

University of Wisconsin Milwaukee UWM Digital Commons

Theses and Dissertations

August 2015

Design and Comparison of Si-based and SiC-based Three-Phase PV Inverters

Wei Fu

University of Wisconsin-Milwaukee

Follow this and additional works at: <https://dc.uwm.edu/etd>

 Part of the [Electrical and Electronics Commons](#)

Recommended Citation

Fu, Wei, "Design and Comparison of Si-based and SiC-based Three-Phase PV Inverters" (2015). *Theses and Dissertations*. 950.
<https://dc.uwm.edu/etd/950>

This Thesis is brought to you for free and open access by UWM Digital Commons. It has been accepted for inclusion in Theses and Dissertations by an authorized administrator of UWM Digital Commons. For more information, please contact open-access@uwm.edu.

DESIGN AND COMPARISON OF SI-BASED AND SIC-BASED THREE-PHASE PV
INVERTERS

by

Wei Fu

A Thesis Submitted in
Partial Fulfillment of the
Requirements for the Degree of

Master of Science

in Engineering

at

The University of Wisconsin-Milwaukee

August 2015

ABSTRACT

DESIGN AND COMPARISON OF SI-BASED AND SIC-BASED THREE-PHASE PV INVERTERS

by
Wei Fu

The University of Wisconsin-Milwaukee, 2015
Under the Supervision of Professor Robert M. Cuzner

The opportunities for both power density and efficiency improvements of photovoltaic (PV) inverter have come with the development of commercially available wide bandgap (WBG) devices such as Gallium Nitride (GaN), and Silicon Carbide (SiC).

In this thesis, how the replacement of Silicon (Si) Insulated Gate Bipolar Transistor (IGBT), with SiC Metal-Oxide-Semiconductor Field Effect Transistor (MOSFET) affects the power density and efficiency of a solar inverter implementation is presented. The focus is on achieving a minimum volume of the output filter which meet the current harmonic performance of IEEE standard, while meeting the thermal constraint of the semiconductor device. Efficiency improvements are also characterized through an accurate calculation of device and magnetic component losses—the largest contributors to loss in the system.

MATLAB/Simulnk and PLECS are used to assist in the process. Simulation is used to calculate the differential mode pulsed voltages so that the required attenuation of the filter can be determined and to determine the maximum switching frequency at which the device can operate for a given heatsink design. Thus the power density can be compared. At the

same time, by using the same filter but changing out devices, the efficiencies at the same power density can be compared.

According to the results, when both use the maximum junction temperature as the constraint, SiC-based inverter can operate at a much higher switching frequency, which leads to a significant decrease in filter components and resulting a higher power density than Si-based inverter. When operating at the same switching frequency, which means keeping the power density the same, SiC devices leads to an improvement in efficiency.

© Copyright by Wei Fu, 2015
All Rights Reserved

TABLE OF CONTENTS

LIST OF FIGURES

LIST OF TABLES

ACKNOWLEDGMENTS

Chapter 1 Introduction	1
1.1 Background.....	1
1.2 Research Status.....	4
1.2.1 PV System.....	5
1.2.2 Filter Design.....	5
1.2.3 System Impacts of SiC Devices.....	7
1.3 Research Objective and Article Layout.....	8
Chapter 2 LCL Filter Design	12
2.1 Literature Survey	12
2.2 LCL Filter Design Consider Volume.....	14
2.2.1 Inductor Design.....	14
2.2.2 LCL Filter Design	19
2.2.3 Impact of Damping on LCL Filter Transfer Function	21
Chapter 3 Thermal Circuit Calculation.....	23
3.1 Thermal Circuit.....	23
3.1.1 Concept of a Thermal Circuit.....	24
3.1.2 Thermal Circuit for Inverter.....	25
3.1.3 Temperature Calculation.....	27
3.2 Heatsink Design.....	28
Chapter 4 Inverter Losses Calculation.....	32
4.1 Calculation for Si Device Losses.....	33
4.1.1 IGBT Loss.....	34
4.1.2 Diode Loss	38
4.2 Calculation for SiC MOSFET Losses.....	43
4.3 Summary.....	44
Chapter 5 Simulation Results and Analysis.....	44
5.1 Description of the Simulation Model.....	44
5.2 Si IGBT Based Inverter	48
5.3 SiC MOSFET Based Inverter	52
5.4 Comparison of Si Based Inverter and SiC Based Inverter.....	56
5.4.1 Power Density	56
5.4.2 Energy Efficiency	57
5.5 Summary.....	58

Chapter 6 Conclusion and Future Work	59
6.1 Conclusion	59
6.2 Future Work	59
References.....	60

LIST OF FIGURES

Figure 1-1 PV power generation system.....	3
Figure 1-2 A conventional three-phase voltage-source inverter.....	4
Figure 1-3 Three types of filter.....	6
Figure 1-4 Flow chart to obtain maximum switching frequency.....	10
Figure 1-5 Flow chart to obtain energy efficiency.....	11
Figure 2-1 Outline of an inductor with core	14
Figure 2-2 Inductor with air gap.....	14
Figure 2-3 Equivalent circuit of an inductor with air gap.....	15
Figure 2-4 Magnetization curve for core material	16
Figure 2-5 Relationship between winding area and window area	17
Figure 2-6 Three views of the inductor.....	18
Figure 2-7 LCL filter without damping	22
Figure 2-8 LCL filter with damping in series	22
Figure 2-9 LCL filter with damping in parallel	22
Figure 2-10 Bode plot of a LCL filter with and without damping.....	23
Figure 3-1 Thermal circuit for one device with heatsink.....	24
Figure 3-2 Thermal circuit for a three-phase two-level inverter.....	26
Figure 3-3 Package outline (a).....	28
Figure 3-4 Package outline (b).....	29
Figure 3-5 Module dimension.....	29
Figure 3-6 Inverter with heatsink.....	29

Figure 4-1 Thermal description entrance for IGBT	34
Figure 4-2 Thermal description of IGBT (conduction loss)	34
Figure 4-3 I_C - V_{CE} curve IGBT, output characteristic of IGBT (Inverter (typical))	35
Figure 4-4 Instantaneous conduction loss of IGBT	35
Figure 4-5 Thermal description of IGBT (turn-on loss)	36
Figure 4-6 Thermal description of IGBT (turn-off loss)	37
Figure 4-7 I_C - E curve, switching losses characteristic of IGBT (Inverter (typical))	37
Figure 4-8 Instantaneous switching loss of IGBT	38
Figure 4-9 Thermal description entrance of Diode	39
Figure 4-10 Thermal description of Diode (conduction loss)	39
Figure 4-11 I_F - V_F curve, forward characteristic of Diode (Inverter (typical))	40
Figure 4-12 Instantaneous conduction loss of Diode	40
Figure 4-14 Thermal description of Diode (turn-off loss)	42
Figure 4-13 I_F - E curve, switching losses characteristic of Diode (Inverter (typical))	42
Figure 4-15 Instantaneous switching loss of diode	43
Figure 4-16 Switching energy vs. temperature	44
Figure 5-1 PV system model	45
Figure 5-2 Control part of PV system	45
Figure 5-3 Third Harmonic Injection part	46
Figure 5-4 Modulation waveforms of Third Harmonic Injection control	46
Figure 5-5 Waveforms of phase-neutral voltage	47
Figure 5-3 Inverter part of PV system	48
Figure 5-4 Relationship between filter volume, capacitance and inductance (Si)	49

Figure 5-5 Waveforms of phase voltage, current and line-line voltage (Si).....	49
Figure 5-6 FFT analysis of phase voltage and current without LCL filter (Si)	50
Figure 5-7 FFT analysis of phase voltage and current with LCL filter (Si)	50
Figure 5-8 Losses of Si IGBT.....	51
Figure 5-9 Losses of Si Diode	51
Figure 5-10 Relationship between filter volume, capacitance and inductance (SiC).....	52
Figure 5-11 Waveforms of phase voltage, current and line-line voltage (SiC).....	53
Figure 5-12 FFT analysis of phase voltage and current without LCL filter (SiC)	53
Figure 5-13 FFT analysis of phase voltage and current with LCL filter (SiC).....	54
Figure 5-14 Losses of SiC MOSFET (44kHz)	54
Figure 5-15 Losses of SiC Diode (44kHz)	55
Figure 5-16 Losses of SiC MOSFET (7kHz)	55
Figure 5-17 Losses of SiC Diode (7kHz)	56

LIST OF TABLES

Table 2-1 Current harmonic requirements	20
Table 2-2 Voltage harmonic requirements	21
Table 2-3 Capacitor table.....	21
Table 3-1 Fin spacing vs. fin length under different flow conditions.....	30
Table 3-2 Volumetric resistance vs. air flow condition	32

ACKNOWLEDGEMENTS

First of all I would like to express my heartfelt gratitude to my advisor Professor Robert M. Cuzner for his patient guidance. I sincerely appreciate for all those discussions and conversations in which Professor Cuzner shared his invaluable knowledge and experience, and helped me to work out difficulties. I feel so lucky and blessed to have such a great advisor who spears no effort to help students. I would like to specifically thank Professor David C. Yu, for his support and encouragement to me, and for his effort to build up the cooperative relationship between University of Wisconsin-Milwaukee and the universities in China, which provide opportunities to students like me to study here. I would also like to thank Professor Naira Campbell-Kyureghyan for her helpful comments on this work. Special thanks as well to Professor Adel Nasiri for opening the door of power electronics for me and his insightful ideas in my work.

Additionally, I want to thank my friends for their advice in my work and help in my life, especially thank Qianqian Jiao and Ming Wang for their help both in my study and personal life.

Finally, I would like to express my deepest appreciation to my family, for their unconditional love and support, only with their love I can go so far to know more about the wonderful world.

Chapter 1 Introduction

In this chapter, the background, research status, and general approach are presented.

1.1 Background

Due to the limitation of fossil resource, the rising costs of power energy, also for the issue of protecting the environment, reducing the pollution emissions and preventing the global warming, the cleanliness and sustainability of the renewable energy makes it more and more important in today's world. As a kind of renewable energy, photovoltaic (PV) power generation has been put into use for more than two decades, and solar PV energy installed capacity over the past decade has risen rapidly. In 2006, the global solar PV energy installed capacity was only 5 gigawatts (GW), while by the end of 2014, the stabilization of solar power over the world was 177 GW according to literature [1]. Per reference [2], the global solar PV energy installed capacity per year could increase to 200 GW by 2025. Furthermore, the price is lower than ever due to the development of the related technology such as the chip manufacturing process. Solar power has become the third most important renewable energy after the hydro power and wind power.

A PV inverter, principally consists of a single phase or three phase voltage source inverter circuit composed of high frequency switching power semiconductor devices, with associated gate drives, DC link capacitance and low inductance laminated busbar, and output filtering required to meet compatibility standards of the grid or distribution system that it is feeding as shown in Figure 1-1. With the development of microelectronic technology, the performance of conventional semiconductor such as Silicon (Si) and

Gallium Arsenide (GaAs) has almost reached their peak due to the nature of the materials. And the opportunities for both power density and efficiency improvements of inverter have come with the development of commercially available wide bandgap (WBG) devices. According to literature [3] and [4] WBG semiconductor materials, also known as the third generation semiconductor materials, typically represented by Gallium Nitride (GaN), Aluminum Nitride (AlN) and Silicon Carbide (SiC), usually have a bandgap at least 3 electron volts (eV), while the bandgap is 1.1eV for Silicon (Si) and 1.4eV for Gallium Arsenide (GaAs). Also, they have high electron saturation velocity and high thermal conductivity. These critical characteristics enable the power devices to operate at higher voltage, higher temperature and higher switching frequencies than their Si-based counterparts. WBG devices are beneficial since these abilities enable the power converter applications to be lower volume and higher efficiency. From a system perspective, the lower volume comes mainly as a result of reductions in heatsink size (since the devices can operate at higher temperature) and smaller filter components. As WBG devices become more commercially available and viable this will lead to more reliable and lower cost systems. Also, for PV applications the potential increases in efficiency are extremely beneficial.

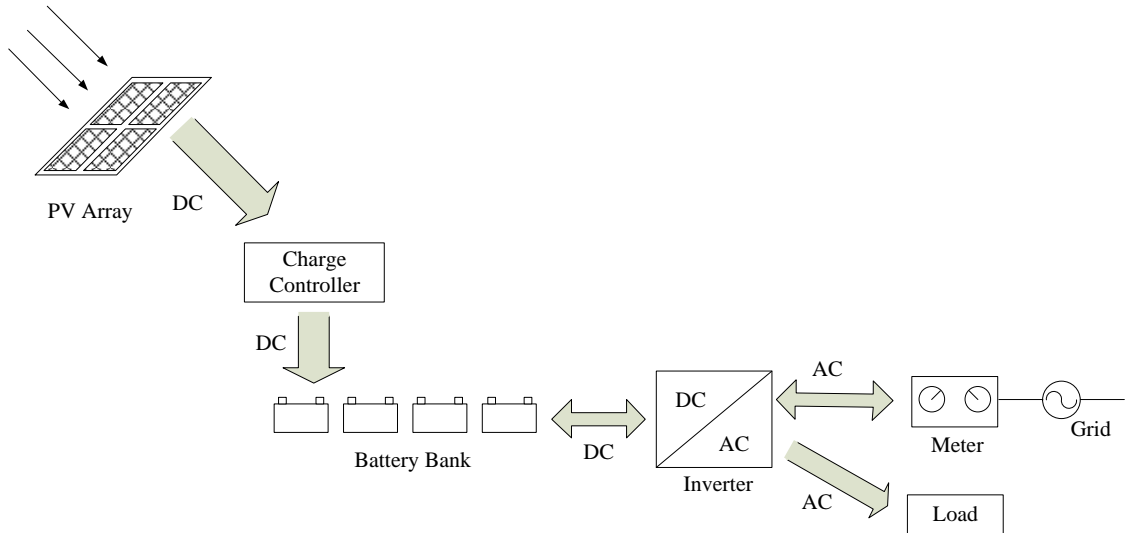


Figure 1-1 PV power generation system

Nowadays, the most available switching device for high voltage and high power application is the Si Insulated Gate Bipolar Transistor (IGBT), as the manufacturing process of this kind of device is mature and cheap. However, this ubiquitous device has its limitation due the Si material. The device junction temperature usually cannot go beyond 125°C and the losses will be high in the high power applications—especially as the voltage rating increases. As a result, for low voltage 480Vac applications the switching frequency typically limited to 20kHz for low power applications (<50kVA) and 5kHz for high power applications (>50kVA). The switching frequency directly impacts filter size and weight which takes up the largest volume of the system for any system, such as a PV inverter, where power quality and Electromagnetic Interference (EMI) standards must be met. Thus the emergence of SiC-based devices which can operate at high temperature and have low losses at much higher switching frequencies has attracted much attention in industries where grid compatible power electronics are required. The SiC Schottky Barrier Diode (SBD) has already been commercialized. These devices make up the reverse diode component of the switches in Figure 1-2. The SiC SBD has a significant advantage of

having essentially a zero reverse recovery characteristic. Reverse recovery is a significant contributor to switching losses in inverter circuits. The SiC Metal-Oxide-Semiconductor Field Effect Transistor (MOSFET) is the most available type of SiC device to use as several companies such as Cree, ROHM and APEI have launched SiC-based MOSFET for high power application. They have extremely low power losses, can be operated at a high junction temperature up to 250°C if it has a well performed package and some of the devices can be operated at a voltage up to 1700V, which means that they can be applied to low voltage systems having voltage ratings as high as 690Vac.

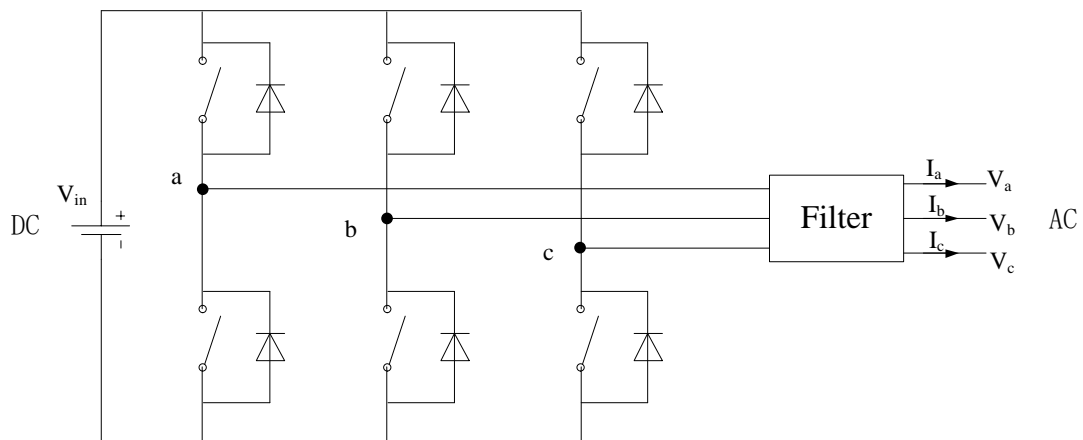


Figure 1-2 A conventional three-phase voltage-source inverter

According to [5] and [6], although some features of GaN are even better than SiC, the poor quality caused by the immature manufacturing process, limits the commercialization of GaN-based device. Therefore the emergence of these devices for practical applications is trailing SiC, but it is promising as the next generation power semiconductor material.

1.2 Research Status

In this section, PV system will be briefly described, research status of the filter design and system impacts of SiC-based devices will be presented.

