

5-2007

# The Open Archives Initiative

Michael L. Nelson

*Old Dominion University*, [mnelson@odu.edu](mailto:mnelson@odu.edu)

Follow this and additional works at: [https://digitalcommons.odu.edu/computerscience\\_presentations](https://digitalcommons.odu.edu/computerscience_presentations)



Part of the [Archival Science Commons](#), and the [Computer Sciences Commons](#)

---

## Recommended Citation

Nelson, Michael L., "The Open Archives Initiative" (2007). *Computer Science Presentations*. 26.  
[https://digitalcommons.odu.edu/computerscience\\_presentations/26](https://digitalcommons.odu.edu/computerscience_presentations/26)

This Book is brought to you for free and open access by the Computer Science at ODU Digital Commons. It has been accepted for inclusion in Computer Science Presentations by an authorized administrator of ODU Digital Commons. For more information, please contact [digitalcommons@odu.edu](mailto:digitalcommons@odu.edu).

# The Open Archives Initiative

Michael L. Nelson

Computer Science, Old Dominion University  
[www.cs.odu.edu/~mln/](http://www.cs.odu.edu/~mln/)

[www.openarchives.org](http://www.openarchives.org)

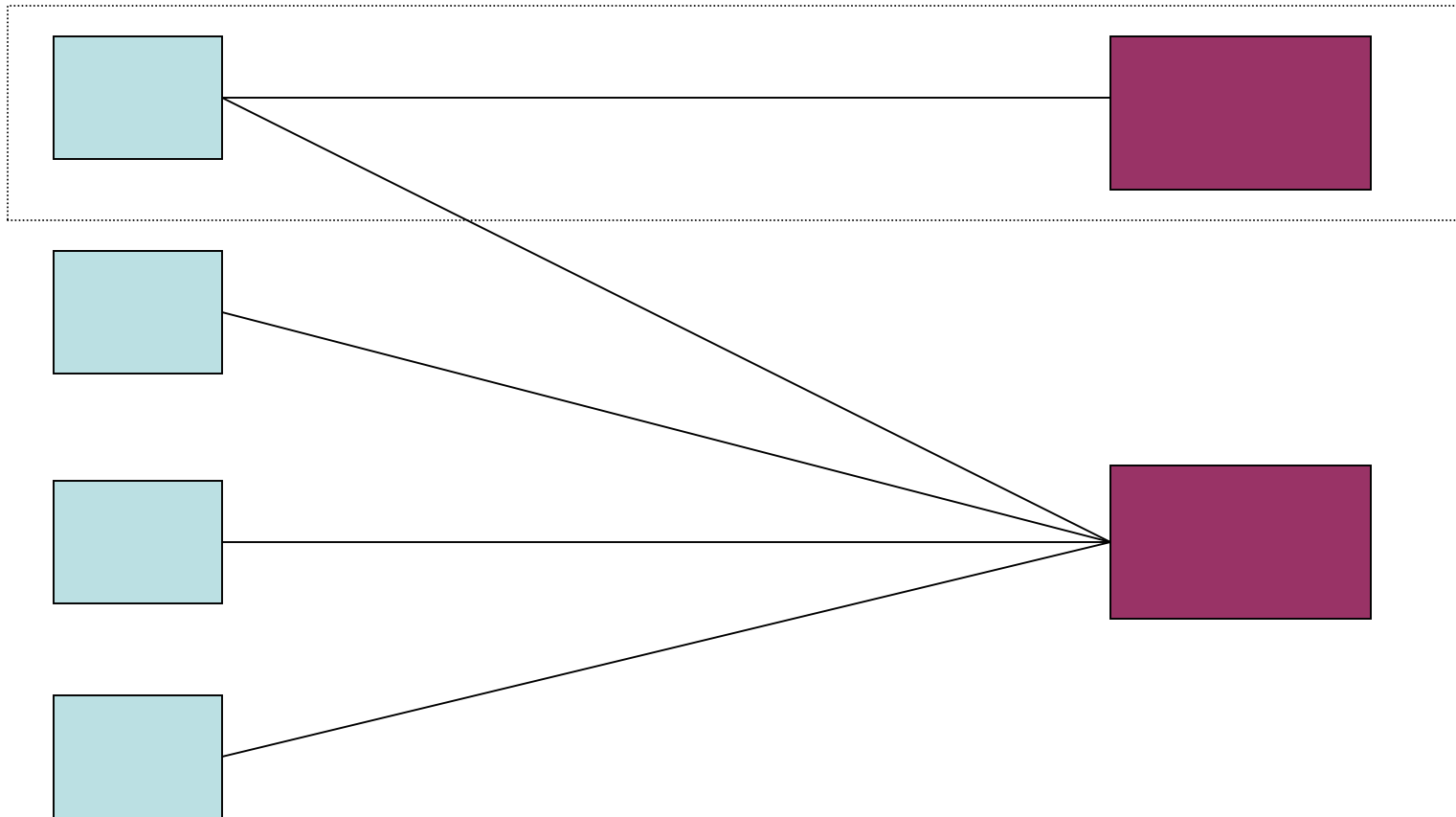


# Open Archives Initiative Protocol for Metadata Harvesting

- *data providers / repositories:*
  - “A repository is a network accessible server that can process the 6 OAI-PMH requests in the manner described in [the OAI-PMH document]. A repository is managed by a data provider to expose metadata to harvesters.”
- *service providers / harvesters:*
  - “A harvester is a client application that issues OAI-PMH requests. A harvester is operated by a service provider as a means of collecting metadata from repositories.”



# Data Providers / Service Providers



data providers  
*(repositories)*

service providers  
*(harvesters)*



# Overview of OAI-PMH Verbs

	Verb	Function
repository metadata	Identify	description of repository
