

1 **Title:** Two spatially distinct posterior alpha sources fulfill different functional roles in  
2 attention

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4 **Abbreviated title:** Two posterior alpha sources control attention

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6 **Author names and affiliations:**

7 R. Sokoliuk<sup>1</sup>, S.D. Mayhew<sup>1</sup>, K.M. Aquino<sup>2,3</sup>, R. Wilson<sup>1</sup>, M.J. Brookes<sup>2</sup>, S.T. Francis<sup>2</sup>, S.  
8 Hanslmayr<sup>1</sup>, K.J. Mullinger<sup>1,2</sup>

9 *1: Centre for Human Brain Health (CHBH), School of Psychology, University of Birmingham,*  
10 *UK;*

11 *2: Sir Peter Mansfield Imaging Centre (SPMIC), School of Physics and Astronomy, University*  
12 *of Nottingham, UK*

13 *3: Monash University, Melbourne, Australia*

14  
15 **Corresponding author:**

16 Rodika Sokoliuk

17 [r.sokoliuk@bham.ac.uk](mailto:r.sokoliuk@bham.ac.uk)

18 University of Birmingham

19 School of Psychology

20 B15 2TT, Edgbaston, Birmingham, UK

21

22

23 **Number of pages: 28**

24

25 **Number of figures: 6**

26

27 **Number of words:**

28 - **Abstract: 250**

29 - **Significance statement: 120**

30 - **Introduction: 525**

31 - **Discussion: 1735**

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33 **Conflict of interest:** The authors claim no conflict of interest.

34

35 **Acknowledgements:** This research was funded by a Leverhulme Trust Research Project  
36 Grant to KJM.

37

38 **ABSTRACT**

39

40 Directing attention helps extracting relevant information and suppressing distracters. Alpha  
41 brain oscillations (8-12Hz) are crucial for this process, with power decreases facilitating  
42 processing of important information and power increases inhibiting brain regions processing  
43 irrelevant information. Evidence for this phenomenon arises from visual attention studies  
44 (Worden et al., 2000b), however, the effect also exists in other modalities, including the  
45 somatosensory system (Haegens et al., 2011) and inter-sensory attention tasks (Foxye and  
46 Snyder, 2011). We investigated in human participants (10 females, 10 males) the role of  
47 alpha oscillations in focused (0/100%) vs. divided (40/60%) attention, both across modalities  
48 (visual/somatosensory; Experiment 1) and within the same modality (visual domain: across  
49 hemifields; Experiment 2) while recording EEG over 128 scalp electrodes. In Experiment 1  
50 participants divided their attention between visual and somatosensory modality to  
51 determine the temporal/spatial frequency of a target stimulus (vibrotactile stimulus/Gabor  
52 grating). In Experiment 2, participants divided attention between two visual hemifields to  
53 identify the orientation of a Gabor grating. In both experiments, pre-stimulus alpha  
54 power in visual areas decreased linearly with increasing attention to visual stimuli. In  
55 contrast, pre-stimulus alpha power in parietal areas was lower when attention was divided  
56 between modalities/hemifields, compared to focused attention. These results suggest there  
57 are two alpha sources, where one reflects the 'visual spotlight of attention' and the other  
58 reflects attentional effort. To our knowledge, this is the first study to show that attention  
59 recruits two spatially distinct alpha sources in occipital and parietal brain regions, acting  
60 simultaneously but serving different functions in attention.

61

62

63 **SIGNIFICANCE STATEMENT**

64 Attention to one spatial location/sensory modality leads to power changes of alpha  
65 oscillations (~10Hz) with decreased power over regions processing relevant information and  
66 power increases to actively inhibit areas processing 'to-be-ignored' information. Here, we  
67 used detailed source modelling to investigate EEG data recorded during separate uni-modal  
68 (visual) and multi- (visual and somatosensory) attention tasks. Participants either focused  
69 their attention on one modality/spatial location or directed it to both. We show for the first  
70 time two distinct alpha sources are active simultaneously but play different roles. A sensory  
71 (visual) alpha source was linearly modulated by attention representing the 'visual spotlight  
72 of attention'. In contrast, a parietal alpha source was modulated by attentional effort,  
73 showing lowest alpha power when attention was divided.

74

75

76 **INTRODUCTION**

77

78 Allocation of attention helps extracting important and neglecting irrelevant information.  
79 Alpha brain oscillations (8-13Hz) potentially occupy this filtering role and lead to excitation  
80 or inhibition of sensory-specific regions, thereby facilitating or suppressing sensory  
81 processing (Klimesch et al., 2007; Jensen and Mazaheri, 2010; Mathewson et al., 2011).  
82 When attending to two spatial locations (right/left), a relative alpha power decrease is  
83 observed over brain regions processing relevant information compared with regions  
84 inhibiting irrelevant information. Such a hemispheric alpha power lateralization over  
85 occipito-parietal regions has been shown many times in visuospatial attention (Foxe et al.,  
86 1998; Worden et al., 2000; Kelly et al., 2006; Thut, 2006; Gould et al., 2011; Zumer et al.,  
87 2014). This has also been observed in the somatosensory system (Anderson and Ding, 2011;  
88 Haegens et al., 2011, 2012; van Ede et al., 2011) and in inter-sensory attention (Foxe and  
89 Snyder, 2011; Gomez-Ramirez et al., 2011; Bauer et al., 2012).

90 What happens if attention is divided between two sensory modalities simultaneously?  
91 Would this provoke an alpha-power-imbalance between sensory-specific regions reflecting  
92 the peak location of attention, like recently observed for spatially divided visual attention  
93 (Gould et al., 2011)? Existing literature showed evidence for alpha-power-modulation over  
94 sensory-specific brain regions, however, attention was not divided between two senses  
95 simultaneously (Foxe and Snyder, 2011; Gomez-Ramirez et al., 2011; Bauer et al., 2012).  
96 Functional magnetic resonance imaging (fMRI) evidence suggests attention also modulates  
97 activity over higher-level frontal and parietal areas (Corbetta and Shulman, 2002) that  
98 modulate lower-level sensory regions via top-down-control (Bressler et al., 2008). Inhibiting  
99 frontal eye field (FEF) and inferior parietal lobule (IPL) using repetitive Transcranial Magnetic  
100 Stimulation (rTMS), Capotosto et al. observed increased reaction times and decreased  
101 accuracy for visual detection and thereby confirmed fMRI results. They concluded that  
102 inhibiting these regions disrupted the control over visual alpha oscillations and altered  
103 behaviour (Capotosto et al., 2009). According to the authors, both primary sensory and  
104 parietal regions are important for controlling attention allocation. Hints of this in EEG are  
105 shown by the spatial and functional dissociation of occipital and parietal alpha sources  
106 during visual perception (Gulbinaite et al., 2017).

107 Here, we investigated potential differences in the role of alpha oscillations in focused  
108 (0/100%) vs. divided (40/60%) attention, both, across modalities (visual/somatosensory)  
109 and within a modality (visual: across hemifields). We used multi-modal  
110 (visual/somatosensory, Experiment 1) and uni-modal (left/right visual fields, Experiment 2)  
111 attention paradigms while recording scalp EEG over 128 electrodes.

112 A Linearly Constrained Minimum Variance (LCMV) beamformer (Van Drongelen et al., 1996)  
113 source localised changes in pre-stimulus alpha power. Two alpha sources were identified in  
114 Experiment 1: A visual source decreased linearly in power with increasing attention to visual  
115 stimuli; a second source in the parietal cortex modulated by task difficulty showed lower  
116 alpha power when attention was divided between modalities. Experiment 2 shared the  
117 visual source with linear attention modulation however parietal brain regions were not as  
118 strongly modulated.

119 To our knowledge, this study is the first to reveal two spatially distinct alpha mechanisms  
120 acting simultaneously and yet performing different roles in attention: a sensory, visual alpha  
121 source reflecting the current location of attention and a parietal alpha source modulated by  
122 task difficulty and reflecting attentional effort.

123

## 124 **METHODS**

125

### 126 **Participants**

127 Data were acquired from 20 healthy participants (all right-handed, 10 females, mean age  
128  $28.1 \pm 3.8$  years) with normal or corrected to normal vision. One participant was not  
129 included in final data analysis because of the absence of an anatomical MRI scan that  
130 prohibited complete data analysis. 15 out of these 20 participants performed two attention  
131 paradigms (Experiment 1 and Experiment 2), the remaining four subjects only participated  
132 in Experiment 1. Therefore Experiment 1 had 19 subjects in total and Experiment 2 had 15 in  
133 total.

134 The study was approved by the University of Birmingham Research Ethics Committee.  
135 Before the start of the experiment(s), participants provided informed written consent.

### 136 **Stimuli and Task**

137 Visual and somatosensory stimuli were presented using Psychophysics Toolbox (Version 3;  
138 Brainard, 1997) running in MATLAB (version 2014b; MathWorks) on a desktop computer  
139 (Windows 7). Participants sat comfortably in a dark room. To minimize head movement and  
140 maintain a constant degree of visual angle for the visual stimuli, their head was kept stable  
141 using a chin rest.

142 Visual stimuli were presented in Experiments 1 and 2 on a grey background. Gabor gratings  
143 were presented briefly (presentation time: 66.7ms; radius: 1.75 degrees of visual angle,  
144 phase:  $180^\circ$ ), on a grey background at a distance of 57cm, using a cathode ray monitor  
145 (resolution: 600 x 800 pixels). These stimuli were presented vertically centered and with a  
146 horizontal eccentricity of  $\pm 8$  degrees of visual angle from a horizontally centered white  
147 fixation point (radius: 0.1 degrees of visual angle). In Experiment 2, two Gabor gratings were  
148 presented to the left and right of the fixation point whereas in Experiment 1 a single visual  
149 stimulus was presented to the left of the fixation point, simultaneously with a 250ms long  
150 vibrotactile stimulus to the tip of the left index finger using a piezoelectric stimulator  
151 (Dancer Design, St. Helens, United Kingdom, <http://www.dancerdesign.co.uk>).