1.2.1 PV System

As Figure 1-1 shows, PV power generation system is mainly composed of the PV array, charge controller, battery bank, PV inverter, metering system and some other devices. It can be operated in two modes: grid-tied mode and grid-forming mode. The PV inverter is the application of power electronic technology in the field of solar power generation. It is used to convert the direct current (DC) power generated by the solar battery into alternating current (AC) power through the DC to AC inverter circuit, so that the power can be supplied to the load or incorporated into the power grid. The PV inverter is the essential and a core part of a solar PV power generation system. The usual circuit topology is a voltage-source inverter (VSI) which is characterized by a capacitive energy storage, the DC link capacitor bank, and power semiconductors that pass current in both directions but only block voltage in one direction. The large majority of VSIs utilize IGBTs. The voltage blocking limitation of IGBTs in the VSI circuit means that the peak AC voltages will always be lower than the input DC link voltage. The efficiency of PV inverter is one of the major factors that determines the solar array capacity and battery bank capacity. PV inverter is a research focus in the PV power generation market as it plays a great role in the PV system and has the most significant effect on the cost and efficiency of the system.

1.2.2 Filter Design

A conventional three-phase voltage-source inverter is shown as Figure 1-3. The filter is an important part of the PV inverter. As previously mentioned, its size is the greatly impacted by the operating frequency.

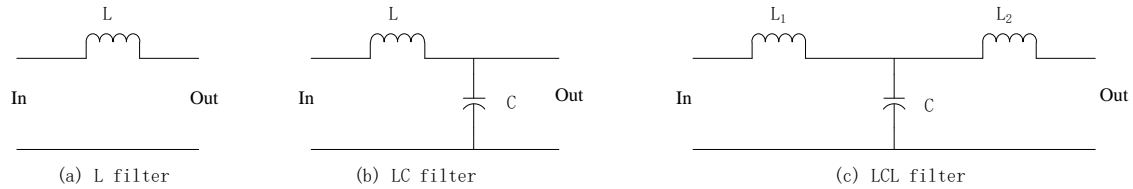


Figure 1-3 Three types of filter

Generally, shown as Figure 1-3 there are three types of filter (single phase): (a) L filter, contains only a filtering inductor, (b) LC filter, contains a filtering inductor and a capacitor, (c) LCL filter, contains two filtering inductors and a capacitor. L filter and LCL filter are the most commonly used type. LC filter is a 2nd-order filter, usually applied in inverters which is working as an isolated source, its filtering effect is equal to LCL filter when it is used in grid-connected inverter. Compared with L filter and LC filter, LCL filter has a better performance in reducing the harmonics in VSI, especially the harmonics distortion near the switching frequency. While design of LCL filter design is more complicated than the design of L and LC types, and literatures mostly on the LCL filter.

References [7]-[18] are generally based on the same conditions and follow the procedures which are proposed by Marco Liserre in [7] to decide the values of the passive components. As described in the literatures, the basic conditions for the values of the inductance and capacitance are according to the base values and resonant frequency of the filter, then based on the required filter attenuation to decide the inductance and capacitance. The detailed algorithm will be presented in section 2.1.

1.2.3 System Impacts of SiC Devices

In general, Si IGBT is always used in conventional PV inverters, but the emergence of commercial SiC-based power transistors, especially SiC MOSFET, provides an alternative for the switching device. Recently, much research has been done in applying SiC-based device to PV system, such as in literature [19], [20] and [21].

Also, SiC-based and Si-based devices employed in PV system have been compared in literatures, such as [22], [23], [24] and [25] which demonstrate that SiC-based devices have lower losses. While SiC devices reduce the losses this is accompanied by higher di/dt and dv/dt which have impacts on many aspects of the system design, such as stresses on the insulation systems of any components that the SiC devices are switching against, impacts on EMI and excitation of high frequency parasitic that exist within the LCL filter components which can reduce the effectiveness of the filter if not taken into account in the filter design stage. All of these considerations impact the packaging of the devices into the system and this is the major area of emerging research in this field. Literature [26] considered the loss versus EMI, and came up with a tradeoff of SiC-based and Si-based devices.

What's more, SiC devices enables reductions in the size of the thermal management system and this will also come with improvements to energy efficiency which are also important—especially to the PV system application. Reference [21], [22], [23], [27] and [28] discuss the loss, thermal system management and efficiency improvement benefits of SiC-based devices.

So far, SiC-based device is proved in literatures to have lower losses and can improve the operating frequency of the inverter, thus the size of passive components can be greatly reduced, which leads to lower costs of the PV system.

1.3 Research Objective and Article Layout

The main objective of this thesis is to compare how the replacement of Si IGBTs with SiC MOSFETs affects the power density and efficiency of a solar inverter implementation. The whole thesis can be described as development of a methodology which can provide two rational indices, power density and energy efficiency, in order to compare the how an application is enhanced through the upgrade to SiC devices

The first index is the power density. In this thesis, the main procedures to get the maximum power density are described in Figure 1-4. From this flow chart, the maximum switching frequency can be obtained. Since typically there is a negative correlation between the volume of the inverter and the switching, the maximum power density of two kinds of devices can be obtained from a maximum junction temperature constraint. The objective of this exercise is to obtain the minimal possible volume for each of the designs being considered—i.e. minimum volume that is possible with a solar inverter utilizing Si IGBTs or SiC MOSFETs. Once the maximum switching frequency is determined, the minimum filter size can be determined for the switching frequency given the inverter harmonic output requirements. Design of the minimum filter size includes determination of the optimal allocation of inductance and capacitance to the filter design with the following inputs and constraints:

- Power rating
- Current limiting capability under a faulted condition
- Current controllability
- Minimization of filter component parasitic elements that are effected by operation at the switching frequency

Since typically there is a negative correlation between the volume of the inverter and the switching frequency, it is expected that the SiC-based inverter will have the greater power density.

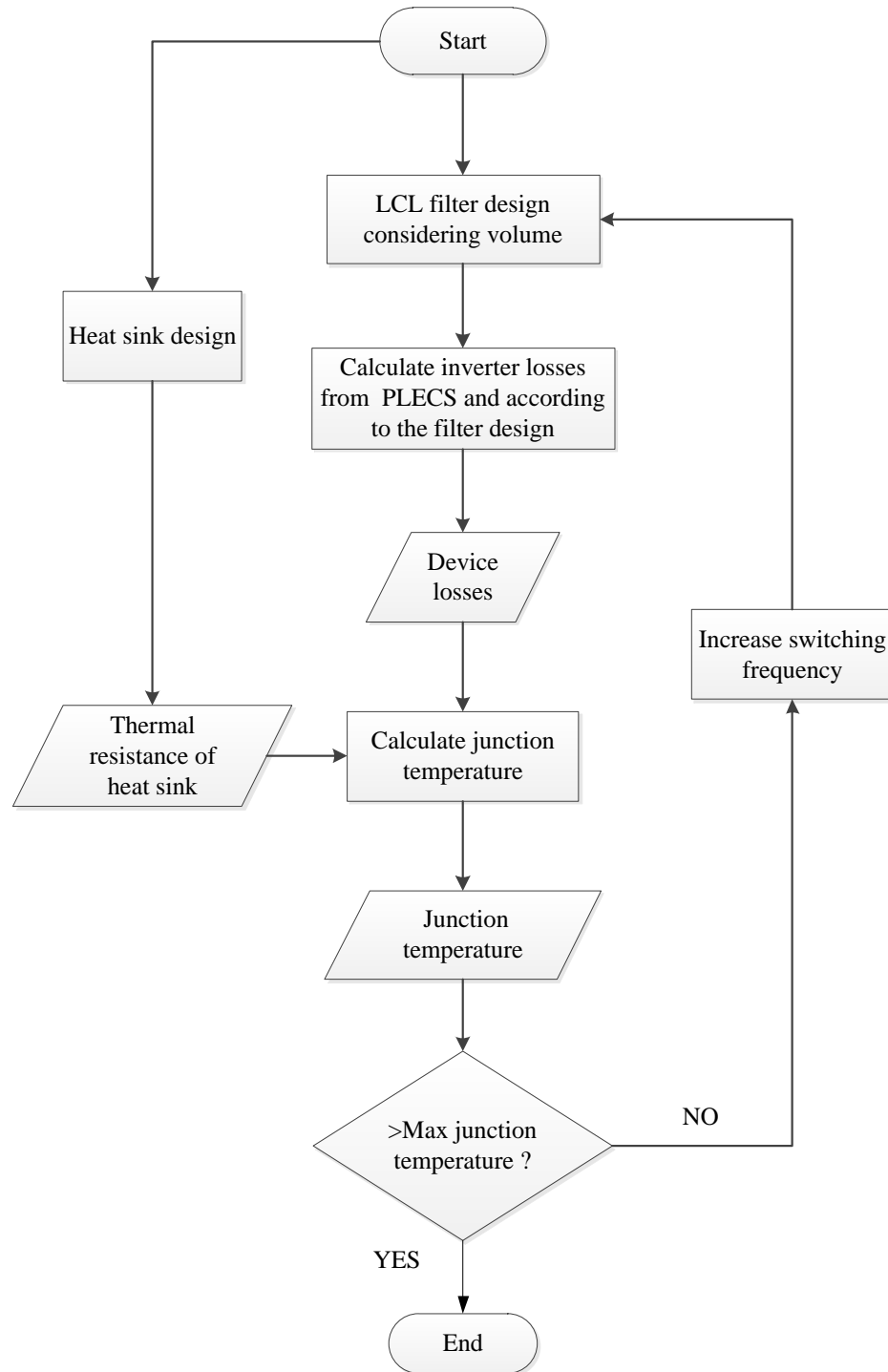


Figure 1-4 Flow chart to obtain maximum switching frequency

The other index is the energy efficiency. In this thesis, the main procedures to get the energy efficiency are described in Figure 1-5. In this case, the larger volume design for the power density index is selected and it provides the switching frequency. This volume will

be that of a Si IGBT based solar inverter designed to achieve a maximum power density given temperature constraints. The efficiency of that design will then be calculated. This will be followed by determination of the losses if the Si IGBTs were simply replaced by SiC MOSFETs keeping the switching frequency and LCL filter design the same.

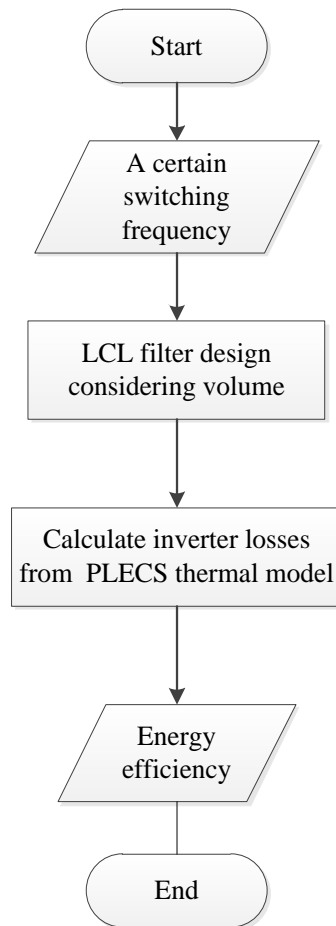


Figure 1-5 Flow chart to obtain energy efficiency

In this thesis, a three-phase PV system with rated power of 200KVA, rated voltage of 480V and DC link voltage of 750V is designed. According to the system requirements, Si IGBT module FF300R12KT4 from Infineon and SiC MOSFET CAS300M12BM2 module from Cree are chosen to be the switching device for the respective Si- and SiC-based designs, since the operating voltage of these two devices is 1200V and the maximum current can go beyond 400A which can ensure safe system operation.

There are 6 chapters in this thesis. Chapter 1 briefly introduces the background of this research and the new progress in the area. Research objective and article layout are also included in this chapter. Chapter 2 mainly explains the LCL filter design algorithm which considered the volume the filter. It is the crucial basis for the latter part of the thesis. Chapter 3 consists of thermal circuit and heatsink design. It provides the theoretical foundation for the temperature calculation. Chapter 4 illustrates the calculation of inverter losses, it is the crux part to get the final results. Chapter 5 is mainly composed of simulation part and analysis part, furthermore, this chapter leads to the conclusion of this thesis which is stated in chapter 6. Finally, Chapter 6 presents the conclusion and prospects the future work.

Chapter 2 LCL Filter Design

2.1 Literature Survey

According to the literatures [7]-[18], the following are the basic conditions and procedures for LCL filter design: 1. Base inductance and base capacitance are calculated according to the fundamental frequency and rating values. 2. The inductance and capacitance values of the filter are usually some percentage of the base values. Usually, the total inductance should not exceed 12% of the base value, the capacitance should not exceed 10% of the base value and start at 3% of the base value. 3. The converter side inductance L_1 is determined by the ripple current. And the grid side inductance L_2 , which is some percentage of convert side inductance. L_1/L_2 ratio ranges from 2 to 7, and usually the ratio is equal to 3 for a good performance. 4. Resonance frequency of filter must avoid to be close to both fundamental frequency and switching frequency, and it should be bigger than ten times of

fundamental frequency and smaller than half time of switching frequency. 5. The capacitance can be increased by small steps and repeat steps 3 and 4, if the above conditions cannot be met. Also, the ratio of L_1/L_2 can be adjusted if needed. 6. Choose the combination of inductance and capacitance which have the highest attenuation at switching frequency if there are various choices.

Based on these procedures, [8] used the attenuation of output current versus input voltage, and analyzed the relationship of the inductor ratio, capacitor value and the harmonics comprehensively. [9] added a damping resistor which is in series with the capacitor to avoid the resonance at the switching frequency, the authors designed and implemented a filter for a 5 kW three-phase grid-connected inverter, and test the filter in stand-alone mode. [10] designed a filter for grid-connected neutral point clamped (NPC) inverter and compared L filter with LCL filter by simulation with Simplerer. [11] compared the LCL filter with damping resistor in parallel and damping resistor in series, and it showed that the filter with damping in parallel has lower power loss. [12] applied the clonal selection theory into the design of filter for PV system. [17] basically follows the steps above, but it decided the sum inductance considering several other conditions including the reactive power requirements and transient current tracking index.

Most of these papers took the same basic design steps, but they did not take into account the practical combination of inductor and capacitor which lead to a minimum volume, which is critical for the power density of the inverter.

2.2 LCL Filter Design Consider Volume

2.2.1 Inductor Design

In this thesis, the inductor is designed to be able to carry the output current of the inverter and without saturating. The design constraints are based on reference [29]. According to the rated power of this system, a core is needed to reduce the volume of the inductor. Figure 2-1 shows the outline of an inductor with core.

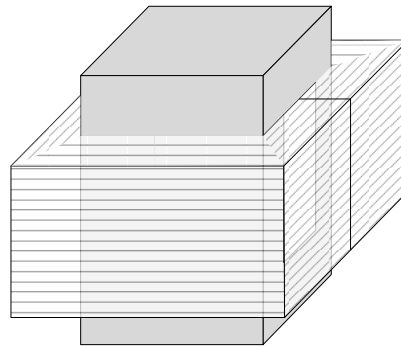


Figure 2-1 Outline of an inductor with core

In order to avoid the saturating of the core and keep inductance constant as a function of current, the air gap is also needed. An inductor with air gap and its equivalent magnetic circuit are shown in Figure 2-2 and Figure 2-3.

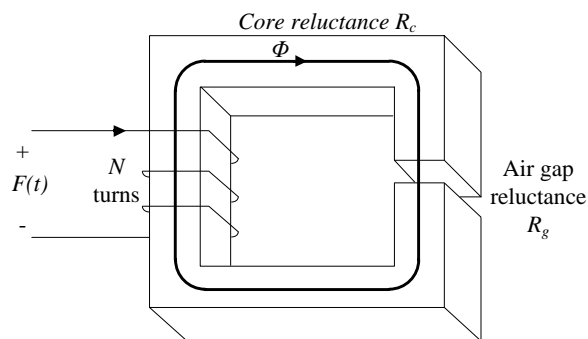


Figure 2-2 Inductor with air gap

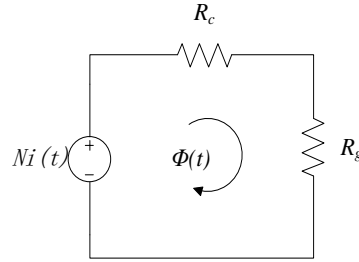


Figure 2-3 Equivalent circuit of an inductor with air gap

In the figure, N is the turns of coils, $i(t)$ is the current in the coil, R_c is the reluctance of the core, R_g is the reluctance of the air gap, $F(t)$ is the magnetomotive force which is equal to $Ni(t)$. From the circuit the magnetic equation can be written as follow:

$$Ni(t) = \Phi(R_c + R_g) \quad \text{Equation 2-1}$$

Because of R_g is much bigger than R_c , Equation 2-1 can be approximated as follow:

$$Ni(t) \approx \Phi R_g \quad \text{Equation 2-2}$$

R_g can be calculated by Equation 2-3.

$$R_g = \frac{l_g}{\mu_0 A_{core} Fr} \quad \text{Equation 2-3}$$

Where l_g is the length of the air gap, μ_0 is the permeability of free space, A_{core} is the cross section area, Fr is the fringe factor.

