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Life in the FASt Lane: Speedy Workflows for Providing a Faculty Assisted Submission (FAS) Service for Your IR

Sarah L. Wipperman University of Pennsylvania, swipp@pobox.upenn.edu

Kenny Whitebloom University of Pennsylvania, kenwhi@upenn.edu

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Wipperman, Sarah L. and Whitebloom, Kenny, "Life in the FASt Lane: Speedy Workflows for Providing a Faculty Assisted Submission (FAS) Service for Your IR" (2017). *Digital Commons* + *Great Lakes User Group Meeting*. 2. https://engagedscholarship.csuohio.edu/dcglug/2017/all/2

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Life in the FASt Lane: Speedy Workflows for Providing a Faculty Assisted Submission (FAS) Service for Your IR

Sarah Wipperman Scholarly Communication and Digital Repository Librarian

> Kenny Whitebloom Digital Scholarly Publishing Librarian

DC+GLUG 2017

At the Starting Line



Sarah Wipperman

- ScholarlyCommons Intern 2012-2013
- Repository Services Manager & Analyst 2013-2016
- Scholarly Communications & Digital Repository Librarian 2016-present

3-5 Student Workers

Scholarly Communications Intern (coming soon)

Kenny Whitebloom

• Digital Publishing Librarian 2016-present



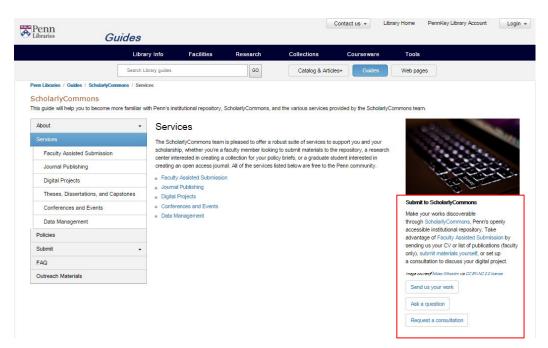
- ScholarlyCommons: Penn's IR
- Started in 2004
- Part of Teaching, Research, & Learning Division, Digital Scholarship Department since 2015/2016
- Diverse materials and communities represented



http://repository.upenn.edu

Fuel

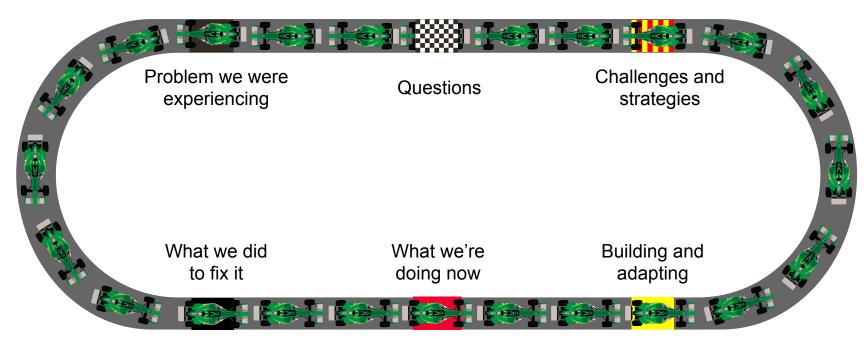
- Faculty Assisted Submission (FAS)
- Journal Publishing
- Digital Projects
- Conferences and Events
- Data Management



http://guides.library.upenn.edu/scholarlycommons/services

Who Are You?







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The Wrench in the Gears

2011: The Year Faculty Were Going to Start Submitting to ScholarlyCommons

University of Pennsylvania Faculty Open-Access Statement of Principles for Scholarly Articles



September 13, 2011, V lume 58, No. 03

A Committee on Open Access Publishing was appointed by the Provost and Senior Vice Provost for Research in December 2009 to examine the status of open access publishing practices and to make recommendations for establishing procedures to promote open access that better serve the Penn community and the general public. (See Almanac March 3, 2010, Volume 56, Number 26.) In May 2010 the Committee presented its recommendations to the Provost, the Senior Vice Provost for Research and the Council of Deans. Between September 2010 and April 2011 these recommendations were discussed with the faculty of the schools across the University. In May 2011, the recommendations in the form of a Statement of Principles were endorsed by the Faculty Senate. The Statement is published below and is effective immediately. We would like to thank the Committee Members for their hard work and continuing efforts to work with faculty to implement Penn's open access repository, ScholarlyCommons.

—Vincent Price, Provost —Steven J. Fluharty, Senior Vice Provost for Research

University of Pennsylvania Faculty Open-Access Statement of Principles for Scholarly Articles

The University of Pennsylvania is committed to disseminating research and scholarship as widely as possible. The Faculty of the University fully supports the University's commitment to open access to research. In keeping with that commitment, the Faculty Senate endorses the following Statement of Principles:

Every person who conducts research at the University of Pennsylvania is strongly encouraged to grant to the University of Pennsylvania nonexclusive permission to make publicly available his or her scholarly articles for the purpose of open dissemination. Effective as of the date of endorsement by the Faculty Senate, faculty and other researchers will be invited, at their discretion, to grant to the University of Pennsylvania a nonexclusive, irrevocable, paid-up, worldwide right and itegense to exercise any and all rights necessary under copyright to reproduce, distribute, display and othervise are not sold or licensed by the University of roomense to do the same on the same terms and voltarly acticles, provided that the scholarly articles are not sold or licensed by the University for compensation are encouraged to notify the Penn Libraries, through a process made simple and accessible by the University. Authors are encouraged to seek the express, affirmative, written consent of all co-authors of a scholarly article before submitting the scholarly article to the Penn Libraries for open dissemination, however, the University may disseminate a scholarly article if all of the co-authors and co-owners of the copyright in the scholarly article who are members of the Standing Faculty. Standing Faculty, Grant pences to exercise and an express, affirmative, written consent process to be developed.

The Faculty of the University of Pennsylvania endorses the principle of open access because they recognize the advantages of free access to new knowledge for the authors and the community. It is expected that, in most cases, faculty will choose open access as the best mechanism to rapidly and globally disseminate their work. To this end, the Faculty expects that the University will provide sufficient resources to facilitate this process and sufficient information and expertise to accomplish the goals of open access with the minimum inconvenience and expense to the author(s).