	ListMetadataFormats	metadata formats supported by repo
	ListSets	sets defined by repository
harvesting verbs	ListIdentifiers	OAI unique ids contained in repo
	ListRecords	listing of N records
	GetRecord	listing of a single record

most verbs take arguments: dates, sets, ids, metadata formats  
and resumption token (for flow control)



# OAI-PMH data model



← resource

OAI-PMH sets

OAI-PMH identifier

entry point to all records pertaining to the resource

← item

OAI-PMH identifier  
metadataPrefix  
datestamp

Dublin Core  
metadata

MARCXML  
metadata

← records  
metadata pertaining  
to the resource



# Complexity Comes to OAI-PMH...

- First noticed in how people would populate their Dublin Core records
  - people need the HTML splash page
  - crawlers need the PDF file
- Ad-hoc conventions and methods used to expose the repository's knowledge about the structure of the object
- Next three slides taken from "Resource Harvesting Within the OAI-PMH Framework"
  - <http://www.dlib.org/dlib/december04/vandesompel/12vandesompel.html>



# Dublin Core Encoding Type 1

```
<oai_dc:dc>
  <dc:title>A Simple Parallel-Plate Resonator Technique for Microwave.
    Characterization of Thin Resistive Films</dc:title>
  <dc:creator>Vorobiev, A.</dc:creator>
  <dc:subject>ING-INF/01 Elettronica</dc:subject>
  <dc:description>A parallel-plate resonator method is proposed for
    non-destructive characterisation of resistive films used in
    microwave integrated circuits. A slot made in one ... </dc:description>
  <dc:publisher>Microwave engineering Europe</dc:publisher>
  <dc:date>2002</dc:date>
  <dc:type>Documento relativo ad una Conferenza o altro Evento</dc:type>
  <dc:type>PeerReviewed</dc:type>
  <dc:identifier>http://amsacta.cib.unibo.it/archive/00000014/</dc:identifier>
  <dc:format>pdf
    http://amsacta.cib.unibo.it/archive/00000014/01/GaAs_1_Vorobiev.pdf
  </dc:format>
</oai_dc:dc>
```