152 In Experiment 1 the multimodal attention task was conducted (see Figure 1A). While fixating  
153 on the fixation cross, subjects had to covertly divide their attention between two sensory

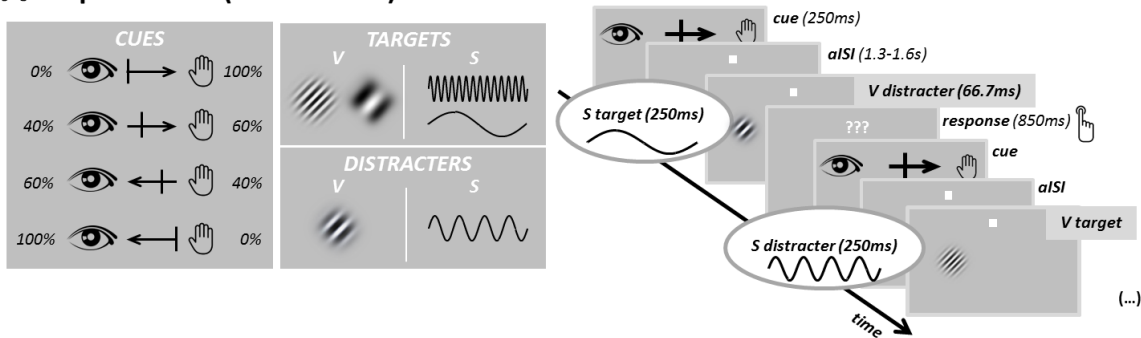
154 modalities, attending either more to visual or more to somatosensory stimuli (0/100% or  
155 40/60% attention towards somatosensory/visual domain and vice-versa). A visual cue (5x2.5  
156 degrees of visual angle) was presented at fixation at the beginning of every trial for 250ms,  
157 indicating how attention was to be divided. Cues took the form of black arrows indicating  
158 the likelihood of subsequent target appearance in each modality (cf. Figure 1A). After an  
159 asynchronous inter-stimulus interval (aISI) of 1.3-1.6s (aISIs were randomly chosen for every  
160 trial reaching from 1.3s (minimum aISI) to 1.6s (maximum aISI)), during which participants  
161 were required to divide their attention between modalities according to the pre-stimulus  
162 cue, visual and somatosensory stimuli were presented simultaneously. Gabor patterns were  
163 presented in a tilted orientation: for half of the participants they were tilted at 45°, for the  
164 other half at -45°. Stimuli with a low or high spatial frequency (0.025 cycles/pixel and 0.1  
165 cycles/pixel) were visual targets and medium frequency stimuli (0.05 cycles/pixel) were  
166 visual distracters. In the somatosensory domain, vibrotactile stimulation at a low or high  
167 temporal frequency (4 Hz and 52 Hz) served as somatosensory targets and those at medium  
168 temporal frequency (16 Hz) as somatosensory distracters. In every trial, one target (e.g. a  
169 visual Gabor pattern with a high spatial frequency) and one distracter (e.g. a somatosensory  
170 stimulus with a medium temporal frequency) stimulus were presented simultaneously. After  
171 stimulus presentation, white question marks (5x1.5 degrees of visual angle) indicated an  
172 850ms response period where participants pressed a button with their right index finger to  
173 report the frequency of the target (two different keys: high or low frequency, regardless of  
174 probed modality) as quickly as possible. Even if participants were responding before the end  
175 of the response period, the next trial only started after 850ms with an asynchronous inter-  
176 stimulus interval (aISI).

177 In Experiment 2 the uni-modal attention task was conducted (see Figure 1B). This second  
178 experiment had a similar structure to Experiment 1 but used only visual Gabor gratings  
179 (spatial frequency: 0.05 cycles/pixel), akin to a classic Posner task (Posner et al., 1980).  
180 Subjects had to covertly direct their attention in a graded fashion either more to the left or  
181 more to the right visual hemifield (0/100%, 20/80%, or 40 /60%, attention towards left/right  
182 visual hemifields and vice-versa) while they fixated on a central fixation cross (similar to  
183 Gould et al., 2011). Trials started with the presentation of a visual cue (5x2.5 degrees of  
184 visual angle; presentation time: 250ms) in the form of black arrows indicating where

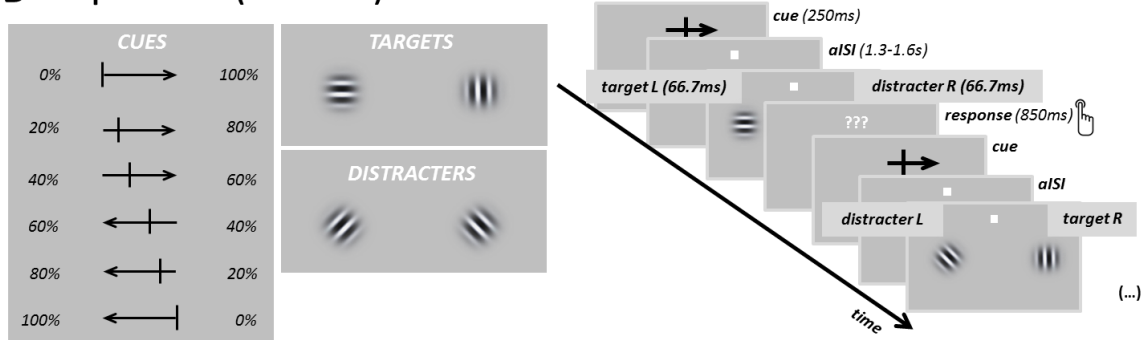
185 subjects should direct their spatial attention (cf. Figure 1B). As in Experiment 1, this was  
 186 followed by an aISI of 1.3-1.6s, before visual stimuli were presented to the left and right of  
 187 the fixation point. For half of the participants, horizontal and vertical gratings were target  
 188 stimuli and rightwards (45°) and leftwards (-45°) tilted gratings served as distracters, while  
 189 for the other half of participants the opposite was true. In every trial one target (e.g.  
 190 horizontal grating) and one distractor (e.g. rightwards tilted grating) appeared  
 191 simultaneously at opposite sides of the fixation cross. After stimulus presentation, a white  
 192 question mark was presented for 850ms to indicate the response period. The task was to  
 193 respond as fast as possible to indicate the orientation of the target grating (two different  
 194 keys: e.g. horizontal or vertical). Even if participants were responding before the end of the  
 195 response period, the next trial only started after 850ms with an asynchronous inter-stimulus  
 196 interval (aISI).

197 In both experiments, participants were given feedback on their performance (accuracy and  
 198 reaction time) which was displayed after each experimental run to maintain their  
 199 motivation for performing the tasks.

**A Experiment 1 (multi-modal)**



**B Experiment 2 (uni-modal)**



200  
 201 **Figure 1: Paradigms of Experiment 1 and Experiment 2.** (A) shows the attention paradigm used in Experiment



202 1. The left panel shows the attentional cues used to manipulate participants' attention for the four different  
203 attention conditions. The eye represents "attention to the visual system" while the hand represents "attention  
204 to the somatosensory system". The arrows point in the direction of the modality that should be more strongly  
205 attended to. The numbers (e.g. 0%) were not presented during the experiment but are shown here for clarity.  
206 Target stimuli in the visual domain were high and low frequency Gabor patterns whereas stimuli with a  
207 medium spatial frequency represented visual distracters (see middle panel). In the somatosensory domain,  
208 stimuli showing a high or a low temporal frequency served as targets whereas medium frequency stimuli were  
209 distracters (see middle panel). On the right side, the temporal sequence of the experiment is shown. A cue was  
210 presented for 250ms before a blank screen only showing the fixation point for 1.3-1.6s (aISI). Then both, visual  
211 (66.7ms) and somatosensory stimuli (250ms) were presented simultaneously, while only one of them  
212 represented the target stimulus. Subjects then had 850ms to respond whether the target was high or low  
213 frequency before the next trial. (B) The left panel shows the visual cues used to manipulate participants'  
214 attention in the six attention conditions of Experiment 2. The arrows are pointing towards the side of the visual  
215 field to which more attention should be paid with dividing lines indicating how attention should be divided (as  
216 in Experiment 1). Again numbers (e.g. 0%) are only shown for clarity and were not presented. As in Experiment  
217 1, each trial started with the presentation of a visual cue (250ms) before a blank screen with only the fixation  
218 point was presented for 1.3 – 1.6s (aISI), see right panel. Then, stimuli appeared on both sides of the visual field  
219 whereat only one of them was a target whose orientation (e.g. "horizontal or vertical", see middle panel) had  
220 to be reported within 850ms before the next trial started. The middle panel showing target and distractors is an  
221 example which was used for half the subjects; for the other half the subjects the target and distractors were  
222 the opposite. Note: to facilitate visibility in these schematics, the visual stimuli are larger than the actual size  
223 these stimuli occupied on the screen in the experiment.  
224

225 Participants completed a training run consisting of 10 trials per attention condition  
226 (resulting in a total of 40/60 trials for Experiments 1/2, respectively) before they performed  
227 the same task in a staircase experimental run, where the contrast of the visual stimuli was  
228 adapted according to participants' performance (60 trials per attention condition) to ensure  
229 an accuracy of ~80% was achieved. For somatosensory stimuli, a similar procedure was used  
230 to adapt the amplitude of vibrotactile pulses.