Submitting authors are encouraged to make available a digital copy of the "final version" of the scholarly article, at no charge, to the Penn Libraries, in one or more standard formats (such as PDF) as the libraries may request, and no later than the date of publication of the scholarly article. If, however, the final version is already publicly available through other open access repository or an open access journal), or if there is a legal obligation to make the scholarly article publicly available through other means (e.g., another open access repository or an open access journal), or if there is a legal obligation to make the scholarly article publicly available through other means (e.g., depositing a copy in PubMed Central or other government repository), the submitting author(s) may provide a digital copy to the Penn Libraries. The "final version" means the author's manuscript with any changes made as a result of the peer-review process, but prior to any publisher's copy-editing or formatting. For articles and comments intended for publication in student-edited law reviews and journals, the "final version" is the version after all editing by the student journal editors, or its equivalent. The author(s) are strongly encouraged to notify the Penn Libraries of the location of and/or link to the scholarly article once it has been made public elsewhere.

The Penn Libraries will make the scholarly articles it receives available to the public in Penn ScholarlyCommons and/or a similar open-access repository or repositories. If a Penn faculty author (or if no Penn faculty member is an author, the submitting author) requests an embargo, the Penn Libraries will embargo the scholarly article for the requested time period, before making it available to the public, except when (1) the author has a legal obligation to make the scholarly article public) available within an earlier time period, the embargo should not exceed that earlier time period; or (2) the publicsher of the publication within which such scholarly article appears makes such scholarly article freely available to the public for further reproduction or distribution, the embargo period should terminate. In any case, the requested embargo period should not exceed twelve months after acceptance of the scholarly article for publication.

In keeping with this Statement of Principles, the Provost's Office and the Penn Libraries will develop and monitor an implementation plan and web-based services to make faculty participation in open access and dissemination as convenient as possible. This Statement of Principles will be reviewed regularly to ensure its success.

2011: The Year Faculty Were Encouraged to Maybe Think About Submitting to ScholarlyCommons Possibly Maybe

University of Pennsylvania Faculty Open-Access Statement of Principles for Scholarly Articles September 13, 2011, Volume 58, No. 03 Print Issue

A Committee on Open Access Publishing was appointed by the Provost and Senior Vice Provost for Research in December 2009 to examine the status of open access publishing practices and to make recommendations for establishing procedures to promote open access that better serve the Penn community and the general public. (See Almanac March 3, 2010, Volume 56, Number 26.) In May 2010 the Committee presented its recommendations to the Provost, the Senior Vice Provost for Research and the Council of Deans. Between September 2010 and April 2011 these recommendations were discussed with the faculty of the schools across the University. In May 2011, the recommendations in the form of a Statement of Principles were endorsed by the Faculty Senate. The Statement is published below and is effective immediately. We would like to thank the Committee Members for their hard work and commitment to adopting open access practices at the University. We would also like to thank the Library staff for their early and continuing efforts to work with faculty to implement Penn's open access repository, ScholarlyCommons.

—Vincent Price, Provost —Steven J. Fluharty, Senior Vice Provost for Research

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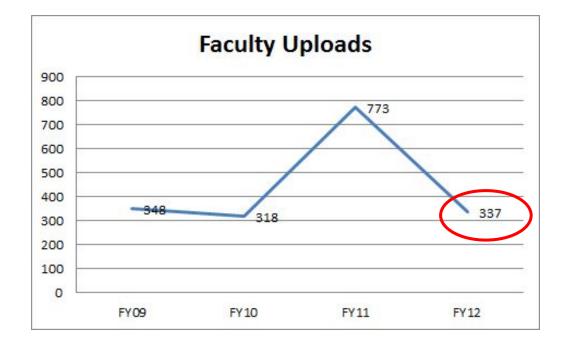
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Submitting authors are encouraged to make available a digital copy of the "final version" of the scholarly article, at no charge, to the Penn Libraries, in one or more standard formats (such as PDF) as the libraries may request, and no later than the date of publication of the scholarly article. If, however, the final version is already publicly available through other open access repository or an open access journal), or if there is a legal obligation to make the scholarly article publicly available through other means (e.g., aeother open access repository or an open access journal), or if there is a legal obligation to make the scholarly article publicly available through other means (e.g., depositing a copy in PubMed Central or other government repository), the submitting author(s) may provide a digital copy to the Penn Libraries. The "final version" means the author's manuscript with any changes made as a result of the peer-review process, but prior to any publisher's copy-editing or formatting. For articles and comments intended for publication in student-edited law reviews and journals, the "final version" is the version after all editing by the student journal editors, or its equivalent. The author(s) are strongly encouraged to notify the Penn Libraries of the location of and/or link to the scholarly article once it has been made public elsewhere.

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In keeping with this Statement of Principles, the Provost's Office and the Penn Libraries will develop and monitor an implementation plan and web-based services to make faculty participation in open access and dissemination as convenient as possible. This Statement of Principles will be reviewed regularly to ensure its success.

2011: The Year Faculty Didn't Start Submitting to ScholarlyCommons



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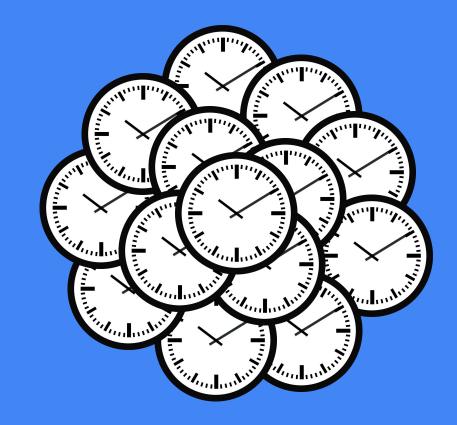
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Publication: "**J Neur**"

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Libraries





2011: The Year We Were Supposed to Make It Easy

University of Pennsylvania Faculty Open-Access Statement of Principles for Scholarly Articles September 13, 2011, Volume 58, No. 03



A Committee on Open Access Publishing was appointed by the Provost and Senior Vice Provost for Research in December 2009 to examine the status of open access publishing practices and to make recommendations for establishing procedures to promote open access that better serve the Penn community and the general public. (See Almanac March 3, 2010, Volume 56, Number 26.) In May 2010 the Committee presented its recommendations

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The University of Pennsylvania is committee of Principles:

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The Faculty of the University of Pennsylvan globally disseminate their work. To this end The Provost's Office and the Penn Libraries will develop and monitor an implementation plan and web-based services to make faculty participation in open access and dissemination as convenient as possible.