To make sure the inductor is operating without saturating, the peak current I_{pk} and the maximum magnetic flux density B_{max} should be taken into consideration. These are the main inputs to the design. The maximum flux can be calculated as follow:

$$\Phi_{max} = B_{max} A_{core} \quad \text{Equation 2-4}$$

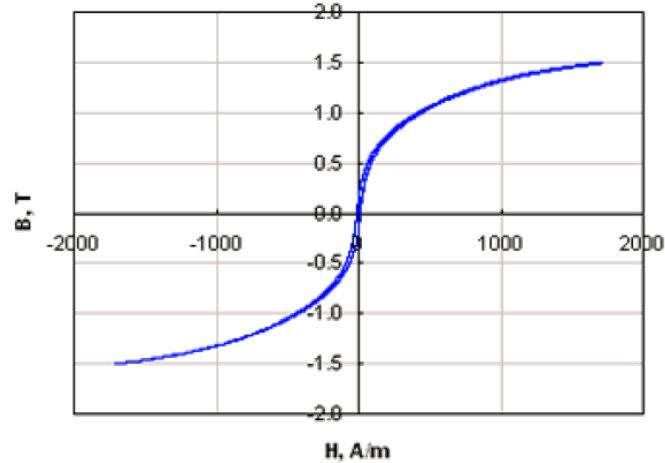


Figure 2-4 Magnetization curve for core material

For this application, the core is manufactured with iron based metglas. According to the magnetization curve in Figure 2-4, the maximum flux density need to be under the saturating flux density and is better to be at the linear part of the curve. 1.2Tesla is chosen to be the maximum flux density. Replacing $i(t)$ with I_{pk} , and substitute Equation 2-3 and Equation 2-4 into Equation 2-2, the design should satisfy the constrain as follow:

$$NI_{pk} = B_{max} A_{core} \frac{l_g}{\mu_0 A_{core} Fr} \quad \text{Equation 2-5}$$

Rearranging Equation 2-5, N can be calculated by:

$$N = B_{max} \frac{l_g}{\mu_0 Fr I_{pk}} \quad \text{Equation 2-6}$$

The inductor should also be designed to have a certain inductance L , and the inductance L can be calculated by:

$$L = \frac{N^2}{R_g} \quad \text{Equation 2-7}$$

For a specified inductance, and substituting Equation 2-3 and Equation 2-6 into Equation 2-7, A_{core} can be obtained as follow:

$$A_{core} = \frac{LI_{pk}^2 \mu_0 Fr}{B_{max}^2 l_g} \quad \text{Equation 2-8}$$

The window area A_{win} can be obtained through a specified maximum current density J . The value for J is chosen to ensure that the hot spot temperature of the coil winding (usually in the center of the coil within the window) is below the winding insulation temperature rating. The relationship between winding conductor area A_{con} and window area A_{win} is shown as Figure 2-5, thus A_{win} can be obtained by Equation 2-9.

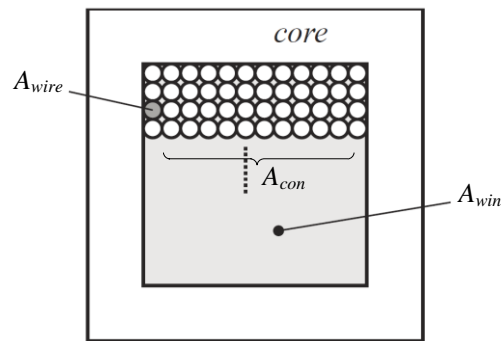


Figure 2-5 Relationship between winding area and window area

$$A_{win} = \frac{NA_{con}}{Fi} = \frac{NI_{pk} / J}{Fi} \quad \text{Equation 2-9}$$

Where Fi is the fill factor, J is the current density. In this thesis 0.3 is chosen to be the fill factor for the Si device. 0.225 is chosen to be the fill factor for the SiC device, since for the SiC device is operating at a higher switching frequency which will lead to bigger stray capacitances between conductors. According to industry design experience, 1800A/inch² is chosen to be the current density in this thesis.

Finally, from the aspect of thermal design, since there is a hot spot inside the inductor winding window space, it is better to have a cubical inductor since it can minimize the distance between the hotspot and the heat-removal system.

According to constrains above, the inductor can be designed. Figure 2-6 shows three views of the inductor to have a clear look at the inductor dimensions.

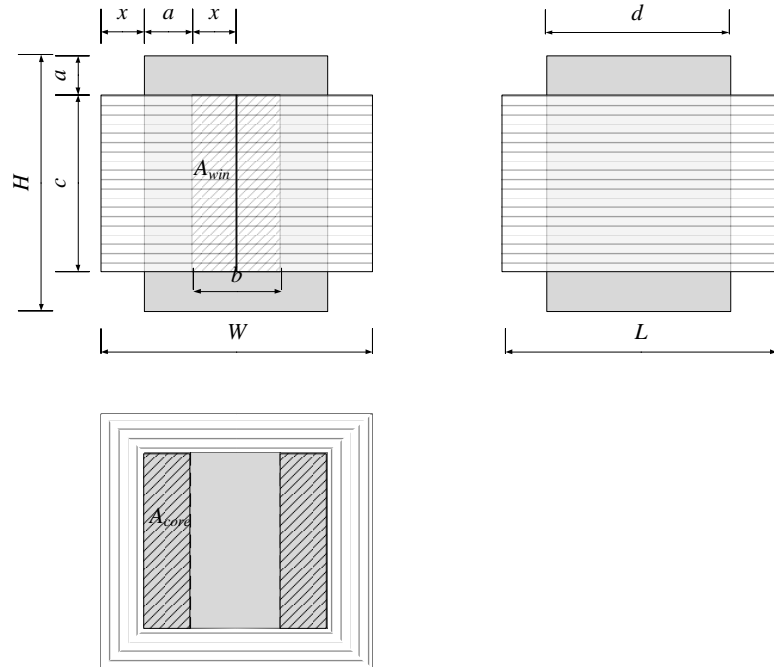


Figure 2-6 Three views of the inductor.

In Figure 2-6, H , W , L are the height, width and length of the inductor. Hence, the volume can be calculated as:

$$Volume = H \times W \times L \quad \text{Equation 2-10}$$

From the geometry relationship, Equation 2-11 and Equation 2-12 can be obtained.

$$A_{win} = 2x \times c \quad \text{Equation 2-11}$$

$$A_{core} = a \times d \quad \text{Equation 2-12}$$

Where A_{win} and A_{core} are from constrains described by Equation 2-8 and Equation 2-9. Since it is a cubical inductor the height, width and length should be equal to each other:

$$H = W = L \quad \text{Equation 2-13}$$

Expand Equation 2-13:

$$c + 2a = 4x + 2a \quad \text{Equation 2-14}$$

$$4x + 2a = 2x + d \quad \text{Equation 2-15}$$

Therefore, the volume can be calculated by solving Equation 2-10~Equation 2-15. Practically, there is a stacking factor that takes into account the spacing between laminations in the d dimension. For this design, it is assumed to be 0.9 in this thesis. The actual volume can be obtained by the volume calculated above divided by that stacking factor.

2.2.2 LCL Filter Design

According to power rating, the combined procedures described above require a starting point. Using the rated power P_B , rated voltage V_B and fundamental frequency f_B , base impedance Z_B , base inductance L_B and base capacitance C_B can be calculated as follows:

$$Z_B = \frac{V_B^2}{P_B} \quad \text{Equation 2-16}$$

$$L_B = \frac{Z_B}{2\pi f_B} \quad \text{Equation 2-17}$$

$$C_B = \frac{1}{2\pi f_B Z_B} \quad \text{Equation 2-18}$$

The total inductance should not exceed 12% of base inductance of the base value, the capacitance should not exceed 10%, however, the power factor can be actively controlled if necessary, this would temper this limitation, and L_1/L_2 ratio is 3.

In this design, the total inductance value, L_1+L_2 , is constrained by the following:

- Resonant frequency:

$$f_{res} = \frac{1}{2\pi} \sqrt{\frac{L_1 + L_2}{L_1 L_2 C}} \quad \text{Equation 2-19}$$

$$10f_B < f_{res} < 0.5f_{sw} \quad \text{Equation 2-20}$$

Where f_B is the fundamental frequency, f_{sw} is the switching frequency.

- Current limiting capability under a faulted condition:

$$\Delta I_{max} = I_{pkmax} - I_{pk} \quad \text{Equation 2-21}$$

$$L_{min} = \frac{2V_{DCmax} f_{sw}}{3\Delta I_{max}} \quad \text{Equation 2-22}$$

Where I_{pk} is the peak current, and the I_{pkmax} is the peak current when a faulted occurs, V_{DCmax} is the maximum DC voltage.

- Current controllability:

The estimate range of capacitance value is 10~200uF. Because of the capacitors can only be obtained from off the shelf parts, while the inductor can be custom designed to achieve a minimum volume, the volumes of capacitors over the range of capacitance values are chosen from actual components. These are used in combination with an iterative inductor design process in order to determine the optimal values of inductance according to a minimum total volume constraint and the required attenuations derived from IEEE519 and IEEE1547 harmonic requirements shown in Table 2-1 and

Table 2-2. And volumes of C can be got from the datasheets as shown in Table 2-3.

Table 2-1 Current harmonic requirements

Individual harmonic order h	h<11	11≤h<17	17≤h<23	23≤h<35	35≤h	Total demand distortion (TDD)
Percent (%)	4.0	2.0	1.5	0.6	0.3	5.0

Table 2-2 Voltage harmonic requirements

Individual harmonic order h	h<11	11≤h<17	17≤h<23	23≤h<35	35≤h	Total harmonic distortion (THD)
Percent (%)	4.0	2.0	1.5	0.6	0.3	5.0

Table 2-3 Capacitor table

Part Number	Capacitance	Volume	
		uF	inch³
2*B25834D4107K4	200	1192.8610	19548431
3*B25834D4476K9	141	953.9964	15632822
2*B25834D4686K4	136	784.1366	12844926
B25834D4107K4	100	596.4305	9774216
B25834D4686K4	68	392.0683	6422463
B25834D4476K9	47	317.9988	5210941
B25834D4336K4	33	250.0806	4098865
B25834D4226K4	22	164.3924	2693291
B25834L4106K9	10	52.77496	864566

The minimization of filter component parasitic elements that are effected by operation at the switching frequency. Switching frequency is maximized while keeping junction temperatures below specified limits then the values of inductance can be obtained from steps above and the volume of inductance from section 2.2.1 is utilized to ensure that the LCL filter per section 2.2.2 is designed to have the minimum volume. Finally, the final LCL values are entered into the model for the calculation of junction temperature per Chapter 3 and Chapter 4. If the junction temperature does not exceed the limit, switching frequency is increased and the above steps are repeated.

2.2.3 Impact of Damping on LCL Filter Transfer Function

The transfer function of LCL filter without damping (shown in Figure 2-7) is

$$T_{LCL} = \frac{1}{S^3 L_1 L_2 C + S(L_1 + L_2)} \quad \text{Equation 2-23}$$

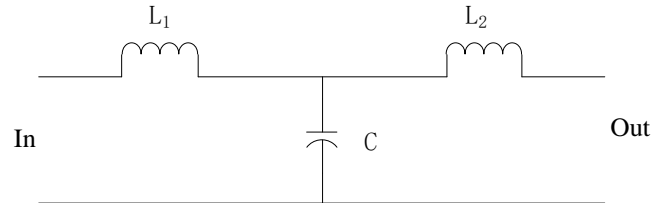


Figure 2-7 LCL filter without damping

The transfer function of LCL filter with damping in series (shown in Figure 2-8) is

$$T_{LCL} = \frac{SCR + 1}{S^3 CL_1 L_2 + S^2 CR(L_1 + L_2) + S(L_1 + L_2)} \quad \text{Equation 2-24}$$

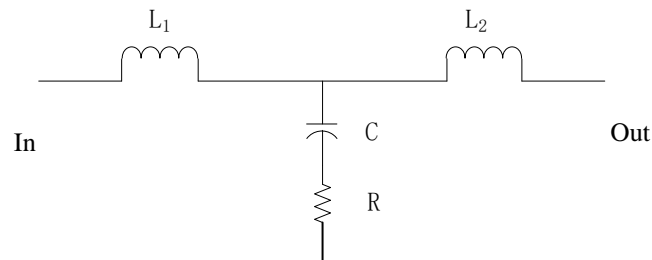


Figure 2-8 LCL filter with damping in series

The transfer function of LCL filter with damping in parallel (shown in Figure 2-9) is

$$T_{LCL} = \frac{R}{S^3 CRL_1 L_2 + S^2 L_1 L_2 + SR(L_1 + L_2)} \quad \text{Equation 2-25}$$

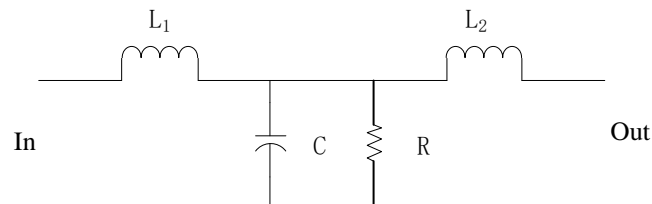


Figure 2-9 LCL filter with damping in parallel

And in this thesis, paralleled damping resistor is applied since the high order harmonic current through the capacitor is lower when the resistor is paralleled according to reference [11], also a capacitance which equals to half of C is in series with damping resistor for EMI issue. Figure 2-10 shows the bode plot of a LCL filter with and without damping.

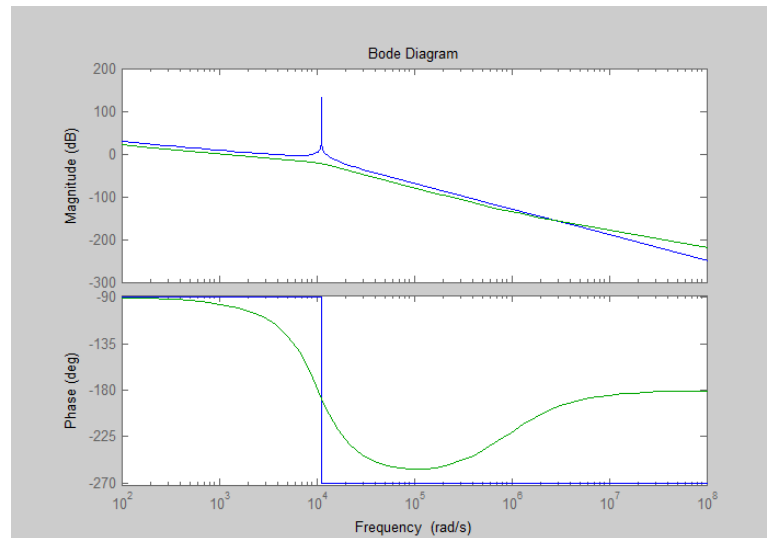


Figure 2-10 Bode plot of a LCL filter with and without damping

It is clear that the resonance of the LCL filter can be well damped with the damping resistor, and usually R can be obtained by Equation 2-26 for wye connection and three times of that for delta connection.

$$R = \frac{1}{6\pi f_{res} C} \quad \text{Equation 2-26}$$

Chapter 3 Thermal Circuit Calculation

This section covers the principles behind the thermal circuit calculation.

3.1 Thermal Circuit

This section covers the thermal circuit concepts for the inverter application.

3.1.1 Concept of a Thermal Circuit

Before the thermal modeling, in order to get a clear understanding of the objective and result of the PLECS model, the concept of a thermal circuit is necessary to be explained.

In an electrical circuit, applying Ohm's law, Equation 3-1 can be written as follow:

$$R = \frac{\Delta V}{I} \quad \text{Equation 3-1}$$

Where R is the resistance, ΔV is the voltage difference, I is the current. Thermal circuit is analogous to electrical circuit and thermal resistance can be written as follow:

$$R_{th} = \frac{\Delta T}{P_D} \quad \text{Equation 3-2}$$

Where R_{th} is the thermal resistance, ΔT is the temperature difference, P_D is the power dissipation. Figure 3-1 shows the thermal circuit and parameter relationships for one device with heatsink.

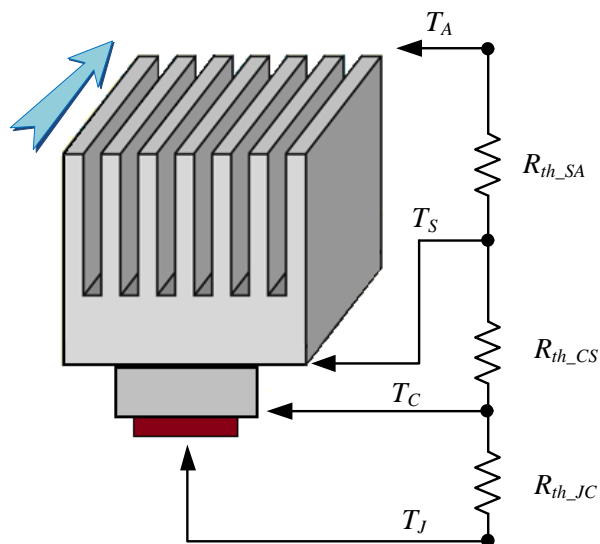


Figure 3-1 Thermal circuit for one device with heatsink

Where T_A is the ambient temperature, R_{th_SA} is the heatsink to ambient thermal resistance, T_S is the heatsink temperature, R_{th_C} is the case to heatsink thermal resistance, T_C is the case temperature, R_{th_JC} is the junction to case thermal resistance, T_J is the junction temperature.

The relationship of power dissipation, temperature, and thermal resistance is shown below:

$$R_{th_JA} = \frac{T_J - T_A}{P_D} \quad \text{Equation 3-3}$$

Where R_{th_JA} is the thermal resistance from junction to ambient. P_D is the power dissipation of the device. And $R_{th_JA} = R_{th_JC} + R_{th_CS} + R_{th_SA}$.

3.1.2 Thermal Circuit for Inverter

The thermal circuit for a three-phase two-level inverter (IGBT is the switching device for example) is shown below.

