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In Planta Production of Flock House Virus Transencapsidated RNA and Its Potential Use as a Vaccine

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Recommended Citation

Zhou, Y., Maharaj, P., Mallajosyula, J., McCormick, A., & Kearney, C. (2015). In planta production of flock house virus transencapsidated RNA and its potential use as a vaccine. *Molecular Biotechnology*, 57(4), 325-336.

1 *In planta* production of *Flock House virus* trans-encapsidated RNA and its
2 potential use as a vaccine

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13 Running Head: *In planta* transencapsidated Flock House virus nanoparticle

14

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16

17 Key words: Nanoparticle; Vaccine; Flock house virus; Tobacco mosaic virus; Plant

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21 Abstract: 170 words

22 Text (excluding references and figure legends): 4609

23

24 **Abstract:**

25 We have developed a transencapsidated vaccine delivery system based on
26 the insect virus, *Flock House virus* (FHV). FHV is attractive due to its small
27 genome size, simple organization, and non-pathogenic characteristics. With
28 the insertion of a *Tobacco mosaic virus* (TMV) origin of assembly (Oa), the
29 independently replicating FHV RNA1 can be transencapsidated by TMV coat
30 protein. In this study we demonstrated that the Oa adapted FHV RNA1
31 transencapsidation process can take place *in planta*, by using a bipartite plant
32 expression vector system, where TMV coat protein is expressed by another
33 plant virus vector, *Foxtail mosaic virus* (FoMV). Dual infection in the same cell
34 by both FHV and FoMV was observed. Though an apparent classical coat-
35 protein-mediated resistance repressed FHV expression, this was overcome
36 by delaying inoculation of the TMV coat protein vector by three days after
37 FHV vector inoculation. Expression of transgene marker in animals by these
38 *in vivo* generated transencapsidated nanoparticles was confirmed by mouse
39 vaccination, which also showed an improved vaccine response compared to
40 similar *in vitro* produced vaccines.

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46 **Introduction**

47 Virus-based nanoparticles have been extensively explored as a vaccine
48 delivery strategy due to their typically higher immunogenicity compared with
49 unassembled vaccine antigens (1, 2), their potential to serve as their own
50 adjuvant (1-3), and their greater safety and potentially relatively lower cost of
51 protection compared to traditional vaccines(4). Virus-like particles (VLPs)
52 display vaccine antigen on their surface and can be produced by the self-
53 assembly of viral coat protein subunits expressed in a heterologous host,
54 such as bacteria (5) or plants (6), or in mammalian cells (7). An alternative to
55 VLPs is to use viral coat protein to encapsidate the RNA of another virus, with
56 the RNA expressing the vaccine antigen once delivered to the target cell. In
57 this way, the viral RNA can be packaged in an especially resistant
58 nanoparticle similar to a VLP. The potential advantage of this strategy over
59 VLPs is the activation of innate immunity by viral replication (8-10).

60

61 Among numerous trials using viral nanoparticles for antigen delivery, *Tobacco*
62 *mosaic virus* (TMV) nanoparticles seem to hold special promise. TMV virions
63 are characterized by great stability and low cost production (11), and a recent
64 study suggests that the human population has already been extensively
65 exposed to TMV coat antigen through exposure to food and tobacco sources
66 (12). Furthermore, extensive data show that pre-existing immunity to TMV
67 coat does not disrupt boosting of either cytotoxic T lymphocyte (CTL, (13, 14)

68 or antibody target antigens (15, 16). Lastly, TMV virions are extremely stable,
69 remaining infective for over a century at room temperature (17). TMV exhibits
70 robust expression in plants at up to 5-10% dry weight, and is easy to purify at
71 the commercial scale (11).

72

73 Consequently, TMV nanoparticles have been explored as a VLP epitope
74 platform. The highly uniform repeated organization of 2130 copies of coat
75 protein subunits and the associated strong cross-linking pattern provide
76 greatly improved efficacy to deliver antigens to antigen presenting cells.

77 Various studies have validated that TMV-antigen conjugation can induce B
78 cell activation and raised antibody titers (15, 18, 19), even when the
79 conjugates are poorly immunogenic, such as carbohydrates (20).

80 Furthermore, TMV uptake by dendritic cells is rapid and efficient (14, 18), and
81 peptide-presenting TMV nanoparticles were proven to be able to elicit T cell
82 responses with augmented interferon gamma (IFN γ) levels (14). We have
83 also previously successfully tested ovalbumin-conjugated TMV vaccines, as
84 well as a bivalent TMV vaccine displaying both mouse melanoma-associated
85 CTL epitopes p15e and tyrosinase-related protein 2 (Trp2) peptides (13).

86 Immunization resulted in a significantly improved survival after lethal tumor
87 challenge. A recent study also demonstrated TMV's great potential to be used
88 in stand-alone or prime-boost dendritic cell activation strategies (18).

89

90 In addition to utilizing TMV as a VLP to present surface epitopes,
91 development has also proceeded with TMV coat protein encapsidated RNA
92 vaccines. In previous experiments, we have produced and tested Semliki
93 Forest virus (SFV) RNA encapsidated with TMV coat protein *in vitro*.
94 Attenuated SFV was modified by insertion of a TMV origin of assembly to
95 produce, *in vitro*, rod shaped virus particles that resembled TMV (21) by
96 mixing SFV-Oa RNA with purified TMV coat protein. Vaccination with SFV-Oa
97 encoding the model antigen beta-galactosidase (bGal) resulted in boosted
98 antibody responses to bGal protein, demonstrating that TMV encapsidated
99 RNA was translated and was antigenic in the absence of adjuvant, and,
100 further, that the presence of the TMV Oa did not disrupt SFV replication
101 functions. However, as a common phenomenon of pathogenic RNA virus
102 vaccines (22), SFV-Oa RNA induced apoptosis in infected cells, which may
103 limit duration of antigen exposure and reduce immune activation to transgene
104 encoded antigens.

105

106 To improve on our previous results with SFV, we applied TMV encapsidation
107 to the RNA of the nonpathogenic insect virus, *Flock House virus* (FHV), which
108 is capable of replicating in human cells. The advantages of FHV include a
109 bipartite genome, where the polymerase is encoded by the independently
110 replicating RNA 1 and the structural capsid gene is encoded by RNA 2,
111 allowing for easy manipulation of the RNA1 genome for vaccine development

112 and the separation of replication from packaging. We have already tested *in*
113 *vitro* assembled TMV-FHV particles and have shown that TMV Oa did not
114 disrupt FHV viral replication, using an enhanced green fluorescent protein
115 (eGFP) transgene to monitor replication and expression in mammalian cells
116 (23). However, the limitations of *in vitro* encapsidation remain with this
117 system; namely the cost of RNA synthesis and potentially reduced translation
118 due to inefficient *in vitro* 5' capping.

119

120 To overcome these limitations, we explored an *in planta* strategy for
121 producing viral RNA *in vivo*. For the present study, we hypothesized that FHV
122 RNA, which replicates well in mammalian (24) and plant cells (25) but is not a
123 pathogen of either, could be encapsidated *in planta* if sufficient TMV coat
124 protein were provided *in trans*. We further predicted that *in planta* produced
125 nanoparticles would be able to express transgene after animal vaccination,
126 and will be comparatively more immunogenic than *in vitro* nanoparticles due
127 to natural 5' capping. As described in the following report, we used a 35S
128 promoter to express FHV-eGFP-Oa RNA and the plant viral vector Foxtail
129 mosaic virus (FoMV) to express TMV coat protein in quantities sufficient for
130 encapsidation of FHV RNA in agroinoculated *Nicotiana benthamiana* plants.
131 Replication of functional FHV-eGFP-Oa was observed as an unusually strong
132 eGFP fluorescence, and near wild type levels of TMV-coat protein were
133 produced by co-delivered FoMV vector. We observed virion particles of the

134 typical TMV morphology as a final product. When these nanoparticles were
135 used to vaccinate mice, the expression of eGFP transgene was confirmed by
136 an anti-eGFP immune response greater than that observed for *in vitro*
137 encapsidated control particles. This is the first report of *in planta*
138 transencapsidated nanoparticles and represents the first step towards
139 producing a commercially viable vaccine of this type.

