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2012

# Snowmelt Runoff Modeling: Limitations and Potential for Mitigating Water Disputes

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#### **Recommended** Citation

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## 1 Snowmelt runoff modeling: Limitations and potential for mitigating

## 2 water disputes

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- 23 Keywords: Snowmelt runoff; Water dispute; Upper Indus Basin; Water balance; Hydrological
- 24 modeling

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#### 27 1. Introduction

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For regions where water resources derived from snow and glacier melt are a subject of intense 29 disputes and potential conflict, we present the distinction between methods of snowmelt runoff 30 modeling and water resource accounting. Whereas conceptual and physically-based hydrologic 31 models have been developed to estimate the contribution of melt water from mountain snowpack 32 for water resource management (e.g. irrigation supply and hydropower potential), refined water 33 34 accounting methods designed for dispute arbitration are currently lacking. We discuss the exemplary case of contemporary disputes between India and Pakistan over the snowmelt-derived 35 water resources of the Upper Indus Basin (UIB) in support of a future research agenda to develop 36 robust water balance accounting methods applicable to regional or international dispute 37 mitigation. 38

39

Both Pakistan and India are highly dependent on water from melting snow and glacier ice
originating in the mountain ranges of the UIB (namely, from west to east, the Hindukush,
Karakoram, Ladakh and Greater Himalaya). The agriculture-based economy of Pakistan relies
on this supply for irrigating its arid lowlands (Archer et al., 2010). India maintains an equivalent
dependence on Himalayan-fed streamflow for the agricultural, industrial and hydropower
demands of its expanding economy and population (Patz et al., 2009).

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47 Territorial and water disputes have been endemic to relations between India and Pakistan since48 the partition of British India in 1947. State boundaries were drawn with little consideration for

natural watershed boundaries, which quickly led to conflicts over water resources (Patz et al.,
2009). Specifically, the headwaters of the Indus River and some of its major tributaries are
entirely upstream from Pakistan, predominantly in India. Substantial portions of the UIB are
within the disputed territories of Jammu and Kashmir. While the negotiated Indus Water Treaty
of 1960 has had notable success managing transboundary water resources, disputes over treaty
interpretation remain, with a number of current issues being particularly contentious.

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The recent article "Unquenchable thirst" in *The Economist* highlights the controversies that have 56 surrounded the construction of the Baglihar dam on the Chenab River (allocated to Pakistan by 57 the Indus Water Treaty) in India-administered Jammu and Kashmir, noting that "Pakistanis cite it 58 as typical of an intensifying Indian threat to their existence, a conspiracy to divert, withhold or 59 misuse precious water that is rightfully theirs" (2011, p. 27). For its part, India argues that 60 Baglihar is simply a run-of-the-river dam, temporarily delaying but not consuming the volume of 61 water allocated to Pakistan. This particular conflict underscores the important distinction 62 between water runoff modeling and water resource accounting. While extant runoff models are 63 able to estimate basin discharge from available data, they remain inherently limited in their 64 ability to account for the net water balance within the basin. In other words, runoff models are 65 well suited for solving problems concerning estimated water supply for irrigation, consumption 66 and hydropower, but are far less suited for handling issues centered on water diversion, storage 67 68 and withholding. With several hundred dam projects presently under consideration along rivers of the Himalaya and trans-Himalaya ranges (Dharmadhikary, 2009), there is an immediate need 69 for a hydrological modeling framework to manage impending issues of water accountability. 70

71	Compounding the historical rivalries between India and Pakistan (including three wars since the
72	partition of British India) are an array of interrelated hydrological concerns, including expanding
73	demands for water under population growth, urbanization, industrialization, increased reliance
74	on irrigated agriculture, and uncertain future water resources under changing climate (Patz et al.,
75	2009). The potential for crisis and conflict surrounding water disputes between India and
76	Pakistan cannot be overstated and is presented in depth by Patz et al. (2009) and Wirsing and
77	Jasparro (2007). Before presenting a research agenda that addresses this critical problem, we
78	briefly review snowmelt runoff modeling, its limitations, and recent literature from applications
79	to basins within the UIB.
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82	2. Snowmelt model applications and limitations
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84	Numerous conceptual and physically-based snowmelt runoff models have been tested
	Numerous conceptual and physically-based snowmelt runoff models have been tested worldwide, assessing their capacities to estimate meltwater discharge from mountain snowpack
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84 85	worldwide, assessing their capacities to estimate meltwater discharge from mountain snowpack
84 85 86	worldwide, assessing their capacities to estimate meltwater discharge from mountain snowpack and glaciers. The choice of model follows from application objectives and available input data.
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84 85 86 87 88	worldwide, assessing their capacities to estimate meltwater discharge from mountain snowpack and glaciers. The choice of model follows from application objectives and available input data. Conceptual models have been favored for use in Himalayan catchments given the region's lack of dense meteorological and gauging station networks, plus the difficulties of obtaining the
84 85 86 87 88 89	worldwide, assessing their capacities to estimate meltwater discharge from mountain snowpack and glaciers. The choice of model follows from application objectives and available input data. Conceptual models have been favored for use in Himalayan catchments given the region's lack of dense meteorological and gauging station networks, plus the difficulties of obtaining the extensive field observations required by physical models over inaccessible terrain. The
84 85 86 87 88 89 90	worldwide, assessing their capacities to estimate meltwater discharge from mountain snowpack and glaciers. The choice of model follows from application objectives and available input data. Conceptual models have been favored for use in Himalayan catchments given the region's lack of dense meteorological and gauging station networks, plus the difficulties of obtaining the extensive field observations required by physical models over inaccessible terrain. The Snowmelt Runoff Model (SRM; also known as the Martinec-Rango model) has proven favorable