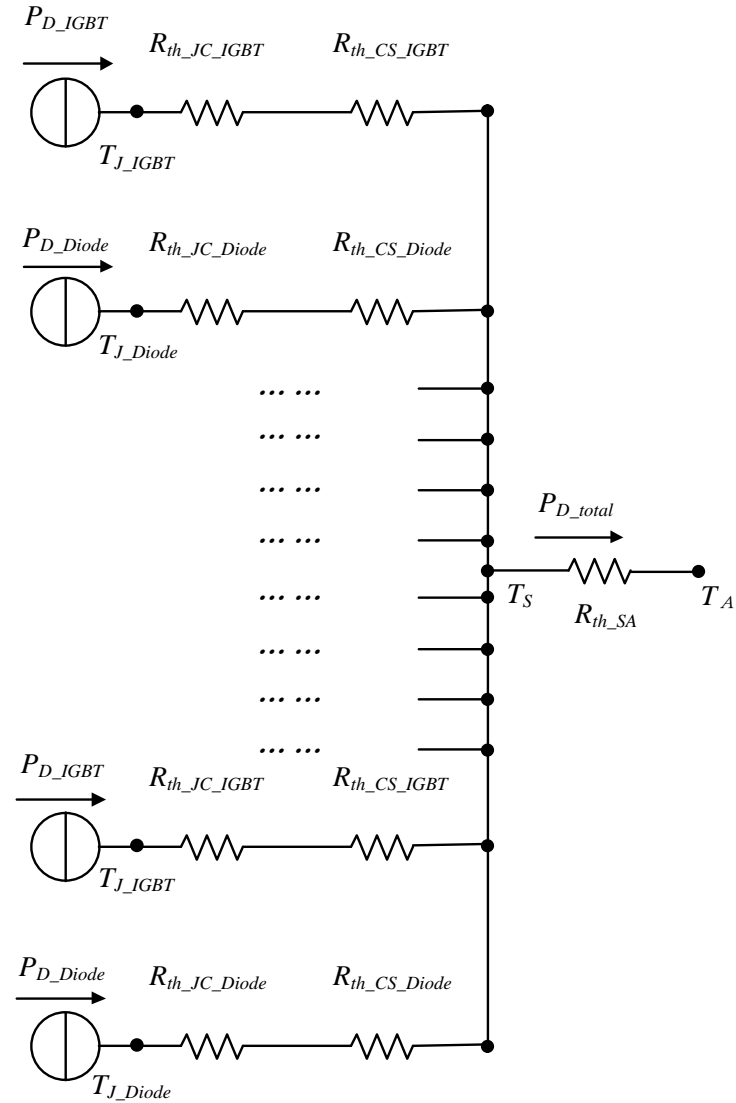


Figure 3-2 Thermal circuit for a three-phase two-level inverter

Where T_A is the ambient temperature, T_{J_IGBT} is the IGBT junction temperature, $R_{th_JC_IGBT}$ is the IGBT junction to case thermal resistance, $R_{th_CS_IGBT}$ is the IGBT case to heatsink thermal resistance, P_{D_IGBT} is the power dissipation per IGBT, T_{J_Diode} is the diode temperature, $R_{th_JC_diode}$ is the diode junction to case thermal resistance, $R_{th_CS_Diode}$ is the diode case to heatsink thermal resistance, R_{th_SA} is the heatsink to ambient thermal resistance, P_{D_Diode} is the power dissipation per diode, and P_{D_total} is the total power dissipation of six IGBTs and six diodes.

Each device can be handled as a current source in electrical circuit, junction to case thermal resistance and case to heatsink thermal resistance for each device are in series, and all the devices connected parallel to the heatsink. It should be noted that the temperature of IGBT is not the same as the temperature of diodes. For each IGBT and diode, the thermal equations can be written as follow:

$$R_{th_SA} = \frac{T_S - T_A}{P_{total}} \quad \text{Equation 3-4}$$

$$R_{th_JC_IGBT} + R_{th_CS_IGBT} = \frac{T_{J_IGBT} - T_S}{P_{D_IGBT}} \quad \text{Equation 3-5}$$

$$R_{th_JC_Diode} + R_{th_CS_Diode} = \frac{T_{J_Diode} - T_S}{P_{D_Diode}} \quad \text{Equation 3-6}$$

3.1.3 Temperature Calculation

In this thesis, the device junction temperature is one of the key factors to restrict the power dissipation capability, while the switching frequency and the characteristic of the device are the factors that determine the power dissipation. This means for the same device, and the other limiting conditions, the device junction temperature determines the switching frequency.

To discover the temperature of the device, Equation 3-4, Equation 3-5, Equation 3-6 are rearranged as follows:

$$\begin{aligned} T_{J_IGBT} &= \left(R_{th_JC_IGBT} + R_{th_CS_IGBT} \right) \times P_{D_IGBT} + T_S \\ &= \left(R_{th_JC_IGBT} + R_{th_CS_IGBT} \right) \times P_{D_IGBT} + R_{th_SA} \times P_{total} + T_A \end{aligned} \quad \text{Equation 3-7}$$

$$\begin{aligned}
 T_{J_Diode} &= (R_{th_JC_Diode} + R_{th_CS_Diode}) \times P_{D_Diode} + T_S \\
 &= (R_{th_JC_Diode} + R_{th_CS_Diode}) \times P_{D_Diode} + R_{th_SA} \times P_{total} + T_A
 \end{aligned}$$

Equation 3-8

3.2 Heatsink Design

Equation 3-7 and Equation 3-8 can be used to determine the heatsink to ambient thermal resistance. This thermal resistance dictates the size of the heatsink. To make sure the value of thermal resistance is in a reasonable range, the heatsink design is expressed.

Si IGBT module FF300R12KT4 from Infineon and SiC MOSFET CAS300M12BM2 module from Cree are the same package. Their dimensions can be obtained from their respective data sheets. Outline of FF300R12KT4 shown as Figure 3-3 and Figure 3-4 (all units are in mm). The length of this module L_C is 61.4 mm, width W_C is 106.4 mm and height H_C is 30.9 mm as shown in Figure 3-5. The heatsink is designed to accommodate these dimensions.

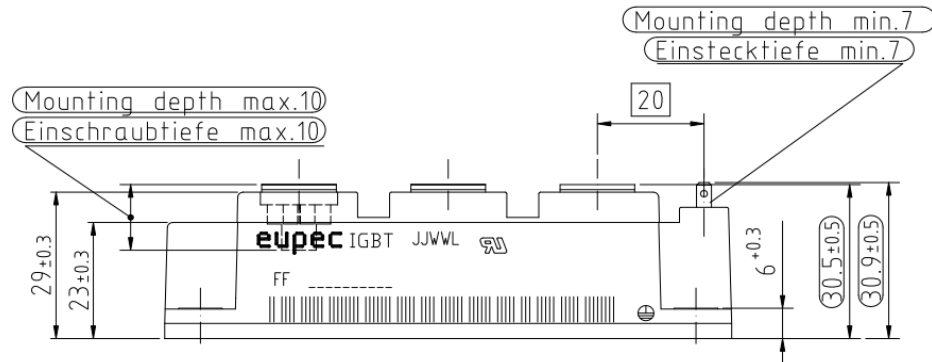


Figure 3-3 Package outline (a)

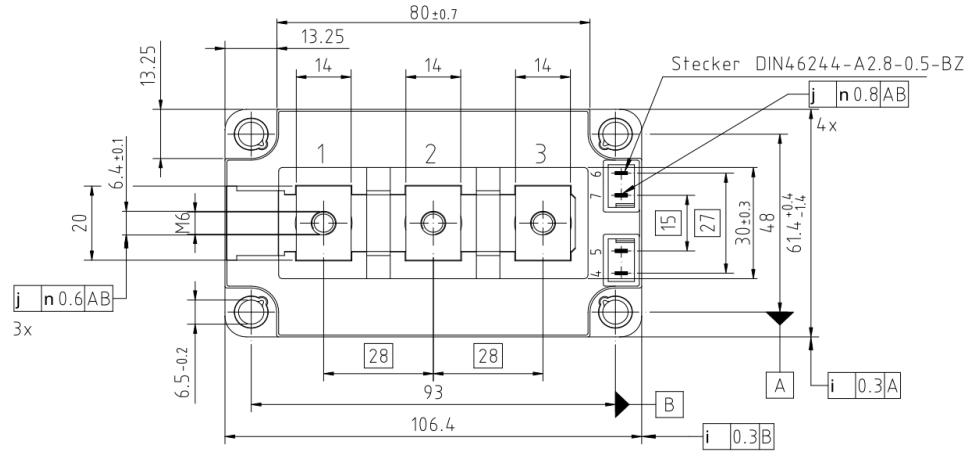


Figure 3-4 Package outline (b)

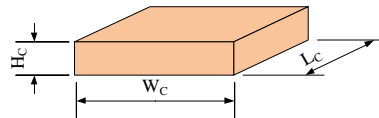


Figure 3-5 Module dimension

Since it is a dual module, three modules can be applied to build a three-phase two-level inverter. In order to get the air flow through and take the most quantity of heat away, the modules are placed parallel as shown in Figure 3-6.

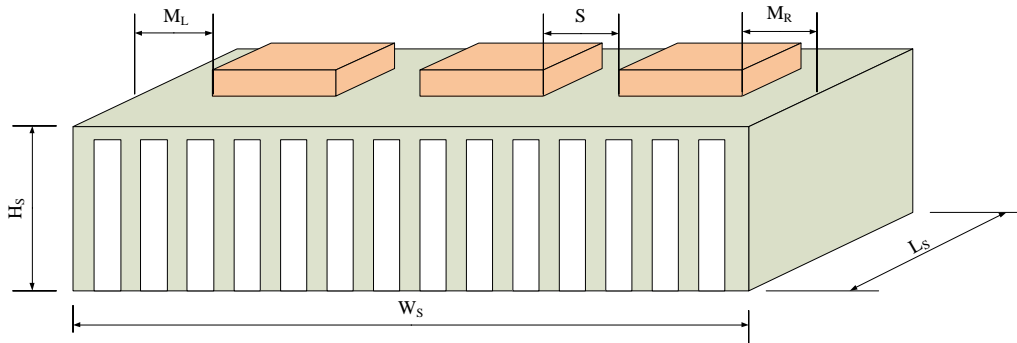


Figure 3-6 Inverter with heatsink

In order to get the volume of heatsink, the length of fin L_s need to be determined. It is assumed that the fin is perpendicular to the air flow direction, so the length of the fin is in the same direction of air velocity. Both the fin spacing and air flow condition are matter for the selection of fin length. In general, the more surface area a heatsink has, the better it works, so a smaller fin spacing should be considered in a design [29] [30]. However, for a

certain width of heatsink, smaller fin spacing means more fins, and that can lead to adverse effects on pressure drops and flow bypass, and the average heat transfer coefficient goes down [30]. Also for a higher fin density, the manufacturing process is more difficult, which will lead to higher costs. According to reference [31], Table 3-1 can be used to help select a reasonable fin spacing and fin length for a well performed heatsink in typical applications. In this thesis, the air condition is under forced convection, because of the high dissipation is needed in PV inverter, and air flow velocity is assumed to be 2.5 s/m (500 linear feet per minute (LFM)).

Table 3-1 Fin spacing vs. fin length under different flow conditions

Fin Spacing mm (inch)	Fin Length mm (inch)			
	75 (3.0)	150 (6.0)	225 (9.0)	300 (12.0)
Flow Condition m/s (LFM)				
Natural Convection	6.5 (0.25)	7.5 (0.30)	10 (0.38)	13 (0.50)
1.0(200)	4.0 (0.15)	5.0 (0.20)	6.0 (0.24)	7.0 (0.27)
2.5(500)	2.5 (0.10)	3.3 (0.13)	4.0 (0.16)	5.0 (0.20)
5.0(1000)	2.0 (0.08)	2.5 (0.10)	3.0 (0.12)	3.5 (0.14)

In general, with the heatsink width (which is perpendicular to the air flow) increased, the heat dissipation of a typical planar heatsink will increase proportionally. The performance of the heatsink is measured in terms of the heatsink to ambient thermal resistance. The lower the thermal resistance, the higher the performance of the heatsink—or the better its effectiveness in removing the heat. The performance is approximately increasing with the square root of the fin length. That means if the width is increased four times, the performance of the heatsink will increase by a factor of four, however, if the length of fin is increased four times, the performance will increase just by a factor of two. So, assuming

the heatsink has a large enough surface area, it is more beneficial to increase the width of the heatsink than increase the length.

The chips have to be on the surface area of heatsink. In this thesis, L_C is 61.4 mm. So, choosing 150 mm can be a good estimation to leave about half length of the chip to the front and back margins. Correspondingly, the fin spacing of 3.3 mm look up from Table 3-1 is a reasonable value. Choosing the spacing S between chips, the left margin M_L and right margin M_R equal to half length of the chip. The width of the heatsink W_S is five times of module width W_C , that is 532 mm. And the height of a heatsink H_S for inverter can goes up to 300 mm. Therefore, 150 mm can be chosen for a reasonable performance.

The volume can be obtained from above procedures, then according to reference [32], the thermal resistance can be obtained by the following equation:

$$R_{th_SA} = \frac{R_V}{V_S} \quad \text{Equation 3-9}$$

Where R_{th_SA} is the heatsink to ambient thermal resistance, V_S is the heatsink volume, R_V is the volumetric resistance.

The rough ranges of volumetric resistance under different air flow conditions are given by Table 3-2.

Table 3-2 Volumetric resistance vs. air flow condition

Flow Condition m/s (LFM)	Volumetric Resistance cm ³ °C/W (inch ³ °C/W)
Natural Convection	500~800 (30~50)
1.0(200)	150~250 (10~15)
2.5(500)	80~150 (5~10)
5.0(1000)	50~80 (3~5)

For this application, the thermal resistance of the heatsink can be calculated as follow (the air flow velocity is 2.5 m/s):

$$R_{th_SA} = \frac{R_V}{V_S} = \frac{80 \sim 150 \times 10^3}{150 \times 532 \times 150} = 0.0067 \sim 0.0125 \text{ } ^\circ\text{C/W} \quad \text{Equation 3-10}$$

For the sake of actual practicability, $R_{th_SA} = 0.01 \text{ } ^\circ\text{C/W}$ in this thesis.

Chapter 4 Inverter Losses Calculation

The losses in the filter and bridge circuit are considered in this thesis, and the total loss of inverter can be calculated by Equation 4-1.

$$P_{loss} = P_{filter} + P_{bridge} \quad \text{Equation 4-1}$$

Where P_{filter} is the filter loss, P_{bridge} is the bridge circuit loss. The filter loss approximately equal to the losses of the inboard inductor, since the harmonics through the inboard inductor causes the major part of loss. The filter loss consists of winding loss and core loss, and they can be obtained by Equation 4-2, Equation 4-3 respectively.

$$P_{winding} = I_{rms}^2 \left(R_0 + (1 + \alpha(T - T_0)) \right) \quad \text{Equation 4-2}$$

$$P_{core} = P_{fundamental} + P_{harmonic} \quad \text{Equation 4-3}$$

Where I_{rms} is the RMS of current, R_0 is the resistance of copper at temperature T_0 , α is the temperature coefficient of copper, T is the operating copper temperature. $P_{fundamental}$ is the

core loss caused by fundamental current, $P_{harmonic}$ is the core loss caused by harmonic current. In this thesis metglas material is used for core, and the loss for metglas can be obtained by Equation 4-4, so $P_{fundamental}$ and $P_{harmonic}$ can be obtained respectively.

$$P_{metglas} = 6.5 f^{1.51} B^{1.74} M \quad \text{Equation 4-4}$$

Where f is the frequency, the unit is in kHz, B is the magnetic flux density, the unit is in T, and M is the weight of core, the unit is in kg. The magnetic flux density for harmonic part approximately equals to 0.3 time of that for fundamental part, and for the total loss caused by the harmonics, it approximately equals to four times of the loss cause by harmonic at switching frequency.

The losses in bridge circuit consist of the losses in the switching devices. For Si IGBT, the losses per device are composed of the losses caused both by the IGBT and the diode. There are conduction losses and switching losses in both the IGBT. The Diode switching losses are the result of reverse recovery losses that occur when the Diode is forced to stop conducting during commutation between the Upper/Lower Diode and the Lower/Upper IGBT in each pole. For SiC MOSFET the losses per device are composed of the losses caused both by the MOSFET and the Diode. There are conduction losses and switching losses in MOSFET. Since there is no reverse recovery loss in the SiC Diode, the only losses are conduction losses.

4.1 Calculation for Si Device Losses

This section describes the process for calculating the losses in the Si IGBT and Si Diode.

4.1.1 IGBT Loss

In this thesis PLECS is used to model and calculate the losses. In PLECS, a thermal description can be added for the transistor. The means by which a new thermal description is added is shown in Figure 4-1. This description, which is shown in Figure 4-2, enables the modeling of detailed characteristics such as conduction loss, switching loss and thermal impedance. When a new thermal description is assigned, it can be viewed and edited in the thermal description library.