140

141 **MATERIALS AND METHODS**

142 **Construction of T7/FHV-C2-GFP vector and expression in mammalian**
143 **cells.** The plasmid containing the FHV RNA1 expression cassette was kindly
144 provided by Dr. A. Ball. It is a T7 promoter-driven plasmid containing the
145 RNA1 portion of the FHV genome and was previously described (26). A
146 polylinker, CTCGAGGCGATCGCCTGCAG, encompassing the 3 restriction
147 sites XhoI, AsiSI and PstI, was cloned into one of four insertion sites: C1, nt.
148 3034; C2, nt. 3037; C3, nt. 2731; and C4, nt. 3055, and confirmed by direct
149 sequencing. Enhanced green fluorescent protein (eGFP) ORF was then
150 cloned into these sites via XhoI and PstI to create T7/FHV-C-(1-4)-GFP
151 constructs (Fig. 1A). To confirm stability of the eGFP modified FHV viral RNA,
152 full-length RNA transcripts were generated from the T7/FHV-C-GFP DNA *in*
153 *vitro* via a T7 promoter kit (mMESSAGE mMACHINETM, Ambion, TX). 2 µg
154 RNA was used to transfect BHK-21 cells with DMRIE-C (Invitrogen, Carlsbad,
155 CA). Transfected cells were incubated at 37°C for 4 hours, after which fresh

156 growth media was used to replace transfection media. Cells were then placed
157 at 28°C for 24 hours. Expression of fluorescence was confirmed using a
158 Nikon Eclipse TS100 microscope and NIS-elements imaging software. Cells
159 were observed for 2 days post-transfection.

160

161 ***In planta* expression vectors.** In order to express FHV in plants, full-length
162 FHV viral vector sequence was transferred from T7/FHV-C2-GFP (Fig. 1A)
163 and placed between the *Stu*I/*Xba*I sites of the plant binary vector JL22 (27) to
164 create 35S/FHV-C2 (Fig. 2A). To allow *Oa* insertion, additional restriction
165 sites were introduced on either side of the eGFP ORF by amplifying the eGFP
166 ORF with an upstream primer containing *Xho*I/*Asc*I and a downstream primer
167 containing *Avr*II/*Pst*I and then reinserting this product into 35S/FHV-C2
168 between the *Xho*I and *Pst*I sites. TMV *Oa* (95 bp: TMV nts. 5432-5527; (28)
169 was inserted upstream or downstream of the eGFP ORF to create
170 35S/FHVC2-o1 and -o2, respectively (Fig. 2A). PCR with a primer containing
171 a mutated eGFP ORF stop codon was used to create 35S/FHVC2-o3.

172

173 Several modifications were made to improve eGFP expression. T7/FHV-C4-
174 2sg was created to maintain B2 expression, by duplicating the 3' end of FHV
175 RNA1 (nt. 2518-3055) and inserting it after the eGFP open reading frame in
176 T7/FHV-C4-GFP. 35S/FHV-C4-2sg (Fig. 2B) was generated by transferring
177 the viral sequence into JL22 (27), as outlined above. To express both FHV B2

178 and eGFP separately, a 498 bp DNA segment was synthesized (gBlock, IDT,
179 Coralville, IA) and inserted between the XhoI and PstI sites in 35S/FHV-C4.
180 This segment contained a stop codon in the B2 ORF, 10 bp of the FHV 3'
181 UTR for any potential required context for B2 ORF expression, the 95 bp TMV
182 Oa, a repeat of the presumed B2 subgenomic promoter (FHV 2480-2809,
183 including 69 bp past the B2 start) to drive eGFP expression, and a start codon
184 and insertion sites for eGFP. To recreate a more FHV authentic 3' region
185 following the eGFP ORF, the final 24 bases of B2 ORF was added
186 downstream of the eGFP stop codon, to yield the final construct:
187 35S/FHV2sg2 (Fig. 2B). All recombinant DNA methods and suppliers for the
188 plant constructs were as previously described (29).

189

190 **Agroinoculation and visualization.** *Nicotiana benthamiana* plants were
191 grown and agroinoculated as previously described (29). Excised eGFP-
192 fluorescent leaves were visualized using a blue light Dark Reader (Clare
193 Chemical, Dolores, CO, USA). The defective interfering construct
194 DI638/wtGFP (30) was a gift from A. Rao (UC Riverside) and those
195 inoculations were visualized with a hand held UVL-56 lamp (UVProducts,
196 Upland, CA, USA).

197

198 Relative fluorescence resulted by different FHV constructs was measure by
199 grinding inoculated leaf tissue in 1X Phosphate Buffered Saline (PBS). The

200 collected supernatant was assessed on a microplate reader (Thermo
201 Fluoroskan Ascent FL), with black 96 well plate (COSTAR 3925, Corning Inc.
202 NY). Filter set of 485nm (excitation) and 538nm (emission) was used in order
203 to detect eGFP fluorescence.

204

205 **Plant protoplasts.** Protoplasts were prepared from *N. benthamiana* leaves 4
206 days post-inoculation. Leaves were sliced into 2 mm strips and vacuum
207 infiltrated with MMC buffer (13% mannitol, 5 mM MES, 10 mM CaCl₂, pH 5.8)
208 containing 1% Onozuka cellulase RS and 0.5% Macerace (both from
209 Phytotechnology Labs, Shawnee Mission, KS, USA) and gently rocked
210 overnight. Protoplasts were mounted in MMC on a glass slide. Images were
211 obtained as previously described (29).

212

213 **Plant produced nanoparticles.** To purify nanoparticles, agroinoculated *N.*
214 *benthamiana* leaves, 4-7 days p.i., were ground in a mortar in extraction
215 buffer (50 mM sodium acetate, 0.86 M NaCl (5% w/v), 0.04% sodium
216 metabisulfite, pH 5.0). Crude homogenate was filtered through cheesecloth
217 and 8% (v/v) n-butanol was added, and then incubated at room temperature
218 for 15 min, and then centrifuged at 10,000 x g for 15 min. The supernatant
219 was decanted through cheesecloth, and nanoparticles were precipitated with
220 PEG 8000 (EMD Millipore, USA) at 4% on ice for 1 hr, followed by
221 centrifugation at 10,000 x g for 10 min. The pellet was resuspended in a

222 minimum of 10 mM phosphate buffer (pH 7.2) and then centrifuged at 16,000
223 x g for 10 min. The supernatant was collected and nanoparticles were purified
224 with an additional round of PEG precipitation. The final nanoparticle pellet
225 was suspended in 10mM phosphate buffer (pH 7.2) and stored at -20°C.
226 Protein concentration was determined by bicinchoninic acid (BCA) assay
227 (Pierce Biotechnology, Rockford, IL, USA).

228

229 Transmission electron microscopy was used to visualize purified
230 nanoparticles on a JEOL JSM 1010 microscope. A 3 µl drop of nanoparticles
231 was adsorbed onto 300 mesh formvar coated grids (Electron Microscopy
232 Sciences, PA, USA) for 1 minute, drawn off, and stained with 1%
233 phosphotungstic acid (pH 7). Image was taken by XR16 TEM camera
234 (Advanced Microscopy Techniques, MA, USA), and with AMT Image Capture
235 Engine V602 (Advanced Microscopy Techniques, MA, USA), at 30,000X to
236 40,000X magnification.

237

238 ***In vitro* nanoparticle assembly and vaccine preparation.** SFV-eGFP or
239 FHV-eGFP RNA was transcribed from T7 plasmids using a capped RNA
240 synthesis kit (MmessageMachine; Ambion), quantitated by absorbance, and
241 checked for integrity by gel electrophoresis. 50 µg of RNA was then incubated
242 with 1.4 mg of TMV coat protein, prepared by a modified protocol as
243 previously described (21). Briefly, encapsidations were carried out using

244 overnight incubation in a 0.05 M phosphate buffer (pH7) at room temperature.
245 Particles were recovered by PEG precipitation and quantitated by BCA assay
246 (BioRad, CA).

247

248 **Vaccination and immune response evaluation in mice.** BALB/c mice
249 (Charles River, CA) were housed at Touro University according to guidelines
250 established in the Care and Use of Animals, and performed according to
251 IACUC approved protocols. Typically, 3 mice were given a 100 – 200 μ l
252 subcutaneous (s.c.) injection of 15 or 30 μ g encapsidated product, or 15 μ g
253 eGFP protein as a positive control (Vector Labs), or PBS as a negative
254 control. Vaccines were typically administered at two-week intervals and tail
255 vein bleeds were taken at 10 days after vaccines 2 and 3 for enzyme linked
256 immunosorbent assay (ELISA) analysis.

257

258 The IgG immune response was determined by ELISA. 96-well microtiter
259 plates (MaxiSorp; Nalge Nunc) were coated with 5 μ g/ml eGFP protein
260 (Vector Labs) in 50 mM carbonate/bicarbonate buffer (pH 9.6). After blocking
261 with 2% bovine serum albumin (BSA) in PBS, serial dilutions of the sera were
262 added for one hour, the plates were washed and incubated for an additional
263 hour with anti-mouse IgG Horse Radish Peroxidase (HRP) conjugated
264 secondary antibody (Southern Biotech) in PBS+BSA. Plates were developed
265 using a tetramethyl benzidine substrate solution (TMB; BioFfx) and the

266 reactions were stopped by the addition of 1N sulfuric acid. Plate absorbance
267 was read at 450 nm in a 96-well plate spectrophotometer (Molecular
268 Devices). Relative anti-eGFP titers reported were determined from a standard
269 curve generated by a 3-fold serial dilution of a 100 ng/ml rabbit anti-eGFP
270 polyclonal antibody (Sigma) detected with an anti-Rabbit-HRP secondary.
271 Statistical analysis was carried out using Prism software (GraphPad), using
272 unpaired t-test with Welch's correction.