231 The subjects then started the experiment and performed 150 trials per attention condition  
232 giving a total of 600/900 trials for Experiments 1 and 2, respectively. Experiments were  
233 divided into 3 individual runs; all runs contained equal number of trials of each attention  
234 condition (50 trials/condition/run). All trials of a given attention condition within a run were  
235 grouped together in one block, the order of the blocks between runs was varied pseudo-  
236 randomly. The whole study took ~1.5 hours per participant, including short breaks that the  
237 participants took between runs.

### 238 **EEG data acquisition**

239 EEG data was recorded from 128 active scalp electrodes following an equi-radial montage at  
240 1024 Hz sampling rate using a Biosemi EEG system (Amsterdam, Netherlands) with a  
241 reference electrode (common mode sense electrode) placed parieto-centrally for the

242 recording. In addition, EOG was recorded using 3 active ocular electrodes with the  
243 horizontal electrodes being placed near the two temples and the vertical electrode below  
244 the left eye. In Experiment 1, data was recorded in 3 runs of ~9 minutes each, in  
245 Experiment 2 the three runs consisted of ~12 minutes each.

246 After each EEG recording session, the individual electrode positions were digitised relative  
247 to the surface of the head with a Polhemus FASTRAK using Brainstorm software (Tadel et al.,  
248 2011) running in Matlab (MathWorks). In addition, each subject attended a separate MRI  
249 session where a T1-weighted anatomical image (MPRAGE sequence) of the head, including  
250 the nose, with 1mm isotropic resolution was acquired on either a 3T or 7T MRI system  
251 which was registered with the digitised head shape.

## 252 ***Data analysis***

### 253 **Behavioural**

254 Behavioural parameters analysed were reaction time and accuracy. In order to analyse  
255 significant differences between attention conditions, a repeated measures 2-way ANOVA  
256 was computed for both behavioural parameters and experiments separately, with factors:  
257 (i) attention condition (60 and 100% for Experiment 1 and 60, 80, and 100% for Experiment  
258 2), and (ii) attended modality (somatosensory and visual) or hemifield (left and right). Post-  
259 hoc paired sample t-tests were used to identify individual differences between attention  
260 conditions, and p-values were subsequently Bonferroni-corrected to account for multiple  
261 comparisons.

### 262 **EEG**

263 All EEG data processing was carried out using the Matlab toolbox Fieldtrip (Oostenveld et  
264 al., 2011).

### 265 **Pre-processing**

266 Data were read in as continuous data, for each channel data were notch filtered (49-51Hz)  
267 to reduce line noise, detrended to remove linear drifts and demeaned (subtracting the  
268 average signal recorded over the whole time course at each channel) to remove between  
269 run baseline effects. By visual inspection, noisy channels (i.e. channels with obvious  
270 artifacts) were removed from further data analysis. This resulted in a group mean of  
271 ( $\pm$ standard error (SE))  $117 \pm 4$  /  $116 \pm 5$  channels remaining for further analysis for

272 Experiment 1/2 respectively. Independent component analysis (ICA, logistic infomax ICA  
273 algorithm, (cf. Bell and Sejnowski, 1995) was then performed to discard eye blinks from the  
274 recorded data, with an average of  $1 \pm 0.6$  ICs for Experiment 1 and  $1.5 \pm 1.5$  ICs for  
275 Experiment 2 removed from each data set. The remaining ICs were re-projected to the  
276 channel level. Finally, data were re-referenced to the average of all the non-noisy channels  
277 that remained for each subject and run.

278 These data were subsequently used for time-frequency analysis on the sensor and source  
279 level.

### 280 ***Sensor level analysis***

281 Data were epoched into 1.7s (-1.5s until +0.2s relative to the stimulus presentation onset)  
282 segments for every trial and the separate runs of the experiment concatenated. All trial  
283 level data were visually inspected and noisy trials removed for each subject, resulting in  
284  $818 \pm 12.4$  /  $539 \pm 11.7$  (number of trials  $\pm$  standard error of the mean [SEM]) trials of data  
285 remaining for Experiments 1/2. Furthermore, those trials where the subject had responded  
286 incorrectly to the target were subsequently removed such that  $727 \pm 16.5$  /  $471 \pm 15.6$  trials  
287 remained for Experiment 1/2.

### 288 ***Source level analysis***

289 Individual, 4-layer (scalp, skull, CSF, & brain) boundary element (BEM) head models were  
290 constructed from the individual subject  $T_1$ -weighted anatomical images using the Fieldtrip  
291 toolbox with the 'dipoli' method (<http://www.ru.nl/neuroimaging/fieldtrip>) (Oostenveld et  
292 al., 2011). Individual electrode positions were aligned to the scalp surface of the subject's  $T_1$   
293 using the fiducial points and headshape to inform alignment. In 4 of the 19 participants, no  
294 individual electrode positions were recorded due to technical problems; therefore, in these  
295 subjects the average electrode positions of the 11 other participants sharing the same  
296 electrode layout were used and warped to the scalp surface extracted from the segmented  
297 individual  $T_1$ -weighted scans.

298 Beamforming analysis was performed using a Linearly Constrained Minimum Variance  
299 (LCMV) beamformer (Van Drongelen et al., 1996; Van Veen et al., 1997; Robinson and Vrba,  
300 1999) implemented in the Fieldtrip toolbox, to spatially localize changes in alpha power  
301 between different attention conditions. The continuous data for each run were first filtered

302 into the alpha frequency band (8-13Hz), applying the default parameters for a FIR bandpass-  
303 filter (which uses the MATLAB fir1 function, with a twopass filter direction, a hamming filter  
304 window type and a filter order of 768 for 10 subjects (sampling rate: 2048Hz) and 384  
305 (sampling rate: 1024Hz) for the remaining 9 subjects). The filtered data was subsequently  
306 investigated for temporal leakage of the peak of the ERP into the pre-stimulus period, with  
307 no leakage found. The data were then epoched -1.5s to +0.2s relative to stimulus onset. The  
308 noisy and incorrect response trials, identified from the broadband visual data inspection  
309 (see "Sensor level" section above) were removed. Remaining trials were then concatenated  
310 over runs, downsampled to 500 Hz and beamformer weights (also known as a spatial filter)  
311 (Van Veen et al., 1997) derived. All attention conditions within an experiment were  
312 considered together to calculate these weights as the spatial sources of the alpha power  
313 were not hypothesized to change between conditions but only their relative amplitude.

314 For each subject the preprocessed, cleaned and downsampled sensor level data were then  
315 separated into trials for each of the attention conditions. The number of trials in each  
316 condition was reduced to match that of the condition with the minimum number of trials  
317 remaining. This data rejection process was done by randomly removing trials from  
318 conditions containing more trials than the minimum. This process ensured all source  
319 localization comparisons were performed on equal amounts of data to avoid biases. An  
320 average of  $105 \pm 22$  of the 150 trials per condition for Experiment 1 and  $107 \pm 16$  of the 150  
321 trials per conditions for Experiment 2 remained (mean  $\pm$  SE over subjects) for further source  
322 analysis.

323 To enable alpha power to be calculated only during the aISI, trials were then segmented  
324 resulting in a time window from -1.3s to 0s relative to stimulus onset and concatenated  
325 together for each condition to ensure no baseline effects within trials were removed. The  
326 source power at each location in the brain BEM (0.5 cm grid) was estimated for each  
327 condition, using the previously derived weights from all conditions. These source power  
328 maps were then used to calculate the alpha modulation index (AMI) source maps for both  
329 experiments for each subject using Equation 1, where the source power estimates at each  
330 location in the brain for each condition were input, as previously employed (Zumer et al.,  
331 2014).

$$AMI = \frac{[SPow (cond 1) - SPow (cond 2)]}{\{0.5 \times [SPow (cond 1) + SPow (cond 2)]\}}$$

332 [Eq. 1]

333 In Experiment 1, the AMI between trials where participants focused on one modality  
 334 compared to focusing on the other, e.g. between 100% attention to the visual domain vs.  
 335 100% to the somatosensory domain, was calculated using Equation 1, where SPow ('Source  
 336 Power') was calculated for every location in the brain (on the 0.5 cm grid) and is the power  
 337 estimate of the alpha band signal over the time period -1.3 to 0s relative to stimulus onset  
 338 for all trials in a given condition. Here, *cond 1* denotes attend 100% to visual (and 0% to  
 339 somatosensory) stimuli whilst *cond 2* denotes attend 100% to somatosensory (and 0% to  
 340 visual) stimuli.

341 Furthermore, the AMI between trials where participants focused on one modality (100%  
 342 visual or somatosensory; *cond 1* in Equation 1) and those where attention was divided  
 343 between modalities (60% visual (i.e. 60% visual and 40% somatosensory) or somatosensory  
 344 (i.e. 60% somatosensory and 40% visual); *cond 2* in Equation 1) was computed.  
 345 The equivalent AMIs were calculated for Experiment 2. First, attention conditions 100% left  
 346 (*cond 1* in Equation 1) and 100% right (*cond 2* in Equation 1) were compared. Then trials  
 347 were compared according to whether subjects paid attention to only one side of the visual  
 348 field (100%; *cond 1* in Equation 1) or divided their attention between left and right  
 349 hemifields (60%; *cond 2* in Equation 1).

350 The AMI(100%,100%) contrasts "100% visual (V) vs. 100% somatosensory (S)" and "100%  
 351 left (L) vs. 100% right (R)" for Experiments 1 and 2 respectively, were designed to investigate  
 352 differences in alpha modulation depending on the attentional cue. Whilst the AMI  
 353 (100%,60%) contrasts "100% (visual/somatosensory) vs. 60% (visual/somatosensory)" and  
 354 "100% (left/right) vs. 60% (left/right)" for Experiments 1 and 2 respectively, were designed  
 355 to elucidate whether task difficulty was reflected by modulations in alpha power.

