)$ . Then  $\sigma$  is a face of P,  $\sigma = F \cap \mathfrak{a}$  and  $F = K^{\sigma^{\perp}} \cdot \sigma$ . Moreover F is a proper face if and only if  $F \cap \mathfrak{a}$  is.

Proof. By Proposition 1.2  $F \subset \mathfrak{p}^{\mathfrak{s}}$  is a  $K^{\mathfrak{s}}$ -stable convex set. By Lemma 1.1 we get  $\sigma = \pi(F) = F \cap \mathfrak{a}$  and this is a face P by [3, Lemma 11]. Since  $\mathrm{Dir}(F)$  is contained in the orthogonal complement of  $\mathfrak{s}$ , and  $\mathrm{Dir}(\sigma) \subset \mathrm{Dir}(F)$ , we have  $\mathrm{Dir}(\sigma) \subset \mathfrak{a} \cap \mathfrak{s}^{\perp}$ . Then  $\sigma^{\perp} \subset \mathfrak{s}$ . Hence  $K^{\sigma^{\perp}} \cdot \sigma \subset K^{\mathfrak{s}} \cdot \sigma \subset F$ . We prove the reverse inclusion. If  $y \in F$ , then  $F \cap \widehat{K \cdot y}$  is a face of  $\widehat{K \cdot y}$ . Set  $\widetilde{\sigma} = \pi(F \cap \widehat{K \cdot y})$ . We have  $\widetilde{\sigma} \subset \sigma$  and by Proposition 3.6 in [4] we also have that  $F \cap \widehat{K \cdot y} = K^{\widetilde{\sigma}^{\perp}} \cdot \widetilde{\sigma}$ . On the other hand,  $\sigma^{\perp} \subset \widetilde{\sigma}^{\perp}$ , so  $K^{\widetilde{\sigma}^{\perp}} \subset K^{\sigma^{\perp}}$  and

$$F \cap \widehat{K \cdot y} = K^{\tilde{\sigma}^{\perp}} \cdot \tilde{\sigma} \subset K^{\sigma^{\perp}} \cdot \sigma.$$

This implies  $F = K^{\sigma^{\perp}} \cdot \sigma$ . Note that F is proper if  $\sigma$  is. It remains to prove that  $\sigma$  is proper, when F is proper.

Let  $\operatorname{Aff}(E) = x_o + \mathfrak{q}_E$ . Note that  $\mathfrak{q}_E = \{x - y : x, y \in \operatorname{Aff}(E)\}$  implies that  $\mathfrak{q}_E$  is K-invariant. Since K acts on  $\mathfrak{p}$  by isometries, we may assume that  $x_o$  is orthogonal to  $\mathfrak{q}$ . Note that  $x_o$  is uniquely defined by this condition. It follows that  $x_o$  is a K fixed point and  $E = x_0 + E_1$ , where  $E_1$  is a K-invariant convex body of  $\mathfrak{q}_E$ . Proposition 1.3 applied to  $\mathfrak{q}_E$  yields  $K_1, K_2$  such that  $G_1 = K_1 \exp(\mathfrak{q}_E)$  is a connected compatible semisimple real Lie group,  $K = K_1 \cdot K_2$  and for any  $x \in E$  we have

$$K \cdot x = K \cdot (x_o + x_1) = x_o + K \cdot x_1 = x_o + K_1 \cdot x_1 = K_1 \cdot x.$$

since  $\mathfrak{q}_E$  is fixed pointwise by  $K_2$ . By Lemma 1.3,  $\mathfrak{a} = \mathfrak{a}_E \oplus \mathfrak{a}_E'$ , where  $\mathfrak{a}_E$  is a maximal abelian subalgebra of  $\mathfrak{q}_E$  and  $\mathfrak{a}_E'$  is a maximal abelian subalgebra of  $\mathfrak{q}_E'$ . Since  $\pi(E) = \pi(x_o) + \pi(E_1)$  and  $\mathrm{Dir}(E_1) = \mathfrak{q}_E$ , it follows that the direction of  $\pi(E)$  is  $\mathfrak{a}_E$ . If  $\sigma = \pi(F) = \pi(E) = E \cap \mathfrak{a}$ , then  $\sigma^{\perp} = \mathfrak{a}_E'$  and so  $K_1 \subset K^{\mathfrak{a}_E'}$ . It follows that

$$F = K^{\mathfrak{a}'_E} \cdot (E \cap \mathfrak{a}) = K_1 \cdot (E \cap \mathfrak{a}) = K \cdot (E \cap \mathfrak{a}) = E.$$

where the last equality follows by Lemma 1.1. Hence, if F is proper, then  $\sigma = \pi(F) \subseteq \pi(E) = E \cap \mathfrak{a}$ .

**Proposition 1.4.** Let F be a proper face and let  $\mathfrak{s}$  as in Proposition 1.2. Let  $\mathfrak{a} \subset \mathfrak{p}$  be a maximal abelian subalgebra containing  $\mathfrak{s}$ . Then F is exposed if and only if  $F \cap \mathfrak{a}$  is.

