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## OPTIMIZATION OF TRANSPORT IN STELLARATORS\*

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**MASTER**

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Here we relate two stellarator transport optimization schemes to single particle orbits. We also show that reducing transport in the  $1/\nu$  regime reduces transport over a much broader range of collisionality.

In unoptimized stellarators, the orbits of deeply trapped particles usually have the greatest deviation from a flux surface and hence contribute most to diffusive transport losses. Thus it is of interest to focus on these particles. Using the adiabatic invariant  $J^*$  [1,2], one can show that the most deeply trapped particles closely follow surfaces given by

$$\mu B_{\min} + e\phi_E = \text{const} \quad (1)$$

Here  $B_{\min}(\Psi, \theta)$  is the minimum of  $B$  with respect to the Boozer toroidal angle,  $\phi$ , at fixed Boozer coordinates  $\Psi$  and  $\theta$ . The electrostatic potential, the magnetic moment, and the charge are  $\phi_E$ ,  $\mu$ , and  $e$ , respectively.

If we make the usual assumption that  $\phi_E$  is independent of poloidal angle,  $\theta$ , then all the poloidal dependence of  $\mu B_{\min} + e\phi_E$  is through  $B_{\min}$ . Indeed, if  $B_{\min}$  were independent of  $\theta$ , then the contours of constant  $\mu B_{\min} + e\phi_E$  would be contours of constant  $\Psi$ , and the bounce-averaged motion of deeply trapped particles would not deviate from the flux surfaces.

We can quantify these ideas by considering a simple model magnetic field of the form

$$B = B_0 \{1 - \epsilon_t \cos(\theta) - [\epsilon_h - \lambda \epsilon_t \cos(\theta)] \cos(M\phi - \ell\theta)\} \quad (2)$$

The minimum value of  $B$  with respect to  $\phi$  is

$$B_{\min} = B_0 [1 - \epsilon_h - \epsilon_t(1 - \lambda) \cos(\theta)] \quad (3)$$

Here we note that the parameter  $\lambda$  is related to  $\sigma$  of Mynick et al. [3] by

$$\lambda = \sigma \epsilon_h / \epsilon_t \quad (4)$$

From Eq. (3) we see that there are two ways to make  $B_{\min}$  independent of  $\theta$ . One way is to set  $\lambda = 1$ , which is the optimization scheme introduced by Mynick et al. [3]. The second way to make  $B_{\min}$  independent of  $\theta$  is to arrange for  $\epsilon_t$  to be equal to zero, i.e. the quasi-helical or "straight" stellarator enunciated by Nührenberg [4]. From  $J^*$  one can

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show that setting  $\epsilon_t = 0$  causes the bounce- and transit-averaged motion of all particles (not just the deeply trapped particles) to follow the flux surfaces. Thus, while one would expect that the  $\epsilon_t$  prescription would be more effective in reducing transport, it is still of interest to examine the  $\lambda$  prescription, since other design considerations may suggest the simultaneous use of both prescriptions.

To examine the dependence of transport on  $\lambda$ , we begin by considering the  $1/\nu$  regime. This regime is formally independent of the magnitude of the electric field and is sufficiently simple that a large amount of magnetic geometric detail can be incorporated analytically. A sequence of analytic approximations has been developed for the  $1/\nu$  regime [5]. For our purposes here, it is sufficient to use an approximation that is usually accurate to a few tens of percent. All the transport coefficients in this approximation have a common geometric factor of the form

$$G = \int d\theta (g_1 - 2g_2\lambda + g_3\lambda^2) \sin^2(\theta) \quad (5)$$

where the  $g_n$  are functions of the single parameter

$$\eta = 2[\epsilon_h - \lambda\epsilon_t \cos(\theta)] / [1 - \epsilon_h - \epsilon_t(1 - \lambda) \cos(\theta)] \quad (6)$$

For  $\epsilon_h \gg \epsilon_t$ ,  $\eta \cong 2\epsilon_h/(1 - \epsilon_h)$ , and the integral in (6) is trivial. Minimizing the transport with respect to  $\lambda$  is then reduced to finding the minimum of the quadratic form

$$R_{1/\nu} = 1 - 2\lambda g_2/g_1 + \lambda^2 g_3/g_1 \quad (7)$$

The normalization of  $R_{1/\nu}$  has been chosen so that it is unity at  $\lambda = 0$ , allowing us to treat it as a “reduction factor” for non-zero  $\lambda$ . Because the factor  $G$  includes the effect of all trapped particles, not just the deeply trapped ones, the minimizing value of  $\lambda$ ,  $g_2/g_3$ , is not precisely equal to unity and varies with  $\epsilon_h$ . For example, when  $\epsilon_h = 0.3$ , the minimizing value of  $\lambda$  is 1.3.

By using the DKES code [6] to calculate the transport flux,  $\Gamma_{11}$ , we have compared its  $1/\nu$  regime dependence on  $\lambda$  with the dependence in other collisionality regimes. At collisionalities just below the  $1/\nu$  regime, a peak in transport occurs as a function of collisionality. The sensitivity of this peak value of transport to  $\lambda$  is of considerable interest. To compare “peak” transport with  $1/\nu$  transport, we define a reduction factor by

$$R_{\text{peak}} = \Gamma_{11}(\lambda)/\Gamma_{11}(\lambda = 0) \quad (8)$$

where both values of  $\Gamma_{11}$  are to be evaluated at the peak in transport as a function of collisionality (which may occur at different collisionalities for different values of  $\lambda$ ).