assessed by the difference of volume ( $D_v$  in %) and Nash-Sutcliffe (*NS*) coefficient statistics (Martinec et al., 2008).

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SRM has been applied to numerous river basins in the Himalaya, as of Martinec et al. (2008). 97 Since then, a surge of additional studies has explored the use of SRM in the Hindukush and 98 Karakoram (i.e. trans-Himalayan) ranges of northern Pakistan with MODIS remote sensing data 99 as input for snow-covered area (Bashir and Rasul, 2010; Tahir et al., 2011; Dahri et al., 2011; 100 Butt and Bilal, 2011). Statistically, SRM model results have been very promising. For example, 101 102 Tahir et al. (2011) employ the current version of the Snowmelt Runoff Model (WinSRM 1.12) for discharge simulation of the Hunza River Basin of the Karakoram Range in northern Pakistan. 103 Annual  $D_{\nu}$  ranged from -4.8% (discharge volume is underestimated) to 12% (discharge volume 104 is overestimated) over three model validation years. For the snowmelt period (April-September), 105  $D_{v}$  ranged from -0.3% to 9%. Corresponding Nash-Sutcliffe (NS) coefficients over both time 106 frames ranged from 0.78 to 0.97. 107 108

109 In the present context, the SRM equation can be conceptually rendered as

110  $Q_{n+1} = f(T_n, P_n, S_n) + Q_n + \varepsilon_n$ 

111 where Q is daily discharge (m<sup>3</sup>/s) at the daily time step n; T, P, and S are daily model input 112 variables of accumulated degree-days, precipitation, and snow-covered area, respectively; and  $\varepsilon$ 113 is the residual term representing the physical processes not accounted for by SRM. Basin-114 specific parameters must also be established, but do not require calibration from historical data. 115 The presence of an error term is implicit in any hydrologic model; however, in the UIB, this 116 error term represents more than a measure of model accuracy. It represents a volume of water

117	that is in a sense "missing," a fact that has substantial economic and political implications for
118	two countries historically at odds and both heavily reliant on water resources from mountain
119	catchments. A more refined understanding of this term is necessary in a region where disputes
120	or conflict can arise when water cannot be accounted for.
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123	3. Future research objectives
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125	Recent research has highlighted the applicability of snowmelt runoff models such as SRM for
126	resource management purposes, but has not presented a methodological framework and agenda
127	for addressing water dispute mitigation. Tahir et al. (2011) mention an agenda for applying
128	SRM to additional catchments in the UIB, with the goals of water resource management for the
129	larger-scale Indus Basin Irrigation System and evaluation of future climate impacts for the
130	region. However, based on the abundance of prior research in the domain of SRM testing and
131	assessment, the results of such studies will remain constrained by the same model limitations
132	previously discovered. We propose a future research agenda that extends beyond continued
133	SRM testing in the region, exploring the geographic variability of this model's error term and
134	establishing a metric describing ranges of acceptable modeling error. Ultimately, a complete
135	water resources accounting framework for addressing water disputes may be possible, involving
136	both the physical hydrological processes and the policies and regulations governing the UIB.
137	We constrain the following discussion to those hydrological contributions pertaining to
138	snowmelt runoff needed for such a framework.
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140 A reasonable starting point for this task is a comparative assessment of all snowmelt runoff applications to date from the Upper Indus Basin. Following such an assessment, the subjective 141 selection of a viable model (including requisite source data and parameter selection methods) can 142 be made, establishing parity for subsequent analysis of modeling error. Such a selection is not 143 meant to preclude development and testing of new or refined models for operational or resource 144 management purposes, but rather is based on the current need for a water accounting framework 145 grounded in an established, well-tested and logistically manageable snowmelt runoff 146 methodology. Based on previous statements herein, SRM seems a likely candidate for this 147 148 purpose and we refer to its usage in the continued discussion. 149 Next, the performance of SRM must be assessed in the context of varying climate regimes. The 150 151 different climate regimes throughout the UIB have been well documented (Fowler and Archer, 2006; Thayyen and Gergan, 2010). These regimes are predominantly a function of a basin's 152 geographic siting relative to continental westerly versus tropical monsoon atmospheric 153 circulations. Thayyen and Gergan (2010) identify three distinct glacio-hydrological regimes 154 within the UIB alone, namely: Alpine (Karakoram Range), Cold-Arid (Ladakh Range), and 155 "Himalayan catchment" (Greater Himalaya). Fowler and Archer (2006) observe reduced 156 ablation and increased accumulation of Karakoram glaciers over the second half of the 20th 157 century, in contrast with widespread glacial retreat and decay in the Eastern (Greater) Himalaya. 158 An analysis of SRM accuracy in the context of such regimes has, to our knowledge, not been 159 conducted, and may well shed light on the regional processes contributing to error and 160 uncertainty associated with SRM. 161