Proof. Assume that there exists  $\beta \in \mathfrak{p}$  such that  $F = F_{\beta}(E)$ . Since  $F \cap \mathfrak{a} = \sigma$  is a proper face of P, the point  $\beta$  is not orthogonal to  $\mathfrak{a}$ . We have  $\beta = \beta_1 \oplus \beta_2$ , with  $\beta_1 \in \mathfrak{a}$  different from zero and  $\beta_2$  orthogonal to  $\mathfrak{a}$ . Therefore  $F_{\beta}(E) \cap \mathfrak{a} = F_{\beta_1}(E) \cap \mathfrak{a} = F_{\beta_1}(P) = \sigma$ . Now, assume that there exists  $\beta \in \mathfrak{a}$  such that  $\sigma = F_{\beta}(P)$ . Let  $F' := F_{\beta}(E)$ . By Proposition 1.1  $F' \subset \mathfrak{p}^{\beta}$ . Moreover  $\mathfrak{a} \subset \mathfrak{p}^{\beta}$  since  $\beta \in \mathfrak{a}$ . By Lemma 1.4 the intersection of a face with



Figure 1.

 $\mathfrak{a}$  determines the face. Since  $F' \cap \mathfrak{a} = F_{\beta}(P) = \sigma = F \cap \mathfrak{a}$  we conclude that F = F'. Thus F is exposed.

Remark 1.2. Given a Weyl-invariant convex body  $P \subset \mathfrak{a}$ , set  $E := K \cdot P$ . By Lemma 1.1 E is a K-invariant convex body in  $\mathfrak{p}$  and  $P = E \cap \mathfrak{a}$ . Thus a general P can be realized as  $E \cap \mathfrak{a}$ . A general Weyl-invariant convex body P can have non-exposed faces. For example take  $G = U^{\mathbb{C}} = \mathrm{SL}(2,\mathbb{C}) \times \mathrm{SL}(2,\mathbb{C})$  and  $K = \mathrm{SU}(2) \times \mathrm{SU}(2)$ . Then  $\mathfrak{a} = \mathbb{R}^2$  and the Weyl group is isomorphic to  $\mathbb{Z}/2 \times \mathbb{Z}/2$  where the generators are given by the reflections on the axes. The picture in Fig. 1 is a Weyl-invariant P with exactly 4 non-exposed faces. By the Proposition also the corresponding body  $E \subset \mathrm{isu}(2) \oplus \mathrm{isu}(2)$  has non-exposed faces.

#### 2. Proof of the main results

Let  $\mathfrak{a} \subset \mathfrak{p}$  and define the following map

$$\Upsilon: \mathscr{F}(P) \longrightarrow \mathscr{F}(E), \ \ \sigma \mapsto K^{\sigma^{\perp}} \cdot \sigma$$

Since  $\sigma$  is  $\mathcal{N}_{K^{\sigma^{\perp}}}(\mathfrak{a})$ -invariant, it follows from Lemma 1.1 that  $\Upsilon(\sigma)$  is a face of E.

**Theorem 0.1.** The map  $\Upsilon$  induces a bijection between  $\mathscr{F}(P)/\mathcal{N}_K(a)$  and  $\mathscr{F}(E)/K$ .

*Proof.* Set  $\mathcal{N} := \mathcal{N}_K(\mathfrak{a})$ . We first show that  $\Upsilon$  is  $\mathcal{N}$ -equivariant. Let  $w \in \mathcal{N}$ . Then  $\sigma' = w\sigma$  implies  $K^{\sigma'} = wK^{\sigma^{\perp}}w^{-1}$  and therefore  $\Upsilon(\sigma') = w\Upsilon(\sigma)$ . This means that the map

$$\tilde{\Upsilon}: \mathscr{F}(P)/\mathcal{N} \longrightarrow \mathscr{F}(E)/K, \ [\sigma] \mapsto K^{\sigma^{\perp}} \cdot \sigma$$