356 AMI source maps for each subject were spatially normalized to the MNI template before  
 357 being averaged over subjects for each experiment to provide a grand average. The different  
 358 grand average AMI source maps were visually inspected for local minima and maxima for  
 359 the two experiments. In both experiments, local minima and maxima were observed over

360 the visual cortex (AMI(100%,100%)) and the parietal cortex (AMI(100%,60%)), respectively.  
361 For Experiment 1, all stimuli were presented on the left thus hypothesized to recruit the  
362 right hemisphere of the brain primarily. Therefore, the maximum AMI value peak location in  
363 the right parietal cortex (anatomically defined) from the AMI(100%,60%) maps and a  
364 minimum AMI value peak location in the right visual cortex from the AMI(100%,100%) were  
365 found for each subject individually. For Experiment 2 bilateral stimulus presentation  
366 resulted in hypothesized responses in both hemispheres. Therefore the AMI maxima were  
367 identified in the right and left parietal cortices (AMI(100%,60%)), and in the left visual cortex  
368 (AMI(100%,100%)). Furthermore, the AMI minimum in the right visual cortex was identified  
369 (AMI(100%,100%)). All peak locations within the anatomically defined regions were  
370 identified for each subject individually.

### 371 Peak location analysis

372 The identified peak locations were used as virtual electrode (VE) locations from which alpha  
373 frequency time courses were extracted for each participant individually. Time courses were  
374 obtained at each VE location by multiplying the cleaned, continuous, downsampled channel  
375 level data (used to derive the initial weights) by the respective alpha beamformer weights  
376 derived over all data (see above). Time courses were then demeaned before a Hilbert  
377 transform was performed to provide a measure of alpha power at each VE location  
378 interrogated for each subject. The data were then epoched -1.3s to 0s relative to stimulus  
379 onset (i.e. the aISI period) and separated into conditions (using the same balancing  
380 procedure used to derive the source maps). The average alpha power over trials for each  
381 condition was found and then averaged over the aISI period (-1.3 – 0s) to provide a measure  
382 of mean alpha power per condition in the visual and parietal cortices.

383 For Experiment 2, data from left and right hemispheres were combined by flipping the  
384 attention conditions (attention left 100% = attention right 100% etc.) for the data recorded  
385 over the right hemisphere, effectively resulting in alpha power modulations from the left  
386 parietal and visual cortices (cf. Waldhauser et al., 2016). This procedure was designed to  
387 increase signal to noise.

388 To take account of between subject variance, alpha power values were then normalised by  
389 the maximum average alpha power value in any condition for each subject. Subsequently,

390 the grand average over subjects was computed. These were tested for linear and quadratic  
 391 modulation over conditions by fitting the data first to linear and then to quadratic functions  
 392 using the Matlab function *polyfitn*.

393 *Automated anatomical labeling (AAL) analysis*

394 To test whether the linear and quadratic modulations observed from the peak location  
 395 analysis were statistically significant, we performed additional analyses based purely on  
 396 anatomically parcellated brain regions and therefore not biased by the AMI source maps in  
 397 identification of locations to interrogate. Brain regions were parcellated using the  
 398 automated anatomical labelling (AAL) atlas (Tzourio-Mazoyer et al., 2002). Only the  
 399 anatomical regions in which an alpha related response was predicted were interrogated.  
 400 Therefore 15 AAL regions in the right hemisphere, spanning from the visual cortex to the  
 401 somatosensory cortex and 26 AAL regions in right and left hemisphere, reaching from visual  
 402 to parietal cortex, were investigated in Experiments 1 and 2 respectively (see table 1 and 2).

AAL region in right hemisphere (Exp. 1)	Centre of mass MNI-coordinates [mm] (x/y/z)		
	x	y	z
<i>Pre-central Gyrus</i>	35	-10	50
<i>Angular Gyrus</i>	40	60	35
<i>Calcarine Gyrus</i>	10	-75	5
<i>Cuneus</i>	5	-80	25
<i>Fusiform Gyrus</i>	30	-45	-20
<i>Inferior Occipital Gyrus</i>	35	-75	-10
<i>Inferior Parietal Lobule</i>	40	-45	45
<i>Lingual Gyrus</i>	15	-65	-5
<i>Medial Occipital Gyrus</i>	30	-75	15
<i>Parieto-central Lobule</i>	5	-35	65
<i>Precuneus</i>	10	-55	40
<i>Postcentral Gyrus</i>	35	-30	50
<i>Superior Medial Gyrus</i>	55	-35	30
<i>Superior Occipital Gyrus</i>	20	-80	25
<i>Superior Parietal Gyrus</i>	25	-60	55

403 **Table 1:** AAL regions with MNI coordinates of centre of mass investigated in Experiment 1.

AAL region in right and left hemisphere (Exp.2)	Centre of mass MNI-coordinates [mm] (x/y/z)		
	x	y	z
<i>R/L Angular Gyrus</i>	40/-40	60/-60	35/35
<i>R/L Calcarine Gyrus</i>	10/-15	-75/-75	5/10
<i>R/L Cuneus</i>	5/-15	-80/-80	25/25
<i>R/L Fusiform Gyrus</i>	30/-35	-45/-45	-20/-20
<i>R/L Inferior Occipital Gyrus</i>	35/-35	-75/-80	-10/-10
<i>R/L Inferior Parietal Lobule</i>	40/-40	-45/-45	45/50
<i>R/L Lingual Gyrus</i>	15/-15	-65/-65	-5/-5
<i>R/L Medial Occipital Gyrus</i>	30/-35	-75/-75	15/20
<i>R/L Postcentral Lobule</i>	10/-5	-25/-35	65/65
<i>R/L Precuneus</i>	10/-10	-55/-55	40/40
<i>R/L Superior Medial Gyrus</i>	55/-50	-35/-35	30/35
<i>R/L Superior Occipital Gyrus</i>	20/-25	-80/-75	25/30
<i>R/L Superior Parietal Gyrus</i>	25/-25	-60/-55	55/55

**Table 2:** AAL regions with MNI coordinates of centre of mass investigated in Experiment 2.

405

406

407 The following analysis approach was used, as has been previously employed on MEG data  
408 (Brookes et al., 2016). For each subject, all AAL regions were warped onto the individual  
409 subject's  $T_1$ -weighted image and timecourses were then extracted from all VE locations (on  
410 a 0.5cm grid) which fell within the AAL regions. The VE time courses were extracted using  
411 the same data and processes used for the *peak location analysis*. Time courses from VE  
412 locations (each grid point) were weighted according to the Euclidian distance of the VE  
413 location to the centre of gravity of the respective AAL region. After applying the correct  
414 weighting, time course data were summed over all VEs per AAL region, to give one time  
415 course per AAL region containing all trials, which was then demeaned. The Hilbert transform  
416 was subsequently applied to time courses for each AAL region. The data were then epoched  
417 -1.3s to 0s relative to stimulus onset (i.e. the aISI period) and separated into conditions  
418 (using the same trial balance used for the source maps and peak responses). The alpha  
419 power time courses for each AAL region were then averaged over trials and aISI time  
420 window within each attention condition and subject. The outcome of this processing was  
421 15x4 (Experiment 1: 15 AAL regions and 4 attention conditions) or 26x6 (Experiment 2: 26



422 AAL regions – including AAL regions in the left hemisphere but excluding AAL regions within  
423 the somatosensory cortex – and 6 attention conditions) alpha power values per subject.  
424 Data of Experiment 2 was averaged between AAL regions across hemispheres by flipping the  
425 attention conditions, resulting in 13 AAL datasets per subject.  
426

427 Before averaging over subjects, the resulting 4/6 alpha power values for the attention  
428 conditions in Experiment 1/2 per AAL region were normalized by the alpha power value of  
429 the attention condition that showed the maximum power, removing between subject  
430 variance to ensure between condition variance was interrogated. Given the apparent linear  
431 and quadratic modulation patterns derived from the peak location analysis, for each AAL  
432 region the normalised alpha power averaged over all subjects (i.e. 15/19 data points per  
433 condition for Experiments 1/2, respectively) were first fit with a linear function.  
434 Subsequently, those AAL regions, where no significant linear modulation was observed,  
435 were investigated for potential quadratic modulations. This approach was chosen to  
436 circumvent the issue that quadratic models; being more complex, will always provide a  
437 better goodness of fit than a linear model. Significance of the fits obtained on the real data  
438 was determined through Monte Carlo permutation tests (25,000 repetitions). Here, for  
439 every AAL region, the real data fits were compared with surrogate distributions of linear and  
440 quadratic terms of the respective AAL regions, derived from shuffling data between the  
441 different attention conditions for every subject individually and performing new linear and  
442 quadratic fits over the 4/6 surrogate attention conditions. The p-values obtained were then  
443 corrected for multiple comparisons (i.e. AAL regions) using False Discovery Rate (FDR)  
444 correction (Benjamini and Hochberg, 1995; Yekutieli and Benjamini, 1999).

445 For those regions where a significant quadratic modulation was found, we further  
446 interrogated whether the quadratic model out-performed a linear model by computing the  
447 Akaike Information Criterion (AIC; Akaike, 1974) using the fitlm function implemented in  
448 Matlab. This ruled out the possibility that the significant quadratic modulation was only  
449 based on the higher complexity of the model compared with a linear model. The “winner”  
450 of these different model types is the one that minimizes the AIC. An ANOVA implemented in  
451 the fitlm function tests whether the “winning” model explains the data better than a

452 constant model. The resulting p-values were then Bonferroni- corrected taking into account  
453 the number of AAL regions which showed a significant quadratic modulation.