Ittee presented its recommendations across the University. In May 2011, Committee Members for their hard in's open access repository,

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ulty Senate endorses the following Statement

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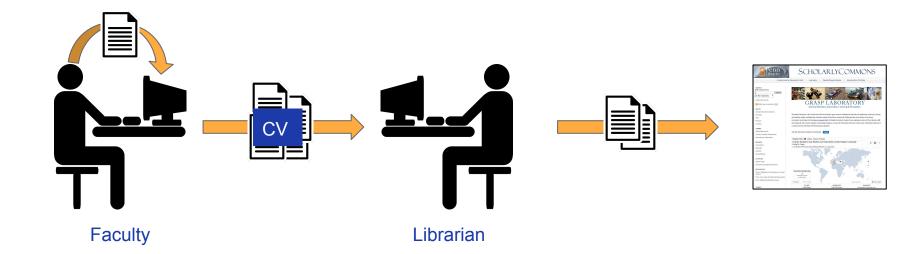
Submitting authors are encouraged to make available a digital copy of the "final version" of the scholarly article, at no charge, to the Penn Libraries, in one or more standard formats (such as PDF) as the libraries may request, and no later than the date of publication of the scholarly article, at no charge, to the Penn Libraries, in one or more standard formats (such as PDF) as the libraries may request, and no later than the date of publication of publication of the scholarly article, at no charge, to the Penn Libraries, in one or more standard formats (such as PDF) as the libraries may request, and no later than the date of publication of publication of publication of publication of the scholarly article publicly available through other open access repository or an open access journal), or if there is a legal obligation to the scholarly article publicly available through other means (e.g., another open access repository or an open access journal), or if there is a legal obligation to the publication attrice publication of the scholarly article public or other government repository), the submitting author(s) may provide a digital copy to the Penn Libraries. The "final version" means the author's manuscript with any changes made as a result of the peer-leview process, but prior to any publisher's copy-editing or formating, or and/or link to the scholarly article once it has been made public (sevence).

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Fixing the Problem

Solution... More Robust Mediated Deposit Service



Why Mediated Deposit?

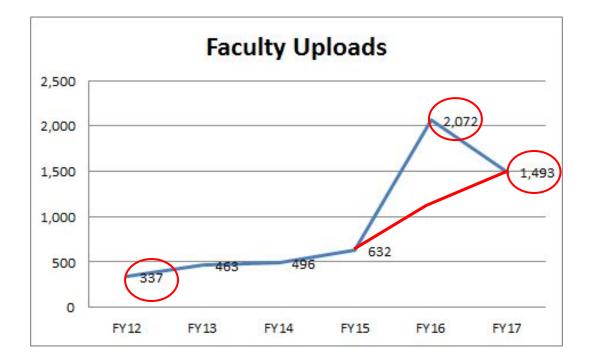
Pros

- Not duplicating efforts
- Easier to get content/More participation
- Control metadata
- Publisher policy compliance
- Schol comm teaching opportunity
- Closer relationship with faculty
- More opportunities for library partnerships

Cons

- Requires staff
- Requires staff to be highly trained
- Many moving parts
- Gives faculty less agency
- Scaling can be an issue
- Updates required

Impact of Mediated Deposit



Phasing In Change



Hire a Full Time IR Manager

LIBRARIAN WANTED!

A wide-awake intelligent man or woman wanted in every city and town to organize branches of The Parmelee New Book Home Delivery Library. A magnificent opportunity to enter into an up-to-date work of great importance, which appeals to every Book Lover.



THE munificence of Andrew Carnegie has led to the establishment of Public Libraries throughout the country. The interest created in this library movement has spread over the entire land and there is scarcely a town where the subject of organizing a public library has not been agitated. It is not practicable, however, in every town to erect a 30 building and go to the expense of purchasing and caring for a large collection of books. The beginning must be small and the interest of the people in the library movement increased until the public library becomes the outgrowth. With this idea in mind an organization was started in 1882 for the purpose of forming library clubs of those who would purchase their own books and circulate them among the members. This concern, known as The Parmelee Library, has from this beginning, developed a New Book Home Delivery System which now covers all sections of the country and is rapidly growing, rivaling the famous Mudie Library of London, The growth of this work has been phenomenal.

The Parmelee Library wishes to extend the service until there is a Parmelee New Book Home Delivery Station organized in every city and village in the United States. The adaptability of the system to the needs of even the greatest cities having the best of public library facilities, as well to the community with inferior public service, is shown through the registered circulation in Boston and Chicago since November of last year of over 25,000 volumes of the popular new books. None of the following advantages are afforded under the usual public library service.

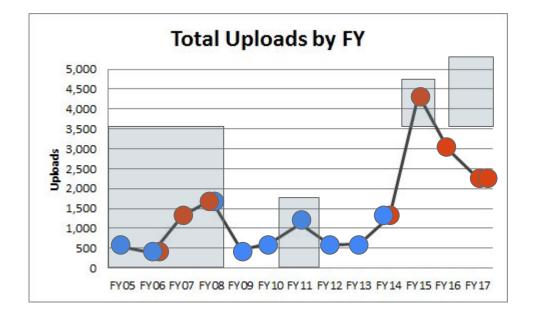
 Members can get the books they want in every branch of literature, without delay or inconvenience. No book is too new to be included in the library. Thus in fiction we find 'The Helmet of Navarre, ''The Crisis,''' The Octopas,'' 'Granutark''' The Popper Grown,'' etc. No waiting for a book. The Parmelee Library buys enough copies to meet the demand.