is well-defined. Next, we prove that  $\tilde{\Upsilon}$  is injective. Assume for some  $g \in K$   $g \cdot F_1 = F_2$  where  $F_1 = \Upsilon(\sigma_1)$  and  $F_2 = \Upsilon(\sigma_2)$ . Since  $F_2 = K^{\sigma_2^{\perp}} \cdot \sigma_2$ , the face  $F_2$  is a  $K^{\sigma_2^{\perp}}$ -invariant convex body. Moreover  $\sigma_2 \subset \mathfrak{a} \subset \mathfrak{p}^{\sigma_2^{\perp}}$  and  $\mathfrak{p}^{\sigma_2^{\perp}}$  is  $K^{\sigma_2^{\perp}}$ -invariant. Therefore  $F_2$  is contained in  $\mathfrak{p}^{\sigma_2^{\perp}}$ . It follows that  $\mathrm{Aff}(F_2) = x_o + \mathfrak{q}_{F_2}$ , where  $\mathfrak{q}_{F_2}$  is a  $K^{\sigma_2^{\perp}}$  invariant subspace of  $\mathfrak{p}^{\sigma_2^{\perp}}$ ,  $x_o$  is a fixed  $K^{\sigma_2^{\perp}}$  point and it is orthogonal orthogonal to  $\mathfrak{q}_{F_2}$ . We apply Proposition 1.3 to the group  $G^{\sigma_2^{\perp}}$  and  $\mathfrak{q}_{F_2}$ . Thus  $\mathfrak{h}_{F_2} = [\mathfrak{q}_{F_2}, \mathfrak{q}_{F_2}] \oplus \mathfrak{q}_{F_2}$  and its orthogonal complement in  $\mathfrak{g}^{\sigma_2^{\perp}}$ , that we denote by  $\mathfrak{h}'_{F_2}$ , are commuting ideal. The Proposition 1.3 also yields subgroups  $K_1, K_2 \subset K^{\sigma_2 \perp}$  such that any two maximal subalgebras in  $\mathfrak{q}_{F_2}$ , respectively  $\mathfrak{q}'_{F_2}$ , are interchanged by

 $K_1$ , respectively  $K_2$ . Since  $\sigma_2 \subset \mathfrak{a}$ , also  $\mathrm{Dir}(\sigma_2) \subset \mathfrak{a}$  and we may decompose  $\mathfrak{a} = \mathrm{Dir}(\sigma_2) \oplus \sigma_2^{\perp}$ . But  $\mathrm{Dir}(\sigma_2)$  is contained also in  $\mathfrak{q}_{F_2}$  since  $\sigma_2 \subset F_2$ . So  $\sigma_2^{\perp} \subset \mathfrak{q}_{F_2}^{\perp} \cap \mathfrak{p} = \mathfrak{q}_{F_2}'$ . By dimension  $\mathrm{Dir}(\sigma_2)$  is a maximal subalgebra in  $\mathfrak{q}_{F_2}$  and  $\sigma_2^{\perp}$  is a maximal subalgebra in  $\mathfrak{q}_{F_2}'$ . On other hand from  $g \cdot F_1 = F_2$  it follows that  $g \cdot \mathrm{Dir}(\sigma_1) \subset \mathfrak{q}_{F_2}$  and  $g \cdot \sigma_1^{\perp} \subset \mathfrak{q}_{F_2}$ , and they are also maximal subalgebras in these spaces. By the Proposition 1.3 (b) and (c) there exist  $k_1 \in K_1, k_2 \in K_2$  such that

$$(k_1g) \cdot \operatorname{Dir}(\sigma_1) = \operatorname{Dir}(\sigma_2)$$
  
 $(k_2g) \cdot \sigma_1^{\perp} = \sigma_2^{\perp}.$ 

Since  $x_0$  is fixed by the larger group  $K^{\sigma_2^{\perp}}$  it follows that  $k_1g\sigma_1 = \sigma_2$ . Moreover  $k_1k_2 = k_2k_1$  since  $[\mathfrak{h}_{F_2}, \mathfrak{h}'_{F_2}] = 0$ . For the same reason  $\mathfrak{q}'_{F_2}$  is fixed pointwise by  $K_1$  and  $\mathfrak{q}_{F_2}$  is fixed pointwise by  $K_2$ . Set  $k = k_1k_2$  and w = kg. Then  $k \in K^{\sigma_2^{\perp}}$  and  $w \in K$ . We get

$$w \cdot \operatorname{Dir}(\sigma_1) = \operatorname{Dir}(\sigma_2)$$
  
 $w \cdot \sigma_1^{\perp} = \sigma_2^{\perp}.$ 

Thus  $w \cdot \mathfrak{a} = \mathfrak{a}$ , i.e.  $w \in \mathcal{N}$ . Since  $k \in K^{\sigma_2^{\perp}}$ ,  $w \cdot F_1 = (kg) \cdot F_1 = k \cdot F_2 = F_2$ . Since  $\sigma_1 = (x_0 + \operatorname{Dir}(\sigma_1)) \cap F_1$  and similarly for  $F_2$ , we conclude that  $w\sigma_1 = \sigma_2$ . Finally we prove that  $\tilde{\Theta}$  is surjective. Let  $F \subset \hat{\mathcal{O}}$  be a face. Then  $F \subset \mathfrak{p}^{\mathfrak{s}}$  for some abelian subalgebra  $\mathfrak{s} \in \mathfrak{p}$ . Then there exists  $k \in K$  such that  $k \cdot \mathfrak{a} \subset \mathfrak{p}^{\mathfrak{s}}$ . Therefore  $k^{-1} \cdot F \subset \mathfrak{p}^{(k^{-1} \cdot \mathfrak{s})}$  and  $\mathfrak{a} \subset \mathfrak{p}^{(k^{-1} \cdot \mathfrak{s})}$ . By Proposition 1.4,  $k \cdot F = K^{\sigma^{\perp}} \cdot \sigma$  where  $\sigma = (k \cdot F) \cap \mathfrak{a}$  and so  $\tilde{\Upsilon}$  is surjective.