454

#### 455 Control Time-Frequency Analysis

456 To investigate power lateralization effects due to attentional modulation on a more broad  
457 spectrum of frequencies, we conducted a wavelet analysis for frequencies ranging from 1-  
458 48Hz, using an increasing number of cycles (2cycles at 1Hz and 8 cycles at 48Hz) in a time  
459 window ranging from -1.5s until -0.1s with respect to stimulus onset. In Experiment 1, this  
460 analysis was performed for four neighbouring electrodes over right somatosensory areas  
461 and four neighbouring electrodes over right visual areas (cf. topography plot in Figure 6).  
462 For Experiment 2, 4 neighbouring electrodes over left and four neighbouring electrodes  
463 over right visual recording sites were chosen (cf. topography plot in Figure 6). Power  
464 lateralization was calculated in the same way as the alpha modulation index (AMI), using  
465 Equation 1 (see above). For Experiment 2, right hemisphere electrodes were mirrored to  
466 combine with data recorded over left electrodes.

467

468

469

470 **RESULTS**

471

472 ***Behaviour***

473 *Experiment 1 (multimodal task):*

474 A 2-way repeated measures ANOVA with main factors of cue (100 or 60% attention) and  
475 modality (attention to visual or somatosensory modality) revealed that the accuracy for  
476 discrimination of spatial/ and temporal frequencies was significantly higher in the “attend  
477 100%” condition than in the “attend 60%” condition ( $p$ -value =  $1.3 \times 10^{-7}$ ;  $F = 34.3$ , Figure 2A,  
478 upper panel). No significant effect of modality ( $p$ -value = 0.21;  $F = 1.6$ ) and no interaction  
479 between cue and modality was observed ( $p$ -value = 0.4;  $F = 0.67$ ; Figure 2A, upper panel).

480 When investigating potential differences of the second dependent variable, reaction times  
481 (RTs) across attention conditions, we could observe a main effect of cue ( $p$ -value =  $1.1 \times 10^{-8}$ ;  
482  $F=41.8$ ). Furthermore, a significant interaction between factors cue and modality ( $p$ -value =  
483  $1.03 \times 10^{-4}$ ;  $F = 16.9$ ) revealed a stronger effect of cue on RTs when subjects attended to the  
484 somatosensory stimuli (Figure 2A, lower panel).

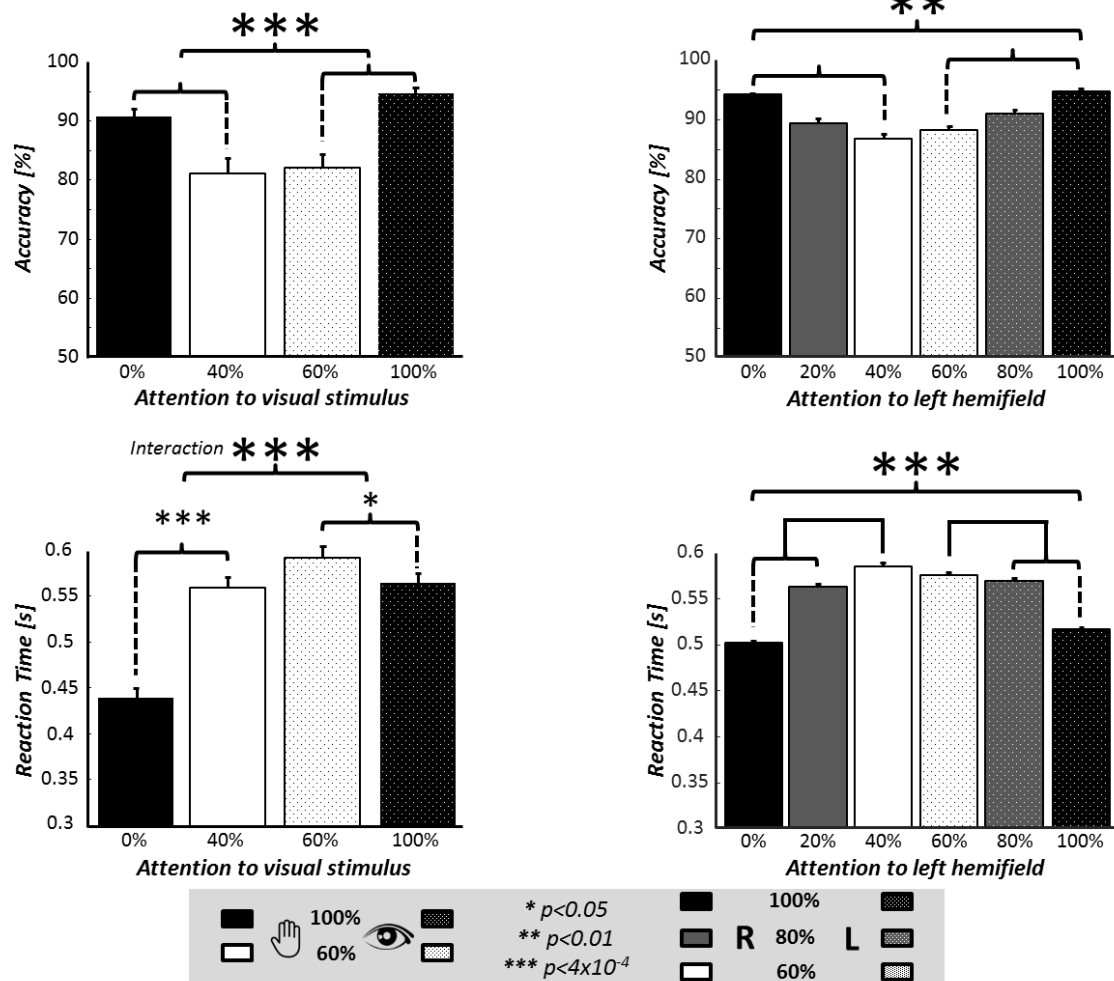
485 *Experiment 2 (unimodal task):*

486 A 2-way repeated measures ANOVA with main factors of cue (60, 80, and 100% attention)  
487 and side of presentation (left or right visual hemifield) revealed as the main effect that the  
488 first dependent variable, accuracy for discriminating the orientation of Gabor gratings was  
489 significantly higher in the “attend 100%” than in the “attend 60%” condition ( $p$ -value =  
490  $3.37 \times 10^{-4}$ ;  $F = 8.8$ ; Figure 2B, upper panel). No significant effect of side of presentation ( $p$ -  
491 value = 0.63;  $F = 0.2$ ) and no interaction between cue and side of presentation was observed  
492 ( $p$ -value = 0.64;  $F = 0.4$ ; Figure 2B, upper panel).

493 Furthermore, RT was significantly shorter when subjects only attended to one side of the  
494 visual field (100 vs. 0% attention), than when they divided their attention between  
495 hemifields (80 vs 20% and 60 vs. 40% attention;  $p$ -value =  $4.1 \times 10^{-6}$ ;  $F = 14.4$ ). There was no  
496 significant interaction between cue and side of presentation ( $p$ -value = 0.3;  $F = 1.1$ ; Figure  
497 2B, lower panel).

**A Multi-modal (MM); N=19**

**B Uni-modal (UM); N=15**



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499

500 **Figure 2: Behavioural measures of accuracy (top panels) and reaction time (bottom panels) across attention**  
 501 **conditions.** Panel A shows the behavioural results of the multimodal (visual vs somatosensory) paradigm  
 502 (upper panel: accuracy achieved in each condition, lower panel: reaction times). A significant interaction  
 503 between cue and attended modality in the reaction time shows that participants' behaviour is modulated to a  
 504 greater extent when attention is directed to the somatosensory modality (0 and 40%) than the visual modality  
 505 (60 and 100%). Panel B shows behavioural data for the uni-modal (visual) paradigm (upper panel: accuracy  
 506 achieved; lower panel: reaction times). All bars denote the mean response over subjects whilst error bars  
 507 denote the SEM over subjects. Asterisks denote p-values from 2-way ANOVAs (see legend).