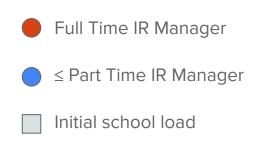
In the usual public library none of the above advantages are offered, and, as a necessary sequence, the perfected Parmelee Libraries are meeting with extraordinary success in the largest cities, having the best public library facilities.

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Impact of Staffing







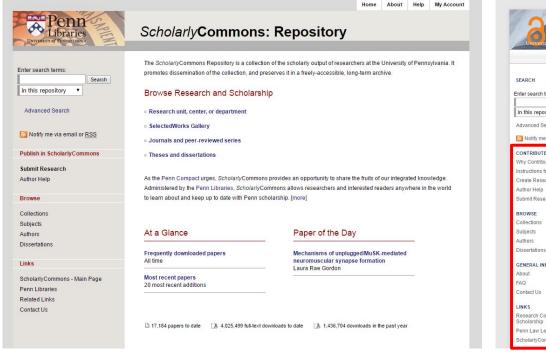
"ScholarlyCommons? I thought that was dead."

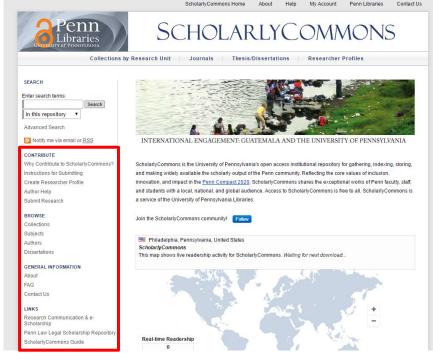


It's hard to offer a service if people don't know it exists...

Spring 2014: A New Look

Home





Our Initial Mediated Deposit

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Articles and Chapters

"Toward an Ethnographic Lingua Franca: Communication and Anthropology," *Journal of Communication*, 58(4), forthcoming December 2008, INQUIRY SENT RE EMBARGO

"They Hate Me: Spike Lee, Documentary Filmmaking, and Hollywood's "Savage Slot," co-written with Jasmine Cobb, *The Spike Lee Reader*, eds., Janice Hamlet and Robin Means Coleman, Peter Lang. 251-269, forthcoming 2008.

"Towards an Ethnography of a Quotation-Marked-Off Place," *Souls: A Critical Journal of Black Politics*, *Culture and Society*," Westview Press, Spring 1999. Reprinted in Manning Marable, ed., *Souls: The New Black Renaissance*, Paradigm Press, 2006.

"Gentrification, Globalization, and Georaciality," Globalization and Race. Transformations in the Cultural Production of Blackness, eds., Kamari Clarke and Deborah A. Thomas, Duke University Press, 2006).

"Race and the Social Science of Sincerity," Contexts 4(4), Fall 2005. 12

MONTH EMBARGO

"A Little Black Magic," The South Atlantic Quarterly, 104(3): 1-13, July 2005 Send

reply to Duke about this article

"An Ethnographic Filmflam: Giving Gifts, Doing Research, and Videotaping the Native Subject/Object," American Anthropologist 106(1): 32-42, March 2004.

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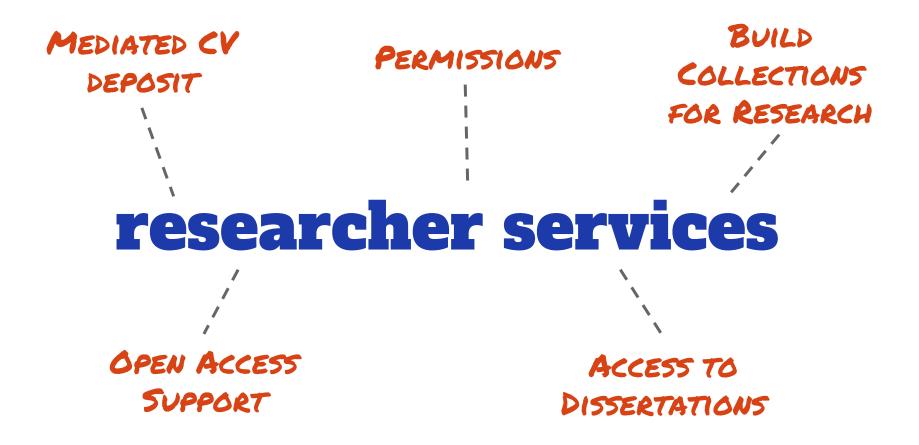
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Lap 3: IR as a Service

By 2017, ...the Library will be known for a well-articulated portfolio of researcher services.

Penn Libraries Strategic Plan 2015-2017



Lap 4: Hiring Students

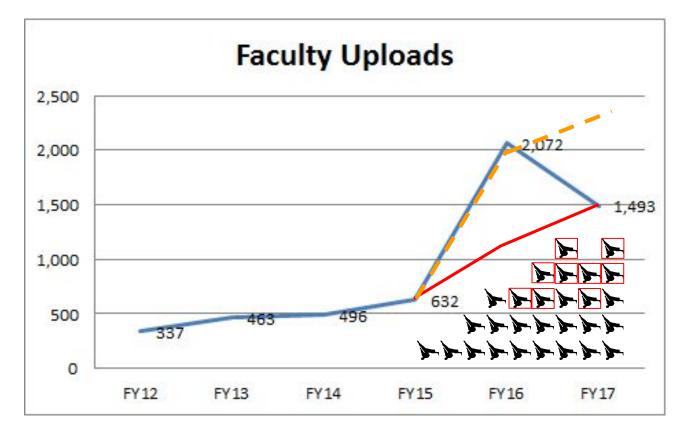
Started Building a Student Workforce

Fall 2014 Spring 2015 Summer 2015 Fall 2015 Spring 2016 Summer 2016 Fall 2016

Spring 2017

Summer 2017

Impact of Student Workers



Lap 5: Moving to the Cloud; Automating Processes

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Lap 6: Develop a Better Training Program

Lap 7: Hiring, Again

What We're Doing Now

Faculty Assisted Submission (FAS)