As an application of the above theorem and Proposition 1.4, we get the following result.

**Theorem 0.2.** The faces of E are exposed if and only if the faces of P are exposed.

*Proof.* By the above Theorem, the map  $\sigma \mapsto K^{\sigma^{\perp}} \cdot \sigma$  induces a bijection between  $\mathscr{F}(P)/\mathcal{N}$  and  $\mathscr{F}(E)/K$ . Hence, keeping in mind that if  $F_1 = kF_2$ , then  $F_1$  is exposed if and only if  $F_2$ , the result follows from Proposition 1.4.

**Remark 2.1.** We have proven Theorems 0.1 and 0.2 under the assumption that G is a connected real semisimple Lie group. From this it follows that both theorems hold true for any connected compatible subgroup of  $U^{\mathbb{C}}$ , since such a subgroup is real reductive in the sense of [16, p. 446] and thus it is the product of a semisimple connected subgroup and an abelian subgroup, see e.g. [16, p. 453].

#### 3. Convex hull of the gradient map image

Let U be a compact connected Lie group and  $U^{\mathbb{C}}$  its complexification. Let  $(Z, \omega)$  be a Kähler manifold on which  $U^{\mathbb{C}}$  acts holomorphically. Assume that U acts in a Hamiltonian fashion with momentum map  $\mu: Z \longrightarrow \mathfrak{u}^*$ . Let  $G \subset U^{\mathbb{C}}$  be a closed connected subgroup of  $U^{\mathbb{C}}$  which is compatible with respect to the Cartan decomposition of  $U^{\mathbb{C}}$ . This means that G is a closed subgroup of  $U^{\mathbb{C}}$  such that  $G = K \exp(\mathfrak{p})$ , where  $K = U \cap G$  and  $\mathfrak{p} = \mathfrak{g} \cap i\mathfrak{u}$  [13, 15]. The inclusion  $i\mathfrak{p} \hookrightarrow \mathfrak{u}$  induces by restriction a K-equivariant map  $\mu_{i\mathfrak{p}}: Z \longrightarrow (i\mathfrak{p})^*$ . Using a fixed U-invariant scalar product  $\langle , \rangle$  on  $\mathfrak{u}$ , we identify  $\mathfrak{u} \cong \mathfrak{u}^*$ . We also denote by  $\langle , \rangle$  the scalar product on  $i\mathfrak{u}$  such that multiplication by i be an isometry of  $\mathfrak{u}$  onto  $i\mathfrak{u}$ . For  $z \in Z$  let  $\mu_{\mathfrak{p}}(z) \in \mathfrak{p}$  denote -i times the component of  $\mu(z)$  in the direction of  $i\mathfrak{p}$ . In other words we require that  $\langle \mu_{\mathfrak{p}}(z), \beta \rangle = -\langle \mu(z), i\beta \rangle$ , for any  $\beta \in \mathfrak{p}$ . Then we view  $\mu_{i\mathfrak{p}}$  as a map

$$\mu_{\mathfrak{p}}: Z \to \mathfrak{p},$$

which is called the G-gradient map or restricted momentum map associated to  $\mu$ . For the rest of the paper we fix a G-stable compact subset  $X \subset Z$  and we consider the gradient map  $\mu_{\mathfrak{p}}: X \longrightarrow \mathfrak{p}$  restricted on X. We also set

$$\mu_{\mathfrak{p}}^{\beta} := \langle \mu_{\mathfrak{p}}, \beta \rangle = \mu^{-i\beta}.$$