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510 **EEG responses**

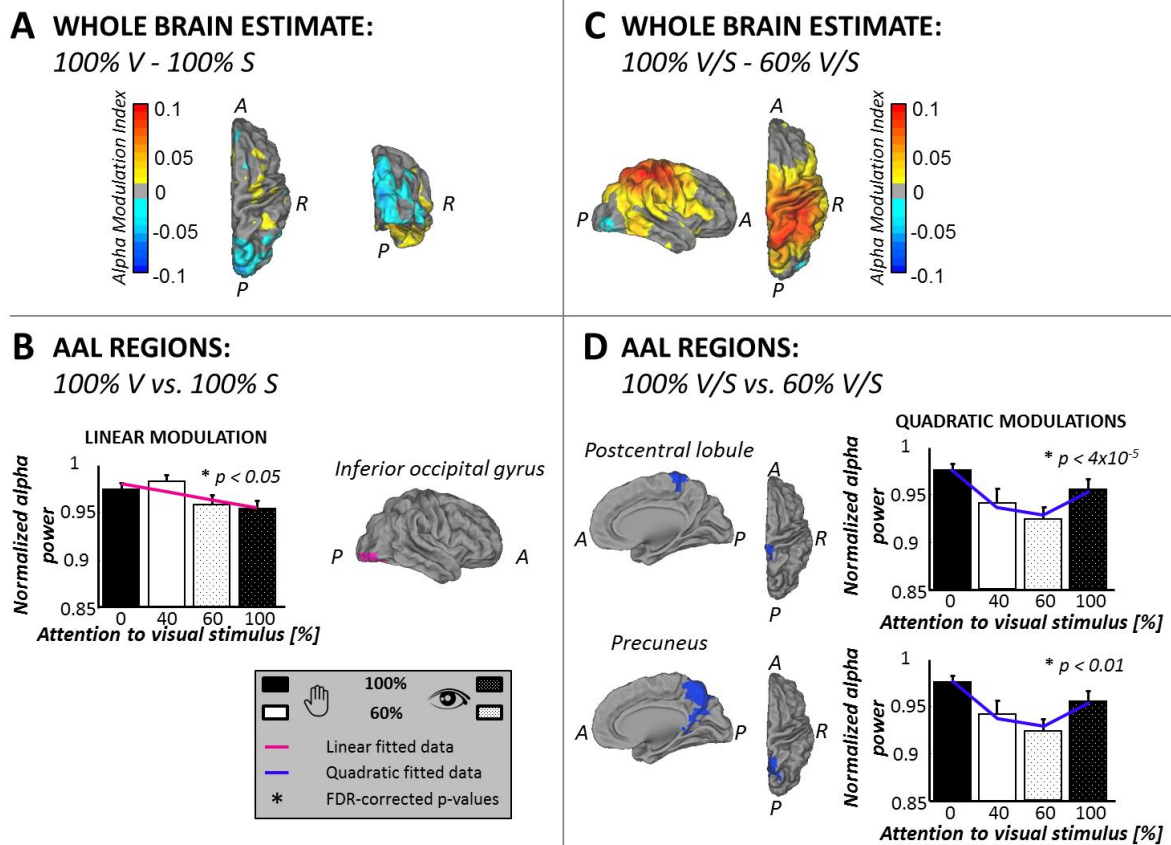
511 As there was hypothesized to be more than one alpha power response from different  
 512 cortical areas, we focus the results on the source level where spatial localisation aids  
 513 interpretation of the data.

514

515 **Experiment 1:**

516 In order to investigate potential differences in alpha power between the attention  
517 conditions, we first compared trials where subjects only paid attention to visual stimuli  
518 (100% V; *cond 1* in Equation 1) with trials where they only attended to somatosensory  
519 stimuli (100% S; *cond 2* in Equation 1). We computed the alpha modulation index ('AMI';  
520 Equation 1) on the beamformer results which revealed a negative response in right visual  
521 cortex, indicating an alpha power decrease in visual cortex with increasing attention to the  
522 visual domain as shown in Figure 3A. No alpha power modulation was found in  
523 somatosensory areas between these two attention conditions (Fig 3A). The AAL analyses  
524 supported this observation revealing a significant linear modulation of alpha power (p-value  
525 = 0.02, *fdr*-corrected;  $r^2 = 0.056$ ) observed in the right inferior occipital gyrus, see Figure 3B.  
526 To investigate whether differential alpha power modulation was observed in other brain  
527 regions in trials where attention was divided between modalities in comparison to those  
528 where attention was focused on one modality only, the AMI between the 100% (*cond 1* in  
529 Equation 1) and 60% (*cond 2* in Equation 1) attention conditions was computed. This  
530 contrast revealed a peak source location in the right parietal cortex, showing higher alpha  
531 power in the 100% than 60% attention conditions (Figure 3C, denoted by red colour). AAL  
532 analysis confirmed this result, showing significant quadratic modulations of alpha power in  
533 two superior parietal regions: right post-central lobule (p-value =  $4 \times 10^{-5}$ , *fdr*-corrected;  $r^2 =$   
534 0.12) and right precuneus (p-value = 0.01, *fdr*-corrected;  $r^2 = 0.068$ ). Visual inspection of the  
535 alpha power across conditions showed that significantly lower alpha power was induced in  
536 these regions when attention was divided between modalities than when subjects paid  
537 attention to only one modality (Figure 3D; left). No significant linear modulations were seen  
538 in these regions. To rule out that the significant quadratic modulations over these two AAL  
539 regions were purely a result of the higher complexity of quadratic models compared with  
540 linear models, we directly compared whether a linear or a quadratic model better explained  
541 the data, using the Akaike Information Criterion (AIC; Akaike, 1974). For both regions, the  
542 quadratic model minimized the AIC compared with a constant or linear model. Furthermore,  
543 in the right post-central lobule, the quadratic model was significantly better than a constant  
544 model (corrected p=0.022) but failed significance for the right precuneus (corrected  
545 p=0.155).

546



548  
549 **Figure 3: Source analysis results of Experiment 1.** Panel A shows source analysis results for Experiment 1 when  
550 contrasting the conditions 100% visual (0% somatosensory) with 100% somatosensory (0% visual) attention;  
551 AMI map of the responses overlaid on the MNI brain (blue denotes regions where alpha power decreased with  
552 increasing visual attention). **B:** Shows AAL region where significant linear modulation across conditions was  
553 observed. The region identified was the inferior occipital gyrus (marked in pink,  $p=0.02$ , *fdr*-corrected). The  
554 modulation in this region is plotted in the bar graph (average normalised alpha responses across subjects)  
555 along with the line of best fit (pink line). **Panel C:** shows the AMI map obtained when contrasting trials where  
556 subjects attended to only one modality (i.e. 100/0% condition) with those where attention was divided (i.e.  
557 60/40% condition) overlaid on the MNI brain (red/yellow denotes regions where alpha power increases when  
558 attention is paid to a single modality compared with divided attention). The largest AMI effect to this contrast  
559 was in the right parietal area where an increase in alpha power is seen during 100%/0% attention conditions  
560 compared with 60%/40% conditions. **D:** Shows AAL regions where significant quadratic modulation across  
561 conditions was observed. Both regions identified were in the parietal cortex (postcentral lobule ( $p$ -value = 0.003  
562 (*fdr*-corrected)) and precuneus ( $p$ -value = 0.01 (*fdr*-corrected))). Interrogation of the alpha power responses in  
563 these regions, shown by the bar graphs (right panel of D), revealed a “u”-shaped across attention conditions in  
564 both regions. Error bars on all bar graphs denote the SEM across subjects for the normalised alpha responses.

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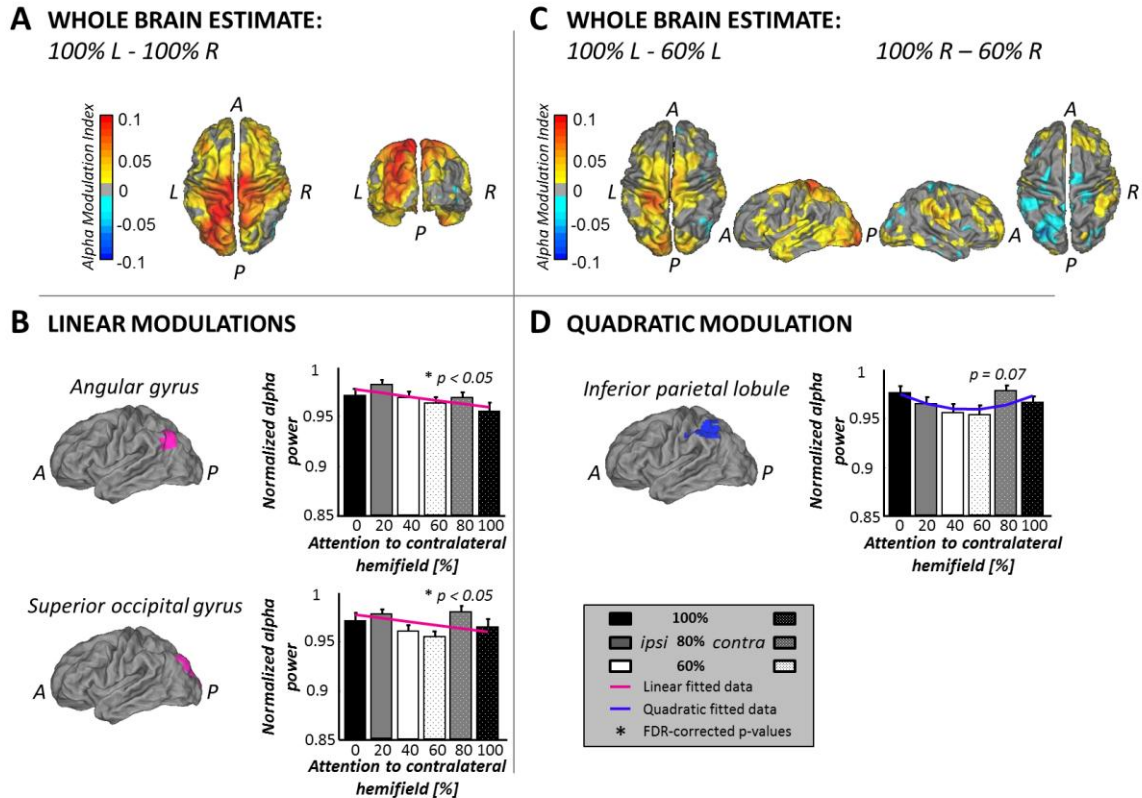
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567 **Experiment 2:**

568 In this second experiment AMI analysis in source space (Equation 1), identified a maximum  
569 in left and a minimum in right visual cortices when contrasting trials where subjects  
570 attended 100% to the left (*cond 1* in Equation 1) with trials where subjects attended 100%  
571 to the right (*cond 2* in Equation 1) side of the visual field (Figure 4A). Alpha power at the

572 peak in the left visual cortex increased with increasing attention to the left visual hemifield,  
573 whereas the response in the right visual cortex showed a decrease in alpha power. For  
574 increasing attention to the right visual hemifield, the opposite was observed. Hence, a  
575 decrease in alpha power could be observed over visual areas contralateral to the focus of  
576 attention whereas an increase in alpha power was present over visual areas of the  
577 hemisphere ipsilateral to attention. These responses were combined by inverting the  
578 responses across conditions measured from right hemisphere and then averaging with  
579 those measured from left hemisphere. The results of this analysis are shown in the bar plot  
580 in Figure 4A, and suggest a linear modulation of alpha power by attention. The fitting  
581 analysis in AAL regions confirmed this observation, showing that with increasing attention,  
582 alpha power decreased linearly over visual areas of the hemisphere contralateral to the  
583 focus of attention: significant linear fits were found in the angular gyri ( $p= 0.03$ , fdr-  
584 corrected;  $r^2 = 0.052$ ) and superior occipital gyri ( $p= 0.03$ , fdr-corrected;  $r^2 = 0.047$ ), as  
585 shown in Figure 4B.