- Recently rebranded mediated deposit service offered to all Penn faculty, including associate and emeritus professors
- Done individually and on behalf of entire departments
- Benefits
 - Building our IR
 - Teaching scholarly communication best practices (save your postprints!)
 - Providing early career faculty with exposure
 - Providing legal, streamlined avenue for sharing work online for mid-late career faculty

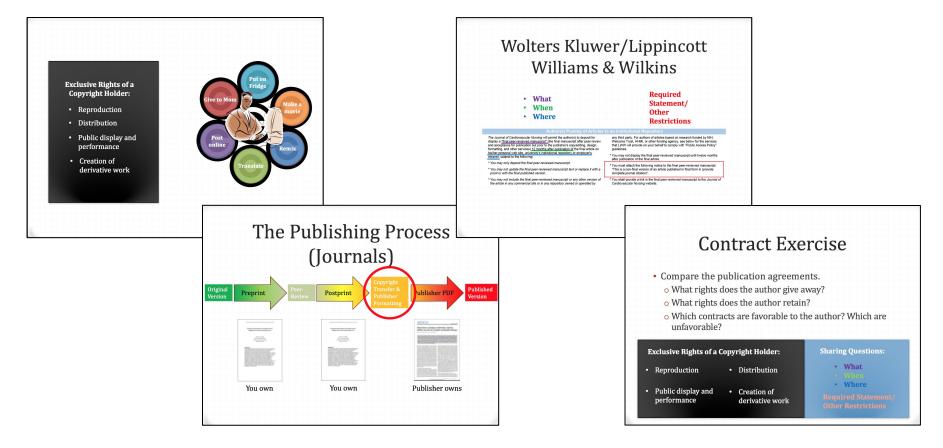


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Our Team of Student Employees

- Five undergraduate and graduate student employees supervised by Digital Scholarly Publishing Librarian
 - 10-20 hours per week when classes are in session
 - 30-35 hours per week when classes aren't in session
- Daily tasks and workflow
 - Reviewing publisher policies and making verdicts on suitability for self-archiving
 - Corresponding with publishers and journal editors seeking permission or clarification
 - Uploading papers
 - Communicating with faculty about results and process
 - Documenting policies for quicker reviews in the future
- Success built upon comprehensive training experience

Student Training



Step 1: Crash course in copyright, **publishing**, and author rights

Finding Major Publisher Policies				
Now that we have discussed some of the methods for finding permissions, you will have the opportunity to begin looking for information yourself, starting with the most common publishers.				
Try to find the publisher's policies for posting to an insitutional repository, starting at the selected journal's home page				
1. Use "buzzwords" and other information you have received in training to navigate your way through the publisher website and find their permissions/policies. See if you can find the same information that is found on the "Common Journal Publisher Policies" tab of this spreadsheet on that publisher's website (e.g., embargo, repository policy, required statement, etc.).				
Enter information about the publisher's repository policy, embargo, required statement, and URL for lookup (where applicable) in the table below.				
Reflect				
When you are finished, we will go over your results together and discuss: What did you find challenging? Did you develop any strategies for finding these policies? Did you notice any similarities?				
Journal Publisher	Repository Policy	Embargo	URL for lookup	Required Statement
Elsevier	pre-print immediately some exceptions After embargo period-accepted manuscrip	0-36 months	https://www.elsevier.co https://www.elsevier.co	
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Taylor & Francis	pre-print immediately post-print after embargo period	0-18 months	http://authorservices.ta	"This is an Accepted
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Step 2: Find and describe policies for major publishers

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Your answer

Step 4: Upload instructions and quiz

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	19	https://www.cambridge.org/core/services/open-accord	Preprint/Postprint	*
	20	https://www.asme.org/shop/journals/administration/	Permission Required	*
	21	https://www.guilford.com/permissions	Permission Required	*
	22	https://www.btl.gov.il/English%20homepage/Pages	Unclear Policy	*
	23		Unclear Policy	*
	24	https://journals.aps.org/authors/open-access-physic	Preprint/Postprint	*
	25	https://www.elsevier.com/about/our-business/policie	Preprint/Postprint	*

Collections b	y Research Unit	E-Journals	Thesis/	Dissertatio	ns Researcher Prof	iles
SEARCH Inter search terms: Search in this series					TRAINING	SERIES
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ollections	= Email addr	esses should only b	e included for Pen	n-affiliated facult	ty.	
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ESOURCES	Search For /	An Author Using:	Last Name, Firs	t Name, Email.	or Institution	
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UIDES	1					2. 2.
cholarlyCommons	Email	First	Middle	Last	Suffix Institution	6× 62
opyright	Search				Search	

Step 5: Upload 10 sample works to training space on demo site

Supporting Student Employees

Benefits

- Cost effective
- Independent, flexible
- Education in scholarly communication, copyright, author's rights, OA, and publishing
- Workplace and project management skills



Image: Guido van Nispen via CC BY 2.0 license



- Timing and gaps in service
- Permissions emails can get lost or dropped
- Quality varies
- They graduate

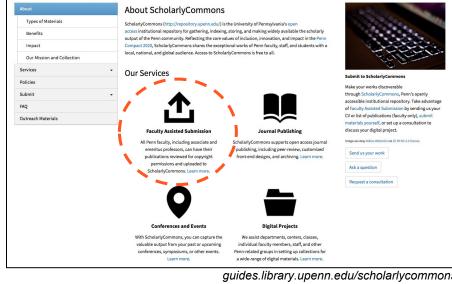


Image: <u>Hamza Butt</u> via CC BY 2.0 license

Workflow and Tools

ScholarlyCommons

This guide will help you to become more familiar with Penn's institutional repository, ScholarlyCommons, and the various services provided by the ScholarlyCommons team.