splash page

locator of resource





# Dublin Core Encoding Type 2

```
...  
<dc:identifier>http://amsacta.cib.unibo.it/archive/00000014/</dc:identifier>  
<dc:relation>  
  http://amsacta.cib.unibo.it/archive/00000014/01/GaAs_1_Vorobiev.pdf  
</dc:relation>  
...
```

splash page

locator of resource



# Dublin Core Encoding Type 3

```
...  
<dc:identifier> http://amsacta.cib.unibo.it/archive/00000014/</dc:identifier>  
<dc:relation>  
  http://resolver.unibo.it/00000014/  
</dc:relation>  
<dc:relation>  
  http://amsacta.cib.unibo.it/archive/00000014/01/GaAs_1_Vorobiev.pdf  
</dc:relation>  
...
```

splash page

splash page

locator of resource

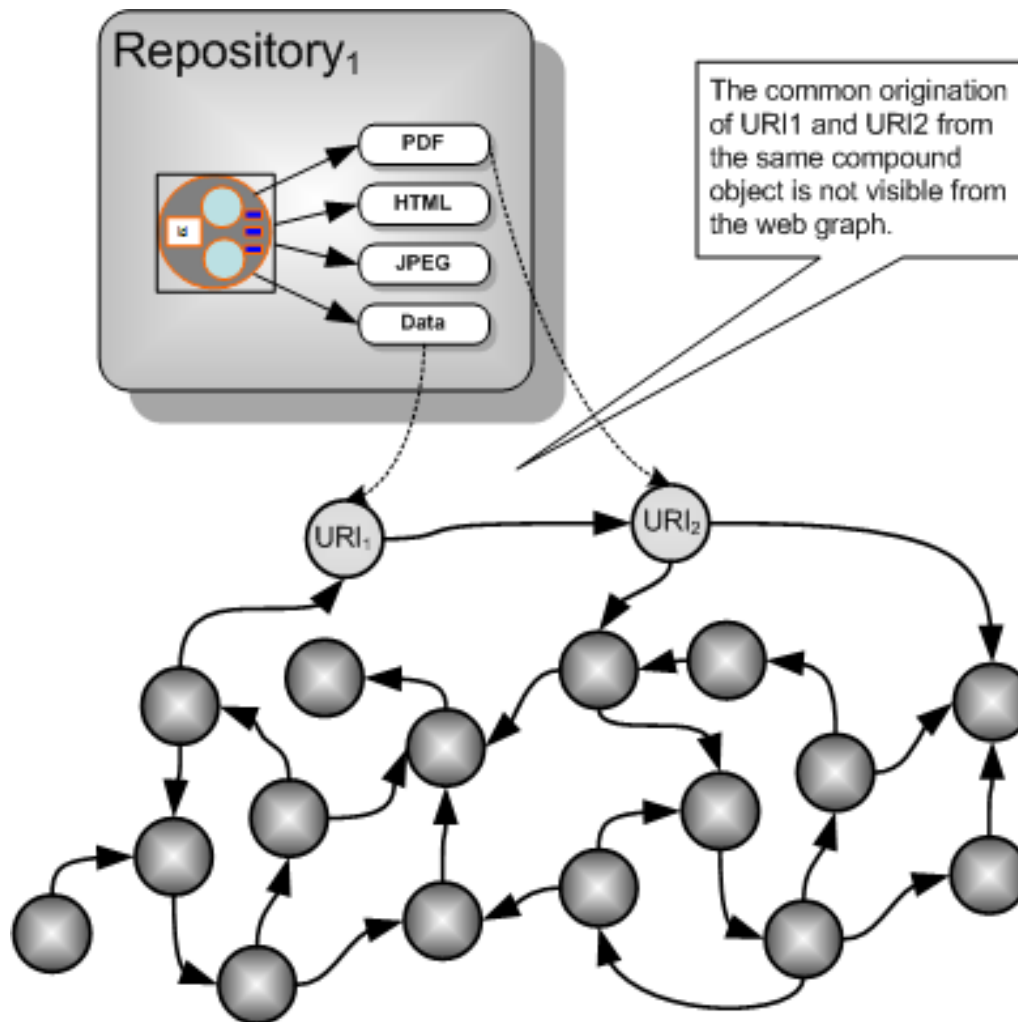


# OAI Object Re-Use and Exchange

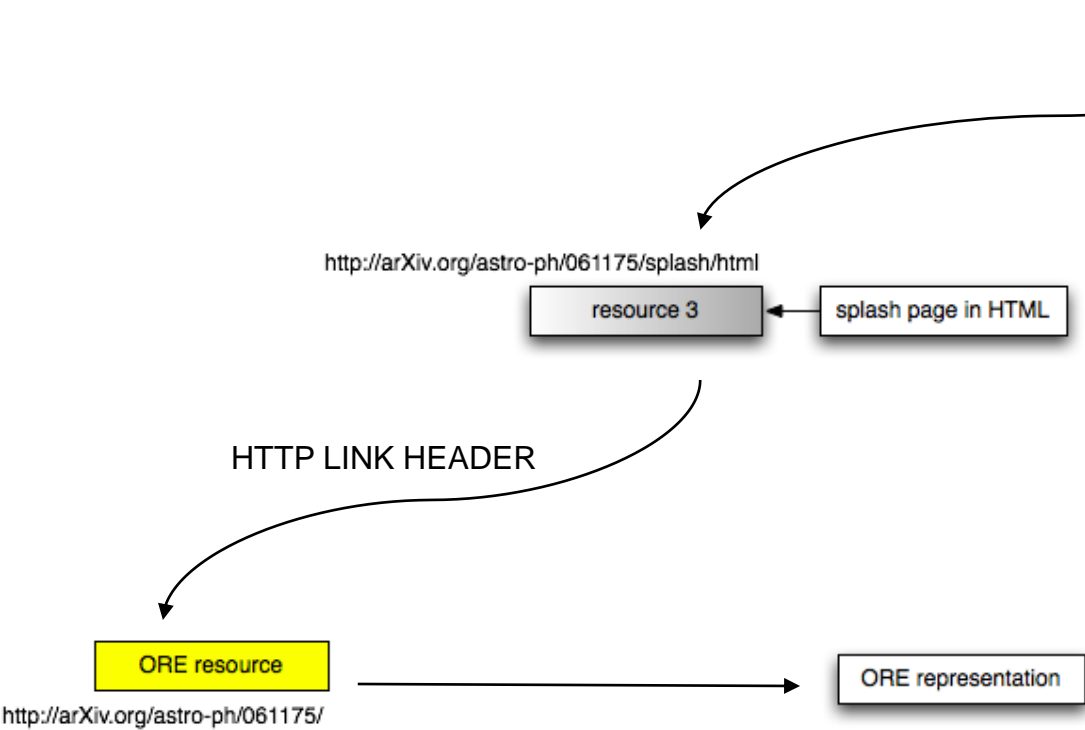
- Develop, identify, and profile extensible standards and protocols to allow *repositories, agents, and services to interoperate* in the context of *use and reuse of compound digital objects* beyond the boundaries of the holding repositories.
- Aim for more effective and consistent ways:
  - to *facilitate discovery* of these objects,
  - to *reference* (link to) these objects (and parts thereof),
  - to obtain a *variety of disseminations* of these objects,
  - to *aggregate and disaggregate* these objects,
  - Enable processing by *automated agents*