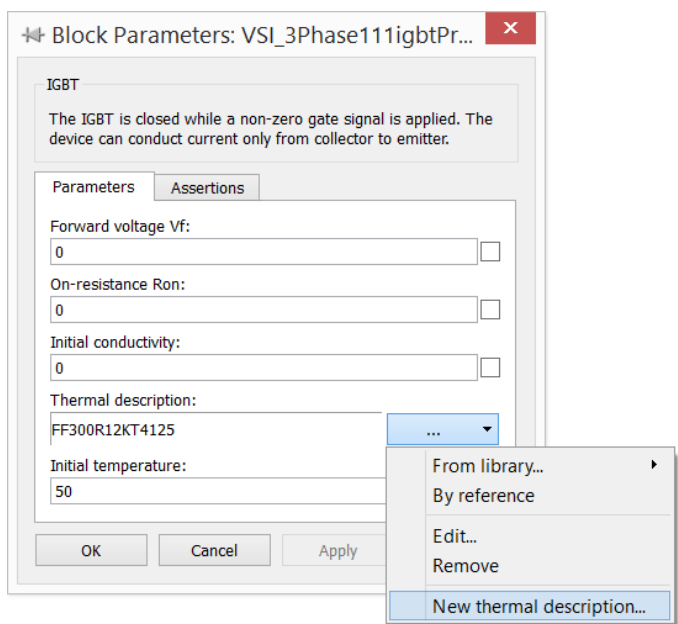


Figure 4-1 Thermal description entrance for IGBT

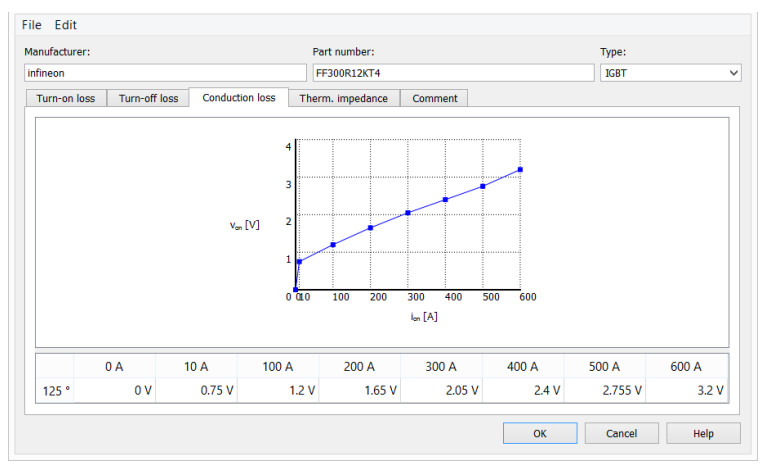


Figure 4-2 Thermal description of IGBT (conduction loss)

In this case, for the conduction characteristic, it is assumed that the IGBTs are running at temperature of 125°C, so the curve for I_C - V_{CE} at 125°C in the datasheet (shown in Figure 4-3) is chosen to build a 2D look-up table. In PLECS, the exact collector-emitter voltage is calculated by using linear interpolation from these values in look-up table. A probe can be added to calculate the instantaneous conduction loss (shown in Figure 4-4) of IGBT and the loss is calculated as follows:

$$P_{con_IGBT}(t) = v_{CE}(t) \times i_C(t) \quad \text{Equation 4-5}$$

Where $v_{CE}(t)$ is the instantaneous collector-emitter voltage, and $i_C(t)$ is the instantaneous collector current.

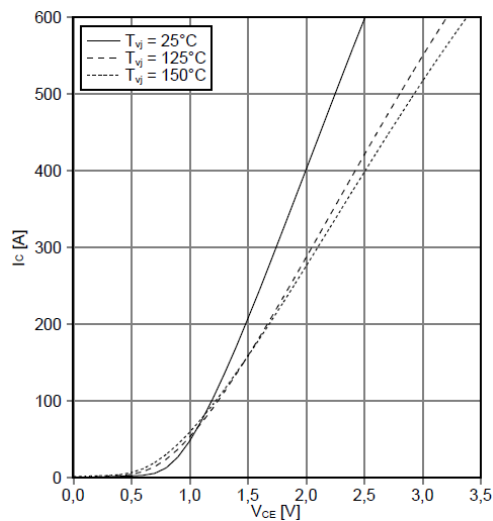


Figure 4-3 I_C - V_{CE} curve IGBT, output characteristic of IGBT (Inverter (typical))

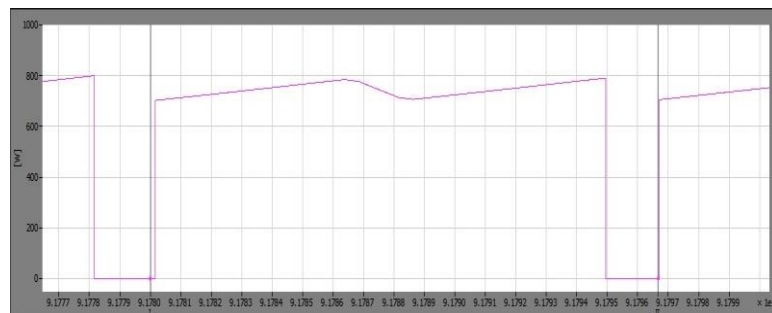


Figure 4-4 Instantaneous conduction loss of IGBT

And the average conduction loss during a switching cycle is calculated as follow:

$$P_{con_IGBT}(t_s) = \frac{\int_t^{t+t_s} P_{con_IGBT}(t) dt}{t_s} \quad \text{Equation 4-6}$$

Where t_s is the switching period. A custom block in the PLECS model calculates the average conduction loss.

In PLECS, IGBT's turn-on and turn-off loss characteristics can be calculated instantaneously using in the thermal description as a 3D look-up table (shown as Figure 4-5 and Figure 4-6). Also, the curve at 125°C in the datasheet (shown in Figure 4-7) is chosen since IGBTs are running at temperature under 125°C in this case. In the datasheet there are only curves at the base collector-emitter voltage which is 600V, the DC voltage in this model is 750V, so adding the data up to 800V is reasonable, and energy loss is assumed to be linearly increasing with the rising voltage.

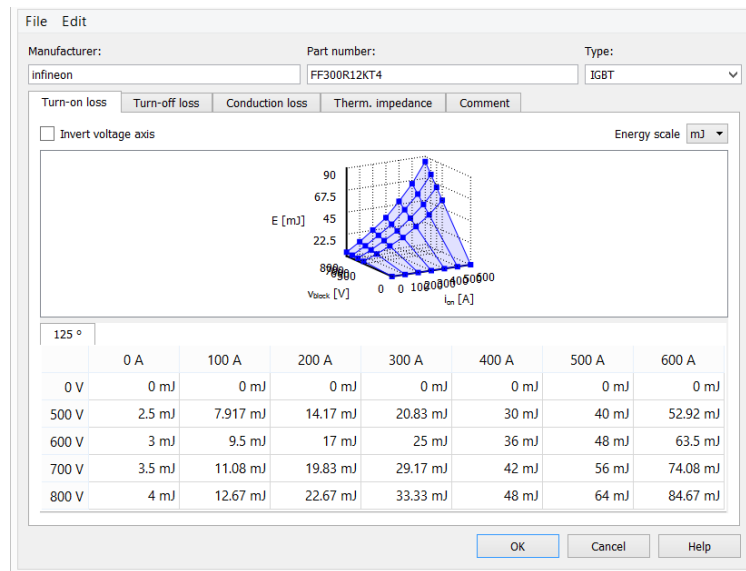


Figure 4-5 Thermal description of IGBT (turn-on loss)

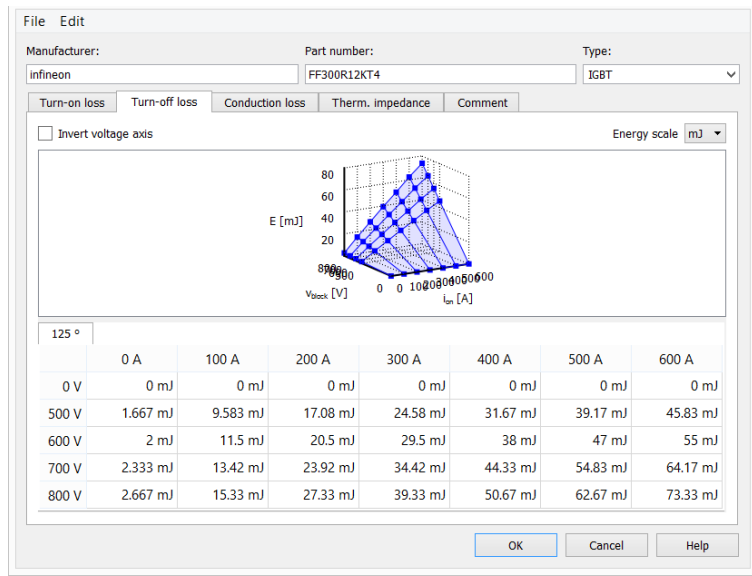


Figure 4-6 Thermal description of IGBT (turn-off loss)

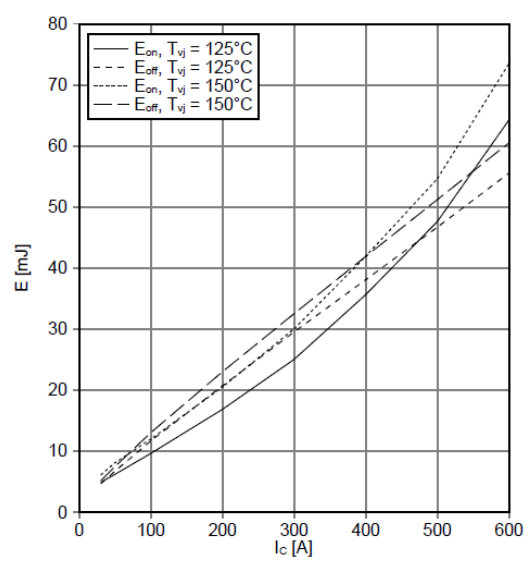


Figure 4-7 I_c - E curve, switching losses characteristic of IGBT (Inverter (typical))

The PLECS simulation can be used to calculate accurate switching losses taking into account the switching interval between on-state and off-state. Switching losses will occur when both the current through and the voltage across an IGBT are greater than zero. Turn-on energy is calculated by Equation 4-7.

$$E_{on_IGBT} = f(T_j, v_{be}, i_{af}) \tag{Equation 4-7}$$

Where i_{af} is the current after switching, v_{be} is the voltage before switching and T_j is the junction temperature.

While turn-off energy is calculated by Equation 4-8

$$E_{off_IGBT} = f(T_j, v_{af}, i_{be}) \quad \text{Equation 4-8}$$

Where i_{be} is the current before switching, v_{af} is the voltage after switching and T_j is the junction temperature.

The total switching energy of the IGBT is calculated by Equation 4-9, Figure 4-8 shows the result from PLECS.

$$E_{sw_IGBT} = E_{on_IGBT} + E_{off_IGBT} \quad \text{Equation 4-9}$$

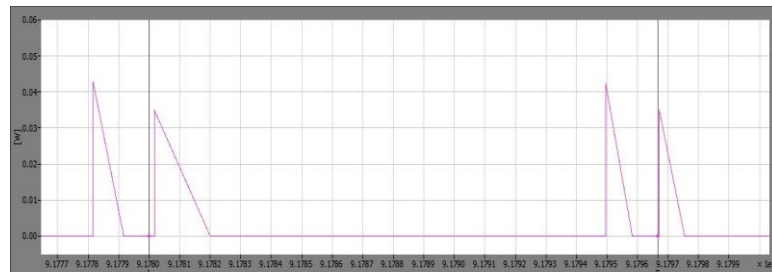


Figure 4-8 Instantaneous switching loss of IGBT

The average switching loss during one switch period is calculated as follow:

$$P_{sw_IGBT}(t_s) = \frac{\sum E_{sw_IGBT}}{t_s} \quad \text{Equation 4-10}$$

Where t_s is the switching period. A custom block in the PLECS model calculates the average switching loss.

4.1.2 Diode Loss

This section describes the method by which the Si Diode losses are calculated.

As was the case for the IGBT, PLECS can be used to calculate the Diode conduction losses by adding a thermal description for the diode, according to Figure 4-9. This description, which is shown in Figure 4-10, enables the modeling of detailed characteristics such as conduction loss, switching loss and thermal impedance. When a new thermal description is assigned, it can be viewed and edited in the thermal description library.

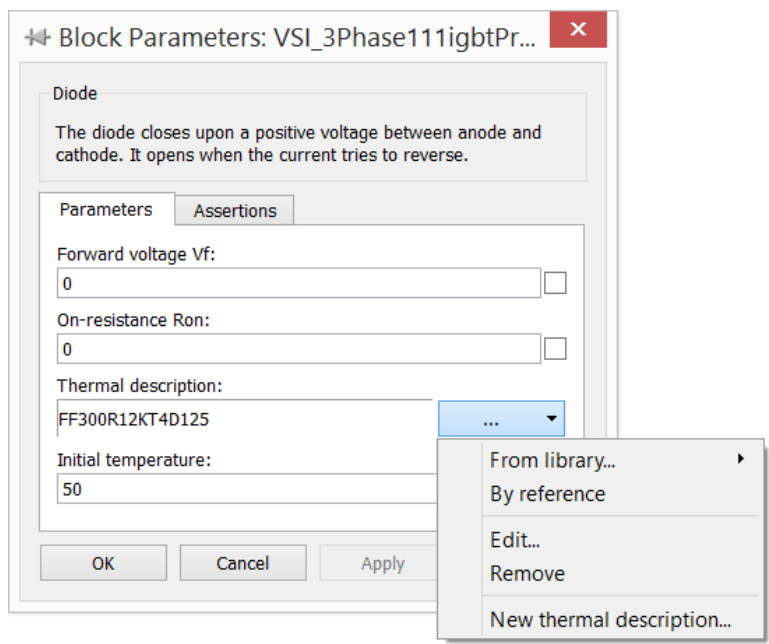


Figure 4-9 Thermal description entrance of Diode

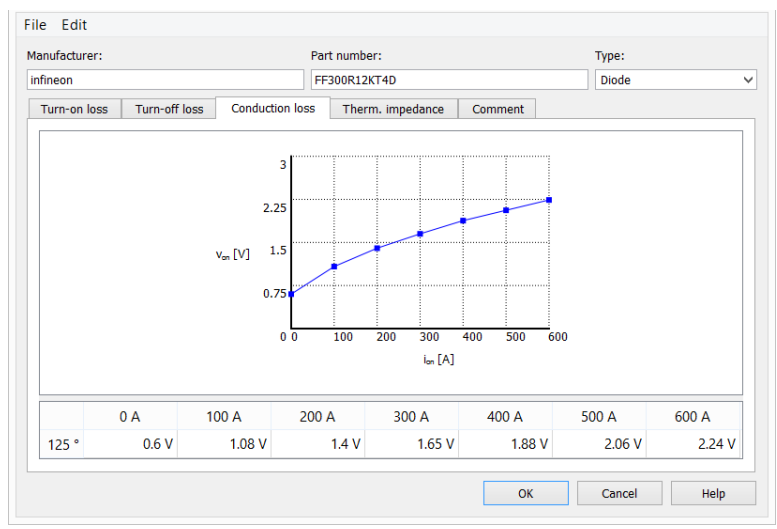


Figure 4-10 Thermal description of Diode (conduction loss)

In this case, for the conduction characteristic, diodes are running at temperature under 125°C, so the curve at 125°C in the datasheet (shown in Figure 4-11) is chosen to build a 2D look-up table. In PLECS, the exact voltage is calculated by using linear interpolation from these values in the look-up table. A probe can be added to calculate the instantaneous conduction loss of the diode and the loss is calculated as follow:

$$p_{con_diode}(t) = v_{on}(t) \times i_{on}(t) \quad \text{Equation 4-11}$$

Where $v_{on}(t)$ is the instantaneous forward voltage, and $i_{on}(t)$ is the instantaneous forward current. Figure 4-12 shows the result from PLECS.

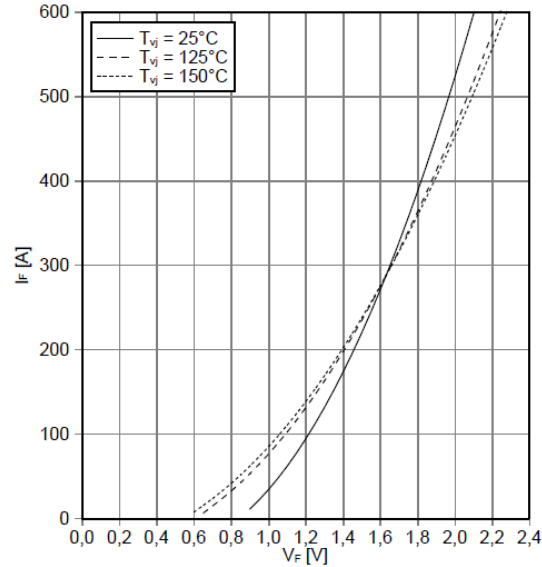


Figure 4-11 I_F - V_F curve, forward characteristic of Diode (Inverter (typical))

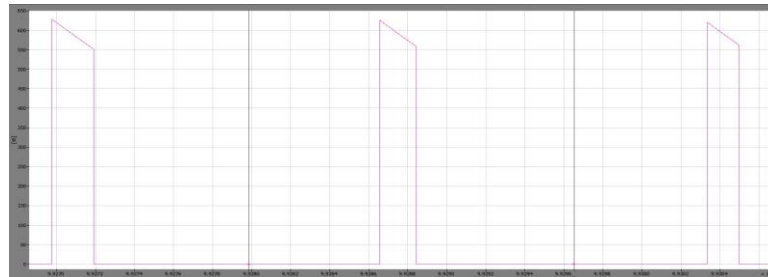


Figure 4-12 Instantaneous conduction loss of Diode

And the average conduction loss during a switching cycle is calculated by:

$$P_{con_diode}(t_s) = \frac{\int_t^{t+t_s} p_{con_diode}(t) dt}{t_s} \quad \text{Equation 4-12}$$

Where t_s is the switching period. A custom block in the PLECS model calculates the average conduction loss.

In PLECS, the turn-on and turn-off loss characteristics of diode can be calculated instantaneously using in the thermal description of as a 3D look-up table (shown as Figure 4-13). But for diode, the turn-on loss is much smaller than the reverse recovery loss, so it can be ignored for this case and there are just turn-off loss (reverse recovery) curves in the datasheet for this device. Also, the curve at 125°C in the datasheet (shown in Figure 4-14) is chosen since diodes are running at temperature under 125°C in this case. In the datasheet there are only curves at the base collector-emitter voltage which is 600V, the DC voltage in this model is 750V, so adding the data up to 800V is reasonable, and the recovery energy is assumed to be linearly increasing with the voltage.