We will now study the convex hull of  $\mu_{\mathfrak{p}}(X)$ , that we denote by E. Let  $\mathfrak{a} \subset \mathfrak{p}$  be a maximal abelian subalgebra of  $\mathfrak{p}$  and let  $\pi:\mathfrak{p} \longrightarrow \mathfrak{a}$  be the orthogonal projection onto  $\mathfrak{a}$ . Then  $\pi \circ \mu_{\mathfrak{p}} =: \mu_{\mathfrak{a}}$  is the gradient map associated to  $A = \exp(\mathfrak{a})$ . Let  $Z^A$  be the set of fixed points of A. We note that  $\mu_{\mathfrak{a}}$  is locally constant on  $Z^A$  since  $\operatorname{Ker} d\mu_{\mathfrak{a}}(x) = (\mathfrak{a} \cdot x)^{\perp}$  (see [15]). Let  $\mathfrak{b}$  a subspace of  $\mathfrak{a}$  and let  $X^{\mathfrak{b}} = \{p \in X : \xi_X(p) = 0 \text{ for all } \xi \in \mathfrak{b}\}$ , where  $\xi_X$  is the vector field induced by the A action on X. Then the map  $\mu_{\mathfrak{b}} : X^{\mathfrak{b}} \longrightarrow \mathfrak{b}$ , that is the composition of  $\mu_{\mathfrak{p}}$  with the orthogonal projection onto  $\mathfrak{b}$ , is locally constant ([11], Section 3). Since  $X^{\mathfrak{b}}$  is compact,  $\mu_{\mathfrak{b}}(X^{\mathfrak{b}})$  is a finite set. In [11] it also shown that for any  $y \in X^{(\mathfrak{b})} := \{p \in X : \mathfrak{a}_p = \mathfrak{b}\}$ , where  $\mathfrak{a}_p := \{\xi \in \mathfrak{a} : \xi_X(p) = 0\}$ , we have that  $\mu_{\mathfrak{a}}(A \cdot y) \subset \mu_{\mathfrak{a}}(y) + \mathfrak{b}^{\perp}$  is an open subset of the affine space  $\mu_{\mathfrak{a}}(y) + \mathfrak{b}^{\perp}$  (the orthogonal complements are taken in  $\mathfrak{a}$ ). Moreover  $\mu_{\mathfrak{a}}(A \cdot y)$  is a convex subset of  $\mu_{\mathfrak{a}}(y) + \mathfrak{b}^{\perp}$  (see [10]) and therefore  $\mu_{\mathfrak{a}}(\overline{A} \cdot y) = \overline{\mu_{\mathfrak{a}}(A \cdot y)}$  is a convex body.

Let  $P := \mu_{\mathfrak{a}}(X)$ . If  $\beta \in \mu_{\mathfrak{a}}(X)$  is an extremal point of P, and  $y \in \mu_{\mathfrak{a}}^{-1}(\beta)$ , then  $\mu_{\mathfrak{a}}(A \cdot y)$  is an open neighborhood of  $\mu_{\mathfrak{a}}(y)$  in  $\mu_{\mathfrak{a}}(y) + \mathfrak{a}_y^{\perp}$  and it is contained in  $\mu_{\mathfrak{a}}(X) \subset P$ . Since  $\mu_{\mathfrak{a}}(y)$  is an extremal point, it follows that  $\mathfrak{a}_y^{\perp} = \{0\}$  and so y is a fixed point of A. Since X is compact, the set  $X^A$  has finitely many connected components. Therefore P has finitely many extremal points, i.e. it is a polytope. We have shown the following.

**Proposition 3.1.** Let  $X \subset Z$  be a G-invariant compact subset of Z. Then the image  $\mu_{\mathfrak{a}}(X^A)$  is a finite set  $\{c_1, \ldots, c_p\}$  and  $P = \widehat{\mu_{\mathfrak{a}}(X)}$  is the convex hull of  $c_1, \ldots, c_p$ .

As a corollary we get the following result.

**Theorem 0.3.** Let  $X \subset Z$  be a G-invariant compact subset of Z. Then every face of  $E = \widehat{\mu_{\mathfrak{p}}(X)}$  is exposed.

*Proof.* Since

$$\pi(E) = \widehat{\pi(\mu_{\mathfrak{p}}(X))} = \widehat{\mu_{\mathfrak{a}}(X)},$$

by Lemma 1.1 (i) we conclude that  $E \cap \mathfrak{a} = \pi(E) = P$  and by Proposition 3.1, Remark 2.1 and Theorem 0.2 we get that every face of E is exposed.  $\square$ 

We call P the momentum polytope. If  $G = U^{\mathbb{C}}$  and X is a complex connected submanifold of Z, then  $P = \mu_{\mathfrak{a}}(X)$  by the Atiyah-Guillemin-Sternberg convexity theorem [1, 8]. The same holds for X an irreducible semi-algebraic subset of a Hodge manifold Z [17, 11, 5].

Since any proper face F of E is exposed, the set  $C_F$  defined in (1) is a non-empty convex cone in  $\mathfrak{p}$ . Set

$$K^F := \{ g \in K : g \cdot F = F \}.$$

By Proposition 5 in [3] we have  $C_F^{K^F} := \{ \beta \in C_F : K^F \cdot \beta = \beta \} \neq \emptyset$ . This means that for a proper face F one can find a  $K^F$ -invariant vector  $\beta$  such that  $F_{\beta}(E) = F$ . For  $\beta \in \mathfrak{p}$ , denote by  $X^{\beta}$  the set of points of X that are fixed by  $\exp(\mathbb{R}\beta)$ . If  $\beta \in C_F$ , let

$$X_{\max}^{\beta} := \{ x \in X : \mu_{\mathfrak{p}}^{\beta}(x) = \max_{X} \mu_{\mathfrak{p}}^{\beta} \}.$$

Since the function  $\mu_{\mathfrak{p}}^{\beta}$  is  $K^{\beta}$ -invariant the set  $X_{\max}^{\beta}$  is  $K^{\beta}$ -invariant. Moreover  $X_{\max}^{\beta}$  is a union of finitely many connected components of  $X^{\beta}$  and  $X^{\beta}$  is  $G^{\beta}$ -stable. Every connected component of  $G^{\beta}$  meets  $K^{\beta}$ . This implies that  $G^{\beta}$  leaves  $X_{\max}^{\beta}$  invariant. Next we show that  $X_{\max}^{\beta}$  does not depend on the choice of  $\beta$  in  $C_F$ .