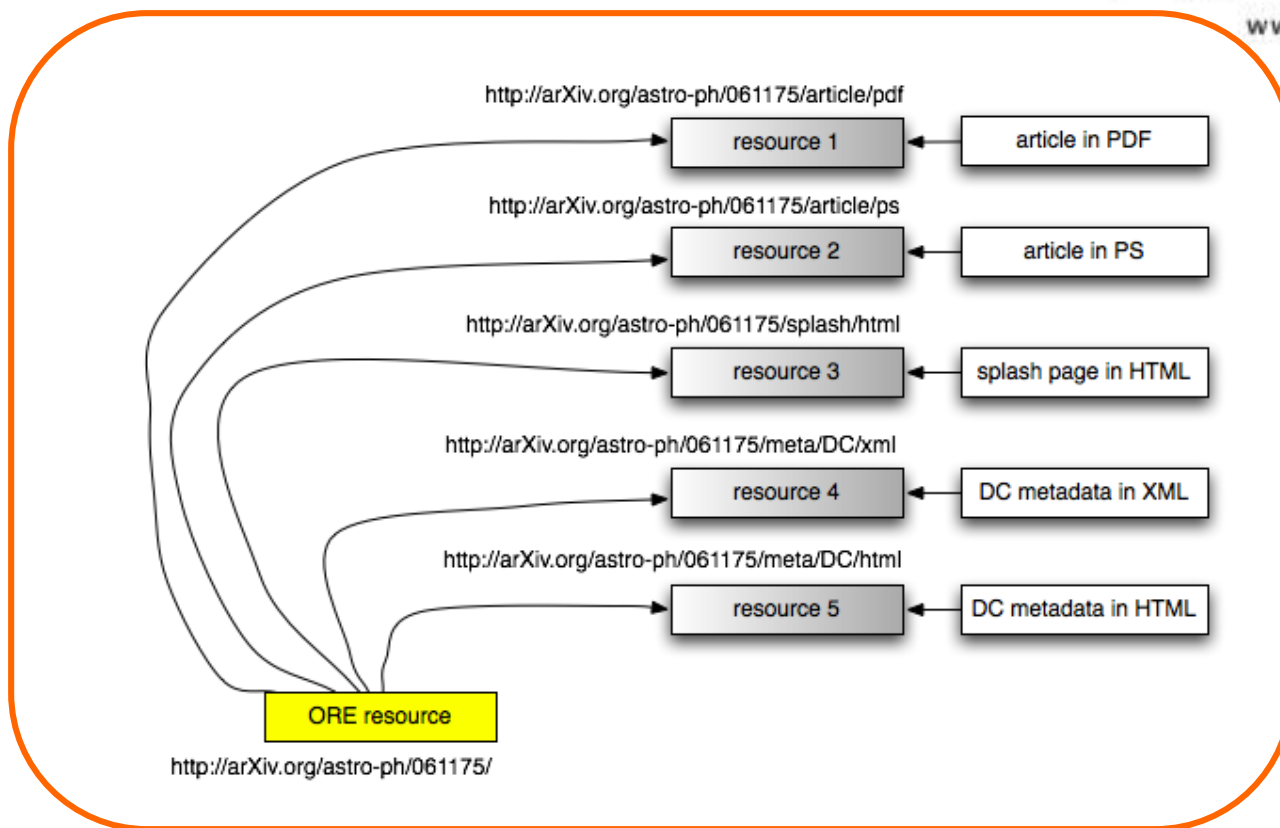
# The Structure of Compound Objects is Obfuscated When Mapped to the Web