273

274 **RESULTS**

275 **FHV vector expression in mammalian cells.** FHV vectors were designed
276 and tested for the expression of eGFP in BHK-21 cells. A cassette containing
277 three restriction sites (XhoI, Asil and PstI) was placed at the FHV C2 site (31);
278 namely, immediately downstream of the polymerase/B1 stop codon, which is
279 also six codons upstream from, and in phase with, the B2 stop codon (Fig.
280 1A). This FHV-C2 construct thus expresses a B2-eGFP-B2 fusion, with 99
281 amino acids of B2 upstream of eGFP and 6 amino acids of B2 at the C
282 terminus. Insertion at a second eGFP ORF insertion site, the C4 site, would
283 produce the full B2 protein fused to the eGFP (Fig. 1A). eGFP expression
284 was observed in mammalian cells (Fig. 1B) within 24 hours post transfection.
285 Expression with both constructs peaked at 48 hours and was maintained until
286 72 hours, with approximately 15-20% transfection efficiency. Fluorescence

287 began to decrease after 72 hours and gradually diminished over time. The C4
288 insertion construct gave reduced fluorescence compared to C2 (Fig. 1B).

289

290 **Strong FHV/eGFP expression in *N. benthamiana* after p19 co-**

291 **agroinoculation.** The 35S/FHV-C2-GFP and 35S/FHV-C4-2sg constructs

292 were made by transferring viral sequences from the mammalian vectors into

293 plant binary vector pJL22 (27) between a cauliflower mosaic virus 35S

294 promoter and 35S terminator (Fig. 2). Leaves agroinoculated with these

295 constructs gave a weak fluorescence (Fig. 3), as did leaves inoculated with

296 the positive FHV/wtGFP control, F1DI, comprising FHV RNA1 and DI638, the

297 defective interfering RNA of FHV RNA2, carrying wtGFP (30). However, when

298 the silencing suppressor, p19 (32), was provided by co-agroinoculation, a

299 much stronger fluorescence was observed (Fig. 3) which was much stronger

300 than the F1DI + p19 control. Subsequently, p19 was included in all

301 inoculations.

302

303 To create a FHV vector competent for encapsidation by TMV CP, the TMV

304 Oa was inserted into 35S/FHV-C2-GFP at two different positions (35S/FHV-

305 C2-o1, o2), and adjacent to eGFP ORF. In order to test the influence of C

306 terminal TMV Oa fusion on eGFP expression, a third construct, 35S/FHV-C2-

307 o3, was designed with the introduction of a stop codon at the natural stop site

308 of eGFP, resulting in an eGFP fusion with B2 only at the N terminus. These

309 three Oa containing constructs (35S/FHV-C2-o1 to o3) were found to express
310 only slightly less eGFP in leaves than the non-Oa, 35S/FHV-C2 (Fig. 3 and
311 Fig. 4A). This was unexpected since the TMV Oa sequence was added close
312 to either the putative sub-genomic promoter or the FHV 3'UTR. Little
313 difference in fluorescence was observed between the three Oa containing
314 constructs.

315

316 To express the FHV silencing suppressor, B2 (Albariño et al., 2003), in
317 conjunction with eGFP, we made variants of 35S/FHV-C4-2sg. To prevent the
318 deletion of the eGFP ORF, we placed the eGFP ORF at the 3' terminus of the
319 virus, in contrast to the C4-2sg construct. Any homologous recombination
320 between the two homologous subgenomic regions would delete B2 and Oa,
321 but not eGFP, and deletion mutants would not be packaged as nanoparticles.
322 It was observed that the 35S/FHV-C2-GFP construct clearly resulted in a
323 brighter fluorescence than 35S/FHV-C4-2sg, which was further confirmed by
324 fluorometry analysis (Fig. 4A).

325

326 To explore the impact of improved B2 expression, a portion of the FHV 3'
327 UTR, which is normally downstream of the B2 ORF, was added to the internal
328 B2 ORF followed by the TMV Oa. The final construct 35S/FHV2sg2 was
329 created by adding 24 bp of C-terminal B2 sequence to aid eGFP expression
330 by providing more natural context at the 3' end of the ORF. We expected to

331 see stronger eGFP expression and/or FHV B2 expression that would
332 functionally replace p19. However, the FHV2sg2 vector did not significantly
333 improve eGFP fluorescence expression compared with the original C4-2sg
334 construct *in planta* (Fig. 3). Other constructs were built and tested, which
335 included the precedent construct of FHV2sg2 (data not included) and a vector
336 with the addition of strong Kozak context in pursuit of enhanced expression
337 (FHV2sg2KSS, supplementary Fig 1a). Neither resulted any improvement in
338 eGFP fluorescence (Fig 3). In all cases, p19 was still required via co-
339 agroinoculation for strong fluorescence. All subsequent experiments used the
340 35S/FHV-C2-o3 construct co-agroinoculated with p19.

341

342 **Co-expression of FHV and FECT in plants**

343 To encapsidate FHV vector RNA *in planta*, a ratio of 20:1 mass ratio of TMV
344 coat protein (CP) to RNA is required. The high expression *Foxtail mosaic*
345 *virus* vector, FECT (29), was used to produce TMV CP without being itself
346 encapsidated. FECT produced TMV CP at a level comparable to the TMV
347 vector JL24 (27), which expresses TMV CP as a native gene (Fig. 5).

348

349 We next examined the ability of FHV and FECT to co-infect cells, to ensure
350 there was no replication interference. In a co-infection test system, 35S/FHV-
351 C2 expressing eGFP and FECT expressing DsRed were co-agroinoculated
352 into several leaves, resulting in a yellow-green fluorescence under blue light

353 when viewed without magnification (Fig. 6A). In order to determine co-
354 infection of single cells, co-infected leaves were reduced to protoplasts and
355 the protoplasts were examined under a UV microscope. As seen in a
356 representative photo (Fig. 6B), about 75% of the eGFP positive cells are also
357 DsRed positive, but not vice versa. FECT strongly infects the great majority of
358 plant cells (29), as seen by the DsRed signals in Fig. 6B. FHV/eGFP is an
359 insect virus construct and infects a much smaller number of plant cells, but
360 those that are infected are mostly co-infected with FECT/DsRed,
361 demonstrating the high frequency at which double infection occurs with this
362 system, given the limitations of FHV infectivity itself.

363

364 **eGFP expression by FHV enhanced by delayed TMV CP expression**

365 Nanoparticles were produced by agro-inoculation with 35S/p19, 35S/ FHV-
366 C2-o3, and 35S/FECT-TMVCP. The average size of our FHV-C2-o3
367 nanoparticles is estimated to be ~200nm based on the length of the FHV RNA
368 genome (C2-o3; 4182 nts.), compared with wide type TMV (6395 nts.) that
369 generates a 300nm particle (Fig. 7).

370

371 In all experiments, the presence of TMV CP at the time of FHV early infection
372 (i.e., co-inoculation) led to reduced eGFP fluorescence. We hypothesized that
373 CP binding the Oa early in infection impeded the replicative or translational
374 events of FHV RNA. To test this, a “2-step” protocol was used in which the

375 FECT/TMV CP inoculation was delivered three days after the FHV/GFP/Oa
376 and p19 inoculations. The 2-step procedure consistently increased eGFP
377 expression (Fig. 4).

378

379 **Immune response to nanoparticles in mice**

380 In order to test the capacity of transencapsidated FHV RNA to express the
381 eGFP transgene in mice, *in planta* transencapsidated FHV RNA was used to
382 immunize BALB/c mice with *in vitro* transencapsidated FHV RNA or SFV RNA
383 as encapsidation controls. Two doses of 15 µg or 30 µg encapsidated RNA
384 (0.75 or 1.5 µg of RNA, respectively) were given by subcutaneous injection,
385 without adjuvant. eGFP protein (15 µg) was used as a positive control while
386 PBS buffer was used as a negative control. Sera collected from mice before
387 immunization and after a single dose were essentially negative for immune
388 responses for all groups (data not shown). Weak but detectable anti-eGFP
389 IgG responses were measured by ELISA after a second vaccination (pV2,
390 Fig. 8), but all groups were statistically similar to PBS, including eGFP protein
391 immunization. After a third immunization (pV3), all groups showed a strong
392 trend toward augmented immunity against eGFP, but in large part were not
393 significantly different than PBS, mainly due to high variance between
394 responders and small group size. However, the highest dose of *in planta*
395 encapsidated FHV (C2-o3, 30µg) and eGFP protein control had IgG titers
396 significantly higher than all *in vitro* encapsidated viral vector treatments. This

397 confirmed the successful expression of eGFP transgene by
398 transencapsidated FHV RNA, after uptake and presumed co-translational
399 disassembly of TMV coat protein. This is notable, in light of low replication
400 ability of FHV RdRp in animal cells at 37 degree (33).