**Lemma 3.1.** If  $\beta \in C_F$ , then  $X_{\max}^{\beta} = \mu_{\mathfrak{p}}^{-1}(F)$ . Moreover F is the convex hull of  $\mu_{\mathfrak{p}}(X_{\max}^{\beta})$ .

Proof. Fix  $x \in X$ . Then  $\mu_{\mathfrak{p}}(x) \in F$  if and only if  $\langle \mu_{\mathfrak{p}}(x), \beta \rangle = \max_{v \in E} \langle v, \beta \rangle$ . Moreover  $\max_{v \in E} \langle v, \beta \rangle = \max_{v \in \mu_{\mathfrak{p}}(X)} \langle v, \beta \rangle = \max_{X} \mu_{\mathfrak{p}}^{\beta}$ . So  $x \in \mu_{\mathfrak{p}}^{-1}(F)$  if and only if x is a maximum of  $\mu_{\mathfrak{p}}^{\beta}(x)$  restricted to X. This shows that  $X_F^{\beta} = \mu_{\mathfrak{p}}^{-1}(F)$ . The inclusion  $\mu_{\mathfrak{p}}(X_F^{\beta}) \subset F$  follows from the definition and therefore  $\widehat{\mu_{\mathfrak{p}}(X_F^{\beta})} \subset F$ . By [3, Lemma 3] ext  $F = \exp E \cap F$ , so ext  $F \subset \mu_{\mathfrak{p}}(X) \cap F = \mu_{\mathfrak{p}}(X_F^{\beta})$ . It follows that  $F = \widehat{\mu_{\mathfrak{p}}(X_F^{\beta})}$ .

Motivated by the above Lemma we set  $X_F := X_{\max}^{\beta}$  where  $\beta$  is any vector in  $C_F$ . We also set

$$Q^F = \{ g \in G : g \cdot X_F = X_F \}.$$

 $Q^F$  is a closed Lie subgroup of G.

Given  $\beta \in \mathfrak{p}$  define the following subgroups:

$$\begin{split} G^{\beta+} &= \{g \in G: \lim_{t \mapsto -\infty} \exp(t\beta)g \exp(-t\beta) \text{ exists} \}, \\ G^{\beta-} &= \{g \in G: \lim_{t \mapsto +\infty} \exp(-t\beta)g \exp(t\beta) \text{ exists} \}, \\ R^{\beta+} &= \{g \in G: \lim_{t \mapsto -\infty} \exp(t\beta)g \exp(-t\beta) = e \}, \\ R^{\beta-} &= \{g \in G: \lim_{t \mapsto +\infty} \exp(-t\beta)g \exp(t\beta) = e \}. \end{split}$$

 $G^{\beta+}$  (respectively  $G^{\beta-}$ ) is a parabolic subgroup,  $R^{\beta+}$  (respectively  $R^{\beta-}$ ) is its unipotent radical and  $G^{\beta}$  is a Levi factor. Therefore  $G^{\beta+} = G^{\beta} \rtimes R^{\beta+}$  (respectively  $G^{\beta-} = G^{\beta} \rtimes R^{\beta-}$ ).

Lemma 3.2.  $Q^F \cap K = K^F$ .

Proof. If  $g \in Q^F \cap K$ , then  $g \cdot X_F = X_F$ . Since  $\mu_{\mathfrak{p}}$  is a K-invariant map,  $g \cdot \mu_{\mathfrak{p}}(X_F) = \mu_{\mathfrak{p}}(X_F)$ . Taking the convex hull of both sides and using Lemma 3.1 we get that  $g \cdot F = F$ , thus  $g \in K^F$ . Conversely, if  $g \in K^F$ , the equivariance of  $\mu_{\mathfrak{p}}$  yields  $X_F = \mu_{\mathfrak{p}}^{-1}(F) = \mu_{\mathfrak{p}}^{-1}(g \cdot F) = gX_F$ , thus  $g \in Q^F$ .

We are now ready to prove the connection between the set of the faces of E and parabolic subgroups of G.

**Proposition 3.2.**  $Q^F$  is a parabolic subgroup of G. Moreover  $Q^F = G^{\beta+}$  for every  $\beta \in C_F^{K^F}$ .