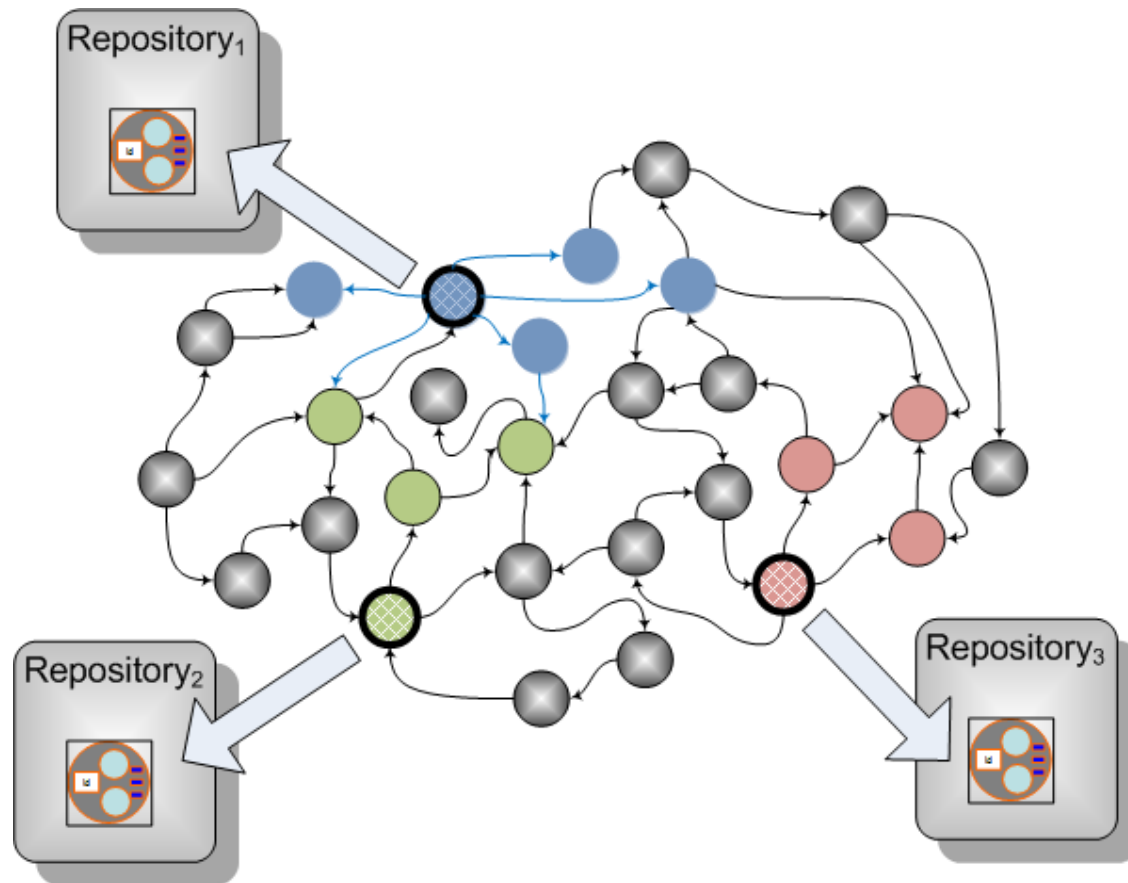
# Useful for humans and useful for applications is often different



Through the Resource Map, the Web application sees the compound object



This approach reveals compound objects in the Web graph



# OAI: Its Not Just for Metadata Harvesting Anymore...

OAI-PMH	OAI-ORE
Repository structure	Object structure
Metadata centric	Resource centric
Metadata harvesting	Object re-use (obtain, harvest, register)

OAI-PMH and OAI-ORE are complimentary;

- you can do one without the other
- you can do them together





# OAI-ORE : Current Status

- Ongoing definition of the ORE framework
  - Reach joint problem statement
  - Issues regarding identification
  - Model for ORE resource
  - Publishing ORE resources to the Web
  - Discovering ORE resources
- Review of appropriate technologies for ORE Model and Resource Map
  - ATOM
  - DID/DIDL, IMS/CP, METS, Ramlet
  - RDF, RDF/XML
  - Dublin Core Abstract Model
  - ...



# OAI-ORE : Current Status

- Explore demonstrators using these concepts in preparation of May 2007 ORE Technical Committee meeting
- Post May 2007 meeting:
  - Hopefully work towards alpha specs for ORE resource, Resource Map, discovery of ORE resource
  - Experimentation with alpha specs