586 The AMI maps comparing the conditions 100% attention (*cond 1* in Equation 1) and 60%  
587 attention (*cond 2* in Equation 1) revealed maxima in the parietal cortex in the hemisphere  
588 ipsilateral to where visual attention was directed (Figure 4C, AMI maps), indicating higher  
589 alpha power in the 100% attention conditions than the 60% attention conditions.  
590 Interrogation of these responses over all conditions showed a quadratic (“u”-shaped) alpha  
591 power modulation pattern, as shown in the bar plot in Figure 4C. Further interrogation using  
592 the AAL analysis showed that a trend ( $p=0.07$ , FDR-corrected;  $r^2 = 0.039$ ) for a quadratic fit  
593 was observed over the parietal region inferior parietal lobule (IPL). Visual inspection of the  
594 alpha power across conditions for this AAL region, revealed that the quadratic fit was a “u”-  
595 shape (Fig. 4D), as seen in the peak analysis (Fig. 4C) and similar to that seen for the multi-  
596 modal paradigm show in Figure 3C&D.



597  
 598 **Figure 4: Source analysis results of Experiment 2.** (A) shows the AMI map when contrasting conditions 100%  
 599 attention left vs. 100% attention right (left side of the panel) revealing an increase (red/yellow colour) in alpha  
 600 power over left visual and parietal areas for the 100% attention left condition compared with the 100%  
 601 attention right condition (the contrast 100%R-100%L would just be the inverse of this AMI map). (B) shows the  
 602 results of the AAL analysis revealing the angular gyrus ( $p=0.03$ , FDR-corrected) and the superior occipital gyrus  
 603 ( $p=0.03$ , FDR-corrected) as the regions with a significant linear modulation of alpha power across the attention  
 604 conditions (regions shown in pink on the MNI brain). Bar plots show the alpha power over all conditions, again  
 605 combined for the right and left hemisphere, the line of best fit is shown in light blue. (C) shows the AMI map  
 606 when contrasting the attention conditions where participants attended to only one side of the visual field  
 607 (100% L/R) with those when they divided their attention between left and right hemifields (60% L/R) overlaid  
 608 on an MNI brain. The left images show the responses to attention modulation to the left visual field, whilst the  
 609 brain maps on the right show the same modulations with attention to the right visual field. The AMI maps  
 610 show increase over ipsilateral parietal and visual areas to that side where attention is paid when attention is  
 611 directed fully to that spatial location (100% condition) compared with divided between locations (60%  
 612 condition). (D) shows the results of the AAL analysis with a trend of a quadratic modulation over the inferior  
 613 parietal lobule ( $p=0.07$ , FDR-corrected). The bar plot shows the alpha power over all conditions, again  
 614 combined for the right and left hemisphere, the line of best fit is shown in dark blue. Error bars on all bar  
 615 graphs denote the SEM across subjects for the normalised alpha responses.

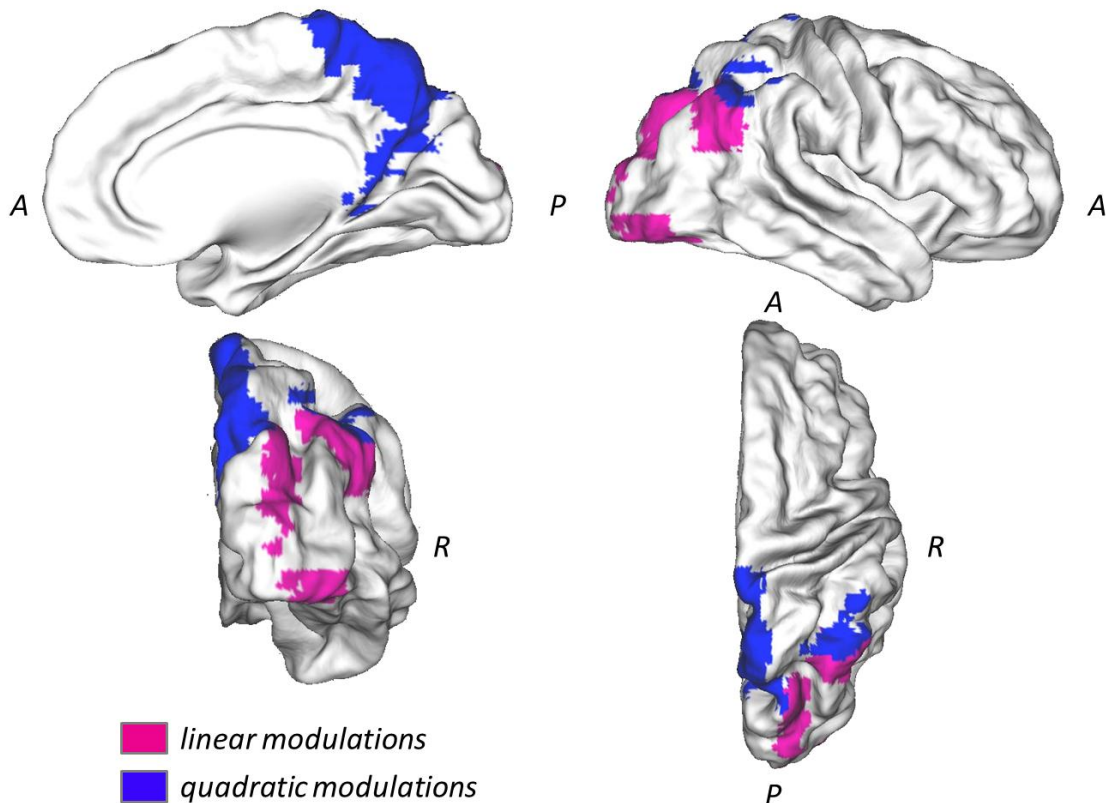
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## 619 DISCUSSION

620 Numerous EEG/MEG studies showed that posterior alpha power is modulated by attention.  
 621 However, it is unclear whether these alpha power modulations reflect one or several  
 622 attentional mechanisms. Here we show using EEG source analysis (LCMV beamformer) that



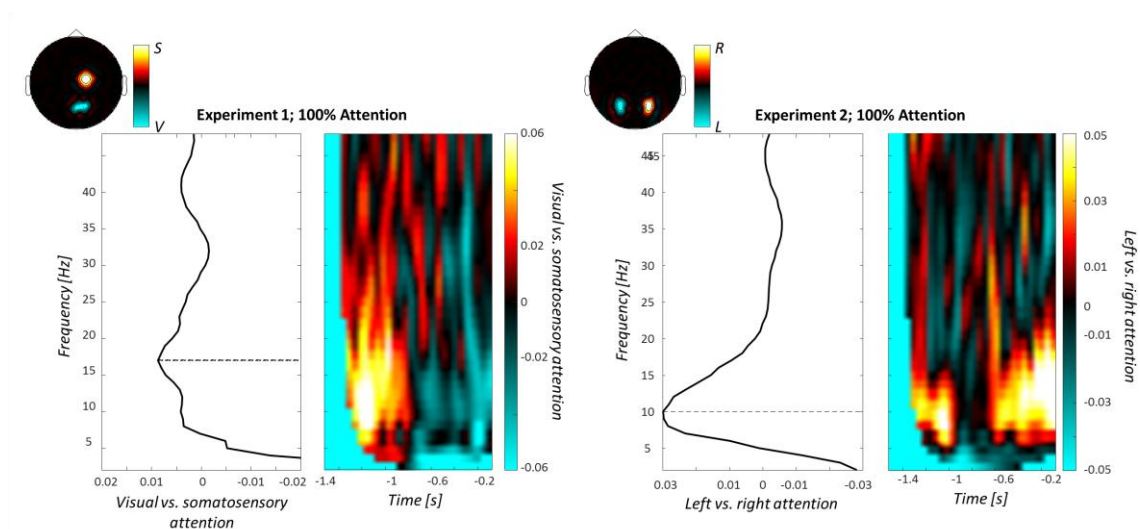
623 in uni- and multimodal attention tasks, alpha power is differently modulated by attention in  
 624 occipital and parietal areas (Figure 5).  
 625 We found two alpha sources, visual and parietal, which can be separated spatially and  
 626 experimentally. These sources are both modulated by attention, but play different  
 627 functional roles depending on behavioural demands. The visual alpha source showed linear  
 628 power decreases with increasing attention to visual stimuli or a given location, thus  
 629 indicating the location of attention, i.e. the visual Spotlight of Attention (Posner et al., 1980;  
 630 Crick, 1984; Eriksen and Yeh, 1985). In contrast, the parietal alpha source was quadratically  
 631 modulated by attention showing lower alpha power when attention was divided, between  
 632 modalities or spatial locations, rather than focused on either. Thus we suggest the parietal  
 633 alpha source likely indicates attentional effort. Regions showing significant linear (pink) and  
 634 quadratic (blue) alpha power modulations observed in Experiment 1 and 2 are summarized  
 635 in Figure 5.  
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637  
 638 **Figure 5: Summarizing Alpha power modulation effects with attention.** To simplify, all effects are shown on  
 639 the right hemisphere. AAL regions showing significant linear (pink) and significant /trend quadratic (dark blue)  
 640 modulations of alpha power. A, P, and R stand for anterior, posterior, and right, respectively.  
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On the behavioural level, we have replicated previous findings (Gould et al., 2011) and show a robust effect of attention, modulating significantly accuracy and reaction times in both experiments where higher accuracy and lower reaction times are present when attention is focused on one modality (Experiment 1) or spatial location (Experiment 2). Surprisingly, we did not find an alpha power lateralization effect over somatosensory regions, when comparing attention to visual and somatosensory targets in Experiment 1. We thus investigated the data using a broad frequency spectrum (1-48Hz) and found that the power lateralization between visual and somatosensory recording sites seems to depend more on beta (~16-17Hz) than alpha oscillations. Whilst the data of the purely visual task of Experiment 2 shows a prominent peak in the alpha frequency band (~10Hz; cf. Figure 6, right panel), Experiment 1 shows a more broad effect, with a peak frequency in the beta band (cf. Figure 6, left panel). We think that this could be the reason why we did not find any linear attention modulation effects on alpha power over somatosensory areas. While this finding is interesting, the focus of this study was the role of alpha oscillations in different types of attention. Further analyses of this beta band effect are therefore subject to future re-investigation of this dataset.