Proof. Observe that by definition  $Q^F$  is a closed subgroup of G. Let  $\beta \in C_F^{K^F}$ . Then  $F = F_{\beta}(E)$  and, by definition of  $K^F$ , we get  $K^F = K^{\beta}$ . The set  $X_F = \{x \in X : \mu_{\mathfrak{p}}^{\beta}(x) = \max_X \mu_{\mathfrak{p}}^{\beta}\}$  is  $G^{\beta}$ -stable. Fix  $p \in X_F$  and consider the orbit  $G \cdot p$ , which is a smooth submanifold contained in X. By Proposition 2.5 in [13] (see also Proposition 2.1 in [4]) we get that  $\xi_X(x) = 0$  for any  $\xi \in \mathfrak{r}^{\beta+}$  and for any  $x \in X_F$ . Therefore  $G^{\beta+} \cdot p \subset X_F$ . Hence  $G^{\beta+} \subset Q^F$  and the Lie algebra  $\mathfrak{q}^F$  of  $Q^F$  is parabolic. On the other hand by Lemma 3.2, we have  $\mathfrak{q}^F \cap \mathfrak{k} = \mathfrak{g}^{\beta+} \cap \mathfrak{k} = \mathfrak{k}^{\beta}$  and so by Lemma 3.7 [4] we conclude that  $\mathfrak{q}^F = \mathfrak{g}^{\beta+}$ . Since  $Q^F \subset N_G(\mathfrak{g}^{\beta+}) = G^{\beta+}$  we get  $Q^F = G^{\beta+}$ .

**Remark 3.1.** If  $\beta' \in C_F^{K^F}$ , then  $Q_F = G^{\beta'+} = G^{\beta+}$ . By Lemma 2.8 in [4], we have  $[\beta, \beta'] = 0$ ,  $G^{\beta} = G^{\beta'}$  and  $R^{\beta+} = R^{\beta'+}$ .

Let  $Q^{F-}=\Theta(Q^F)$ , where  $\Theta:G\longrightarrow G$  denotes the Cartan involution. The subgroup  $Q^{F-}$  is parabolic and depends only on F. The subgroup  $L^F:=Q^F\cap Q^{F-}$  is a Levi factor of both  $Q^F$  and  $Q^{F-}$ . Let  $\beta\in C_F^{K^F}$ . Then  $Q^F=G^{\beta+}$ ,  $L^F=G^{\beta}$  and we have the projection

$$\pi^{\beta+}:G^{\beta+}\longrightarrow G^{\beta}, \qquad \pi^{\beta+}(g)=\lim_{t\mapsto +\infty}\exp(t\beta)h\exp(-t\beta),$$

respectively

$$\pi^{\beta+}:G^{\beta-}\longrightarrow G^{\beta}, \qquad \pi^{\beta-}(g)=\lim_{t\longrightarrow -\infty}\exp(t\beta)h\exp(-t\beta).$$

**Lemma 3.3.** If  $\beta \in C_F^{K^F}$ , then the projections  $\pi^{\beta+}$  and  $\pi^{\beta-}$  depend only

*Proof.* Let  $g \in G^{\beta+}$ . We know that g = hr, where  $h \in G^{\beta}$  and  $r \in R^{\beta+}$ . Then

$$\pi^{\beta+}(g) = \lim_{t \to +\infty} \exp(t\beta)g \exp(-t\beta) = h \lim_{t \to +\infty} \exp(t\beta)r \exp(-t\beta) = h.$$

Since  $G^{\beta} = G^{\beta'}$  and  $R^{\beta+} = R^{\beta'+}$  the decomposition g = hr is the same for both groups and  $\pi^{\beta+}(q) = \pi^{\beta'+}(q)$ . The same argument works for  $\pi^{\beta-}$ .  $\square$ 

Now assume that X is a G-stable compact submanifold of Z.

For  $\beta \in C_F^{K_F}$  set  $X_F^{\beta-} := \{ p \in X : \lim_{t \to +\infty} \exp(t\beta) \cdot p \in X_F \}$ . Then the map

$$p^{\beta-}: X_F^{\beta-} \longrightarrow X_F, \qquad p^{\beta-}(x) = \lim_{t \mapsto +\infty} \exp(t\beta) \cdot x$$
 (2)

is well-defined,  $G^{\beta}$ -equivariant, surjective and its fibers are  $R^{\beta-}$ -stable. More generally one can consider  $p^{\beta-}$  as a map from  $X^{\beta-}=\{y\in X:$  $\lim_{t\to+\infty} \exp(t\beta) \cdot x$  exists  $\}$  to  $X^{\beta}$ . In general however this map is not even continuous [14, Example 4.2]. To ensure continuity and smoothness it is enough that the topological Hilbert quotient  $X^{\beta-}//G^{\beta}$  exists. Using the notation of [14] and choosing  $r = \max_X \mu_{\mathfrak{p}}^{\beta}$ , we have  $X_F = X_{\max}^{\beta} = X_r^{\beta}$  and  $X_r^{\beta-} = X_F^{\beta-}$ . Thus Prop. 4.4 of [14] applies and yields that  $X_F^{\beta-}$  is an open  $G^{\beta-}$ -stable subset of X and that (2) is smooth deformation retraction onto  $X_F$ . Using  $\pi^{\beta-}$  one defines an action of  $Q^{F-} = G^{\beta-}$  on  $X_F$  by setting  $g \cdot x = \pi^{\beta}(q) \cdot x$ . This just depends on F. With respect to this action the map  $p^{\beta-}$  becomes  $Q^{F-}$ -equivariant.