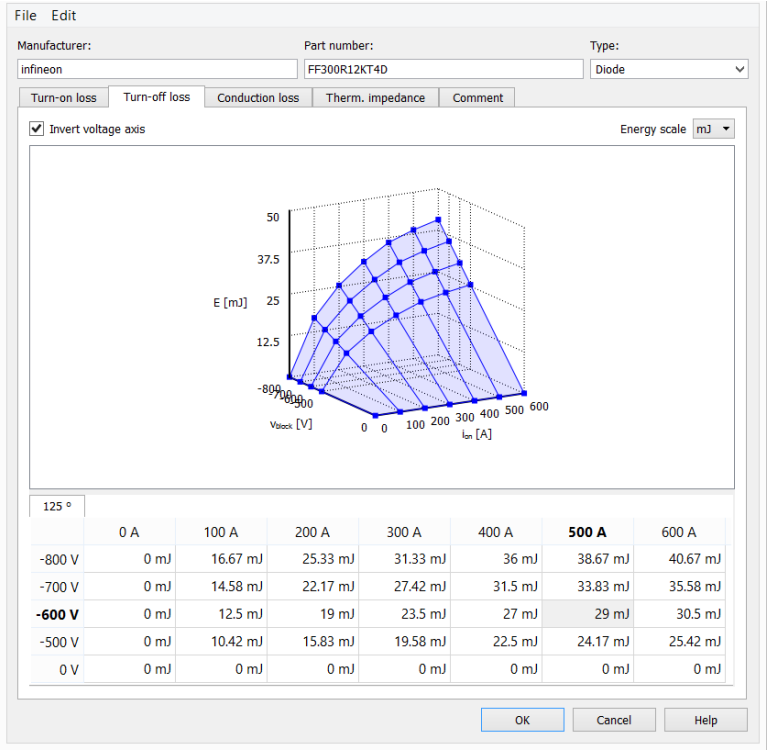


Figure 4-13 Thermal description of Diode (turn-off loss)

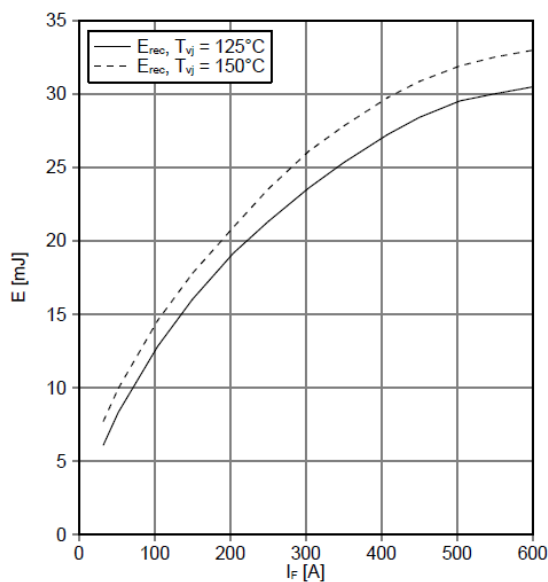


Figure 4-14 I_F - E curve, switching losses characteristic of Diode (Inverter (typical))

In PLECS, the switching energy loss calculation is using the negative blocking voltage and the turn-on loss is neglected, the turn-off loss can be calculated by Equation 4-13.

$$E_{off_diode} = f(T_j, v_{af}, i_{be}) \tag{Equation 4-13}$$

Where i_{be} is the current before switching, v_{af} is the voltage after switching and T_j is the junction temperature. Figure 4-15 shows the result from PLECS.

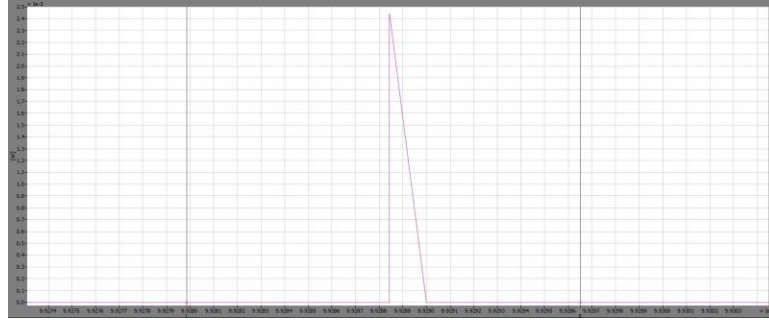


Figure 4-15 Instantaneous switching loss of diode

And the average switching loss is calculated as follow:

$$P_{sw_diode}(t_s) = \frac{\sum E_{off_diode}}{t_s} \quad \text{Equation 4-14}$$

Where t_s is the switching period. A custom block in the PLECS model calculates the average switching loss.

4.2 Calculation for SiC MOSFET Losses

In SiC MOSFET module, there is no recovery current from diode. So for the diode there is no switching loss. There is no turn-off tail current from MOSFET, this character decreases the switching loss. The procedures to calculate SiC MOSFET losses in PLECS is similar to those of Si IGBT, so do not repeat here. However, the curves in the SiC MOSFET datasheet are at 25°C or 150°C, so linearization should be made to get the counterpart at 125°C, but there is one exception for the switching loss curve, because of the switching loss do not increase with temperature. Actually the total switching loss will go down a little bit with the temperature goes up as shown in Figure 4-16.

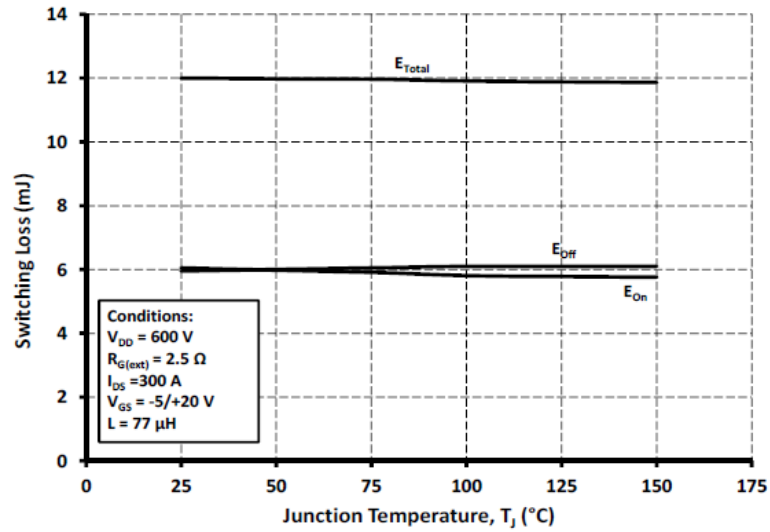


Figure 4-16 Switching energy vs. temperature

4.3 Summary

For both Si IGBT and SiC MOSFET device, the conduction loss are independent of the switching frequency, while switching loss will linearly increase with the switching frequency goes up. Because of the advantage of SiC material, SiC MOSFET have a much lower switching loss.

Chapter 5 Simulation Results and Analysis

5.1 Description of the Simulation Model

In this thesis, a simulation of a simple grid-forming inverter with open loop controls in MATLAB/Simulink is built. It comes up with accurate representation of the pulsed voltages that result from Third Harmonic Injection Pulse-Width Modulation (PWM) controlled inverter and PLECS is used to simulate the losses in the inverter. The model mainly consists of two parts: the control part and the inverter part as shown in Figure 5-1.

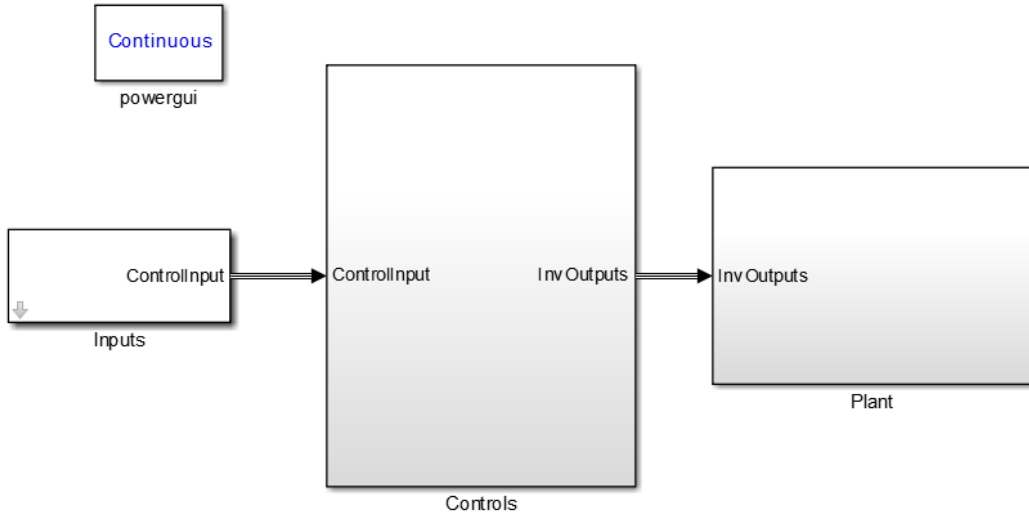


Figure 5-1 PV system model

For the control part shown in Figure 5-2, Third Harmonic Injection PWM control is applied since the harmonics can be reduced and modulation depth can be improved according to reference [33] with the minimum number of switch commutations, and this can ensure the minimum filter size for a given switching frequency. It is a control strategy provides the modulation index up to 1.15, which increases the DC voltage utilization and it is the same to Space Vector PWM.

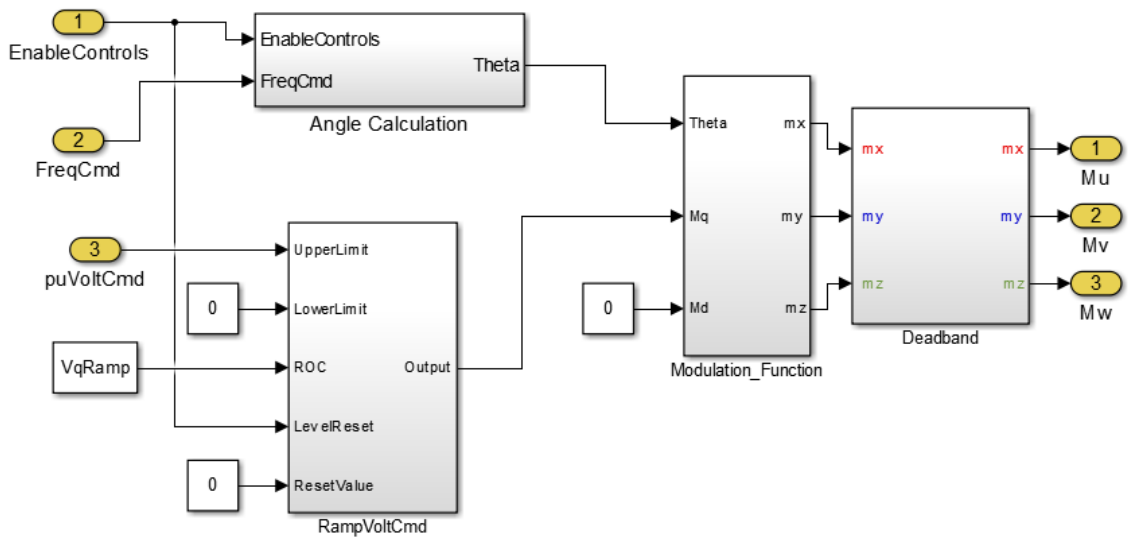


Figure 5-2 Control part of PV system

Figure 5-3 shows the third harmonic injection part, Figure 5-4 shows the modulation waveforms of Third Harmonic Injection PWM control.

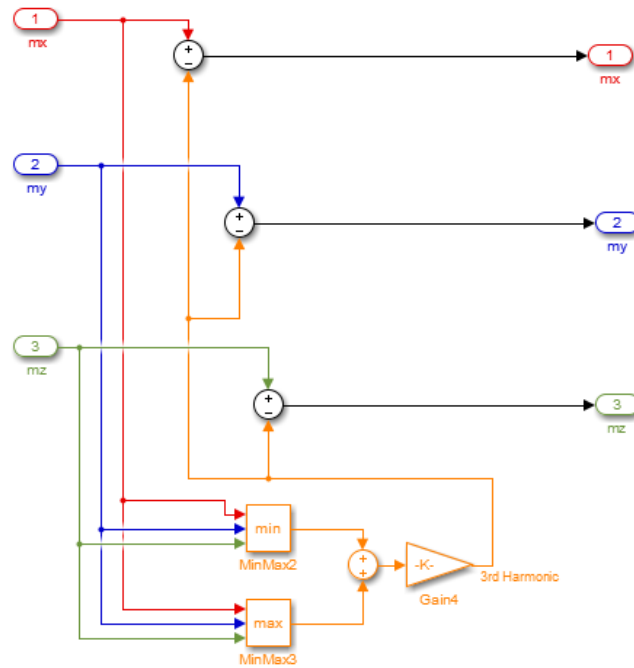


Figure 5-3 Third Harmonic Injection part

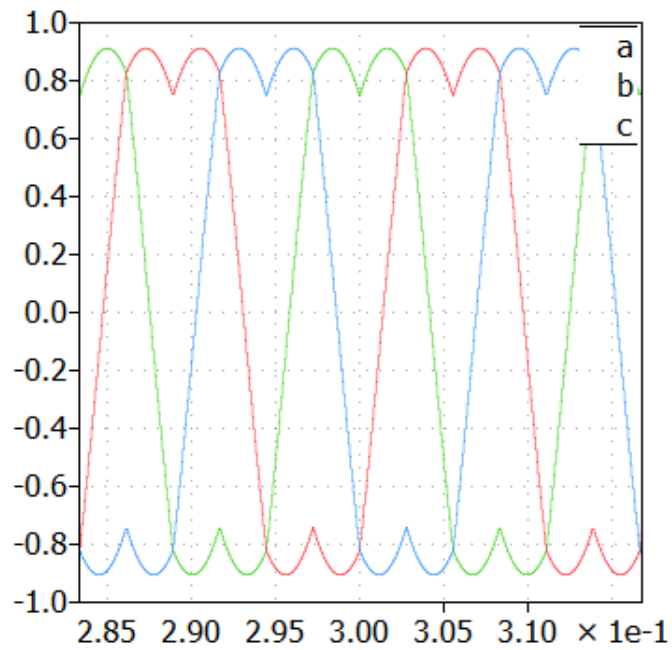


Figure 5-4 Modulation waveforms of Third Harmonic Injection control

And in this thesis naturally sampled PWM is used. The switching pattern can be seen in Figure 5-8, it is symmetric and in every switching period only one switch device is changed, which minimizes the switching loss.

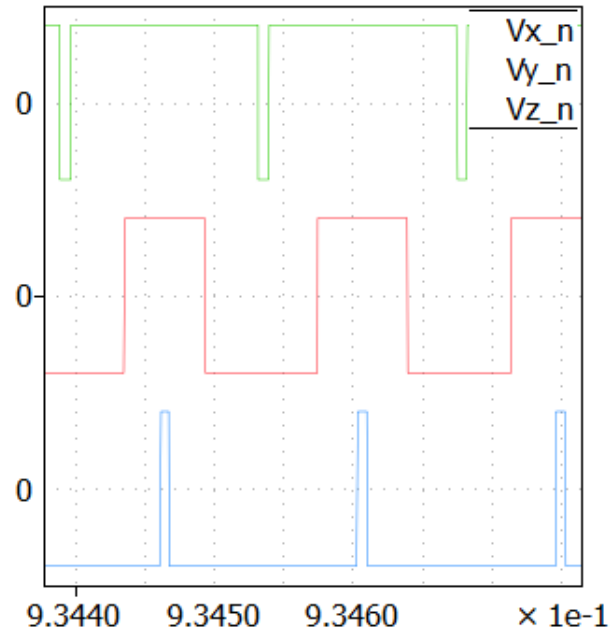


Figure 5-5 Waveforms of phase-neutral voltage

PLECS Blockset is embedded in MATLAB/Simulink as a toolbox, which is used to build the inverter in this thesis. The inverter part is shown in Figure 5-6, including the heatsink, detailed semiconductor model and the loss calculation part.

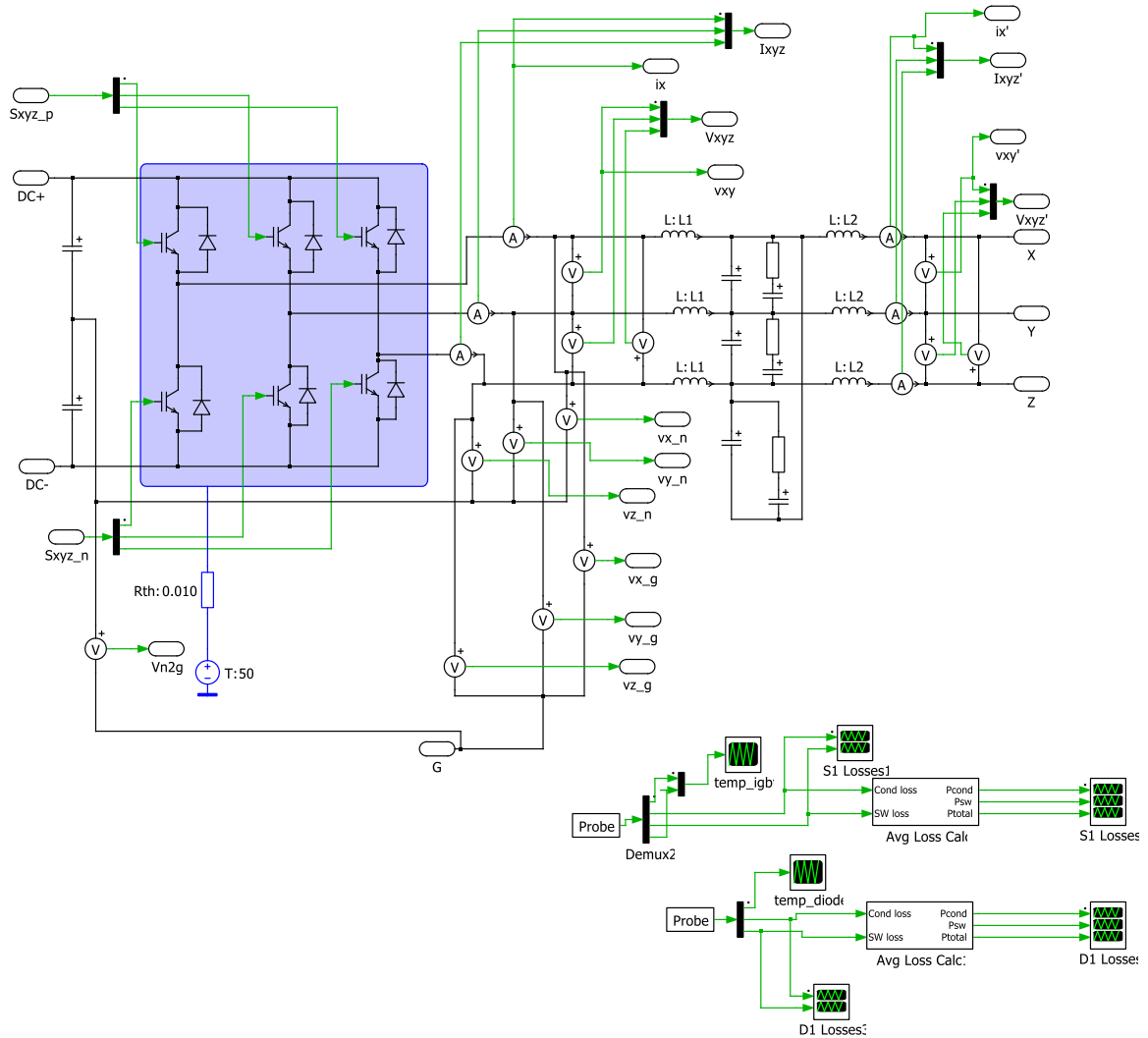


Figure 5-6 Inverter part of PV system

5.2 Si IGBT Based Inverter

The maximum switching frequency of Si IGBT based system is 7kHz, at Si IGBT junction temperature lower than 125°C. Figure 5-7 shows the relationship between filter volume, capacitance and inductance, and the inductance dominates the total volume when capacitance is small, but when the capacitance goes up the volume of capacitance dominates. According to the procedures in section 2.2, the results for minimum volume

LCL design are: inboard inductance L_1 is $172.8\mu\text{H}$, outboard inductance L_2 is $57.6\mu\text{H}$, capacitance for delta connection C_{LL} is $33\mu\text{F}$, and total volume of LCL filter is 0.0421m^3 .