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**Figure 6: Power lateralisation effect in multi- and unimodal attention paradigm.** Broad frequency analysis of power lateralisation effect revealed a peak in the beta frequency band (~16-17Hz) for Experiment 1 (left panel) and a prominent peak in the alpha band (~10Hz) for Experiment 2 (right panel). Power lateralisation was computed over four neighbouring somatosensory and visual electrodes (Experiment 1; highlighted in topography plot on the left as S (somatosensory) and V (visual)) as well as over four neighbouring left and right visual electrodes (Experiment 2; highlighted in topography plot on the right as R (right) and L (left)).

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*Functional significance*

672 The two tasks used in this study show similar clustering of alpha activity in visual and  
673 parietal areas (Fig 5). While quadratic modulations over parietal areas that were observed in  
674 the purely visual task (Experiment 2) only showed a trend towards significance, this still  
675 suggests that the two alpha sources are a general phenomenon of attention rather than  
676 specific to the experimental task. If our assumption is true, the imprecise region of interest  
677 that has previously been reported as *parieto-occipital* is actually composed of two distinct  
678 brain sources that act in different ways. We hypothesise that the sensory-specific source  
679 reflects “the visual spotlight of attention” and is controlled by top-down processes coming  
680 from a parietal alpha source which in turn is modulated by attentional effort towards the  
681 task. Participants reported that the purely visual task of Experiment 2 was easier to  
682 accomplish as the multimodal task in Experiment 1. This discrepancy could contribute to the  
683 weaker effect of quadratic modulations over parietal areas in Experiment 2.

684

685 Previous fMRI studies showed that both visual and parietal regions show an increased BOLD  
686 response in the hemisphere contralateral to the direction of visual spatial attention  
687 (Sylvester et al., 2007; Bressler et al., 2008; Lauritzen et al., 2009), reflecting increased  
688 cortical excitability. Using Granger Causality, Bressler et al. further showed that the FEF and  
689 IPS, both part of the dorsal attention network, were responsible for driving neural activity in  
690 early visual areas by top-down control (Bressler et al., 2008). Other studies obtained similar  
691 results (Ruff et al., 2008; Marshall et al., 2015; Popov et al., 2017). Since EEG alpha activity  
692 and the BOLD signal are widely reported to be negatively correlated (Goldman et al., 2002;  
693 Laufs et al., 2006; Scheeringa et al., 2011), an increase in BOLD signal over contralateral  
694 visual and parietal areas in a visual spatial attention task could be related to a decrease in  
695 alpha activity over the same regions, which would agree with the findings of this study.  
696 Capotosto et al. hypothesized that top-down control from frontal and parietal areas  
697 mediates the occipital alpha rhythm and therewith the level of inhibition (Capotosto et al.,  
698 2009). Using rTMS to inhibit the previously identified regions FEF and IPS (Bressler et al.,  
699 2008), Capotosto et al. showed increased reaction times and decreased accuracy for target  
700 detection. Furthermore, they demonstrated that this inhibition abolished the pre-stimulus

701 alpha-desynchronization which can be typically observed over parietal and occipital  
702 electrodes contralateral to attention and concluded that this disruption in top-down control  
703 of the visual alpha rhythm led to a decrease in visual identification (Capotosto et al., 2009).  
704 However they were not able to identify what neuronal rhythms from IPS and FEF were  
705 causing this top down control of the occipito-parietal alpha rhythm from their experiment.

706

707 Previous studies support the idea for spatially distinct visual and parietal alpha sources  
708 mediating attention and visual perception. Van Dijk et al. (2008) showed that low pre-  
709 stimulus parietal alpha power was advantageous for visual discrimination (van Dijk et al.,  
710 2008). They concluded that this parietal alpha source regulates alpha power in low-level  
711 visual areas via top-down control. With our results we could re-interpret their findings and  
712 conclude that the parietal alpha power modulation rather reflects the attentional state than  
713 the level of inhibition of the occipital cortex. Thus, low parietal alpha power would indicate  
714 a state where the subject is engaged in the task, leading to the recruitment of top-down  
715 attention and an increase in performance in discriminating grating orientations or temporal  
716 and spatial frequencies. Another example is a recently published EEG study (Gulbinaite et  
717 al., 2017) on the triple-flash illusion, where a third visual flash is perceived upon  
718 presentation of only two stimuli. The illusion comes about when presenting the second  
719 stimulus after a specific interval; the authors could show that this interval and the illusory  
720 percept correlated with the individual alpha frequency at parietal but not occipital sources.  
721 In line with these findings, a recent intracranial EEG study shed more light onto these  
722 distinct alpha sources and their directionality, supporting the view of a top-down control of  
723 occipital alpha by parietal areas (Halgren et al., 2017). The authors recorded resting state  
724 data on epilepsy patients and found evidence for alpha generators in the parietal cortex.  
725 They further showed that alpha acts like a traveling wave, propagating in space from  
726 parietal to occipital brain regions (Halgren et al., 2017). Albeit the important evidence for  
727 the existence of two spatially distinct alpha sources, none of the above presented studies  
728 could experimentally dissociate them into occipital and parietal sources.

729

730 Crucially we extend these previous studies by showing that there are two distinct alpha  
731 sources which are modulated differentially by attention in two different sets of experiments  
732 and are thus likely to have different functional roles. This data adds to a growing body of

733 evidence that there are multiple alpha sources present during a cognitive task with distinct  
734 roles (Nunez et al., 2001). Alpha oscillations have gained much interest in neuroscientific  
735 research and their image has changed from reflecting a passive idling state (Pfurtscheller et  
736 al., 1996) to actively regulating inhibition in the service of cognition (Klimesch et al., 2007;  
737 Palva and Palva, 2007; Jensen and Mazaheri, 2010; Mathewson et al., 2011). Given the  
738 ubiquity of alpha oscillations in the human brain, it makes sense to assume that the role  
739 played by alpha is a very general one like gating neural activity. If this assumption is true,  
740 then we should be able to dissociate different alpha oscillations in a complex cognitive task  
741 that recruits a number of cortical assemblies controlled by alpha. Our data represents such  
742 evidence where we spatially and experimentally dissociate an occipital/ventral parietal from  
743 a more superior parietal alpha source in two experiments requiring a complex interaction  
744 between top-down and bottom-up attention processes. Previous studies focused on the  
745 role of alpha in gating low level sensory information (Jensen et al., 2012). We add to this  
746 literature by showing that alpha not only indicates the locus of attention, but also the  
747 recruitment of higher order areas, which arguably control the shift of attention to lower-  
748 order, primary sensory locations/modalities. Our results open up the avenue for future non-  
749 invasive human EEG studies to investigate how alpha oscillations in these two regions  
750 coordinate their activity to implement attentional shifts, which so far has mostly been  
751 addressed by invasive animal recordings (von Stein et al., 2000; Buffalo et al., 2011; van  
752 Kerkoerle et al., 2014).

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754 EEG source localization relies on whether the assumptions of its algorithm are met by the  
755 data. Our EEG results are corroborated by a separate, high resolution fMRI study conducted  
756 by our group at ultra-high field (7T) on a sub-sample of the same subjects (7/10 participants  
757 also performed Experiment 1), using the same multi-modal task as in Experiment 1. This  
758 study (Aquino et al., 2018) also reveals two fMRI sources modulated by attention: i)  
759 quadratic BOLD-response modulations over parietal areas when contrasting attentional  
760 effort (100 vs. 60%) and ii) linear modulations over visual areas when contrasting the  
761 location of attention (100 vs. 100%). Due to the superior spatial resolution of fMRI, these  
762 results strongly suggest that the two alpha band sources measured with EEG are indeed  
763 distinct sources which need to be considered separately.

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*Conclusions*

We show that two spatially distinct alpha sources execute different roles in uni- and multi-modal attention: i) a parietal source, modulated by attentional effort showed significantly lower alpha power when subjects divided their attention which potentially exerts top-down control on alpha oscillations over lower-level visual areas, ii) a visual alpha source that reflects the current spotlight of visual attention showing a significant linear power decrease with increasing attention to visual stimuli, possibly driven by top-down control from parietal alpha sources. Given that such a top-down control has been shown to exist (i.e. Bressler et al., 2008; Ruff et al., 2008; Capotosto et al., 2009), we hypothesize a similar top-down regulation from parietal towards visual areas, however, further exploration is needed to confirm this hypothesis.

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