**Lemma 3.4.** The set  $X_F^{\beta-}$  and the map  $p^{\beta-}$  do not depend on the choice of  $\beta \in C_F^{K^F}$ .

*Proof.* Set  $\Gamma = \exp(\mathbb{R}\beta)$ . If  $p \in X_F$  by the Slice Theorem [13, Thm. 3.1] there are open neighborhoods  $S_p \subset T_pX$  and  $\Omega_p \subset X$  and a  $\Gamma$ -equivariant diffeomorphism  $\Psi_p: S_p \longrightarrow \Omega_p$ , such that  $0 \in S_p$ ,  $p \in \Omega_p$ ,  $\Psi_p(0) = p$ . Since p is a maximum of  $\mu_p^{\beta}$  restricted to X, the following orthogonal splitting  $T_pX = V_0 \oplus V_-$  with respect to the Hessian of  $\mu_p^\beta$  holds. Here  $V_0$  denotes the kernel of the Hessian of  $\mu_{\mathfrak{p}}^{\beta}$  and  $V_{-}$  denotes the sum of eigenspaces of the Hessian of  $\mu_{\mathfrak{p}}^{\beta}$  corresponding to negative eigenvalues. We also point out that  $V_0 = T_p X_F$  and  $S_p = \{x_0 + x_- : x_0 \in S_p \cap V_0, x_- \in V_-\}$ , see [15]. It follows that  $\Omega_p \subset X_F^{\beta-}$ . Set  $\Omega := \bigcup_{p \in X_F} \Omega_p$ . By what we just proved,  $\Omega \subset X_F^{\beta-}$ . On the other hand  $\Omega$  is an open  $\Gamma$ -invariant neighbourhood of  $X_F$ , so  $X_F^{\beta-}\subset\Omega$ . So  $X_F^{\beta-}=\Omega$ . If  $\beta'$  is another vector of  $C_F^{K^F}$ , set

 $B = \exp(\mathbb{R}\beta \oplus \mathbb{R}\beta')$ . This is a compatible abelian subgroup and  $X_F \subset X^B$ . So we may choose the open subsets  $\Omega_p$  above to be B-stable. Therefore we get  $X^{\beta'-} = \Omega$  as well. This proves that  $X_F^{\beta-} = X_F^{\beta'-}$ . Next we show that  $p^{\beta-} = p^{\beta'-}$ . First observe that  $p^{\beta-}(y) = p^{\beta'-}(y)$  if

Next we show that  $p^{\beta-} = p^{\beta'-}$ . First observe that  $p^{\beta-}(y) = p^{\beta'-}(y)$  if  $y \in \Omega$ . Indeed if  $y \in \Omega_p$  we can study the limit using the diffeomorphism  $\Psi_p : S_p \to \Omega_p$ . The decomposition  $T_pX = V_0 \oplus V_-$  is the same for  $\beta$  and  $\beta'$  since they commute and attain their maxima on  $X_F$ . Therefore if  $x = \Psi_p^{-1}(y) = x_0 + x_-$ , then

$$p^{\beta-}(y) = \Psi_p(x_0) = p^{\beta'-}(y). \tag{3}$$

If  $p \in X_F^{\beta-}$  and  $q = \lim_{t \to +\infty} \exp(t\beta) \cdot p \in X_F$ , there is  $t_1 \in \mathbb{R}$ , such that  $\exp(t\beta) \cdot p \in \Omega$ . Therefore

$$\lim_{t \to +\infty} \exp(t\beta') \cdot p = \lim_{t \to +\infty} \exp(t\beta')(\exp(t_1\beta') \cdot p)$$

$$= \lim_{t \to +\infty} \exp(t\beta)(\exp(t_1\beta') \cdot p) \text{ (by 3)}$$

$$= \exp(t_1\beta')(\lim_{t \to +\infty} \exp(t\beta) \cdot p)$$

$$= \lim_{t \to +\infty} \exp(t\beta) \cdot p.$$

By the above Lemma if F is a face and  $\beta \in C_F^{K^F}$ , we can set  $X_F^- := X_F^{\beta -}$  and  $p^{F-} := p^{\beta -} : X_F^- \longrightarrow X_F$ .

**Theorem 3.1.** For any face  $F \subset E$ , the set  $X_F$  is closed and  $L^F$ -stable,  $X_F^-$  is an open  $Q^{F-}$ -stable neighborhood of  $X_F$  in X and the map  $p^{F-}$  is a smooth  $Q^{F-}$ -equivariant deformation retraction of  $X_F^-$  onto  $X_F$ .

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