401

402 **Discussion**

403 We have shown in this study that FHV can be encapsidated *in planta* with
404 TMV coat protein and the resulting nanoparticle vaccines had improved
405 characteristics compared to *in vitro* encapsidated FHV RNA. In previous
406 studies, we demonstrated that SFV could be encapsidated *in vitro* with TMV
407 coat protein (21). TMV coat protein produced *in vivo* had also been used to
408 assemble wild type TMV virions in *E. coli* (34) and mRNAs had been
409 encapsidated *in planta* to form TMV hybrid virions (35). As well, *Brome*
410 *mosaic virus* (BMV) RNA containing the TMV Oa was transencapsidated with
411 TMV CP in barley protoplasts (36) and Rao and colleagues produced non-
412 specific transencapsidated virions by coat protein of the similarly structured
413 BMV in studying encapsidation specificity (37). Though FHV virions use a
414 multitude of molecular cues in virion assembly, similar to other icosahedral
415 viruses (38), TMV and other tobamoviruses utilize a single Oa sequence to
416 initiate assembly, with the remainder of the encapsidated sequence
417 apparently without further molecular cues (39). Thus, any RNA containing the
418 TMV Oa should be able to be transencapsidated. It may be possible to extend

419 this technique to other viral species for viral-vectored nanoparticle vaccine
420 assembly *in planta*.

421

422 The individual components of the nanoparticles appeared to be produced at
423 high levels. The FECT viral vector produced TMV CP at the same level as the
424 native TMV vector, JL24(Fig 5). FHV vector levels, as measured by visually
425 assessed fluorescence of eGFP (Fig. 3), were greater in side by side studies
426 than the DI638 vector used in previous FHV work in *N. benthamiana* (37).
427 The coexpression of p19 silencing suppressor further boosted this eGFP
428 expression even with FHV vector constructs that had an intact B2 silencing
429 suppressor (Fig.2 and 3).

430

431 As a prerequisite for assembly, coexpression of both vectors in a single cell is
432 necessary. The FECT vector was shown to express in the majority of cells
433 harboring the FHV vector (Fig. 6). However, when FHV RNA and TMV CP
434 vectors were co-inoculated, we saw a significant decrease in fluorescence. A
435 supplementary experiment was performed in order to exclude the possibility
436 of FECT interfering FHV replication (suppl. Fig. 2). This inhibition
437 phenomenon is most likely mediated by classical coat protein resistance (40)
438 and was previously observed by the Ahlquist group working with BMV
439 transencapsidated by TMV CP. BMV RNAs 1 and 2 containing the TMV Oa
440 decreased in replication 20-fold when co-inoculated with BMV RNA 3

441 expressing TMV CP (36). This was theorized to be due to TMV CP binding to
442 the BMV RNAs and interfering with replication. We investigated this
443 hypothesis by separating the agroinoculation of FHV vector and TMV CP into
444 two steps, delaying the expression of TMV CP until FHV RNA replication was
445 sufficient to generate robust eGFP protein. The two-step plants consistently
446 showed higher expression of the viral eGFP transgene (Fig. 4), suggesting
447 RNA packaging by TMV CP reduced FHV RNA replication and/or translation.
448

449 Several modifications were made in an attempt to improve FHV vector
450 replication in plants. The addition of TMV Oa led to strong inhibition of BMV
451 RNA replication even in the absence of TMV CP in a previous study (36).
452 However, we observed only a slight decrease in eGFP production by the FHV
453 vectors carrying Oa. C2 constructs carrying Oa at two different sites (C2-o1
454 and C2-o2) did not differ significantly in eGFP fluorescence produced.
455 Recreating a native C-terminus for eGFP (C2-o3) also had no effect.

456 Constructs with unmodified B2 silencing suppressor ORFs (2sg2 series) were
457 less effective than the C2 series with the B2 ORF fused to eGFP. These were
458 longer constructs, but the shorter C4 construct was also less fluorescent in
459 mammalian cells than the C2 construct (Fig. 1B), suggesting the common C4
460 insertion site as detrimental. Ultimately, the inclusion of p19 as a co-inoculant
461 was the sole factor in achieving high eGFP expression in plants from the FHV
462 vectors, re-confirming the importance of mitigating RNA silencing *in planta*.

463

464 It is possible that the size of the duplicated subgenomic promoter in the 2sg2
465 series was insufficient since a longer FHV subgenomic promoter segment
466 was found more efficacious in a previous study (41). Beyond the core nts.
467 2518-2777, the region from nt. 2302-2518 may serve as an important
468 enhancer (42). Polarity preference was found on FHV (41) and other positive-
469 strand RNA viruses; specifically, that two pieces of sgRNA were replicated at
470 different levels, with the longer one (closer to replicase) being dominant. This
471 may explain why we see more eGFP fluorescence in the 35S/ FHV-C4-2sg,
472 which has the eGFP ORF included in the first sgRNA3, than FHV-2sg2
473 series, which have eGFP ORF included in the second sgRNA3.

474

475 In order to verify the capacity of these transencapsidated nanoparticles to
476 express transgene in animal cells, FHV C2-o3 encapsidated particles were
477 used as a vaccine, and an IgG antibody response to eGFP was measured
478 (Fig. 8). Despite the reported deficiency of FHV replicase to function well in
479 37 degree (33), a titer of anti-eGFP antibody equal to that of 15ug eGFP
480 protein was observed after three injections with 30 ug of FHV C2-o3
481 nanoparticles (1.5ug FHV RNA). This demonstrated delivery and expression
482 of the eGFP transgene and suggested a considerable boosting of the immune
483 response by RNA antigen delivery. *In vitro* FHV and SFV/TMV CP
484 nanoparticles produced a significantly lower immune response in this study,

485 possibly due to lower percentage of 5' capping, which is known to affect
486 translation efficiency.

487

488 During nanoparticle *in planta* assembly, FHV subgenomic RNA3 may also be
489 encapsidated by TMV coat proteins, co-purified and be represented in mice
490 injections. The possibility of sgRNA3 being used as mRNA templates has
491 been considered, since sgRNA3 also contains eGFP sequence and contains
492 a TMV 0a. However, from the numerous TEM images, it is apparent that the
493 amount of sgRNA3 nanoparticles (~67nm) is not evident or a minority of the
494 particles, and the majority nanoparticles are of full length (200nm) .

495 Furthermore, from the previous literature, the non-replicating mRNA
496 vaccination strategy has largely relied on extensive chemical modifications,
497 additional use of adjuvants (43), and an *ex vivo* route to transfect dendritic
498 cells(44). In two studies using eGFP mRNA to transfect dendritic cells,
499 eGFP either degraded too rapidly due to the lack of additional targeting
500 signals(46), or was expressed well in dendritic cells but failed to trigger
501 dendritic cell maturation without using inducing agents(47). Overall, it is more
502 likely that a functional replicase and a self-replicating viral RNA account for
503 the bulk of the immune stimulation observed in this work, rather than
504 translation from sgRNA3.

505

506 Several improvements can be made to the utility of TMV coat encapsidated
507 RNA. In order to increase immune activation and greater CD4 T cell
508 response, future optimization may include the use of other viruses with a
509 replicase active at 37 degree, such as Nodamura virus (33). Peptide directed
510 endosomal escape of nanoparticles (48-50) may also increase animal cell co-
511 translational disassembly, and subsequent protein accumulation. In our study,
512 eGFP was used to track viral expression of eGFP in plant and animal cells.
513 Expression of a more potent immunogen (e.g., ovalbumin) with better
514 characterized antigenicity should also improve measurement of both antibody
515 and T cell immunogenicity after nanoparticle vaccination.

516

517 In conclusion, we were able to produce FHV RNA and TMV CP, in the same
518 plant cell, resulting in assembly of rod shaped packaged RNA. These *in*
519 *planta* produced nanoparticles were shown to induce an antigen-specific
520 immunogenicity exceeding that of *in vitro* packaged RNA nanoparticles. Our
521 next tasks are to investigate cellular localization of FHV RNA and TMV CP
522 and to optimize heterologous virion assembly. We will also seek to target the
523 hybrid virion nanoparticles to the correct compartment in the mammalian cell
524 in order to facilitate TMV-CP virion disassembly and improved RNA 1
525 replication. Completion of these goals will answer basic virological questions
526 of component trafficking, disassembly and replication in the process of
527 optimizing vaccine production and potency.

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703

704 **Figure Legends**

705 **FIG 1** FHV viral vector constructs for expression in mammalian cells. (A) Two
706 constructs, C2 and C4, differing in the insertion site for eGFP. B2, FHV
707 silencing suppressor; Rbz, HDV ribozyme for precise viral RNA 3' end
708 excision. (B) Expression of T7/FHV-C2-GFP (left) and T7/FHV-C4-GFP (right)
709 in BHK21 cells.