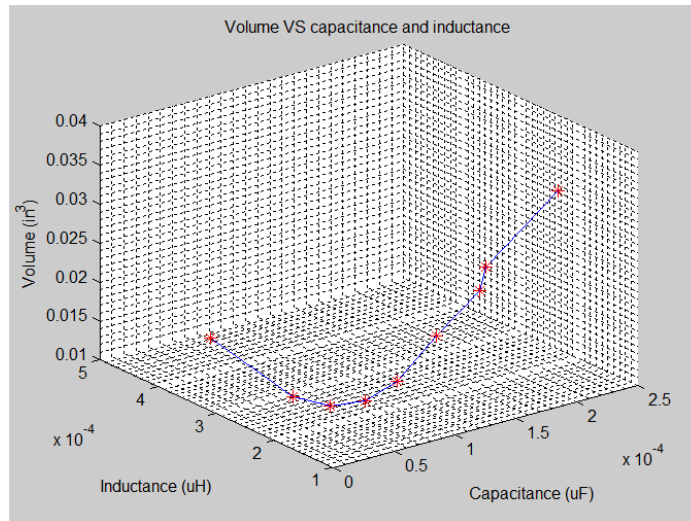


Figure 5-7 Relationship between filter volume, capacitance and inductance (Si)

Figure 5-8 shows the waveforms of phase voltage, current and line-line voltage with and without the filter respectively. The Total Harmonic Distortion (THD) of phase voltage is 0.62% and 0.65% for line-line voltage with the filter, the Total Demand Distortion (TDD) of current for full load is 0.62% with the filter, and they meet the requirements.

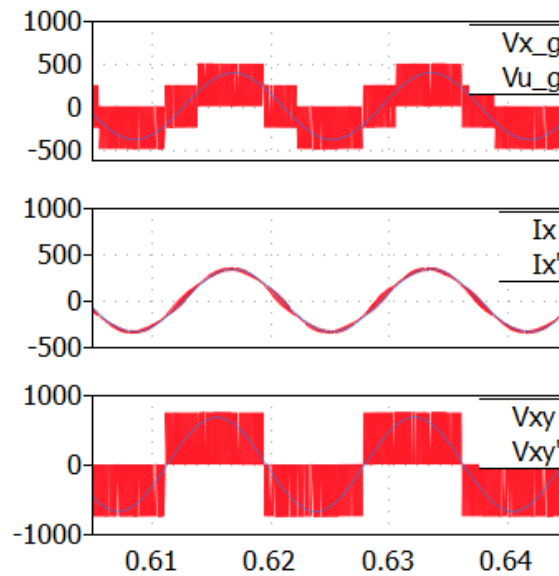


Figure 5-8 Waveforms of phase voltage, current and line-line voltage (Si)

Figure 5-9 shows FFT analysis of phase voltage and current without LCL filter. It is clear that the harmonics are around the switching frequency.

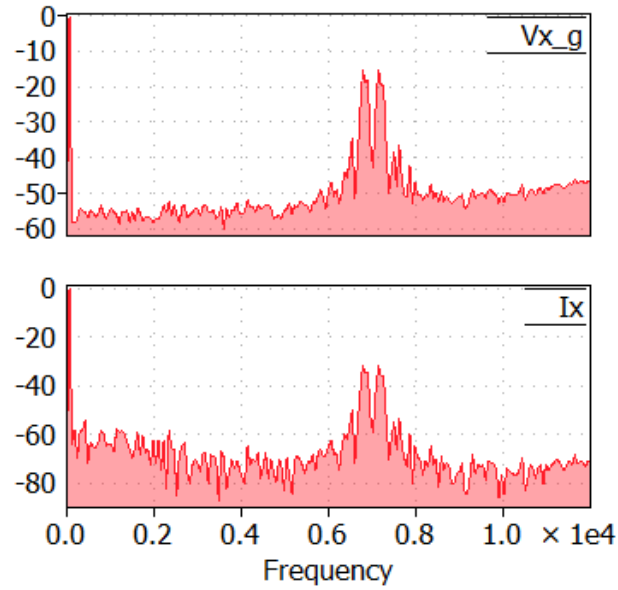


Figure 5-9 FFT analysis of phase voltage and current without LCL filter (Si)

Figure 5-10 shows FFT analysis of phase voltage and current with LCL filter. It is clear that the harmonics around the switching frequency are attenuated.

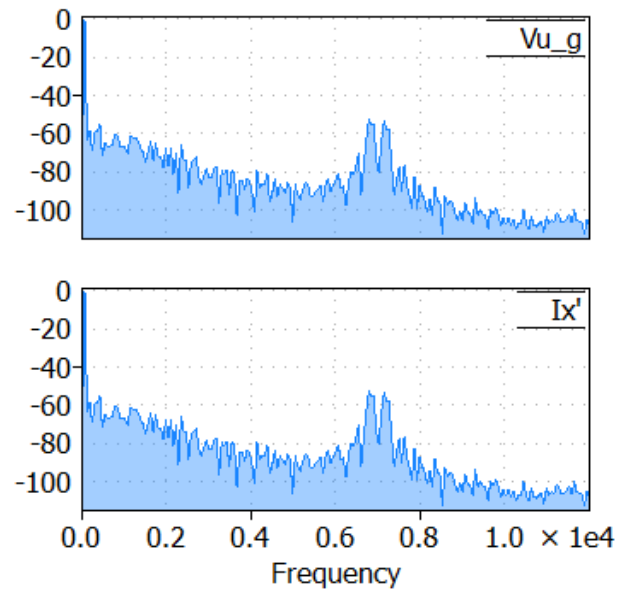


Figure 5-10 FFT analysis of phase voltage and current with LCL filter (Si)

The time averaged losses per device of Si IGBT operating at 7kHz are shown in Figure 5-11 and Figure 5-12. The total loss of bridge circuit can be calculated from the average losses over an electrical cycle from Figure 5-11 and Figure 5-12, and it is 2736.9W.

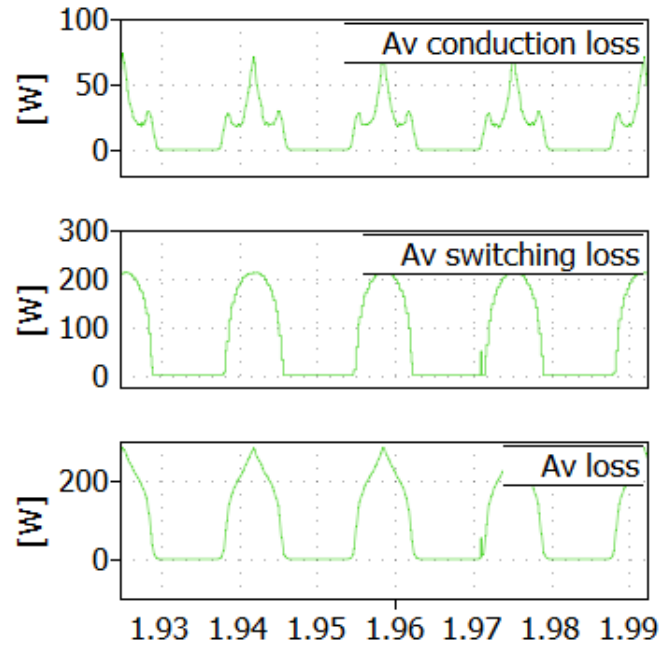


Figure 5-11 Losses of Si IGBT

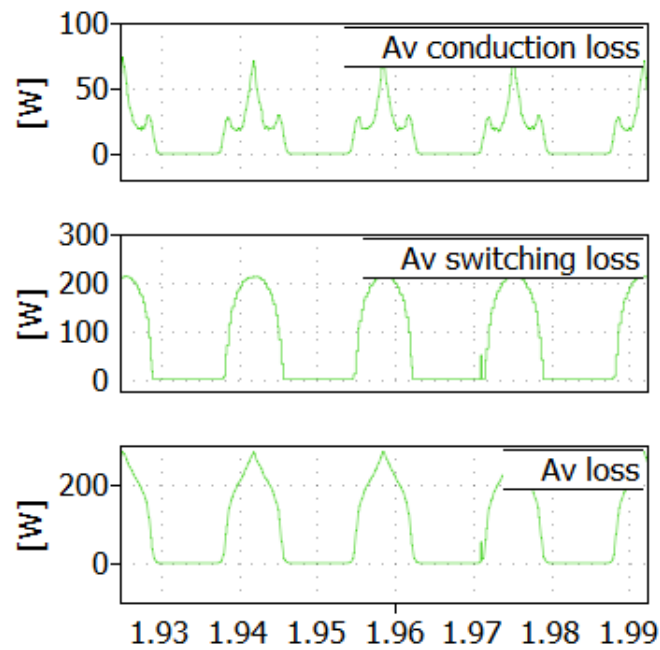


Figure 5-12 Losses of Si Diode

5.3 SiC MOSFET Based Inverter

The maximum switching frequency of SiC MOSFET based system is 44kHz, at SiC MOSFET junction temperature lower than 125°C. Figure 5-13 shows the relationship between filter volume, capacitance and inductance, and capacitance dominates the total volume all the time because of the volume of inductor is small. According to the procedures in section 2.2, the results for minimum volume LCL design are: inboard inductance L_1 is 21 μ H, outboard inductance L_2 is 7 μ H, capacitance for delta connection C_{LL} is 10 μ F, and total volume of LCL filter is 0.0109m³.

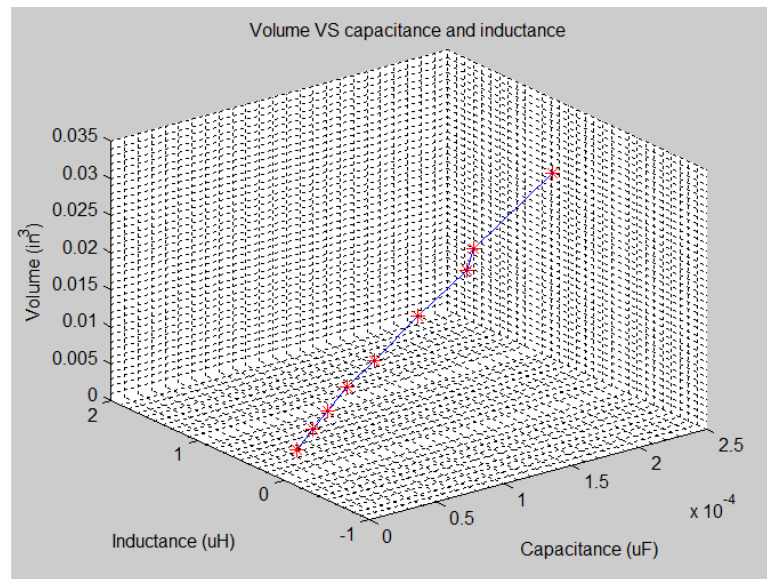


Figure 5-13 Relationship between filter volume, capacitance and inductance (SiC)

Figure 5-14 shows the waveforms of phase voltage, current and line-line voltage with and without the filter respectively. The THD of phase voltage is 1.97% and 1.95% for line-line voltage with the filter, the THD of current for full load is 1.97% with the filter, and they meet the requirements.

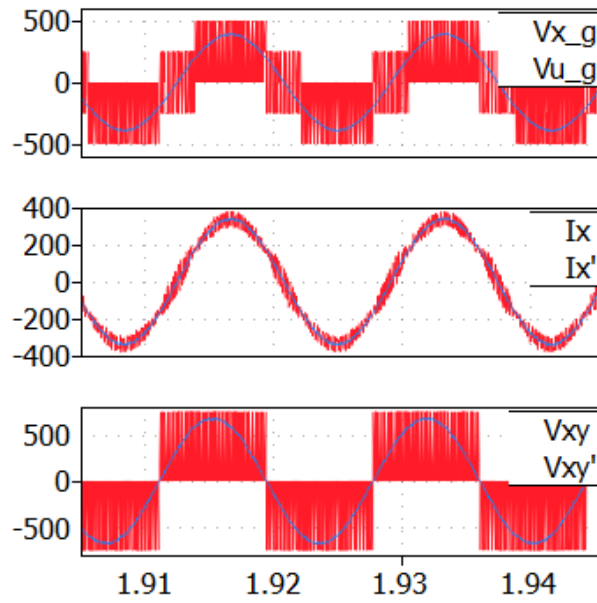


Figure 5-14 Waveforms of phase voltage, current and line-line voltage (SiC)

Figure 5-15 shows FFT analysis of phase voltage and current without LCL filter. It is clear that the harmonics are around the switching frequency.

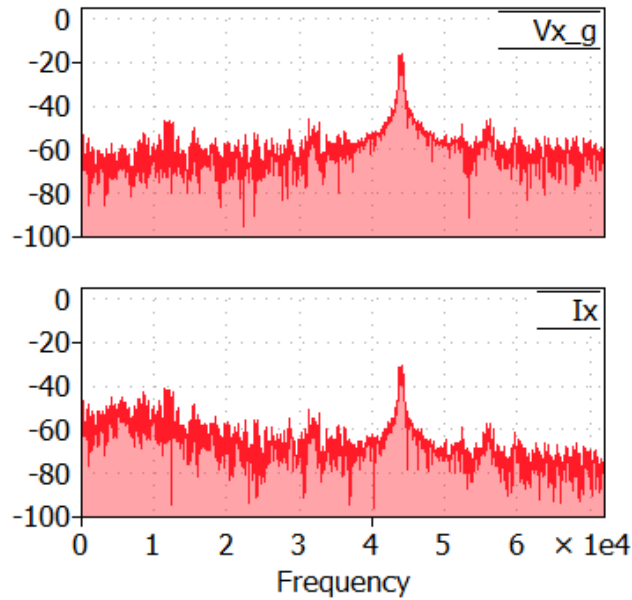


Figure 5-15 FFT analysis of phase voltage and current without LCL filter (SiC)

Figure 5-16 shows FFT analysis of phase voltage and current with LCL filter. It is clear that the harmonics around the switching frequency are attenuated.

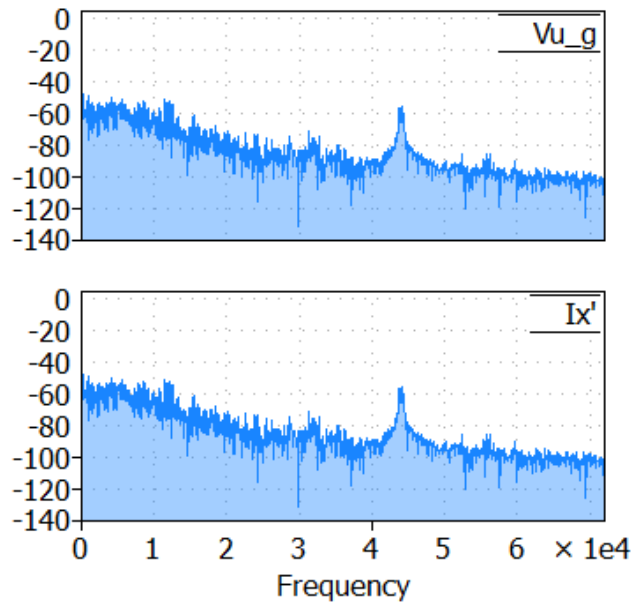


Figure 5-16 FFT analysis of phase voltage and current with LCL filter (SiC)

The losses per device of Si IGBT operating at 44kHz are shown in Figure 5-17 and Figure 5-18. The total loss of bridge circuit can be calculated from the average losses over an electrical cycle from Figure 5-17 and Figure 5-18, and it is 2726.9W.