163 Recent studies present possible explanations of such processes not captured by SRM. Immerzeel et al. (2009) apply SRM to the entire Upper Indus Basin—including the Hunza, Gilgat, Shigar 164 and Shyok sub-basins—and find that streamflow is realistically simulated by SRM (NS = 0.78, 165  $D_v = -2\%$ ). However, they observe that average annual precipitation over a five year period is 166 167 less than observed streamflow. Noting observed regional warming, Immerzeel et al. (2009) suggest accelerated glacial melting as a logical source of the additional runoff volume. Given 168 169 the decreased model efficiency of SRM during extreme events (July-August) in the Hunza River 170 Basin, Tahir et al. (2011) also suggest glacial melt contributions as sources of runoff not 171 accounted for by SRM.

172

173 To the extent that glacial storage of water (e.g. in snow, firn, ice and liquid forms) is not handled 174 well by current conceptual or mathematical models (Jansson, 2003), glacial contributions to runoff are a reasonable source for modeling error. This is particularly relevant to SRM, where 175 176 melt dynamics are primarily focused on the surface-air interface through the temperature and 177 snow-covered area inputs. Storage of liquid water in the glacial system over various time scales is presented in depth by Jansson (2003). Additional hydrologic processes not directly captured 178 by SRM include water loss to the atmosphere through sublimation, uncertain lag times and 179 180 attenuation related to below ground transportation pathways, and the influence of solar radiation (Bookhagen and Burbank, 2010). Bookhagen and Burbank (2010) note the particular importance 181 182 of the solar radiation factor in low latitude, high elevation sites such as the Himalaya, as it allows for meltwater generation below the freezing point. 183

185 Hock et al. (2005) note that, compared to glacier mass balance and glacier size variations under changing climate, far less attention has been given to glacier discharge. Moreover, they observe 186 that very few glaciers are subject to simultaneous mass balance and discharge monitoring. 187 Hewitt (2011) reviews reconstructed terminus fluctuations among major Karakoram glaciers, 188 observing chaotic development, with advances and retreats frequently out of phase with one 189 another. These observations confirm the limitation of SRM in glacial regions, since the snow-190 covered area input variable will usually be positively correlated with glacier mass balance and 191 192 extent.

193

The culmination of past and contemporary research must be a statement of snowmelt runoff uncertainty informed by results from SRM applications and known components of its error term. From these, a metric describing ranges of acceptable error may be possible for incorporation into water dispute mitigation efforts. This proposed research objective is not for quantitative reconciliation of the error term to achieve an improbable (or impossible) complete accounting of water through SRM. Rather, the purpose is to obtain a thorough identification and functional representation of those hydrologic processes occurring regionally and not captured by SRM.

202

203 4. Conclusion

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Conceptual snowmelt runoff models such as SRM provide a valuable resource for estimating
basin runoff and thus informing water resource management decisions throughout the Upper
Indus Basin. SRM's most sensitive input variables are an accumulated degree-day temperature

208 index and snow-covered area (Tahir et al., 2011), both of which can be reliably obtained from available datasets and remote sensing imagery, respectively. Physically-based distributed 209 models are probably not viable for the vast expanses of remote, high altitude ranges between the 210 211 Hindukush and Greater Himalaya where extensive field observations are impractical. 212 In order to mitigate present and future water disputes between India and Pakistan, we suggest 213 development of an accounting-oriented framework for viewing water resources of the UIB 214 informed by conceptual models and augmented by quantitative analysis of model residuals and 215 thus a metric for acceptable ranges of water balance uncertainty. This proposed agenda reflects 216 the distinction between managing water supply for irrigation, consumption and hydropower 217 versus managing disputes centered on water diversion, storage and withholding. The potential 218 219 for crisis and conflict surrounding water disputes in this region justifies undertaking this difficult task. While it is unlikely that such work will eliminate water disputes between Pakistan and 220 India, their mitigation through neutral arbitration by the international community can benefit 221 greatly from the refined hydrological insights advanced by this research agenda. 222 223 224 References 225 226

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