	ScholarlyCommons Faculty Assisted Submission (FAS) Service
If you	are Penn-affiliated faculty, we will review permissions and add your works to ScholarlyCommons for you! Just send us your CV or list of publications and our team will handle the rest.
Submit to Scholar	yCommons
Your Info	
Please upload a	copy of your CV or list of publications below, or provide a link in the Website field.
Questions? Emai	us at libraryrepository@pobox.upenn.edu.
Email *	
Name *	
Primary Affiliation	Department or Center) *
Website	
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Please add any a	duitional contextual mormation about your CV or list of publications here, such as types of materials we shouldn't review, or ask us a question about the assisted submission process it
Please add any a More Detail/Explar	
More Detail/Explar	
More Detail/Explar	ation

Step 1: CV/list of publications lands in our queue

Permissions Queue	News Feed Milestones Time tracking Wiki Ta	sks 🛨	Project Options
+ New search	Sort: Priority - More		Starred Unread -
MY FAVORITE SEARCHES	New CVs		R Richard D. (Due date) Normai 💽 🌪 🍭 🔅
My Open Tasks	N Kraidy - 6/28/17 🕒	Ţ	(not planned) in Permissions Queue
Get Things Done 2	New task		Gregory - 7/6/17
Added By Me 30	Template		Name: Brian Gregory Email:
Review Workload & Deleg	TEMPLATE		School/Dept: Biology CV location/Box Folder:
	Ready to be Assigned		https://upenn.box.com/s/I0tpqyrzavilqmza1xf2pwkkbvyas6iv Google Doc:
	CPRE new materials		https://docs.google.com/spreadsheets/d/1L0Ku0oMOANVfDSxe6 o9oh-N0NDww4N56X3RjWb091wM/edit?usp=sharing
	In Progress		SC Upload Location: http://repository.upenn.edu/biology_papers/
	Gregory - 7/6/17 🕒	Richard D.	Received: 7/6/17 Notes:
	Chen - 6/23/17 🕒	Samantha F.	Edit task content
	Advanced Practice Providers - 4/8/17		 (no label) (no estimation)

Step 2: CV intake and processing

Notes	Verdict		⊤ Status	Publisher T Contact	Date Publisher Contacted	≂ DOI	≂ Link -	Postprint	⊤ Title of Work		Year ≂ Publish	ed 📼				
Could write to publisher and request		 Find Postprint/Con 			Contactor				sc.1 Transit Vehicle			1982				
could write to publisher and request		 Find Postprint/Con 							sc.2 Review of "Urb	the second s		1989				
	p ropiner ootpine	i ind i ocquine ocn			12/2/16		Trapic article									
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Available online Given that report was prepared for	U: Pub PDF	- Post	Posted				https://arcl	hive.org/details/l	ight Light Rail Tran	sit S Report	-	1972				
Scanned by us		1														
Would post given preparation for a	Pub PDF	- Post	Posted		12/2/16		https://trid.	.trb.org/view.asp	x?ic Integration of 1	rans Report	•	1973				
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Jnavailable online	Pub PDF		Posted						Theoretical an	Pre Conference E		1075				
					12/2/16 Followu	e need a differe	nt version (NOT th	ne final version) S	Status W	e can post the final ve	rsion	Status	Prohibited by the publisher *Implementing the Solutions:	Contacted Publisher for permission Statu	15	Other
Inavailable online	Pub PDF	* Post		office@naucnal	a No repo	he auto users	transit comovers	. Toward a		/hich Mode Can Save ergy? No Simple Ans			Measures for Achieving Intermodal Balance;" pp. 284-296 in E. Birch, Ed., The	"The Family of Urban Transport Modes": The Urban Transport		"A System View at the Urban Highway Transportation Situation": Proceedings
Unavailable online Likely able to post online but would		 Contact Publisher 		http://mta-nyc.c	ra ra	ional synthesis	of urban transport search Special Iss	auc. policy";	w	itten testimony for the ommittee on Environm	Senate		Urban and Regional Planning Reader, Rutledge,	Industries Report 1993; pp. 20-22; Campden Publishing Ltd., London,		the Pennsylvania Highway Transportation Conference, Pennsylvar
	Prohibited	✓ None			Po	licy; Vol. 18A, N	lo. 2, pp. 125-133	, Spring 1984.	PI	iblic Works, 5 Oct. 19	77.	Posted	2009.	Great Britain.		State University, 1969.
	Prohibited	▼ None								chieving Intermodal B	alance for		"The Role of Rail Transit in Contemporary Cities": in The			
Jnavailable online	Pub PDF	* Post		RA-pdFormsan	d Publishe Ar	alysis"; High Sp	ellity in Transportation	sportation	Ef	ficiency and Livability oceedings, UITP 53rd ronto, 1999.	of Cities";	Posted	City as a Human Environment, pp. 105-112. Praeger, 1994.	"Comparative Analysis and Selection of Transit Modes"; TR Record 559, pp. 51–62, Washington, 1976.		"Deregulation: a return to the primitive" City Transport, May/June 1986, pp. 18-20: London.
- Government publication					*0	evelopment of F	Road Networks in portation 4/1960, p	Scandinavian	*A Sy C C Tr	nalytical Review of Gi stems; in Rapid Trar stems, Proceedings on ference on Advance ansit Systems in Ama 1. 1983; pp. 141–152 sevier, 1985.	isport of the ed Rapid Ifi, Italy in	Posted	Gray and Hoel (Editors), Public Transportation: Planning, Operations and Management (Vuchic author of two chapters); Prentice-Hall, 1979, revised 1992.	"Considerazioni e proposte per la competitivila del trasporto pubblico urbano"; Italian translation of paper #8.80; Ingegneria Ferroviaria, Luglio-Agosto 1991, pp. 44-467.		"Karakteristike metro mreza i metodologija za njihovo vrednovanje"; Serbo-Croatian translation of an adapte version of #8.73; Sabbracaj u gradovim 1/1991, pp. 1-12, Belgrade.
					Pr	oceedings of the	ability through Ma e Second Internati ban Public Transp 2004, pp. 483-489	ional iortation	Di	us Semirapid Transit avelopment and Evalu umal of Public Transp No. 2, 2002, pp. 71-9	ation"; portation, Vol.	Posted	Transportation for Livable Citiles; Center for Urban Policy Research, Rutgers University, 1999; 376 pages. Translated and adapted into Russian, 2011, into Turkish 2015, in preparation in Chinese.	"Current Trends: Problems and Prospects of Light-Rail Transit"; TRB Special Report 182, "Light-Rail Transit: Planning and Technology"; pp. 8-12, 1978.		(With Jean-Claude Ziv and Thor Haatveit)," Transport v Moskve (in Russian); 75 pp; Gordoskie Proekty, Moscow, 2013.
					Tr		an Traffic in Great 959, pp. 866–871 sh).		Se Pr Ci	omparison of LRT wit mirapid Transit Syste oceedings, UITP 5th Inference in Melbourr ussels, Belgium, 2001	m"; LRT ie; UITP,	Posted	Urban Public Transportation Systems and Technology; Prentice-Hall, Inc., 1981, 673 pages. Japanese translation published by Gihodo Shuppan, Inc., Tokyo, 1990, –650 pages.	"Design, Evaluation and Selection of Transit Systems: Theory and Practice", Proceedings of the Conference on les Transports Collectifs Urbains, Ecole Nacionale des Ponts et Chaussees, pp. 287-299, Paris, 1988.		Discussion of Reports 3a, 3b, and 6; Proceedings of the 39th UITP Congres in The Hague; UITP, Brussels, 1973.
					of	Signalized Traff	ing Time in Deterr ic Arterials"; Trans o. 3, Aug. 1967, p	sportation	*D Ve Öl	er spurgeführte Bus - rgleich der O-Bahn n PNV-Systemen"; Der ne 1985, pp. 44–50; I Germany.	Analyse und nit anderen Nahverkehr	l.	Urban Transit Systems and Technology; John Wiley & Sons, 2007. 618 pages. Translation into Turkish, 2015, into Chinese in preparation.	"Livable Cities – Will Transportation Professionals Answer the Challenge?" Transportation Research News, NovDec. 2003, pp. 22-26.		Land Use Impacts of Rapid Transit: Implications of Recent Experience; rep by De Leuw, Cather & Company to the Department of Transportation; Vuchic consultant; Aug. 1977.