710

711 **FIG 2** FHV viral vector constructs for expression in plants. The C2 and C4
712 constructs from Figure 1 were provided with the 35S plant expression
713 promoter and the TMV origin of assembly (Oa) to allow for encapsidation. (A)
714 In the C2 series, TMV-Oa was added at different positions in C2-o1, and -o2,
715 and in -o3 the eGFP native C terminal stop codon was preserved. B2' stands
716 for the B2 ORF C-terminal remaining after eGFP insertion. (B) The C4-2sg
717 has a duplicated subgenomic promoter to express unfused versions of eGFP
718 and B2 silencing suppressor. The eGFP ORF is between the duplicated

719 subgenomic promoters in C4-2sg, but follows the final subgenomic promoter
720 in 2sg2 construct. The 2sg2 constructs retain the B2 C-terminus (B2'')
721 following the eGFP ORF to mimic the 3' end of the native FHV. (C) The
722 FECT/TMVCP construct and the JL6/p19 were used as co-agroinoculants
723 and provided coat protein and silencing suppressor, respectively.

724

725 **FIG 3** eGFP expression from constructs from Figure 2. Agroinoculated leaves
726 of *Nicotiana benthamiana* were examined, 7 dpi, under blue light with visible
727 light to outline leaf shape. 35S/FHV-C2 inoculated alone or with p19 silencing
728 suppressor. FECT-eGFP is general high expression positive control. F1DI (+/-
729 p19) is a positive control for FHV/GFP expression and comprises FHV RNA1
730 plus a defective interfering construct of RNA2. All other inoculations included
731 p19 unless otherwise mentioned. 2sg2KSS construct is included in the
732 supplementary data. All other designations as in Figure 2.

733

734 **FIG 4** eGFP expression in plants by FHV constructs and in 1-step and 2-step
735 inoculation procedures. (A) eGFP fluorometry of *N. benthamiana*
736 agroinoculated with various FHV constructs. p19, mock inoculation with p19
737 only; FECT-eGFP, high expression positive control; 1-step and 2-step, co-
738 agroinoculation or delayed TMVCP agroinoculation. Four replicates each
739 treatment, except 15 replicates for 1-step and 2-step treatments. (B) eGFP

740 expression compared in 1-step and 2-step agroinoculation procedures. FHV-
741 C2-o3 without any FECT-TMV was also inoculated as a control.

742

743 **FIG 5** Expression of TMV CP by FECT plant viral vector. Lane a, FECT
744 expressing TMV CP; Lane b, TMV vector JL24 (23) expressing CP and
745 eGFP. Both agroinoculations in *N. benthamiana* included p19 silencing
746 suppressor. Far left lane: protein marker (NEB # P7708) with sizes in kDa
747 indicated.

748

749 **FIG 6** Co-infection of plant cells by FHV and FECT viral vectors. (A) *N.*
750 *benthamiana* plants were agroinoculated with p19 plus (left to right)
751 35S/FHVC2-o3/GFP, FECT/DsRed or both vectors. (B) Protoplasts made
752 from 4 dpi leaves coagroinoculated with FHV-eGFP/FECT-DsRed (right leaf
753 in (A)) were visualized for eGFP and DsRed fluorescence, showing the
754 majority of the FHV-eGFP infected cells were also infected with FECT.

755

756 **FIG 7** TEM of *in vitro* and *in planta* produced nanoparticles. (A) *In vitro*
757 assembled FHVOa. (B) *In vitro* assembled SFVOa (C) *in planta* assembled
758 FHV-C2-o3 (CP provided by FECT/TMVCP). 100 nm bars indicated.

759

760 **FIG 8** *In vivo* analysis of FHV vaccine potency. Balb/C Mice (n = 3) were
761 vaccinated 3 times, two weeks apart with indicated amounts (15 or 30 µg

762 protein) of TMV encapsidated FHV-eGFP, produced either *in vitro* by mixing
763 RNA and coat protein, or *in planta* by co-expression of RNA and coat protein
764 after agroinfiltration. PBS was used as a negative control, and *in vitro*
765 encapsidated SFV-eGFP or 15ug of eGFP protein was used as a positive
766 control. ELISA analysis was used to determine anti-eGFP IgG titers on sera
767 collected at 10 d after either vaccine 2 (pV2) or after vaccine 3 (pV3). Titers
768 were measured against a known quantity of anti-eGFP standard (Vector
769 labs), and shown as mean +/- SEM using GraphPad Prism. Statistical
770 analysis of differences between PBS and vaccine groups after vaccine 3 was
771 evaluated by one-tailed t-test. The asterisk indicates statistically significant
772 difference from PBS control.

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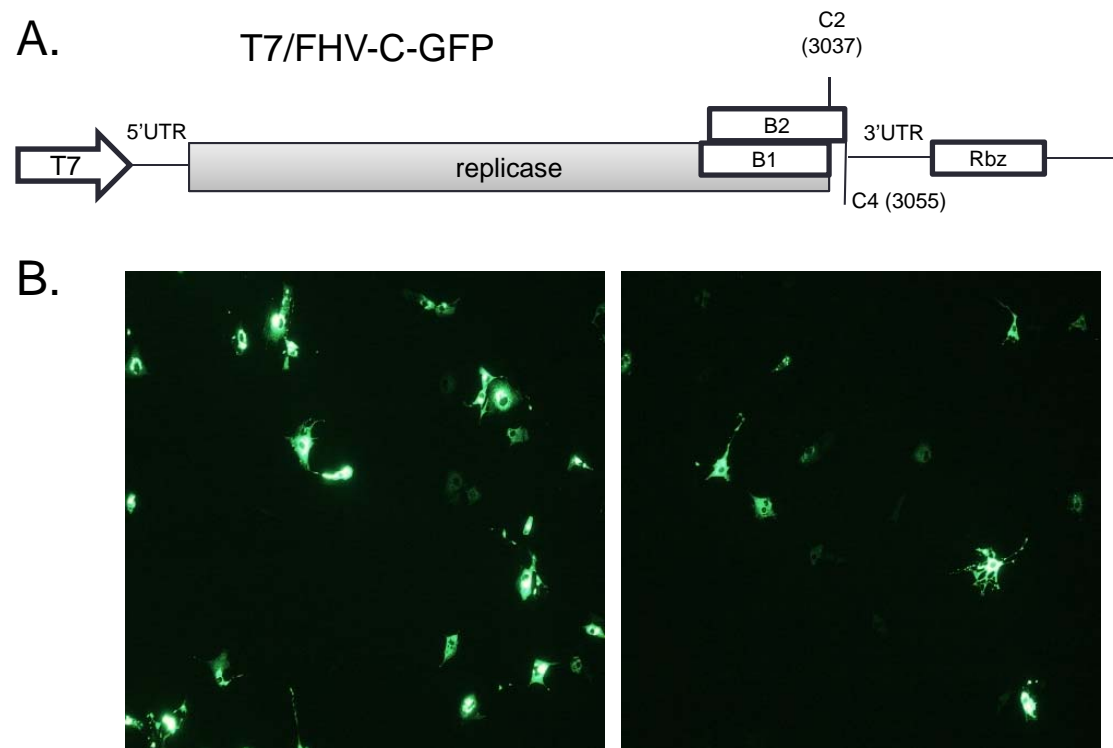