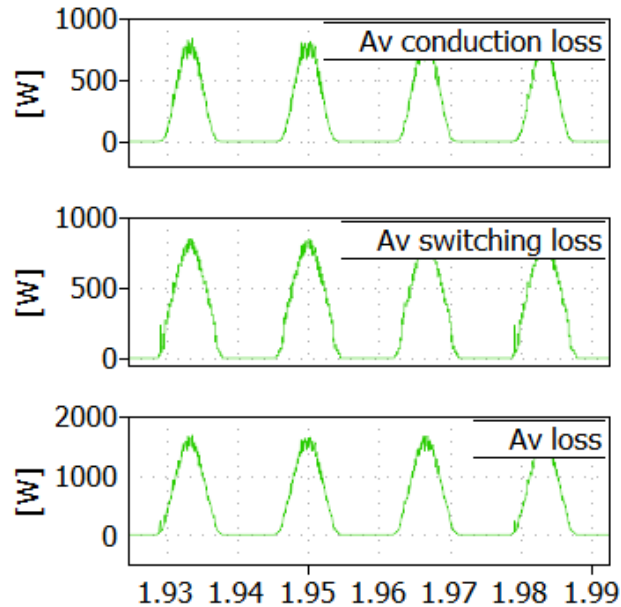


Figure 5-17 Losses of SiC MOSFET (44kHz)

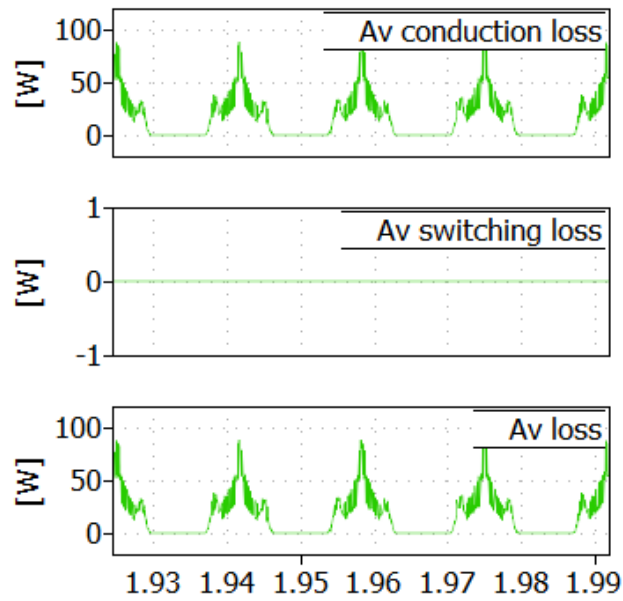


Figure 5-18 Losses of SiC Diode (44kHz)

The losses per device of Si IGBT operating at 7kHz are shown in Figure 5-19 and Figure 5-20. The total loss of bridge circuit can be calculated from the average losses over an electrical cycle from Figure 5-19 and Figure 5-20, and it is 1482.3W.

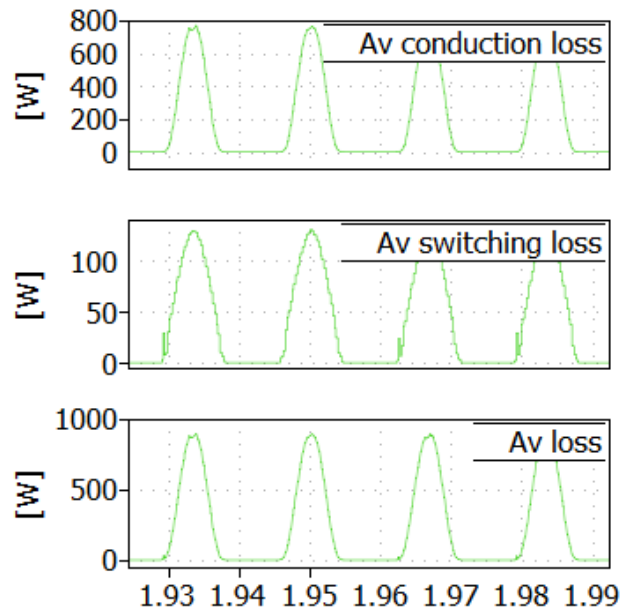


Figure 5-19 Losses of SiC MOSFET (7kHz)

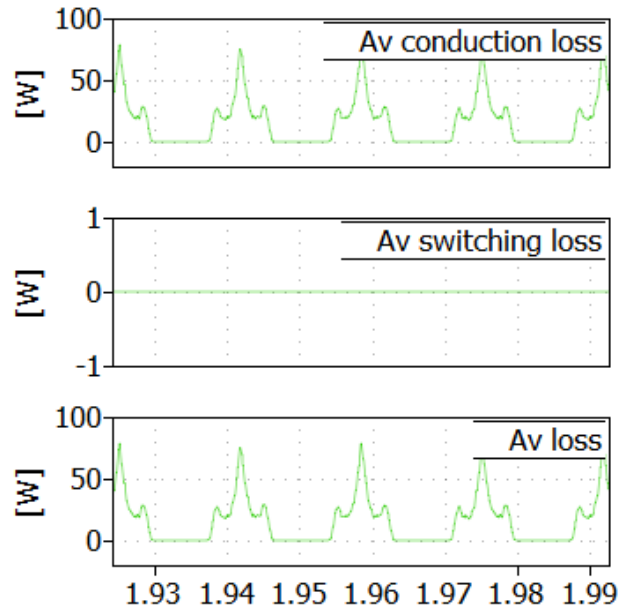


Figure 5-20 Losses of SiC Diode (7kHz)

5.4 Comparison of Si Based Inverter and SiC Based Inverter

5.4.1 Power Density

It is necessary to get the total volume of the system to analysis the power density. First, heatsink size for both systems in this thesis are the same since the Si IGBT module and SiC MOSFET module are the same size, and it is assumed the maximum junction temperature of the two modules are the same. Actually SiC MOSFET can operate at a higher temperature, which could result in a smaller heatsink, but in this thesis, the main objective to discuss the effect of replacing Si device with SiC device to the filter size.

In this system, capacitor LNU2H822MSEJ from Nichicon is chosen to build the DC link capacitor with two capacitors in series and four parallel branches. From the datasheet, the volume of DC link capacitor can be obtained and it is 0.0847m^3 .

According to section 3.2, assuming the height of bus bar which is on the top of the Si IGBT (or SiC MOSFET) modules is 1 inch (25.4 mm). The volume of heatsink, Si IGBTs (or SiC MOSFET) and the bus bar can be approximately obtained by Equation 5-1.

$$Volume_{sink+chip+bus} \approx 150 \times 532 \times (150 + 30.9 + 25.4) = 16462740mm^3 \approx 0.0165m^3 \quad \text{Equation 5-1}$$

The total volume of the inverter based on Si IGBT can be obtained approximately by Equation 5-2.

$$Volume_{InverterSiIGBT} = 0.0847 + 0.0165 + 0.0421 = 0.1433m^3 \quad \text{Equation 5-2}$$

The power density of the inverter based on Si IGBT can be obtained approximately by Equation 5-3.

$$Powerdensity_{Si} = \frac{PowerRating}{Volume_{InverterSiIGBT}} = 1395.7kW/m^3 \approx 0.0014kW / cm^3 \quad \text{Equation 5-3}$$

The total volume of the inverter based on SiC MOSFET can be obtained approximately by Equation 5-4.

$$Volume_{InverterSiCMOSFET} = 0.0847 + 0.0165 + 0.0109 = 0.1121m^3 \quad \text{Equation 5-4}$$

The power density of the inverter based on SiC MOSFET can be obtained approximately by Equation 5-5.

$$Powerdensity_{SiC} = \frac{PowerRating}{Volume_{InverterSiCMOSFET}} = 1784.1kW/m^3 \approx 0.0018kW / cm^3 \quad \text{Equation 5-5}$$

SiC-based inverter has a greater power density (improved by 27.8%).

5.4.2 Energy Efficiency

The efficiency can be calculated by Equation 5-6.

$$Efficiency = \frac{(P_{rate} - P_{loss})}{P_{rate}} \times 100\% \quad \text{Equation 5-6}$$

For Si IGBT based inverter operating at 7kHz, the loss of the bridge circuit is 2736.9W, the loss of filter is 220.1W, and the efficiency is 98.52%. For SiC MOSFET based inverter operating at 7kHz, the loss of the bridge circuit is 1482.3W, the loss of filter is 220.1W, so the efficiency is 99.15%. For SiC MOSFET based inverter operating at 44kHz, the loss of the bridge circuit is 2726.9W, the loss of filter is 209.3W, so the efficiency is 98.53%.

It is clear that, SiC-based inverter is more efficient when comparing the two designs under the constraint of keeping the junction temperature under 125°C. The efficiency for SiC-based inverter decreased when switching frequency goes up from 7kHz to 44kHz, however, SiC-based inverter operating at 44kHz is still a little bit more efficient than Si-based inverter operating at 7kHz.

5.5 Summary

SiC based inverter is both more power dense and more efficient when comparing the two designs. This conclusion was arrived at with a single constraint keeping the junction temperature the same and using the same heatsink design. When operating at the same switching frequency, which means keeping power density the same, SiC devices leads a improvement in efficiency.

Chapter 6 Conclusion and Future Work

6.1 Conclusion

A methodology for making a valid comparison between SiC and Silicon designs for a Solar Inverter is presented in this thesis. This same methodology can be applied to other applications and will be useful going forward as the merits of SiC-based designs are further proven.

The methodology demonstrated in this thesis comes up with a truly minimal filter size by balancing the inductive and capacitive components to achieve the smallest total volume.

And as a result, SiC based inverter is both more power dense and more efficient when comparing the two designs under the constraint of keeping the junction temperature the same and using the same heatsink design. When operating at the same switching frequency, which means keeping the power density the same, SiC devices leads an improvement in efficiency.

6.2 Future Work

An even more power dense design by allowing SiC junction temperature to go to a higher value, such 150°C. This approach would be perfectly acceptable because these devices can operate at temperatures as high as 200°C without failure. It would be accomplished by both designing a smaller heatsink and operating at a higher switching frequency.

References

- [1] IEA-PVPS, P. V. P. S. (2015). Report Snapshot of Global PV 1992-2014. *Report IEA-PVPS T1-26*.
- [2] Pinner, D., & Rogers, M. (2015). Solar Power Comes of Age. *Foreign Affairs*, 94(2), 111-118.
- [3] Hudgins, J. L., Simin, G. S., Santi, E., & Khan, M. A. (2003). An assessment of wide bandgap semiconductors for power devices. *Power Electronics, IEEE Transactions on*, 18(3), 907-914.
- [4] Neudeck, P. G., Okojie, R. S., & Chen, L. Y. (2002). High-temperature electronics-a role for wide bandgap semiconductors?. *Proceedings of the IEEE*, 90(6), 1065-1076.
- [5] ZHANG, B., DENG, X. C., CHEN, W. J., & LI, Z. J. (2009). Wide Bandgap Semiconductors for Power Electronics [J]. *Journal of University of Electronic Science and Technology of China*, 5, 019.
- [6] Kaminski, N., & Hilt, O. (2014). SiC and GaN devices-wide bandgap is not all the same. *Circuits, Devices & Systems, IET*, 8(3), 227-236.
- [7] Liserre, M., Blaabjerg, F., & Hansen, S. (2005). Design and control of an LCL-filter-based three-phase active rectifier. *Industry Applications, IEEE Transactions on*, 41(5), 1281-1291.
- [8] Karshenas, H. R., & Saghafi, H. (2006, July). Basic criteria in designing LCL filters for grid connected converters. In *Industrial Electronics, 2006 IEEE International Symposium on* (Vol. 3, pp. 1996-2000). IEEE.
- [9] Reznik, A., Simoes, M. G., Al-Durra, A., & Muyeen, S. M. (2014). Filter Design and Performance Analysis for Grid-Interconnected Systems. *Industry Applications, IEEE Transactions on*, 50(2), 1225-1232.
- [10] Araujo, S.V., Engler, A., Sahan, B., & Antunes, F. L. M. (2007). LCL filter design for grid-connected NPC inverters in offshore wind turbines. *Power Electronics Conference, 2007. ICPE '07. 7th International on*, 1133-1138.
- [11] Renzhong, X., Lie, X., Junjun, Z., & Jie, D. (2013). Design and research on the LCL filter in three-phase PV grid-connected inverters. *International Journal of Computer and Electrical Engineering*, 5(3), 322-325.
- [12] Cho, J. H., Kim, D. H., Vircikova, M., & Sincak, P. (2011). Design of LCL filter using hybrid intelligent optimization for photovoltaic system. In *Ubiquitous Computing and Multimedia Applications* (pp. 90-97). Springer Berlin Heidelberg.

- [13] Jeong, H. G., Yoon, D. K., & Lee, K. B. (2013). Design of an LCL-Filter for Three-Parallel Operation of Power Converters in Wind Turbines. *Journal of Power Electronics*, 13(3), 437-446.
- [14] Koutroulis, E., & Blaabjerg, F. (2012). Methodology for the optimal design of transformerless grid-connected PV inverters. *Power Electronics, IET*, 5(8), 1491-1499.
- [15] Reznik, A., Simoes, M. G., Al-Durra, A., & Mueeen, S. M. (2012, July). LCL filter design and performance analysis for small wind turbine systems. In *Power Electronics and Machines in Wind Applications (PEMWA), 2012 IEEE* (pp. 1-7). IEEE.
- [16] Wei, X., Xiao, L., Yao, Z., & Gong, C. (2010, November). Design of LCL filter for wind power inverter. In *World Non-Grid-Connected Wind Power and Energy Conference (WNWEC), 2010* (pp. 1-6). IEEE.
- [17] Cai, L., Chang, Z., Zhou, R., & Song, X. (2011). Research on Grid-Connected Inverter for 500 kW Photovoltaic Power System. In *Advances of Power System & Hydroelectric Engineering* 27(12), 95-99.
- [18] Liu, F., Zha, X., Zhou, Y., & Duan, S. (2009, May). Design and research on parameter of LCL filter in three-phase grid-connected inverter. In *Power Electronics and Motion Control Conference, 2009. IPEMC'09. IEEE 6th International* (pp. 2174-2177). IEEE.
- [19] De, D., Castellazzi, A., Solomon, A., Trentin, A., Minami, M., & Hikiyara, T. (2013, September). An all SiC MOSFET high performance PV converter cell. In *Power Electronics and Applications (EPE), 2013 15th European Conference on* (pp. 1-10). IEEE.
- [20] Sintamarean, C., Eni, E., Blaabjerg, F., Teodorescu, R., & Wang, H. (2014, May). Wide-band gap devices in PV systems-opportunities and challenges. In *Power Electronics Conference (IPEC-Hiroshima 2014-ECCE-ASIA), 2014 International* (pp. 1912-1919). IEEE.
- [21] Sintamarean, C., Blaabjerg, F., Wang, H., & Yang, Y. (2013, September). Real field mission profile oriented design of a SiC-based PV-inverter application. In *Energy Conversion Congress and Exposition (ECCE), 2013 IEEE* (pp. 940-947). IEEE.
- [22] Hazra, S., De, A., Cheng, L., Palmour, J., Schupbach, M., Hull, B., ... & Bhattacharya, S. High Switching Performance of 1700V, 50A SiC Power MOSFET over Si IGBT/BiMOSFET for Advanced Power Conversion Applications.
- [23] Ho, C. N. M., Breuninger, H., Pettersson, S., Escobar, G., & Canales, F. (2013). A comparative performance study of an interleaved boost converter using commercial Si and SiC diodes for PV applications. *Power Electronics, IEEE Transactions on*, 28(1), 289-299.
- [24] Sintamarean, C., Blaabjerg, F., & Wang, H. (2013, November). Comprehensive evaluation on efficiency and thermal loading of associated Si and SiC based PV inverter

- applications. In *Industrial Electronics Society, IECON 2013-39th Annual Conference of the IEEE* (pp. 555-560). IEEE.
- [25] Burkart, R. M., & Kolar, J. W. (2013, June). Comparative evaluation of SiC and Si PV inverter systems based on power density and efficiency as indicators of initial cost and operating revenue. In *Control and Modeling for Power Electronics (COMPEL), 2013 IEEE 14th Workshop on* (pp. 1-6). IEEE.
- [26] Oswald, N., Anthony, P., McNeill, N., & Stark, B. H. (2014). An experimental investigation of the tradeoff between switching losses and EMI generation with hard-switched all-Si, Si-SiC, and all-SiC device combinations. *Power Electronics, IEEE Transactions on*, 29(5), 2393-2407.
- [27] Rodriguez, L., Williams, E., Balda, J. C., & Stewart, C. (2013, July). A comparison of selected silicon and silicon-carbide switching devices for PV microinverter applications. In *Power Electronics for Distributed Generation Systems (PEDG), 2013 4th IEEE International Symposium on* (pp. 1-7). IEEE.
- [28] Zhao, T., Wang, J., Huang, A. Q., & Agarwal, A. (2007, September). Comparisons of SiC MOSFET and Si IGBT based motor drive systems. In *Industry Applications Conference, 2007. 42nd IAS Annual Meeting. Conference Record of the 2007 IEEE* (pp. 331-335). IEEE.
- [29] Erickson, R. W. (1997). Filter Inductor Design. In *Fundamentals of Power Electronics* (pp. 497-511). Springer US.
- [30] Lee, S. (1995, February). Optimum design and selection of heatsinks. In *Semiconductor Thermal Measurement and Management Symposium, 1995. SEMI-THERM XI., Eleventh Annual IEEE* (pp. 48-54). IEEE.
- [31] Lee, S. (1995). How to select a heatsink. *electronics cooling*, 1(1), 10-14.
- [32] Seshasayee, N. (2011). Understanding Thermal Dissipation and Design of a Heatsink. *Texas Instruments, Dallas*.
- [33] Holmes, D. G. (1998, October). A general analytical method for determining the theoretical harmonic components of carrier based PWM strategies. In *Industry Applications Conference, 1998. Thirty-Third IAS Annual Meeting. The 1998 IEEE* (Vol. 2, pp. 1207-1214). IEEE.