Step 3: Student transfers CV to Google spreadsheet and begins permissions review

Publisher permissions

Last updated: 5 months ago by Kenny W.

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Home

Permissions

This sections includes step-by-step instructions for completing CV permissions and links to related materials.

- Permissions Checklist
- Journal Publisher Policy Database
- Book Publisher Permissions Responses

Email Templates

This section contains email templates for communicating permissions results with authors and permission requests with publishers. Please make sure that any highlighted, italicized, or bracketed text is replaced and tailored to fit your audience.

- C Intake
- C Out of the Queue
- Publisher permissions
- Publisher permissions (7+ citations for a sin...
- Permissions results
 - More...

Additional Resources

This section contains additional resources for making the permissions process easier.

Publisher Buzzwords

C Scholarly Article Versions

This email is used to request permission to deposit or inquire about a policy for scholarly works from a journal or book publisher. Please be extra careful to make sure that you have replaced all of the bracketed information and combed through for typos or inconsistencies!

[subject line:] Author request to self-archive in an institutional repository [cc:] kenwhi@upenn.edu

To whom it may concern [or person, if you have their name]:

I am writing on behalf of [Dr. X], one of our faculty members at the University of Pennsylvania. [Dr. X] has requested to self-archive an article [s/he] wrote for [X journal] in Penn's openly accessible institutional repository, ScholarlyCommons (http://repository.upenn.edu). [NOTE: THE FOLLOWING SENTENCE MAY CHANGE DEPENDING ON IF YOU ARE REQUESTING PERMISSION OR ARE UNSURE OF THEIR POLICIES] I was unable to find anything related to this type of reuse on your website; would you allow [Dr. X] to post [his/her] [work/works] to ScholarlyCommons?

Here [is/are] the [citation/citations] for the [work/works] in question:

 [EXAMPLE: The sophistication of human resources: The learning leader's challenge. 2013. Global Focus, 7, 13-16. (Annie McKee & Stanton Wortham).]

Please feel free to contact me should you have any questions about this request or ScholarlyCommons. We would really love to include [Dr. X's] work and thus preserve [his/her] contribution to Penn's scholarly community!

Best,

[your name] ScholarlyCommons Digital Publishing Assistant

Step 4: Student reaches out to publishers

Dear Dr. [Name],

We have completed the initial stage of the permissions process to put your works on ScholarlyCommons! We have uploaded what we can to ScholarlyCommons and have contacted publishers for permission as needed. We will continue to monitor any responses we receive from publishers and upload more works as we are able.

You can find the results of our permissions process in this Google spreadsheet: [link to google sheet results tab]

On the "<u>Results</u>" tab in the above spreadsheet, you will notice a number of columns. These columns indicate which of your works can go into ScholarlyCommons based on our permissions research.

- We need a different version (NOT the final version): We need a non-final version of your paper, such as a preprint or
 postprint, in order for it to be uploaded it to ScholarlyCommons (please upload copies to the folder below). For more
 information, examples, and definitions of the various versions of a scholarly paper, please see the "Pub PDF vs Postprint vs
 Preprint" tab.
- We can post the final version: We were allowed to deposit the final published version of your paper to ScholarlyCommons
 and we did so.
- · Prohibited by the publisher. We were prohibited from posting any version of your paper to ScholarlyCommons.
- <u>Contacted publisher for permission</u>: We were either unsure of the publisher's policy or they required written permission from
 us. We will continue to monitor any responses we receive from publishers and upload more works as we are able.
- Other: We were unable to find the work online or determine the identity of the publisher.

For additional information about the permissions process, I'm pasting a short set of FAQs below this message.

You can upload copies of your preprint/postprints here: [insert author's Penn Box URL]

You can provide feedback on our Faculty Assisted Submission service by taking a short survey: https://goo.gl/forms/Wr1kQHa9TWGYSYe82

Over the next year, we will periodically check for your new publications, but if you want us to put something up sooner, please contact us (if you do not want us to add new things, let us know). If you would like to schedule a time to discuss the results of the process, review strategies for making more of your works available, or demo the Author Dashboard (ScholarlyCommons' statistics feature), please let me know.