Figure 1

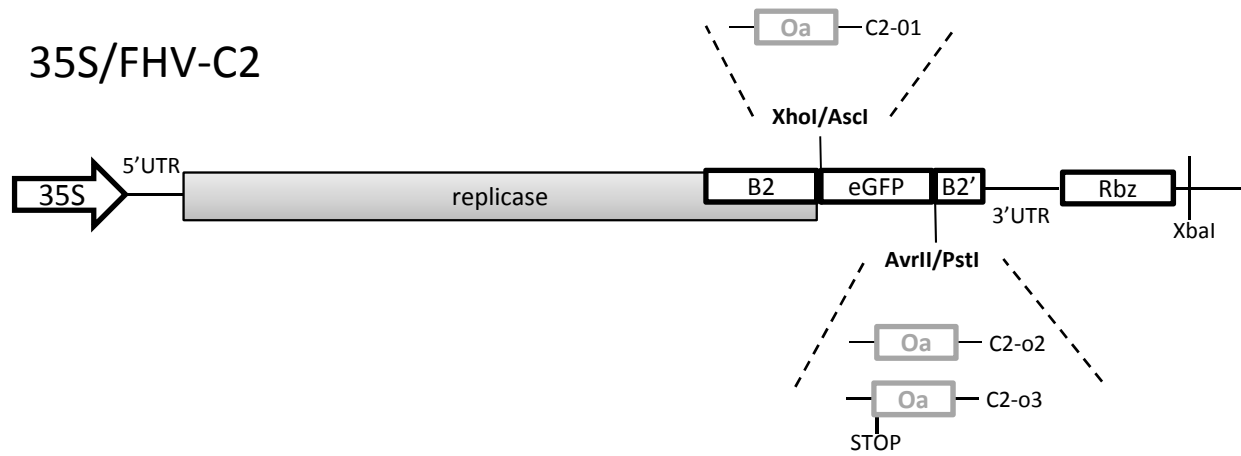


Figure 2A

35S/FHV-C4-2sg

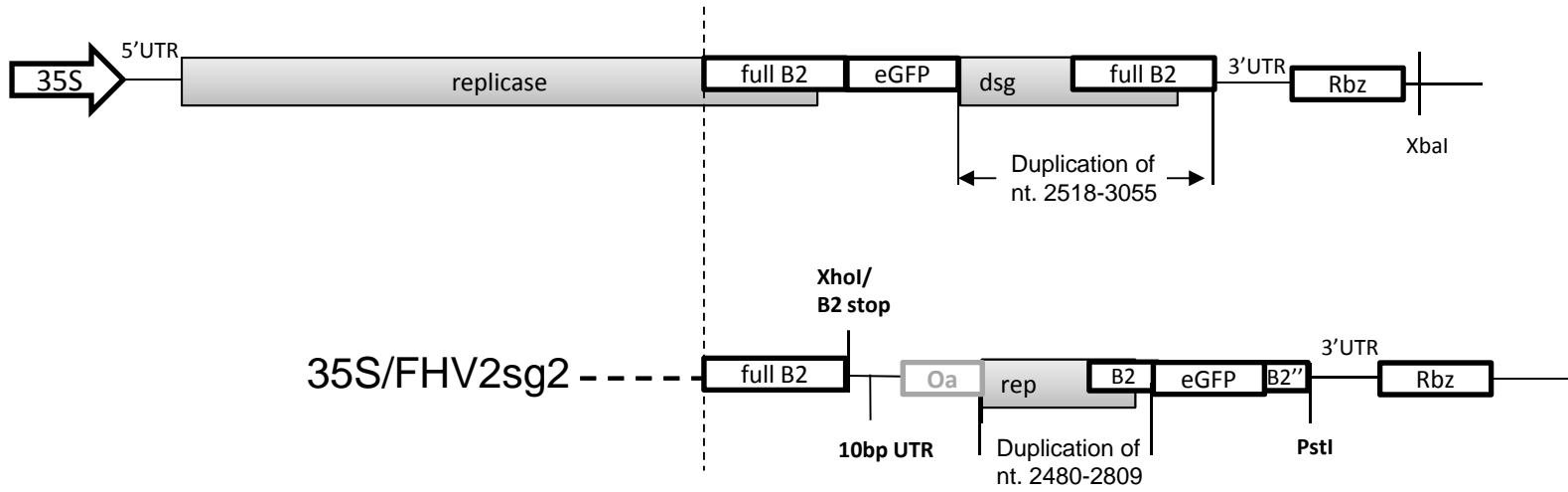


Figure 2B

FECT/TMVCP



JL6/p19

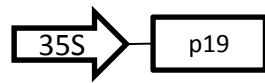


Figure 2C

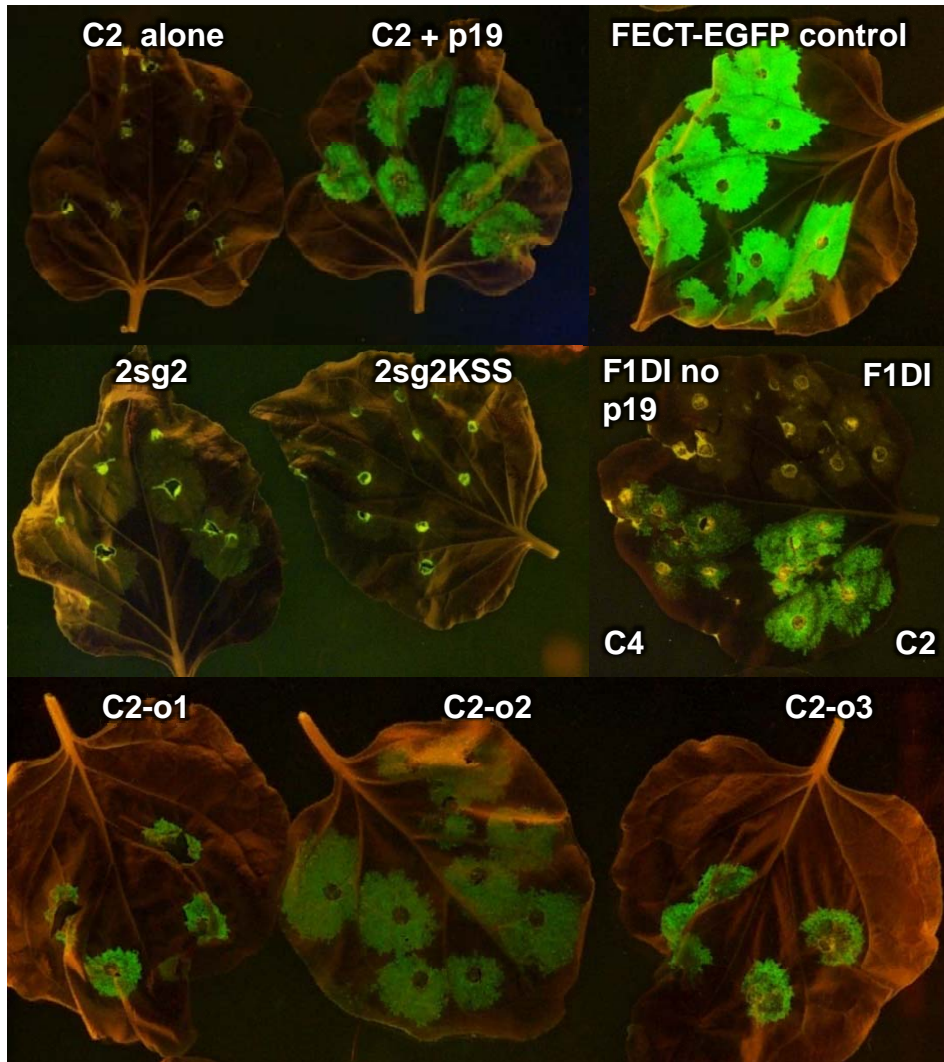


Figure 3

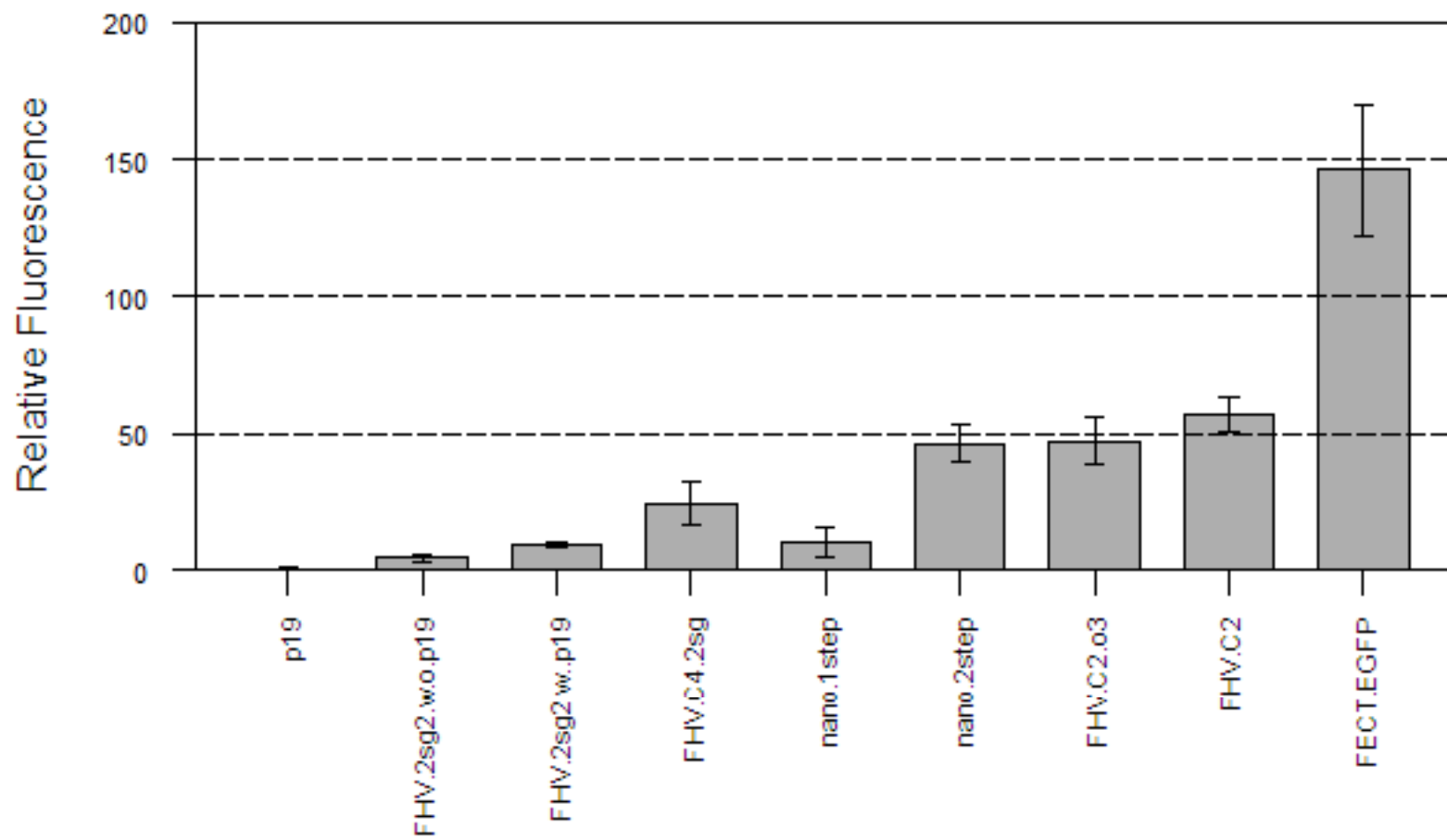


Figure 4A

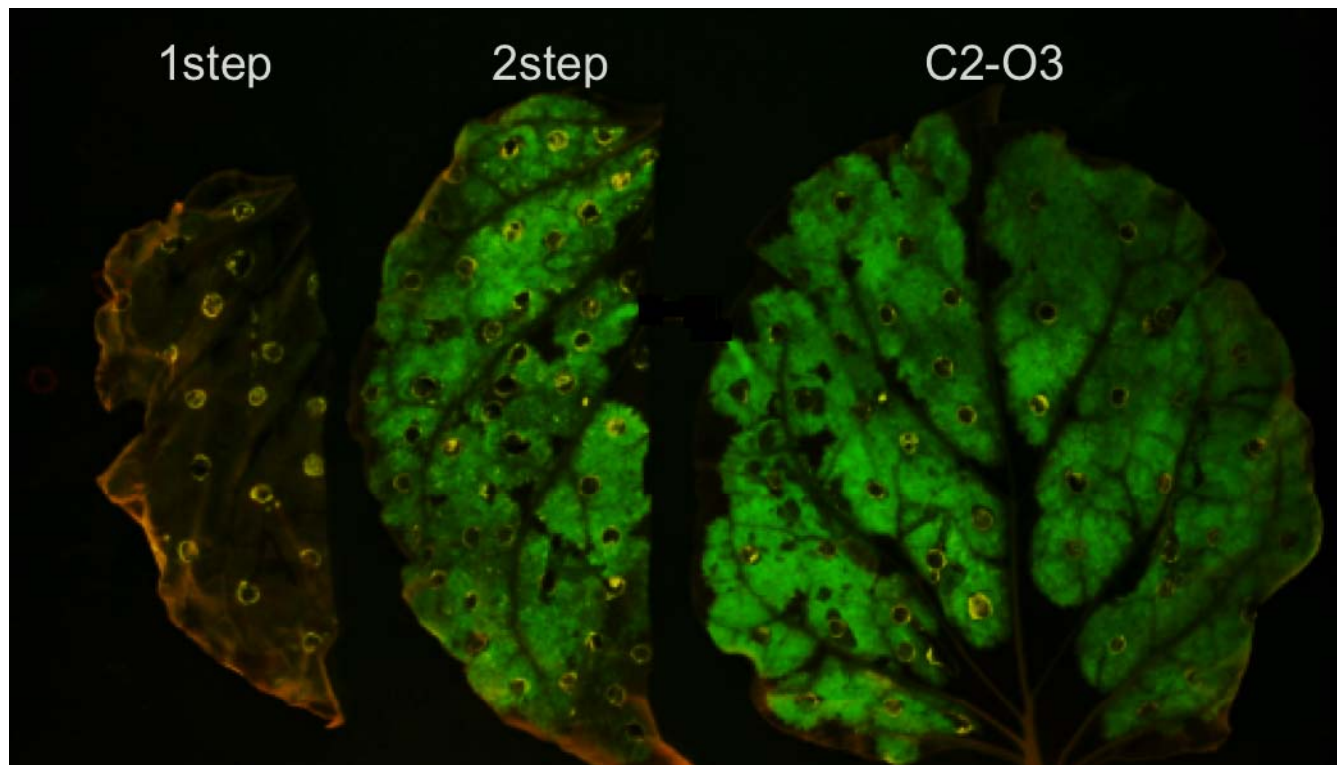


Figure 4B

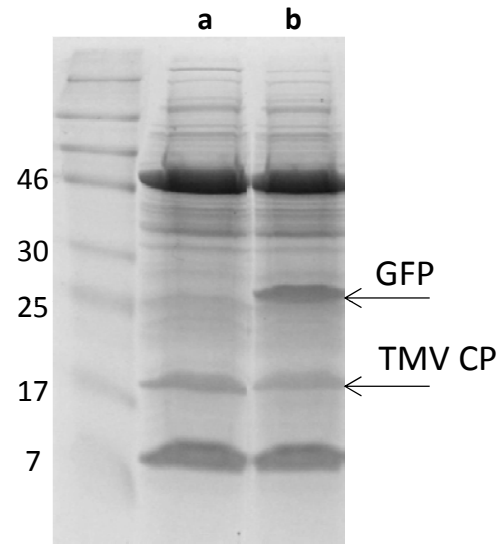


Figure 5

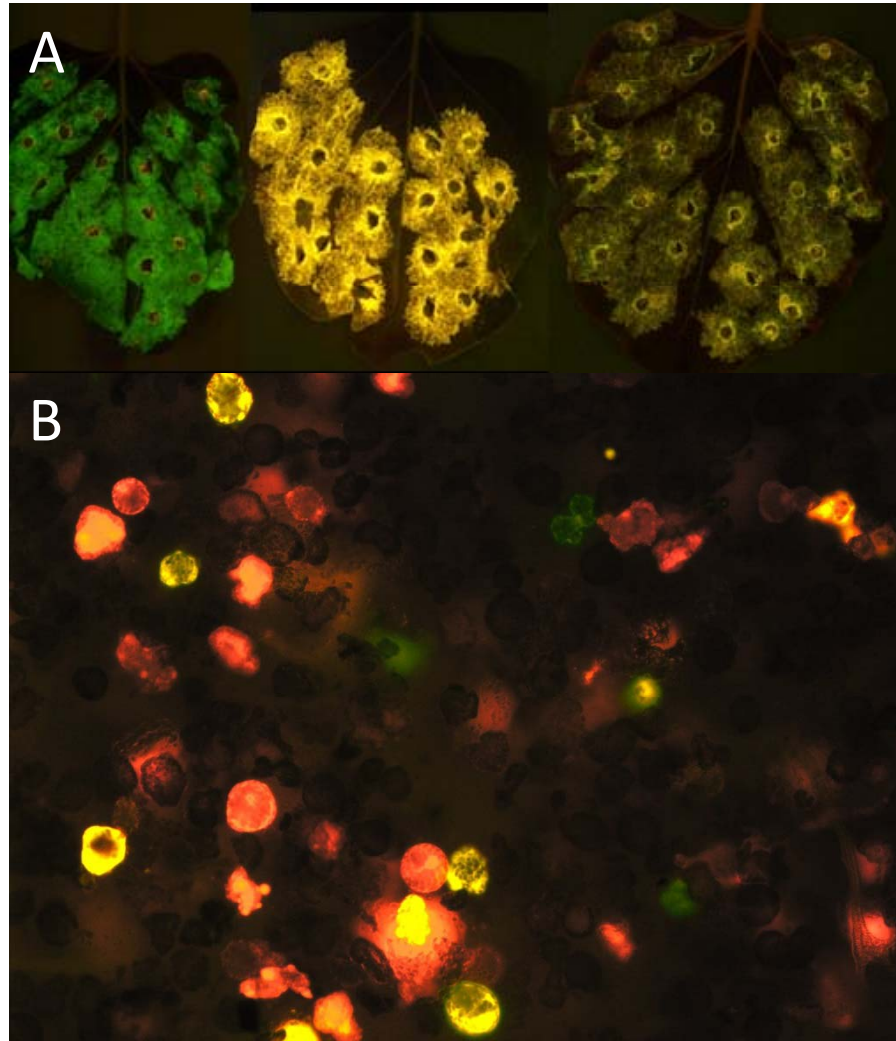


Figure 6

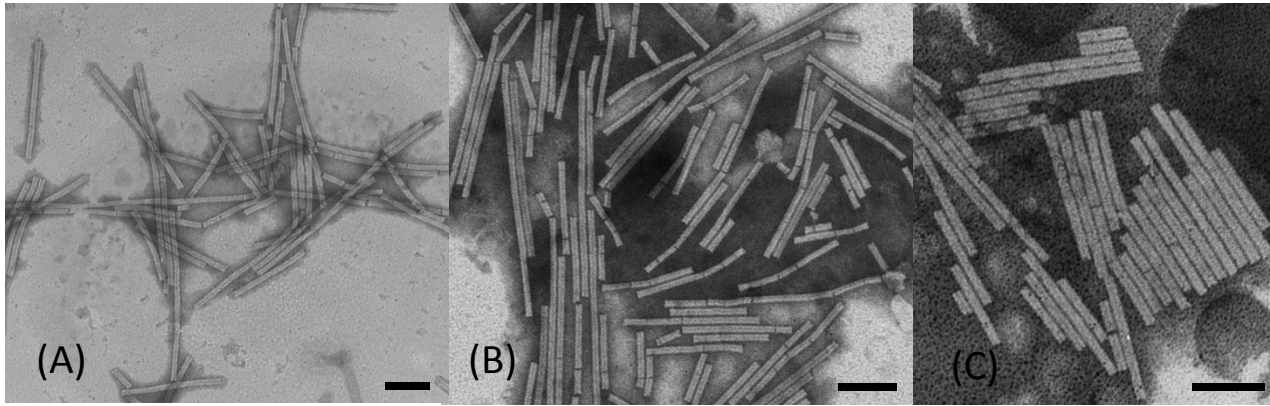
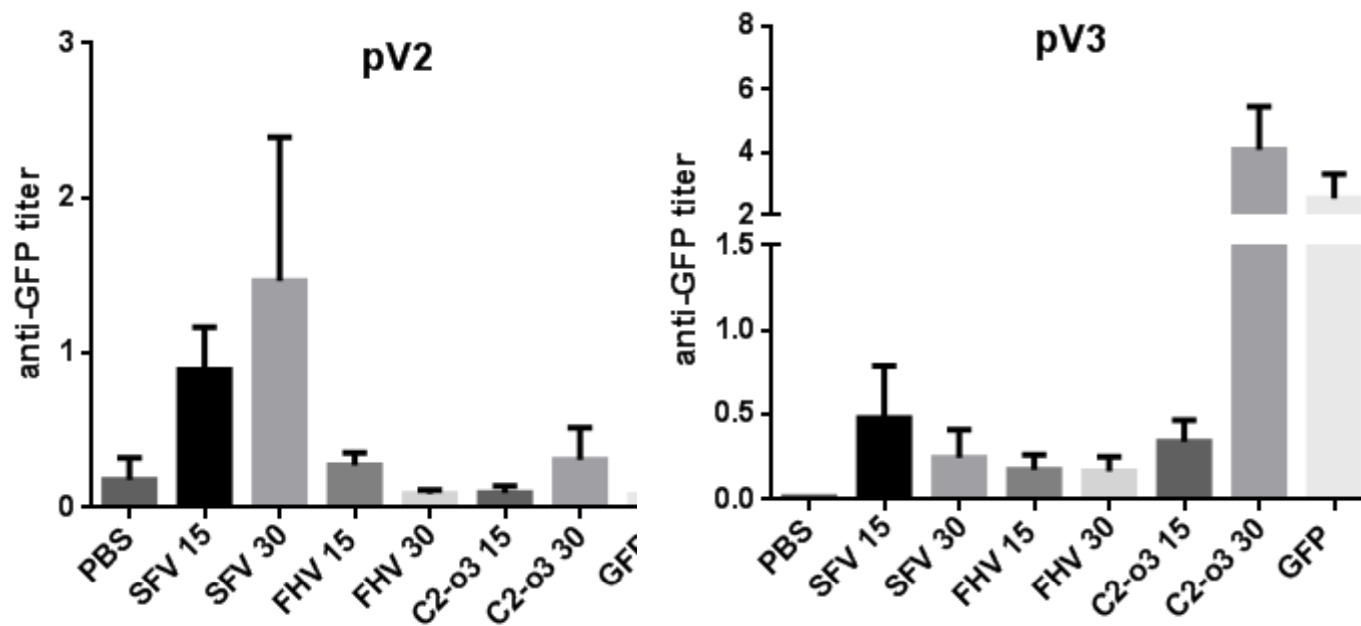