Figure 1 shows the two reduction factors,  $R_{1/\nu}$  and  $R_{\text{peak}}$ , for three different values of the electric field parameter “EFIELD”  $\equiv E/v$ . (The smallest value corresponds to  $e\phi_E/T_e \simeq 1$ , while the largest value corresponds to  $e\phi_E/T_i \simeq 1$ .) For the cases shown,  $\epsilon_h = 0.3$  and  $\epsilon_t = 0.1$ . Also shown is an estimate of the  $\lambda$  dependence of the loss of high-energy particles due to unconfined drift orbits (proportional to  $\epsilon_t|\lambda - 1|$ ). Notice that

the diffusive transport results for "peak" and  $1/\nu$  are quite similar and that the estimate of direct losses is qualitatively similar.

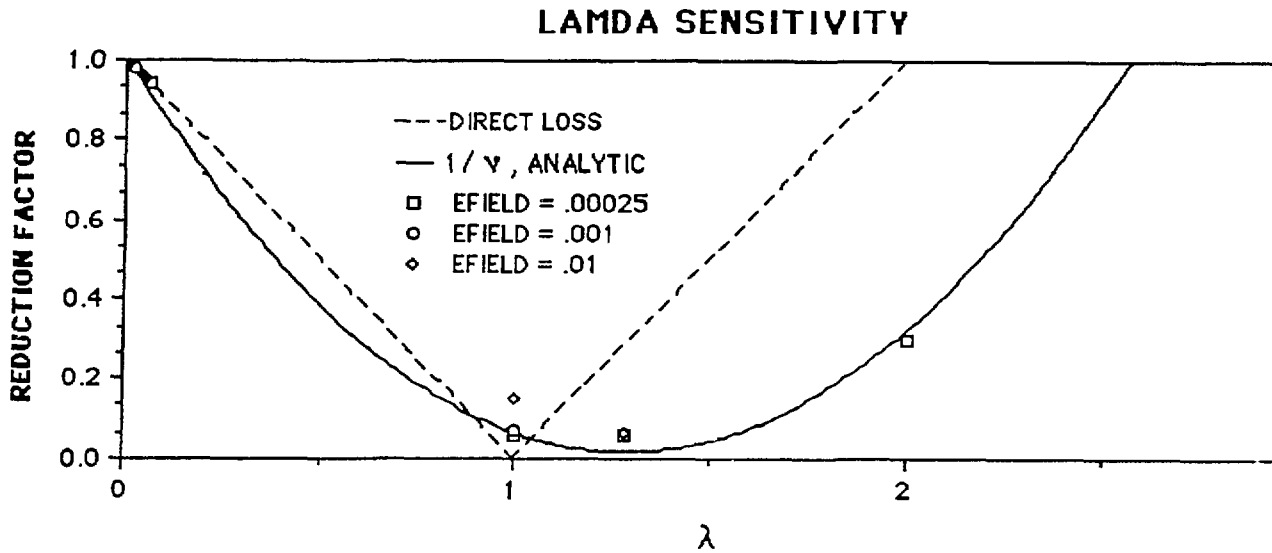
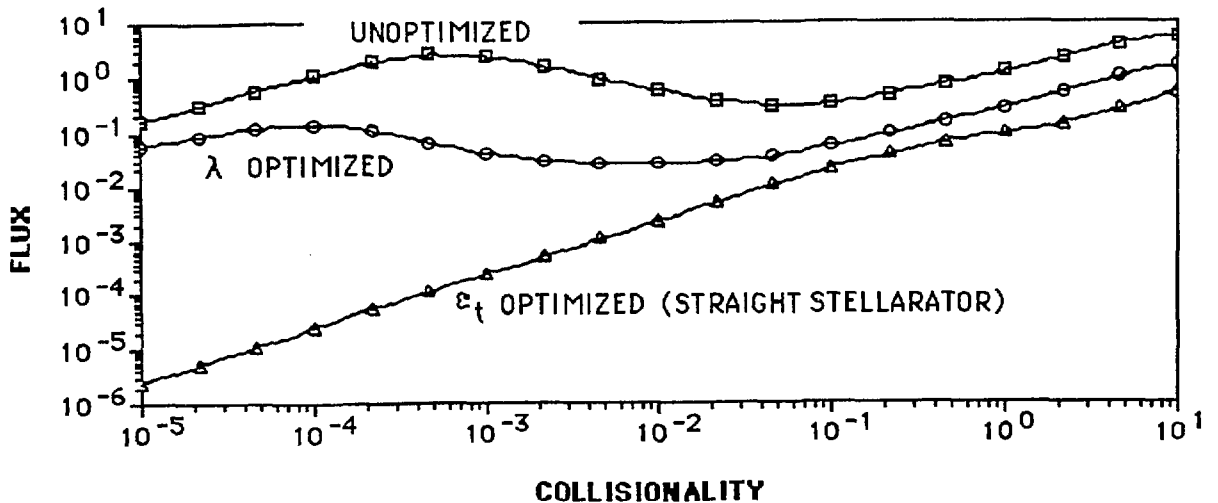


Figure 2 allows comparison of an unoptimized case ( $\lambda = 0$ ) with a  $\lambda$  optimized case ( $\lambda = 1.3$ ) and an  $\epsilon_t$ -optimized case. All three cases have  $\epsilon_h = 0.3$ . The unoptimized and  $\lambda$ -optimized cases have  $\epsilon_t = 0.1$ , while the  $\epsilon_t$ -optimized case has  $\epsilon_t = 0$ . The electric field parameter is  $E/\nu = 0.001$ . Other values of the electric field parameter give similar behavior. Notice that a broad range of collisionality ( $\nu/\nu$ ) is shown, and that both  $\lambda$  and  $\epsilon_t$  optimizations significantly reduce transport over the entire range.



We conclude that both optimization techniques are effective at reducing transport over a broad range of collisionality. This suggests that the two techniques can be used simultaneously to reduce transport, while meeting other design constraints that are outside the purview of transport.

## ACKNOWLEDGEMENT

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