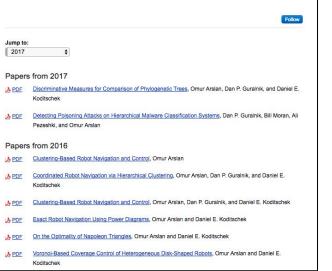
We are so happy to have some of your works in ScholarlyCommons, and I hope that we will have more to add soon! Please let me know if you have any questions or trouble using the Google Sheet.

Penn Engineering

DEPARTMENTAL PAPERS (ESE)

The Department of Electrical & Systems Engineering at the University of Pennsylvania is a leader in the areas of Electroscience, Systems Science, Network Systems and Telecommunications.

The creation and dissemination of scholarly research in both basic and applied arenas has placed the Department of Electrical and Systems Engineering at the forefront of research and application in the areas of motion-capture, SWARM and Nanotechnologies, cyber-physical systems integration, Metananocircuits, robotics, urban transit, mobile solar power, prosthetic device enhancements and more. Engineers from the department have and are working to relentlessly push the boundaries of current engineering disciplines for the application of existing problems and those of tomorrow.



Step 5: Student uploads VoRs, communicates results with faculty member

Publisher no response follow up

Last updated: 6 months ago by Kenny W.

This email is sent to a publisher if after one month they have not responded to your original permissions request. Please follow up using this template in the same thread as your original email to the publisher. The repository manager will prompt you when to send this email.

[subject]: please "reply all" to publisher using same thread as before [cc]: kenwhi@upenn.edu

To Whom It May Concern:

I am following up on my [date] request on behalf of [Dr. X] to self-archive an [article/book/book chapter/etc] that [he/she] wrote for [journal/book/publisher name] in Penn's openly accessible institutional repository, ScholarlyCommons (http://repository.upenn.edu). We have not heard back from you and are thus planning to post a copy of this work to ScholarlyCommons in one month ([enter date one month from now]]. Please feel free to pass this email along to the appropriate contact, or contact me should you have any questions about this request or ScholarlyCommons. We would really love to include [Dr. X's] work and thus preserve [his/her] contribution to Penn's scholarly community!

Best, [Your name] ScholarlyCommons Digital Publishing Assistant

Step 6: Upload faculty-provided pre/postprints, respond to publisher emails (ongoing)

Supporting Faculty Through the Process

- Status reports (with FAQs included) emailed to faculty at following points:
 - o Intake
 - Out of the queue
 - Initial permission complete
 - 3 months after (check-in for postprints)
 - 1 year after (permissions update)
- Faculty not having their preprints or postprints presents challenges
- CV Log (pictured) helps us keep track of CVs for post-deposit follow up

Date requested	CV Length (# of Wo	Date completed	Days to complete	Date for next contact	Date for update
2-2-17	114	3-15-17	43	6-15-17	3-15-18
2-7-17	94	4-9-17	62	7-9-17	4-9-18
2-6-17	28	2017-05-17	101	8-17-17	5-17-18
4-8-17	235		In progress		
2-7-17		2017-04-25	78	7-25-17	4-25-18
2017-02-09		2017-04-23	96	8-15-17	
2017-02-22		2017-05-22	90	8-22-17	
2017-03-01	85	2017-05-31	90	8-31-17	
2017-03-17		2017-05-26	69		
2017-03-27		2017-05-26	59	8-26-17	
2017-05-22	20	2017-05-31	9	8-31-17	5-31-18
2017-06-13	14	2017-06-14	1	9-14-17	6-14-18
2017-06-23	57	2017-06-30	7	9-30-17	6-30-18
2017-06-29			In progress		
2017-07-03	59		In progress		
2017-07-11			In progress		

Building Up & Out

Publisher Policy Database

Welcome to the Publisher Policy Database

Welcome to the Publisher Policy Database	Penn Libraries Publisher Policy Database	
View Edit Delete	Home Publishers Journals Search Administer Users Instructions	
The Publisher Policy Database is a growing database of information about journal publishers and their permissions policies specif Soft-launched in Spring 2016 and still under active construction, the Publisher Policy Database is designed to capture journal pub about self-archiving articles in non-profit institutional repositories. This database does not currently track book publisher policies The Publisher Policy Database is maintained by the University of Pennsylvania Libraries as part of its work on <u>ScholarlyCommon</u> If you have any questions or would like to <u>request an update</u> for one of our records, contact the ScholarlyCommons administrator <i>While all information on the Publisher Policy Database is accurate to the best of our knowledge, it should not be relied upon for</i>	Home Publisher Journals Search Administer Users Instructions Elsevier	Last updated: <i>Wed, 10/05/2016 - 16:41</i> Is this information out-of-date? <u>Request an Update.</u>
pubpolicy.library.upenn.edu	URL for Lookup https://www.elsevier.com/about/company-information/policies/sharing Notes Journal Specific Embargo Periods 2016: https://www.elsevier.com/data/assets/pdf_file/0005/78476/external-embargo-list.pdf Journals published by Elsevier: Addictive Behaviors Advances in Life Course Research Advances in Quantum Chemistry Alzheimer & Dementia American Journal of Geriatric Psychiatry, The Biophysical Journal Brain Stimulation CHEST-Journal	

Publisher Policy Database (under development)

Building Outreach Capabilities

• Updated web presence

- Featured projects
- Upload CV directly to LibApps queue
- Other types of ScholarlyCommons services
 - Digital projects
 - Journal publishing
 - ETDs
- Faculty Assisted Submission fits into a bigger picture
 - Scholarly Communication initiatives
 - Data services
 - Teaching, Research, and Learning Services initiatives
 - Liaison activities



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Adapting & Implementing

bit.ly/dc-glug-sc

This folder contains materials produced by the ScholarlyCommons team at the University of Pennsylvania as part of their Faculty Assisted Submission (FAS) service. All items are made available under a CC BY NC 4.0 License unless otherwise noted.

Strategies For Your Own FAS

- Start small and see where it goes
- Don't be afraid of receiving too many requests
- Build on existing services
- Communicate, communicate, communicate
- Make liaisons look good
- Build community of users



Image: Gabriel Saldana via CC BY-SA 2.0 license

Thanks!

Sarah (swipp@upenn.edu) Kenny (kenwhi@upenn.edu)

bit.ly/dc-glug-sc repository.upenn.edu

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