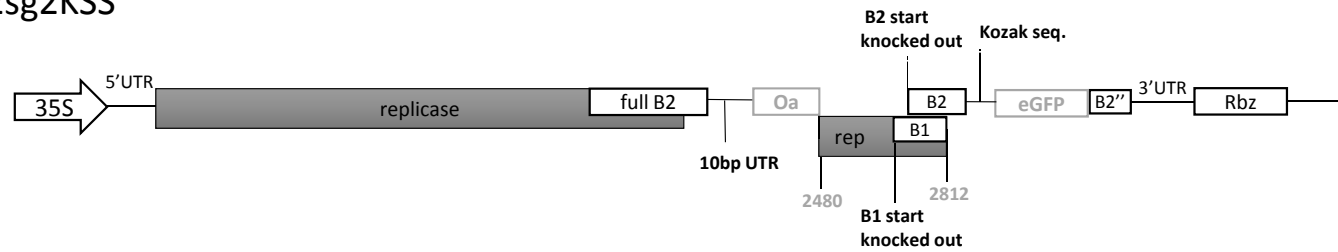
Figure 7



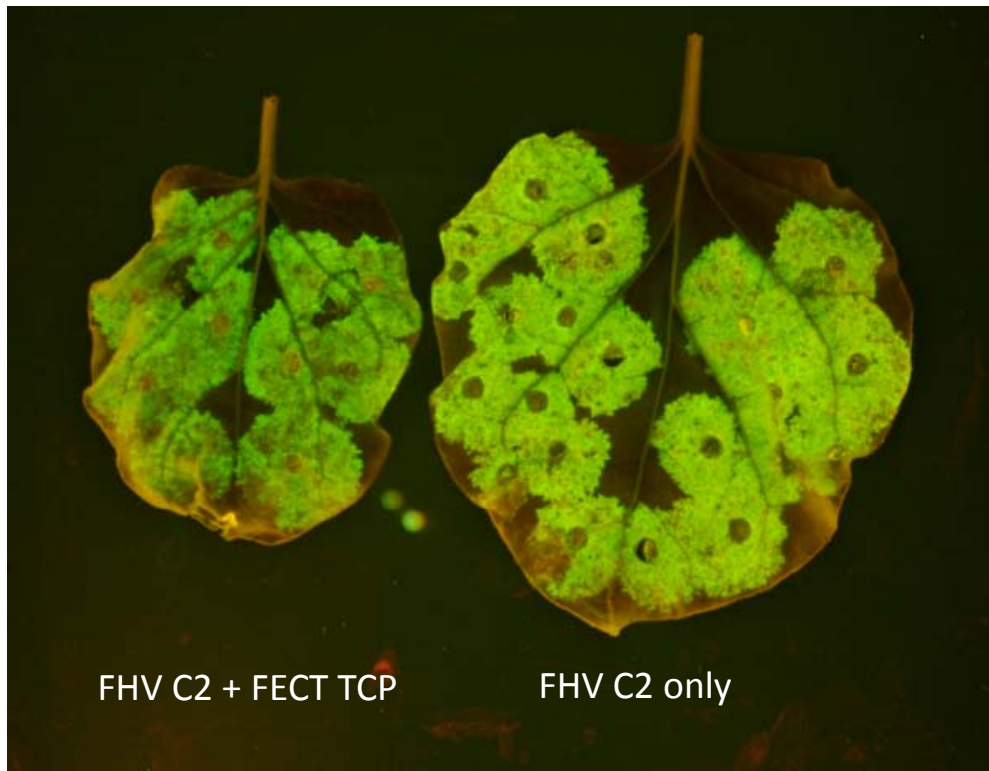
one tailed t-test vs PBS:
 SFV 15 p=0.224
 SFV 30 p=0.116
 FHV 15 p=0.104
 FHV 30 p=0.110
 C2-o3 15 p=0.063
 *C2-o3 30 p=0.048
 *GFP p=0.047

Figure 8

FHV2sg2KSS



Suppl. Fig 1: In seek to further aid eGFP expression, a 35S/FHV-2sg2KSS construct was built, in which the B1 and B2 start codons were knocked out and an ATG with strong Kozak context (CCACC ATG) was placed at the start of the eGFP ORF, resulting in an eGFP/B2 fusion with only a short B2 portion C-terminal to the eGFP.



Suppl. Fig 2: In order to determine whether FECT virus will interfere with FHV replication in planta, FHVC2/GFP lacking the Oa sequence was co-inoculated with FECT-TCP and compared with FHVC2/GFP in the absence of FECT-TCP. Fluorescence was similar in the presence (left) or absence (right) of FECT coinfection. This confirmed the reduction of fluorescence by coinfection with FHVC2/GFP containing the TMV Oa and FECT-TCP expressing coat protein is due to the hypothesized classical coat protein resistance. All experiments were carried out with p19 co-inoculation